



May 13, 2011

**VIA EMAIL**

Honorable Jaclyn A. Brilling, Secretary  
New York State Public Service Commission  
Three Empire State Plaza  
Albany, New York 12223

Re: Case 07-M-0548 – Proceeding on Motion of the Commission Regarding an Energy Efficiency Portfolio Standard

Case 08-G-1012 – Petition of New York State Electric & Gas Corporation for Approval of an Energy Efficiency Portfolio Standard (EEPS) “Fast Track” Utility-Administered Gas Energy Efficiency Program

Case 08-G-1013 – Petition of Rochester Gas and Electric Corporation for Approval of an Energy Efficiency Portfolio Standard (EEPS) “Fast Track” Utility-Administered Gas Energy Efficiency Program

Case 08-E-1129– Petition of New York State Electric & Gas Corporation for Approval of an Energy Efficiency Portfolio Standard (EEPS) Utility-Administered Electric Energy Efficiency Program

Case 08-E-1130– Petition of Rochester Gas and Electric Corporation for Approval of an Energy Efficiency Portfolio Standard (EEPS) “Utility-Administered Electric Energy Efficiency Program

Case 09-G-0363 – Petition for Approval of Energy Efficiency Portfolio Standard (EEPS) Gas Efficiency Programs

Dear Secretary Brilling:

Pursuant to the New York State Public Service Commission’s orders in the above captioned proceedings, New York State Electric & Gas Corporation and Rochester Gas and Electric Corporation hereby file the “Monthly Scorecard Reports” of EEPS program achievements for the month ending April 30, 2011. The programs addressed in these reports are as follows:

- Residential Gas HVAC Program

**Honorable Jaclyn A. Brilling**  
**May 13, 2011**

**Page 2**

- Residential/Non-Residential Multifamily Program
- Residential Empower Referral Program
- Non-Residential Small Business Direct Install Program
- Non-Residential Block Bidding Program
- Commercial and Industrial Rebate Program

Please direct any questions to me at telephone number 607-725-3936 or via email at [jjfishgertz@nyseg.com](mailto:jjfishgertz@nyseg.com).

Respectfully Submitted,

A handwritten signature in cursive script, reading "Joni Fish-Gertz".

Joni Fish-Gertz  
Manager, EEPS Programs

Enclosure

**New York State Electric & Gas Corporation**  
**and**  
**Rochester Gas and Electric Corporation**

EEPS Residential/Non-Residential Programs Report

**Savings from New York Standard Approach for Estimating  
Energy Savings from Energy Efficiency Programs**

Monthly Program Report  
April 2011

**May 14, 2011**

Prepared for  
**New York State Department of Public Service**

## Table of Contents

Program/Project:

Program/Project:	Residential Gas HVAC Program .....	3
Program/Project:	Residential/Non-Residential Multifamily Program .....	5
Program/Project:	Empower Utility Referred Savings .....	7
Program/Project:	Non-Residential Small Business Direct Install Program .....	9
Program/Project:	Non-Residential Block Bidding Program .....	11
Program/Project:	Commercial & Industrial Rebate Program .....	13

**Program Administrator(s):** New York State Electric & Gas Corporation and  
Rochester Gas and Electric Corporation  
**Program/Project:** **Residential Gas HVAC Program**  
**Reporting Period:** April, 2011  
**Report Contact Person:** Kevin Schmalz

## 1. Program Status

### Program Performance Goals

**(a) Describe and discuss circumstances that may have an impact on the achievement of project performance goals (positive or negative).**

RG&E and NYSEG continue to facilitate customer support of the Red Cross Heating Fund, with a total of \$9,820 contributed by RG&E customers and \$4,800 contributed by NYSEG customers through this program since July 1, 2009.

**(b) Describe and discuss other key aspects of program performance goals that were not discussed in (a).**

The NYSEG incentives budget was initially reserved by November 29, 2010 but, since that time, a significant amount of previously reserved funds has been unclaimed and returned to the reservation pool. The Companies received approval from Staff on March 28th to reopen the NYSEG rebate program and to use the remaining funds for installations completed on or after the reopening date. The NYSEG program reopened April 1, 2011.

**(c) Forecast of net energy impacts.**

Annual Energy Savings

Year	RG&E Savings – in Dth	NYSEG Savings – in Dth
2009 Actual	84,505	40,113
2010 Actual	194,527	77,977
2011 Forecast	146,681	46,053

## 2. Program Implementation Activities

### **(a) Marketing Activities**

The April 1st reopening of the NYSEG program was announced on bill messages delivered to all NYSEG residential natural gas customers during the month of April.

### **(b) Evaluation Activities**

No new evaluation activity to report.

**(c) Other Activities**

None at this time.

**3. Customer Complaints and/or Disputes**

No recent issues to report.

**4. Changes to Subcontractors or Staffing**

None at this time.

**5. Additional Issues**

None at this time.

**Program Administrator(s):** New York State Electric & Gas Corporation and  
Rochester Gas and Electric Corporation  
**Program/Project:** **Residential/Non-Residential Multifamily Program**  
**Reporting Period:** April, 2011  
**Report Contact Person:** Kevin Schmalz

### **Program Performance Goals**

**(a) Describe and discuss circumstances that may have an impact on the achievement of project performance goals (positive or negative).**

Installations in April were focused on both NYSEG and RG&E territories.

**(b) Describe and discuss other key aspects of program performance goals that were not discussed in (a).**

No changes since March 2011 Scorecard.

**(c) Forecast of net energy impacts.**

#### **NYSEG and RG&E Annual Energy Savings in MWh**

Year	NYSEG	RG&E
2010 Actual	886	2,311
2011 Projected	1,614	4,892

## **2) Program Implementation Activities**

**(a) Marketing Activities**

No changes since March 2011 Scorecard.

**(b) Evaluation Activities**

Itron has completed evaluation of the program tracking database. Itron has also completed NYSEG / RG&E and implementation contractor staff interviews and will be conducting participating customer telephone surveys during May.

**(c) Other Activities**

None at this time.

## **3. Customer Complaints and/or Disputes**

No NYPSC complaints have been reported. Customer disputes are handled as they occur.

#### **4. Changes to Subcontractors or Staffing**

No changes since March 2011 Scorecard.

#### **5. Additional Issues**

No changes since March 2011 Scorecard.

**Program Administrator(s):** New York State Electric & Gas Corporation and  
Rochester Gas and Electric Corporation  
**Program/Project:** **Empower Utility Referred Savings**  
**Reporting Period:** January 10, 2010 to April, 2011  
**Report Contact Person:** Kevin Schmalz

## 1. Program Status

### Program Performance Goals

**(a) Describe and discuss circumstances that may have an impact on the achievement of project performance goals (positive or negative).**

The Companies actively refer customers to the NYSERDA program and provide a weekly referral file of customer accounts to NYSERDA.

In the time period January 10, 2010 to April 30, 2011, NYSEG provided 22,723 customer account referrals and RG&E provided 8,337 customer account referrals to NYSERDA. Since a NYSEG or RG&E customer account may contain both electric and gas service types; this single account referral may provide NYSERDA with both electric and gas project opportunities.

Data provided in the summary tables below as well on the Scorecard reports does align with the standard separate program type (gas or electric) for program evaluation purposes.

#### **RG&E - Referrals to NYSERDA**

##### **Summary Report - January 10, 2010 - April 30, 2011**

<i>Savings</i>	<i>Gas Programs</i>	<i>Annual Savings (Total)</i>	<i>Annual Savings – 15%</i>		<i>Electric Programs</i>	<i>Annual Savings (Total)</i>	<i>Annual Savings - 15%</i>
	MMBtu	150.2	22.5		kWh	39,649	5,947
	Therms	1,502	225				
<i>Customer Information</i>	<i>Gas Programs</i>				<i>Electric Programs</i>		
<b>Average Project Savings</b>	MMBtu Therms	8.3 83			kWh	1,072	
<b>Customers Participating</b>		18				37	
<b>Customers Referred</b>		6,971				7,728	

**NYSEG - Referrals to NYSERDA**  
**Summary Report - January 10, 2010 - April 30, 2011**

<i>Savings</i>	<i>Gas Programs</i>	<i>Annual Savings (Total)</i>	<i>Annual Savings – 15%</i>	<i>Electric Programs</i>	<i>Annual Savings (Total)</i>	<i>Annual Savings – 15%</i>
	MMBtu	1,052.8	157.9	kWh	580,286	87,043
	Therms	10,528	1,579			
<i>Customer Information</i>	<i>Gas Programs</i>			<i>Electric Programs</i>		
Average Project Savings	MMBtu	10.7		kWh	1,382	
	Therms	107				
Customers Participating		98			420	
Customers Referred		10,204			21,250	

**(b) Describe and discuss other key aspects of program performance goals that were not discussed in (a).**

Not applicable.

**(c) Forecast of net energy impacts.**

Not applicable.

## **2) Program Implementation Activities**

Not applicable.

## **3. Customer Complaints and/or Disputes**

Not applicable.

## **4. Changes to Subcontractors or Staffing**

Not applicable.

## **5. Additional Issues**

Not applicable.

**Program Administrator(s):** New York State Electric & Gas Corporation and  
Rochester Gas and Electric Corporation  
**Program/Project:** **Non-Residential Small Business Direct Install  
Program**  
(Small Business Energy Efficiency Program)  
**Reporting Period:** April, 2011  
**Report Contact Person:** Thomas O'Connor

## 1. Program Status

### (a) Describe and discuss circumstances that may have an impact on the achievement of project performance goals (positive or negative).

The continued lower than anticipated participation rate is attributed largely to the current difficult market conditions for small businesses. However, the Companies continue to work closely with the implementation contractor to implement actions designed to improve the participation rate by removing barriers to participation, including continual training of and increasing numbers of the contractor's Energy Service Representatives (ESRs), and planned additional marketing including bill inserts planned for May.

### (b) Describe and discuss other key aspects of program performance goals that were not discussed in (a).

None at this time.

### (c) Forecast of net energy impacts.

**NYSEG and RG&E Annual Energy Savings in MWh**

Year	NYSEG	RG&E
2010 Actual	3,764	2,972
2011 Projected	33,105	16,138

## 2) Program Implementation Activities

### (a) Marketing Activities

The Companies Implementation Contractor, EnerPath has conducted extensive marketing and outreach to encourage customer participation. In addition, the Companies are supplementing that marketing with additional bill inserts and other marketing vehicles.

## SBEE Marketing and Outreach Activities Completed in April 2011:

Date	Activity Description	Recipient Audience
4/5/2011	Cayuga County Chamber After Hours	Chamber Event
4/8/2011	BNI Group	Non-Chamber Event
4/13/2011	RBA After Hours Event	Chamber Event
4/13/2011	Tompkins Chamber Presentation	Chamber Event
4/13/2011	RBA After Hours Event	Chamber
4/14/2011	SBC NetworkingLunch	Non-Chamber Event
4/15/2011	BNI Group	Non-Chamber Event
4/19/2011	Amherst ProNet Professional Networking	Chamber Event
4/21/2011	Sullivan County Chamber Networking	Chamber Event
4/26/2011	NYSEG/EnerPath Release	Press Release
4/26/2011	Steuben County Chamber Event	Chamber Event
4/27/2011	Penfield BNI group	Non-Chamber Event
4/28/2011	Binghamton Area Trade show	Trade Show
4/28/2011	Tompkins Chamber Lunch Networking	Chamber Event
4/30/2011	Direct Mail Release	SBDI Businesses

### (b) Evaluation Activities

Iron has completed evaluation of the program tracking database. Iron has also completed NYSEG / RG&E and implementation contractor staff interviews and participating customer telephone surveys. On site surveys / verifications are under way at selected participating customer sites, including light logger installation.

### c) Other Activities

None at this time

## 3. Customer Complaints and/or Disputes

No NYPSC complaints have been reported. Customer disputes are handled as they occur.

## 4. Changes to Subcontractors or Staffing

An updated Subcontractor List can be made available upon request.

## 5. Additional Issues

None at this time

**Program Administrator(s):** New York State Electric & Gas Corporation and  
Rochester Gas and Electric Corporation  
**Program/Project:** **Non-Residential Block Bidding Program**  
**Reporting Period:** April, 2011  
**Report Contact Person:** Thomas O'Connor

## **1. Program Status**

### **Program Performance Goals**

#### **(a) Describe and discuss circumstances that may have an impact on the achievement of project performance goals (positive or negative).**

The Companies have negotiated service agreements for 2 of the 3 selected bidders in the first Request for Proposal (RFP) process. Negotiations for a service agreement with the third bidder are underway. The acquisition of savings and the achievement of project performance goals will occur in 2011 in accordance with the project schedules defined in the service agreements.

#### **(b) Describe and discuss other key aspects of program performance goals that were not discussed in (a).**

The Companies released a second competitive RFP process on December 9, 2010. Competitive bids were received on February 4, and bid selections are expected to be made in May, 2011. Depending upon the results of the second RFP, the Companies will consider whether a third and fourth RFP are warranted. The companies have requested a transfer of funds from other programs to support RFP two bids and to support the opportunity for additional RFP's.

#### **(c) Forecast of net energy impacts.**

<b>NYSEG and RG&amp;E Annual Energy Savings Forecast (MWhs)</b>		
<b>Year</b>	<b>NYSEG</b>	<b>RG&amp;E</b>
2010	0	0
2011	3,613	9,140
2012	4,657	0

Because of the non-uniform timing of commitment and acquisition of block bidding savings, 2012 funds may be committed in 2011. The timing of acquisition will depend upon the specific projects selected under the RFP, and the bidder's schedules. Although most or all savings may be committed by contract in 2011, some projects may finish construction in 2012.

## **2) Program Implementation Activities**

### **(a) Marketing Activities**

No changes since the March 2011 Scorecard.

**(b) Evaluation Activities**

No new evaluation activity to report.

**(c) Other Activities**

There are none at this time.

**3. Customer Complaints and/or Disputes**

No NYPSC complaints have been reported. No Bidder/Customer disputes have occurred.

**4. Changes to Subcontractors or Staffing**

There are none at this time.

**5. Additional Issues**

There are none at this time.

**Program Administrator(s):** New York State Electric & Gas Corporation and  
Rochester Gas and Electric Corporation  
**Program/Project:** **Commercial & Industrial Rebate Program**  
Including: Prescriptive Electric, Prescriptive Gas, Custom  
Electric, Custom Gas  
**Reporting Period:** April, 2011  
**Report Contact Person:** Thomas O'Connor

## **1. Program Status**

### **Program Performance Goals**

**(a) Describe and discuss circumstances that may have an impact on the achievement of project performance goals (positive or negative).**

The Companies continue to identify and mitigate potential market barriers, such as the 100 kW demand restriction for the Electric portion of the Custom Rebate Program, longer project development times and difficult economic and capital markets.

**(b) Describe and discuss other key aspects of program performance goals that were not discussed in (a).**

None at this time.

**(c) Forecast of net energy impacts.**

The Companies are striving to achieve as much as possible of the combined multi-year Annual Energy Savings goals for both the Prescriptive and Custom programs by the end of 2011. While the Companies continue to push performance toward these targets, we are not confident that the targets will be achieved due to the combination of factors described in (a) above.

## **2) Program Implementation Activities**

### **(a) Marketing Activities**

During April, the Companies' Marketing and Sales (M&S) field personnel continued outreach to customers and trade allies to introduce and/or reinforce the C&I Rebate Program messages. Following is a summary of community outreach events conducted:

<b>Company</b>	<b>Organization</b>	<b>Number Attending</b>
Erie County Farm Bureau		11
Schuyler County	Partnership for Economic Dev. (SCOPED)	2
Cornell Cooperative Extension	Coop of Schuyler County	2
Tompkins County Chamber	Chamber of Commerce - Business After Hours event	12
Rochester Business Alliance Event		50
Sullivan County Chamber	Chamber of Commerce	14
Steuben County Industrial Dev. Agency		2
Corning Small Business Dev. Center		2
NYS Association of School Superintendents of Buildings & Grounds	Southern Finger Lakes Chapter	15
Cornell Cooperative Extension	Energy Expo	21
Southern Tier Economic Growth		3
Alden Chamber	Chamber of Commerce	12
Tompkins Cortland Builders & Remodelers Assoc.	Association event	26
Binghamton Chamber Business Show	Chamber of Commerce	250

### **(b) Evaluation Activities**

Iron has begun evaluation of the program tracking database and will be starting NYSEG / RG&E and implementation contractor staff interviews during May.

### **(c) Other Activities**

None at this time.

## **3. Customer Complaints and/or Disputes**

No NYS PSC complaints have been reported. Customer disputes are handled as they occur.

## **4. Changes to Subcontractors or Staffing**

None at this time.

## **5. Additional Issues**

None at this time.

Attachment 1  
Table 2a

<b>Program Administrator (PA) and Program ID<sup>1</sup></b>	RG&E Residential Gas HVAC Program
<b>Program Name</b>	RG&E Residential Gas HVAC Program
<b>Program Type<sup>2</sup></b>	
<b>Total Acquired First-Year Impacts This Month<sup>3,16</sup></b>	
Net first-year annual kWh acquired this month <sup>4</sup>	N/A
Monthly Net kWh Goal (based on net first-year annual <sup>5</sup> kWh Goal)	N/A
Percent of Monthly Net kWh Goal Acquired	N/A
<b>Net Peak<sup>6</sup> kW acquired this month</b>	N/A
Monthly Net Peak kW Goal	N/A
Percent of Monthly Peak kW Goal Acquired	N/A
Net First-year annual therms acquired this month <sup>25</sup>	59,995.1
Monthly Net Therm Goal for 2011 <sup>22</sup>	134,913
Percent of Monthly Therm Goal Acquired	44.5
Net Lifecycle kWh acquired this month	N/A
Net Lifecycle therms acquired this month <sup>25</sup>	1,125,960.0
<b>Total Acquired Net First-Year Impacts To Date<sup>16,19</sup></b>	
Net first-year annual kWh acquired to date	N/A
Net first-year annual kWh acquired to date as a percent of annual goal	N/A
Net first-year annual kWh acquired to date as a percent of 8-year goal	N/A
Net cumulative kWh acquired to date	N/A
Net utility peak kW reductions acquired to date	N/A
Net utility peak kW reductions acquired to date as a percent of utility annual goal	N/A
Net utility peak kW reductions acquired to date as a percent of 8-year goal	N/A
Net NYISO peak kW reductions acquired to date	N/A
Net first-year annual therms acquired to date	3,324,167.8
Net first-year annual therms acquired to date as a percent of program goal <sup>22</sup>	82.1
Net first-year annual therms acquired to date as a percent of 8-year goal	N/A
Net cumulative therms acquired to date	3,324,167.8
<b>Total Acquired Lifecycle Impacts To Date<sup>7,16,19</sup></b>	
Net Lifecycle kWh acquired to date	N/A
Net Lifecycle therms acquired to date	65,556,914.1
<b>Committed<sup>8</sup> Impacts (not yet acquired) This Month<sup>16</sup></b>	
Net First-year annual kWh committed this month	N/A
Net Lifecycle kWh committed this month	N/A
Net Utility Peak kW committed this month	N/A
Net first-year annual therms committed this month <sup>24</sup>	0
Net Lifecycle therms committed this month <sup>24</sup>	0
Funds committed at this point in time	\$1,315,470

<b>Overall Impacts (Acquired &amp; Committed)<sup>16</sup></b>	
Net first-year annual kWh acquired & committed this month	N/A
Net utility peak kW acquired & committed this month	N/A
Net First-year annual therms acquired & committed this month	59,995.1
<b>Costs<sup>9,18</sup></b>	
Total program budget <sup>13, 22</sup>	\$7,708,100
General Administration	Portfolio <sup>14</sup>
Program Planning	Portfolio <sup>14</sup>
Program Marketing <sup>20</sup>	\$0
Trade Ally Training <sup>20</sup>	\$0
Incentives and Services <sup>15, 20, 21</sup>	\$26,431
Direct Program Implementation <sup>20,21</sup>	\$9,841
Program Evaluation <sup>20,21</sup>	\$0
Total expenditures to date <sup>13, 23</sup>	\$5,832,577
Percent of total budget spent to date <sup>22</sup>	75.7
<b>Participation<sup>17,19</sup></b>	
Number of program applications received to date	21,005
Number of program applications processed to date <sup>10</sup>	19,964
Number of processed applications approved to date <sup>11</sup>	19,128
Percent of applications received to date that have been processed	95.0

#### DPS Staff Notes:

<sup>1</sup> DPS Staff needs to work with utilities to develop a Program ID naming convention. However, a Program ID number is not required for the first reports. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.

<sup>2</sup> There is not currently a consistent list of program types but individual categories for common use by administrators could be developed.

<sup>3</sup> First-year savings are defined as the annual savings expected from a given measure in the first year after installation. The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. Acquired kWh savings are defined as those savings that are reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.

<sup>4</sup> Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.

<sup>5</sup> Program Administrators should make best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the monthly goals but does not want to mandate monthly goals, at least initially.

<sup>6</sup> Peak is defined uniquely for each utility.

<sup>7</sup> The lifecycle savings are tracked beginning in the year in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will be different for each month as a function of adding savings from measures installed in a given month and subtracting savings from measures installed earlier in the funding cycle that have reached the end of their useful life.

<sup>8</sup> Committed savings are defined as those for which funds have been encumbered but not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's best estimate of what they have committed. There should be some assumptions on how the administrator does that. Program administrators should forecast as accurately as possible and it should get more precise with program experience, e.g., the difference between achieved and committed should get closer over time.

<sup>9</sup> These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans. Companies should identify whether each cost item is to be recovered through the SBC surcharge, base rates, or other recovery mechanism (e.g., monthly adjustment charges).

<sup>10</sup> An application is processed once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."

<sup>11</sup> The application is approved once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which an application could be received, processed, and approved all in one day, a "1" would be counted for each step in the tracking lifecycle.

<sup>12</sup> See CO2 Reduction Values tab in Data Reporting Template 6-26-09.Xls (quarterly and annual reports only).

#### Utility Notes:

<sup>13</sup> Start-up costs are not included in the program budget or actual costs. These costs were deferred as specified on p. 13 of the April 9, 2009 Order Approving "Fast Track" Utility-Administered Gas Energy Efficiency Programs With Modifications in Cases 08-G-1012 et al, and as noted in the June 8, 2009 New York State Electric & Gas Corporation and Rochester Gas and Electric Corporation Residential Gas HVAC Program Implementation Plan. A summary of deferred costs to date is shown below:

Maximum Deferral (p. 13, April 9, 2009 Order)	\$155,880
Deferred costs	
Program marketing	\$8,009
Trade ally training	\$0
Direct program implementation	\$1,478
Evaluation	\$1,850
Total deferred costs	\$11,337
Remaining deferral allowance	\$144,543

<sup>14</sup> Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission. Although an estimate of the total Portfolio Costs was provided to the Commission on June 8, 2009 in the New York State Electric & Gas Corporation and Rochester Gas and Electric Corporation Residential Gas HVAC Program Implementation Plan, these costs were not included in the program budget, and are not included in this report of actual program expenditures.

<sup>15</sup> Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for incentive payments made to program participants. They will thus lag the actual issuance of incentive payments to program participants.

<sup>16</sup> Impacts are calculated using actual installed measures information instead of deemed savings based calculations for all measures except indirect water heaters. Impacts for indirect water heater measures will continue to be based on deemed savings until an approved formula to calculate actual installed measure based impacts is available.

<sup>17</sup> Since an application with multiple measures is separated into multiple rebates, participation reflects the number of installed measures. Applications that are identified as incomplete, erroneous, or ineligible during processing are considered "flawed". For participation counts, it is assumed that each flawed application has one measure. Measure data is not collected on flawed applications; rather, it is collected only from applications that have been determined to be eligible and complete.

<sup>18</sup> All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.

<sup>19</sup> Program to date information may include changes to prior period data received from the implementation contractor based on additional review and/or reconsideration of rebate eligibility decisions made in prior periods. Additional information regarding such changes is available upon request.

<sup>20</sup> Per request from DPS Staff during December 7, 2009 conference call, the data contained in these fields reflects the most recent month's expenditures in the respective category, not "to date" information.

<sup>21</sup> Includes program true-ups due to timing.

<sup>22</sup> Monthly and program goals and budgets include additional amounts authorized in the Order Approving Three New Energy Efficiency Portfolio Standard (EEPS) Programs and Enhancing Funding and Making Other Modifications for Other EEPS Programs, (June 24, 2010), (the "June 24 Order").

<sup>23</sup> Previous PSC Scorecard expense reporting for the residential natural gas HVAC program was based on expenditures as received on invoices from the implementation contractor. Beginning with the August 2010 scorecard, expenditures will be reported in accordance with normal accounting practice to recognize expenses in the month recorded to the general ledger.

<sup>24</sup> Net first-year annual therms and net lifecycle therms committed this month are undetermined at this time pending actual installed equipment capacity and efficiency.

<sup>25</sup> Total acquired first-year impacts reported on the October 2010 Scorecard included rebates that were processed during October, but not actually paid until November. Therefore, Total acquired first-year impacts reported on the November 2010 Scorecard also include all of the savings reported on the October Scorecard in this category. October 2010 savings are adjusted downward by the corresponding amount. There is no effect on Program-To-Date totals.

**Table 2A – Other Fuels Conversion Information**

Other Fuels Conversion Participation To Date <sup>4</sup>	Number of Measures	EEPS Incentive Amounts Paid <sup>2</sup>	Other Incentive Amounts Paid
Conversion space heating measures approved to date <sup>1</sup>	334	\$163,345	\$0
Conversion water heating measures approved to date	10	\$2,870	\$0
Conversion thermostat measures approved to date	245	\$5,335	\$0
Conversion duct sealing measures approved to date	2	\$1,200	\$0
Total conversion measures approved to date	591	\$172,750	\$0
Estimated non-incentive expenses to date attributable to fuel conversion participants <sup>3</sup>			\$12,947

**Table 2A Notes:**

<sup>1</sup> Includes furnaces, boilers, and boiler reset controls

<sup>2</sup> Based on invoices received from program implementation contractors for incentive payments made to program participants. Due to possible lag between receipt of invoices and Company payment, some incentives included herein may not yet be reflected in the Incentives and Services amounts reported.

<sup>3</sup> Estimated by prorating the non-incentive expenditures to date reported in the Cost section by the ratio of fuels conversion measure incentives paid to program participants to date compared to the total of all measure incentives paid to program participants to date as invoiced by the program implementation contractors. The data was derived from information customers provided on rebate applications. No funds have been expended marketing directly to potential fuels conversion customers nor have any special incentives been offered or given to these customers.

<sup>4</sup> Program to date information may include changes to prior period data received from the implementation contractor based on additional review and/or reconsideration of rebate eligibility decisions made in prior periods. Additional information regarding such changes is available upon request.

<b>Program Administrator (PA) and Program ID<sup>1</sup></b>	NYSEG Residential Gas HVAC Program
<b>Program Name</b>	NYSEG Residential Gas HVAC Program
<b>Program Type<sup>2</sup></b>	
<b>Total Acquired First-Year Impacts This Month<sup>3,16</sup></b>	
Net first-year annual kWh acquired this month <sup>4</sup>	N/A
Monthly Net kWh Goal (based on net first-year annual <sup>5</sup> kWh Goal)	N/A
Percent of Monthly Net kWh Goal Acquired	N/A
Net Peak <sup>6</sup> kW acquired this month	N/A
Monthly Net Peak kW Goal	N/A
Percent of Monthly Peak kW Goal Acquired	N/A
Net First-year annual therms acquired this month <sup>25</sup>	8,088.5
Monthly Net Therm Goal for 2011 <sup>22</sup>	62,739
Percent of Monthly Therm Goal Acquired	12.9
Net Lifecycle kWh acquired this month	N/A
Net Lifecycle therms acquired this month <sup>25</sup>	156,448.4
<b>Total Acquired Net First-Year Impacts To Date<sup>16,19</sup></b>	
Net first-year annual kWh acquired to date	N/A
Net first-year annual kWh acquired to date as a percent of annual goal	N/A
Net first-year annual kWh acquired to date as a percent of 8-year goal	N/A
Net cumulative kWh acquired to date	N/A
Net utility peak kW reductions acquired to date	N/A
Net utility peak kW reductions acquired to date as a percent of utility annual goal	N/A
Net utility peak kW reductions acquired to date as a percent of 8-year goal	N/A
Net NYISO peak kW reductions acquired to date	N/A
Net first-year annual therms acquired to date	1,345,578.6
Net first-year annual therms acquired to date as a percent of program goal <sup>22</sup>	71.5
Net first-year annual therms acquired to date as a percent of 8-year goal	N/A
Net cumulative therms acquired to date	1,345,578.6
<b>Total Acquired Lifecycle Impacts To Date<sup>7,16,19</sup></b>	
Net Lifecycle kWh acquired to date	N/A
Net Lifecycle therms acquired to date	26,077,767.4
<b>Committed<sup>8</sup> Impacts (not yet acquired) This Month<sup>16</sup></b>	
Net First-year annual kWh committed this month	N/A
Net Lifecycle kWh committed this month	N/A
Net Utility Peak kW committed this month	N/A
Net first-year annual therms committed this month <sup>24</sup>	0
Net Lifecycle therms committed this month <sup>24</sup>	0
Funds committed at this point in time	\$447,588
<b>Overall Impacts (Acquired &amp; Committed)<sup>16</sup></b>	
Net first-year annual kWh acquired & committed this month	N/A
Net utility peak kW acquired & committed this month	N/A
Net First-year annual therms acquired & committed this month	8,088.5

<b>Costs<sup>9,18</sup></b>	
Total program budget <sup>13, 22</sup>	\$3,897,053
General Administration	Portfolio <sup>14</sup>
Program Planning	Portfolio <sup>14</sup>
Program Marketing <sup>20</sup>	\$0
Trade Ally Training <sup>20</sup>	\$0
Incentives and Services <sup>15, 20, 21</sup>	\$2,434
Direct Program Implementation <sup>20,21</sup>	\$2,703
Program Evaluation <sup>20,21</sup>	\$0
Total expenditures to date <sup>13, 23</sup>	\$3,106,130
Percent of total budget spent to date <sup>22</sup>	79.7
<b>Participation<sup>17,19</sup></b>	
Number of program applications received to date	10,541
Number of program applications processed to date <sup>10</sup>	9,764
Number of processed applications approved to date <sup>11</sup>	8,823
Percent of applications received to date that have been processed	92.6

#### DPS Staff Notes:

<sup>1</sup> DPS Staff needs to work with utilities to develop a Program ID naming convention. However, a Program ID number is not required for the first reports. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.

<sup>2</sup> There is not currently a consistent list of program types but individual categories for common use by administrators could be developed.

<sup>3</sup> First-year savings are defined as the annual savings expected from a given measure in the first year after installation. The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. Acquired kWh savings are defined as those savings that are reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.

<sup>4</sup> Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.

<sup>5</sup> Program Administrators should make best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the monthly goals but does not want to mandate monthly goals, at least initially.

<sup>6</sup> Peak is defined uniquely for each utility.

<sup>7</sup> The lifecycle savings are tracked beginning in the year in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will be different for each month as a function of adding savings from measures installed in a given month and subtracting savings from measures installed earlier in the funding cycle that have reached the end of their useful life.

<sup>8</sup> Committed savings are defined as those for which funds have been encumbered but not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's best estimate of what they have committed. There should be some assumptions on how the administrator does that. Program administrators should forecast as accurately as possible and it should get more precise with program experience, e.g., the difference between achieved and committed should get closer over time.

<sup>9</sup> These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans. Companies should identify whether each cost item is to be recovered through the SBC surcharge, base rates, or other recovery mechanism (e.g., monthly adjustment charges).

<sup>10</sup> An application is processed once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."

<sup>11</sup> The application is approved once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which an application could be received, processed, and approved all in one day, a "1" would be counted for each step in the tracking lifecycle.

<sup>12</sup> See CO2 Reduction Values tab in Data Reporting Template 6-26-09.Xls (quarterly and annual reports only)

#### Utility Notes:

<sup>13</sup> Start-up costs are not included in the program budget or actual costs. These costs were deferred as specified on p. 13 of the April 9, 2009 Order Approving "Fast Track" Utility-Administered Gas Energy Efficiency Programs With Modifications in Cases 08-G-1012 et al, and as noted in the June 8, 2009 New York State Electric & Gas Corporation and Rochester Gas and Electric Corporation Residential Gas HVAC Program Implementation Plan. A summary of deferred costs to date is shown below:

Maximum Deferral (p. 13, April 9, 2009 Order)	\$150,518
Deferred costs	
Program marketing	\$8,301
Trade ally training	\$0
Direct program implementation	\$3,745
Evaluation	\$3,150
Total deferred costs	\$15,196
Remaining deferral allowance	\$135,322

<sup>14</sup> Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission. Although an estimate of the total Portfolio Costs was provided to the Commission on June 8, 2009 in the New York State Electric & Gas Corporation and Rochester Gas and Electric Corporation Residential Gas HVAC Program Implementation Plan, these costs were not included in the program budget, and are not included in this report of actual program expenditures.

<sup>15</sup> Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for incentive payments made to program participants. They will thus lag the actual issuance of incentive payments to program participants.

<sup>16</sup> Impacts are calculated using actual installed measures information instead of deemed savings based calculations for all measures except indirect water heaters. Impacts for indirect water heater measures will continue to be based on deemed savings until an approved formula to calculate actual installed measure based impacts is available.

<sup>17</sup> Since an application with multiple measures is separated into multiple rebates, participation reflects the number of installed measures. Applications that are identified as incomplete, erroneous, or ineligible during processing are considered "flawed". For participation counts, it is assumed that each flawed application has one measure. Measure data is not collected on flawed applications; rather, it is collected only from applications that have been determined to be eligible and complete.

<sup>18</sup> All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.

<sup>19</sup> Program to date information may include changes to prior period data received from the implementation contractor based on additional review and/or reconsideration of rebate eligibility decisions made in prior periods. Additional information regarding such changes is available upon request.

<sup>20</sup> Per request from DPS Staff during December 7, 2009 conference call, the data contained in these fields reflects the most recent month's expenditures in the respective category, not "to date" information.

<sup>21</sup> Includes program true-ups due to timing.

<sup>22</sup> Monthly and program goals and budgets include additional amounts authorized in the Order Approving Three New Energy Efficiency Portfolio Standard (EEPS) Programs and Enhancing Funding and Making Other Modifications for Other EEPS Programs, (June 24, 2010), (the "June 24 Order").

<sup>23</sup> Previous PSC Scorecard expense reporting for the residential natural gas HVAC program was based on expenditures as received on invoices from the implementation contractor. Beginning with the August 2010 scorecard, expenditures will be reported in accordance with normal accounting practice to recognize expenses in the month recorded to the general ledger.

<sup>24</sup> Net first-year annual therms and net lifecycle therms committed this month are undetermined at this time pending actual installed equipment capacity and efficiency.

<sup>25</sup> Total acquired first-year impacts reported on the October 2010 Scorecard included rebates that were processed during October, but not actually paid until November. Therefore, Total acquired first-year impacts reported on the November 2010 Scorecard also include all of the savings reported on the October Scorecard in this category. October 2010 savings are adjusted downward by the corresponding amount. There is no effect on Program-To-Date totals.

**Table 2A – Other Fuels Conversion Information**

	Number of Measures	EEPS Incentive Amounts Paid <sup>2</sup>	Other Incentive Amounts Paid
<b>Other Fuels Conversion Participation To Date<sup>4</sup></b>			
Conversion space heating measures approved to date <sup>1</sup>	418	\$275,761	\$0
Conversion water heating measures approved to date	97	\$27,540	\$0
Conversion thermostat measures approved to date	201	\$4,745	\$0
Conversion duct sealing measures approved to date	0	\$0	\$0
Total conversion measures approved to date	716	\$308,046	\$0
Estimated non-incentive expenses to date attributable to fuel conversion participants <sup>3</sup>			\$27,969

**Table 2A Notes:**

<sup>1</sup> Includes furnaces, boilers, and boiler reset controls

<sup>2</sup> Based on invoices received from program implementation contractors for incentive payments made to program participants. Due to possible lag between receipt of invoices and Company payment, some incentives included herein may not yet be reflected in the Incentives and Services amounts reported.

<sup>3</sup> Estimated by prorating the non-incentive expenditures to date reported in the Cost section by the ratio of fuels conversion measure incentives paid to program participants to date compared to the total of all measure incentives paid to program participants to date as invoiced by the program implementation contractors. The data was derived from information customers provided on rebate applications. No funds have been expended marketing directly to potential fuels conversion customers nor have any special incentives been offered or given to these customers.

<sup>4</sup> Program to date information may include changes to prior period data received from the implementation contractor based on additional review and/or reconsideration of rebate eligibility decisions made in prior periods. Additional information regarding such changes is available upon request.

Program Administrator(PA) and Program ID <sup>1</sup>	NYSEG Residential/Non-Residential Electric Multifamily Program
Program Name	NYSEG Residential/Non-Residential Electric Multifamily Program
Program Type <sup>2</sup>	Direct Install
<b>Total Acquired First-Year Impacts This Month<sup>3,15</sup></b>	
Net first-year annual kWh acquired this Month <sup>4</sup>	255,994
Monthly Net kWh Goal (based on net first-year annual <sup>5</sup> kWh Goal)	72,667
Percent of Monthly Net kWh Goal Acquired	352.28%
Net Peak <sup>6</sup> kW acquired this Month	21.9796
Monthly Net Peak kW Goal	N/A
Percent of Monthly Peak kW Goal Acquired	N/A
Net First-year annual therms acquired this month	N/A
Monthly Net Therm Goal	N/A
Percent of Monthly Therm Goal Acquired	N/A
Net Lifecycle kWh acquired this month	1,855,161
Net Lifecycle therms acquired this month	N/A
<b>Total Acquired Net First-Year Impacts To Date<sup>15</sup></b>	
Net first-year annual kWh acquired to date <sup>15</sup>	1,159,050
Net first-year annual kWh acquired to date as a percent of total program goal	66.46%
Net first-year annual kWh acquired to date as a percent of 8-year goal	N/A
Net cumulative kWh acquired to date	1,159,050
Net utility peak kW reductions acquired to date	102.826
Net utility peak kW reductions acquired to date as a percent of utility total program goal	0
Net utility peak kW reductions acquired to date as a percent of 8-year goal	N/A
Net NYISO peak kW reductions acquired to date	N/A
Net first-year annual therms acquired to date	N/A
Net first-year annual therms acquired to date as a percent of total program goal	N/A
Net first-year annual therms acquired to date as a percent of 8-year goal	N/A
Net cumulative therms acquired to date	N/A
<b>Total Acquired Lifecycle Impacts To Date<sup>7,15</sup></b>	
Net Lifecycle kWh acquired to date	9,616,877
Net Lifecycle therms acquired to date	N/A
<b>Committed<sup>8</sup> Impacts (not yet acquired) This Month<sup>15</sup></b>	
Net First-year annual kWh committed this month	442,326
Net Lifecycle kWh committed this month	3,670,346
Net Utility Peak kW committed this month	41.498
Net first-year annual therms committed this month	N/A
Net Lifecycle therms committed this month	N/A
Funds committed at this point in time	\$52,595
<b>Overall Impacts (Acquired &amp; Committed)<sup>15</sup></b>	
Net first-year annual kWh acquired & committed this month	698,320

Net utility peak kW acquired & committed this month	63,477
Net First-year annual therms acquired & committed this month	N/A
<b>Costs<sup>8, 16</sup></b>	
Total program budget	\$1,464,849
General Administration	Portfolio 13
Program Planning	Portfolio 13
Program Marketing <sup>17</sup>	\$7,000
Trade Ally Training <sup>17</sup>	\$0
Incentives and Services <sup>18, 17</sup>	\$43,798
Direct Program Implementation <sup>17</sup>	\$250
Program Evaluation <sup>17</sup>	\$959
Total expenditures to date	\$415,464
Percent of total budget spent to date	28.36%
<b>Participation</b>	
Number of program applications received to date	106
Number of program applications processed to date	89
Number of processed applications approved to date	77
Percent of applications received to date that have been processed	83.96%
<b>Quarterly Carbon Emission Reductions (in tons)</b>	
Total Acquired Net First-year Carbon Emission Reductions To Date <sup>12</sup>	N/A
Total Acquired Cumulative Net Carbon Emission Reductions To Date <sup>12</sup>	N/A

**DPS Staff Notes:**

<sup>1</sup>DPS Staff needs to work with utilities to develop a Program ID naming convention. However, a Program ID number is not required for the first report. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.

<sup>2</sup>There is not currently a consistent list of program types but individual categories for common use by administrators could be developed.

<sup>3</sup>First-year savings are defined as the annual savings expected from a given measure in the first year after installation. The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. **Acquired** kWh savings are defined as those savings that reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.

<sup>4</sup>Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.

<sup>5</sup>Program Administrators should make best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the *monthly* goals but does not want to mandate monthly goals, at least initially.

<sup>6</sup>Peak is defined uniquely for each utility.

<sup>7</sup>The lifecycle savings are tracked beginning in the *year* in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will be different for each month as a function of adding savings from measures installed in a given month and subtracting savings from measures installed earlier in the funding cycle that have reached the end of their useful life.

<sup>8</sup>Committed savings are defined as those for which funds have been encumbered by not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's best *estimate* of what they have committed. There should be some assumptions on how the administrator does that. Program administrators should forecast as accurately as possible and it should get more precise with program experience, e.g., the difference between achieved and committed should get closer over time.

<sup>9</sup>These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans.

<sup>10</sup>An application is processed once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."

<sup>11</sup>The application is approved once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which there are cases in which an application could be received, processed, and approved all in one day, then a "1" would be counted for each step in the tracking lifecycle.

<sup>12</sup>See CO<sub>2</sub> Reduction Values tab in Data Reporting Template 6-26-09.Xls (quarterly and annual reports only).

#### Utility Notes:

<sup>13</sup>Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior and subsequent energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.

<sup>14</sup>Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for installed measures incentive payments made to program participants. They will thus lag the acquired savings actual issuance of incentive payments to program participants.

<sup>15</sup>Impacts are calculated using actual installed measure information for all measures.

<sup>16</sup>All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.

<sup>16</sup>All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.

<sup>17</sup>Data contained in these fields reflects the most recent month's expenditures in the respective category, not "to date" information.

Program Administrator(PA) and Program ID <sup>1</sup>	RG&E Residential/Non-Residential Electric Multifamily Program
Program Name	RG&E Residential/Non-Residential Electric Multifamily Program
Program Type <sup>2</sup>	Direct Install
<b>Total Acquired First-Year Impacts This Month<sup>3,15</sup></b>	
Net first-year annual kWh acquired this Month <sup>4</sup>	465,957
Monthly Net kWh Goal (based on net first-year annual <sup>5</sup> kWh Goal)	67,084
Percent of Monthly Net kWh Goal Acquired	694.59%
Net Peak <sup>6</sup> kW acquired this Month	40.031
Monthly Net Peak kW Goal	N/A
Percent of Monthly Peak kW Goal Acquired	N/A
Net First-year annual therms acquired this month	N/A
Monthly Net Therm Goal	N/A
Percent of Monthly Therm Goal Acquired	N/A
Net Lifecycle kWh acquired this month	3,452,522
Net Lifecycle therms acquired this month	N/A
<b>Total Acquired Net First-Year Impacts To Date<sup>15</sup></b>	
Net first-year annual kWh acquired to date <sup>15</sup>	4,643,671
Net first-year annual kWh acquired to date as a percent of total program goal	288.43%
Net first-year annual kWh acquired to date as a percent of 8-year goal	N/A
Net cumulative kWh acquired to date	4,643,671
Net utility peak kW reductions acquired to date	429.127
Net utility peak kW reductions acquired to date as a percent of utility total program goal	0
Net utility peak kW reductions acquired to date as a percent of 8-year goal	N/A
Net NYISO peak kW reductions acquired to date	N/A
Net first-year annual therms acquired to date	N/A
Net first-year annual therms acquired to date as a percent of total program goal	N/A
Net first-year annual therms acquired to date as a percent of 8-year goal	N/A
Net cumulative therms acquired to date	N/A
<b>Total Acquired Lifecycle Impacts To Date<sup>7,15</sup></b>	
Net Lifecycle kWh acquired to date	35,682,402
Net Lifecycle therms acquired to date	N/A
<b>Committed<sup>8</sup> Impacts (not yet acquired) This Month<sup>15</sup></b>	
Net First-year annual kWh committed this month	2,629,107
Net Lifecycle kWh committed this month	19,492,636
Net Utility Peak kW committed this month	245.43
Net first-year annual therms committed this month	N/A
Net Lifecycle therms committed this month	N/A
Funds committed at this point in time	\$215,206
<b>Overall Impacts (Acquired &amp; Committed)<sup>15</sup></b>	
Net first-year annual kWh acquired & committed this month	3,095,064

Net utility peak kW acquired & committed this month	285.457
Net First-year annual therms acquired & committed this month	N/A
<b>Costs<sup>8,16</sup></b>	
Total program budget	\$1,296,472
General Administration	Portfolio13
Program Planning	Portfolio13
Program Marketing <sup>17</sup>	\$0
Trade Ally Training <sup>17</sup>	\$0
Incentives and Services <sup>14,17</sup>	\$85,781
Direct Program Implementation <sup>17</sup>	\$16,546
Program Evaluation <sup>17</sup>	\$1,222
Total expenditures to date	\$854,490
Percent of total budget spent to date	65.91%
<b>Participation</b>	
Number of program applications received to date	107
Number of program applications processed to date	94
Number of processed applications approved to date	56
Percent of applications received to date that have been processed	87.85%
<b>Quarterly Carbon Emission Reductions (in tons)</b>	
Total Acquired Net First-year Carbon Emission Reductions To Date <sup>12</sup>	N/A
Total Acquired Cumulative Net Carbon Emission Reductions To Date <sup>12</sup>	N/A

**DPS Staff Notes:**

<sup>1</sup>DPS Staff needs to work with utilities to develop a Program ID naming convention. However, a Program ID number is not required for the first report. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.

<sup>2</sup>There is not currently a consistent list of program types but individual categories for common use by administrators could be developed.

<sup>3</sup>First-year savings are defined as the annual savings expected from a given measure in the first year after installation. The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. **Acquired** kWh savings are defined as those savings that reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.

<sup>4</sup>Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.

<sup>5</sup>Program Administrators should make best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the *monthly* goals but does not want to mandate monthly goals, at least initially.

<sup>6</sup>Peak is defined uniquely for each utility.

<sup>7</sup>The lifecycle savings are tracked beginning in the *year* in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will be different for each month as a function of adding savings from measures installed in a given month and subtracting savings from measures installed earlier in the funding cycle that have reached the end of their useful life.

<sup>8</sup>Committed savings are defined as those for which funds have been encumbered by not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's best *estimate* of what they have committed. There should be some assumptions on how the administrator does that. Program administrators should forecast as accurately as possible and it should get more precise with program experience, e.g., the difference between achieved and committed should get closer over time.

<sup>9</sup>These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans.

<sup>10</sup>An application is processed once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."

<sup>11</sup>The application is approved once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which there are cases in which an application could be received, processed, and approved all in one day, then a "1" would be counted for each step in the tracking lifecycle.

<sup>12</sup>See CO<sub>2</sub> Reduction Values tab in Data Reporting Template 6-26-09.Xls (quarterly and annual reports only).

#### Utility Notes:

<sup>13</sup>Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior and subsequent energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.

<sup>14</sup>Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for installed measures incentive payments made to program participants. They will thus lag the acquired savings actual issuance of incentive payments to program participants.

<sup>15</sup>Impacts are calculated using actual installed measure information for all measures.

<sup>16</sup>All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.

<sup>16</sup>All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.

<sup>17</sup>Data contained in these fields reflects the most recent month's expenditures in the respective category, not "to date" information.

<b>Program Administrator (PA) and Program ID<sup>1</sup></b>	<b>NYSEG Empower Utility Referred Savings</b>
<b>Program Name</b>	<b>Empower Utility Gas Referred Savings</b>
<b>Program Type<sup>2</sup></b>	<b>Referral</b>
<b>Total Acquired First-Year Impacts This Month<sup>3</sup></b>	
Net first-year annual kWh acquired this month <sup>4</sup>	N/A
Monthly Net kWh Goal (based on net first-year annual <sup>5</sup> kWh Goal)	N/A
Percent of Monthly Net kWh Goal Acquired	N/A
Net Peak <sup>6</sup> kW acquired this month	N/A
Monthly Net Peak kW Goal	N/A
Percent of Monthly Peak kW Goal Acquired	N/A
Net First-year annual therms acquired this month <sup>13</sup>	N/A
Monthly Net Therm Goal for 2011	N/A
Percent of Monthly Therm Goal Acquired	N/A
Net Lifecycle kWh acquired this month	N/A
Net Lifecycle therms acquired this month <sup>13</sup>	N/A
<b>Total Acquired Net First-Year Impacts To Date</b>	
Net first-year annual kWh acquired to date <sup>13</sup>	N/A
Net first-year annual kWh acquired to date as a percent of annual goal	N/A
Net first-year annual kWh acquired to date as a percent of 8-year goal	N/A
Net cumulative kWh acquired to date <sup>13</sup>	N/A
Net utility peak kW reductions acquired to date	N/A
Net utility peak kW reductions acquired to date as a percent of utility annual goal	N/A
Net utility peak kW reductions acquired to date as a percent of 8-year goal	N/A
Net NYISO peak kW reductions acquired to date	N/A
Net first-year annual therms acquired to date <sup>13</sup>	1,579
Net first-year annual therms acquired to date as a percent of program goal	N/A
Net first-year annual therms acquired to date as a percent of 8-year goal	N/A
Net cumulative therms acquired to date <sup>13</sup>	1,579
<b>Total Acquired Lifecycle Impacts To Date<sup>7</sup></b>	
Net Lifecycle kWh acquired to date	N/A
Net Lifecycle therms acquired to date	N/A

<b>Committed<sup>8</sup> Impacts (not yet acquired) This Month</b>	
Net First-year annual kWh committed this month	N/A
Net Lifecycle kWh committed this month	N/A
Net Utility Peak kW committed this month	N/A
Net first-year annual therms committed this month	N/A
Net Lifecycle therms committed this month	N/A
Funds committed at this point in time	N/A
<b>Overall Impacts (Acquired &amp; Committed)</b>	
Net first-year annual kWh acquired & committed this month	N/A
Net utility peak kW acquired & committed this month	N/A
Net First-year annual therms acquired & committed this month	N/A
<b>Costs<sup>9</sup></b>	
Total program budget	N/A
General Administration	N/A
Program Planning	N/A
Program Marketing	N/A
Trade Ally Training	N/A
Incentives and Services	N/A
Direct Program Implementation	N/A
Program Evaluation	N/A
Total expenditures to date	N/A
Percent of total budget spent to date	N/A
<b>Participation</b>	
Number of program applications received to date <sup>14</sup>	10,204
Number of program applications processed to date <sup>10</sup>	N/A
Number of processed applications approved to date <sup>15</sup>	98
Percent of applications received to date that have been processed	N/A

**DPS Staff Notes:**

<sup>1</sup> DPS Staff needs to work with utilities to develop a Program ID naming convention. However, a Program ID number is not required for the first reports. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.

<sup>2</sup> There is not currently a consistent list of program types but individual categories for common use by administrators could be developed.

<sup>3</sup> First-year savings are defined as the annual savings expected from a given measure in the first year after installation. The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. Acquired kWh savings are defined as those savings that are reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.

<sup>4</sup> Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.

<sup>5</sup> Program Administrators should make best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the monthly goals but does not want to mandate monthly goals, at least initially.

<sup>6</sup> Peak is defined uniquely for each utility.

<sup>7</sup> The lifecycle savings are tracked beginning in the year in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will be different for each month as a function of adding savings from measures installed in a given month and subtracting savings from measures installed earlier in the funding cycle that have reached the end of their useful life.

<sup>8</sup> Committed savings are defined as those for which funds have been encumbered but not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's best estimate of what they have committed. There should be some assumptions on how the administrator does that. Program administrators should forecast as accurately as possible and it should get more precise with program experience, e.g., the difference between achieved and committed should get closer over time.

<sup>9</sup> These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans. Companies should identify whether each cost item is to be recovered through the SBC surcharge, base rates, or other recovery mechanism (e.g., monthly adjustment charges).

<sup>10</sup> An application is processed once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."

<sup>11</sup> The application is approved once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which an application could be received, processed, and approved all in one day, a "1" would be counted for each step in the tracking lifecycle.

<sup>12</sup> See CO2 Reduction Values tab in Data Reporting Template 6-26-09.Xls (quarterly and annual reports only).

#### **Utility Notes:**

<sup>13</sup> This report covers the time period January 10, 2010 to April 30, 2011

<sup>14</sup> This is the total number of customers referred to NYSERDA within the reporting time period.

<sup>15</sup> This is the number of customers participating in savings projects with NYSERDA within the reporting time period.

<b>Program Administrator (PA) and Program ID<sup>1</sup></b>	<b>RG&amp;E Empower Utility Referred Savings</b>
<b>Program Name</b>	<b>Empower Utility Gas Referred Savings</b>
<b>Program Type<sup>2</sup></b>	<b>Referral</b>
<b>Total Acquired First-Year Impacts This Month<sup>3</sup></b>	
Net first-year annual kWh acquired this month <sup>4</sup>	N/A
Monthly Net kWh Goal (based on net first-year annual <sup>5</sup> kWh Goal)	N/A
Percent of Monthly Net kWh Goal Acquired	N/A
Net Peak <sup>6</sup> kW acquired this month	N/A
Monthly Net Peak kW Goal	N/A
Percent of Monthly Peak kW Goal Acquired	N/A
Net First-year annual therms acquired this month <sup>13</sup>	N/A
Monthly Net Therm Goal for 2011	N/A
Percent of Monthly Therm Goal Acquired	N/A
Net Lifecycle kWh acquired this month	N/A
Net Lifecycle therms acquired this month <sup>13</sup>	N/A
<b>Total Acquired Net First-Year Impacts To Date</b>	
Net first-year annual kWh acquired to date <sup>13</sup>	N/A
Net first-year annual kWh acquired to date as a percent of annual goal	N/A
Net first-year annual kWh acquired to date as a percent of 8-year goal	N/A
Net cumulative kWh acquired to date <sup>13</sup>	N/A
Net utility peak kW reductions acquired to date	N/A
Net utility peak kW reductions acquired to date as a percent of utility annual goal	N/A
Net utility peak kW reductions acquired to date as a percent of 8-year goal	N/A
Net NYISO peak kW reductions acquired to date	N/A
Net first-year annual therms acquired to date <sup>13</sup>	225
Net first-year annual therms acquired to date as a percent of program goal	N/A
Net first-year annual therms acquired to date as a percent of 8-year goal	N/A
Net cumulative therms acquired to date <sup>13</sup>	225
<b>Total Acquired Lifecycle Impacts To Date<sup>7</sup></b>	
Net Lifecycle kWh acquired to date	N/A
Net Lifecycle therms acquired to date	N/A

<b>Committed<sup>8</sup> Impacts (not yet acquired) This Month</b>	
Net First-year annual kWh committed this month	N/A
Net Lifecycle kWh committed this month	N/A
Net Utility Peak kW committed this month	N/A
Net first-year annual therms committed this month	N/A
Net Lifecycle therms committed this month	N/A
Funds committed at this point in time	N/A
<b>Overall Impacts (Acquired &amp; Committed)</b>	
Net first-year annual kWh acquired & committed this month	N/A
Net utility peak kW acquired & committed this month	N/A
Net First-year annual therms acquired & committed this month	N/A
<b>Costs<sup>9</sup></b>	
Total program budget	N/A
General Administration	N/A
Program Planning	N/A
Program Marketing	N/A
Trade Ally Training	N/A
Incentives and Services	N/A
Direct Program Implementation	N/A
Program Evaluation	N/A
Total expenditures to date	N/A
Percent of total budget spent to date	N/A
<b>Participation</b>	
Number of program applications received to date <sup>14</sup>	6,971
Number of program applications processed to date <sup>10</sup>	N/A
Number of processed applications approved to date <sup>15</sup>	18
Percent of applications received to date that have been processed	N/A

**DPS Staff Notes:**

<sup>1</sup> DPS Staff needs to work with utilities to develop a Program ID naming convention. However, a Program ID number is not required for the first reports. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.

<sup>2</sup> There is not currently a consistent list of program types but individual categories for common use by administrators could be developed.

<sup>3</sup> First-year savings are defined as the annual savings expected from a given measure in the first year after installation. The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. Acquired kWh savings are defined as those savings that are reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.

<sup>4</sup> Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.

<sup>5</sup> Program Administrators should make best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the monthly goals

<sup>6</sup> Peak is defined uniquely for each utility.

<sup>7</sup> The lifecycle savings are tracked beginning in the year in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will be different for each month as a function of adding savings from measures installed in a given month and subtracting savings from measures installed earlier in the funding cycle that have reached the end of their useful life.

<sup>8</sup> Committed savings are defined as those for which funds have been encumbered but not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's best estimate of what they have committed. There should be some assumptions on how the administrator does that. Program administrators should forecast as accurately as possible and it should get more precise with program experience, e.g., the difference between achieved and committed should get closer over time.

<sup>9</sup> These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans. Companies should identify whether each cost item is to be recovered through the SBC surcharge, base rates, or other recovery mechanism (e.g., monthly adjustment charges).

<sup>10</sup> An application is processed once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."

<sup>11</sup> The application is approved once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which an application could be received, processed, and approved all in one day, a "1" would be counted for each step in the tracking lifecycle.

<sup>12</sup> See CO2 Reduction Values tab in Data Reporting Template 6-26-09.Xls (quarterly and annual reports only).

**Utility Notes:**

<sup>13</sup> This report covers the time period January 10, 2010 to April 30, 2011

<sup>14</sup> This is the total number of customers referred to NYSERDA within the reporting time period.

<sup>15</sup> This is the number of customers participating in savings projects with NYSERDA within the reporting time period.

<b>Program Administrator(PA) and Program ID<sup>1</sup></b>	<b>NYSEG Non-Residential Small Business Direct Install Program</b>
<b>Program Name</b>	<b>NYSEG Non-Residential Small Business Direct Install Program</b>
<b>Program Type<sup>2</sup></b>	Direct Install - April 2011
<b>Total Acquired First-Year Impacts This Month<sup>3,15</sup></b>	
Net first-year annual kWh acquired this Month <sup>4</sup>	1,767,949
Monthly Net kWh Goal (based on net first-year annual kWh Goal) <sup>5,20</sup>	4,421,833
Percent of Monthly Net kWh Goal Acquired	40%
<b>Net Peak<sup>6</sup> kW acquired this Month</b>	525.90
Monthly Net Peak kW Goal	N/A
Percent of Monthly Peak kW Goal Acquired	N/A
<b>Net First-year annual therms acquired this month</b>	N/A
Monthly Net Therm Goal	N/A
Percent of Monthly Therm Goal Acquired	N/A
<b>Net Lifecycle kWh acquired this month</b>	23,322,790
<b>Net Lifecycle therms acquired this month</b>	N/A
<b>Total Acquired Net First-Year Impacts To Date<sup>15,17</sup></b>	
Net first-year annual kWh acquired to date <sup>15</sup>	8,314,682
Net first-year annual kWh acquired to date as a percent of annual goal	15%
Net first-year annual kWh acquired to date as a percent of 8-year goal	N/A
Net cumulative kWh acquired to date	8,314,682
<b>Net utility peak kW reductions acquired to date</b>	2,281.80
Net utility peak kW reductions acquired to date as a percent of utility annual goal	N/A
Net utility peak kW reductions acquired to date as a percent of 8-year goal	N/A
Net NYISO peak kW reductions acquired to date	N/A
<b>Net first-year annual therms acquired to date</b>	N/A
Net first-year annual therms acquired to date as a percent of annual goal	N/A
Net first-year annual therms acquired to date as a percent of 8-year goal	N/A
Net cumulative therms acquired to date	N/A
<b>Total Acquired Lifecycle Impacts To Date<sup>7,15,17</sup></b>	
Net Lifecycle kWh acquired to date	102,581,056
Net Lifecycle therms acquired to date	N/A
<b>Committed Impacts (not yet acquired) This Month<sup>8,15</sup></b>	
Net First-year annual kWh committed this month	3,144,925
Net Lifecycle kWh committed this month	40,579,505
Net Utility Peak kW committed this month	851.41
Net first-year annual therms committed this month	N/A
Net Lifecycle therms committed this month	N/A
Funds committed at this point in time	\$945,736.69
<b>Overall Impacts (Acquired &amp; Committed)<sup>15</sup></b>	

Net first-year annual kWh acquired & committed this month	4,912,874
Net utility peak kW acquired & committed this month	1,377.31
Net First-year annual therms acquired & committed this month	N/A
<b>Costs<sup>9,16</sup></b>	
Total program budget	\$15,229,249
General Administration	Portfolio <sup>13</sup>
Program Planning	Portfolio <sup>13</sup>
Program Marketing <sup>18</sup>	
Trade Ally Training <sup>18</sup>	
Incentives and Services <sup>14, 18</sup>	\$481,817
Direct Program Implementation <sup>18</sup>	\$37,829
Program Evaluation <sup>18</sup>	\$65,894
Total expenditures to date	\$3,280,386
Percent of total budget spent to date	21.54%
<b>Participation<sup>15,17</sup></b>	
Number of program applications received to date	4,209
Number of program applications processed to date	2,081
Number of processed applications approved to date	1,258
Percent of applications received to date that have been processed	49.44%
<b>Quarterly Carbon Emission Reductions (in tons)</b>	
Total Acquired Net First-year Carbon Emission Reductions To Date <sup>12</sup>	N/A
Total Acquired Cumulative Net Carbon Emission Reductions To Date <sup>12</sup>	N/A

**DPS Staff Notes:**

<sup>1</sup>DPS Staff needs to work with utilities to develop a Program ID naming convention. However, a Program ID number is not required for the first report. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.

<sup>2</sup>There is not currently a consistent list of program types but individual categories for common use by administrators could be developed.

<sup>3</sup>First-year savings are defined as the annual savings expected from a given measure in the first year after installation. The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. **Acquired** kWh savings are defined as those savings that reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.

<sup>4</sup>Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.

<sup>5</sup>Program Administrators should make best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the *monthly* goals but does not want to mandate monthly goals, at least initially.

<sup>6</sup>Peak is defined uniquely for each utility.

<sup>7</sup>The lifecycle savings are tracked beginning in the *year* in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will be different for each month as a function of adding savings from measures installed in a given month and subtracting savings from measures installed earlier in the funding cycle that have reached the end of their useful life.

<sup>8</sup>Committed savings are defined as those for which funds have been encumbered by not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's best *estimate* of what they have committed. There should be some assumptions on how the administrator does that. Program administrators should forecast as accurately as possible and it should get more precise with program experience, e.g., the difference between achieved and committed should get closer over time.

<sup>9</sup>These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans.

<sup>10</sup>An application is processed once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."

<sup>11</sup>The application is approved once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which there are cases in which an application could be received, processed, and approved all in one day, then a "1" would be counted for each step in the tracking lifecycle.

<sup>12</sup>See CO<sub>2</sub> Reduction Values tab in Data Reporting Template 6-26-09.Xls (quarterly and annual reports only).

#### Utility Notes:

<sup>13</sup>Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior and subsequent energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.

<sup>14</sup>Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for installed measures. They will thus lag the acquired savings.

<sup>15</sup>Impacts are calculated using actual installed measure information for all measures.

<sup>16</sup>All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.

<sup>17</sup>Information contained in this monthly table may include changes to previously filed monthly scorecard tables based on additional review and/or reconsideration of rebate eligibility decisions made by the implementation contractor during prior reporting periods. Additional information regarding such changes is available upon request.

<sup>18</sup>Data contained in these fields reflects the most recent month's expenditures in the respective category, not "to date" information.

<sup>19</sup>For the purposes of this directed installation program the following definitions are used: Received applications are those for which a customer proposal is offered. The application becomes processed once the implementation contractor and customer have reviewed the proposal and the customer signs a contract to approve the direct installations. Processed applications become approved applications when the direct installations have been physically installed.

<sup>20</sup>The monthly net kwh goal is adjusted to reflect a monthly value for the remaining goal in 2010 added to the 2011 goal to arrive at the remaining program goal for 2011 reflected as the annual goal.

<b>Program Administrator(PA) and Program ID<sup>1</sup></b>	<b>RG&amp;E Non-Residential Small Business Direct Install Program</b>
<b>Program Name</b>	<b>RG&amp;E Non-Residential Small Business Direct Install Program</b>
<b>Program Type<sup>2</sup></b>	Direct Install-April 2011
<b>Total Acquired First-Year Impacts This Month<sup>3,15</sup></b>	
Net first-year annual kWh acquired this Month <sup>9</sup>	750,400
Monthly Net kWh Goal (based on net first-year annual kWh Goal) <sup>5,20</sup>	1,909,833
Percent of Monthly Net kWh Goal Acquired	39%
<b>Net Peak<sup>6</sup> kW acquired this Month</b>	223.65
Monthly Net Peak kW Goal <sup>20</sup>	N/A
Percent of Monthly Peak kW Goal Acquired	N/A
<b>Net First-year annual therms acquired this month</b>	N/A
Monthly Net Therm Goal	N/A
Percent of Monthly Therm Goal Acquired	N/A
<b>Net Lifecycle kWh acquired this month</b>	10,471,985
<b>Net Lifecycle therms acquired this month</b>	N/A
<b>Total Acquired Net First-Year Impacts To Date<sup>15,17</sup></b>	
Net first-year annual kWh acquired to date <sup>15</sup>	6,616,709
Net first-year annual kWh acquired to date as a percent of annual goal	26%
Net first-year annual kWh acquired to date as a percent of 8-year goal	N/A
Net cumulative kWh acquired to date	6,616,709
<b>Net utility peak kW reductions acquired to date</b>	1828.21
Net utility peak kW reductions acquired to date as a percent of utility annual goal	N/A
Net utility peak kW reductions acquired to date as a percent of 8-year goal	N/A
Net NYISO peak kW reductions acquired to date	N/A
<b>Net first-year annual therms acquired to date</b>	N/A
Net first-year annual therms acquired to date as a percent of annual goal	N/A
Net first-year annual therms acquired to date as a percent of 8-year goal	N/A
Net cumulative therms acquired to date	N/A
<b>Total Acquired Lifecycle Impacts To Date<sup>7,15,17</sup></b>	
Net Lifecycle kWh acquired to date	88,967,359
Net Lifecycle therms acquired to date	N/A
<b>Committed Impacts (not yet acquired) This Month<sup>8,15</sup></b>	
Net First-year annual kWh committed this month	1,071,897
Net Lifecycle kWh committed this month	14,499,785
Net Utility Peak kW committed this month	319.55
Net first-year annual therms committed this month	N/A
Net Lifecycle therms committed this month	N/A
Funds committed at this point in time	\$360,519.14
<b>Overall Impacts (Acquired &amp; Committed)<sup>15</sup></b>	
Net first-year annual kWh acquired & committed this month	1,822,298

Net utility peak kW acquired & committed this month	543.20
Net First-year annual therms acquired & committed this month	N/A
<b>Costs<sup>9,16</sup></b>	
Total program budget	\$6,938,751
General Administration	Portfolio <sup>13</sup>
Program Planning	Portfolio <sup>13</sup>
Program Marketing <sup>18</sup>	
Trade Ally Training <sup>18</sup>	
Incentives and Services <sup>14, 18</sup>	\$285,867
Direct Program Implementation <sup>18</sup>	\$16,541
Program Evaluation <sup>18</sup>	\$33,040
Total expenditures to date	\$2,439,447
Percent of total budget spent to date	35.16%
<b>Participation<sup>15,17</sup></b>	
Number of program applications received to date	3,037
Number of program applications processed to date	1,422
Number of processed applications approved to date	1,058
Percent of applications received to date that have been processed	46.82%
<b>Quarterly Carbon Emission Reductions (in tons)</b>	
Total Acquired Net First-year Carbon Emission Reductions To Date <sup>12</sup>	N/A
Total Acquired Cumulative Net Carbon Emission Reductions To Date <sup>12</sup>	N/A

#### DPS Staff Notes:

<sup>1</sup>DPS Staff needs to work with utilities to develop a Program ID naming convention. However, a Program ID number is not required for the first report. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.

<sup>2</sup>There is not currently a consistent list of program types but individual categories for common use by administrators could be developed.

<sup>3</sup>First-year savings are defined as the annual savings expected from a given measure in the first year after installation. The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. **Acquired** kWh savings are defined as those savings that reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.

<sup>4</sup>Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.

<sup>5</sup>Program Administrators should make best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the *monthly* goals but does not want to mandate monthly goals, at least initially.

<sup>6</sup>Peak is defined uniquely for each utility.

<sup>7</sup>The lifecycle savings are tracked beginning in the *year* in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will be different for each month as a function of adding savings from measures installed in a given month and subtracting savings from measures installed earlier in the funding cycle that have reached the end of their useful life.

<sup>8</sup>Committed savings are defined as those for which funds have been encumbered by not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's best *estimate* of what they have committed. There should be some assumptions on how the administrator does that. Program administrators should forecast as accurately as possible and it should get more precise with program experience, e.g., the difference between achieved and committed should get closer over time.

<sup>9</sup>These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans.

<sup>10</sup>An application is processed once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."

<sup>11</sup>The application is approved once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which there are cases in which an application could be received, processed, and approved all in one day, then a "1" would be counted for each step in the tracking lifecycle.

<sup>12</sup>See CO<sub>2</sub> Reduction Values tab in Data Reporting Template 6-26-09.Xls (quarterly and annual reports only).

#### Utility Notes:

<sup>13</sup>Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior and subsequent energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.

<sup>14</sup>Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for installed measures. They will thus lag the acquired savings.

<sup>15</sup>Impacts are calculated using actual installed measure information for all measures.

<sup>16</sup>All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.

<sup>17</sup>Information contained in this monthly table may include changes to previously filed monthly scorecard tables based on additional review and/or reconsideration of rebate eligibility decisions made by the implementation contractor during prior reporting periods. Additional information regarding such changes is available upon request.

<sup>18</sup>Data contained in these fields reflects the most recent month's expenditures in the respective category, not "to date" information.

<sup>19</sup>For the purposes of this directed installation program the following definitions are used: Received applications are those for which a customer proposal is offered. The application becomes processed once the implementation contractor and customer have reviewed the proposal and the customer signs a contract to approve the direct installations. Processed applications become approved applications when the direct installations have been physically installed.

<sup>20</sup>The monthly net kwh goal is adjusted to reflect a monthly value for the remaining goal in 2010 added to the 2011 goal to arrive at the remaining program goal for 2011 reflected as the annual goal.

<b>Program Administrator(PA) and Program ID<sup>1</sup></b>	<b>NYSEG Non-Residential Block Bidding Program</b>
<b>Program Name</b>	<b>NYSEG Non-Residential Block Bidding Program Electric</b>
<b>Program Type<sup>2</sup></b>	<b>Block Bidding</b>
<b>Total Acquired First-Year Impacts This Month<sup>3, 13</sup></b>	
Net first-year annual kWh acquired this Month <sup>4</sup>	0
Monthly Net kWh Goal <sup>17</sup> (based on net first-year annual <sup>5</sup> kWh Goal)	N/A
Percent of Monthly Net kWh Goal Acquired	N/A
<b>Net Peak<sup>6</sup> kW acquired this Month</b>	<b>0</b>
Monthly Net Peak kW Goal	N/A
Percent of Monthly Peak kW Goal Acquired	N/A
<b>Net First-year annual therms acquired this month</b>	<b>N/A</b>
Monthly Net Therm Goal	N/A
Percent of Monthly Therm Goal Acquired	N/A
<b>Net Lifecycle kWh acquired this month</b>	<b>0</b>
<b>Net Lifecycle therms acquired this month</b>	<b>N/A</b>
<b>Total Acquired Net First-Year Impacts To Date<sup>13</sup></b>	
Net first-year annual kWh acquired to date	499,345
Net first-year annual kWh acquired to date as a percent of annual goal <sup>18</sup>	9%
Net first-year annual kWh acquired to date as a percent of 8-year goal	N/A
Net cumulative kWh acquired to date	499,345
Net utility peak kW reductions acquired to date	113
Net utility peak kW reductions acquired to date as a percent of utility annual goal	N/A
Net utility peak kW reductions acquired to date as a percent of 8-year goal	N/A
Net NYISO peak kW reductions acquired to date	N/A
<b>Net first-year annual therms acquired to date</b>	<b>N/A</b>
Net first-year annual therms acquired to date as a percent of annual goal	N/A
Net first-year annual therms acquired to date as a percent of 8-year goal	N/A
Net cumulative therms acquired to date	N/A
<b>Total Acquired Lifecycle Impacts To Date<sup>1, 13</sup></b>	
Net Lifecycle kWh acquired to date	3,495,415
Net Lifecycle therms acquired to date	N/A
<b>Committed<sup>6, 13</sup> Impacts (not yet acquired) This Month</b>	
Net First-year annual kWh committed this month	0
Net Lifecycle kWh committed this month	0
Net Utility Peak kW committed this month	0
Net first-year annual therms committed this month	N/A
Net Lifecycle therms committed this month	N/A
Funds committed at this point in time	\$195,699
<b>Overall Impacts (Acquired &amp; Committed)</b>	
Net first-year annual kWh acquired & committed this month	-
Net utility peak kW acquired & committed this month	-
Net First-year annual therms acquired & committed this month	N/A
<b>Costs<sup>9</sup></b>	
Total program budget	\$3,181,000
General Administration <sup>14</sup>	0
Program Planning	0
Program Marketing	0
Trade Ally Training	0
Incentives and Services <sup>15</sup>	\$98,053
Direct Program Implementation	\$14,994
Program Evaluation	0
Total expenditures to date	\$235,459
Percent of total budget spent to date	7.40%
<b>Participation</b>	

Number of measures <sup>16</sup> offered on customer proposals to date	16
Number of measures on signed customer contracts to date	16
Number of measures installed to date	0
Percent of proposed measures in signed customer contracts	100.00%
<b>Quarterly Carbon Emission Reductions (in tons)</b>	N/A
Total Acquired Net First-year Carbon Emission Reductions To Date <sup>12</sup>	N/A
Total Acquired Cumulative Net Carbon Emission Reductions To Date <sup>12</sup>	N/A
<b>DPS Staff Notes:</b>	
<sup>1</sup> DPS Staff needs to work with utilities to develop a Program ID naming convention. However, a Program ID number is not required for the first report. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.	
<sup>2</sup> There is not currently a consistent list of program types but individual categories for common use by administrators could be developed.	
<sup>3</sup> First-year savings are defined as the annual savings expected from a given measure in the first year after installation. The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. <b>Acquired</b> kWh savings are defined as those savings that reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.	
<sup>4</sup> Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.	
<sup>5</sup> Program Administrators should make best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the <i>monthly</i> goals but does not want to mandate monthly goals, at least initially.	
<sup>6</sup> Peak is defined uniquely for each utility.	
<sup>7</sup> The lifecycle savings are tracked beginning in the <i>year</i> in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will be different for each month as a function of adding savings from measures installed in a given month and subtracting savings from measures installed earlier in the funding cycle that have reached the end of their useful life.	
<sup>8</sup> Committed savings are defined as those for which funds have been encumbered but not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's best <i>estimate</i> of what they have committed. There should be some assumptions on how the administrator does that. Program administrators should forecast as accurately as possible and it should get more precise with program experience, e.g., the difference between achieved and committed should get closer over time.	
<sup>9</sup> These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans.	
<sup>10</sup> An application is processed once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."	
<sup>11</sup> The application is approved once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which there are cases in which an application could be received, processed, and approved all in one day, then a "1" would be counted for each step in the tracking lifecycle.	
<sup>12</sup> See CO <sub>2</sub> Reduction Values tab in Data Reporting Template 6-26-09.Xls (quarterly and annual reports only).	
<b>Utility Notes:</b>	
<sup>13</sup> Due to the unique nature of the Block Bidding Program, Acquired savings are reported for the month that the completion of the installation of the energy savings measure is validated and approved by the Company. Funds committed represent energy savings that have been committed in the Service Agreements that have been signed for selected bids. Additional energy savings will be Acquired through 2011 based on project schedules agreed to in the Service Agreements.	
<sup>14</sup> Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.	
<sup>15</sup> Incentives and services costs are reported based on Company payment of invoices received from program contractors and/or Bidders/Suppliers.	
<sup>16</sup> The number of measures represents the number of customer host locations proposed by the accepted bidders.	
<sup>17</sup> Due to the non-uniform acquisition of energy savings under the Block Bidding Program, the Program does not have defined Monthly goals.	
<sup>18</sup> The Block Bidding Program did not acquire energy savings in 2010. Therefore, the 2010 program goal has been added to the 2011 program goal for a combined 2011 program goal of 5676 mWhs.	

<b>Program Administrator(PA) and Program ID<sup>1</sup></b>	<b>RG&amp;E Non-Residential Block Bidding Program</b>
<b>Program Name</b>	<b>RG&amp;E Non-Residential Block Bidding Program Electric</b>
<b>Program Type<sup>2</sup></b>	Block Bidding
<b>Total Acquired First-Year Impacts This Month<sup>3, 13</sup></b>	
Net first-year annual kWh acquired this Month <sup>4</sup>	0
Monthly Net kWh Goal <sup>17</sup> (based on net first-year annual <sup>5</sup> kWh Goal)	N/A
Percent of Monthly Net kWh Goal Acquired	N/A
Net Peak <sup>6</sup> kW acquired this Month	0
Monthly Net Peak kW Goal	N/A
Percent of Monthly Peak kW Goal Acquired	N/A
Net First-year annual therms acquired this month	N/A
Monthly Net Therm Goal	N/A
Percent of Monthly Therm Goal Acquired	N/A
Net Lifecycle kWh acquired this month	0
Net Lifecycle therms acquired this month	N/A
<b>Total Acquired Net First-Year Impacts To Date<sup>13</sup></b>	
Net first-year annual kWh acquired to date	5,126,490
Net first-year annual kWh acquired to date as a percent of annual goal <sup>18</sup>	90.32%
Net first-year annual kWh acquired to date as a percent of 8-year goal	N/A
Net cumulative kWh acquired to date	5,126,490
Net utility peak kW reductions acquired to date	992
Net utility peak kW reductions acquired to date as a percent of utility annual goal	N/A
Net utility peak kW reductions acquired to date as a percent of 8-year goal	N/A
Net NYISO peak kW reductions acquired to date	N/A
Net first-year annual therms acquired to date	N/A
Net first-year annual therms acquired to date as a percent of annual goal	N/A
Net first-year annual therms acquired to date as a percent of 8-year goal	N/A
Net cumulative therms acquired to date	N/A
<b>Total Acquired Lifecycle Impacts To Date<sup>6, 13</sup></b>	
Net Lifecycle kWh acquired to date	67,490,385
Net Lifecycle therms acquired to date	N/A
<b>Committed<sup>8, 13</sup> Impacts (not yet acquired) This Month</b>	
Net First-year annual kWh committed this month	0
Net Lifecycle kWh committed this month	0
Net Utility Peak kW committed this month	0
Net first-year annual therms committed this month	N/A
Net Lifecycle therms committed this month	N/A
Funds committed at this point in time	\$1,157,468
<b>Overall Impacts (Acquired &amp; Committed)</b>	
Net first-year annual kWh acquired & committed this month	-
Net utility peak kW acquired & committed this month	-
Net First-year annual therms acquired & committed this month	N/A
<b>Costs<sup>9</sup></b>	
Total program budget	\$3,280,000
General Administration <sup>14</sup>	0
Program Planning	0
Program Marketing	0
Trade Ally Training	0
Incentives and Services <sup>15</sup>	\$24,461
Direct Program Implementation	\$16,474
Program Evaluation	0
Total expenditures to date	\$1,149,327
Percent of total budget spent to date	35.04%
<b>Participation</b>	

Number of measures <sup>16</sup> offered on customer proposals to date	24
Number of measures on signed customer contracts to date	23
Number of measures installed to date	0
Percent of proposed measures in signed customer contracts	95.83%
<b>Quarterly Carbon Emission Reductions (in tons)</b>	N/A
Total Acquired Net First-year Carbon Emission Reductions To Date <sup>12</sup>	N/A
Total Acquired Cumulative Net Carbon Emission Reductions To Date <sup>12</sup>	N/A
<b>DPS Staff Notes:</b>	
<sup>1</sup> DPS Staff needs to work with utilities to develop a Program ID naming convention. However, a Program ID number is not required for the first report. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.	
<sup>2</sup> There is not currently a consistent list of program types but individual categories for common use by administrators could be developed.	
<sup>3</sup> First-year savings are defined as the annual savings expected from a given measure in the first year after installation. The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. <i>Acquired</i> kWh savings are defined as those savings that reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.	
<sup>4</sup> Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.	
<sup>5</sup> Program Administrators should make best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the <i>monthly</i> goals but does not want to mandate monthly goals, at least initially.	
<sup>6</sup> Peak is defined uniquely for each utility.	
<sup>7</sup> The lifecycle savings are tracked beginning in the <i>year</i> in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will be different for each month as a function of adding savings from measures installed in a given month and subtracting savings from measures installed earlier in the funding cycle that have reached the end of their useful life.	
<sup>8</sup> Committed savings are defined as those for which funds have been encumbered but not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's best <i>estimate</i> of what they have committed. There should be some assumptions on how the administrator does that. Program administrators should forecast as accurately as possible and it should get more precise with program experience, e.g., the difference between achieved and committed should get closer over time.	
<sup>9</sup> These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans.	
<sup>10</sup> An application is processed once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."	
<sup>11</sup> The application is approved once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which there are cases in which an application could be received, processed, and approved all in one day, then a "1" would be counted for each step in the tracking lifecycle.	
<sup>12</sup> See CO <sub>2</sub> Reduction Values tab in Data Reporting Template 6-26-09.Xls (quarterly and annual reports only).	
<b>Utility Notes:</b>	
<sup>13</sup> Due to the unique nature of the Block Bidding Program, Acquired savings are reported for the month that the completion of the installation of the energy savings measure is validated and approved by the Company. Funds committed represent energy savings that have been committed in the Service Agreements that have been signed for selected bids. Additional energy savings will be Acquired through 2011 based on project schedules agreed to in the Service Agreements.	
<sup>14</sup> Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.	
<sup>15</sup> Incentives and services costs are reported based on Company payment of invoices received from program contractors and/or Bidders/Suppliers.	
<sup>16</sup> The number of measures represents the number of customer host locations proposed by the accepted bidders.	
<sup>17</sup> Due to the non-uniform acquisition of energy savings under the Block Bidding Program, the Program does not have defined Monthly goals.	
<sup>18</sup> The Block Bidding Program did not acquire energy savings in 2010. Therefore, the 2010 program goal has been added to the 2011 program goal for a combined 2011 program goal of 5676 mWhs.	

Program Administrator (PA) and Program ID <sup>1</sup>	NYSEG Non-Residential Commercial & Industrial Rebate Program
Program Name	NYSEG Non-Residential Commercial & Industrial PRESCRIPTIVE Program
Program Type <sup>2</sup>	Prescriptive ELECTRIC Rebate
Total Acquired First-Year Impacts This Month <sup>4,15</sup>	
Net first-year annual kWh acquired this Month <sup>4</sup>	28,832
Monthly Net kWh Goal (based on net first-year annual <sup>5</sup> kWh Goal)	463,000
Percent of Monthly Net kWh Goal Acquired	6.23%
Net Peak <sup>6</sup> kW acquired this Month	2
Monthly Net Peak kW Goal	NA
Percent of Monthly Peak kW Goal Acquired	NA
Net First-year annual therms acquired this month	NA
Monthly Net Therm Goal	NA
Percent of Monthly Therm Goal Acquired	NA
Net Lifecycle kWh acquired this month	576644.4
Net Lifecycle therms acquired this month	NA
Total Acquired Net First-Year Impacts To Date <sup>15,17</sup>	
Net first-year annual kWh acquired to date <sup>15</sup>	325,323
Net first-year annual kWh acquired to date as a percent of total program goal <sup>19</sup>	5.86%
Net first-year annual kWh acquired to date as a percent of 8-year goal	NA
Net cumulative kWh acquired to date	325,323
Net utility peak kW reductions acquired to date	72.18
Net utility peak kW reductions acquired to date as a percent of utility total program goal <sup>19</sup>	NA
Net utility peak kW reductions acquired to date as a percent of 8-year goal	NA
Net NYISO peak kW reductions acquired to date	NA
Net first-year annual therms acquired to date	NA
Net first-year annual therms acquired to date as a percent of total program goal <sup>19</sup>	NA
Net first-year annual therms acquired to date as a percent of 8-year goal	NA
Net cumulative therms acquired to date	NA
Total Acquired Lifecycle Impacts To Date <sup>7,15,17</sup>	
Net Lifecycle kWh acquired to date	5,117,323
Net Lifecycle therms acquired to date	NA
Committed <sup>8</sup> Impacts (not yet acquired) This Month <sup>15</sup>	
Net First-year annual kWh committed this month	0
Net Lifecycle kWh committed this month	0
Net Utility Peak kW committed this month	0
Net first-year annual therms committed this month	NA
Net Lifecycle therms committed this month	NA
Funds committed at this point in time	\$0
Overall Impacts (Acquired & Committed) <sup>15</sup>	
Net first-year annual kWh acquired & committed this month	28,832
Net utility peak kW acquired & committed this month	2
Net First-year annual therms acquired & committed this month	NA

<b>Costs<sup>9,16</sup></b>	
Total program budget	\$2,610,016
General Administration <sup>13</sup>	Portfolio
Program Planning <sup>13</sup>	Portfolio
Program Marketing <sup>18</sup>	\$623
Trade Ally Training <sup>18</sup>	\$0
Incentives and Services <sup>14,18</sup>	\$7,845
Direct Program Implementation <sup>18</sup>	\$23,604
Program Evaluation <sup>18</sup>	-\$823
Total expenditures to date <sup>17</sup>	\$264,528
Percent of total budget spent to date	10.14%
<b>Participation<sup>15, 17</sup></b>	
Number of program applications received to date	24
Number of program applications processed to date	20
Number of processed applications approved to date	8
Percent of applications received to date that have been processed	83.33%
<b>DPS Staff Notes:</b>	
<sup>1</sup> DPS Staff needs to work with utilities and NYSEERDA to develop a Program ID naming convention. However, a Program ID number is not required for the first report. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.	
<sup>2</sup> There is not currently a consistent list of program type but individual categories for common use by administrators could be developed.	
<sup>3</sup> First-year savings are defined as the annual savings expected from a given measure in the first year after installation (See Definition #1 in <i>Savings Definitions</i> Tab). The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. <i>Acquired</i> kWh savings are defined as those savings that reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.	
<sup>4</sup> Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.	
<sup>5</sup> Program Administrators should make a best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the monthly goals but does not want to mandate monthly goals, at least initially.	
<sup>6</sup> Peak is defined uniquely for each utility.	
<sup>7</sup> The lifecycle savings are tracked beginning in the <i>year</i> in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will differ for each month as a function of adding savings from measures installed in a given month and savings from measures installed earlier in the funding cycle that have reached the end of their useful life are no longer accumulated.	
<sup>8</sup> Committed savings are defined as those for which funds have been encumbered by not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's best <i>estimate</i> of what they have committed. Program administrators should forecast as accurately as possible and forecasts should get more precise with program experience, i.e., the difference between achieved and committed should narrow over time.	

<sup>9</sup>These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans.

Companies should identify whether each cost item is to be recovered through the SBC surcharge, base rates, or other recovery mechanism (e.g., monthly adjustment charges).

<sup>10</sup>An application is *processed* once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."

<sup>11</sup>The application is *approved* once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which there are cases in which an application could be received, processed, and approved all in one day, then a "1" would be counted for each step in the tracking lifecycle.

<sup>12</sup>See *CO<sub>2</sub> Reduction Values* tab.

#### Utility Notes:

<sup>13</sup> Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior and subsequent energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.

<sup>14</sup> Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for incentive payments made to program participants. They will thus lag the actual issuance of incentive payments to program participants.

<sup>15</sup> Impacts are calculated using actual installed measure information for all measures.

<sup>16</sup> All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.

<sup>17</sup> Information presented here may include changes to previously filed monthly scorecard tables based on: review of additional information; reconsideration of eligibility decisions made by the implementation contractor during prior reporting periods, or other factors.

<sup>18</sup> Data contained in these fields reflects the most recent month's expenditures in the respective category, as reported in the companies' accounting systems, not "to date" information.

<sup>19</sup> Effective February 9, 2011, Staff requested that Program Administrators report their Net Savings as a percent of the total program goal through 2011, as it is set forth in the relevant Commission Order or, for certain programs, the approved Operating Plan, whichever is appropriate. Since most programs are in their second year of implementation, comparing achievements to date against an annual goal would distort a program's achievements and would no longer provide the meaningful information that Staff and the EAG had intended when they developed the Reporting Guidelines.

Program Administrator (PA) and Program ID <sup>1</sup>	NYSEG Non-Residential Commercial & Industrial Rebate Program
Program Name	NYSEG Non-Residential Commercial & Industrial PRESCRIPTIVE Program
Program Type <sup>2</sup>	Prescriptive GAS Rebate
Total Acquired First-Year Impacts This Month <sup>3,15</sup>	
Net first-year annual kWh acquired this Month <sup>4</sup>	NA
Monthly Net kWh Goal (based on net first-year annual <sup>5</sup> kWh Goal)	NA
Percent of Monthly Net kWh Goal Acquired	NA
Net Peak <sup>6</sup> kW acquired this Month	NA
Monthly Net Peak kW Goal	NA
Percent of Monthly Peak kW Goal Acquired	NA
Net First-year annual therms acquired this month	25,547
Monthly Net Therm Goal	7,180
Percent of Monthly Therm Goal Acquired	355.81%
Net Lifecycle kWh acquired this month	NA
Net Lifecycle therms acquired this month	510,948
Total Acquired Net First-Year Impacts To Date <sup>15,17</sup>	
Net first-year annual kWh acquired to date <sup>15</sup>	NA
Net first-year annual kWh acquired to date as a percent of total program goal <sup>19</sup>	NA
Net first-year annual kWh acquired to date as a percent of 8-year goal	NA
Net cumulative kWh acquired to date	NA
Net utility peak kW reductions acquired to date	NA
Net utility peak kW reductions acquired to date as a percent of utility total program goal <sup>19</sup>	NA
Net utility peak kW reductions acquired to date as a percent of 8-year goal	NA
Net NYISO peak kW reductions acquired to date	NA
Net first-year annual therms acquired to date	81,370
Net first-year annual therms acquired to date as a percent of total program goal <sup>19</sup>	62.30%
Net first-year annual therms acquired to date as a percent of 8-year goal	NA
Net cumulative therms acquired to date	81,370
Total Acquired Lifecycle Impacts To Date <sup>7,15,17</sup>	
Net Lifecycle kWh acquired to date	NA
Net Lifecycle therms acquired to date	1,627,407
Committed <sup>8</sup> Impacts (not yet acquired) This Month <sup>15</sup>	
Net First-year annual kWh committed this month	NA
Net Lifecycle kWh committed this month	NA
Net Utility Peak kW committed this month	NA
Net first-year annual therms committed this month	0
Net Lifecycle therms committed this month	0
Funds committed at this point in time	\$0
Overall Impacts (Acquired & Committed) <sup>15</sup>	
Net first-year annual kWh acquired & committed this month	NA
Net utility peak kW acquired & committed this month	NA
Net First-year annual therms acquired & committed this month	25,547

<b>Costs<sup>9,16</sup></b>	
Total program budget	\$614,218
General Administration <sup>13</sup>	Portfolio
Program Planning <sup>13</sup>	Portfolio
Program Marketing <sup>18</sup>	\$148
Trade Ally Training <sup>18</sup>	\$0
Incentives and Services <sup>14,18</sup>	\$34,500
Direct Program Implementation <sup>18</sup>	\$11,370
Program Evaluation <sup>18</sup>	-\$636
Total expenditures to date <sup>17</sup>	\$226,111
Percent of total budget spent to date	36.81%
<b>Participation<sup>15, 17</sup></b>	
Number of program applications received to date	47
Number of program applications processed to date	43
Number of processed applications approved to date	40
Percent of applications received to date that have been processed	91.49%
<b>DPS Staff Notes:</b>	
<sup>1</sup> DPS Staff needs to work with utilities and NYSERDA to develop a Program ID naming convention. However, a Program ID number is not required for the first report. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.	
<sup>2</sup> There is not currently a consistent list of program type but individual categories for common use by administrators could be developed.	
<sup>3</sup> First-year savings are defined as the annual savings expected from a given measure in the first year after installation (See Definition #1 in <i>Savings Definitions</i> Tab). The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. <i>Acquired</i> kWh savings are defined as those savings that reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.	
<sup>4</sup> Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.	
<sup>5</sup> Program Administrators should make a best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the monthly goals but does not want to mandate monthly goals, at least initially.	
<sup>6</sup> Peak is defined uniquely for each utility.	
<sup>7</sup> The lifecycle savings are tracked beginning in the <i>year</i> in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will differ for each month as a function of adding savings from measures installed in a given month and savings from measures installed earlier in the funding cycle that have reached the end of their useful life are no longer accumulated.	
<sup>8</sup> Committed savings are defined as those for which funds have been encumbered by not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's best <i>estimate</i> of what they have committed. Program administrators should forecast as accurately as possible and forecasts should get more precise with program experience, i.e., the difference between achieved and committed should narrow over time.	

<sup>9</sup>These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans.

Companies should identify whether each cost item is to be recovered through the SBC surcharge, base rates, or other recovery mechanism (e.g., monthly adjustment charges).

<sup>10</sup> An application is *processed* once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."

<sup>11</sup> The application is *approved* once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which there are cases in which an application could be received, processed, and approved all in one day, then a "1" would be counted for each step in the tracking lifecycle.

<sup>12</sup> See *CO<sub>2</sub> Reduction Values* tab.

#### Utility Notes:

<sup>13</sup> Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior and subsequent energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.

<sup>14</sup> Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for incentive payments made to program participants. They will thus lag the actual issuance of incentive payments to program participants.

<sup>15</sup> Impacts are calculated using actual installed measure information for all measures.

<sup>16</sup> All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.

<sup>17</sup> Information presented here may include changes to previously filed monthly scorecard tables based on: review of additional information; reconsideration of eligibility decisions made by the implementation contractor during prior reporting periods, or other factors.

<sup>18</sup> Data contained in these fields reflects the most recent month's expenditures in the respective category, as reported in the companies' accounting systems, not "to date" information.

<sup>19</sup> Effective February 9, 2011, Staff requested that Program Administrators report their Net Savings as a percent of the total program goal through 2011, as it is set forth in the relevant Commission Order or, for certain programs, the approved Operating Plan, whichever is appropriate. Since most programs are in their second year of implementation, comparing achievements to date against an annual goal would distort a program's achievements and would no longer provide the meaningful information that Staff and the EAG had intended when they developed the Reporting Guidelines.

Program Administrator (PA) and Program ID <sup>1</sup>	NYSEG Non-Residential Commercial & Industrial Rebate Program
Program Name	NYSEG Non-Residential Commercial & Industrial CUSTOM Program
Program Type <sup>2</sup>	Custom ELECTRIC Rebate
Total Acquired First-Year Impacts This Month <sup>1,15</sup>	
Net first-year annual kWh acquired this Month <sup>4</sup>	0
Monthly Net kWh Goal (based on net first-year annual <sup>5</sup> kWh Goal)	1,303,000
Percent of Monthly Net kWh Goal Acquired	0.00%
Net Peak <sup>6</sup> kW acquired this Month	0
Monthly Net Peak kW Goal	N/A
Percent of Monthly Peak kW Goal Acquired	N/A
Net First-year annual therms acquired this month	N/A
Monthly Net Therm Goal	N/A
Percent of Monthly Therm Goal Acquired	N/A
Net Lifecycle kWh acquired this month	0
Net Lifecycle therms acquired this month	N/A
Total Acquired Net First-Year Impacts To Date <sup>15,17</sup>	
Net first-year annual kWh acquired to date <sup>15</sup>	353,971
Net first-year annual kWh acquired to date as a percent of total program goal <sup>19</sup>	2.26%
Net first-year annual kWh acquired to date as a percent of 8-year goal	N/A
Net cumulative kWh acquired to date	353,971
Net utility peak kW reductions acquired to date	89.65
Net utility peak kW reductions acquired to date as a percent of utility total program goal <sup>19</sup>	N/A
Net utility peak kW reductions acquired to date as a percent of 8-year goal	N/A
Net NYISO peak kW reductions acquired to date	N/A
Net first-year annual therms acquired to date	N/A
Net first-year annual therms acquired to date as a percent of total program goal <sup>19</sup>	N/A
Net first-year annual therms acquired to date as a percent of 8-year goal	N/A
Net cumulative therms acquired to date	N/A
Total Acquired Lifecycle Impacts To Date <sup>7,15,17</sup>	
Net Lifecycle kWh acquired to date	5,223,721
Net Lifecycle therms acquired to date	N/A
Committed <sup>8</sup> Impacts (not yet acquired) This Month <sup>15</sup>	
Net First-year annual kWh committed this month	0
Net Lifecycle kWh committed this month	0
Net Utility Peak kW committed this month	0
Net first-year annual therms committed this month	N/A
Net Lifecycle therms committed this month	N/A
Funds committed at this point in time	\$0
Overall Impacts (Acquired & Committed) <sup>15</sup>	
Net first-year annual kWh acquired & committed this month	0
Net utility peak kW acquired & committed this month	0
Net First-year annual therms acquired & committed this month	N/A

<b>Costs<sup>9,16</sup></b>	
Total program budget	\$5,862,000
General Administration <sup>13</sup>	Portfolio
Program Planning <sup>13</sup>	Portfolio
Program Marketing <sup>18</sup>	\$1,092
Trade Ally Training <sup>18</sup>	\$0
Incentives and Services <sup>14,18</sup>	\$125,378
Direct Program Implementation <sup>18</sup>	\$32,584
Program Evaluation <sup>18</sup>	-\$9,600
Total expenditures to date <sup>17</sup>	\$511,740
Percent of total budget spent to date	8.73%
<b>Participation<sup>15, 17</sup></b>	
Number of program applications received to date	36
Number of program applications processed to date	29
Number of processed applications approved to date	14
Percent of applications received to date that have been processed	80.56%
<b>DPS Staff Notes:</b>	
<sup>1</sup> DPS Staff needs to work with utilities and NYSERDA to develop a Program ID naming convention. However, a Program ID number is not required for the first report. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.	
<sup>2</sup> There is not currently a consistent list of program type but individual categories for common use by administrators could be developed.	
<sup>3</sup> First-year savings are defined as the annual savings expected from a given measure in the first year after installation (See Definition #1 in <i>Savings Definitions</i> Tab). The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. <b>Acquired</b> kWh savings are defined as those savings that reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.	
<sup>4</sup> Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.	
<sup>5</sup> Program Administrators should make a best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the monthly goals but does not want to mandate monthly goals, at least initially.	
<sup>6</sup> Peak is defined uniquely for each utility.	
<sup>7</sup> The lifecycle savings are tracked beginning in the <i>year</i> in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will differ for each month as a function of adding savings from measures installed in a given month and savings from measures installed earlier in the funding cycle that have reached the end of their useful life are no longer accumulated.	
<sup>8</sup> Committed savings are defined as those for which funds have been encumbered by not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's best <i>estimate</i> of what they have committed. Program administrators should forecast as accurately as possible and forecasts should get more precise with program experience, i.e., the difference between achieved and committed should narrow over time.	

<sup>9</sup>These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans.

Companies should identify whether each cost item is to be recovered through the SBC surcharge, base rates, or other recovery mechanism (e.g., monthly adjustment charges).

<sup>10</sup>An application is *processed* once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."

<sup>11</sup>The application is *approved* once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which there are cases in which an application could be received, processed, and approved all in one day, then a "1" would be counted for each step in the tracking lifecycle.

<sup>12</sup>See *CO<sub>2</sub> Reduction Values* tab.

#### Utility Notes:

<sup>13</sup> Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior and subsequent energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.

<sup>14</sup> Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for incentive payments made to program participants. They will thus lag the actual issuance of incentive payments to program participants.

<sup>15</sup> Impacts are calculated using actual installed measure information for all measures.

<sup>16</sup> All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.

<sup>17</sup> Information presented here may include changes to previously filed monthly scorecard tables based on: review of additional information; reconsideration of eligibility decisions made by the implementation contractor during prior reporting periods, or other factors.

<sup>18</sup> Data contained in these fields reflects the most recent month's expenditures in the respective category, as reported in the companies' accounting systems, not "to date" information.

<sup>19</sup> Effective February 9, 2011, Staff requested that Program Administrators report their Net Savings as a percent of the total program goal through 2011, as it is set forth in the relevant Commission Order or, for certain programs, the approved Operating Plan, whichever is appropriate. Since most programs are in their second year of implementation, comparing achievements to date against an annual goal would distort a program's achievements and would no longer provide the meaningful information that Staff and the EAG had intended when they developed the Reporting Guidelines.

Program Administrator (PA) and Program ID <sup>1</sup>	NYSEG Non-Residential Commercial & Industrial Rebate Program
Program Name	NYSEG Non-Residential Commercial & Industrial CUSTOM Program
Program Type <sup>2</sup>	Custom GAS Rebate
Total Acquired First-Year Impacts This Month <sup>3,15</sup>	
Net first-year annual kWh acquired this Month <sup>4</sup>	NA
Monthly Net kWh Goal (based on net first-year annual <sup>3</sup> kWh Goal)	NA
Percent of Monthly Net kWh Goal Acquired	NA
Net Peak <sup>6</sup> kW acquired this Month	NA
Monthly Net Peak kW Goal	NA
Percent of Monthly Peak kW Goal Acquired	NA
Net First-year annual therms acquired this month	0
Monthly Net Therm Goal	10,270
Percent of Monthly Therm Goal Acquired	0.00%
Net Lifecycle kWh acquired this month	NA
Net Lifecycle therms acquired this month	0
Total Acquired Net First-Year Impacts To Date <sup>15,17</sup>	
Net first-year annual kWh acquired to date <sup>15</sup>	NA
Net first-year annual kWh acquired to date as a percent of total program goal <sup>19</sup>	NA
Net first-year annual kWh acquired to date as a percent of 8-year goal	NA
Net cumulative kWh acquired to date	NA
Net utility peak kW reductions acquired to date	NA
Net utility peak kW reductions acquired to date as a percent of utility total program goal <sup>19</sup>	NA
Net utility peak kW reductions acquired to date as a percent of 8-year goal	NA
Net NYISO peak kW reductions acquired to date	NA
Net first-year annual therms acquired to date	2,043
Net first-year annual therms acquired to date as a percent of total program goal <sup>19</sup>	1.66%
Net first-year annual therms acquired to date as a percent of 8-year goal	NA
Net cumulative therms acquired to date	2,043
Total Acquired Lifecycle Impacts To Date <sup>7,15,17</sup>	
Net Lifecycle kWh acquired to date	NA
Net Lifecycle therms acquired to date	21,384
Committed <sup>8</sup> Impacts (not yet acquired) This Month <sup>15</sup>	
Net First-year annual kWh committed this month	NA
Net Lifecycle kWh committed this month	NA
Net Utility Peak kW committed this month	NA
Net first-year annual therms committed this month	0
Net Lifecycle therms committed this month	0
Funds committed at this point in time	\$0
Overall Impacts (Acquired & Committed) <sup>15</sup>	
Net first-year annual kWh acquired & committed this month	NA
Net utility peak kW acquired & committed this month	NA
Net First-year annual therms acquired & committed this month	0

<b>Costs<sup>9,16</sup></b>	
Total program budget	\$552,062
General Administration <sup>13</sup>	Portfolio
Program Planning <sup>13</sup>	Portfolio
Program Marketing <sup>18</sup>	\$115
Trade Ally Training <sup>18</sup>	\$0
Incentives and Services <sup>14,18</sup>	\$5,716
Direct Program Implementation <sup>18</sup>	\$2,581
Program Evaluation <sup>18</sup>	-\$1,600
Total expenditures to date <sup>17</sup>	\$42,076
Percent of total budget spent to date	7.62%
<b>Participation<sup>15, 17</sup></b>	
Number of program applications received to date	7
Number of program applications processed to date	4
Number of processed applications approved to date	3
Percent of applications received to date that have been processed	57.14%
<b>DPS Staff Notes:</b>	
<sup>1</sup> DPS Staff needs to work with utilities and NYSERDA to develop a Program ID naming convention. However, a Program ID number is not required for the first report. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.	
<sup>2</sup> There is not currently a consistent list of program type but individual categories for common use by administrators could be developed.	
<sup>3</sup> First-year savings are defined as the annual savings expected from a given measure in the first year after installation (See Definition #1 in <i>Savings Definitions</i> Tab). The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. <b>Acquired</b> kWh savings are defined as those savings that reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.	
<sup>4</sup> Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.	
<sup>5</sup> Program Administrators should make a best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the monthly goals but does not want to mandate monthly goals, at least initially.	
<sup>6</sup> Peak is defined uniquely for each utility.	
<sup>7</sup> The lifecycle savings are tracked beginning in the year in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will differ for each month as a function of adding savings from measures installed in a given month and savings from measures installed earlier in the funding cycle that have reached the end of their useful life are no longer accumulated.	
<sup>8</sup> Committed savings are defined as those for which funds have been encumbered by not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's best estimate of what they have committed. Program administrators should forecast as accurately as possible and forecasts should get more precise with program experience, i.e., the difference between achieved and committed should narrow over time.	

<sup>9</sup>These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans.

Companies should identify whether each cost item is to be recovered through the SBC surcharge, base rates, or other recovery mechanism (e.g., monthly adjustment charges).

<sup>10</sup>An application is *processed* once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."

<sup>11</sup>The application is *approved* once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which there are cases in which an application could be received, processed, and approved all in one day, then a "1" would be counted for each step in the tracking lifecycle.

<sup>12</sup>See *CO<sub>2</sub> Reduction Values* tab.

#### Utility Notes:

<sup>13</sup> Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior and subsequent energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.

<sup>14</sup> Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for incentive payments made to program participants. They will thus lag the actual issuance of incentive payments to program participants.

<sup>15</sup> Impacts are calculated using actual installed measure information for all measures.

<sup>16</sup> All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.

<sup>17</sup> Information presented here may include changes to previously filed monthly scorecard tables based on: review of additional information; reconsideration of eligibility decisions made by the implementation contractor during prior reporting periods, or other factors.

<sup>18</sup> Data contained in these fields reflects the most recent month's expenditures in the respective category, as reported in the companies' accounting systems, not "to date" information.

<sup>19</sup> Effective February 9, 2011, Staff requested that Program Administrators report their Net Savings as a percent of the total program goal through 2011, as it is set forth in the relevant Commission Order or, for certain programs, the approved Operating Plan, whichever is appropriate. Since most programs are in their second year of implementation, comparing achievements to date against an annual goal would distort a program's achievements and would no longer provide the meaningful information that Staff and the EAG had intended when they developed the Reporting Guidelines.

Program Administrator (PA) and Program ID <sup>1</sup>	RG&E Non-Residential Commercial & Industrial Rebate Program
Program Name	RG&E Non-Residential Commercial & Industrial PRESCRIPTIVE Program
Program Type <sup>2</sup>	Prescriptive ELECTRIC Rebate
Total Acquired First-Year Impacts This Month <sup>4,15</sup>	
Net first-year annual kWh acquired this Month <sup>4</sup>	0
Monthly Net kWh Goal (based on net first-year annual <sup>5</sup> kWh Goal)	336,000
Percent of Monthly Net kWh Goal Acquired	0.00%
Net Peak <sup>6</sup> kW acquired this Month	0
Monthly Net Peak kW Goal	NA
Percent of Monthly Peak kW Goal Acquired	NA
Net First-year annual therms acquired this month	NA
Monthly Net Therm Goal	NA
Percent of Monthly Therm Goal Acquired	NA
Net Lifecycle kWh acquired this month	0
Net Lifecycle therms acquired this month	NA
Total Acquired Net First-Year Impacts To Date <sup>15,17</sup>	
Net first-year annual kWh acquired to date <sup>15</sup>	134,584
Net first-year annual kWh acquired to date as a percent of total program goal <sup>19</sup>	3.33%
Net first-year annual kWh acquired to date as a percent of 8-year goal	NA
Net cumulative kWh acquired to date	134,584
Net utility peak kW reductions acquired to date	33.35
Net utility peak kW reductions acquired to date as a percent of utility total program goal <sup>19</sup>	NA
Net utility peak kW reductions acquired to date as a percent of 8-year goal	NA
Net NYISO peak kW reductions acquired to date	NA
Net first-year annual therms acquired to date	NA
Net first-year annual therms acquired to date as a percent of total program goal <sup>19</sup>	NA
Net first-year annual therms acquired to date as a percent of 8-year goal	NA
Net cumulative therms acquired to date	NA
Total Acquired Lifecycle Impacts To Date <sup>7,15,17</sup>	
Net Lifecycle kWh acquired to date	1,874,842
Net Lifecycle therms acquired to date	NA
Committed <sup>8</sup> Impacts (not yet acquired) This Month <sup>15</sup>	
Net First-year annual kWh committed this month	0
Net Lifecycle kWh committed this month	0
Net Utility Peak kW committed this month	0
Net first-year annual therms committed this month	NA
Net Lifecycle therms committed this month	NA
Funds committed at this point in time	\$0
Overall Impacts (Acquired & Committed) <sup>15</sup>	
Net first-year annual kWh acquired & committed this month	0
Net utility peak kW acquired & committed this month	0
Net First-year annual therms acquired & committed this month	NA

<b>Costs<sup>9,16</sup></b>	
Total program budget	\$1,714,652
General Administration <sup>13</sup>	Portfolio
Program Planning <sup>13</sup>	Portfolio
Program Marketing <sup>18</sup>	\$80
Trade Ally Training <sup>18</sup>	\$0
Incentives and Services <sup>14,18</sup>	\$35,000
Direct Program Implementation <sup>18</sup>	\$8,723
Program Evaluation <sup>18</sup>	-\$96
Total expenditures to date <sup>17</sup>	\$190,553
Percent of total budget spent to date	11.11%
<b>Participation<sup>15, 17</sup></b>	
Number of program applications received to date	9
Number of program applications processed to date	6
Number of processed applications approved to date	5
Percent of applications received to date that have been processed	66.67%
<b>DPS Staff Notes:</b>	
<sup>1</sup> DPS Staff needs to work with utilities and NYSERDA to develop a Program ID naming convention. However, a Program ID number is not required for the first report. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.	
<sup>2</sup> There is not currently a consistent list of program type but individual categories for common use by administrators could be developed.	
<sup>3</sup> First-year savings are defined as the annual savings expected from a given measure in the first year after installation (See Definition #1 in <i>Savings Definitions</i> Tab). The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. <i>Acquired</i> kWh savings are defined as those savings that reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.	
<sup>4</sup> Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.	
<sup>5</sup> Program Administrators should make a best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the monthly goals but does not want to mandate monthly goals, at least initially.	
<sup>6</sup> Peak is defined uniquely for each utility.	
<sup>7</sup> The lifecycle savings are tracked beginning in the <i>year</i> in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will differ for each month as a function of adding savings from measures installed in a given month and savings from measures installed earlier in the funding cycle that have reached the end of their useful life are no longer accumulated.	
<sup>8</sup> Committed savings are defined as those for which funds have been encumbered by not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's best <i>estimate</i> of what they have committed. Program administrators should forecast as accurately as possible and forecasts should get more precise with program experience, i.e., the difference between achieved and committed should narrow over time.	

<sup>9</sup>These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans.

Companies should identify whether each cost item is to be recovered through the SBC surcharge, base rates, or other recovery mechanism (e.g., monthly adjustment charges).

<sup>10</sup>An application is *processed* once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."

<sup>11</sup>The application is *approved* once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which there are cases in which an application could be received, processed, and approved all in one day, then a "1" would be counted for each step in the tracking lifecycle.

<sup>12</sup>See *CO<sub>2</sub> Reduction Values* tab.

#### Utility Notes:

<sup>13</sup> Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior and subsequent energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.

<sup>14</sup> Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for incentive payments made to program participants. They will thus lag the actual issuance of incentive payments to program participants.

<sup>15</sup> Impacts are calculated using actual installed measure information for all measures.

<sup>16</sup> All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.

<sup>17</sup> Information presented here may include changes to previously filed monthly scorecard tables based on: review of additional information; reconsideration of eligibility decisions made by the implementation contractor during prior reporting periods, or other factors.

<sup>18</sup> Data contained in these fields reflects the most recent month's expenditures in the respective category, as reported in the companies' accounting systems, not "to date" information.

<sup>19</sup> Effective February 9, 2011, Staff requested that Program Administrators report their Net Savings as a percent of the total program goal through 2011, as it is set forth in the relevant Commission Order or, for certain programs, the approved Operating Plan, whichever is appropriate. Since most programs are in their second year of implementation, comparing achievements to date against an annual goal would distort a program's achievements and would no longer provide the meaningful information that Staff and the EAG had intended when they developed the Reporting Guidelines.

Program Administrator (PA) and Program ID <sup>1</sup>	RG&E Non-Residential Commercial & Industrial Rebate Program
Program Name	RG&E Non-Residential Commercial & Industrial PRESCRIPTIVE Program
Program Type <sup>2</sup>	Prescriptive GAS Rebate
Total Acquired First-Year Impacts This Month <sup>3,15</sup>	
Net first-year annual kWh acquired this Month <sup>4</sup>	NA
Monthly Net kWh Goal (based on net first-year annual <sup>5</sup> kWh Goal)	NA
Percent of Monthly Net kWh Goal Acquired	NA
Net Peak <sup>6</sup> kW acquired this Month	NA
Monthly Net Peak kW Goal	NA
Percent of Monthly Peak kW Goal Acquired	NA
Net First-year annual therms acquired this month	29,142
Monthly Net Therm Goal	7,680
Percent of Monthly Therm Goal Acquired	379.45%
Net Lifecycle kWh acquired this month	NA
Net Lifecycle therms acquired this month	582,831
Total Acquired Net First-Year Impacts To Date <sup>15,17</sup>	
Net first-year annual kWh acquired to date <sup>15</sup>	NA
Net first-year annual kWh acquired to date as a percent of total program goal <sup>19</sup>	NA
Net first-year annual kWh acquired to date as a percent of 8-year goal	NA
Net cumulative kWh acquired to date	NA
Net utility peak kW reductions acquired to date	NA
Net utility peak kW reductions acquired to date as a percent of utility total program goal <sup>19</sup>	NA
Net utility peak kW reductions acquired to date as a percent of 8-year goal	NA
Net NYISO peak kW reductions acquired to date	NA
Net first-year annual therms acquired to date	76,705
Net first-year annual therms acquired to date as a percent of total program goal <sup>19</sup>	56.94%
Net first-year annual therms acquired to date as a percent of 8-year goal	NA
Net cumulative therms acquired to date	76,705
Total Acquired Lifecycle Impacts To Date <sup>15,17</sup>	
Net Lifecycle kWh acquired to date	NA
Net Lifecycle therms acquired to date	1,534,098
Committed <sup>8</sup> Impacts (not yet acquired) This Month <sup>15</sup>	
Net First-year annual kWh committed this month	NA
Net Lifecycle kWh committed this month	NA
Net Utility Peak kW committed this month	NA
Net first-year annual therms committed this month	0
Net Lifecycle therms committed this month	0
Funds committed at this point in time	\$0
Overall Impacts (Acquired & Committed) <sup>15</sup>	
Net first-year annual kWh acquired & committed this month	NA
Net utility peak kW acquired & committed this month	NA
Net First-year annual therms acquired & committed this month	29,142

<b>Costs<sup>9,16</sup></b>	
Total program budget	\$606,014
General Administration <sup>13</sup>	Portfolio
Program Planning <sup>13</sup>	Portfolio
Program Marketing <sup>18</sup>	\$28
Trade Ally Training <sup>18</sup>	\$0
Incentives and Services <sup>14,18</sup>	\$2,475
Direct Program Implementation <sup>18</sup>	-\$49
Program Evaluation <sup>18</sup>	-\$558
Total expenditures to date <sup>17</sup>	\$148,701
Percent of total budget spent to date	24.54%
<b>Participation<sup>15, 17</sup></b>	
Number of program applications received to date	44
Number of program applications processed to date	31
Number of processed applications approved to date	28
Percent of applications received to date that have been processed	70.45%
<b>DPS Staff Notes:</b>	
<sup>1</sup> DPS Staff needs to work with utilities and NYSERDA to develop a Program ID naming convention. However, a Program ID number is not required for the first report. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.	
<sup>2</sup> There is not currently a consistent list of program type but individual categories for common use by administrators could be developed.	
<sup>3</sup> First-year savings are defined as the annual savings expected from a given measure in the first year after installation (See Definition #1 in <i>Savings Definitions</i> Tab). The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. <i>Acquired</i> kWh savings are defined as those savings that reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.	
<sup>4</sup> Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.	
<sup>5</sup> Program Administrators should make a best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the monthly goals but does not want to mandate monthly goals, at least initially.	
<sup>6</sup> Peak is defined uniquely for each utility.	
<sup>7</sup> The lifecycle savings are tracked beginning in the <i>year</i> in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will differ for each month as a function of adding savings from measures installed in a given month and savings from measures installed earlier in the funding cycle that have reached the end of their useful life are no longer accumulated.	
<sup>8</sup> Committed savings are defined as those for which funds have been encumbered by not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's best <i>estimate</i> of what they have committed. Program administrators should forecast as accurately as possible and forecasts should get more precise with program experience, i.e., the difference between achieved and committed should narrow over time.	

<sup>9</sup>These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans.

Companies should identify whether each cost item is to be recovered through the SBC surcharge, base rates, or other recovery mechanism (e.g., monthly adjustment charges).

<sup>10</sup>An application is *processed* once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."

<sup>11</sup>The application is *approved* once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which there are cases in which an application could be received, processed, and approved all in one day, then a "1" would be counted for each step in the tracking lifecycle.

<sup>12</sup>See *CO<sub>2</sub> Reduction Values* tab.

#### Utility Notes:

<sup>13</sup> Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior and subsequent energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.

<sup>14</sup> Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for incentive payments made to program participants. They will thus lag the actual issuance of incentive payments to program participants.

<sup>15</sup> Impacts are calculated using actual installed measure information for all measures.

<sup>16</sup> All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.

<sup>17</sup> Information presented here may include changes to previously filed monthly scorecard tables based on: review of additional information; reconsideration of eligibility decisions made by the implementation contractor during prior reporting periods, or other factors.

<sup>18</sup> Data contained in these fields reflects the most recent month's expenditures in the respective category, as reported in the companies' accounting systems, not "to date" information.

<sup>19</sup> Effective February 9, 2011, Staff requested that Program Administrators report their Net Savings as a percent of the total program goal through 2011, as it is set forth in the relevant Commission Order or, for certain programs, the approved Operating Plan, whichever is appropriate. Since most programs are in their second year of implementation, comparing achievements to date against an annual goal would distort a program's achievements and would no longer provide the meaningful information that Staff and the EAG had intended when they developed the Reporting Guidelines.

Program Administrator (PA) and Program ID <sup>1</sup>	RG&E Non-Residential Commercial & Industrial Rebate Program
Program Name	RG&E Non-Residential Commercial & Industrial CUSTOM Program
Program Type <sup>2</sup>	Custom ELECTRIC Rebate
Total Acquired First-Year Impacts This Month <sup>3,15</sup>	
Net first-year annual kWh acquired this Month <sup>4</sup>	0
Monthly Net kWh Goal (based on net first-year annual <sup>5</sup> kWh Goal)	799,000
Percent of Monthly Net kWh Goal Acquired	0.00%
Net Peak <sup>6</sup> kW acquired this Month	0
Monthly Net Peak kW Goal	NA
Percent of Monthly Peak kW Goal Acquired	NA
Net First-year annual therms acquired this month	NA
Monthly Net Therm Goal	NA
Percent of Monthly Therm Goal Acquired	NA
Net Lifecycle kWh acquired this month	0
Net Lifecycle therms acquired this month	NA
Total Acquired Net First-Year Impacts To Date <sup>15,17</sup>	
Net first-year annual kWh acquired to date <sup>15</sup>	0
Net first-year annual kWh acquired to date as a percent of total program goal <sup>19</sup>	0.00%
Net first-year annual kWh acquired to date as a percent of 8-year goal	NA
Net cumulative kWh acquired to date	0
Net utility peak kW reductions acquired to date	0
Net utility peak kW reductions acquired to date as a percent of utility total program goal <sup>19</sup>	NA
Net utility peak kW reductions acquired to date as a percent of 8-year goal	NA
Net NYISO peak kW reductions acquired to date	NA
Net first-year annual therms acquired to date	NA
Net first-year annual therms acquired to date as a percent of total program goal <sup>19</sup>	NA
Net first-year annual therms acquired to date as a percent of 8-year goal	NA
Net cumulative therms acquired to date	NA
Total Acquired Lifecycle Impacts To Date <sup>7,15,17</sup>	
Net Lifecycle kWh acquired to date	0
Net Lifecycle therms acquired to date	NA
Committed <sup>8</sup> Impacts (not yet acquired) This Month <sup>15</sup>	
Net First-year annual kWh committed this month	0
Net Lifecycle kWh committed this month	0
Net Utility Peak kW committed this month	0
Net first-year annual therms committed this month	NA
Net Lifecycle therms committed this month	NA
Funds committed at this point in time	\$0
Overall Impacts (Acquired & Committed) <sup>15</sup>	
Net first-year annual kWh acquired & committed this month	0
Net utility peak kW acquired & committed this month	0
Net First-year annual therms acquired & committed this month	NA

<b>Costs<sup>9,16</sup></b>	
Total program budget	\$3,712,000
General Administration <sup>13</sup>	Portfolio
Program Planning <sup>13</sup>	Portfolio
Program Marketing <sup>18</sup>	\$139
Trade Ally Training <sup>18</sup>	\$0
Incentives and Services <sup>14,18</sup>	\$0
Direct Program Implementation <sup>18</sup>	\$16,139
Program Evaluation <sup>18</sup>	-\$5,501
Total expenditures to date <sup>17</sup>	\$202,236
Percent of total budget spent to date	5.45%
<b>Participation<sup>15, 17</sup></b>	
Number of program applications received to date	6
Number of program applications processed to date	5
Number of processed applications approved to date	0
Percent of applications received to date that have been processed	83.33%
<b>DPS Staff Notes:</b>	
<sup>1</sup> DPS Staff needs to work with utilities and NYSERDA to develop a Program ID naming convention. However, a Program ID number is not required for the first report. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.	
<sup>2</sup> There is not currently a consistent list of program type but individual categories for common use by administrators could be developed.	
<sup>3</sup> First-year savings are defined as the annual savings expected from a given measure in the first year after installation (See Definition #1 in <i>Savings Definitions</i> Tab). The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. <i>Acquired</i> kWh savings are defined as those savings that reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.	
<sup>4</sup> Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.	
<sup>5</sup> Program Administrators should make a best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the monthly goals but does not want to mandate monthly goals, at least initially.	
<sup>6</sup> Peak is defined uniquely for each utility.	
<sup>7</sup> The lifecycle savings are tracked beginning in the <i>year</i> in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will differ for each month as a function of adding savings from measures installed in a given month and savings from measures installed earlier in the funding cycle that have reached the end of their useful life are no longer accumulated.	
<sup>8</sup> Committed savings are defined as those for which funds have been encumbered by not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's best <i>estimate</i> of what they have committed. Program administrators should forecast as accurately as possible and forecasts should get more precise with program experience, i.e., the difference between achieved and committed should narrow over time.	

<sup>9</sup>These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans.

Companies should identify whether each cost item is to be recovered through the SBC surcharge, base rates, or other recovery mechanism (e.g., monthly adjustment charges).

<sup>10</sup>An application is *processed* once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."

<sup>11</sup>The application is *approved* once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which there are cases in which an application could be received, processed, and approved all in one day, then a "1" would be counted for each step in the tracking lifecycle.

<sup>12</sup>See *CO<sub>2</sub> Reduction Values* tab.

#### Utility Notes:

<sup>13</sup> Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior and subsequent energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.

<sup>14</sup> Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for incentive payments made to program participants. They will thus lag the actual issuance of incentive payments to program participants.

<sup>15</sup> Impacts are calculated using actual installed measure information for all measures.

<sup>16</sup> All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.

<sup>17</sup> Information presented here may include changes to previously filed monthly scorecard tables based on: review of additional information reconsideration of eligibility decisions made by the implementation contractor during prior reporting periods, or other factors.

<sup>18</sup> Data contained in these fields reflects the most recent month's expenditures in the respective category, as reported in the companies' accounting systems, not "to date" information.

<sup>19</sup> Effective February 9, 2011, Staff requested that Program Administrators report their Net Savings as a percent of the total program goal through 2011, as it is set forth in the relevant Commission Order or, for certain programs, the approved Operating Plan, whichever is appropriate. Since most programs are in their second year of implementation, comparing achievements to date against an annual goal would distort a program's achievements and would no longer provide the meaningful information that Staff and the EAG had intended when they developed the Reporting Guidelines.

Program Administrator (PA) and Program ID <sup>1</sup>	RG&E Non-Residential Commercial & Industrial Rebate Program
Program Name	RG&E Non-Residential Commercial & Industrial CUSTOM Program
Program Type <sup>2</sup>	Custom GAS Rebate
Total Acquired First-Year Impacts This Month <sup>3,15</sup>	
Net first-year annual kWh acquired this Month <sup>4</sup>	NA
Monthly Net kWh Goal (based on net first-year annual <sup>5</sup> kWh Goal)	NA
Percent of Monthly Net kWh Goal Acquired	NA
Net Peak <sup>6</sup> kW acquired this Month	NA
Monthly Net Peak kW Goal	NA
Percent of Monthly Peak kW Goal Acquired	NA
Net First-year annual therms acquired this month	0
Monthly Net Therm Goal	10,040
Percent of Monthly Therm Goal Acquired	0.00%
Net Lifecycle kWh acquired this month	NA
Net Lifecycle therms acquired this month	0
Total Acquired Net First-Year Impacts To Date <sup>15,17</sup>	
Net first-year annual kWh acquired to date <sup>15</sup>	NA
Net first-year annual kWh acquired to date as a percent of total program goal <sup>19</sup>	NA
Net first-year annual kWh acquired to date as a percent of 8-year goal	NA
Net cumulative kWh acquired to date	NA
Net utility peak kW reductions acquired to date	NA
Net utility peak kW reductions acquired to date as a percent of utility total program goal <sup>19</sup>	NA
Net utility peak kW reductions acquired to date as a percent of 8-year goal	NA
Net NYISO peak kW reductions acquired to date	NA
Net first-year annual therms acquired to date	0
Net first-year annual therms acquired to date as a percent of total program goal <sup>19</sup>	0.00%
Net first-year annual therms acquired to date as a percent of 8-year goal	NA
Net cumulative therms acquired to date	0
Total Acquired Lifecycle Impacts To Date <sup>7,15,17</sup>	
Net Lifecycle kWh acquired to date	NA
Net Lifecycle therms acquired to date	0
Committed <sup>8</sup> Impacts (not yet acquired) This Month <sup>15</sup>	
Net First-year annual kWh committed this month	NA
Net Lifecycle kWh committed this month	NA
Net Utility Peak kW committed this month	NA
Net first-year annual therms committed this month	0
Net Lifecycle therms committed this month	0
Funds committed at this point in time	\$0
Overall Impacts (Acquired & Committed) <sup>15</sup>	
Net first-year annual kWh acquired & committed this month	NA
Net utility peak kW acquired & committed this month	NA
Net First-year annual therms acquired & committed this month	0

<b>Costs<sup>9,16</sup></b>	
Total program budget	\$553,100
General Administration <sup>13</sup>	Portfolio
Program Planning <sup>13</sup>	Portfolio
Program Marketing <sup>18</sup>	\$22
Trade Ally Training <sup>18</sup>	\$0
Incentives and Services <sup>14,18</sup>	\$0
Direct Program Implementation <sup>18</sup>	\$2,578
Program Evaluation <sup>18</sup>	-\$920
Total expenditures to date <sup>17</sup>	\$36,998
Percent of total budget spent to date	6.69%
<b>Participation<sup>15,17</sup></b>	
Number of program applications received to date	2
Number of program applications processed to date	2
Number of processed applications approved to date	0
Percent of applications received to date that have been processed	100.00%
<b>DPS Staff Notes:</b>	
<sup>1</sup> DPS Staff needs to work with utilities and NYSEDA to develop a Program ID naming convention. However, a Program ID number is not required for the first report. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.	
<sup>2</sup> There is not currently a consistent list of program type but individual categories for common use by administrators could be developed.	
<sup>3</sup> First-year savings are defined as the annual savings expected from a given measure in the first year after installation (See Definition #1 in <i>Savings Definitions</i> Tab). The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. <i>Acquired</i> kWh savings are defined as those savings that reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.	
<sup>4</sup> Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.	
<sup>5</sup> Program Administrators should make a best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the monthly goals but does not want to mandate monthly goals, at least initially.	
<sup>6</sup> Peak is defined uniquely for each utility.	
<sup>7</sup> The lifecycle savings are tracked beginning in the <i>year</i> in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will differ for each month as a function of adding savings from measures installed in a given month and savings from measures installed earlier in the funding cycle that have reached the end of their useful life are no longer accumulated.	
<sup>8</sup> Committed savings are defined as those for which funds have been encumbered by not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's best <i>estimate</i> of what they have committed. Program administrators should forecast as accurately as possible and forecasts should get more precise with program experience, i.e., the difference between achieved and committed should narrow over time.	

<sup>9</sup>These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans.

Companies should identify whether each cost item is to be recovered through the SBC surcharge, base rates, or other recovery mechanism (e.g., monthly adjustment charges).

<sup>10</sup> An application is *processed* once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."

<sup>11</sup>The application is *approved* once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which there are cases in which an application could be received, processed, and approved all in one day, then a "1" would be counted for each step in the tracking lifecycle.

<sup>12</sup>See *CO<sub>2</sub> Reduction Values* tab.

#### Utility Notes:

<sup>13</sup> Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior and subsequent energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.

<sup>14</sup> Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for incentive payments made to program participants. They will thus lag the actual issuance of incentive payments to program participants.

<sup>15</sup> Impacts are calculated using actual installed measure information for all measures.

<sup>16</sup> All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.

<sup>17</sup> Information presented here may include changes to previously filed monthly scorecard tables based on: review of additional information; reconsideration of eligibility decisions made by the implementation contractor during prior reporting periods, or other factors.

<sup>18</sup> Data contained in these fields reflects the most recent month's expenditures in the respective category, as reported in the companies' accounting systems, not "to date" information.

<sup>19</sup> Effective February 9, 2011, Staff requested that Program Administrators report their Net Savings as a percent of the total program goal through 2011, as it is set forth in the relevant Commission Order or, for certain programs, the approved Operating Plan, whichever is appropriate. Since most programs are in their second year of implementation, comparing achievements to date against an annual goal would distort a program's achievements and would no longer provide the meaningful information that Staff and the EAG had intended when they developed the Reporting Guidelines.