Appendix H - Table 3

Chapter 56, Chapter 14 and Other Infraction Categories: 2014* Major Water Companies

Description		Αqua		PAWC		Class A"
	#	%	#	%	#	%
Billing and Payment (56.2-Def. of Billing Month, 56.2-Def. of Billing Period, 56.11, 56.15, 56.21, 56.22, 56.24 and 56.25)	5	5%	66	28%	0	0%
Meter Reading (56.12)	0	0%	2	1%	0	0%
Make-Up Bills (56.14, 57.24, 59.22 and 65.9 (C))	1	1%	11	5%	0	0%
Transfer of Accounts (56.16, 56.72 and Secretarial Letter re: third-party request for service)	1	1%	5	2%	0	0%
Credit Standards and Deposits (56.31-37, 56.41, 56.42, 56.51 and 56.53)	7	7%	2	1%	0	0%
Termination Grounds (56.2-Def. of Delinquent Acct., 56.81-83 and 56.100)	4	4%	10	4%	2	40%
Termination Procedures (56.91-97, 56.112 and 56.114)	21	20%	15	6%	0	0%
Reconnection of Service (56.115 and 56.191(a)-(c))	0	0%	0	0%	0	0%
Liability - Responsibility for Bills (56.2-Def. of Applicant, 56.2-Def. of Customer and 56.191(d)-(e))	0	0%	0	0%	0	0%
Landlord/Ratepayer (1521-1533)	0	0%	1	<1%	0	0%
Dispute Handling (56.2-Def. of Dispute, 56.141-152 and 56.181)	61	58%	101	43%	1	20%
Other (56.1, 56.71, 56.202 and 65.7(b))	5	5%	20	9%	2	40%
Total	105		233		5	

* # equals the number of verified infractions identified by BCS as of Sept. 25, 2015.

% equals the percent of the total number of infractions for a particular company.

Appendix H – Table 4 Chapter 63 Infraction Categories: 2014* Major Local Telephone Companies

Description	Centu	ryLink	Com	ntier mon- alth		izon rth	Ver P	izon A		nd- eam
	#	%	#	%	#	%	#	%	#	%
Complaint Procedures (63.15)	6	24%	3	15%	3	14%	34	12%	6	22%
Service Records (63.22)	6	24%	8	40%	0	0%	12	4%	7	26%
Customer Trouble Reports (63.57)	10	40%	8	40%	17	81%	216	77%	12	44%
Installation of Service (63.58)	0	0%	0	0%	0	0%	0	0%	0	0%
Migration (63.201-221)	2	8%	1	5%	0	0%	9	3%	1	4%
Other (63.23 and 63.53)	1	4%	0	0%	1	5%	9	3%	1	4%
Total	25		20		21		280		27	

* # equals the number of verified infractions identified by BCS as of Sept. 25, 2015. % equals the percent of the total number of infractions for a particular company.

Appendix H – Table 5 Chapter 64 Infraction Categories: 2014* Major Local Telephone Companies

Description	Centu	ryLink	Com	ntier mon- alth		izon rth		izon A		nd- eam
	#	%	#	%	#	%	#	%	#	%
Billing and Payment (64.1, 64.12-14, 64.16, 64.18- 19 and 64.24)	5	20%	7	22%	0	0%	31	32%	0	0%
Slamming and Cramming (64.23)	0	0%	5	16%	0	0%	0	0%	0	0%
Credit and Deposits (64.32-34 and 64.36)	0	0%	0	0%	0	0%	1	1%	0	0%
Suspension and Termination (64.63, 64.71-74, 64.81, 64.121, 64.123, 64.133 and 64.181)	3	12%	4	13%	0	0%	6	6%	1	9%
Dispute Procedures (64.141 and 64.153)	16	64%	16	50%	7	78%	52	53%	7	64%
Other (64.2(ii), 64.52-53 and 1501)	1	4%	0	0%	2	22%	8	8%	3	27%
Total	25		32		9		98		11	

* # equals the number of verified infractions identified by BCS as of Sept. 25, 2015. % equals the percent of the total number of infractions for a particular company.

Consumer Access to the Public Utility Commission

The Pennsylvania Public Utility Commission (PUC) provides access to consumers through the following telephone numbers:

PUC Hotline: 1-800-692-7380 (toll free)

Consumers can also reach the Commission by mail at the following address:

Pennsylvania Public Utility Commission P.O. Box 3265 Harrisburg, PA 17105-3265

Information about the PUC is available on the Internet:

www.puc.pa.gov





PUBLIC UTILITY COMMISSION



Exhibit 1131 Part 4



Utility Consumer Activities Report and Evaluation 2015

December 2016

Published by:

Pennsylvania Public Utility Commission PO Box 3265 Harrisburg, PA 17105-3265 www.puc.pa.gov

> Bureau of Consumer Services Alexis Bechtel, Director

Exhibit 1131 Part 4

Table of Contents

1. Consumer Contacts to the Bureau of Consumer Services (BCS)

Consumer Rating of BCS Service	2
Total Volume of Consumer Complaints and Payment Arrangement Requests to	
BCS in 2015	3
Consumer Complaints by Industry 2014-15	4
Payment Arrangement Requests by Industry 2014-15	7
Categories of 2015 Inquiries	8

2. Performance Measures

Consumer Complaint Rate	12
Justified Consumer Complaint Rate	
Response Time to Consumer Complaints	13
Payment Arrangement Request Rate	13
Justified Payment Arrangement Request Rate	13
Response Time to Payment Arrangement Requests	14
Infraction Rate	14
Termination Rate	15
BCS Performance Measures and Industry Chapters	15
Universal Service and Energy Conservation Programs	16

3. Electric Industry

Consumer Complaints	17
Payment Arrangement Requests	
Termination and Reconnection of Service	
Compliance	26

4. Natural Gas Industry

Consumer Complaints	
Payment Arrangement Requests	
Termination and Reconnection of Service	
Compliance	

5. Water Industry

Consumer Complaints	39
Payment Arrangement Requests	43
Termination and Reconnection of Service	
Compliance	
Programs That Assist Low-Income Customers	

6. Telephone Industry

Consumer Complaints	
Payment Agreement Requests	
Termination of Service	
Compliance	
Universal Service Programs	
Lifeline and Lifeline 135 Service	
Universal Telephone Assistance Program	
Automatic Notification Program	
Wireless ETC Designation	
Glossary of Terms	64

Appendices

A. Consumer Ratings of BCS Service	
B. Total Volume of Consumer Complaints and Payment Arran to BCS in 2014-15	-
C. 2015 Residential Informal Complaints (Consumer Complain Arrangement Requests) for Companies Not Included in Ind	
D. Classification of Consumer Complaints 1. Electric, Gas & Water	
E. Consumer Complaint Categories: 2015 Table 1 - Major Electric Table 2 - Major Gas Table 3 - Major Water Table 4 - Major Telephone	79 80
F. 2014-15 Residential Consumer Complaint Statistics Table 1 - Major Electric Table 2 - Major Gas Table 3 - Major Water Table 4 - Major Telephone	
G. 2014-15 Residential Payment Arrangement Request Statistic Table 1 - Major Electric Table 2 - Major Gas Table 3 - Major Water Table 4 - Major Telephone	
H. Infraction Categories: 2015 Table 1 - Major Electric Table 2 - Major Gas Table 3 - Major Water Table 4 - Major Telephone (Chapter 63) Table 5 - Major Telephone (Chapter 64)	
Consumer Access to the PUC	Inside Back Cover

Exhibit 1131 Part 4

1. Consumer Contacts to the Bureau of Consumer Services

The Pennsylvania Public Utility Commission's Bureau of Consumer Services (BCS) began investigating and writing decisions on utility consumer complaints and service termination cases in April 1977. Since then, BCS has investigated 1,990,745 cases, which include consumer complaints and payment arrangement requests (PARs), and has received 1,624,336 opinions and requests for information (inquiries). In 2015, BCS received 187,466 contacts by consumers including 61,118 utility customer contacts that required investigation. It is important to note that BCS determined 86 percent of the 2015 customer complaints investigated and reviewed had been appropriately handled by the subject utilities before the customers brought them to the PUC.

Case Handling

The handling of utility complaint cases is the foundation for a number of BCS's programs. The case-handling process provides an avenue through which consumers can obtain redress for errors and responses to inquiries. However, customers are required by Commission regulations to attempt to resolve problems directly with their utilities prior to filing a complaint or requesting a payment arrangement with the PUC. Although exceptions are permitted under extenuating circumstances, BCS generally handles those cases in which the utility and customer could not find a mutually satisfactory resolution to the problem.

Once a customer contacts the PUC with an informal complaint or PAR, BCS notifies the utility that a complaint or PAR has been filed. The utility sends BCS all records concerning the complaint, including records of its contacts with the customer regarding the complaint. A BCS investigator reviews the records, interacts with both the complainant and utility as necessary, renders a decision and closes the case.

Consumer Feedback Survey

In order to monitor its own service to consumers, BCS uses a third party to survey a sampling of customers who have contacted BCS with a utility-related problem or PAR. The purpose of the survey is to collect information from the consumer's perspective about the quality of the BCS complaint-handling service. The table on the next page shows how consumers rate the service they received from BCS.

Consumer Rating of BCS Service

How would you rate the service you received from the PUC (BCS)?	2014	2015
Excellent	57%	61%
Good	23%	22%
Fair	11%	9%
Poor	9%	8%

According to the survey results, 83 percent of consumers who contacted BCS in 2015 rated the BCS's service as "excellent" or "good." Appendix A presents more information about how consumers rated the service they received from BCS in 2014 and 2015. BCS management frequently reviews the findings of the consumer feedback survey and promptly investigates any negative trends to improve staff performance and ultimately customer service.

Databases

To manage and use its complaint data effectively, BCS maintains a computer-based Consumer Services Information System (CSIS) through a contract with the Pennsylvania State University. This system enables BCS to aggregate and analyze the thousands of informal complaints that are reported to the Commission each year. In this way, BCS can address generic as well as individual problems. BCS policy analysts categorize complaints into one of 36 specific problem categories. This case information is transmitted to the CSIS database for analysis. The analysis from case information is used by BCS to generate reports to the Commission, utilities, legislators and the public. The reports present information regarding utility performance, industry trends, investigations, new policy issues and the impact of utility or Commission policy.

The majority of the data presented in this report is from CSIS. In addition, this report includes statistics from BCS's Collections Reporting System (CRS), Local Exchange Carrier Reporting System (LECRS) and Compliance Tracking System (CTS). Both the CRS (for electric and gas) and the LECRS (for telephone) provide valuable resources for measuring changes in company collection performance, including the number of residential service terminations, while CTS maintains data on the number and type of apparent infractions attributable to the major utilities.

Distinctions Among Cases

A number of cases were removed from the analyses that appear later in this report because the cases did not fairly represent company behavior. One treatment of the data involved the removal of complaints about problems over which the Commission has no jurisdiction; information requests that did not require investigation; and most cases where the Exhibit 1131 Part 4 customers indicated that they had not contacted the company prior to contacting the Commission.

Commercial customer contacts also were excluded from the data used in the analysis. Although BCS's regulatory authority has largely been confined to residential accounts, the Bureau handled 1,440 cases from commercial customers in 2015. Of these cases, 483 were related to loss of utility service, while the remaining 957 were consumer complaints.

With respect to the 483 commercial cases, BCS cannot make payment arrangements for those accounts. Due to its limited jurisdiction over commercial cases, BCS does not issue decisions regarding commercial disputes. Instead, Bureau investigators give commercial customers information regarding the company position or attempt to mediate a mutually acceptable agreement regarding the disputed matter. Many such cases are referred to the Office of Administrative Law Judge's Mediation Unit for Alternative Dispute Resolution where an attempt is made to mediate a resolution between the utility and its customer.

The table below shows that the vast majority of cases handled by BCS in 2015 involved residential utility service. Appendix B presents a comparison of the number of residential and commercial consumer complaints and PARs for 2014 and 2015.

Industry	Consumer	Complaints	Payment Arrangement Requests		
Industry	Residential	Commercial**	Residential	Commercial**	
Electric	6,248	611	32,001	326	
Gas	1,765	158	13,818	133	
Water	620	43	4,024	23	
Telephone	1,091	145	106	1	
Other***	3	0	2	0	
Total	9,727	957	49,951	483	

Total Volume of Consumer Complaints and Payment Arrangement Requests (PARs) to BCS in 2015*

*This chart represents "investigated cases" only, and not those cases handled on the first call.

**All cases in 2015 that involved commercial accounts were deleted from the analyses in subsequent chapters of this report.

***Sewer and steam heat complaints are designated as "other" in this table and the tables that follow.

Generally, customer contacts to BCS fall into three basic categories: consumer complaints, PARs and inquiries. Consumer complaints and PARs are taken in by BCS for further investigation, while inquiries are not. BCS classifies consumer complaints as contacts regarding disputes about utilities' actions related to billing, service delivery, repairs, etc. PARs are classified as contacts involving payment negotiations for unpaid utility service. Consumer complaints and PARs often are collectively referred to as informal complaints. Inquiries include information requests, opinions from consumers and complaints addressed on the initial call which do not require further investigation on the part of BCS.

Consumer Complaints

Most consumer complaints regarding the electric, gas, water, sewer and steam heat industries deal with matters covered under 52 Pa. Code, Chapter 56, Standards and Billing Practices for Residential Utility Service and/or Chapter 14 of the Public Utility Code, the Responsible Utility Customer Protection Act. For the telephone industry, most of the cases found in the consumer complaint category deal with matters covered by 52 Pa. Code, Chapter 64 Standards and Billing Practices for Residential Telephone Service and Chapter 63 Telephone Service. For the most part, consumer complaints represent those customers who contacted the Commission due to the inability of the utility and the customer to reach a mutually satisfactory resolution to a dispute.

Industry	2014	2015	% Change
Electric	14,995	6,859	-54%
Gas	2,963	1,923	-35%
Water	666	663	-<1%
Telephone	1,104	1,236	12%
Other	7	3	-57%
Total	19,735	10,684	-46%

Consumer Complaints by Industry* 2014-15

* Table includes both residential and commercial consumer complaints.

Electric and gas utilities accounted for 64 percent and 18 percent, respectively, of all consumer complaints investigated by BCS in 2015. Water utilities accounted for six percent, while telephone utilities were the subject of 12 percent. During the winter of 2014, Pennsylvania experienced unusually bitter cold weather in what became known as the Polar Vortex, resulting in a significantly higher number of electric-related consumer complaints.

Justified Consumer Complaints

BCS investigators issue decisions regarding consumer complaint cases. BCS policy analysts review a sampling of the cases and utility records to determine if the utility took appropriate action when handling its customer contacts. This approach focuses strictly on the regulatory aspect of the complaint and evaluates utilities negatively only where, in the judgment of BCS, the utility did not follow appropriate complaint-handling procedures or properly apply regulations. Specifically, a case is considered "justified" in the appeal to BCS if it is found that, prior to BCS intervention, the company did not comply with Commission Orders, regulations, reports, Secretarial Letters, tariffs, etc.

Classification of Consumer Complaints

BCS has categorized the 2015 residential complaints into 14 categories for each of the electric, gas and water utilities and into 11 categories for each of the telephone utilities. The tables that show the percent of complaints in each category in 2015 appear in each industry chapter. The percentages shown in the tables are for all of the cases that residential consumers filed with BCS that were evaluated, not just the cases that are determined to be justified in coming to BCS. BCS analyzes the categories that generate complaints or problems for customers, even if the utility records indicate that the utility followed Commission procedures and guidelines in handling the complaint. BCS often discusses its findings with individual utilities so they can use the information to review their complaint-handling procedures in categories that seem to produce large numbers of consumer complaints to the Commission. The four tables in Appendix E show the actual number of cases in each category in 2015.

Payment Arrangement Requests

PARs principally include contacts to BCS involving requests for payment terms in one of the following situations:

- Suspension/termination of service is pending;
- Service has been terminated and the customer needs payment terms to have service restored; or
- The customer wants to eliminate a debt or arrearage from a past-due balance.

All of the measures pertaining to PARs are based on assessments of contacts to BCS from individual customers. As with consumer complaints, almost all customers had already contacted the utility prior to contacting BCS. During 2015, BCS handled 50,434 PARs from customers of the utilities under the Commission's jurisdiction.

Act 201 of 2004 amended Title 66 of the Public Utility Code by adding Chapter 14, the Responsible Utility Customer Protection Act, which changed the rules for terminations, reconnections and PARs. On June 9, 2011, the Commission adopted a final rulemaking order incorporating Chapter 14 into Chapter 56 of its regulations. The Independent Regulatory Review Commission (IRRC) approved these regulations on July 21, 2011. The revised Chapter 56 regulations have been in effect since Oct. 8, 2011. The law sets forth limits within which the Commission can establish payment arrangements between a public utility, customers and applicants. In addition to establishing the length of payment arrangements, Chapter 14 prohibits the Commission from establishing a second or subsequent payment arrangement, absent a decrease in income, if a customer has defaulted on a previous payment arrangements involving customer assistance program arrearages.

On Oct. 22, 2014, Governor Corbett signed House Bill 939, also known as Act 155 of 2014, which among other things, reauthorized Chapter 14 for another ten years. In addition

to reauthorizing Chapter 14, the General Assembly revised the law in a number of areas, including:

- Expanding the scope of the law to cover small gas companies, steam heating and wastewater utilities.
- Prohibiting termination of utility service on Fridays.
- Allowing physician assistants, along with physicians and nurse practitioners, to file medical certificates.
- Allowing all customers and applicants to pay security deposits in installments over 90 days. CAP-eligible customers are exempt from security deposit requirements.
- New utility reporting requirements concerning the use of medical certificates and high-arrearage accounts.
- A five-year PUC reporting requirement (due in years 2019 and 2024) on the implementation and impact of Chapter 14.

The re-authorized law went into effect in December 2014. The Commission strives to balance the needs of both consumers and utilities when implementing the new law and will provide all interested parties with opportunities to participate in the process. On July 21, 2016, the Commission proposed revisions to 52 Pa. Code Chapter 56, including sections that have been superseded by the reauthorized Chapter 14. Commission staff will be reviewing the comments and preparing new regulations for a future Final Order (Docket L-2015-2508421). The Commission appreciates the opportunity to continually evaluate its regulations regarding Chapter 14 so that the goals of increasing utility account collections and eliminating the subsidization of bad debt costs by paying customers do not erode consumer protections.

In 2015, the overall volume of PARs handled by the Commission decreased by 19 percent from the previous year. PARs from electric and gas customers decreased 23 and 11 percent, respectively, while PARs from water customers decreased five percent since 2014. PARs from telephone customers declined 12 percent from 2014 to 2015.

Payment Arrangement Requests (PARs) by Industry* 2014-15

Industry	2014	2015	% Change
Electric	42,073	32,327	-23%
Gas	15,620	13,951	-11%
Water	4,255	4,047	-5%
Telephone	122	107	-12%
Other	9	2	-78%
Total	62,079	50,434	-19%

* Table includes both residential and commercial PARs. Please note: All consumer contacts requesting a payment arrangement are taken in and classified as a PAR. However, not all customers are eligible to receive a PAR.

As in past years, the majority of requests for payment arrangements in 2015 involved electric or gas companies. Sixty-four percent of the PARs (32,327 cases) were from electric customers, and 28 percent (13,951 cases) were from gas customers. Also, eight percent of PARs (4,047 cases) came from customers of various water utilities. Less than one percent of PARs (107 cases) came from telephone customers.

Inquiries and Opinions

During 2015, BCS received 32,298 customer contacts that, for the most part, required no follow-up investigation beyond the initial contact. BCS classifies these contacts as "inquiries." The inquiries for 2015 include contacts to the BCS Utility Customer Hotline, as well as contacts to BCS using mail service and email communication.

In large part, the inquiries in 2015 involved questions regarding the customer assistance programs. BCS also classifies certain PARs as inquiries. For example, BCS does not issue payment decisions on requests to restore or avoid suspension/termination of toll or non-basic telephone service. When consumers call with these problems, BCS classifies these requests as inquiries. Similarly, if a customer has recently been through the BCS payment arrangement process and calls again with a new request regarding the same account, BCS does not open a new PAR case. In these instances, BCS classifies the customer's contact as an inquiry.

As in past years, BCS also has shifted some contacts that originated as consumer complaints and PARs into the inquiry category because it was not appropriate to count these contacts as informal complaints. Examples include complaints that were found to be duplicates, informal complaints filed against the wrong company, informal complaints that BCS handled in spite of the fact that customers had not previously contacted their companies about their problems and cases that the investigators verbally dismissed. These 1,969 cases accounted for six percent of inquiries in 2015.

BCS is able to expand its list of 80 reasons for contact as consumer reasons grow and change. Possible actions by BCS intake staff include: recording the consumer's opinion; giving information to the consumer; referring the consumer to a utility company; and referring the consumer to an agency or organization outside of the Commission. If the contact requires further action, the intake staff refers the contact to a BCS investigator, and the contact becomes a consumer complaint or a PAR. The following table shows the various reasons for contact for the 2015 inquiries.

Reason for Contact	Number	Percent
CAP inquiry	7,961	25%
Termination or suspension of service	5,898	18%
Unable to open new PAR – service on	5,235	16%
Competition issues and requests for information	3,333	10%
PUC has no jurisdiction	1,749	5%
Billing dispute	1,658	5%
Applicant/deposit issue	779	2%
Request for general information	764	2%
People-delivered company service	696	2%
Service (company facilities)	356	1%
Rate protest	276	1%
Unable to open new PAR – service off	86	<1%
Rate complaint	44	<1%
Weather outage	23	<1%
Cramming*	3	<1%
Slamming*	3	<1%
Other miscellaneous reasons	2,735	8%
Reason for contact is not available	699	2%
Total	32,298	97%

Categories of 2015 Inquiries

* Please refer to the Glossary of Terms.

Residential Consumer Complaints Not Included in Industry Chapters

Traditionally, the primary focus of BCS's review of utilities' complaint handling has been on the performance of the major electric, gas, water and telephone utilities. However, for the past several years, BCS has included a limited amount of complaint information for the non-major utilities and the other service providers in the UCARE report. In 2015, BCS experienced a significant decrease in the overall number of residential consumer complaints for the non-major utilities. Consumer complaints decreased over the year in the electric, gas and telephone industries, and there was an increase in the water industry. This section In 2015, BCS staff investigated consumer complaints about a variety of problems that consumers were having with the non-major companies under the Commission's jurisdiction. The vast majority of complaints not included in the industry chapters involved billing disputes.

The next-highest volume of complaints coming from residential customers of the nonmajor electric, gas, water and telephone companies involved slamming. Those two types of complaints accounted for 69 percent of the residential consumer complaints about the nonmajor companies in the electric industry; 57 percent of residential consumer complaints about the non-major gas companies; 23 percent of the residential consumer complaints about the non-major water companies; and 23 percent of the complaints about the nonmajor telecommunications companies.

Appendix C presents a summary of the residential informal complaints (consumer complaints and PARs) that are not included in the electric, gas, water and telephone chapters that follow. The table lists the non-major companies for these industries; the electric generation suppliers and natural gas suppliers; and other providers of telecommunications services having five or more residential consumer complaints in 2015.

Calls to the Commission about Electric and Gas Competition

In 2015, the Commission's call center employees used BCS's information system to record information from the consumer contacts about electric and gas competition. Statistics show that 91 percent of contacts about electric and gas competition are related to the electric industry, while seven percent concern the gas industry. Call center employees recorded information from 5,723 consumer contacts about competition in the energy industries. Many calls came from consumers who called about various issues associated with customer choice in the electric and gas industries. Most frequently, consumers called about requests for competition documents and competition bill disputes (23 and 22 percent of calls, respectively).

In most instances, BCS classified these contacts as inquiries because they required no investigation or follow-up. However, some consumer contacts required further investigation and possibly action to resolve the consumer's concerns. In these cases, BCS classified the contact as a consumer complaint and investigated the consumer's issue. In 2015, billing disputes related to competition produced the largest volume of competition-related consumer complaints. Appendix D-1 explains the types of competition complaints BCS handles.

In prior years, BCS investigated a number of consumer complaints in which consumers alleged they were assigned to an electric or gas supplier without their consent or knowledge (slamming). In 2015, BCS received 668 allegations of electric slamming and 43 allegations of slamming in the gas industry. There were 10 allegations of slamming in the telephone industry. With respect to slamming, the Commission has stated clearly, it "...will have zero

Exhibit 1131 Part 4 tolerance for slamming by any means and in any form."¹ The Commission views customer slamming as among the most serious violations of consumer regulations. Of the 721 slamming complaints received by BCS, 650 were filed by residential customers.

During the early phases of electric and gas competition, BCS expected it would receive consumer complaints associated with the transition to customer choice. As expected, many customers experienced a variety of problems as they began choosing electric and gas suppliers. BCS found that, after investigating these complaints, it was often difficult to determine who was at fault in causing the complaint. Thus, BCS decided that it would be unfair to include competition complaints with consumer complaints about other issues when it calculates the performance measures it uses to evaluate and compare companies within the electric and gas industries. BCS continued this practice in 2015. Therefore, BCS excluded 2,369 competition-related complaints from the data set used to prepare the tables in the electric industry chapter and 178 such complaints in the gas industry chapter.

Informal Compliance Process and Infractions

BCS's informal compliance process remains its primary compliance effort. This process gives each utility specific examples of apparent infractions of Chapters 14, 56, 63 and 64. The informal compliance process uses consumer complaints to identify, document and notify utilities of apparent deficiencies. The utilities can use the information to pinpoint and voluntarily correct deficiencies in their customer-service operations. The process begins by BCS notifying a utility of an alleged infraction. A utility that receives notification of an allegation has an opportunity to respond. If the information about the allegation is accurate, BCS expects the utility to take action to correct the problem or address any deficiencies that led to the infraction. Corrective actions may entail: modifying a computer program; revising company procedures or the text of a notice, bill or letter; or providing additional staff training to ensure the proper use of a procedure.

If the utility states the information is inaccurate, it needs to provide specific details and supporting data to disprove the allegation. BCS always provides a final determination to the utility regarding the alleged infraction. For example, if the utility provides supporting data indicating that the information about the allegation is inaccurate, BCS, after reviewing all of the information, informs the utility that, in this instance, the facts do not reflect an infraction of the regulations. On the other hand, if the company agrees the information forming the basis of the allegation is accurate, or if BCS does not find that the data supports the utility's position that the information is inaccurate, BCS informs the company that the facts reflect an infraction of a particular section of the regulations. The notification process allows utilities to receive written clarifications of Chapter 14, 56, 63 or 64 provisions and the policies of the Commission and BCS.

The significance of apparent infractions identified by the informal compliance process is frequently emphasized by the fact that some represent systemic errors that are widespread and affect many utility customers. Since BCS receives only a small portion of the

¹ L-00970121, Public Meeting of May 21, 1998.

Exhibit 1131 Part 4

complaints that customers have with their utility companies, limited opportunities exist to identify such errors. Therefore, the informal compliance process is specifically designed to help utilities identify systemic errors. One example of a systemic error is a termination notice with text that does not comply with the requirements of Chapter 56. Each recipient of the notice is affected by that error. When such an error is discovered, BCS encourages utilities to investigate the scope of the problem and take corrective action. Some utilities have developed their own information systems to identify problems by reviewing complaints before they come to the Commission's attention. BCS encourages utilities to continue this activity and share their findings with Bureau staff.

2. Performance Measures

For the most part, BCS uses complaints it receives from customers of the major electric, gas, water and telephone utilities to assess utilities' complaint-handling performance. In nearly every case, the customer had already contacted the company about the problem prior to contacting BCS. BCS reviews the utility's record as to how the utility handled the complaint when the customer contacted the company. The review includes several classifications and assessments that form the basis of all the performance measures presented in this and the next four chapters, with the exception of the number of terminations and termination rate. The termination statistics for the electric, gas and water companies are drawn from reports required by Chapter 56 at §56.231(a)(14), while telephone termination statistics are drawn from reports required by Chapter 64 at §64.201(7). Water companies began reporting termination and reconnection data in 2012, which was a new requirement of the revised Chapter 56 regulations. The sections that follow explain the various measures BCS employs to assess utility performance.

Consumer Complaint Rate

The calculation of the consumer complaint rate (consumer complaints per 1,000 residential customers) permits the reader to make comparisons among utilities of various sizes. BCS has found that high consumer complaint rates and extreme changes in consumer complaint rates from one year to the next are often indicative of patterns and trends that it should investigate. However, since many of the complaints in the consumer complaint rates are not "justified," BCS considers the "justified consumer complaint rate" (justified consumer complaints per 1,000 residential customers) to be a clearer indication of a utility's complaint handling performance.

Justified Consumer Complaint Rate

BCS uses case evaluation to identify whether correct procedures were followed by the utility in responding to the customer's complaint prior to the intervention of BCS. Case evaluation is used to determine whether a case is "justified." A customer's case is considered "justified" if it is found that, prior to BCS intervention, the company did not comply with Commission Orders, policies, regulations, reports, Secretarial Letters or tariffs in reaching its final position. In the judgment of BCS, a case that is "justified" is a clear indication that the company did not handle a dispute properly or effectively, or, in handling the dispute, the company violated a rule, regulation or law.

The performance measure called "justified consumer complaint rate" reflects both volume of complaints and percent of consumer complaints found justified. The justified consumer complaint rate is the number of justified consumer complaints per 1,000 residential customers. By using this ratio, the reader can use the "justified" rate to compare utilities' performance within an industry and over time. BCS perceives the justified consumer complaint rate to be the bottom-line measure of performance that evaluates how effectively a company handles complaints from its customers.

BCS monitors the complaint rates and justified rates of the major utilities, paying particular attention to the number of justified complaints that customers file with the Commission. Justified complaints may indicate areas where BCS should discuss complaint-handling procedures with a utility so that its customers receive fair and equitable treatment when they deal with the utility. When BCS encounters company case-handling performance (justified consumer complaint rate) that is significantly worse than average, there is reason to suspect that many customers who contact the utility are at risk of improper dispute handling by the utility. As part of the monitoring process, BCS compares the "justified" rates of individual utilities and industries over time and investigates significant changes when they occur. In the chapters that follow, BCS compares the consumer complaint rates and the justified consumer complaint rates of the major utilities within the electric, gas, water and telephone industries.

Response Time to Consumer Complaints

Once a customer contacts BCS with a complaint about a utility, the utility is notified. The utility then sends BCS its records of its contact with the customer regarding the complaint. Response time is the time span in days from the date of BCS's first contact with the utility regarding a complaint to the date on which the utility provides BCS with its report regarding the complaint. Response time quantifies the speed of a utility's response to BCS' informal complaints. In the following chapters, response time is presented as the average number of days that each utility took to supply BCS with its utility reports in response to consumer complaints.

Payment Arrangement Request Rate

BCS normally intervenes at the customer's request only after direct payment negotiations between the customer and the company have failed. The volume of PARs from a utility's customers may fluctuate from year to year, or even from month to month, depending upon the utility's collection strategy as well as economic factors. The calculation of the PAR rate (PARs per 1,000 residential customers) permits the reader to make comparisons among utilities with differing numbers of residential customers. Nevertheless, unusually high or low rates and sizable changes in rates from one year to the next may reflect changes in company policies or bill collection philosophies, or they may be indicative of problems. BCS views such variations as potential areas for investigation.

Justified Payment Arrangement Request Rate

Just as with consumer complaints, once a customer contacts BCS with a PAR, BCS notifies the utility. The company sends a report to BCS that details the customer's payments, usage and payment negotiation history. Many of these cases are "straight" PARs, without any other issue or complaint against the company. Those cases are processed in an automated fashion and are not evaluated in the justified rate. In cases where the utility claims ineligibility for a PUC payment plan or where there are complaints or claims in addition to the PAR, a BCS investigator considers the customer's record and makes a decision regarding the issues and amount owed and notifies the company and the customer of the decision. BCS policy analysts review a sampling of these case records to

Exhibit 1131 Part 4 determine if the utility negotiated properly with the customer and uses this record to determine the outcome of the case. This approach evaluates companies negatively only when BCS finds appropriate payment negotiation procedures were not followed, or where the regulations have been misapplied. Specifically, a case is considered "justified" in the appeal to BCS if it is found that, prior to BCS intervention, the company did not comply with Commission regulations, reports, Secretarial Letters, tariffs or guidelines.

Changes in company policy can influence not only the volume of PARs to the Commission but also the effectiveness of a utility's payment negotiations. BCS uses the "justified PAR rate" to measure a utility's performance at handling PARs from customers. The justified PAR rate is the ratio of the number of justified PARs per 1,000 residential customers. BCS monitors the justified PAR rates of the major utilities. For example, BCS compares the "justified" rates of individual utilities and industries over time and investigates significant changes when they occur. In the chapters that follow, BCS compares the PAR rates and the justified PAR rates of the major utilities within the electric, gas, water and telephone industries. Because BCS receives a very large volume of requests for payment terms, it reviews a random sample of cases for the companies with the largest number of PARs. For these companies, the justified PAR rate and response time are based on a statistically valid subset of the cases that came to BCS.

Response Time to Payment Arrangement Requests

Once a customer contacts BCS for a PAR, BCS notifies the utility. The utility then sends BCS records that include the customer's payment history, the amount owed, prior payment arrangements and the results of the most recent payment negotiation with the customer. Response time is the number of days from the date BCS first contacts the utility regarding a PAR, to the date on which the utility provides BCS with its utility report so that BCS is able to issue payment terms, resolve any other issues raised by the customer and determine whether the customer was justified in seeking a payment arrangement through BCS. Response time aquantifies the speed of a utility's response to BCS PARs. In the following chapters, response time is presented as the average number of days that each utility took to supply BCS with its utility reports.

BCS calculates response time for the major electric, gas and water companies using only their responses to PARs from customers whose service has been terminated, who have a dispute with the company, or who have previously had a BCS payment arrangement for the amount that they owe.

However, response time to PARs for the telephone companies is the average number of days that each telephone company took to supply BCS with a utility report for all categories of PARs.

Infraction Rate

During 2015, BCS continued its informal compliance notification process to improve utility compliance with applicable statutes and regulations relating to the treatment of residential accounts. In order to compare utilities of various sizes within an industry, BCS has calculated a measure called the infraction rate. The infraction rate is the number of informally verified infractions for each 1,000 residential customers.

Several considerations are important to keep in mind when viewing the infraction rate charts in the chapters that follow. First, the data does not consider the causes of the individual infractions. Second, some infractions may be more serious than others because of their systemic nature, and therefore may show ongoing or repetitive occurrences. Still other infractions may be more serious because they involve threats to the health and safety of utility customers.

The value of the infraction rate is to depict industry trends over time. The trend for 2015 is calculated using BCS's Compliance Tracking System (CTS) data as of Sept. 28, 2016. The 2015 trends may change if the total number of infractions increases or decreases upon review by BCS. This would occur if new infractions are discovered from customer complaints that originated in 2015, but were still under investigation by BCS when the data was retrieved from CTS. Often, the total number of infractions for the year will change from the number cited in this report. BCS will update the 2015 infraction rate in the report on 2016 complaint activity. Infraction rates for each major electric, gas, water and telephone utility company are shown for 2013, 2014 and 2015 in upcoming chapters. Appendix H shows detailed information about the infractions BCS gleaned from its review of the 2015 consumer complaints and PARs. The information presented in Appendix H shows the infractions of Chapter 56, Chapter 14 and other regulations for the major electric, gas and water companies, and the infractions of Chapters 63 and 64 for the major telephone companies.

Termination Rate

Payment over time through a mutually acceptable payment arrangement is one possible outcome when a customer owes an outstanding balance to a utility company. Termination of the utility service is another. BCS views termination of utility service as a utility's last resort when customers fail to meet their payment obligations. The calculation of the termination rate allows the reader to compare the termination activity of utilities with differing numbers of residential customers. For the electric, gas and water industries, the termination rate is the number of service terminations divided by the number of residential customers. For the termination rate is the number of service termination rate is the number of terminations for each 1,000 residential customers. Any significant increase in the termination rate would indicate a trend or pattern the Commission may need to investigate.

BCS Performance Measures and Industry Chapters

The tables in the following chapters present the data alphabetically by company name. Each chapter includes tables that show the consumer complaint rate and the justified consumer complaint rate of each major utility. Also included in the industry chapters are tables that show the prior year's justified consumer complaint rates and justified PAR rates for each of the major utilities. The tables also reflect the average rates of the major utilities within the industry for each of these measures. In addition, each industry chapter presents tables that show infraction rates, response times to consumer complaints and PARs, and the termination rates for the major utilities. Exhibit 1131 Part 4 It is important to note that the electric and gas industry chapters present only data from those utilities that have more than 100,000 residential customers. In the water industry chapter, data for the "Class A" water utilities that have fewer than 100,000 residential customers are presented together as a whole. The telephone chapter presents data from those local service providers serving more than 50,000 residential customers.

BCS has found the inclusion of statistics for the smaller utilities can skew the average of industry statistics in ways that do not fairly represent industry performance. For this reason, BCS excluded the statistics involving UGI-Electric when it calculated the 2014 and 2015 averages for the electric industry. Similar to previous years, statistics for UGI-Electric are included in the appendices of this report.

Universal Service and Energy Conservation Programs

The Commission has a long history of involvement in universal service and energy conservation programs that help utility consumers obtain and keep service, and conserve energy. At the end of the water and telephone chapters that follow, readers will find highlights of the water and telephone programs that the Commission has supported and encouraged, not only in 2015, but in prior years as well.

BCS monitors and evaluates the universal service and energy conservation programs of the electric and gas companies. The goal in monitoring these programs is to help the Commission fulfill its oversight responsibilities by increasing the effectiveness of utility collections while protecting the public's health and safety.

The electric and gas programs include: Customer Assistance Programs; the Low-Income Usage Reduction Programs; Utility Hardship Fund Programs; Customer Assistance and Referral Evaluation Services programs; and other programs to assist low-income customers. BCS's reporting on these programs is no longer included in this report.

In September 2016, the Commission released the 16th annual report on Universal Service Programs and Collections Performance. BCS prepared the report, which presents 2015 universal service and collections data for the major electric and natural gas distribution companies. The report is available on the Commission's website at:

http://www.puc.pa.gov/General/publications_reports/pdf/EDC_NGDC_UniServ_Rpt2015.pdf

3. Electric Industry

In 2015, the Commission had jurisdiction over 15 electric distribution companies (EDCs). However, the majority of the consumer complaints and PARs involving the electric industry were from residential customers of the seven major EDCs: Duquesne Light Co. (Duquesne); PECO Energy Co. (PECO); PPL Electric Utilities Inc. (PPL) and four FirstEnergy companies – Metropolitan Edison Co. (Met-Ed); Pennsylvania Electric Co. (Penelec); Pennsylvania Power Co. (Penn Power) and West Penn Power Co. (West Penn). This chapter will focus exclusively on those seven companies. Most of the complaints and PARs dealt with matters covered under Chapter 56 of our regulations or Chapter 14 of the Public Utility Code. Generally, consumer complaints and PARs represent customer appeals to the Commission resulting from the inability of the company and the customer to reach a mutually satisfactory resolution to a dispute or payment negotiation.

The statistics in the tables on the pages that follow depict the performance of each of the seven major electric utilities in 2015. The tables in the appendices also include UGI-Electric, a major EDC with fewer than 100,000 residential customers. PECO's statistics include data for both electric and gas service. Because their gas and/or electric customers contact the same call center and receive only one bill per billing period, PECO is unable to separate and report data by gas and electric service. BCS investigated complaints in 2015, generated as a result of the electric choice program, which allows customers to choose an electric generation supplier (EGS). However, as mentioned in the first chapter, BCS removed these complaints from the data it used to prepare the tables on consumer complaints and PARs. Appendices F and G present 2014 and 2015 statistics on the performance of the seven largest EDCs, as well as UGI-Electric.

Consumer Complaints

During 2015, BCS handled 4,705 consumer complaints from residential customers of the various EDCs and 1,543 consumer complaints from residential customers of EGSs. Of these residential complaints, 74 percent (4,641) were from customers of the seven largest EDCs. For the analyses in this chapter, BCS excluded a total of 77 consumer complaints about the major EDCs that involved competition issues.

Consumer Complaint Categories

The following table shows the percentage of 2015 complaints from residential customers of the seven largest EDCs, in each of the 14 categories used by BCS policy analysts. Appendix E, Table 1, provides the actual number of cases that fell into each category in 2015.

Consumer Complaint Categories: 2015 Major Electric Distribution Companies

Categories	Duquesne **	Met-Ed**	PECO+**	Penelec	Penn Power	PPL**	West Penn**	Electric Average
Billing Disputes	31%	17%	22%	18%	19%	26%	13%	21%
Termination or PAR Procedures	11%	12%	15%	13%	16%	23%	8%	14%
Credit and Deposits	9%	15%	15%	20%	9%	6%	13%	13%
Personnel Problems	14%	8%	15%	9%	11%	9%	14%	11%
Metering	4%	21%	6%	14%	16%	5%	8%	10%
Discontinuance/ Transfer	6%	8%	9%	10%	5%	10%	4%	8%
Other Payment Issues	15%	3%	4%	2%	3%	3%	3%	5%
Damages	2%	2%	1%	3%	5%	3%	12%	4%
Service Quality	<1%	3%	2%	2%	2%	3%	5%	3%
Service Extensions	1%	2%	2%	2%	3%	1%	7%	3%
Service Interruptions	2%	2%	3%	2%	1%	2%	4%	3%
Scheduling Delays	3%	1%	2%	<1%	0%	1%	3%	2%
Rates	1%	2%	<1%	1%	6%	1%	2%	1%
All Other Problems	2%	4%	6%	4%	4%	6%	3%	4%
Total-Number*	456	450	450	473	160	463	504	2,956

* Based on residential complaints opened in 2015 and evaluated by BCS as of July 22, 2016.

** Based on a probability sample of cases.

- Categories are for all residential complaints filed with BCS that were evaluated, whether or not they were found to be justified. See Appendix D-1 for an explanation of complaint categories and Appendix E, Table 1, for the number of cases in each category.
- In 2015, billing disputes accounted for 21 percent, termination or PAR procedures accounted for 14 percent and credit and deposits accounted for 13 percent of the consumer complaints for the major EDCs. These three categories accounted for 48 percent of consumer complaints about the major EDCs.

2015 Residential Consumer Complaint Rates/ Justified Consumer Complaint Rates Major Electric Distribution Companies

Company	Consumer Complaint Rate	Justified Consumer Complaint Rate
Duquesne	1.20	0.27*
Met-Ed	1.48	0.25*
PECO+	0.79	0.08*
Penelec	1.14	0.18*
Penn Power	1.26	0.16
PPL	0.59	0.06*
West Penn	1.05	0.11*
Average	1.07	0.16

* Justified consumer complaint rate based on a probability sample of cases.

- The consumer complaint rate equals the number of consumer complaints for each 1,000 residential customers. The justified consumer complaint rate equals the number of justified consumer complaints for each 1,000 residential customers.
- For the major EDCs, the average of the consumer complaint rates was over six times greater than the average of the justified consumer complaint rates.
- Appendix F, Table 1, presents the number of consumer complaints, the consumer complaint rate, the number of justified consumer complaints and the justified consumer complaint rate for each major EDC in 2014 and 2015.

2014-15 Justified Residential Consumer Complaint Rates Major Electric Distribution Companies

Company	2014	2015
Duquesne*	0.12	0.27
Met-Ed*	0.67	0.25
PECO*+	0.12	0.08
Penelec*	0.33	0.18
Penn Power	0.19	0.16
PPL*	0.21	0.06
West Penn*	0.25	0.11
Average	0.27	0.16

* Based on a probability sample of cases.

- The justified consumer complaint rate equals the number of justified consumer complaints for each 1,000 residential customers.
- The average of the justified consumer complaint rates for the major electric distribution companies decreased from 0.27 in 2014 to 0.16 in 2015.
- Of the seven major EDCs, three have justified consumer complaint rates that are higher than the industry average. Duquesne's justified consumer complaint rate, at 0.27, is almost double the industry average.
- Appendix F, Table 1, presents the number of consumer complaints, the consumer complaint rate, the number of justified consumer complaints and the justified consumer complaint rate for each major EDC in 2014 and 2015.

2014-15 Response Time to BCS Residential Consumer Complaints Major Electric Distribution Companies

Company	Number of Days 2014	Number of Days 2015	Change in Days 2014 to 2015
Duquesne	4.7	20.3	15.6
Met-Ed	18.7	15.7	-3.0
PECO+	9.9	11.6	1.7
Penelec	17.9	14.7	-3.2
Penn Power	16.6	16.0	-0.6
PPL	19.7	18.6	-1.1
West Penn	19.6	14.9	-4.7
Average	15.3	16.0	0.7

+ PECO statistics include electric and gas.

- The calculation for average response time includes all residential consumer complaints for the major electric companies.
- Overall, the average response time increased slightly from 15.3 days in 2014 to 16.0 days in 2015.
- PECO had the shortest consumer complaint response time in 2015 at 11.6 days, while Duquesne had the longest at 20.3 days. Duquesne's consumer complaint response time increased by 15.6 days from 2014 to 2015.

Payment Arrangement Requests

In 2015, BCS handled 31,507 PARs from residential customers of the EDCs. There were 494 PARs from residential customers of Pennsylvania's EGSs. Ninety-seven percent (30,979) of the residential PARs were from customers of the seven largest EDCs. In 2015, BCS reviewed a representative sample of the PARs for the seven largest EDCs. Thus, the calculation for justified PAR rate that appears in the pages that follow is based on a subset of cases that BCS received from the customers of these utilities. BCS believes that the size of the samples gives a reasonable indication of the performance of these companies. Appendix G, Table 1, provides additional statistics regarding the PARs from residential customers of the major EDCs.

2015 Residential Payment Arrangement Request (PAR) Rates/ Justified PAR Rates* Major Electric Distribution Companies

Company	PAR Rate	Justified PAR Rate
Duquesne	4.51	0.71
Met-Ed	6.54	0.64
PECO+	4.23	0.30
Penelec	6.31	0.59
Penn Power	6.64	0.79
PPL	10.43	0.52
West Penn	3.72	0.22
Average	6.06	0.54

* All companies have justified PAR rates based on a probability sample of cases.

- The PAR rate equals the number of PARs for each 1,000 residential customers. The justified PAR rate equals the number of justified PARs for each 1,000 residential customers.
- On average, there were more than six PARs to BCS for each 1,000 residential customers of the major EDCs in 2015. There was less than one justified PAR for each 1,000 residential customers.
- Appendix G, Table 1, presents the number of PARs, the PAR rate, the number of justified PARs and the justified PAR rate for each major EDC in 2014 and 2015.

2014-15 Justified Residential Payment Arrangement Request (PAR) Rates* Major Electric Distribution Companies

Company	2014	2015
Duquesne	0.14	0.71
Met-Ed	0.47	0.64
PECO+	0.33	0.30
Penelec	0.33	0.59
Penn Power	0.32	0.79
PPL	0.38	0.52
West Penn	0.17	0.22
Average	0.31	0.54

* All companies have justified PAR rates based on a probability sample of cases.

- The justified PAR rate equals the number of justified PARs for each 1,000 residential customers.
- The average of the justified PAR rates for the seven major EDCs increased from 0.31 in 2014 to 0.54 in 2015.
- The justified PAR rates increased for six of the seven major EDCs from 2014 to 2015. Four of the major EDCs have justified PAR rates greater than the 2015 industry average while three of the major EDCs have justified PAR rates below the industry average.
- Appendix G, Table 1, presents the number of PARs, the PAR rate, the number of justified PARs and the justified PAR rate for each major EDC in 2014 and 2015.

2014-15 Response Time to BCS Residential Payment Arrangement Requests (PARs) Major Electric Distribution Companies

Company	Number of Days 2014	Number of Days 2015	Change in Days 2014 to 2015
Duquesne	2.4	16.4	14.0
Met-Ed	14.5	10.3	-4.2
PECO+	5.0	4.7	-0.3
Penelec	15.3	11.3	-4.0
Penn Power	13.1	11.2	-1.9
PPL	16.7	13.1	-3.6
West Penn	15.4	11.8	-3.6
Average	11.8	11.3	-0.5

+ PECO statistics include electric and gas.

- The calculation for average response time includes all residential PARs for the major electric companies.
- The average response time for the seven major EDCs decreased by 0.5 days. The average response time went from 11.8 days in 2014 to 11.3 days in 2015.
- There was a wide range of PAR response times among the major EDCs in 2015, from a low of 4.7 days for PECO to a high of 16.4 days for Duquesne.

Termination and Reconnection of Service

Each month, the electric companies report to the Commission the number of residential accounts that they terminated for non-payment during the previous month. They also report the number of previously terminated residential accounts that they reconnected during the month. Some EDCs maintain a fairly consistent pattern of termination behavior, while others fluctuate from year to year. The number of reconnections varies from year to year and from company to company, depending on a variety of factors. The EDC reconnects a customer's terminated service when a customer either pays his/her debt in full or makes a significant payment on the debt and agrees to a payment arrangement for the balance owed to the company. The following tables indicate the annual number of residential accounts each of the seven largest EDCs terminated and reconnected in 2013, 2014 and 2015. The first table also presents the termination rates for each of these companies.
	Residential Service Terminations				Termination Rates		
Company	2013	2014	2015	% Change 2014-15	2013	2014	2015
Duquesne	25,649	23,853	16,601	-30%	4.87	4.52	3.16
Met-Ed	23,672	25,071	25,136	<1%	4.85	5.12	5.10
PECO+	84,235	89,655	87,144	-3%	5.87	6.20	5.99
Penelec	20,544	20,657	21,579	4%	4.07	4.10	4.30
Penn Power	4,999	4,482	4,951	10%	3.54	3.16	3.47
PPL	47,759	56,777	52,229	-8%	3.92	4.65	4.26
West Penn	13,904	12,133	12,551	3%	2.24	1.95	2.02
Major Electric	220,762	232,628	220,191	-5%			
Average of Rates					4.19	4.24	4.04

Residential Service Terminations/Termination Rates Major Electric Distribution Companies

+ PECO statistics include electric and gas.

- The termination rate is the number of service terminations divided by the number of residential customers, expressed as a percent.
- Overall, the seven major EDCs terminated five percent less residential accounts in 2015 than in 2014.

Residential Service Reconnections Major Electric Distribution Companies

Company	2013	2014	2015	% Change 2013-14
Duquesne	20,355	18,523	10,578	-43%
Met-Ed	19,046	20,185	20,503	2%
PECO+	61,858	67,343	67,000	-1%
Penelec	16,184	15,959	16,506	3%
Penn Power	4,740	3,925	4,880	24%
PPL	34,910	42,767	39,083	-9%
West Penn	11,089	9,472	9,732	3%
Major Electric	168,182	178,174	168,282	-6%

+ PECO statistics include electric and gas.

• Overall, the seven major EDCs reconnected six percent less residential accounts in 2015 than in 2014.

Compliance

BCS provides utilities with written clarifications or explanations of Chapter 56 provisions and other Commission regulations and policies. The use of "infraction rate" is intended to help the Commission monitor the duty of electric companies at 66 Pa. C.S. §2807(d) to, at a minimum, maintain customer services under retail competition at the same level of quality as existed prior to the beginning of the Electricity Generation Customer Choice and Competition Act of 1996.

The infraction rates in the table that follows are based on informal complaints that residential consumers filed with BCS from 2013 through 2015. Infractions identified on complaints involving competition issues are included in the infraction statistics. Appendix H, Table 1, presents detailed information about the infractions identified in 2015 cases to the BCS.

Commission Infraction Rates Major Electric Distribution Companies

Company	2013	2014	2015
Duquesne	0.04	0.10	0.27
Met-Ed	0.24	0.25	0.20
PECO+	0.06	0.02	0.04
Penelec	0.13	0.13	0.15
Penn Power	0.06	0.08	0.29
PPL	0.03	0.06	0.06
West Penn	0.22	0.15	0.12

+ PECO statistics include electric and gas.

- The infraction rate is the number of informally verified infractions per 1,000 residential customers.
- The infraction rates from 2014 to 2015 for Duquesne, PECO, Penelec and Penn Power increased, while the rates for Met Ed and West Penn decreased. PPL's rate remained stable.
- Appendix H, Table 1, presents the actual number of infractions for 2015 categorized by infraction category.
- As shown in Appendix H, Table 1, the dispute handling category is noteworthy due to both high volume and high percentage of the total number of infractions.

4. Natural Gas Industry

In 2015, the Commission had jurisdiction over 25 natural gas distribution companies (NGDCs). However, the majority of the consumer complaints and PARs involving the gas industry came from residential customers of the seven major NGDCs: Columbia Gas of Pennsylvania Inc. (Columbia); National Fuel Gas Distribution Corp. (NFG); Peoples Natural Gas Co. (Peoples); Peoples-Equitable Division (Peoples-Equitable); Philadelphia Gas Works (PGW); UGI Utilities Inc.-Gas (UGI-Gas); and UGI Penn Natural Gas. This chapter will focus exclusively on those seven utilities. As with the electric industry, most of the complaints and PARs dealt with matters covered under Chapter 56 of our regulations or Chapter 14 of the Public Utility Code. Generally, those consumer complaints and PARs represent customer appeals to the Commission resulting from the inability of the company and the customer to reach a mutually satisfactory resolution to a dispute or payment negotiation.

The statistics in the tables on the pages that follow depict the performance of each of the seven major gas utilities in 2015. Appendices F and G provide statistics for these utilities from 2014 and 2015.

Consumer Complaints

During 2015, BCS handled 1,649 consumer complaints from residential customers of the various NGDCs and 116 consumer complaints from residential customers of natural gas suppliers (NGSs). Of these residential complaints, 90 percent (1,588) were from customers of the seven largest NGDCs. For the analyses of the seven major gas companies that appear in this chapter, BCS excluded 11 consumer complaints that involved competition issues.

Consumer Complaint Categories

The following table shows the percentage of 2015 complaints from residential customers of the seven major gas utilities in each of the 14 categories used by BCS policy analysts to categorize consumer complaints about electric, gas and water utilities. Appendix E, Table 2, provides the actual number of cases that fell into each category in 2015.

Consumer Complaint Categories: 2015 Major Natural Gas Distribution Companies

Categories	Columbia	NFG	Peoples	Peoples- Equitable	PGW**	UGI- Gas	UGI Penn Natural	Gas Average
Personnel Problems	22%	7%	11%	26%	17%	8%	7%	15%
Termination or PAR Procedures	8%	25%	18%	11%	12%	17%	30%	15%
Discontinuance/Transfer	9%	12%	7%	12%	23%	9%	7%	15%
Billing Disputes	11%	13%	18%	14%	15%	12%	9%	14%
Damages	10%	12%	11%	4%	6%	10%	10%	8%
Other Payment Issues	4%	4%	3%	5%	11%	2%	4%	7%
Service Quality	9%	4%	11%	6%	2%	8%	2%	5%
Metering	1%	6%	5%	2%	7%	2%	1%	5%
Credit and Deposits	3%	6%	1%	3%	1%	15%	16%	4%
Scheduling Delays	6%	0%	7%	9%	4%	2%	0%	4%
Service Extensions	10%	4%	5%	4%	<1%	9%	4%	4%
Rates	3%	0%	1%	2%	<1%	1%	0%	1%
Service Interruptions	1%	0%	1%	1%	1%	2%	1%	1%
All Other Problems	2%	4%	2%	3%	1%	2%	9%	3%
Total-Number*	99	67	153	103	482	123	81	1,108

* Based on residential complaints opened in 2015 and evaluated by BCS as of July 22, 2016.

** Based on a probability sample of cases.

- Categories are for all residential complaints filed with BCS that were evaluated, whether or not they were found to be justified. See Appendix D-1 for an explanation of complaint categories and Appendix E, Table 2, for the number of cases in each category.
- In 2015, personnel problems generated 15 percent of the complaints about the major gas companies followed by termination or PAR procedures (15 percent). Complaints about discontinuance/transfer accounted for 15 percent of residential consumer complaints about the major gas companies.

2015 Residential Consumer Complaint Rates/ Justified Consumer Complaint Rates Major Natural Gas Distribution Companies

Company	Consumer Complaint Rate	Justified Consumer Complaint Rate
Columbia	0.38	0.02
NFG	0.37	0.06
Peoples	0.55	0.04
Peoples-Equitable	0.49	0.04
PGW	1.76	0.28*
UGI-Gas	0.44	0.04
UGI Penn Natural	0.57	0.09
Average	0.65	0.08

* Justified consumer complaint rate based on a probability sample of cases.

- The consumer complaint rate equals the number of consumer complaints for each 1,000 residential customers. The justified consumer complaint rate equals the number of justified consumer complaints for each 1,000 residential customers.
- In 2015, the average of the consumer complaint rates was over eight times the average of the justified consumer complaint rates for the seven major gas companies.
- Appendix F, Table 2, presents the number of consumer complaints, the consumer complaint rate, the number of justified consumer complaints and the justified consumer complaint rate for each major gas company in 2014 and 2015.

2014-15 Justified Residential Consumer Complaint Rates Major Natural Gas Distribution Companies

Company	2014	2015
Columbia	0.04	0.02
NFG	0.10	0.06
Peoples	0.12	0.04
Peoples-Equitable	0.05	0.04
PGW*	0.38	0.28
UGI-Gas	0.09	0.04
UGI Penn Natural	0.11	0.09
Average	0.13	0.08

* Justified consumer complaint rate based on a probability sample of cases.

- The justified consumer complaint rate equals the number of justified consumer complaints for each 1,000 residential customers.
- The justified consumer complaint rates for all of the major gas companies decreased from 2014 to 2015.
- The average of the justified consumer complaint rates for the major gas companies decreased from 0.13 in 2014 to 0.08 in 2015. PGW and UGI Penn Natural had justified consumer complaint rates that were higher than the 2015 industry average.
- Appendix F, Table 2, presents the number of consumer complaints, the consumer complaint rate, the number of justified consumer complaints and the justified consumer complaint rate for each major gas company in 2014 and 2015.

2014-15 Response Time to BCS Residential Consumer Complaints Major Natural Gas Distribution Companies

Company	Number of Days 2014	Number of Days 2015	Change in Days 2014 to 2015
Columbia	5.3	5.9	0.6
NFG	13.9	5.7	-8.2
Peoples	3.1	2.9	-0.2
Peoples-Equitable	2.3	2.4	0.1
PGW	18.7	15.6	-3.1
UGI-Gas	10.0	12.7	2.7
UGI Penn Natural	10.9	14.1	3.2
Average	9.2	8.5	-0.7

- The calculation for average response time includes all residential consumer complaints for the major gas companies.
- The average response time for the major gas companies decreased from 9.2 days in 2014 to 8.5 days in 2015.
- Consumer complaint response time performance varied among the major gas companies in 2015, from a low of 2.4 days for Peoples-Equitable to a high of 15.6 days for PGW.

Payment Arrangement Requests

In 2015, BCS handled 13,783 PARs from residential customers of the NGDCs. There were 35 PARs from residential customers of Pennsylvania's NGSs. Ninety-six percent (13,246) of the residential PARs were from customers of the seven major NGDCs. In 2015, BCS reviewed a representative sample of the PARs for case outcome for Peoples, PGW, UGI-Gas and UGI Penn Natural. Thus, the calculation for justified PAR rate that appears in the pages that follow is based on a subset of cases that BCS received from customers of these utilities. BCS believes that the size of the samples gives an adequate indication of the performance of these companies. Appendix G, Table 2, provides additional statistics regarding the PARs from residential customers of the major NGDCs.

2015 Residential Payment Arrangement Request (PAR) Rates/ Justified PAR Rates* Major Natural Gas Distribution Companies

Company	PAR Rate	Justified PAR Rate
Columbia	1.75	0.03
NFG	2.46	0.11
Peoples	3.19	0.04*
Peoples-Equitable	2.01	0.07
PGW	15.79	0.39*
UGI-Gas	5.26	0.64*
UGI Penn Natural	8.63	1.21*
Average	5.58	0.36

* Based on a probability sample of cases.

- The PAR rate equals the number of PARs for each 1,000 residential customers. The justified PAR rate equals the number of justified PARs for each 1,000 residential customers.
- On average, there were more than five PARs to BCS for each 1,000 residential customers of the major NGDCs in 2015. There was less than one justified PAR for each 1,000 residential customers.
- Appendix G, Table 2, presents the number of PARs, the PAR rate, the number of justified PARs and the justified PAR rate for each major gas company in 2014 and 2015.

2014-15 Justified Residential Payment Arrangement Request (PAR) Rates* Major Natural Gas Distribution Companies

Company	2014	2015
Columbia	0.04	0.03
NFG	0.20	0.11
Peoples*	0.15	0.04
Peoples-Equitable	0.05	0.07
PGW*	0.49	0.39
UGI-Gas*	0.53	0.64
UGI Penn Natural*	1.04	1.21
Average	0.36	0.36

* Based on a probability sample of cases.

- The justified PAR rate equals the number of justified PARs for each 1,000 residential customers.
- The average of the justified PAR rates for the seven major gas utilities remained stable from 2014 to 2015 at 0.36. Three of the seven major gas companies had increases in their justified PAR rates.
- The 2015 justified PAR rates among the major NGDCs ranged from a low of 0.03 for Columbia to a high of 1.21 for UGI Penn Natural.
- Appendix G, Table 2, presents the number of PARs, the PAR rate, the number of justified PARs and the justified PAR rate for each major gas company in 2014 and 2015.

2014-15 Response Time to BCS Residential Payment Arrangement Requests (PARs) Major Natural Gas Distribution Companies

Company	Number of Days 2014	Number of Days 2015	Change in Days 2014 to 2015
Columbia	2.4	2.5	0.1
NFG	8.6	2.7	-5.9
Peoples	2.8	1.8	-1.0
Peoples-Equitable	1.4	1.4	0.0
PGW	12.9	10.3	-2.6
UGI-Gas	5.6	5.2	-0.4
UGI Penn Natural	5.9	4.9	-1.0
Average	5.6	4.1	-1.5

- The calculation for average response time includes all residential PARs for the major gas companies.
- From 2014 to 2015, the average response time for the major gas companies decreased by 1.5 days.
- The 2015 PAR response times for the major NGDCs varied from a low of 1.4 days for Peoples-Equitable to a high of 10.3 days for PGW.

Termination and Reconnection of Service

Each month, the gas utilities report to the Commission the number of residential accounts that they terminated for nonpayment during the previous month. They also report the number of previously terminated residential accounts that they reconnected during the month. Historically, utilities have shown a varied pattern of termination behavior, from a consistent pattern to one that fluctuates from year to year. The number of reconnections varies from year to year and from company to company, depending on a variety of factors. The NGDC reconnects a customer's terminated service either when a customer pays his/her debt in full or makes a significant payment on the debt and agrees to a payment arrangement for the balance owed to the company. The tables that follow indicate the annual number of residential accounts each of the seven largest gas utilities terminated and reconnected in 2013, 2014 and 2015. The first table also presents the termination rates for each of these companies.

	Re	Residential Service Terminations				Termination Rates	
Company	2013	2014	2015	% Change 2014-15	2013	2014	2015
Columbia	12,030	11,252	12,664	13%	3.13	2.91	3.27
NFG	9,576	9,942	9,025	-9%	4.82	5.00	4.53
Peoples	7,229	9,436	7,894	-16%	2.19	2.86	2.38
Peoples-Equitable	8,507	7,607	3,562	-53%	3.51	3.12	1.45
PGW	28,497	29,769	29,602	-1%	6.08	6.34	6.29
UGI-Gas	9,055	11,149	9,658	-13%	2.79	3.36	2.85
UGI Penn Natural	6,214	7,242	6,829	-6%	4.17	4.81	4.50
Major Gas	81,108	86,397	79,234	-8%			
Average of Rates					3.81	4.06	3.61

Residential Service Terminations/Termination Rates Major Natural Gas Distribution Companies

- The termination rate is the number of service terminations divided by the number of residential customers, expressed as a percent.
- Overall, the seven major gas companies terminated eight percent less residential accounts in 2015 than in 2014.

Residential Service Reconnections Major Natural Gas Distribution Companies

Company	2013	2014	2015	% Change 2014-15
Columbia	6,490	6,212	7,088	14%
NFG	6,453	6,753	6,081	-10%
Peoples	5,426	5,210	5,597	7%
Peoples-Equitable	6,453	5,620	2,361	-58%
PGW	19,907	19,836	19,672	-1%
UGI-Gas	4,332	5,240	5,559	6%
UGI Penn Natural	3,483	4,008	4,222	5%
Major Gas	52,544	52,879	50,580	-4%

• Overall, the seven major NGDCs reconnected four percent less residential accounts in 2015 than in 2014.

Compliance

BCS provides utilities with written clarifications or explanations of Chapter 56 provisions and other Commission regulations and policies. The use of "infraction rate" is intended to help the Commission monitor the duty of natural gas companies at 66 Pa. C.S. §2206(a) to, at a minimum, maintain customer services under retail competition at the same level of quality as existed prior to the beginning of the Natural Gas Choice and Competition Act of 1999.

The infraction rates in the table that follows are based on the review of informal complaints that residential consumers filed with BCS from 2013 through 2015. Infractions identified on complaints involving competition issues are included in the infraction statistics. Appendix H, Table 2, presents detailed information about the infractions identified on 2015 cases to the BCS.

Company	2013	2014	2015
Columbia	0.01	0.01	0.01
NFG	0.04	0.03	0.04
Peoples	0.16	0.08	0.03
Peoples-Equitable	0.02	0.01	0.03
PGW	0.43	0.20	0.35
UGI-Gas	0.01	0.08	0.03
UGI Penn Natural	0.03	0.03	0.07

Commission Infraction Rates Major Natural Gas Distribution Companies

- The infraction rate is the number of informally verified infractions per 1,000 residential customers.
- From 2014 to 2015, infraction rates decreased for Peoples and UGI-Gas, while the infraction rate increased for NFG, Peoples-Equitable, PGW and UGI Penn Natural. The rate for Columbia remained stable.
- Appendix H, Table 2, presents the actual number of infractions for 2015 categorized by infraction category.
- As shown in Appendix H, Table 2, the dispute handling category is noteworthy due to both high volume and high percentage of the total number of infractions.

5. Water Industry

In 2015, the Commission had jurisdiction over 84 water companies, including 22 municipal water companies. The Commission categorizes the non-municipal water companies into one of three classifications: A, B and C. Those three classifications are based on the amount of the company's annual revenues.

The non-municipal water companies with the largest annual revenues are classified as "Class A" water companies. "Class A" water companies must have annual revenues of \$1 million or more for three years in a row. In 2015, nine "Class A" water companies served residential customers. The number of residential customers for these major companies ranged from 2,410 for SUEZ Water Bethel to 597,114 residential customers for Pennsylvania American Water Co. (PAWC). In 2015, the "Class A" water companies were Aqua Pennsylvania Inc. (Aqua), Audubon Water Co., Columbia Water Co., Newtown Artesian Water Co., PAWC, Superior Water Co., SUEZ Water Bethel f/k/a United Water Bethel, SUEZ Water PA f/k/a United Water of Pennsylvania Inc. and York Water Co. The tables in this chapter present individual statistics for the two largest water companies, PAWC and Aqua, and for the "Other Class A" companies as a whole.

The other classes of water companies have lower annual revenues and, typically, fewer residential customers. In 2015, eight "Class B" companies were operating. "Class B" water companies have annual revenues between \$200,000 and \$999,999. In 2015, the number of residential customers for the "Class B" companies ranged from 287 to 2,517. There were 44 "Class C" companies in 2015. "Class C" water companies have annual revenues of less than \$200,000. The number of residential customers for the "Class for the "Class C" companies ranged from two to 1,131 in 2015.

The municipal water companies are companies owned by municipalities that serve customers outside their boundaries. The Commission's jurisdiction is limited to regulating the rates and service of customers outside the municipalities.

The majority of the residential consumer complaints and PARs to BCS came from customers of the "Class A" water companies. Most of the complaints and PARs from water customers dealt with matters covered by Chapter 56 of our regulations or Chapter 14 of the Public Utility Code. These consumer complaints and PARs represent customer appeals to the Commission resulting from the inability of the company and the customer to reach a mutually satisfactory resolution to a dispute or payment negotiation.

The tables on the pages that follow depict the performance of the "Class A" water companies in 2015. Appendices F and G also present statistics about the performance of the "Class A" water companies.

Consumer Complaints

During 2015, BCS handled a total of 620 consumer complaints from residential customers of the various water companies. Of those complaints, 95 percent (590) were from customers of the "Class A" companies. The remaining five percent were from customers of smaller water companies. While a majority of consumer complaints involved the "Class A" water companies in 2015, the Commission devoted a significant amount of attention to the smaller water companies. Sometimes the amount of time BCS spends on a few complaints from customers of a smaller company exceeds the amount of time it spends addressing complaints filed against one of the larger companies. This is because larger companies typically have the resources to respond appropriately to complaints and PARs as compared to smaller water companies with limited resources.

In 2015, customers of the small water companies filed complaints with BCS for a variety of reasons. Of the 30 consumer complaints filed about the "Non-Class A" water companies, 47 percent (14 cases) involved disputes about service, including people-delivered service or other aspects of the companies' service to customers.

Consumer Complaint Categories

The following table shows the percentage of 2015 complaints from residential customers of the "Class A" water companies in each of the categories used by BCS policy analysts to categorize consumer complaints about electric, gas and water companies. Appendix E, Table 3, provides the actual number of cases that fell into each category in 2015.

Consumer Complaint Categories: 2015 Major Water Companies

Categories	Αqua	PAWC	"Other Class A"	All "Class A" Water Average
Billing Disputes	40%	31%	43%	35%
Termination or PAR Procedures	10%	20%	9%	15%
Service Quality	12%	15%	16%	14%
Personnel Problems	7%	13%	11%	11%
Metering	9%	7%	5%	7%
Credit and Deposits	7%	2%	2%	4%
Damages	2%	4%	2%	3%
Scheduling Delays	4%	1%	2%	3%
Discontinuance/Transfer	5%	1%	0%	2%
Service Interruptions	1%	2%	2%	2%
Other Payment Issues	1%	2%	0%	2%
Rates	1%	1%	0%	1%
Service Extensions	0%	0%	2%	<1%
All Other Problems	1%	1%	5%	2%
Total-Number*	179	286	44	509

* Based on residential complaints opened in 2015 and evaluated by BCS as of July 22, 2016.

- Categories are for all residential complaints filed with BCS that were evaluated, whether or not they were found to be justified. See Appendix D-1 for an explanation of complaint categories and Appendix E, Table 3, for the number of cases in each category.
- Thirty-five percent of residential complaints filed against the "Class A" water companies involved billing disputes.

2015 Residential Consumer Complaint Rates/ Justified Consumer Complaint Rates Major Water Companies

Company	Consumer Complaint Rate	Justified Consumer Complaint Rate
Aqua	0.51	0.17
PAWC	0.58	0.17
"Other Class A"	0.33	0.01
Average	0.47	0.12

- The consumer complaint rate equals the number of consumer complaints for each 1,000 residential customers. The justified consumer complaint rate equals the number of justified consumer complaints for each 1,000 residential customers.
- The consumer complaint rates for Aqua and PAWC are three times greater than their justified consumer complaint rates.
- Appendix F, Table 3, presents the number of consumer complaints, the consumer complaint rate, the number of justified consumer complaints and the justified consumer complaint rate for Aqua, PAWC and the "Other Class A" water companies in 2014 and 2015.

2014-15 Justified Residential Consumer Complaint Rates Major Water Companies

Company	2014	2015
Aqua	0.16	0.17
PAWC	0.29	0.17
"Other Class A"	0.03	0.01
Average	0.16	0.12

- The justified consumer complaint rate equals the number of justified consumer complaints for each 1,000 residential customers.
- The justified consumer complaint rate increased for Aqua, but decreased for PAWC between 2014 and 2015.
- Appendix F, Table 3, presents the number of consumer complaints, the consumer complaint rate, the number of justified consumer complaints and the justified consumer complaint rate for Aqua, PAWC and the "Other Class A" water companies in 2014 and 2015.

2014-15 Response Time to BCS Residential Consumer Complaints Major Water Companies

Company	Number of Days 2014	Number of Days 2015	Change in Days 2014 to 2015	
Aqua	19.4	22.7	3.3	
PAWC	16.6	20.7	4.1	
"Other Class A"	15.9	11.8	-4.1	
Average	17.3	18.4	1.1	

- The calculation for average response time includes all residential consumer complaints for the major water companies.
- The average response time for Aqua increased by 3.3 days from 2014 to 2015. The average response time for PAWC increased 4.1 days, from 16.6 days in 2014 to 20.7 days in 2015.

Payment Arrangement Requests

In 2015, BCS handled 4,024 PARs from residential customers of the water industry. Ninety-nine percent (4,000) of the residential PARs were from customers of the "Class A" water companies. As in past years, for the companies with the largest volume of requests, BCS policy analysts reviewed a representative sample of PARs for case outcome. In 2015, BCS reviewed a sample of the PARs for Aqua and PAWC. Thus, the calculation for justified PAR rate that appears in the pages that follow is based on a subset of cases that BCS received from customers of these companies. BCS believes the size of the sample gives a reasonable indication of the performance. Appendix G, Table 3, provides additional statistics regarding the PARs from residential customers of the "Class A" water companies.

2015 Residential Payment Arrangement Request (PAR) Rates/ Justified PAR Rates Major Water Companies

Company	PAR Rate	Justified PAR Rate
Aqua	1.90	0.11*
PAWC	5.16	0.96*
"Other Class A"	1.25	0.08
Average	2.77	0.38

* Justified PAR rates based on a probability sample of cases.

- The PAR rate equals the number of PARs for each 1,000 residential customers. The justified PAR rate equals the number of justified PARs for each 1,000 residential customers.
- On average, there were nearly three PARs to BCS for each 1,000 residential customers of the major water companies in 2015. There was less than one justified PAR for each 1,000 residential customers.
- Appendix G, Table 3, presents the number of PARs, the PAR rate, the number of justified PARs and the justified PAR rate for Aqua, PAWC and the "Other Class A" water companies in 2014 and 2015.

2014-15 Justified Residential Payment Arrangement Request (PAR) Rates Major Water Companies

Company	2014	2015
Aqua*	0.07	0.11
PAWC*	0.57	0.96
"Other Class A"	0.00	0.08
Average	0.21	0.38

* Based on a probability sample of cases.

- The justified PAR rate equals the number of justified PARs for each 1,000 residential customers.
- The justified PAR rate increased for both Aqua and PAWC between 2014 and 2015.
- Appendix G, Table 3, presents the number of PARs, the PAR rate, the number of justified PARs and the justified PAR rate for Aqua, PAWC and the "Other Class A" water companies in 2014 and 2015.

2014-15 Response Time to BCS Residential Payment Arrangement Requests (PARs) Major Water Companies

Company	Number of Days 2014	Number of Days 2015	Change in Days 2014 to 2015	
Aqua	15.8	17.1	1.3	
PAWC	22.5	14.9	-7.6	
"Other Class A"	4.5	5.2	0.7	
Average	14.3	12.4	-1.9	

- The calculation for average response time includes all residential PARs for the major water companies.
- Aqua's response time increased 1.3 days to reach 17.1 days in 2015. PAWC's response time decreased 7.6 days, from 22.5 days in 2014 to 14.9 days in 2015.

Termination and Reconnection of Service

Each month, the water utilities report to the Commission the number of residential accounts that they terminated for nonpayment during the previous month. They also report the number of previously terminated residential accounts that they reconnected during the month. Historically, utilities have shown a varied pattern of termination behavior, from a consistent pattern to one that fluctuates from year to year. The number of reconnections varies from year to year and from company to company, depending on a variety of factors. The water company reconnects a customer's terminated service either when a customer pays his/her debt in full or makes a significant payment on the debt and agrees to a payment arrangement for the balance owed to the company. The tables that follow indicate the annual number of residential accounts each of the water utilities terminated and reconnected in 2013, 2014 and 2015. The first table also presents the termination rates for each of these companies.

Residential Service Terminations/Termination Rates Major Water Companies

	Residential Service Terminations				Termination Rates		
Company	2013	2014	2015	% Change 2014-15	2013	2014	2015
Aqua	7,453	6,111	5,294	-13%	1.92	1.56	1.36
PAWC	15,054	8,161	20,750	154%	2.54	1.37	3.48
"Other Class A"	2,836	1,806	1,818	1%	2.08	1.31	1.29
Major Water	25,343	16,078	27,862	73%			
Average of Rates					2.18	1.41	2.04

- The termination rate is the number of service terminations divided by the number of residential customers, expressed as a percent.
- Overall, the water companies terminated 73 percent more residential accounts in 2015 than in 2014.

Residential Service Reconnections Major Water Companies

Company	2013	2014	2015	% Change 2014-15
Aqua	4,339	3,952	3,521	-11%
PAWC*	11,934	4,899	16,850	244%
"Other Class A"	1,957	1,015	1,110	9%
Major Water	18,230	9,866	21,481	118%

* Reconnection data excludes November 2013 to March 2014.

• Overall, the water companies reconnected 118 percent more residential accounts in 2015 than in 2014.

Compliance

BCS provides water utilities with written clarifications or explanations of Chapter 56 provisions and other Commission regulations and policies.

The infraction rates in the table that follows are based on the review of informal complaints that residential consumers filed with BCS from 2013 through 2015. Appendix H, Table 3, presents detailed information about the infractions identified on 2015 cases to the BCS.

Commission Infraction Rates Major Water Companies

Company	2013	2014	2015
Αqua	0.32	0.27	0.25
PAWC	0.23	0.39	0.25
"Other Class A"	0.01	0.04	0.01

- The infraction rate is the number of informally verified infractions per 1,000 residential customers.
- The infraction rates for Aqua, PAWC and the "Other Class A" water companies decreased from 2014 to 2015.
- Appendix H, Table 3, presents the actual number of infractions for 2015 categorized by infraction category.
- As shown in Appendix H, Table 3, the dispute handling category is noteworthy due to both high volume and high percentage of the total number of infractions.

Programs That Assist Low-Income Customers

Several water companies voluntarily operate programs to assist low-income customers in maintaining water service.

Aqua Pennsylvania Inc. (Aqua) - In 1994, Aqua implemented a pilot program that provided payment assistance and conservation services to low-income customers. Aqua named this program "Helping Hand." In 1996, Aqua made the program a permanent part of its low-income assistance.

Helping Hand is for customers at or below 200 percent of the federal poverty level. On April 1, 2009, in recognition of the current economic climate, the company eased the remaining minimum requirements. The company reduced the minimum past amount due from \$150 to \$110, and the minimum number of days past due from 30 to 21.

Each household enrolled in the Helping Hand program receives a plumbing inspection, including minor repairs, and/or a conservation kit, containing water saving devices. The customer also receives water usage and conservation information. Customers who make their payments on time and in full receive a credit of \$20 per month applied to their arrearage.

At the end of 2015, 378 active participants were enrolled in the Helping Hand program. During the year, Aqua spent \$117,275 to complete eligibility interviews and household audits. In addition, the company provided \$26,975 in arrearage forgiveness credits to 401 program participants.

Pennsylvania American Water Co. (PAWC) – In 1991, PAWC established the "Help to Others" (H2O) program. The H2O program provides customers with an 80 percent discount on their monthly service fees – a savings of about \$12 per month. The program also provides water-saving devices and conservation education. As of March 2016, there were 15,795 customers billed the discounted rate.

In addition to a discount for water, the company also offers a discount for wastewater customers in Coatesville, Bushkill, Lehman Pike, Claysville, Clarion, East Stroudsburg, Blue Mountain and Winona Lakes. This discount offers a 15 percent discount off the total wastewater billing for those who qualify. As of March 2016, there were 704 customers billed the discount for wastewater.

As part of the H2O program, PAWC also participates with the Dollar Energy Fund to provide cash grants of up to \$500 per year for those water and wastewater customers listed above. Dollar Energy Fund is a hardship fund administrator that provides cash assistance to utility customers who need help in paying their utility bills. During the 2014-15 program year, PAWC's shareholders and customers provided \$344,844 in hardship fund benefits to 1,248 customers for an average benefit of \$276. In addition, PAWC's shareholders provided \$10,000 for the 2014-15 program year for their wastewater customers. The program year has wastewater funds available totaling \$11,772.

Suez Water Pennsylvania f/k/a United Water of Pennsylvania Inc. (Suez Water PA) – Suez Water PA implemented the "Suez Cares" f/k/a "UW Cares" program in 2005. Suez Cares is a nonprofit 501(c)(3) organization that provides financial assistance to customers who experience a temporary financial crisis caused by such occurrences as a job loss, severe illness, casualty or extensive military service. Cash grants up to \$100 are provided to qualifying customers who are first screened by the Salvation Army for eligibility. To be eligible for a grant, customers must have made a nominal payment within the last 90 days. During the 2015 program year, the company provided grants totaling \$4,375 to qualifying customers.

York Water Co. – In 2005, the York Water Co. established the "York Water Cares" program. This program offers qualified customers up to \$120 in arrearage forgiveness benefits and plumbing repairs. The repairs are designed to help the customer conserve and reduce overall water usage.

During 2015, the company expended \$720 for customer plumbing repairs and enrolled a total of 10 new customers in the York Water Cares program. As of program end 2015, 16 customers received arrearage forgiveness benefits totaling \$980 for an average benefit of approximately \$61 per customer. The company anticipates an annual savings of \$1,020 in costs for termination proceedings that may be avoided as a result of customer participation in the York Water Cares program.

6. Telephone Industry

During 2015, BCS handled consumer complaints, PARs and inquiries from the customers of a variety of telecommunications service providers, including incumbent local exchange carriers (ILECs), competitive local exchange carriers (CLECs), long-distance companies and resellers. Just over 641 providers of telecommunications services were certificated and able to conduct business in Pennsylvania in 2015. Of this group of telecommunications providers, 37 were ILECs. Thirty-two of these ILECs were non-major utilities each serving fewer than 50,000 residential customers. The remaining five ILECs were major companies, each with more than 50,000 residential customers. Collectively, these five major telephone companies served just under 1.4 million residential customers in 2015.

This chapter will focus exclusively on the five major ILECs in 2015 – CenturyLink (CenturyLink); Frontier Communications Commonwealth Telephone Co. (Frontier Commonwealth); Verizon North Inc. (Verizon North); Verizon Pennsylvania (Verizon PA); and Windstream Communications (Windstream).

Unlike the electric, gas and water chapters, the analyses of the five companies that appear in this chapter include complaints about competition-related issues such as slamming, competition-related service complaints and billing problems. This is the 13th year that BCS included competition-related complaints in its analyses of the largest telephone companies.

Consumer Complaints

Although BCS handled consumer complaints about different types of telecommunications service providers in 2015, the complaints predominantly came from the residential customers of the five major ILECs. Overall, BCS handled 1,091 consumer complaints from residential customers of telecommunications service providers in 2015. Of those complaints, 1,017 were from residential customers of all of Pennsylvania's ILECs while 1,007 were from customers of the five major ILECs. Meanwhile, 45 consumer complaints were from residential customers of other providers of consumer complaints were from residential customers of other providers of telecommunications services such as long-distance carriers, resellers, wireless carriers and Voice over Internet Protocol (VoIP) providers.

Consumer Complaint Categories

The following table shows the percentage of 2015 consumer complaints from residential customers of the major telephone companies in each of the 11 categories used by BCS policy analysts to categorize consumer complaints about telephone companies.

Consumer Complaint Categories: 2015 Major Local Telephone Companies

Categories	Century- Link	Frontier Common- wealth	Verizon North	Verizon PA**	Wind- stream	Telephone Average
Unsatisfactory Service	21%	41%	57%	32%	53%	34%
Billing Disputes	32%	33%	10%	23%	19%	23%
Service Delivery	15%	15%	17%	22%	12%	21%
Service Terminations	18%	0%	2%	4%	2%	4%
Competition	0%	0%	5%	2%	2%	2%
Credit and Deposits	0%	0%	0%	1%	2%	1%
Discontinuance/Transfer	0%	0%	0%	<1%	2%	1%
Annoyance Calls	0%	3%	0%	<1%	0%	<1%
Toll Services	3%	0%	0%	0%	0%	<1%
Non-Recurring Charges	0%	0%	0%	0%	0%	0%
All Other Problems	12%	8%	10%	16%	7%	15%
Total-Number*	34	39	42	614	43	772

* Based on residential complaints opened in 2015 and evaluated by BCS as of July 22, 2016.

** Based on a probability sample of cases.

- Categories are for all residential complaints filed with BCS, whether or not they were found to be justified. See Appendix D-2 for an explanation of complaint categories and Appendix E, Table 4, for the number of cases in each category.
- Seventy-eight percent of all complaints for the major telephone companies fall into one of three complaint categories: unsatisfactory service, billing disputes or service delivery.
- Unsatisfactory service complaints account for 34 percent of the total number of consumer complaints filed against the five major telephone companies in 2015. Last year, unsatisfactory service accounted for 30 percent of all consumer complaints filed against the major telephone companies.

The 2014 and 2015 consumer complaint figures for justified consumer complaint rates and response times for each of the major telephone companies are presented on the following pages. Appendix F, Table 4, provides additional statistics about the consumer complaints from residential customers of the five major local telephone companies.

2015 Residential Consumer Complaint Rates/ Justified Consumer Complaint Rates Major Local Telephone Companies

Company	Consumer Complaint Rate	Justified Consumer Complaint Rate
CenturyLink	0.26	0.12
Frontier Commonwealth	0.37	0.25
Verizon North	0.25	0.14
Verizon PA	0.95	0.37*
Windstream	0.44	0.33
Average	0.46	0.24

* Justified consumer complaint rate based on a probability sample of cases.

- The consumer complaint rate equals the number of consumer complaints for each 1,000 residential customers. The justified consumer complaint rate equals the number of justified consumer complaints for each 1,000 residential customers.
- For the five major telephone companies, the average of their consumer complaint rates is nearly two times greater than the average of their justified consumer complaint rates.
- Appendix F, Table 4, presents the number of consumer complaints, the consumer complaint rate, the number of justified consumer complaints and the justified consumer complaint rate for each major telephone company in 2014 and 2015.

2014-15 Justified Residential Consumer Complaint Rates Major Local Telephone Companies

Company	2014	2015
CenturyLink	0.13	0.12
Frontier Commonwealth	0.20	0.25
Verizon North	0.08	0.14
Verizon PA*	0.31	0.37
Windstream	0.19	0.33
Average	0.18	0.24

* Based on a probability sample of cases.

- The justified consumer complaint rate equals the number of justified consumer complaints for each 1,000 residential customers.
- Four of the major telephone companies had justified consumer complaint rates that increased while one, CenturyLink, had a justified consumer complaint rate that decreased.
- Frontier Commonwealth, Verizon PA and Windstream had justified consumer complaint rates that were higher than the industry average.
- Appendix F, Table 4, presents the number of consumer complaints, the consumer complaint rate, the number of justified consumer complaints and the justified consumer complaint rate for each major telephone company in 2014 and 2015.

2014-15 Response Time to BCS Residential Consumer Complaints Major Local Telephone Companies

Company	Number of Days 2014	Number of Days 2015	Change in Days 2014 to 2015
CenturyLink	16.0	18.7	2.7
Frontier Commonwealth	21.5	18.2	-3.3
Verizon North	5.9	20.8	14.9
Verizon PA	8.6	11.6	3.0
Windstream	16.4	22.3	5.9
Average	13.7	18.3	4.6

- For the five major telephone companies, the average response time to consumer complaints increased from 13.7 days in 2014 to 18.3 days in 2015.
- The response time for Frontier Commonwealth decreased by more than 3 days. In contrast, Verizon North increased their average response time by 14.9 days since 2014.

Payment Agreement Requests

Telephone service consists of three components: basic service, nonbasic service and toll service. BCS does not handle customer requests for payment agreements that involve toll or nonbasic services. For the telephone industry, PARs are principally contacts to BCS or to companies involving a request for payment terms for arrearages associated with basic service. Most PARs are cases relating to the suspension of basic telephone service for nonpayment. Suspension of basic telephone service involves the temporary cessation of service without the consent of the customer and occurs when the customer owes the local telephone company money. If the customer does not pay or make an agreement to pay the amount owed, the company proceeds to terminate the customer's service, which is the permanent cessation of service. The majority of PARs are from customers who contact BCS to request payment agreements after they have received a suspension notice.

Under Chapter 64, a customer contact in response to a suspension notice is a dispute (as the term is defined in §64.2) only if the contact includes a disagreement with respect to the application of a provision of Chapter 64. Where telephone cases involving telephone service suspension are concerned, failure to negotiate a payment agreement does not in itself mean that a dispute exists. Consequently, in this report, telephone cases that involve PARs have been separated from telephone PARs that also involve a dispute. For the telephone industry, PARs that involve a dispute are classified as consumer complaints. During 2015, BCS handled 106 PARs from residential customers of telecommunications service providers. Of these PARs, 94 were from residential customers of the five major telephone The 2014 and 2015 justified PAR rates and response times for the major telephone companies are presented in the tables that follow.

2015 Residential Payment Agreement Request (PAR) Rates/ Justified PAR Rates Major Local Telephone Companies

Company	PAR Rate	Justified PAR Rate
CenturyLink	0.04	0.00
Frontier Commonwealth	0.02	0.02
Verizon North	0.02	0.00
Verizon PA	0.09	0.00
Windstream	0.01	0.00
Average	0.04	0.00

- The PAR rate equals the number of PARs for each 1,000 residential customers. The justified PAR rate equals the number of justified PARs for each 1,000 residential customers.
- On average, there was less than one PAR to BCS for each 1,000 residential customers of the major telephone companies in 2015. There were no justified PARs for each 1,000 residential customers.
- Appendix G, Table 4, presents the number of PARs, the PAR rate, the number of justified PARs and the justified PAR rate for each major telephone company in 2014 and 2015.

2014-15 Justified Residential Payment Agreement Request (PAR) Rates Major Local Telephone Companies

Company	2014	2015
CenturyLink	0.02	0.00
Frontier Commonwealth	0.00	0.02
Verizon North	0.01	0.00
Verizon PA	0.00	0.00
Windstream	0.01	0.00
Average	0.01	0.00

- The justified PAR rate equals the number of justified PARs for each 1,000 residential customers.
- The average justified PAR rate for the five major telephone companies decreased from 0.01 in 2014 to 0.00 in 2015. Frontier Commonwealth had a justified PAR rate greater than the 2015 industry average.
- Three of the five major telephone companies had justified PAR rates that decreased from 2014 to 2015. Frontier Commonwealth's justified PAR rate increased, while Verizon PA's PAR rate remained stable.
- Appendix G, Table 4, presents the number of PARs, the PAR rate, the number of justified PARs and the justified PAR rate for each major telephone company in 2014 and 2015.

2014-15 Response Time to BCS Residential Payment Agreement Requests (PARs) Major Local Telephone Companies

Company	Number of Days 2014	Number of Days 2015	Change in Days 2014 to 2015
CenturyLink	12.3	13.5	1.2
Frontier Commonwealth	6.0	11.0	5.0
Verizon North	2.5	7.3	4.8
Verizon PA	3.1	8.9	5.8
Windstream	11.5	11.0	-0.5
Average	7.1	10.3	3.2

- The average response time to PARs for the five major telephone companies increased from 7.1 days in 2014 to 10.3 days in 2015.
- Of the five major telephone companies, only Windstream's response time to PARs decreased from 2014 to 2015.

Termination of Service

Chapter 64 defines suspension as a temporary cessation of service without the consent of the customer. Termination of service, according to Chapter 64, is the permanent end of service after a suspension without the consent of the customer. Most PARs are cases relating to the termination of telephone service and are registered during the suspension phase. Many customers who have their basic service suspended are able to make payment agreements and avoid termination. Those who are not able to avoid termination cease to be customers once the termination of basic service takes place. Shifts in terminations can signal potential problems with customers maintaining basic telephone service and reflect the impact of universal service programs.

	Residential Service Terminations			Termination Rates			
Company	2013	2014	2015	% Change 2014-15	2013	2014	2015
CenturyLink	9,840	8,100	7,044	-13%	65.58	57.22	52.16
Frontier Commonwealth	3,048	2,988	2,460	-18%	26.14	27.02	23.20
Verizon North	6,972	5,928	4,164	-30%	33.22	31.67	24.62
Verizon PA	55,956	44,472	28,980	-35%	47.10	44.14	32.66
Windstream	4,140	3,720	3,204	-14%	38.78	36.64	33.10
Major Telephone	79,956	65,208	45,852	-30%			
Average of Rates					42.16	39.34	33.15

Residential Service Terminations/Termination Rates Major Local Telephone Companies

- The termination rate equals the number of basic service terminations for each 1,000 residential customers.
- Overall, the average residential service termination rate for the major telephone companies decreased from 39.34 in 2014 to 33.15 in 2015.
- From 2014 to 2015, the termination rate decreased for all five of the major telephone companies. Verizon PA had the greatest decrease in terminations, down 35 percent since 2014.

Compliance

The informal compliance notification process enables BCS to provide companies with written clarifications and explanations of Chapter 63 and Chapter 64 provisions and BCS policies. The informal compliance process is specifically designed to identify systemic errors. Companies can then investigate the scope of the problem and take corrective action. Appropriate corrective action usually involves modifying a computer program; revising the text of a notice, a billing or a letter; changing a company procedure; or providing additional staff training to ensure the proper implementation of a sound procedure.

Each year, BCS retrieves infraction data from the BCS Compliance Tracking System and produces tables that present Chapter 63 and Chapter 64 infraction statistics for the major telephone companies reviewed in this chapter. The infraction statistics are drawn from cases that residential consumers filed with BCS in 2013, 2014 and 2015. Appendix H, Tables 4 and 5, present detailed information about the infractions identified on 2015 cases to the BCS.

Commission Infraction Rates – Chapter 63 Major Local Telephone Companies

Company	2013	2014	2015
CenturyLink	0.05	0.18	0.08
Frontier Commonwealth	0.39	0.18	0.52
Verizon North	0.42	0.11	0.64
Verizon PA	0.58	0.28	0.47
Windstream	1.50	0.27	0.90

- The infraction rate is the number of informally verified infractions per 1,000 residential customers.
- Infraction rates for four of the five major telephone companies increased since 2014. The infraction rate for Century Link decreased in 2015.
- Appendix H, Table 4, presents the actual number of infractions of Chapter 63 found on 2015 informal complaints for the major local telephone companies by infraction category.

Commission Infraction Rates – Chapter 64 Major Local Telephone Companies

Company	2013	2014	2015
CenturyLink	0.26	0.18	0.16
Frontier Commonwealth	0.32	0.29	0.37
Verizon North	0.12	0.05	0.11
Verizon PA	0.24	0.10	0.28
Windstream	0.31	0.11	0.42

- The infraction rate is the number of informally verified infractions per 1,000 residential customers.
- Infraction rates for four of the five major telephone companies increased since 2014. The infraction rate for Century Link decreased in 2015.
- Appendix H, Table 5, presents the actual number of infractions of Chapter 64 found on 2015 informal complaints for the major local telephone companies by infraction category.

Universal Service Programs

As part of its ongoing responsibilities, BCS monitors the universal service programs of local telephone companies and wireless companies. For the telephone industry, universal service programs² include Lifeline 135 Service (Lifeline 135), Lifeline Service (Lifeline) and the Universal Telephone Assistance Program (UTAP). These programs ensure that low-income consumers have access to telephone service by providing discounts or credits for telephone service. The Commission approved the implementation of Pennsylvania's first universal service program for local telephone companies in 1989.³ In December 1997, the Commission approved Lifeline service plans for 44 telephone companies which led to the statewide implementation of telephone companies' Lifeline programs in 1998.

Lifeline and Lifeline 135 Service

The Commission's 2005 Final Lifeline Order (Final Order), at Docket No. M-00051871 expanded the Lifeline program eligibility to be consistent with the Federal Communications Commission's (FCC) programs.⁴ It added the National School Free Lunch Program and an income-only based criterion (income at or below 135 percent of the federal poverty guidelines) as new criteria for Pennsylvania's Lifeline program eligibility. Second, the Final

² With the exception of UTAP, these programs are supported fully or in part by federal universal service funds.

³ The Link-Up America Program was the first universal service program. The FCC eliminated this program in 2012.

⁴ FCC Report and Order and Further Notice of Proposed Rulemaking in the Matter of Lifeline and Link-Up, at CC Docket No. 04-87, WC Docket No. 03-109.
Exhibit 1131 Part 4 Order directed all jurisdictional Eligible Telecommunication Carriers (ETCs)⁵ to implement the Lifeline provisions contained in Chapter 30. Under these provisions,⁶ ETCs are to inform new and existing customers about the availability of Lifeline services. They also must permit eligible Lifeline service customers to purchase any number of optional services (i.e. call waiting) at the tariffed rates for these services.

On Dec. 18, 2008, the Commission adopted the initial decision of a PUC administrative law judge ordering Verizon PA and Verizon North to revise their tariffs to permit their Lifeline 135 customers the opportunity to subscribe to three types of bundled service plans.⁷ Those customers may subscribe to a bundled service package plan that offers 1) local service with three optional services; 2) local service with three optional services plus regional toll service; or 3) local service with three optional services plus regional toll service service at a single monthly rate.

In August 2010, the Commission adopted the final Policy Statement on the Commonwealth of Pennsylvania Guidelines for Designation and Annual Recertification as an ETC for purposes of Federal Universal Service Support (ETC Guidelines).⁸ Designation as an ETC for provision of Lifeline service would permit the wire-line and wireless providers to participate in the federal Universal Service Fund's (USF) Lifeline program in order to receive support from the Low-Income program of the federal USF for these services. In 2015, there were 19 wireless telephone companies providing Lifeline services in Pennsylvania. There were 10 wireless telephone company ETC petitions pending.

On March 2, 2012, the FCC issued a Final Rule⁹ which reformed and begins to modernize the federal USF Lifeline program. The reforms substantially strengthen protections against waste, fraud and abuse; improve program administration and accountability; improve enrollment and consumer disclosures; initiate modernization of the program for broadband; and constrain the growth of the program in order to reduce the burden of all who contribute to the federal USF. In addition, the FCC identified numerous unserved census block groups in Pennsylvania where wireless ETCs may bid to receive Mobility Fund Phase 1 support to build infrastructure over which to deliver 3G or better broadband and voice service. In October 2012, T-Mobile Northeast, LLC and NEP Cellcorp, Inc. won the bids to build the infrastructure in designated areas of Pennsylvania.

The following table shows enrollment activity for the major telephone companies' Lifeline programs in 2014 and 2015.

⁵ To provide Lifeline services, telephone companies must be designated Eligible Telecommunications Carriers (ETC) by their state commission or the FCC. ETCs may receive universal service funding.

⁶ 66 Pa. C.S. §§ 30 (f) (1-4). These rules apply to all Pennsylvania incumbent local exchange carriers and three competitive local exchange carriers.

⁷ See Dockets C-20077916 and C-20077917.

⁸ Docket No. M-2010-2164741 which codifies the guidelines at 52 Pa. Code § 69.2501.

⁹ 47 CFR Part 54 – Universal Service

Company	Total Nu Customers W Lifeline S	ho Received	Total Number of Customers Enrolled as of December		
	2014	2015	2014	2015	
CenturyLink	2,660	2,043	1,596	1,285	
Frontier Commonwealth	2,314	2,062	1,861	1,152	
Verizon North*	6,845	3,079	2,114	2,089	
Verizon PA*	38,399	20,487	13,456	15,028	
Windstream	4,572	3,610	3,052	2,182	
Total	54,790	31,281	22,079	21,736	

Lifeline Service Activity 2014-15

* The figures for Verizon PA and Verizon North include customers enrolled in both the Lifeline and Lifeline 135 programs.

As of July 1, 2015, the monthly credit¹⁰ is \$9.25 for the Lifeline 135 program, and \$11.75 for the Verizon companies' Lifeline program.

Universal Telephone Assistance Program

Verizon PA implemented a Universal Telephone Assistance Program (UTAP) along with its Lifeline service program as part of a settlement agreement that was approved by the Commission August 4, 1995 (P-00930715, P-00950958). Verizon PA is the only company that offers a financial assistance program that helps existing Lifeline customers and qualified Lifeline applicants (with a pre-existing basic service arrearage) to restore their basic telephone service. The Dollar Energy Fund manages UTAP and distributes funds to qualified customers and Lifeline applicants. The average UTAP assistance grant given to customers in 2015 was \$70. Overall, UTAP distributed \$7,746 in financial assistance to 110 of Verizon PA's qualified customers in 2015.

Automatic Notification Program

The Lifeline service automatic notification provision at 66 Pa.C.S. § 3019(f)(5) requires that all jurisdictional ETCs provide the Department of Human Services (DHS), formerly the Department of Public Welfare, with service descriptions, subscription forms, contact telephone numbers and service area information so DHS can notify its clients about the availability of Lifeline service. In 2005, a working group consisting of representatives of the PUC, Pennsylvania Telephone Association, the Office of Consumer Advocate and the Pennsylvania Utility Law Project worked with DHS to implement this provision. Commission staff coordinated with members of the working group to develop subscription forms and

¹⁰ The monthly credit is subject to change due to the Federal Subscriber Line Cost rate changes.

Wireless ETC Designation

Virgin Mobile USA, LP is the first wireless carrier designated by the Commission to be an ETC. On Dec. 22, 2010, the Commission approved Virgin Mobile's petition (Docket No. P-2010-2155915) for limited designation as an ETC. In January 2011, Virgin Mobile filed a petition for reconsideration to lift the Public Safety Answering Point (PSAP) certification requirements since the FCC no longer required facilities-based carriers seeking ETC status to comply with the PSAP certification obligations. The Commission approved Virgin Mobile 's petition for reconsideration on Feb.10, 2011. Effective Feb. 14, 2011, Virgin Mobile could operate its Lifeline program, Assurance Wireless, in Pennsylvania.

On Oct. 14, 2011, the Commission approved YourTel America's petition to become an ETC in Pennsylvania. The Commission approved the ETC petitions for Cricket Communications, Inc.; Keystone Wireless, LLC d/b/a Immix Wireless; T-Mobile Northeast, LLC; T-Mobile Central, LLC and Voicestream Pittsburgh, LP; Budget Prepay, Inc.; Global Connection, Inc. and Tag Mobile, LLC in 2012. Keystone and T-Mobile each petitioned for both low-income and high-cost support and sought federal Mobility Fund Phase 1 support. The federal Mobility Fund Phase 1 support will accelerate delivery of advanced mobile services to tens of thousands of road miles that currently lack 3G or 4G service. Winning bidders must deploy either 3G service within two years or 4G service within three years of the award. T-Mobile was approved for the federal Mobility Fund Phase 1 support in Pennsylvania. T-Mobile was awarded \$1,772,094, equaling 74.93 road miles.

The Commission approved the ETC petitions of Q-link Wireless, LLC; Blue Jay Wireless, LLC; Nexus Communications, Inc. and Telrite Corp. in 2013 and AmeriMex Communications Corp.; Airvoice Wireless, LLC; Buffalo-Lake Erie Wireless Systems Co., LLC and Sage Telecom Communications, LLC; Full Service Network, LP; iWireless, LLC and American Broadband and Telecommunications Company in 2015 and the amended ETC petition of NEP Cellcorp, Inc. during 2014. The Commission granted relinquishment of ETC designation for Aegis Telecom, Inc.; Cricket Communications, Inc.; NEP Cellcorp, Inc. and approved Budget Prepay, Inc.'s Petition for Limited Waiver of Annual Re-Certification Requirements for Lifeline Subscribers in 2015.

The Commission became aware that Keystone Wireless was not offering Lifeline service. On Jan. 13, 2014 the Commission issued a letter to Keystone Wireless advising the company that it was ineligible for the high cost funding support from the federal USF mechanism, and that its information would be removed from our Lifeline information packets until it complies with the Commission Order approving the company's petition for ETC designation. Keystone Wireless, LLC legally changed its name to Limitless Mobile, LLC on Jan. 10, 2014. Limitless Mobile (Keystone) provided Lifeline service to one consumer during 2014 and 2015.

For more information about the telephone universal service programs, contact Sandra Johnson-Gumby in BCS at (717) 772-0806 or by email at <u>sjohnson-g@pa.gov</u>.

Glossary of Terms

Competitive Local Exchange Carrier (CLEC) - A telecommunications provider that competes with other already established telecommunications providers to provide local telephone service.

Consumer Complaint Rate - The number of consumer complaints per 1,000 residential customers.

Consumer Complaints - Cases to BCS involving billing, service, rates and other issues not related to requests for payment terms.

Cramming - The submission or inclusion of unauthorized, misleading or deceptive charges for products or services on an end-user customer's local telephone bill.

Customer Assistance Programs (CAPs) - Alternative collection programs set up between a utility company and a customer that allow low-income, payment-troubled customers to pay utility bills that are based on household size and gross household income. CAP participants agree to make regular monthly payments, which are usually less than the current bill, in exchange for continued utility service.

Electric Distribution Company (EDC) - Owner of the power lines and equipment necessary to deliver purchased electricity to the customer.

Electric Generation Supplier (EGS) - A person or corporation, generator, broker, marketer, aggregator or other entity that sells electricity, using the transmission or distribution facilities of an EDC.

Hardship Funds - Utility-sponsored funds that provide cash assistance to low-income utility customers to help them pay their utility bills.

Incumbent Local Exchange Carrier (ILEC) - A telecommunications company that was providing local telephone service in 1996 to customers in a specific geographic area designated by the Federal Communications Commission and held a certificate from the PUC.

Infraction - A misapplication or infringement of a Commission regulation, particularly the standards and billing practices for residential utility service.

Infraction Rate - The number of informally verified infractions per 1,000 residential customers (includes infractions drawn from both consumer complaints and PARs).

Inquiries - Consumer contacts to BCS that, for the most part, require no follow-up investigation beyond the initial contact.

Justified Consumer Complaint Rate - The number of justified consumer complaints per 1,000 residential customers.

Exhibit 1131 Part 4 Justified Payment Arrangement Request Rate - The number of justified PARs per 1,000 residential customers.

Local Exchange Carrier (LEC) - A public utility that provides basic telephone service either exclusively or in addition to toll service.

Natural Gas Distribution Company (NGDC) - A natural gas utility regulated by the PUC that owns the gas lines and equipment necessary to deliver natural gas to the consumer.

Natural Gas Supplier (NGS) - An entity other than an NGDC that sells, or arranges to sell, natural gas to customers using the distribution lines of an NGDC.

Payment Arrangement Request Rate - The number of PARs per 1,000 residential customers.

Payment Arrangement Requests (PARs) - Consumer requests for payment arrangements principally include contacts to BCS involving a request for payment terms in one of the following situations: suspension/termination of service is pending; service has been suspended/terminated and the customer needs payment terms to have service restored; or the customer wants to retire an arrearage.

Problem Categories - A breakdown of residential consumer complaints by specific problem categories such as billing, credit and deposits, service quality, rates, etc.

Response Time in Days - Response time is the time span in days from the date of BCS's first contact with the company regarding a complaint to the date on which the utility provides BCS with its report regarding the complaint. Response time quantifies the speed of a utility's response to BCS consumer complaints and PARs.

Slamming - The unauthorized switching of a customer's service provider. In telecommunications, slamming refers to changing a customer's local exchange carrier or primary long-distance service provider without the customer's consent. In electric and gas, slamming refers to changing the customer's supply provider without customer authorization.

Termination Rate - For the electric and gas industries, termination rate is the number of service terminations divided by the number of residential customers. For the telephone industry, termination rate is the number of service terminations per 1,000 residential customers.

Appendices

Appendix A Consumer Ratings of BCS Service

How quickly did the PUC handle your request?						
	2014	2015				
Very quickly	45%	53%				
Fairly quickly	33%	28%				
Not very quickly	10%	7%				
Not at all quickly	7%	9%				
Don't recall	2%	1%				
Have not heard from PUC	3%	2%				
How easy to understa	nd was the information	the PUC				
gave you about th	e outcome of the prob	lem?				
	2014	2015				
Very easy	54%	51%				
Fairly easy	23%	20%				
Not very easy	3%	4%				
Not at all easy	3%	2%				
Don't recall	3%	4%				
Did not receive any information	13%	19%				
How polite was the first p	erson you talked with o	at the PUC?				
	2014	2015				
Very polite	74%	78%				
Fairly polite	16%	14%				
Not very polite	2%	2%				
Not at all polite	2%	2%				
Don't recall	1%	1%				
Did not speak to anyone	5%	4%				
How interested in helping you was	the first person you tal	ked with at the PUC?				
	2014	2015				
Very interested	66%	69%				
Fairly interested	23%	22%				
Not very interested	5%	4%				
Not at all interested	2%	2%				
Don't recall	1%	1%				
Did not speak to anyone	3%	2%				
If you had another problem with a	a utility, would you con	tact the PUC again?				
	2014	2015				
Yes	83%	86%				
No	7%	5%				
Not sure	10%	9%				
	/7	,,,,				

Appendix B

Total Volume of Consumer Complaints and Payment Arrangement Requests to BCS in 2014-15

	Consumer Complaints					Payment Arrangement Requests						
Industry	I	Residenti	al	С	Commerc	ial	Residential			Commercial		
	2014	2015	Percent Change	2014	2015	Percent Change	2014	2015	Percent Change	2014	2015	Percent Change
Electric	13,971	6,248	-55%	1,024	611	-40%	41,665	32,001	-23%	408	326	-20%
Gas	2,783	1,765	-37%	180	158	-12%	15,480	13,818	-11%	140	133	-5%
Water	627	620	-1%	39	43	10%	4,236	4,024	-5%	19	23	21%
Telephone	992	1,091	10%	112	145	29%	120	106	-12%	2	1	-50%
Other*	5	3	-40%	2	0	-100%	8	2	-75%	1	0	-100%
Total	18,378	9,727	-47%	1,357	957	-2 9 %	61,509	49,951	-1 9 %	570	483	-15%

* Sewer and steam heat complaints are designated as "other."

Appendix C

2015 Residential Informal Complaints (Consumer Complaints and PARs) for Companies Not Included in Industry Chapters

Company*	Number of Complaints	Total Number of Complaints Includes Secondary Company Complaints **
ELECTRIC		
City Electric – Lewisburg (EDC)	6	6
Pike County Light and Power (EDC)	25	26
Non-Major Electric Distribution Companies (EDCs)***	4	4
AEP Energy (EGS)	49	55
Alpha Gas & Electric (EGS)	18	18
Ambit Energy (EGS)	98	108
American Power & Gas of PA (EGS)	153	160
AP Gas and Electric (EGS)	5	7
Bargain Energy (EGS)	13	14
Bounce Energy (EGS)	13	15
Champion Energy Services (EGS)	17	18
Choice Energy Services (EGS)	14	15
Clearview Electric (EGS)	19	20
Commerce Energy (EGS)	8	9
Con Edison Solutions (EGS)	12	15
Constellation Energy Power Choice (EGS)	20	22
Discount Energy Group (EGS)	11	12
Discount Power (EGS)	24	27
Dominion Energy Services (EGS)	7	12
Elec-Direct Energy Services (EGS)	85	95
Energy Plus Holdings (EGS)	60	64
Entrust Energy (EGS)	11	12

* Only those non-major companies having five or more complaints in 2015 are listed individually. Non-major companies having fewer than five residential complaints in 2015 are included in the appropriate general category for their industry, i.e. "Other Non-Major Electric Distribution Companies" or "Other CLECs," etc.

** Includes complaints where the non-major company is listed as the secondary company.

2015 Residential Informal Complaints (Consumer Complaints and PARs) for Companies Not Included in Industry Chapters

Company*	Number of Complaints	Total Number of Complaints Includes Secondary Company Complaints **
ELECTRIC (continued)		
Ethical Electric (EGS)	58	63
First Energy Solutions (EGS)	51	95
Frontier Utilities Northeast (EGS)	43	45
Gateway Energy (EGS)	7	7
Great American Power (EGS)	10	15
Green Mountain Energy (EGS)	23	31
Hiko Energy (EGS)	0	5
Hop Energy (EGS)	6	6
IDT Energy (EGS)	66	82
Inspire Energy Holdings (EGS)	20	21
Interstate Gas Supply (EGS)	49	59
Just Energy PA (EGS)	6	6
Liberty Power (EGS)	18	20
New Energy Ventured - Mid Atlantic (EGS)	21	26
Nextera Energy Service PA (EGS)	18	23
North American Power and Gas (EGS)	76	78
NRG Solutions (EGS)	62	72
Oasis Energy (EGS)	31	33
Palmco Power PA (EGS)	65	70
Park Power (EGS)	14	14
Pennsylvania Gas and Electric (EGS)	63	70
Planet Energy PA Corp (EGS)	91	94

* Only those non-major companies having five or more complaints in 2015 are listed individually. Non-major companies having fewer than five residential complaints in 2015 are included in the appropriate general category for their industry, i.e. "Other Non-Major Electric Distribution Companies" or "Other CLECs," etc.

** Includes complaints where the non-major company is listed as the secondary company.

2015 Residential Informal Complaints (Consumer Complaints and PARs) for Companies Not Included in Industry Chapters

Company*	Number of Complaints	Total Number of Complaints Includes Secondary Company Complaints **
ELECTRIC (continued)		
Plymouth Rock Energy (EGS)	18	19
Public Power (EGS)	52	57
Residents Energy (EGS)	6	6
Respond Power (EGS)	71	79
SFE Energy (EGS)	80	82
Shipley Oil Company (EGS)	0	6
Smartenergy.com (EGS)	14	14
Spark Energy (EGS)	21	25
Sperian Energy (EGS)	124	133
Starion Energy of PA (EGS)	10	15
Stream Energy (EGS)	20	23
Talen Energy fka PPL Energy Plus (EGS)	15	23
Think Energy/Engie Retail (EGS)	5	6
TriEagle Energy (EGS)	13	16
Verde Energy USA (EGS)	93	105
Viridian Energy (EGS)	7	7
Xoom Energy PA (EGS)	9	10
YEP Energy (EGS)	9	9
Other Electric Generation Suppliers (EGSs)***	35	39
Total Non-Major Electric	2,072	2,343

* Only those non-major companies having five or more complaints in 2015 are listed individually. Non-major companies having fewer than five residential complaints in 2015 are included in the appropriate general category for their industry, i.e. "Other Non-Major Electric Distribution Companies" or "Other CLECs," etc.

** Includes complaints where the non-major company is listed as the secondary company.

2015 Residential Informal Complaints (Consumer Complaints and PARs) for Companies Not Included in Industry Chapters

Company*	Number of Complaints	Total Number of Complaints Includes Secondary Company Complaints **
GAS		
Peoples TWP (NGDC)	189	189
UGI Central Penn Gas (NGDC)	391	393
Valley Energy (FKA PA & Southern Gas) (NGDC)	6	6
Other Non-Major Natural Gas Distribution Companies (NGDCs)***	12	11
Ambit Northeast (NGS)	16	19
American Power & Gas of PA (NGS)	16	17
Direct Energy (NGS)	7	7
Dominion Energy Solutions (NGS)	10	16
Major Energy (NGS)	9	9
North American Power & Gas (NGS)	6	9
Palmco Energy (NGS)	13	14
Pennsylvania Gas and Electric (NGS)	10	10
Planet Energy (NGS)	5	5
SFE Energy (NGS)	11	11
Titan Gas & Power (NGS)	6	7
Verde Energy USA PA (NGS)	5	5
WGL Energy Services (NGS)	9	9
Other Natural Gas Suppliers (NGSs)***	28	29
Total Non-Major Gas	749	766

* Only those non-major companies having five or more complaints in 2015 are listed individually. Non-major companies having fewer than five residential complaints in 2015 are included in the appropriate general category for their industry, i.e. "Other Non-Major Electric Distribution Companies" or "Other CLECs," etc.

** Includes complaints where the non-major company is listed as the secondary company.

2015 Residential Informal Complaints (Consumer Complaints and PARs) for Companies Not Included in Industry Chapters

Company*	Number of Complaints
WATER	
Imperial Point Water (WP)	5
Reynolds Water (WP)	8
Other Private Water***	16
City of Lancaster (WM)	10
City of Philadelphia (WM)	5
Municipal Water***	10
Total Non-Major Water	54
TELEPHONE	
Other Non-Major Incumbent Local Exchange Carriers (ILECs)***	0
AT&T Local (CLEC)	11
Consolidated Comm of PA (ILEC)	5
Full Service Network (CLEC)	10
RCN Telecom of PA (IXC & CLEC)	8
T-Mobile dba Inreach (wireless)	7
Tracfone dba Safelink Wireless	6
Other Competitive Local Exchange Carriers (CLECs)***	5
Other Providers of Telecommunications Services***	44
Total Non-Major Telephone	96

* Only those non-major companies having five or more complaints in 2015 are listed individually. Non-major companies having fewer than five residential complaints in 2015 are included in the appropriate general category for their industry, i.e. "Other Non-Major Electric Distribution Companies" or "Other CLECs," etc.

** Includes complaints where the non-major company is listed as the secondary company.

Appendix D-1 Classification of Consumer Complaints Electric, Gas & Water

Billing Disputes - Complaints about bills from the utility: high bills; inaccurate bills or balances; installation charges; customer charges; service charges; repair charges; late payment charges; frequency of bills; and the misapplication of payment on bills.

Competition - Complaints about issues that are directly related to competition: enrollment/eligibility; application and licensing; supplier selection; changing/switching suppliers, which includes slamming; advertising and sales; billing; contracts; and credit and deposits. This category also includes any complaints about more general competition issues such as consumer education, pilot programs and restructuring.

Credit & Deposits - Complaints about a company's requirements to provide service: applicant must pay another person's bill, applicant must complete an application, applicant must provide identification, or applicant must pay a security deposit. This category also includes complaints about the amount of or the amortization of a deposit, the payment of interest on a deposit or the failure of a company to return a deposit to the customer.

Damages - Complaints about a company's lack of payment or lack of restored property related to damages to equipment, appliances or property due to service outages, company construction or repair, and improperly delivered or transferred service.

Discontinuance/Transfer - Complaints related to the responsibility for or the amount of bills after discontinuance or transfer of service: The customer requested discontinuance of service, and the company failed to finalize the account as requested or transferred a balance to a new or existing account from the account of another person or location.

Metering - Billing complaints directly related to the reading of or the failure to read the customer's meter and the accuracy of the meter readings (company reading, customer supplied reading or misreading).

Other Payment Issues - Complaints about the amount of budget bills or the transfer of a customer's debt to a collection agency.

Personnel Problems - Complaints about performance by company personnel: a company representative did not finish the job correctly; a meter reader entered a customer's home to read the meter without knocking; company personnel will not perform a requested service; business office personnel treated the customer rudely; and overall mismanagement of a utility. This category also includes any complaints about sales such as appliance sales by the utility, as well as complaints about a company's CAP program or procedures.

Rates - General or specific complaints about a utility's rates: general or specific rates are too high, the company's rates are being used to recover advertising costs, or the customer is being billed on the incorrect rate.

Exhibit 1131 Part 4 Scheduling Delays - Complaints about problems with a company's scheduling: delays in scheduling or repairing service or relocating poles, failures to keep scheduled meetings or appointments, and lack of accessibility to customers.

Service Extensions - Complaints about line extensions or installation of service: the responsibility for line extensions; the cost and payment for line extensions; inspection requirements; delay in installation; connection or disconnection of service; and denial of service extensions.

Service Interruptions - Complaints about service interruptions: the frequency of service interruptions, the duration of interruptions or the lack of prior notice regarding interruptions.

Service Quality - Complaints about a utility's product: the quality of the product is poor (water quality, voltage or pressure); the company's equipment is unsatisfactory or unsafe; the company fails to act on a complaint about safety; the company plans to abandon service; the company does not offer needed service; the company wants to change location of equipment; or the company providing service is not certified by the Commission (defactos).

Termination or PAR Procedures - Complaints about termination and/or payment arrangement procedures: The customer claims no termination notice was received, the company failed to honor a medical certificate, there was a delay in reconnection after the bill was paid.

All Other Problems - All other complaints that do not fit into the above categories, including, but not limited to, credit application procedures.

Appendix D-2 Classification of Consumer Complaints Telephone

Annoyance Calls - Complaints about the company's failure to resolve problems related to receiving unsolicited sales calls or harassing calls. This includes the company's failure to change the phone number or initiate an investigation, and problems with auto dialers and fax machines.

Billing Disputes - Complaints about bills from the utility: high bills; inaccurate bills or balances; installation charges; customer charges; service charges; repair charges; late payment charges; frequency of bills; and the misapplication of payment on bills.

Competition - Complaints about changing/switching service providers, slamming, cramming, competition-related billing problems, contracts, competition-related service problems and all other problems associated with competition in the telecommunications marketplace.

Credit & Deposits - Complaints about a company's requirements to provide service: applicant payment of another person's bill; completion of an application; provision of identification; or payment of a security deposit. This category also includes complaints about the amount of or the amortization of a deposit, the payment of interest on a deposit or the failure of a company to return a deposit to the customer.

Discontinuance/Transfer - Complaints related to responsibility for or the amount of bills after discontinuance or transfer of service; company failure to finalize the account as requested; or the company's transfer of a balance to a new or existing account from the account of another person or location.

Non-Recurring Charges - Complaints about one-time charges for installation of basic and/or non-basic services.

Service Delivery - Complaints about delays in service installations or disconnections of service and failures to keep scheduled appointments; lack of facilities to provide service; unauthorized transfer of service; unavailability of special services; and the rudeness of business office personnel.

Service Terminations - Complaints about suspension or termination procedures when there is no need for a payment agreement.

Toll Services - Complaints about charges for local toll and/or long-distance toll services.

Unsatisfactory Service - Complaints about poor service quality, problems with the assignment of phone numbers, incorrect information in phone directories, lack of directories, equal access to toll network, and service interruptions and outages.

All Other Problems - All other complaints that do not fit into the above categories, including complaints about audiotex (special phone entertainment or information services), excessive

Exhibit 1131 Part 4

coin-phone rates, extended area of service (limited local calling area), rates (general or specific rates are too high or the customer is being billed on the incorrect rate) and sales nonbasic service (including the availability of certain services). Also included are complaints that were taken in by BCS, but closed before they could be investigated because the consumer called back and resolved the complaint with the company or because the consumer changed their mind about filing the complaint. In addition, some complaints, during investigation, were found to not fall under the PUC's jurisdiction.

Consumer Complaint Categories: 2015* Major Electric Distribution Companies

Categories**	Duquesne ***	Met-Ed***	PECO***+	Penelec ***	Penn Power	PPL***	UGI- Electric	West Penn***	Electric Majors
Billing Disputes	143	77	97	86	31	121	12	67	634
Termination or PAR Procedures	49	56	66	61	26	105	10	42	415
Credit and Deposits	40	68	68	94	14	29	2	64	379
Personnel Problems	63	36	67	44	18	42	11	69	350
Metering	16	94	27	65	26	21	5	41	295
Discontinuance/ Transfer	26	34	41	47	8	48	10	19	233
Other Payment Issues	70	14	17	10	5	16	2	17	151
Damages	8	7	4	12	8	14	0	60	113
Service Quality	1	12	11	9	3	16	1	26	79
Service Extensions	5	8	7	9	4	6	0	37	76
Service Interruptions	11	11	12	10	2	8	0	22	76
Scheduling Delays	12	5	7	2	0	4	0	16	46
Rates	3	9	1	6	9	5	0	11	44
All Other Problems	9	19	25	18	6	28	3	13	121
Total	456	450	450	473	160	463	56	504	3,012

* Categories are for residential complaints opened in 2015 and evaluated by BCS as of July 22, 2016.

** An explanation of the various complaint categories appears in Appendix D-1.

*** Statistics are based on a probability sample of cases.

+ PECO statistics include electric and gas.

Consumer Complaint Categories: 2015* Major Natural Gas Distribution Companies

Categories**	Columbia	NFG	Peoples	Peoples- Equitable	PGW***	UGI-Gas	UGI Penn Natural	Gas Majors
Personnel Problems	22	5	17	27	82	10	6	169
Termination or PAR Procedures	8	17	28	11	57	21	24	166
Discontinuance/ Transfer	9	8	10	12	109	11	6	165
Billing Disputes	11	9	27	14	72	15	7	155
Damages	10	8	17	4	30	12	8	89
Other Payment Issues	4	3	4	5	55	2	3	76
Service Quality	9	3	17	6	10	10	2	57
Metering	1	4	7	2	32	3	1	50
Credit and Deposits	3	4	2	3	4	19	13	48
Scheduling Delays	6	0	11	9	19	3	0	48
Service Extensions	10	3	7	4	1	11	3	39
Rates	3	0	2	2	1	1	0	9
Service Interruptions	1	0	1	1	3	2	1	9
All Other Problems	2	3	3	3	7	3	7	28
Total	99	67	153	103	482	123	81	1,108

* Categories are for residential complaints opened in 2015 evaluated by BCS as of July 22, 2016.

** An explanation of the various complaint categories appears in Appendix D-1.

*** Statistics are based on a probability sample of cases.

Appendix E - Table 3 Consumer Complaint Categories: 2015* Major Water Companies

Categories**	Αqua	PAWC	"Other Class A" Water	All "Class A" Water
Billing Disputes	71	88	19	178
Termination or PAR Procedures	18	56	4	78
Service Quality	21	43	7	71
Personnel Problems	13	38	5	56
Metering	16	19	2	37
Credit and Deposits	13	6	1	20
Damages	3	11	1	15
Scheduling Delays	8	4	1	13
Discontinuance/Transfer	9	3	0	12
Service Interruptions	2	6	1	9
Other Payment Issues	2	6	0	8
Rates	1	2	0	3
Service Extensions	0	0	1	1
All Other Problems	2	4	2	8
Total	179	286	44	509

* Categories are for residential complaints opened in 2015 and evaluated by BCS as of July 22, 2016.

** An explanation of the various complaint categories appears in Appendix D-1.

Consumer Complaint Categories: 2015* Major Local Telephone Companies

Categories**	CenturyLink	Frontier Common- wealth	Verizon North	Verizon PA***	Windstream	Telephone Majors
Unsatisfactory Service	7	16	24	196	23	266
Billing Disputes	11	13	4	140	8	176
Service Delivery	5	6	7	136	5	159
Service Terminations	6	0	1	22	1	30
Competition	0	0	2	11	1	14
Credit and Deposits	0	0	0	4	1	5
Discontinuance/Transfer	0	0	0	3	1	4
Annoyance Calls	0	1	0	2	0	3
Toll Services	1	0	0	0	0	1
Non-Recurring Charges	0	0	0	0	0	0
All Other Problems	4	3	4	100	3	114
Total	34	39	42	614	43	772

* Categories are for residential complaints opened in 2015 and evaluated by BCS as of July 22, 2016.

** An explanation of the various complaint categories appears in Appendix D-2.

*** Statistics are based on a probability sample of cases.

2014-15 Residential Consumer Complaint Statistics

Major Electric Distribution Companies

Company	2015		Residential Consumer Complaints to BCS			sumer plaint tes1	Justified Consumer Complaints				
Name	Residential Customers	2014	2015	% Change in #	2014	2015	Numk Rat 20	es ³	Numb Rat 20	es ³	
Duquesne	525,714	608	632	4%	1.15	1.20	64*	0.12*	144*	0.27*	
Met-Ed	492,501	1,213	731	-40%	2.48	1.48	330*	0.67*	125*	0.25*	
PECO ⁵	1,455,425	1,634	1,143	-30%	1.13	0.79	172*	0.12*	117*	0.08*	
Penelec	502,415	783	572	-27%	1.55	1.14	164	0.33	89*	0.18*	
Penn Power	142,591	161	180	12%	1.14	1.26	27	0.19	23	0.16	
PPL	1,226,583	1,801	728	-60%	1.47	0.59	255*	0.21*	75*	0.06*	
UGI-Electric	55,805	66	59	-11%	1.18	1.06	5	0.09	13	0.23	
West Penn	622,404	829	655	-21%	1.33	1.05	154*	0.25*	71*	0.11*	
Major Electric	5,023,438	7,095	4,700	00 -34%			1,171		657		
Average of Rates					1.474	1.074		0.274		0.164	

¹ Consumer complaint rate = consumer complaints per 1,000 residential customers.

² Estimated based on the number of cases in CSIS as of July 22, 2016.

³ Justified consumer complaint rate = justified consumer complaints per 1,000 residential customers.

⁴ Does not include UGI-Electric.

⁵ PECO statistics include electric and gas.

* Based on a probability sample of cases.

2014-15 Residential Consumer Complaint Statistics

Major Natural Gas Distribution Companies

Company	2015 Decidential		lential Con mplaints to		Com	umer plaint es ¹		Justified (Comp	Consumer plaints	
Name	Residential Customers	2014	2015	% Change in #	2014	2015	Rat	pers ² / es ³ 14	Rat	pers ² / res ³ 15
Columbia	387,781	185	147	-21%	0.48	0.38	14	0.04	7	0.02
NFG	199,061	102	74	-27%	0.51	0.37	19 0.10		12	0.06
Peoples	331,587	171	182	6%	0.52	0.55	39 0.12		14	0.04
Peoples- Equitable	245,930	187	121	-35%	0.77	0.49	12	0.05	9	0.04
PGW	470,788	1,416	827	-42%	3.02	1.76	179*	0.38*	134*	0.28*
UGI-Gas	338,929	265	150	-43%	0.80	0.44	31	0.09	13	0.04
UGI Penn Natural	151,649	170	87	-49%	1.13	0.57	17	0.11	13	0.09
Major Gas	2,125,725	2,496	1,588	-36%			311		202	
Average of Rates					1.03	0.65		0.13		0.08

¹ Consumer complaint rate = consumer complaints per 1,000 residential customers.

² Estimated based on the number of cases in CSIS as of July 22, 2016.

³ Justified consumer complaint rate = justified consumer complaints per 1,000 residential customers.

* Based on a probability sample of cases.

2014-15 Residential Consumer Complaint Statistics Major Water Companies

Company Name	2015 Residential		dential Cor omplaints to		Com	umer plaint tes1			Consume olaints	r
Nume	Customers	2014	2015 % Change 201		2014	2015	Numl Rat 20	es ³	Ra	bers²/ tes³)15
Aqua	390,310	164	198	21%	0.42	0.51	63	0.16	65	0.17
PAWC	597,114	421	345	-18%	0.71	0.58	173	0.29	104	0.17
"Other Class A" Water	140,971	20	47	135%	0.14	0.33	4	0.03	2	0.01
Major Water	1,128,395	605	590	-2%			240		171	
Average of Rates					0.42	0.47		0.16		0.12

¹ Consumer complaint rate = consumer complaints per 1,000 residential customers.

² Estimated based on the number of cases in CSIS as of July 22, 2016.

³ Justified consumer complaint rate = justified consumer complaints per 1,000 residential customers.

2014-15 Residential Consumer Complaint Statistics Major Local Telephone Companies

Company	2015		dential Co mplaints t		Com	umer plaint es ¹	Justified Consumer Complaints					
Name	Residential Customers	2014	2015	% Change in #	2014	2015	Rat	bers²/ tes³ 14		pers ² / es ³ 15		
CenturyLink	135,049	36	35	-3%	0.25	0.26	18	0.13	16	0.12		
Frontier Commonwealth	106,048	42	39	-7%	0.38	0.37	22	0.20	27	0.25		
Verizon North	169,153	38	43	13%	0.20	0.25	15	0.08	24	0.14		
Verizon PA	887,433	741	847	14%	0.74	0.95	308*	0.31*	328*	0.37*		
Windstream	96,794	35	43	23%	0.34	0.44	19	0.19	32	0.33		
Major Telephone	1,394,477	892	1,007	13%			382		427			
Average of Rates					0.38	0.46		0.18		0.24		

¹ Consumer complaint rate = consumer complaints per 1,000 residential customers.

² Estimated based on the number of cases in CSIS as of July 22, 2016.

³ Justified consumer complaint rate = justified consumer complaints per 1,000 residential customers.

* Based on a probability sample of cases.

2014-15 Residential Payment Arrangement Request (PAR) Statistics

Major Electric Distribution Companies

Company	2015		Residention PARs to BC		PARI	Rates ¹	Justified PARs					
Name	Residential Customers	2014	2015	% Change In #	2014	2015	Numb Rat 20		Numb Rat 20	es ³		
Duquesne	525,714	3,697	2,372	-36%	7.01	4.51	72	0.14	375	0.71		
Met-Ed	492,501	3,577	3,221	-10%	7.30	6.54	230	0.47	314	0.64		
PECO ⁵	1,455,425	8,358	6,157	-26%	5.78	4.23	481	0.33	433	0.30		
Penelec	502,415	3,305	3,171	-4%	6.56	6.31	168	0.33	296	0.59		
Penn Power	142,591	793	947	19%	5.59	6.64	46	0.32	112	0.79		
PPL	1,226,583	17,062	12,793	-25%	13.96	10.43	467	0.38	637	0.52		
UGI-Electric	55,805	656	498	-24%	11.74	8.92	38	0.68	69	1.24		
West Penn	622,404	2,307	2,318	<1%	3.71	3.72	106	0.17	135	0.22		
Major Electric	5,023,438	39,755	31,477	-21%			1,608		2,371			
Average of Rates					7.134	6.064		0.314		0.544		

¹ PAR rate = PARs per 1,000 residential customers.

² With the exception of UGI-Electric, each company's number of justified PARs is estimated based on a probability sample of cases. The number of justified PARs for all EDCs is based on the number of cases in CSIS as of July 22, 2016.

³ Justified PAR rate = justified PARs per 1,000 residential customers.

⁴ Does not include UGI-Electric.

⁵ PECO statistics include electric and gas.

2014-15 Residential Payment Arrangement Request (PAR) Statistics Major Natural Gas Distribution Companies

Company	2015		Residentia PARs to BC		PAR R	ates ¹	Justified PARs				
Name	Residential Customers	2014	2015	% Change in #	2014	2015	Numb Rat 20	es ³	Ra	oers²/ tes³ 15	
Columbia	387,781	796	679	-15%	2.06	1.75	16	0.04	12	0.03	
NFG	199,061	614	489	-20%	3.09	2.46	40	0.20	21	0.11	
Peoples	331,587	825	1,057	28%	2.50	3.19	51*	0.15*	14*	0.04*	
Peoples-Equitable	245,930	1,102	495	-55%	4.52	2.01	11*	0.05*	17	0.07	
PGW	470,788	7,347	7,434	1%	15.66	15.79	229*	0.49*	185*	0.39*	
UGI-Gas	338,929	2,506	1,784	-29%	7.56	5.26	176*	0.53*	218*	0.64*	
UGI Penn Natural	151,649	1,627	1,308	-20%	10.81	8.63	156*	1.04*	183*	1.21*	
Major Gas	2,125,725	14,817	13,246	-11%			679		650		
Average of Rates					6.60	5.58		0.36		0.36	

¹ PAR rate = PARs per 1,000 residential customers.

² The number of justified PARs for all NGDCs is based on the number of cases in CSIS as of July 22, 2016.

³ Justified PAR rate = justified PARs per 1,000 residential customers.

* Estimated based on a probability sample of cases.

2014-15 Residential Payment Arrangement Request (PAR) Statistics Major Water Companies

C ommonweit	2015		Residention PARs to BC		PARF	lates ¹	Justified PARs					
Company Name	Residential Customers	2014	2015	% Change in #	2014	2015	Ra	bers²/ tes³)14	Ra	bers²/ tes³)15		
Aqua	390,310	1,096	741	-32%	2.80	1.90	27* 0.07*		42*	0.11*		
PAWC	597,114	2,877	3,083	7%	4.82	5.16	340*	0.57*	573*	0.96*		
"Other Class A" Water	140,971	228	176	-23%	1.65	1.25	0	0.00	11	0.08		
Major Water	1,128,395	4,201	4,000	-5%			367		626			
Average of Rates					3.09	2.77		0.21		0.38		

¹ PAR rate = PARs per 1,000 residential customers.

² Estimated based on a probability sample of cases and the number of cases in CSIS as of July 22, 2016.

³ Justified PAR rate = justified PARs per 1,000 residential customers.

* Based on a probability sample of cases.

2014-15 Residential Payment Agreement Request (PAR) Statistics Major Local Telephone Companies

	2015		Residentic PARs to BC		PAR R	ates ¹	Justified PARs					
Company Name	Residential Customers	2014	2015	% Change in #	2014	2015	Rat	oers²/ tes³ 114	Numk Rat 20			
CenturyLink	135,049	11	6	-45%	0.08	0.04	3	0.02	0	0.00		
Frontier Commonwealth	106,048	1	2	100%	0.01	0.02	0	0.00	2	0.02		
Verizon North	169,153	6	3	-50%	0.03	0.02	2	0.01	0	0.00		
Verizon PA	887,433	91	82	-10%	0.09	0.09	4	0.00	2	0.00		
Windstream	96,794	4	1	-75%	0.04	0.01	1	0.01	0	0.00		
Major Telephone	1,394,477	113	94	-17%			10		4			
Average of Rates					0.05	0.04		0.01		0.00		

¹ PAR rate = PARs per 1,000 residential customers.

² Estimated based on the number of cases in CSIS as of July 22, 2016.

³ Justified PAR rate = justified PARs per 1,000 residential customers.

Appendix H – Table 1

Chapter 56, Chapter 14 and Other Infraction Categories: 2015* Major Electric Distribution Companies

Description	Duqu	Jesne	Me	t-Ed	PEC	:0+	Pen	elec		nn wer	P	PL		GI- ctric	West	Penn
	#	%	#	%	#	%	#	%	#	%	#	%	#	%	#	%
Billing and Payment (56.2-Def. of Billing Month, 56.2-Def. of Billing Period, 56.11, 56.12(7), 56.15, 56.21, 56.22, 56.24 and 56.25)	21	15%	3	3%	4	6%	2	3%	1	2%	16	21%	0	0%	2	3%
Meter Reading (56.12(1)-(6))	3	2%	17	17%	16	25%	7	9%	8	20%	11	14%	0	0%	14	19%
Make-Up Bills (56.14, 57.24, 59.22 and 65.9 (C))	7	5%	7	7%	2	3%	4	5%	0	0%	3	4%	1	7%	2	3%
Transfer of Accounts (56.16, 56.36(b)(3) and 56.72)	5	4%	11	11%	1	2%	3	4%	1	2%	2	3%	1	7%	1	1%
Credit Standards and Deposits (56.31-35, 56.36(b)(1)-(2), 56.37, 56.41, 56.42, 56.51, 56.53 and 1404)	19	14%	28	29%	8	13%	34	45%	12	29%	4	5%	1	7%	25	33%
Termination Grounds (56.2-Def. of Delinquent Acct., 56.81-83 and 56.100)	3	2%	2	2%	3	5%	3	4%	0	0%	1	1%	1	7%	4	5%
Termination Procedures (56.91-97, 56.112, 56.114 and 1406)	4	3%	1	1%	1	2%	1	1%	0	0%	1	1%	0	0%	0	0%
Reconnection of Service (56.115 and 56.191(a)-(c))	1	1%	3	3%	0	0%	0	0%	2	5%	1	1%	1	7%	2	3%
Liability - Responsibility for Bills (56.2-Def. of Applicant, 56.2-Def. of Customer and 56.191(d)-(e))	0	0%	1	1%	0	0%	0	0%	0	0%	0	0%	1	7%	1	1%
Landlord/Ratepayer (1521-1533)	1	1%	1	1%	0	0%	1	1%	0	0%	0	0%	2	14%	0	0%
Dispute Handling (56.2-Def. of Dispute, 56.2-Def. of Initial Inquiry, 56.141-152 and 56.181)	58	41%	15	15%	22	35%	10	13%	11	27%	30	39%	5	36%	18	24%
Other (54.73, 56.1, 56.71, 56.111, 56.202, 57.20 and 1501)	18	13%	9	9%	6	10%	10	13%	6	15%	7	9%	1	7%	6	8%
Total	140		98		63		75		41		76		14		75	

* # equals the number of verified infractions identified by BCS as of Sept. 28, 2016.

% equals the percent of the total number of infractions for a particular company.

+ PECO statistics include electric and gas.

Appendix H – Table 2

Chapter 56, Chapter 14 and Other Infraction Categories: 2015* Major Natural Gas Distribution Companies

Description	Colu	mbia	NI	ĒG	Peo	ples		oles- able	PG	€W	UGI -	- Gas	UGI Nat	
	#	%	#	%	#	%	#	%	#	%	#	%	#	%
Billing and Payment (56.2-Def. of Billing Month, 56.2-Def. of Billing Period, 56.11, 56.12(7), 56.15, 56.21, 56.22, 56.24 and 56.25)	0	0%	0	0%	1	9%	0	0%	18	11%	0	0%	0	0%
Meter Reading (56.12(1)-(6))	0	0%	2	29%	0	0%	0	0%	1	1%	0	0%	0	0%
Make-Up Bills (56.14, 57.24, 59.22 and 65.9 (C))	0	0%	0	0%	0	0%	0	0%	21	13%	0	0%	0	0%
Transfer of Accounts (56.16, 56.36(b)(3) and 56.72)	0	0%	0	0%	0	0%	1	14%	6	4%	0	0%	0	0%
Credit Standards and Deposits (56.31-35, 56.36(b)(1)-(2), 56.37, 56.41, 56.42, 56.51, 56.53 and 1404)	1	50%	0	0%	0	0%	2	29%	13	8%	3	30%	5	45%
Termination Grounds (56.2-Def. of Delinquent Acct., 56.81-83 and 56.100)	0	0%	0	0%	0	0%	0	0%	2	1%	0	0%	1	9%
Termination Procedures (56.91-97, 56.112, 56.114 and 1406)	0	0%	2	29%	0	0%	1	14%	8	5%	0	0%	0	0%
Reconnection of Service (56.115 and 56.191(a)-(c))	0	0%	2	29%	2	18%	0	0%	2	1%	1	10%	2	18%
Liability - Responsibility for Bills (56.2-Def. of Applicant, 56.2-Def. of Customer and 56.191(d)-(e))	0	0%	0	0%	0	0%	0	0%	2	1%	1	10%	1	9%
Landlord/Ratepayer (1521-1533)	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%
Dispute Handling (56.2-Def. of Dispute, 56.2-Def. of Initial Inquiry, 56.141-152 and 56.181)	1	50%	1	14%	1	9%	1	14%	73	44%	3	30%	1	9%
Other (54.73, 56.1, 56.71, 59.18, 62.4(8) and 1501)	0	0%	0	0%	7	64%	2	29%	19	12%	2	20%	1	9%
Total	2		7		11		7		165		10		11	

* # equals the number of verified infractions identified by BCS as of Sept. 28, 2016.

% equals the percent of the total number of infractions for a particular company.

Chapter 56, Chapter 14 and Other Infraction Categories: 2015* Major Water Companies

Description	Aq	IVa	PA	wC	"Other (Class A"
	#	%	#	%	#	%
Billing and Payment (56.2-Def. of Billing Month, 56.2-Def. of Billing Period, 56.11, 56.12(7), 56.15, 56.21, 56.22, 56.24 and 56.25)	2	2%	24	16%	0	0%
Meter Reading (56.12(1)-(6))	0	0%	0	0%	0	0%
Make-Up Bills (56.14, 57.24, 59.22 and 65.9 (C))	4	4%	4	3%	0	0%
Transfer of Accounts (56.16, 56.36(b)(3) and 56.72)	1	1%	0	0%	0	0%
Credit Standards and Deposits (56.31-35, 56.36(b)(1)-(2), 56.37, 56.41, 56.42, 56.51, 56.53 and 1404)	6	6%	5	3%	0	0%
Termination Grounds (56.2-Def. of Delinquent Acct., 56.81-83 and 56.100)	7	7%	11	7%	0	0%
Termination Procedures (56.91-97, 56.112, 56.114 and 1406)	21	22%	9	6%	1	100%
Reconnection of Service (56.115 and 56.191(a)-(c))	2	2%	5	3%	0	0%
Liability - Responsibility for Bills (56.2-Def. of Applicant, 56.2-Def. of Customer and 56.191(d)-(e))	0	0%	0	0%	0	0%
Landlord/Ratepayer (1521-1533)	1	1%	1	1%	0	0%
Dispute Handling (56.2-Def. of Dispute, 56.2-Def. of Initial Inquiry, 56.141-152 and 56.181)	53	55%	84	57%	0	0%
Other (56.1, 56.71 and 56.202)	0	0%	4	3%	0	0%
Total	97		147		1	

* # equals the number of verified infractions identified by BCS as of Sept. 28, 2016.

% equals the percent of the total number of infractions for a particular company.

Appendix H – Table 4

Chapter 63 Infraction Categories: 2015* Major Local Telephone Companies

Description	Centu	ıryLink	Com	ntier mon- alth	Verizon North			izon A	Wind- stream		
	#	%	#	%	#	%	#	%	#	%	
Complaint Procedures (63.15)	6	55%	16	29%	37	34%	134	32%	35	40%	
Service Records (63.22)	1	9%	16	29%	20	18%	44	11%	14	16%	
Customer Trouble Reports (63.57)	3	27%	17	31%	47	43%	214	52%	31	36%	
Installation of Service (63.58)	0	0%	0	0%	0	0%	2	0%	0	0%	
Migration (63.201-221)	1	9%	1	2%	0	0%	8	2%	0	0%	
Other (63.23, 63.24, 63.53 and 63.63)	0	0%	5	9%	5	5%	12	3%	7	8%	
Total	11		55		109		414		87		

* # equals the number of verified infractions identified by BCS as of July 26, 2016.
% equals the percent of the total number of infractions for a particular company.

Appendix H – Table 5

Chapter 64 Infraction Categories: 2015* Major Local Telephone Companies

Description	CenturyLink		Frontier Common- wealth		Verizon North		Verizon PA		Wind- stream	
	#	%	#	%	#	%	#	%	#	%
Billing and Payment (64.1, 64.12-14, 64.16, 64.18- 19 and 64.24)	3	14%	6	15%	0	0%	18	7%	0	0%
Slamming and Cramming (64.23)	0	0%	0	0%	0	0%	10	4%	0	0%
Credit and Deposits (64.32-34 and 64.36)	0	0%	0	0%	0	0%	3	1%	1	2%
Suspension and Termination (64.63, 64.71-74, 64.81, 64.121 64.123, 64.133 and 64.181)	3	14%	1	3%	0	0%	7	3%	2	5%
Dispute Procedures (64.141, 64.142 and 64.153)	11	52%	27	69%	12	63%	179	72%	32	78%
Other (64.2, 64.52-53, 64.192 and 1501)	4	19%	5	13%	7	37%	32	13%	6	15%
Total	21		39		19		249		41	

* # equals the number of verified infractions identified by BCS as of July 26, 2016.

% equals the percent of the total number of infractions for a particular company.

Consumer Access to the Public Utility Commission

The Pennsylvania Public Utility Commission (PUC) provides access to consumers through the following telephone numbers:

PUC Hotline: 1-800-692-7380 (toll free)

Consumers can also reach the Commission by mail at the following address:

Pennsylvania Public Utility Commission P.O. Box 3265 Harrisburg, PA 17105-3265

Information about the PUC is available on the Internet:

www.puc.pa.gov




Utility Complaints -- 2016

	<u>Jan</u>	<u>Feb</u>	<u>Mar</u>	<u>Apr</u>	May	<u>Jun</u>	<u>Jul</u>	Aug	<u>Sep</u>	<u>Oct</u>	Nov	<u>Dec</u>
Central Hudson	31	27	36	38	36	36	42	54	52	38	39	24
ConEd of NY	382	384	376	374	357	384	341	405	446	363	352	331
PSEG LI	67	81	63	78	63	63	58	73	61	76	54	41
Nat Grid LI	38	52	49	42	42	47	38	36	44	27	36	36
NYSEG	87	91	92	74	100	87	99	94	71	74	77	77
Nat Grid Upstate	154	147	198	253	306	354	280	338	311	251	171	148
O&R	37	17	31	20	24	22	17	22	31	36	19	17
RGE	66	58	58	69	78	81	79	85	75	74	45	40
Nat Grid Metro NY	107	85	103	125	124	102	100	128	117	126	143	105
Nat Fuel Gas Dist	<u>21</u>	<u>23</u>	<u>19</u>	<u>33</u>	<u>20</u>	<u>19</u>	<u>17</u>	<u>18</u>	<u>26</u>	44	<u>22</u>	<u>15</u>
Total	990	965	1025	1106	1150	1195	1071	1253	1234	1109	958	834

Grand Total Utility Complaints -- 2016:

12890

Table FL-2: Comparison of ESCO Complaint Rate to Utility Complaint Rate in 2016 (Residential Customer Count)											
Business Complaints Residential Customers Elec Customer Elec & Gas Electric Gas* Customer rate											
ESCOs	2,995	1,210,374	-	0.247%	0.247%						
Utilities (Less ESCO Customers)	12,890	4,702,494	4,500,000	0.274%	0.140%						
Utilies (All Customers)	12,890	5,912,868	4,500,000	0.218%	0.124%						
* = Exact Gas Custor	mer Count and distrib	, ,		not available							

Table FL-3: Comparison of ESCO Complaint Rate to Utility Complaint Rate in 2016 (All Customer Count)												
All Customers Elec Customer Elec & Gas Business Complaints Electric Gas* Rate Customer rate												
ESCOs	2,995 1,528,128 - 0.196% 0.196%											
Utilities (Less ESCO Customers)	12,890	5,317,584	4,500,000	0.242%	0.131%							
Utilities (All Customers)	12,890	6,845,712	4,500,000	0.188%	0.114%							
* = Exact Gas Custo	mer Count and distrib	ution b/t residential	and non-residential	not available								



January 2016

Office of Consumer Services Monthly Report on Consumer Complaint Activity

Audrey Zibelman Chief Executive Officer

Michael Corso Chief Consumer Advocate, Office of Consumer Services

Published February 26, 2016 Revised March 3, 2016



Monthly Report on Consumer Complaint Activity

January 2016

Table of Contents

Director's Message	. 3
If You Have a Complaint About Your Utility Service	. 4
How Utility Complaints are Measured	. 5
Complaint Activity of New York's Major Utilities	. 7
Customer Service Response Index	. 8
Credits Obtained for Consumers	13
Number of Initial Complaints Received Against ESCO's	14
Number of Escalated Complaints Received Against ESCO's	17
Number of Marketing Complaints Received Against ESCO's	19



March 3, 2016

Dear Readers:

The Office of Consumer Services closely monitors the number and types of complaints received against all utilities operating in New York State. We strive to ensure that utilities fulfill their obligation to provide effective customer service in compliance with the laws, rules, regulations and policies we enforce.

Each month, this report provides an overview of complaint activity and utility responsiveness during the preceding month which is informative to both consumers and utility companies. Specific details regarding the way we measure the companies' activities are described in the section How Utility Complaint Data Is Reported.

The table titled Complaint Activity of New York's Major Utilities reports on the volume of complaints received against the largest utilities in each industry while the table titled Customer Service Response Index reports on the level of customer service and responsiveness delivered by each service provider.

The chart, Credit Adjustments Received for Consumers, reflects the amount of refunds or credits customers received as a result of our investigations. The Office of Consumer Services also monitors complaints against the competitive energy service companies (ESCO's) operating in New York. These complaints are reported in two tables; Number of Initial Complaints Received Against ESCO's and Number of Escalated Complaints Received Against ESCO's.

I hope this report is helpful in providing you with a summary of utility complaint activity. If you have any questions, please e-mail John.Auricchio@dps.ny.gov

Sincerely,

Michael lad

Michael Corso Director Office of Consumer Services



If You Have a Complaint About Your Utility Service

If you're having difficulty resolving a dispute with your regulated energy, telephone, cable television or water company, Department of Public Service staff is available to assist you.

The Office of Consumer Services takes all utility consumer complaints seriously. You can contact us toll-free by telephone, in writing or via the Internet. When you contact our office with a complaint about a utility or other service provider, we take immediate steps to address your concerns.

After we complete entering the details of your complaint we send your complaint to the utility by e-mail or fax. In an effort to ensure that utilities fulfill their obligation to provide effective customer service, we will first ask your utility to contact you and resolve your concern. If your complaint is related to the provision of service, your utility should contact you within two business hours. If your complaint is related to billing or another matter, the utility should contact you by the close of the following business day.

If the utility does not contact you with its initial acknowledgement, does not provide its response to you within two weeks or the matter remains unresolved after you have received a response, you can contact us. We will then further investigate the matter and notify you in writing or by telephone of the decision and the reasons for the decision.

If you believe the initial decision is wrong, you can request an informal hearing. This request may be in writing and made within 15 days of the initial decision. You may be asked to submit certain documents to support your position. If you and the utility are unable to settle the complaint, the hearing officer will make a decision on your complaint and notify you in writing of the decision.

If you believe that the informal hearing officer's decision was wrong, you can appeal it within 15 days of the decision. Your written appeal must contend that there was an error by the hearing officer or reviewer that affected the decision or that evidence not previously available would affect the decision. All appeals, except those involving PSEG-LI, will be decided by the Public Service Commission. PSEG-LI appeals will be decided by Long Island Power Authority (LIPA).

If you have a complaint about your utility service you may contact us thru one of the following avenues:

By Telephone Via the Internet	Monday thru Friday 8:30am – 4:00pm 24 hours a day	800-342-3377 www.dps.ny.gov
In Writing	Please be sure to include as much detail as possible, including your account number, service address, telephone number and the specifics of your complaint.	NYS Dept. of Public Service Office of Consumer Services Three Empire State Plaza Albany, NY 12223-1350



How Utility Complaints Are Measured

The Office of Consumer Services reports complaint data by volume and by responsiveness. A complaint rate is used to compare small utilities to large utilities. A response index is used to measure how well utilities address consumer complaints we forwarded to them.

The Office of Consumer Services closely monitors the number and types of complaints received against all utilities operating in New York State. We expect utility companies to be highly responsive to their customers' needs, especially when the customer feels that it is necessary to seek the assistance of the Department of Public Service staff. Each month, this report provides an overview of complaint activity and utility responsiveness which we believe is informative to both consumers and utility companies.

The table titled **Complaint Activity of New York's Major Utilities** reports on the volume of complaints received against the largest utilities in each industry. These utility companies vary in size from just over 10,000 customers to several million customers. Therefore, in order to compare complaint volumes among companies, a complaint rate per 100,000 customers is displayed. This allows the reader to compare the complaints of a large company to that of a small company.

There are two measures of complaints which are reported each month. At first all complaints are recorded and forwarded to the utility for resolution directly with the customer. These are noted as <u>initial complaints</u> (QRS) in the table titled **Complaint Activity of New York's Major Utilities**. If the customer informs us that the utility failed to satisfy their complaint the matter is escalated for further handling and investigation by staff and is noted as <u>escalated complaints</u> (SRS). Both numbers are converted into a complaint rate which allows the reader to compare performance regardless of the size of a company's customer base. The <u>escalation rate</u> is a measure of how successful a utility is in satisfying their customer upon receipt of an initial complaint made through the Office of Consumer Services. The <u>12 month complaint rate</u> is often used as one of several customer service delivered by an individual utility. This rate represents the average number of escalated complaints received per month per 100,000 customer accounts.

The table titled **Customer Service Response Index** (CSRI) reports on the level of customer service and responsiveness delivered by each service provider. The Customer Service Response Index is determined by measuring four metrics. Complete CSRI data is posted on the first page of the report for those service providers that average ten or more initial complaints per month. For all other service providers, the performance in each area is reported on subsequent pages of the table, less the actual index measures because the index measures for companies with fewer than ten initial complaints have been found to show significant fluctuations on a month to month basis. These fluctuations may result in the reader reaching an inaccurate conclusion as to a service provider's performance. If a company is not listed in a particular monthly report it is because there was no activity for the company in the reporting month.

The **Index** is determined by measuring four metrics:

<u>The Consumer Satisfaction Metric (CSM)</u> is a ratio of the number of <u>initial complaints</u> to the number of <u>escalated complaints</u> in the reporting month. A score of 5 points is awarded when a service provider receives no escalated complaints during the reporting month. There is no score awarded if a service provider satisfies less than 50% of the customers that the Department refers to them.

<u>The Complaint Response Time Metric (CRM)</u> is the average number of days it took the service provider to respond to <u>initial complaints</u> closed in the reporting month. A score of 2 points is awarded when a provider's average response time for <u>initial complaints</u> is 14 days or less. No points are earned if the average response time for <u>initial complaints</u> is more than 28 days (twice the acceptable reply standard).

<u>The Escalated Complaint Response Time Metric (ERM)</u> is the average number of days it took the service provider to respond to <u>escalated complaints</u> closed in the reporting month. A score of 2 points is awarded when a service provider's average response time for <u>escalated complaints</u> is 10 days or less. No points are earned if the average response time for <u>escalated complaints</u> is more than 25 days (two weeks past due).

<u>The Pending Case Metric (PCM)</u> is the average age of all cases awaiting response, determined on the last day of the reporting month. A score of 1 point is awarded when a service providers' average age of all cases is 14 days or less. No points are earned if the average age of all cases exceeds 70 days (two months delinquent). A negative score is applied if the average age of all cases is over 70 days.

Complaint Activity of New York's Major Utilities January 2016

	Initial Co	mplaints	Escalated	Complaints	Escalation	12 Month
Utility Companies	(QF	RS)	(SF	RS)	Rate	Escalated
	No.	Rate*	No.		Nate	Complaint Rate
Central Hudson Gas & Electric Corp.	31	10.1	1	0.3	3%	0.3
Con Edison of New York	382	10.7	40	1.1	10%	1.6
PSEG Long Island	67	5.9	6	0.5	9%	0.8
National Grid - L I	38	6.5	2	0.3	5%	0.8
New York State Electric & Gas Corp.	87	9.2	1	0.1	1%	0.6
National Grid-Upstate	154	9.0	10	0.6	6%	0.7
Orange & Rockland	37	16.0	1	0.4	3%	0.3
Rochester Gas & Electric Corp.	66	16.0	2	0.5	3%	0.8
National Grid-Metro NY	107	8.6	1	0.1	1%	0.5
National Fuel Gas Distribution	21	4.0	0	0.0	0%	0.1
Citizens Communications	2	1.5	0	0.0	0%	0.6
Frontier Communications of NY	0	0.0	0	0.0	0%	0.8
Frontier Telephone of Rochester, Inc.	3	1.9	0	0.0	0%	0.4
Windstream Communications, Inc.	0	0.0	0	0.0	0%	0.5
Verizon Communications	191	7.0	25	0.9	13%	1.1
AT&T	16		2		13%	
Optimum Voice	5		0		0%	
Time Warner Cable Information Services	3		0		0%	
Verizon Digital Voice	21		5		24%	
Cablevision Systems	14		0		0%	
Time-Warner Cable	114		22		19%	
Verizon New York, Inc. (CATV)	33		4		12%	
Long Island Water Corp.	11	9.0	3	2.4	27%	1.4
United Water - New York	10	13.5	1	1.3	10%	3.7
United Water - Westchester	2	4.6	0	0.0	0%	2.1

All complaint rates are based on December 2015 customer populations.

* - Complaints per 100,000 customer accounts where populations are reported by the utility

This table reports on the volume of complaints received against the largest utilities in each industry.

Initial Complaints (QRS) - This is the number (No.) of complaints we receive and forward to the utility company for resolution directly with the customer and the corresponding complaint rate (Rate) per 100,000 customer accounts.

Escalated Complaints (SRS) - This is the number (No.) of complaints that we escalated for further handling and investigation because the customer informed us that the utility failed to satisfy their initial complaint after we forwarded the initial complaint to the utility. The corresponding escalated complaint rate (Rate) per 100,000 customer accounts allows the reader to compare one utility to another regardless of the number of customer accounts.

Escalation Rate - This is a measure of how successful a utility is in satisfying their customer upon receipt of an initial complaint made through the Office of Consumer Services. The lower the rate the more successful the utility was in resolving initial complaints directly with the customer.

12 Month Escalated Complaint Rate - This rate represents the average number of escalated complaints received per month per 100,000 customer accounts. This is often used as one of several customer service measures that may be taken into consideration when staff monitors the quality of customer service delivered by an individual utility.

Customer Service Response Index January 2016

Service Provider	Initial Complaints	Escalated Complaints	CSM Index	Complaint Response Time	CRM Index	E. Complaint Response Time	ERM Index	Avg. Age of Cases Pending	PCM Index	CSRI
National Fuel Gas Distribution	21	0	5.0	5.2	2.0	0.0	2.0	7.4	1.0	10.0
American Power & Gas, LLC	13	0	5.0	2.9	2.0	0.0	2.0	3.7	1.0	10.0
New York State Electric & Gas Corp.	87	1	4.9	3.6	2.0	3.4	2.0	20.0	0.9	9.8
Rochester Gas & Electric Corp.	66	2	4.7	2.0	2.0	7.1	2.0	4.5	1.0	9.7
Orange & Rockland	37	1	4.7	6.1	2.0	3.0	2.0	7.5	1.0	9.7
Time Warner - Albany	35	1	4.7	6.7	2.0	0.0	2.0	9.5	1.0	9.7
National Grid - Upstate	154	10	4.4	4.3	2.0	8.7	2.0	6.9	1.0	9.4
National Grid - Metro Ny	107	1	4.9	10.3	2.0	16.4	1.4	19.1	0.9	9.2
Central Hudson Gas & Electric Corp.	31	1	4.7	10.1	2.0	15.7	1.5	9.2	1.0	9.2
Spark Energy, L.P.	13	1	4.2	13.9	2.0	3.5	2.0	3.5	1.0	9.2
PSEG Long Island	67	6	4.1	9.6	2.0	2.4	2.0	9.4	1.0	9.1
United Water-New York	10	1	4.0	1.0	2.0	0.0	2.0	0.0	1.0	9.0
Verizon New York Inc.	33	4	3.8	5.2	2.0	5.6	2.0	7.1	1.0	8.8
AT&T	16	2	3.8	6.0	2.0	2.0	2.0	12.4	1.0	8.8
Family Energy, Inc.	22	4	3.2	12.5	2.0	8.9	2.0	7.7	1.0	8.2
Verizon Communications	191	25	3.7	10.3	2.0	20.6	1.0	8.1	1.0	7.7
Ambit Energy	319	75	2.6	13.9	2.0	9.0	2.0	8.0	1.0	7.6
Time Warner - Buffalo	11	3	2.3	5.8	2.0	0.0	2.0	16.3	0.9	7.2
Verizon Digital Voice	21	5	2.6	10.6	2.0	16.5	1.4	8.0	1.0	7.0
Frontier Utilities Northeast LLC	20	6	2.0	3.9	2.0	1.6	2.0	0.0	1.0	7.0
New York American Water	11	3	2.3	14.1	1.9	3.0	2.0	21.8	0.8	7.0
Con Edison Of New York	382	40	4.0	11.0	2.0	40.2	0.0	19.3	0.9	6.9
Direct Energy Services LLC	52	16	1.9	10.7	2.0	5.9	2.0	5.2	1.0	6.9
Time Warner - Syracuse Division	25	7	2.2	6.7	2.0	1.7	2.0	25.2	0.7	6.9
National Grid - L I	38	2	4.5	17.8	1.6	32.9	0.0	46.1	0.3	6.4
Time Warner - New York City	35	9	2.4	18.7	1.5	5.7	2.0	68.0	0.0	5.9
BTI Communications, Inc. d/b/a TELZ	24	10	0.8	6.0	2.0	4.4	2.0	7.6	1.0	5.8
Astral Energy LLC	22	2	4.1	50.6	0.0	42.2	0.0	33.3	0.6	4.7

This table reports on the current level of customer service and responsiveness delivered by each service provider under the Department's jurisdiction. The Customer Service Response Index is determined by measuring four metrics. Complete CSRI data is posted on the first page of the report for those service providers that average ten or more initial complaints per month. For all other service providers, the performance in each area is reported on subsequent pages of the table, less the actual index measures because the index measures for companies with fewer than ten initial complaints have been found to show significant fluctuations on a month to month basis. These fluctuations may result in the reader reaching an inaccurate conclusion as to a service provider's performance. If a company is not listed on this report it is because there was no activity for the company in the reporting month. Below is an explanation of the data in each column. Exhibit 1131 Part 4 **Initial Complaints** - This is the number of initial complaints we receive and forward to the utility company for resolution directly with the customer.

Escalated Complaints - This is the number of complaints that we escalated for further handling and investigation because the customer informed us that the utility failed to satisfy their initial complaint after we forwarded the initial complaint to the utility.

CSM Index - The Consumer Satisfaction Index scores the ratio of the number of initial complaints to the number of escalated complaints in the reporting month. A score of 5 points are awarded when a service provider receives no escalated complaints during the reporting month. There is no score awarded if a service provider satisfies less than 50% of the customers that the Department refers to them.

Complaint Response Time - This is the average number of days it took for a utility to respond to initial complaints in the reporting month.

CRM Index - The Complaint Response Time Index scores the service provider's responsiveness to initial complaints closed in the reporting month. A score of 2 points is awarded when a provider's average response time for initial complaints is 14 days or less. No points are earned if the average response time for initial complaints is more than 28 days (twice the acceptable reply standard).

E. Complaint Response Time - This is the average number of days it took for a utility to respond to escalated complaints in the reporting month.

ERM Index - The Escalated Complaint Response Time Index scores the service providers responsiveness to escalated complaints closed in the reporting month. A score of 2 points is awarded when a provider's average response time for escalated complaints is 10 days or less. No points are earned if the average response time for escalated complaints is more than 25 days (two weeks past due).

Avg. Age of Cases Pending - This is the average age of all the cases awaiting a response from the service provider.

PCM Index - The Pending Case Index scores the average age of all cases awaiting response by the service provider. A score of 1 point is awarded when a service providers' average age of all cases is 14 days or less. No points are earned if the average age of all cases exceeds 70 days (two months delinquent). A negative score is applied if the average age of all cases is over 70 days.

CSRI - The Customer Service Response Index is the overall score received by the service provider. It is the sum of the four indices.

Service Provider	Initial Complaints	Escalated Complaints	CSM Index	Complaint Response Time	CRM Index	E. Complaint Response Time	ERM Index	Exhibit 11 Avg. Age of Cases Pending	31 Part 4 PCM Index	CSRI
	•		muex		muex		muex	-	Index	0314
125 Court Street, LLC	0	0		0.0		0.0		399.0		
200 Water SPE LLC	0	0		0.0		0.0		115.0		
343-345 West 51 Street, Llc	0	1		0.0		0.0		4.0		
3462 Third Avenue Owner Realty LLC	0	0		0.0		0.0		240.0		
89 Murray Street Associates Llc	0	0		0.0		0.0		115.0		
ABC Energy LLC	1	1		40.0		0.0		12.0		
Accent Energy Midwest, Llc	0	1		42.0		3.0		0.0		
Adelphia Cable - Century Communicat	0	0		0.0		0.0		40.0		
Agera Energy, LLC	0	0		42.0		0.0		0.0		
Agway Energy Services, LLC.	4	2		4.5		0.6		4.0		
Akam Associates	0	0		0.0		0.0		104.0		
Alpha Gas And Electric, Llc	2	0		4.0		0.0		10.0		
Ap Gas & Electric (ny), Llc	3	0		15.0		12.1		16.0		
Atlantic Energy, LLC	6	0		3.5		0.0		12.0		
Atlantic Power & Gas LLC	2	1		8.5		3.5		18.0		
Augustus & James Corp.	0	0		0.0		0.0		115.0		
Bandwidth.com Clec, Llc	1	0		0.0		0.0		21.0		
Birch Communications, Inc.	1	1		4.0		2.1		0.0		
BluCo Energy, LLC	0	0		33.0		0.0		0.0		
Broadview Networks	2	0		15.7		0.0		4.0		
Brown's Fuel	2	1		7.0		0.0		6.0		
BUY ENERGY DIRECT, LLC	0	0		0.0		0.0		77.5		
Cablevision - MediaOne - Westchester	-	0		0.0		0.0		0.0		
Cablevision Lightpath, Inc.	1	0		0.0		0.0		3.0		
Cablevision of Brookhaven	1	0		0.0		0.0		6.0		
Cablevision of Dutchess County	0	0		0.0		0.0		0.0		
Cablevision of East Hampton	1	0		0.0		0.0		0.0		
Cablevision of Hauppauge	0	0		0.0		0.0		0.0		
Cablevision of Long Island	4	0		1.8		0.0		0.0		
Cablevision of New York City	5	0		9.8		13.2		6.5		
Cablevision of Ramapo	0	0		9.0 0.0		0.0		0.0		
Cablevision of Riverhead	0	0		0.0		0.0		0.0		
Cablevision of Southern Westchester	0	0		233.0		0.0		0.0 5.0		
Cablevision of Westchester	-	-								
Carousel Park Preservation L.P.	3	0		1.0		0.0		4.0		
	0	0		0.0		0.0		165.0		
CenStar Operating Company, LLC	2	1		10.0		0.3		0.0		
Chief Energy Power, Llc	1	1		10.0		0.1		0.0		
Citizens Choice Energy, LLC	1	0		6.0		0.0		0.0		
Citizens Communications	2	0		5.9		10.0		0.0		
Citizens Telecommunications Co. of N		0		7.1		0.0		0.0		
City of Jamestown Board of Public Utili		0		0.0		0.0		0.0		
City of Salamanca Electric	1	0		0.0		0.0		24.0		
City Power & Gas, LLC	1	0		0.0		0.0		0.0		
Clinton Hills Apts Owners Corp	0	0		0.0		0.0		462.0		
Columbia Utilities Power, Llc (electric)	3	2		0.7		1.5		0.0		
Columbia Utilities Power, Llc (gas)	1	1		1.0		2.0		0.0		
Comcast Cable of New York - CATV	1	0		7.0		0.0		0.0		
Comcast Phone Of New York, Llc D/b/	0	0		11.0		139.1		0.0		
Constellation Energy Gas Choice Inc.	2	0		7.0		0.0		0.0		
Constellation NewEnergy	3	0		3.5		0.0		24.0		
Corning Natural Gas Corp.	1	0		0.0		0.0		3.0		
Custom Teleconnect, Inc.	0	0		0.0		0.0		13.0		

								Exhibit 11	131 Part 4	
Comico Desciden	Initial Complaints	Escalated Complaints	CSM Index	Complaint Response Time	CRM Index	E. Complaint Response Time	ERM Index	Avg. Age of Cases Pending	PCM Index	CSRI
Service Provider	•		muex		muex		muex	-	muex	CSKI
Delhi Telephone	0	1		46.0		0.2		0.0		
Deposit Telephone	0	0		16.0		0.0		0.0		
Direct Energy Business Marketing, LLC		1		59.0		49.9		13.0		
Dsf IV New Rochelle Owner Llc D/b/a I		0		0.0		0.0		104.0		
Eligo Energy Ny, Llc	3	0		1.0		0.0		3.5		
Elmwood Square Preservation, L.P.	0	1		0.0		0.0		7.0		
Energy Cooperative of New York	0	0		0.0		28.2		0.0		
Energy Plus Holdings LLC	2	0		14.0		0.0		7.0		
Energy Plus Natural Gas LP	1	0		14.0		0.0		0.0		
Ethical Electric, Inc	0	0		0.0		6.8		0.0		
Fisher Island Electric	1	0		0.0		0.0		3.0		
Forest Park Water Co. Inc.	1	0		5.0		8.0		99.5		
Frontier Telephone of Rochester, Inc.	3	0		7.5		0.0		0.0		
Galaxy Energy Llc	3	0		0.0		0.0		5.0		
Granite Telecommunications, Llc	1	0		0.0		0.0		10.0		
Green Mountain Energy	5	1		14.0		6.2		7.3		
Greenlight Energy Inc.	4	0		2.7		52.2		3.0		
Greenlight Networks, Llc	1	0		3.0		0.0		0.0		
Grenadier Realty Corp	0	0		0.0		0.0		297.0		
H & S Property Management	0	0		0.0		0.0		105.0		
Hamilton County/Gore Mt. Cable TV Inc		0		0.0		0.0		137.0		
Heywood Towers Associates	0	0		0.0		0.0		992.0		
High Rise Energy Group, LLC	1	0		17.0		0.0		0.0		
Hudson Energy Services, Llc	1	0		195.0		4.9		4.0		
IDT America Corp.	0	0		0.0		0.0		125.0		
ldt Energy, Inc.	4	0		5.8		0.7		3.0		
Josco Energy Corp	4	0		5.0		0.0		7.0		
Just Energy New York Corp	3	1		12.2		13.0		5.0		
King Tract Utilities c/o Melohn Propertie	0	0		0.0		0.0		200.0		
Kiwi Energy Inc.	7	0		15.0		0.0		14.8		
Knolls Water Co.	0	0		0.0		0.0		108.0		
Level 3 Communications, LLC	0	0		14.0		0.0		0.0		
Lexington Power & Light, LLC	1	0		0.0		0.0		6.0		
Liberty Power Corp.	1	1		12.0		3.7		5.0		
Maiden Lane Properties, LLC	0	0		0.0		0.0		255.0		
Major Energy Services LLC	4	0		10.0		0.0		4.0		
Marathon Energy Corporation	3	2		22.8		13.1		39.7		
Megapath Networks	1	0		0.0		0.0		4.0		
Metropolitan Telecommunications	1	0		1.0		0.0		0.0		
Mpower Energy LLC	8	0		18.0		14.9		8.6		
New Visions Powerline Communication	0	0		0.0		0.0		24.0		
NextEra Energy Resources, LLC	1	0		35.0		0.0		7.0		
North 3rd Bedford Avenue Llc	0	0		0.0		0.0		115.0		
North American Power & Gas LLC	1	2		21.2		9.8		7.3		
NorthEastern Energy Corporation	0	0		0.0		0.0		84.0		
Nysandy7 Story Llc	0	0		0.0		0.0		115.0		
Oasis Power LLC, d/b/a Oasis Energy	0	1		21.0		9.0		0.0		
Optimum Voice	5	0		7.5		11.9		18.3		
Pay Less Energy, LLC	1	0		2.0		0.0		0.0		
Penelec (A First Energy Company)	2	0		0.5		206.2		0.0		
Perigee Energy, LLC	1	0		0.0		0.0		18.0		
Platinum Plus Energy Resources, Inc.	0	0		0.0		0.0		168.0		

	1-111-1	Frankstad	004	Complaint	0.04	E. Complaint	504	Exhibit 11 <i>Avg. Age</i>		
Service Provider	Initial Complaints	Escalated Complaints	CSM Index	Response Time	CRM Index	Response Time	ERM Index	of Cases Pending	PCM Index	CSRI
Plymouth Rock Energy LLC	0	0		0.0		0.1		0.0		
Public Power Llc	2	0		25.0		0.0		6.5		
Qtel, LLC	1	0		5.5		0.0		88.0		
Queens Fresh Meadow Electric	0	2		0.0		0.0		31.3		
Rcn Telecom Services Of New York, L	1	0		0.0		0.0		10.0		
Reliant Energy Northeast LLC	0	0		63.3		124.8		0.0		
Renaissance Power & Gas, Inc.	3	0		1.3		0.0		0.0		
Resdntl Comms. Netwrk of NY	0	0		0.0		0.0		0.0		
Residents Energy, LLC	4	0		7.0		1.0		0.0		
Riverview II Preservation Lp	0	0		0.0		0.0		222.0		
Robison Energy Of Westchester	0	0		31.0		125.9		90.0		
Roosevelt Island Associates	0	0		0.0		0.0		634.0		
Rowlands Hollow Water Works, Inc.	0	0		47.0		0.0		0.0		
Saratoga Water Services, Inc.	1	0		1.0		0.0		0.0		
Sea Park West Lp	0	0		0.0		0.0		376.0		
Smart One Energy, LLC	4	1		30.8		0.8		9.2		
St. Lawrence Gas	1	0		0.0		0.0		0.0		
Starion Energy NY, Inc.	3	0		14.0		0.0		10.0		
Stream Energy New York LLC.	0	0		7.0		0.0		0.0		
Taconic Telephone Corp.	3	0		0.0		0.0		13.3		
TDS Metrocom, Inc.	1	0		0.0		0.0		7.0		
Time Warner - Rochester	8	2		4.3		0.0		18.7		
Time Warner Cable Information Servic	3	0		9.0		0.0		16.3		
Titan Gas, LLC	0	0		0.0		0.0		8.5		
Towers on the Park	0	0		0.0		0.0		398.0		
U.S. Gas & Electric, Inc.	7	0		7.7		0.0		6.5		
United Energy Supply Corporation	0	0		11.0		0.0		12.0		
United Metro Energy Services Corp	0	0		0.0		0.0		115.0		
United Water Westchester Inc.	2	0		0.0		0.1		4.0		
Utility Expense Reduction LLC	4	1		10.2		0.1		25.0		
Verde Energy USA New York, LLC	4	2		7.2		0.1		0.0		
Village of Freeport Electric	1	0		6.0		0.0		0.0		
Village of Green Island Power Authority	2	0		3.0		0.0		0.0		
Village of Springville	0	0		0.0		1.0		0.0		
Viridian Energy Ny, Llc	4	2		15.2		2.0		28.6		
Windstream Communications, Inc.	0	0		30.0		0.0		45.0		
XChange Telecom	8	2		4.6		1.1		16.0		
XO Communications, Inc.	1	0		6.0		0.0		0.0		
XOOM Energy New York, LLC	2	0		16.0		0.0		7.0		

2016 Credit Adjustments Received For Consumers

As a result of our investigation into consumers' complaints, when staff determines that a consumer was overbilled, the utility is directed to refund to the consumer, any monies it collected above and beyond what was allowed by tariffs, rules and regulations. The chart below identifies the credits obtained on behalf of consumers.

	Total	Consumers
Jan-16	\$191,889.11	103
Feb-16		
Mar-16		
Apr-16		
May-16		
Jun-16		
Jul-16		
Aug-16		
Sep-16		
Oct-16		
Nov-16		
Dec-16		
2016 Total	\$191,889.11	103

Number of Initial Complaints Received Against ESCO's

Code	Company Name	2016	2015	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15	May-15	Apr-15	Mar-15	Feb-15	Jan-15
6898AB	ABC Energy LLC	1	3	1	2	1	0	0	0	0	0	0	0	0	0	0
5567AB	ABN Energy, LLC DBA GreatEnergy	0	3	0	0	0	0	1	0	0	1	0	0	0	1	0
D128	Accent Energy Midwest, LLC	0	6	0	0	2	2	1	0	0	1	0	0	0	0	0
D249	Affordable Power, L.P.	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0
8281AG	Agera Energy	0	3	0	0	1	0	0	1	0	0	0	0	0	1	0
5020AG	Aggressive Energy, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	1	0
D001	Agway Energy Services, LLC.	4	64	4	4	5	2	0	4	6	4	5	7	11	6	10
5985AL	Alpha Gas And Electric, Llc	2	59	2	4	2	2	3	4	9	4	6	7	10	5	3
D230	Ambit Energy	319	1346	319		36	45	51	46	114	114	287	155	95	111	83
5411AM	American Power & Gas, LLC	13	319	13	8	14	25	11	23	22	27	22	40	45	40	42
D240	Ameristar Energy, LLC	0	1	0	0	0	0	0	0	0	0	0	0	1	0	0
6729AM	Amplified Power & Gas, LLC	0	6	0	0	0	0	0	0	0	0	2	0	3	1	0
6023AP	Ap Gas & Electric (ny), Llc	3	19	3	1	1	5	1	0	1	1	2	2	0	2	3
5465AS	ASC Energy Services, Inc.	0	2	0	0	1	1	0	0	0	0	0	0	0	0	0
6818AS	Astral Energy LLC	22	117	22	13	23	19	25	11	6	11	2	0	3	1	3
6481AT	Atlantic Energy, LLC	6	35	6	4	8	5	5	5	0	3	0	2	2	1	0
7844AT	Atlantic Power & Gas LLC	2	52	2	1	3	4	1	0	1	2	1	8	8	. 22	1
D222	BluCo Energy, LLC	0	18	0	1	1	0	3	0	4	0	3	2	0	1	3
D217	BlueRock Energy, Inc.	0	11	0	0	2	0	1	7	0	0	1	0	0	0	0
5008BO	Bounce Energy NY, LLC	0	8	0	0	0	0	0	0	0	0	2	0	2	3	1
D113	Brown's Fuel	2	19	2	1	0	4	2	1	1	1	1	0	1	2	5
5246BU	BUY ENERGY DIRECT, LLC	0	8	0	0	0	1	1	1	0	0	2	0	0	3	0
9156CE	CenStar Operating Company, LLC	2	4	2	1	1	0	1	1	0	0	0	0	0	0	0
6903CH	Champion Energy Services, LLC	0	1	0	0	1	0	0	0	0	0	0	0	0	0	0
D220	Chief Energy Gas, Llc.	0	3	0	1	0	0	0	0	0	0	1	0	0	0	1
5773CH	Chief Energy Power, Llc	1	5	1	0	0	0	0	1	0	1	0	1	0	1	1
5325CI	Citizens Choice Energy, LLC	1	6	1	0	0	0	1	0	0	0	0	0	2	1	2
5592CI	City Power & Gas, LLC	1	29	1	0	0	0	1	0	2	8	4	3	4	5	2
D238	Clearview Energy, Inc.	0	9	0	0	0	0	1	1	0	0	3	0	2	1	1
D231	Columbia Utilities Power, Llc (electric)	3	27	3	1	4	0	3	2	1	1	2	4	3	1	5
D040	Columbia Utilities Power, Lic (clectric)	1	31	1	2	3	2	2	0	2	1	2	3	4	6	4
5065CO	Community Energy Services, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	1	1	0
D086	Con Edison Solutions	0	11	0	1	1	0	0	1	1	0	3	0	3	0	1
6771CO	Constellation Energy Gas Choice Inc.	2	8	2		0	3	1	1	2	0	0	0	0	0	0
	Constellation NewEnergy	3	21	3	0	3	0	0	1	2	2	2	4	3	4	0
D004	Constellation NewEnergy - Gas Divisio		9	0	0	2	0	0	0	0	2	1	1	1	1	1
8168DI	Direct Energy Business Marketing, LL	0	6	0	1	1	4	0	0	0	0	0	0	0	0	0
5308DI	Direct Energy Business Warketing, EL	0	35	0	0	0	1	2	8	2	4	0	3	6	4	5
D176	Direct Energy Services LLC	52	206	52	49	40	32	21	6	14	7	6	6	6	10	9
D006	East Coast Energy, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1
D000	East Coast Power, Llc	0	5	0	0	1	0	0	0	0	0	1	1	0	1	1
6922EL	Eligo Energy NY, Llc	3	46	3	0	1	2	1	2	2	1	1	3	3	19	11
D047	Empire Natural Gas Corporation	0	40	0	0	0	0	1	0	0	0	0	0	0	0	0
D047 D087	Energetix, Inc.	0	48	0	0	0	0	5	4	6	6	3	8	9	4	3
D183	Energy Cooperative of New York	0	40 2	0	0	0	0	0	4	0	0	0	0	9	4	0
6031EN	ENERGY DISCOUNTERS, LLC		1	0	0	0	0	0	1	0	0	0	0	0	0	0
D243		0		-		-	-	-	1	-	-	-	-	4	-	-
	Energy Plus Holdings LLC	2	<u> </u>	2	1	0	1	3		5	2	1	3		6	3
5568EN	Energy Plus Natural Gas LP	1	4			0	0	I	0	0	0	0		1	0	0

Number of Initial Complaints Received Against ESCO's

Code	Company Name	2016	2015	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15	May-15	Apr-15	Mar-15	Feb-15	Jan-15
D137	Energy Service Providers, Inc.	0	1	0	0	1	0	0	0	0	0	0	0	0	0	0
5424EN	Energy Solutions Co. LLC	0	2	0	0	0	1	0	0	0	0	0	0	0	1	0
5182EN	EnergyMark, LLC	0	4	0	0	0	0	0	0	0	0	0	1	3	0	0
D201	Entergy Solutions Ltd.	0	1	0	0	0	0	0	0	0	1	0	0	0	0	0
8938EN	Entrust Energy East, Inc.	0	37	0	1	2	3	0	2	29	0	0	0	0	0	0
7005ET	Ethical Electric Benefit Co.	0	39	0	5	3	1	5	5	0	3	6	1	5	3	2
4920FA	Family Energy, Inc.	22	118	22	9	12	5	12	7	11	7	9	13	12	9	12
7383FR	Frontier Utilities Northeast LLC	20	19	20	10	1	2	3	1	0	0	0	0	1	0	1
6781FT	FTR Energy Services, LLC	0	10	0	0	0	0	3	1	2	0	1	0	0	0	3
6643GA	Galaxy Energy Llc	3	22	3	1	2	1	1	3	2	2	2	2	2	2	2
D046	Gateway Energy Services Corp.	0	83	0	0	0	2	8	7	6	11	4	13	13	8	11
4963GD	Gdf Suez Energy Resources Na, Inc.	0	1	0	0	0	0	1	0	0	0	0	0	0	0	0
6424GD	GDF Suez Retail Energy Solutions, LL	0	3	0	0	1	1	0	0	0	0	1	0	0	0	0
5349GL	Glacial Natural Gas, Inc.	0	3	0	0	0	0	1	0	0	0	0	0	0	2	0
6009GL	Global Energy, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	2	0
D104	Great Eastern Energy	0	10	0	1	1	0	0	2	0	0	4	0	0	0	2
D104	Green Mountain Energy	5	33	5	2	0	2	7	3	2	2	2	4	3	5	1
4877GR	Greenlight Energy Inc.	4	25	4	1	3	1	3	0	2	2	1	6	2	2	2
D254	High Rise Energy Group, LLC	1	7	1	0	0	3	0	0	1	1	1	0	0	1	0
5302PR	Hiko Energy, Llc	0	20	0	0	0	2	1	2	1	4	1	5	1	1	2
D120	Hudson Energy Services, Llc	1	20	1	3	3	2	3	2	0	4	3	4	2	0	2
D120	IDT Energy, Inc.	4	168	4	10	3 13	∠ 13	3 14	15	11	10	3 14	4	20	15	∠ 18
D177	IGS Energy	4	6	4	0	0	0	0	15	1	0	0	0	20	15	10
		0	3	0	0	0	0	0	0	1	0	0	0	2	0	0
D167	Infinite Energy, Inc.		5	0	-	-	0	0	0	0	0	0	-	2	4	0
6647IR		0	5 110	4	1 8	0	4	12	13	10	15	6	0 14	9	4 5	8
7041JO	JOSCO Energy Corp	4		-								6 12	14			
D213	Just Energy New York Corp	3	<u>93</u> 1	3	7	3	5	4	2	5	9	-		11	9	11
D015	Keyspan Energy Services, Inc.	0	-	0 7	0	0	0	0	0	0	0	0	0	1	0	0
6646KI	Kiwi Energy Inc.	7	143		8	1	13	16	16	12	18	11	15	21	2	10
5520LE	Lexington Power & Light, LLC	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0
D117	Liberty Power Corp.	1	52	1	2	4	4	2	3	1	7	2	3	4	6	14
2066	Long Island Power Authority	0	1	0	0	0	0	0	0	0	0	0	0	1	0	0
D147	M&R ENERGY RESOURCES CORPO	0	1	0	0	0	0	0	0	0	0	0	0	1	0	0
D214	Major Energy Services LLC	4	109	4	2	5	8	8	5	3	7	9	18	17	17	10
	Marathon Energy Corporation	3	21	3	2	1	3	1	1	4	0	1	2	0	0	1
D267	Mpower Energy LLC	8	113	8	20	6	12	14	3	7	7	3	8	12	11	10
6807MY	MyGrid Energy, Inc.	0	9	0	0	0	0	0	6	2	1	0	0	0	0	0
D020	Natgasco, Inc A Mitchell-Supreme C	0	2	0	0	1	0	0	0	0	0	1	0	0	0	0
5436NE	New Wave Energy Corp.	0	2	0	0	0	0	0	0	0	0	0	1	0	0	1
4987NE	NextEra Energy Resources, LLC	1	7	1	1	0	1	0	2	0	0	0	1	2	0	0
D148	Noco Natural Gas, Llc	0	2	0	0	0	0	0	0	1	0	0	0	0	1	0
5787NO	North American Power & Gas LLC	1	140	1	6	0	3	2	6	3	3	8	12	26	37	34
6976NO	North Eastern States, Inc.	0	19	0	0	0	0	0	0	0	0	4	2	4	3	6
5479NO	North Energy LLC	0	5	0	1	1	1	1	0	0	0	0	0	1	0	0
D239	NorthEastern Energy Corporation	0	1	0	0	0	0	0	1	0	0	0	0	0	0	0
D103	NYSEG Solutions, Inc.	0	138	0	0	0	1	10	9	9	12	10	27	32	14	14
4921OA	Oasis Power LLC, d/b/a Oasis Energy	0	14	0	1	1	2	1	2	0	0	0	2	2	2	1
6645PA	Pay Less Energy, LLC	1	4	1	1	0	0	0	1	0	0	2	0	0	0	0

Number of Initial Complaints Received Against ESCO's

									94							
Code	Company Name	2016	2015	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15	May-15	Apr-15	Mar-15	Feb-15	Jan-15
6024PE	People's Power & Gas, Llc	0	1	0	0	0	0	0	0	1	0	0	0	0	0	0
6893PE	Perigee Energy, LLC	1	2	1	0	1	0	0	1	0	0	0	0	0	0	0
5637PL	Planet Energy (NY) Corp.	0	5	0	0	0	0	0	0	1	0	0	0	1	0	3
D237	Platinum Plus Energy Resources, Inc.	0	1	0	0	0	0	0	0	1	0	0	0	0	0	0
D171	Plymouth Rock Energy LLC	0	36	0	1	1	4	6	2	1	6	4	4	2	2	3
D263	Public Power Llc	2	54	2	4	3	4	8	5	4	4	2	3	2	6	9
6233RE	Reliant Energy Northeast LLC	0	6	0	2	0	0	0	1	0	1	0	0	0	0	2
6616RE	Renaissance Power & Gas, Inc.	3	13	3	4	0	2	0	2	1	2	0	2	0	0	0
6574RE	Residents Energy, LLC	4	51	4	5	5	5	6	5	7	2	2	5	1	4	4
D093	Robison Energy Of Westchester	0	5	0	1	0	1	1	0	1	0	0	0	1	0	0
5370SB	SBR Energy, LLC	0	5	0	0	0	0	0	0	0	0	1	0	1	1	2
5481SJ	SJ Energy Partners	0	3	0	0	0	0	1	0	0	0	1	1	0	0	0
4976SM	Smart One Energy, LLC	4	38	4	4	4	3	4	4	1	4	2	0	5	3	4
6216SO	South Bay Energy Corp.	0	11	0	0	0	2	0	1	1	0	1	2	2	1	1
5577SO	SouthStar Energy Services LLC	0	1	0	0	0	0	0	0	0	0	0	0	1	0	0
D186	Spark Energy, L.P.	13	154	13	8	9	19	5	17	25	25	14	10	15	3	4
7397SP	Sperian Energy Corp.	0	5	0	0	0	0	0	1	0	0	1	1	1	0	1
5463ST	Starion Energy NY, Inc.	3	25	3	3	0	1	2	1	1	1	1	5	1	3	6
6809ST	Stream Energy New York LLC.	0	9	0	1	0	0	0	1	1	2	0	0	4	0	0
D223	Titan Gas, LLC	0	6	0	0	0	1	1	0	2	0	0	0	1	0	1
D119	U.S. Gas & Electric, Inc.	7	52	7	3	6	2	2	2	3	11	4	3	4	5	7
D500	Unidentified ESCO	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0
6008UN	United Energy Supply Corporation	0	11	0	2	1	0	0	1	2	1	1	0	0	2	1
7346UN	United Metro Energy Services Corp.	0	2	0	0	0	0	0	0	0	0	0	1	0	1	0
5461UT	Utility Expense Reduction LLC	4	51	4	8	6	11	4	8	0	2	3	2	2	3	2
6894VE	Verde Energy USA New York, LLC	4	72	4	4	2	2	10	3	4	6	7	4	9	13	8
5391 VI	Viridian Energy NY, LLC	4	69	4	7	4	2	6	3	3	9	5	5	8	9	8
6668XO	XOOM Energy New York, LLC	2	72	2	2	4	5	8	4	2	6	7	8	7	10	9
	Total	592	5044	592	469	275	321	347	314	391	409	540	505	519	495	459

ESCO's with no complaints on file since January 2015 are not listed on this report.

Number of Escalated Complaints Received Against ESCO's

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Code	Company Name	2016	2015	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15	May-15	Apr-15	Mar-15	Feb-15	Jan-15
6898AB	ABC Energy LLC	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0
5567AB	ABN Energy, LLC DBA GreatEnergy	0	1	0	0	0	0	1	0	0	0	0	0	0	0	0
D128	Accent Energy Midwest, LLC	1	1	1	1	0	0	0	0	0	0	0	0	0	0	0
D001	Agway Energy Services, LLC.	2	17	2	0	1	1	0	0	1	1	2	2	3	3	3
5985AL	Alpha Gas And Electric, Llc	0	15	0	2	1	2	0	1	2	0	0	2	4	0	1
D230	Ambit Energy	75	398	75	18	17	16	23	36	44	44	55	28	34	49	34
5411AM	American Power & Gas, LLC	0	26	0	1	1	2	1	1	0	0	3	1	4	8	4
	Amplified Power & Gas, LLC	0	1	0	0	0	0	0	0	0	1	0	0	0	0	0
6023AP	Ap Gas & Electric (ny), Llc	0	9	0	1	1	3	0	0	1	0	1	0	0	1	1
6818AS	Astral Energy LLC	2	20	2	6	5	2	0	2	1	0	0	0	2	0	2
	Atlantic Energy, LLC	0	10	0	1	3	1	1	0	2	0	0	2	0	0	0
7844AT	Atlantic Power & Gas LLC	1	17	1	1	0	1	0	1	1	1	0	4	4	4	0
D222	BluCo Energy, LLC	0	3	0	0	0	0	1	0	1	0	0	0	1	0	0
5008BO	Bounce Energy NY, LLC	0	1	0	0	0	0	0	0	0	0	1	0	0	0	0
D113	Brown's Fuel	1	4	1	0	1	1	0	0	0	0	0	1	0	0	1
5246BU	BUY ENERGY DIRECT, LLC	0	5	0	0	0	0	0	1	0	0	2	0	0	2	0
9156CE	CenStar Operating Company, LLC	1	2	1	0	0	1	0	1	0	0	0	0	0	0	0
6903CH	Champion Energy Services, LLC	0	1	0	1	0	0	0	0	0	0	0	0	0	0	0
5773CH	Chief Energy Power, Llc	1	1	1	0	0	0	0	0	0	1	0	0	0	0	0
5592CI	City Power & Gas, LLC	0	10	0	0	0	0	0	1	0	3	0	1	1	3	1
D238	Clearview Energy, Inc.	0	1	0	0	0	0	1	0	0	0	0	0	0	0	0
D231	Columbia Utilities Power, Llc (electric)	2	4	2	0	0	0	1	2	0	0	0	0	0	0	1
D040	Columbia Utilities Power, Llc (gas)	1	6	1	0	0	0	0	0	0	0	1	1	2	2	0
5065CO	Community Energy Services, Inc.	0	2	0	0	0	0	0	0	0	0	0	1	1	0	0
D086	Con Edison Solutions	0	2	0	0	1	0	0	0	0	0	0	0	0	1	0
D084	Constellation NewEnergy	0	4	0	0	0	0	0	0	0	0	0	2	1	1	0
D221	Constellation NewEnergy - Gas Divisio		2	0	0	0	0	0	0	0	0	0	0	0	1	1
8168DI	Direct Energy Business Marketing, LL		2	1	0	1	1	0	0	0	0	0	0	0	0	0
5308DI	Direct Energy Business, LLC	0	3	0	0	0	0	0	1	0	0	0	0	1	1	0
D176	Direct Energy Services LLC	16	37	16	9	7	8	5	1	3	1	0	0	1	2	0
D256	East Coast Power, Llc	0	1	0	0	0	0	0	0	0	0	0	1	0	0	0
6922EL	Eligo Energy NY, Llc	0	10	0	0	0	0	1	0	0	0	1	2	2	4	0
D087	Energetix, Inc.	0	16	0	0	1	0	1	2	2	0	3	2	4	0	1
D183	Energy Cooperative of New York	0	1	0	0	0	0	0	0	0	0	0	1	0	0	0
	ENERGY DISCOUNTERS, LLC	0	1	0	0	0	0	1	0	0	0	0	0	0	0	0
D243	Energy Plus Holdings LLC	0	6	0	0	0	0	1	1	0	0	1	2	0	1	0
5424EN	Energy Solutions Co. LLC	0	1	0	0	0	0	0	0	0	0	0	0	1	0	0
5182EN	EnergyMark, LLC	0	2	0	0	0	0	0	0	0	0	0	0	2	0	0
8938EN	Entrust Energy East, Inc.	0	6	0	1	0	1	0	0	4	0	0	0	0	0	0
7005ET	Ethical Electric Benefit Co.	0	5	0	2	0	0	1	1	0	0	0	0	1	0	0
4920FA	Family Energy, Inc.	4	18	4	1	1	1	1	0	1	1	3	1	3	1	4
7383FR	Frontier Utilities Northeast LLC	6	4	6	0	0	2	0	0	0	0	0	0	1	1	0
6781FT	FTR Energy Services, LLC	0	5	0	0	0	1	0	0	1	0	0	0	0	0	3
6643GA	Galaxy Energy Llc	0	4	0	0	0	0	1	0	0	0	0	3	0	0	0
D046	Gateway Energy Services Corp.	0	29	0	0	0	1	1	1	6	1	3	6	5	2	3
D127	Green Mountain Energy	1	3	1	0	0	2	0	0	0	0	0	0	1	0	0
4877GR	Greenlight Energy Inc.	0	4	0	1	1	0	0	0	0	0	0	0	1	0	1
D254	High Rise Energy Group, LLC	0	4	0	0	0	3	0	0	0	0	1	0	0	0	0

Number of Escalated Complaints Received Against ESCO's

		••••								30						-
Code	Company Name	2016	2015	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15	May-15	Apr-15	Mar-15	Feb-15	Jan-15
5302PR	Hiko Energy, Llc	0	1	0	0	0	0	0	0	0	0	0	0	0	1	0
D120	Hudson Energy Services, Llc	0	3	0	1	0	0	0	0	0	0	0	1	1	0	0
D177	IDT Energy, Inc.	0	5	0	1	0	0	1	0	0	0	0	2	1	0	0
7041JO	JOSCO Energy Corp	0	16	0	0	0	1	2	2	2	2	1	2	3	0	1
D213	Just Energy New York Corp	1	18	1	1	1	0	0	1	1	2	2	2	3	3	2
6646KI	Kiwi Energy Inc.	0	8	0	0	0	1	0	1	3	0	1	0	2	0	0
D117	Liberty Power Corp.	1	13	1	1	0	0	0	0	3	1	1	0	3	3	1
D214	Major Energy Services LLC	0	16	0	0	1	1	2	0	0	2	1	3	3	1	2
6007MA	Marathon Energy Corporation	2	2	2	0	0	0	0	0	0	1	0	0	0	1	0
D267	Mpower Energy LLC	0	12	0	1	1	2	0	1	1	1	0	1	1	0	3
6807MY	MyGrid Energy, Inc.	0	4	0	0	0	0	1	2	1	0	0	0	0	0	0
4987NE	NextEra Energy Resources, LLC	0	2	0	0	0	0	0	0	0	0	1	0	0	0	1
5787NO	North American Power & Gas LLC	2	55	2	0	1	0	1	1	0	2	5	6	15	13	11
6976NO	North Eastern States, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	0	1	1
5479NO	North Energy LLC	0	1	0	0	0	0	0	0	0	0	0	1	0	0	0
D239	NorthEastern Energy Corporation	0	1	0	0	1	0	0	0	0	0	0	0	0	0	0
D103	NYSEG Solutions, Inc.	0	45	0	0	0	0	3	0	5	8	5	11	8	3	2
4921OA	Oasis Power LLC, d/b/a Oasis Energy	1	3	1	0	0	0	0	0	0	0	1	0	1	1	0
6645PA	Pay Less Energy, LLC	0	2	0	0	0	0	0	1	0	0	1	0	0	0	0
	Perigee Energy, LLC	0	1	0	0	1	0	0	0	0	0	0	0	0	0	0
D237	Platinum Plus Energy Resources, Inc.	0	1	0	0	0	0	0	1	0	0	0	0	0	0	0
D171	Plymouth Rock Energy LLC	0	7	0	0	1	1	1	1	1	1	0	1	0	0	0
D263	Public Power Llc	0	15	0	2	1	1	1	0	0	0	1	2	3	1	3
6233RE	Reliant Energy Northeast LLC	0	1	0	0	0	0	0	0	0	1	0	0	0	0	0
6616RE	Renaissance Power & Gas, Inc.	0	2	0	0	0	0	0	0	0	1	0	1	0	0	0
6574RE	Residents Energy, LLC	0	2	0	0	1	0	0	0	0	0	1	0	0	0	0
D093	Robison Energy Of Westchester	0	2	0	0	1	0	1	0	0	0	0	0	0	0	0
5370SB	SBR Energy, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1
4976SM	Smart One Energy, LLC	1	7	1	0	0	1	1	0	0	1	0	0	1	1	2
6216SO	South Bay Energy Corp.	0	1	0	0	0	0	0	1	0	0	0	0	0	0	0
D186	Spark Energy, L.P.	1	19	1	1	0	2	2	3	1	2	4	2	0	0	2
7397SP	Sperian Energy Corp.	0	3	0	0	0	0	1	0	0	0	1	1	0	0	0
6809ST	Stream Energy New York LLC.	0	5	0	0	0	0	0	1	1	0	0	2	1	0	0
D223	Titan Gas, LLC	0	3	0	0	1	0	0	0	1	0	0	1	0	0	0
D119	U.S. Gas & Electric. Inc.	0	10	0	1	0	1	1	1	1	2	0	1	2	0	0
6008UN	United Energy Supply Corporation	0	2	0	1	0	0	0	0	1	0	0	0	0	0	0
7346UN	United Metro Energy Services Corp.	0	1	0	0	0	0	0	0	0	0	0	0	0	1	0
5461UT	Utility Expense Reduction LLC	1	1	1	0	0	0	0	0	0	0	1	0	0	0	0
6894VE	Verde Energy USA New York, LLC	2	15	2	0	0	0	2	2	2	0	4	0	3	2	0
5391VI	Viridian Energy NY, LLC	2	21	2	3	0	1	2	0	1	2	2	3	3	1	3
6668XO	XOOM Energy New York, LLC	0	22	0	1	2	3	2	0	2	2	1	0	4	3	2
	Total	130	1076	130	-	55	65	66	72	97	85		106			

ESCO's with no complaints on file since January 2015 are not listed on this report.

Consumer Reports of Deceptive Marketing Practices by Energy Services Company

	Elici	37					Jul	· · /								
Code	Company Name	2016	2015	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15	May-15	Apr-15	Mar-15	Feb-15	Jan-15
6898AB	ABC Energy LLC	1	1	1	0	1	0	0	0	0	0	2	0	0	0	0
5567AB	ABC Energy LLC DBA GreatEnergy	0	1	0	0	0	0	0	0	0	1	0	0	0	0	0
D128	Accent Energy Midwest, LLC	1	3	1	1	1	1	0	0	0	0	0	0	0	0	0
8281AG	Agera Energy	0	2	0	0	0	0	0	1	0	0	0	0	0	1	0
D001	Agway Energy Services, LLC.	2	39	2	3	1	3	0	4	2	2	1	7	7	4	5
5985AL	Alpha Gas And Electric, Llc	0	26	0	2	2	1	1	3	4	2	2	2	6	0	1
D230	Ambit Energy	181	646	181	53	18	26	29	34	72	64	73	79	56	71	71
5411AM	American Power & Gas, LLC	9	156	9	2	6	9	2	8	10	16	12	22	20	22	27
6729AM	Amplified Power & Gas, LLC	0	2	0	0	0	0	0	0	0	0	0	0	2	0	0
6023AP	Ap Gas & Electric (ny), Llc	1	4	1	0	0	1	0	0	1	0	0	1	0	0	1
6818AS	Astral Energy LLC	6	27	6	2	4	5	3	1	1	6	3	0	1	0	1
6481AT	Atlantic Energy, LLC	2	8	2	1	2	2	2	0	0	0	0	0	0	1	0
7844AT	Atlantic Power & Gas LLC	1	9	1	0	0	0	0	0	0	2	0	2	0	5	0
D222	BluCo Energy, LLC	0	7	0	0	0	0	2	0	3	0	0	0	0	0	2
D217	BlueRock Energy, Inc.	0	2	0	0	0	0	0	2	0	0	0	0	0	0	0
5008BO	Bounce Energy NY, LLC	0	2	0	0	0	0	0	0	0	0	1	0	1	0	0
D113	Brown's Fuel	2	7	2	2	0	2	0	0	0	0	0	0	0	0	3
5246BU	BUY ENERGY DIRECT, LLC	0	2	0	0	0	0	0	0	0	0	2	0	0	0	0
9156CE	CenStar Operating Company, LLC	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0
D220	Chief Energy Gas, Llc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1
5773CH	Chief Energy Power, Llc	2	1	2	0	0	0	0	0	0	1	0	0	0	0	0
5325CI	Citizens Choice Energy, LLC	0	2	0	0	0	0	0	0	0	0	0	0	2	0	0
5592CI	City Power & Gas, LLC	1	14	1	0	0	0	1	0	1	1	3	2	1	3	2
D238	Clearview Energy, Inc.	0	3	0	0	0	0	0	0	0	0	2	0	0	1	0
D231	Columbia Utilities Power, Llc (electric)	1	13	1	1	2	0	2	2	1	0	2	0	0	1	2
D040	Columbia Utilities Power, Llc (gas)	0	11	0	1	1	1	0	0	1	0	0	0	2	4	1
5065CO	Community Energy Services, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	1	1	0
D086	Con Edison Solutions	0	8	0	0	0	0	0	1	0	0	2	0	2	2	1
6771CO	Constellation Energy Gas Choice Inc.	1	4	1	1	0	0	1	1	1	0	0	0	0	0	0
D084	Constellation NewEnergy	0	11	0	0	0	0	0	0	1	0	1	4	1	4	0
D221	Constellation NewEnergy - Gas Divisio	0	2	0	0	0	0	0	0	0	0	0	0	0	2	0
5308DI	Direct Energy Business, LLC	0	18	0	0	0	0	1	1	0	4	0	3	4	1	4
D176	Direct Energy Services LLC	22	80	22	16	21	8	9	1	7	5	2	3	2	3	3
D256	East Coast Power, Llc	0	2	0	0	0	0	0	0	0	0	0	1	0	0	1
6922EL	Eligo Energy NY, Llc	0	28	0	0	1	1	0	0	0	1	1	2	5	13	4
7398EM	Empire Energy Services, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	1	0
D047	Empire Natural Gas Corporation	0	1	0	0	0	0	1	0	0	0	0	0	0	0	0
D087	Energetix, Inc.	0	18	0	0	0	0	2	3	0	0	2	5	3	1	2
D243	Energy Plus Holdings LLC	0	12	0	0	0	1	1	1	2	1	0	2	1	3	0
5568EN	Energy Plus Natural Gas LP	0	1	0	0	0	0	0	0	0	0	0	1	0	0	0
D137	Energy Service Providers, Inc.	0	1	0	0	1	0	0	0	0	0	0	0	0	0	0
5424EN	Energy Solutions Co. LLC	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0
5182EN	EnergyMark, LLC	0	2	0	0	0	0	0	0	0	0	0	0	2	0	0
D201	Entergy Solutions Ltd.	0	1	0	0	0	0	0	0	0	1	0	0	0	0	0
8938EN	Entrust Energy East, Inc.	0	15	0	0	0	0	0	0	15	0	0	0	0	0	0
7005ET	Ethical Electric Benefit Co.	0	27	0	4	2	1	2	3	0	3	7	0	2	2	1
4920FA	Family Energy, Inc.	9	62	9	1	6	1	5	6	8	3	4	4	6	2	16
7383FR	Frontier Utilities Northeast LLC	5	2	5	0	0	0	0	0	0	0	0	0	2	0	0

Consumer Reports of Deceptive Marketing Practices by Energy Services Company

	Elici	3,						· · y								
Code	Company Name	2016	2015	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15	May-15	Apr-15	Mar-15	Feb-15	Jan-15
6781FT	FTR Energy Services, LLC	0	7	0	0	0	0	1	1	2	0	0	0	0	0	3
6643GA	Galaxy Energy Llc	1	10	1	1	0	1	0	3	0	1	1	1	0	1	1
D046	Gateway Energy Services Corp.	0	30	0	0	0	1	1	2	3	4	2	4	5	1	7
6424GD	GDF Suez Retail Energy Solutions, LL	0	1	0	0	1	0	0	0	0	0	0	0	0	0	0
5349GL	Glacial Natural Gas, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	1	0
D104	Great Eastern Energy	0	4	0	1	1	0	0	1	0	0	0	0	0	0	1
D127	Green Mountain Energy	3	15	3		0	1	3	1	0	0	1	3	3	2	0
4877GR	Greenlight Energy Inc.	2	16	2		0	1	3	0	1	3	2	3	1	0	1
D254	High Rise Energy Group, LLC	1	1	1	0	0	0	0	0	0	0	0	0	0	1	0
	Hiko Energy, Llc	0	6	0	0	0	2	0	0	0	0	0	2	1	0	1
D120	Hudson Energy Services, Llc	0	4	0	1	0	1	1	0	0	0	1	0	0	0	0
D120	IDT Energy, Inc.	1	77	1	6	4	9	4	8	6	4	9	5	5	7	10
D188	IGS Energy	0	3	0	0	0	0	0	1	0	-	0	0	1	1	0
7041JO	JOSCO Energy Corp	2	38	2	4	3	0	6	4	5	3	3	5	3	1	1
D213	Just Energy New York Corp	2	29	2	4	0	1	1	4	2	3	3	7	3	3	3
6646KI	Kiwi Energy Inc.	2	82	2	4	1	7	8	<u> </u>	2 5	8	4	13	11	2	8
D117	Liberty Power Corp.	1	22	1	4	2	2	0 0	1	5 1	0 2	4	0	3	2	0 6
D117 D214	Major Energy Services LLC	1	33	1	2	2	2 1	0	1	0	 1	3	6	3 7	3 7	6
						-		-		-	-		_			-
	Marathon Energy Corporation	0	2	0	0	0	0	1	0	0	0	0	0	1	0	0
D267	Mpower Energy LLC	5	70	5	10	2	7	6	5	2	7	1	6	8	8	8
	NextEra Energy Resources, LLC	1	3	1	0	0	1	0	0	0	0	1	0	1	0	0
	North American Power & Gas LLC	0	85	0	2	0	0	1	4	2	2	2	5	17	23	27
	North Eastern States, Inc.	0	8	0	0	0	0	0	0	0	0	0	1	2	2	3
	North Energy LLC	0	1	0	1	0	0	0	0	0	0	0	0	0	0	0
D103	NYSEG Solutions, Inc.	0	66	0	0	0	0	8	5	7	4	3	11	16	6	6
4921OA	Oasis Power LLC, d/b/a Oasis Energy	0	3	0	0	0	1	0	0	0	0	0	0	1	1	0
6645PA	Pay Less Energy, LLC	0	1	0	1	0	0	0	0	0	0	0	0	0	0	0
6024PE	People's Power & Gas, Llc	0	1	0	0	0	0	0	0	1	0	0	0	0	0	0
6893PE	Perigee Energy, LLC	1	1	1	0	0	0	0	1	0	0	0	0	0	0	0
	Planet Energy (NY) Corp.	0	5	0	0	0	0	0	0	1	0	0	0	1	0	3
D171	Plymouth Rock Energy LLC	0	16	0	0	0	2	3	1	0	4	2	1	0	2	1
D263	Public Power Llc	1	18	1	1	0	2	0	2	1	0	0	1	3	4	4
6233RE	Reliant Energy Northeast LLC	0	3	0	1	0	0	0	0	0	0	0	0	0	0	2
6616RE	Renaissance Power & Gas, Inc.	2	5	2	0	0	2	0	0	1	0	0	2	0	0	0
	Residents Energy, LLC	2	22	2	2	2	2	1	3	3	1	1	1	0	3	3
5370SB	SBR Energy, LLC	0	4	0	0	0	0	0	0	0	0	0	0	0	1	3
4976SM	Smart One Energy, LLC	2	18	2	3	1	0	1	5	1	2	1	0	0	3	1
6216SO	South Bay Energy Corp.	0	3	0	0	0	0	0	0	0	1	0	1	0	0	1
D186	Spark Energy, L.P.	5	53	5	4	2	4	3	5	9	10	2	4	8	0	2
7397SP	Sperian Energy Corp.	0	6	0	0	0	0	0	0	0	0	2	2	1	0	1
5463ST	Starion Energy NY, Inc.	1	11	1	0	0	1	2	0	0	0	0	3	1	2	2
6809ST	Stream Energy New York LLC.	0	5	0	0	0	0	0	2	2	1	0	0	0	0	0
D223	Titan Gas, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1
D119	U.S. Gas & Electric, Inc.	2	30	2	0	2	1	1	1	2	7	2	2	2	4	6
D500	Unidentified ESCO	14	130	14	11	3	10	15	21	18	14	15	7	2	7	7
6008UN	United Energy Supply Corporation	0	6	0	1	1	0	0	0	2	0	0	0	0	2	0
7346UN	United Metro Energy Services Corp.	0	1	0	0	0	0	0	0	0	0	0	1	0	0	0
5461UT	Utility Expense Reduction LLC	3	22	3	2	0	4	2	4	0	З	2	1	1	2	1

Consumer Reports of Deceptive Marketing Practices by Energy Services Company

Code	Company Name	2016	2015	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15	May-15	Apr-15	Mar-15	Feb-15	Jan-15
6894VE	Verde Energy USA New York, LLC	2	30	2	0	0	1	4	3	1	2	1	2	6	8	2
5391VI	Viridian Energy NY, LLC	5	30	5	1	0	0	2	1	4	4	4	3	3	3	5
6668XO	XOOM Energy New York, LLC	1	21	1	1	1	2	2	1	1	2	1	1	4	3	2
	Total	311	2348	311	156	95	131	144	172	213	204	189	244	250	262	288

Deceptive marketing complaints are taken from customers who report situations where an energy service company or energy marketer solicits the customer's home or business in a manner which the customer believes is misleading or the customer was presented with information which the customer believes is untrue.



February 2016

Office of Consumer Services Monthly Report on Consumer Complaint Activity

Audrey Zibelman Chief Executive Officer

Michael Corso Chief Consumer Advocate, Office of Consumer Services

Published March 25, 2016



Monthly Report on Consumer Complaint Activity

February 2016

Table of Contents

Director's Message	. 3
If You Have a Complaint About Your Utility Service	. 4
How Utility Complaints are Measured	. 5
Complaint Activity of New York's Major Utilities	. 7
Customer Service Response Index	. 8
Credits Obtained for Consumers	13
Number of Initial Complaints Received Against ESCO's	14
Number of Escalated Complaints Received Against ESCO's	17
Number of Marketing Complaints Received Against ESCO's	19



March 25, 2016

Dear Readers:

The Office of Consumer Services closely monitors the number and types of complaints received against all utilities operating in New York State. We strive to ensure that utilities fulfill their obligation to provide effective customer service in compliance with the laws, rules, regulations and policies we enforce.

Each month, this report provides an overview of complaint activity and utility responsiveness during the preceding month which is informative to both consumers and utility companies. Specific details regarding the way we measure the companies' activities are described in the section How Utility Complaint Data Is Reported.

The table titled Complaint Activity of New York's Major Utilities reports on the volume of complaints received against the largest utilities in each industry while the table titled Customer Service Response Index reports on the level of customer service and responsiveness delivered by each service provider.

The chart, Credit Adjustments Received for Consumers, reflects the amount of refunds or credits customers received as a result of our investigations. The Office of Consumer Services also monitors complaints against the competitive energy service companies (ESCO's) operating in New York. These complaints are reported in two tables; Number of Initial Complaints Received Against ESCO's and Number of Escalated Complaints Received Against ESCO's.

I hope this report is helpful in providing you with a summary of utility complaint activity. If you have any questions, please e-mail John.Auricchio@dps.ny.gov

Sincerely,

Michael lad

Michael Corso Director Office of Consumer Services



If You Have a Complaint About Your Utility Service

If you're having difficulty resolving a dispute with your regulated energy, telephone, cable television or water company, Department of Public Service staff is available to assist you.

The Office of Consumer Services takes all utility consumer complaints seriously. You can contact us toll-free by telephone, in writing or via the Internet. When you contact our office with a complaint about a utility or other service provider, we take immediate steps to address your concerns.

After we complete entering the details of your complaint we send your complaint to the utility by e-mail or fax. In an effort to ensure that utilities fulfill their obligation to provide effective customer service, we will first ask your utility to contact you and resolve your concern. If your complaint is related to the provision of service, your utility should contact you within two business hours. If your complaint is related to billing or another matter, the utility should contact you by the close of the following business day.

If the utility does not contact you with its initial acknowledgement, does not provide its response to you within two weeks or the matter remains unresolved after you have received a response, you can contact us. We will then further investigate the matter and notify you in writing or by telephone of the decision and the reasons for the decision.

If you believe the initial decision is wrong, you can request an informal hearing. This request may be in writing and made within 15 days of the initial decision. You may be asked to submit certain documents to support your position. If you and the utility are unable to settle the complaint, the hearing officer will make a decision on your complaint and notify you in writing of the decision.

If you believe that the informal hearing officer's decision was wrong, you can appeal it within 15 days of the decision. Your written appeal must contend that there was an error by the hearing officer or reviewer that affected the decision or that evidence not previously available would affect the decision. All appeals, except those involving PSEG-LI, will be decided by the Public Service Commission. PSEG-LI appeals will be decided by Long Island Power Authority (LIPA).

If you have a complaint about your utility service you may contact us thru one of the following avenues:

By Telephone Via the Internet	Monday thru Friday 8:30am – 4:00pm 24 hours a day	800-342-3377 www.dps.ny.gov
In Writing	Please be sure to include as much detail as possible, including your account number, service address, telephone number and the specifics of your complaint.	NYS Dept. of Public Service Office of Consumer Services Three Empire State Plaza Albany, NY 12223-1350



How Utility Complaints Are Measured

The Office of Consumer Services reports complaint data by volume and by responsiveness. A complaint rate is used to compare small utilities to large utilities. A response index is used to measure how well utilities address consumer complaints we forwarded to them.

The Office of Consumer Services closely monitors the number and types of complaints received against all utilities operating in New York State. We expect utility companies to be highly responsive to their customers' needs, especially when the customer feels that it is necessary to seek the assistance of the Department of Public Service staff. Each month, this report provides an overview of complaint activity and utility responsiveness which we believe is informative to both consumers and utility companies.

The table titled **Complaint Activity of New York's Major Utilities** reports on the volume of complaints received against the largest utilities in each industry. These utility companies vary in size from just over 10,000 customers to several million customers. Therefore, in order to compare complaint volumes among companies, a complaint rate per 100,000 customers is displayed. This allows the reader to compare the complaints of a large company to that of a small company.

There are two measures of complaints which are reported each month. At first all complaints are recorded and forwarded to the utility for resolution directly with the customer. These are noted as <u>initial complaints</u> (QRS) in the table titled **Complaint Activity of New York's Major Utilities**. If the customer informs us that the utility failed to satisfy their complaint the matter is escalated for further handling and investigation by staff and is noted as <u>escalated complaints</u> (SRS). Both numbers are converted into a complaint rate which allows the reader to compare performance regardless of the size of a company's customer base. The <u>escalation rate</u> is a measure of how successful a utility is in satisfying their customer upon receipt of an initial complaint made through the Office of Consumer Services. The <u>12 month complaint rate</u> is often used as one of several customer service delivered by an individual utility. This rate represents the average number of escalated complaints received per month per 100,000 customer accounts.

The table titled **Customer Service Response Index** (CSRI) reports on the level of customer service and responsiveness delivered by each service provider. The Customer Service Response Index is determined by measuring four metrics. Complete CSRI data is posted on the first page of the report for those service providers that average ten or more initial complaints per month. For all other service providers, the performance in each area is reported on subsequent pages of the table, less the actual index measures because the index measures for companies with fewer than ten initial complaints have been found to show significant fluctuations on a month to month basis. These fluctuations may result in the reader reaching an inaccurate conclusion as to a service provider's performance. If a company is not listed in a particular monthly report it is because there was no activity for the company in the reporting month.

The **Index** is determined by measuring four metrics:

<u>The Consumer Satisfaction Metric (CSM)</u> is a ratio of the number of <u>initial complaints</u> to the number of <u>escalated complaints</u> in the reporting month. A score of 5 points is awarded when a service provider receives no escalated complaints during the reporting month. There is no score awarded if a service provider satisfies less than 50% of the customers that the Department refers to them.

<u>The Complaint Response Time Metric (CRM)</u> is the average number of days it took the service provider to respond to <u>initial complaints</u> closed in the reporting month. A score of 2 points is awarded when a provider's average response time for <u>initial complaints</u> is 14 days or less. No points are earned if the average response time for <u>initial complaints</u> is more than 28 days (twice the acceptable reply standard).

<u>The Escalated Complaint Response Time Metric (ERM)</u> is the average number of days it took the service provider to respond to <u>escalated complaints</u> closed in the reporting month. A score of 2 points is awarded when a service provider's average response time for <u>escalated complaints</u> is 10 days or less. No points are earned if the average response time for <u>escalated complaints</u> is more than 25 days (two weeks past due).

<u>The Pending Case Metric (PCM)</u> is the average age of all cases awaiting response, determined on the last day of the reporting month. A score of 1 point is awarded when a service providers' average age of all cases is 14 days or less. No points are earned if the average age of all cases exceeds 70 days (two months delinquent). A negative score is applied if the average age of all cases is over 70 days.

Complaint Activity of New York's Major Utilities February 2016

Utility Companies	Initial Cor (QR	-	Escalated (Complaints	Escalation Rate	12 Month Escalated
	No.	Rate*	No.		Nale	Complaint Rate
Central Hudson Gas & Electric Corp.	27	8.8	1	0.3	4%	0.4
Con Edison of New York	384	10.8	46	1.3	12%	1.6
PSEG Long Island	81	7.2	4	0.4	5%	0.7
National Grid - L I	52	8.9	5	0.9	10%	0.8
New York State Electric & Gas Corp.	91	9.7	2	0.2	2%	0.6
National Grid-Upstate	147	8.6	5	0.3	3%	0.7
Orange & Rockland	17	7.3	1	0.4	6%	0.3
Rochester Gas & Electric Corp.	58	14.0	0	0.0	0%	0.8
National Grid-Metro NY	85	6.8	4	0.3	5%	0.5
National Fuel Gas Distribution	23	4.4	0	0.0	0%	0.1
Citizens Communications	3	2.2	1	0.7	33%	0.6
Frontier Communications of NY	1	3.2	0	0.0	0%	0.8
Frontier Telephone of Rochester, Inc.	9	5.8	1	0.6	11%	0.4
Windstream Communications, Inc.	0	0.0	0	0.0	0%	0.5
Verizon Communications	208	7.6	34	1.2	16%	1.1
AT&T	11		0		0%	
Optimum Voice	4		0		0%	
Time Warner Cable Information Services	12		0		0%	
Verizon Digital Voice	15		3		20%	
Cablevision Systems	32		2		6%	
Time-Warner Cable	150		11		7%	
Verizon New York, Inc. (CATV)	21		4		19%	
Long Island Water Corp.	12	9.8	1	0.8	8%	1.5
United Water - New York	7	9.4	2	2.7	29%	3.8
United Water - Westchester	5	11.5	0	0.0	0%	1.9

All complaint rates are based on December 2015 customer populations.

* - Complaints per 100,000 customer accounts where populations are reported by the utility

This table reports on the volume of complaints received against the largest utilities in each industry.

Initial Complaints (QRS) - This is the number (No.) of complaints we receive and forward to the utility company for resolution directly with the customer and the corresponding complaint rate (Rate) per 100,000 customer accounts.

Escalated Complaints (SRS) - This is the number (No.) of complaints that we escalated for further handling and investigation because the customer informed us that the utility failed to satisfy their initial complaint after we forwarded the initial complaint to the utility. The corresponding escalated complaint rate (Rate) per 100,000 customer accounts allows the reader to compare one utility to another regardless of the number of customer accounts.

Escalation Rate - This is a measure of how successful a utility is in satisfying their customer upon receipt of an initial complaint made through the Office of Consumer Services. The lower the rate the more successful the utility was in resolving initial complaints directly with the customer.

12 Month Escalated Complaint Rate - This rate represents the average number of escalated complaints received per month per 100,000 customer accounts. This is often used as one of several customer service measures that may be taken into consideration when staff monitors the quality of customer service delivered by an individual utility.

Customer Service Response Index February 2016

Service Provider	Initial Complaints	Escalated Complaints	CSM Index	Complaint Response Time	CRM Index	E. Complaint Response Time	ERM Index	Avg. Age of Cases Pending	PCM Index	CSRI
National Fuel Gas Distribution	23	0	5.0	6.7	2.0	0.0	2.0	4.3	1.0	10.0
Cablevision of Long Island	10	0	5.0	4.2	2.0	0.0	2.0	5.7	1.0	10.0
New York State Electric & Gas Corp.	91	2	4.8	4.3	2.0	5.7	2.0	3.9	1.0	9.8
National Grid - Upstate	147	5	4.7	9.2	2.0	6.3	2.0	4.9	1.0	9.7
PSEG Long Island	81	4	4.5	6.7	2.0	9.2	2.0	12.9	1.0	9.5
Orange & Rockland	17	1	4.4	4.1	2.0	5.9	2.0	1.0	1.0	9.4
Cablevision of New York City	16	1	4.4	5.2	2.0	1.3	2.0	8.5	1.0	9.4
Central Hudson Gas & Electric Corp.	27	1	4.6	8.8	2.0	13.6	1.7	10.9	1.0	9.3
Eligo Energy Ny, Llc	12	1	4.2	5.8	2.0	0.8	2.0	5.7	1.0	9.2
Utility Expense Reduction LLC	10	1	4.0	5.4	2.0	3.1	2.0	1.0	1.0	9.0
Frontier Utilities Northeast LLC	10	1	4.0	4.5	2.0	0.1	2.0	0.0	1.0	9.0
Verizon New York Inc.	21	4	3.1	8.6	2.0	8.3	2.0	7.4	1.0	8.1
American Power & Gas, LLC	18	3	3.3	3.2	2.0	2.0	2.0	22.0	0.8	8.1
Verizon Communications	208	34	3.4	9.5	2.0	14.9	1.6	8.4	1.0	8.0
Rochester Gas & Electric Corp.	58	0	5.0	2.2	2.0	31.1	0.0	8.2	1.0	8.0
Family Energy, Inc.	20	4	3.0	13.4	2.0	6.6	2.0	7.7	1.0	8.0
Verizon Digital Voice	15	3	3.0	11.8	2.0	5.2	2.0	13.1	1.0	8.0
Time Warner Cable Information Servic	12	0	5.0	4.3	2.0	45.1	0.0	6.2	1.0	8.0
AT&T	11	0	5.0	3.8	2.0	26.1	0.0	17.5	0.9	7.9
Time Warner - Albany	34	1	4.7	7.7	2.0	47.9	0.0	6.3	1.0	7.7
Time Warner - Buffalo	16	0	5.0	1.8	2.0	42.0	0.0	30.0	0.7	7.7
National Grid - Metro Ny	85	4	4.5	18.5	1.5	22.7	0.6	17.5	0.9	7.5
Time Warner - Syracuse Division	35	2	4.4	3.8	2.0	23.9	0.4	40.4	0.4	7.2
Direct Energy Services LLC	42	13	1.9	9.0	2.0	6.4	2.0	9.5	1.0	6.9
New York American Water	12	1	4.2	16.0	1.8	25.8	0.0	23.0	0.8	6.8
Con Edison Of New York	384	46	3.8	13.9	2.0	36.0	0.0	14.4	0.9	6.7
National Grid - L I	52	5	4.0	13.1	2.0	29.9	0.0	29.5	0.7	6.7
Time Warner - New York City	61	7	3.9	9.8	2.0	120.6	0.0	56.3	0.1	6.0
Ambit Energy	113	105	0.0	21.9	1.2	9.5	2.0	7.3	1.0	4.2

This table reports on the current level of customer service and responsiveness delivered by each service provider under the Department's jurisdiction. The Customer Service Response Index is determined by measuring four metrics. Complete CSRI data is posted on the first page of the report for those service providers that average ten or more initial complaints per month. For all other service providers, the performance in each area is reported on subsequent pages of the table, less the actual index measures because the index measures for companies with fewer than ten initial complaints have been found to show significant fluctuations on a month to month basis. These fluctuations may result in the reader reaching an inaccurate conclusion as to a service provider's performance. If a company is not listed on this report it is because there was no activity for the company in the reporting month. Below is an explanation of the data in each column. Exhibit 1131 Part 4 **Initial Complaints** - This is the number of initial complaints we receive and forward to the utility company for resolution directly with the customer.

Escalated Complaints - This is the number of complaints that we escalated for further handling and investigation because the customer informed us that the utility failed to satisfy their initial complaint after we forwarded the initial complaint to the utility.

CSM Index - The Consumer Satisfaction Index scores the ratio of the number of initial complaints to the number of escalated complaints in the reporting month. A score of 5 points are awarded when a service provider receives no escalated complaints during the reporting month. There is no score awarded if a service provider satisfies less than 50% of the customers that the Department refers to them.

Complaint Response Time - This is the average number of days it took for a utility to respond to initial complaints in the reporting month.

CRM Index - The Complaint Response Time Index scores the service provider's responsiveness to initial complaints closed in the reporting month. A score of 2 points is awarded when a provider's average response time for initial complaints is 14 days or less. No points are earned if the average response time for initial complaints is more than 28 days (twice the acceptable reply standard).

E. Complaint Response Time - This is the average number of days it took for a utility to respond to escalated complaints in the reporting month.

ERM Index - The Escalated Complaint Response Time Index scores the service providers responsiveness to escalated complaints closed in the reporting month. A score of 2 points is awarded when a provider's average response time for escalated complaints is 10 days or less. No points are earned if the average response time for escalated complaints is more than 25 days (two weeks past due).

Avg. Age of Cases Pending - This is the average age of all the cases awaiting a response from the service provider.

PCM Index - The Pending Case Index scores the average age of all cases awaiting response by the service provider. A score of 1 point is awarded when a service providers' average age of all cases is 14 days or less. No points are earned if the average age of all cases exceeds 70 days (two months delinquent). A negative score is applied if the average age of all cases is over 70 days.

CSRI - The Customer Service Response Index is the overall score received by the service provider. It is the sum of the four indices.

Service Provider	Initial Complaints	Escalated Complaints	CSM Index	Complaint Response Time	CRM Index	E. Complaint Response Time	ERM Index	Exhibit 11 Avg. Age of Cases Pending	31 Part 4 PCM Index	CSRI
	•	•	muex		muex		muex	•	muex	0314
125 Court Street, LLC	0	0		0.0		0.0		428.0		
200 Water SPE LLC	0	0		0.0		0.0		144.0		
343-345 West 51 Street, Llc	0	0		0.0		0.0		33.0		
3462 Third Avenue Owner Realty LLC	0	0		0.0		0.0		269.0		
89 Murray Street Associates Llc	0	0		0.0		0.0		144.0		
ABC Energy LLC	1	1		16.5		1.0		0.0		
Agway Energy Services, LLC.	4	1		10.6		0.0		0.0		
Akam Associates	0	0		0.0		0.0		133.0		
Alpha Gas And Electric, Llc	3	1		7.2		0.0		5.0		
Ap Gas & Electric (ny), Llc	2	1		21.0		0.0		6.5		
ASC Energy Services, Inc.	5	0		2.3		0.0		11.5		
Astral Energy LLC	9	3		27.8		14.8		46.2		
Atlantic Energy, LLC	1	0		12.7		0.0		0.0		
Atlantic Power & Gas LLC	2	0		0.5		0.0		34.5		
Augustus & James Corp.	0	0		0.0		0.0		144.0		
Bandwidth.com Clec, Llc	0	0		49.0		0.0		0.0		
Be@Schermerhorn	0	1		0.0		0.0		5.0		
Birch Communications, Inc.	1	0		0.0		0.0		6.0		
BluCo Energy, LLC	1	0		1.0		0.0		0.0		
Broadview Networks	1	0		11.1		0.0		0.0		
Brown's Fuel	0	1		10.0		2.7		0.0		
BTI Communications, Inc. d/b/a TELZE	8	1		17.8		0.0		12.0		
BUY ENERGY DIRECT, LLC	0	0		0.0		99.4		0.0		
Cablevision Lightpath, Inc.	0	0		11.0		0.0		0.0		
Cablevision of Brookhaven	0	0		17.0		0.0		0.0		
Cablevision of Cross River	1	0		0.0		0.0		4.0		
Cablevision of Hauppauge	1	0		8.0		0.0		0.0		
Cablevision of Rockland	1	0		9.0		0.0		0.0		
Cablevision of Southern Westchester	1	0		8.0		7.2		0.0		
Cablevision of Westchester	2	1		5.7		1.3		0.0		
Carousel Park Preservation L.P.	0	0		0.0		181.8		181.0		
CenStar Operating Company, LLC	0	0		0.0		3.8		0.0		
Chief Energy Gas, Llc.	2	0		138.7		0.0		0.0		
Chief Energy Power, Llc	2	0		3.0		0.0		0.0		
Citizens Choice Energy, LLC	2	0		6.0		0.0		0.0		
Citizens Communications	3	1		3.5		0.0		10.5		
Citizens Telecommunications Co. of N		1		20.0		23.2		0.0		
City of Jamestown Board of Public Util		0		2.3		0.0		13.0		
City of Salamanca Electric	0	0		31.0		0.0		0.0		
City Power & Gas, LLC	2	0		0.5		0.0		0.0		
Clearview Energy, Inc.	1	0		13.0		0.0		0.0		
Clinton Hills Apts Owners Corp	0	0		0.0		0.0		491.0		
Columbia Utilities Power, Llc (electric)	4	2		1.5		3.8		0.0		
Columbia Utilities Power, Llc (gas)	4	0		2.8		0.0		0.0		
Comcast Cable of New York - CATV	6	1		8.6		6.8		14.0		
Con Edison Solutions	1	0		0.0		0.0		14.0		
Constellation Energy Gas Choice Inc.	1	0		4.0		0.0		0.0		
Constellation NewEnergy	1	0		24.5		0.0		0.0		
CornerStone Telephone Company, LL		0		0.0		0.0		8.0		
Corning Natural Gas Corp.	0	0		3.0		0.0		0.0		
CTC Communications Corp.	0	0		0.0		0.0		11.0		
Custom Teleconnect, Inc.	0	0		0.0		0.0		42.0		
	0	0		0.0		0.0		72.0		

Service Provider	Initial Complaints	Escalated Complaints	CSM Index	Complaint Response Time	CRM Index	E. Complaint Response Time	ERM Index	Exhibit 11 Avg. Age of Cases Pending	31 Part 4 PCM Index	CSRI
Deposit Telephone	1	0		14.0		0.0		0.0		
Direct Energy Business Marketing, LLC		0		96.0		3.8		31.5		
Difect Energy Busiliess Marketing, EC		0		96.0 0.0		3.0 0.0		133.0		
		-								
Dutchess Estates Water	0	0		0.0		0.0		26.0		
East Coast Power, Llc	1	1		9.0		0.0		13.0		
Elmwood Square Preservation, L.P.	0	0		0.0		0.0		36.0		
Energy Plus Holdings LLC	6	1		11.2		0.1		8.8		
Entrust Energy East, Inc.	3	0		2.7		0.0		0.0		
Ethical Electric, Inc	2	0		7.5		0.0		0.0		
Fisher Island Electric	0	0		0.0		0.0		32.0		
Forest Park Water Co. Inc.	0	0		85.0		0.0		159.0		
Frontier Communications of NY/aka Hi		0		6.2		0.0		0.0		
Frontier Communications of Rocheste	1	0		0.0		0.0		5.0		
Frontier Telephone of Rochester, Inc.	9	1		5.1		1.1		6.5		
Galaxy Energy Llc	2	0		5.5		0.0		27.0		
Global Tel*Link Corporation	2	0		8.0		0.0		4.0		
Granite Telecommunications, Llc	0	1		27.0		0.0		0.0		
Great Eastern Energy	1	0		0.0		0.0		6.0		
Green Mountain Energy	2	0		13.0		1.0		18.0		
Greenlight Energy Inc.	7	0		2.6		0.0		0.0		
Grenadier Realty Corp	0	0		0.0		0.0		326.0		
H & S Property Management	0	0		0.0		0.0		134.0		
Hamilton County/Gore Mt. Cable TV Inc	0	1		138.0		0.0		28.0		
Heywood Towers Associates	0	0		0.0		0.0		1021.0		
High Rise Energy Group, LLC	0	1		33.0		1.0		0.0		
Hiko Energy, LLC	2	0		0.5		0.0		0.0		
Hudson Energy Services, Llc	1	1		7.0		6.8		1.0		
Hudson Park Investors, Llc	0	0		0.0		251.9		0.0		
IDT America Corp.	0	0		0.0		0.0		0.0		
ldt Energy, Inc.	9	0		7.0		0.0		0.0		
Infinite Energy, Inc.	1	0		0.0		0.0		4.0		
Josco Energy Corp	9	1		11.6		4.2		1.0		
Just Energy New York Corp	3	0		12.8		0.0		6.0		
Kiamesha Artesian Spring Water Co.,	1	0		1.0		0.0		0.0		
King Tract Utilities c/o Melohn Propertie		0		0.0		0.0		120.0		
Kiwi Energy Inc.	8	0		17.4		0.0		9.0		
Knolls Water Co.	0	0		131.0		0.0		9.0 0.0		
Lexington Power & Light, LLC	0	0		27.0		0.0		0.0		
Liberty Power Corp.	3	0		14.0		10.1		11.0		
Maiden Lane Properties, LLC										
-	0	0		0.0		0.0		284.0		
Major Energy Services LLC	5	0		5.5		0.0		6.0		
Marathon Energy Corporation	6	1		5.2		4.5		47.0		
MCI	1	0		5.0		0.0		0.0		
Megapath Networks	0	0		0.0		0.0		33.0		
Metropolitan Telecommunications	1	0		0.0		0.0		0.0		
Mpower Energy LLC	9	2		27.2		7.1		7.5		
New Visions Powerline Communication		0		0.0		26.2		0.0		
New Wave Energy Corp.	1	0		13.0		0.0		0.0		
NextEra Energy Resources, LLC	0	1		8.0		10.7		0.0		
North 3rd Bedford Avenue Llc	0	0		0.0		0.0		144.0		
North American Power & Gas LLC	3	2		11.7		0.1		11.2		
NorthEastern Energy Corporation	0	0		0.0		0.0		113.0		

	Initial	Escalated	сѕм	Complaint Response	CRM	E. Complaint Response	ERM	Exhibit 11 Avg. Age of Cases	РСМ	0001
Service Provider	Complaints		Index	Time	Index	Time	Index	Pending	Index	CSRI
Nysandy7 Story Llc	0	0		0.0		0.0		144.0		
Oasis Power LLC, d/b/a Oasis Energy		0		9.5		0.0		5.0		
Optimum Voice	4	0		7.5		1.9		25.0		
Parker Forest Hills	0	0		0.0		273.0		0.0		
Penelec (A First Energy Company)	1	0		5.0		0.0		0.0		
Perigee Energy, LLC	0	0		41.0		0.0		0.0		
Platinum Plus Energy Resources, Inc.	0	0		0.0		184.1		0.0		
Plymouth Rock Energy LLC	1	0		0.0		0.0		21.0		
Public Power Llc	4	1		11.8		12.8		5.0		
Queens Fresh Meadow Electric	0	0		0.0		0.0		74.5		
Rcn Telecom Services Of New York, L	1	0		13.0		0.0		0.0		
Reliant Energy Northeast LLC	3	0		0.0		0.0		8.0		
Resdntl Comms. Netwrk of NY	1	0		6.0		0.0		0.0		
Residents Energy, LLC	4	0		6.3		0.0		1.0		
Riverview II Preservation Lp	0	0		0.0		0.0		251.0		
Robison Energy Of Westchester	0	0		0.0		91.8		0.0		
Roosevelt Island Associates	0	0		0.0		0.0		663.0		
Sea Park West Lp	0	0		0.0		0.0		405.0		
SJ Energy Partners	1	0		0.0		0.0		19.0		
Smart One Energy, LLC	6	1		15.5		0.0		18.7		
Spark Energy, L.P.	7	2		6.7		0.7		6.7		
Spectrotel, Inc.	3	0		10.0		0.0		14.0		
Starion Energy NY, Inc.	2	0		11.5		0.0		0.0		
Stream Energy New York LLC.	1	0		8.0		0.0		0.0		
Taconic Telephone Corp.	1	1		27.7		14.2		25.0		
TDS Metrocom, Inc.	0	0		10.0		0.0		0.0		
The Middleburgh Telephone Co.	1	0		18.0		0.0		0.0		
Time Warner - Rochester	4	1		2.7		24.3		1.0		
Titan Gas, LLC	0	0		0.0		2.0		33.0		
Towers on the Park	0	0		0.0		0.0		427.0		
Trident Retail Energy, LLC dba Trident	2	0		1.0		0.0		0.0		
Tristate Bell Inc	3	0		1.0		0.0		17.0		
U.S. Gas & Electric, Inc.	7	1		11.2		12.9		6.5		
United Energy Supply Corporation	3	0		2.7		11.8		0.0		
United Metro Energy Services Corp	0	0		0.0		0.0		144.0		
United Water Westchester Inc.	5	0		2.0		0.0		6.0		
United Water-New York	7	2		10.0		0.1		13.5		
Verde Energy USA New York, LLC	4	1		12.0		0.0		2.5		
Village of Fairport	1	0		16.0		0.0		0.0		
Village of Freeport Electric	1	0		7.0		0.0		0.0		
Village of Hamilton Municipal Utilities C		0		0.0		0.0		0.0		
Viridian Energy Ny, Llc	7	1		8.2		7.9		67.5		
Windstream Communications, Inc.	0	0		0.0		0.0		74.0		
XChange Telecom	8	0		6.6		18.0		0.0		
XO Communications, Inc.	1	0		2.0		0.0		0.0		
XOOM Energy New York, LLC	4	1		19.0		0.0		6.5		
ACCIMENCIAL TOTAL	-	I		13.0		0.0		0.0		

2016 Credit Adjustments Received For Consumers

As a result of our investigation into consumers' complaints, when staff determines that a consumer was overbilled, the utility is directed to refund to the consumer, any monies it collected above and beyond what was allowed by tariffs, rules and regulations. The chart below identifies the credits obtained on behalf of consumers.

	Total	Consumers
Jan-16	\$191,889.11	103
Feb-16	\$194,994.20	113
Mar-16		
Apr-16		
May-16		
Jun-16		
Jul-16		
Aug-16		
Sep-16		
Oct-16		
Nov-16		
Dec-16		
2016 Total	\$386,883.31	216
Number of Initial Complaints Received Against ESCO's

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Code	Company Name	2016	2015	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15	May-15	Apr-15	Mar-15	Feb-15
6898AB	ABC Energy LLC	2	3	1	1	2	1	0	0	0	0	0	0	0	0	0
5567AB	ABN Energy, LLC DBA GreatEnergy	0	3	0	0	0	0	0	1	0	0	1	0	0	0	1
D128	Accent Energy Midwest, LLC	0	6	0	0	0	2	2	1	0	0	1	0	0	0	0
D249	Affordable Power, L.P.	0	1	0	0	0	0	1	0	0	0	0	0	0	0	0
8281AG	Agera Energy	0	3	0	0	0	1	0	0	1	0	0	0	0	0	1
5020AG	Aggressive Energy, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1
D001	Agway Energy Services, LLC.	8	64	4	4	4	5	2	0	4	6	4	5	7	11	6
5985AL	Alpha Gas And Electric, Llc	5	59	3	2	4	2	2	3	4	9	4	6	7	10	5
D230	Ambit Energy	432	1346			209	36	45	51	46	114	114	287	155	95	111
5411AM	American Power & Gas, LLC	31	319	18	13	8	14	25	11	23	22	27	22	40	45	40
D240	Ameristar Energy, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	1	0
6729AM	Amplified Power & Gas, LLC	0	6	0	0	0	0	0	0	0	0	0	2	0	3	1
6023AP	Ap Gas & Electric (ny), Llc	5	19	2	3	1	1	5	1	0	1	1	2	2	0	2
5465AS	ASC Energy Services, Inc.	5	2	5	0	0	1	1	0	0	0	0	0	0	0	0
6818AS	Astral Energy LLC	31	117	9	22	13	23	19	25	11	6	11	2	0	3	1
6481AT	Atlantic Energy, LLC	7	35	1	6	4	8	5	5	5	0	3	0	2	2	1
7844AT	Atlantic Power & Gas LLC	4	52	2	2	1	3	4	1	0	1	2	1	8	8	22
D222	BluCo Energy, LLC	1	18	1	0	1	1	0	3	0	4	0	3	2	0	1
D222	BlueRock Energy, Inc.	0	10	0	0	0	2	0	1	7	0	0	1	0	0	0
5008BO	Bounce Energy NY, LLC	0	8	0	0	0	0	0	0	0	0	0	2	0	2	3
D113	Brown's Fuel	2	19	0	2	1	0	4	2	1	1	1	1	0	1	2
5246BU	BUY ENERGY DIRECT, LLC	0	8	0	2	0	0	4	2	1	0	0	2	0	0	2
		2	4	0	2	1	1	0	1	1	0	0	0	0	0	0
9156CE 6903CH	CenStar Operating Company, LLC Champion Energy Services, LLC	0	4	0	2	0	1	0	0	0	0	0	0	0	0	0
D220	Chief Energy Gas, Llc.		3	2	0	1	0	0	0	0	0	0	1	0	0	0
5773CH	Chief Energy Power, Llc	2	5	2	1	0	0	0	0	1	0	1	0	1	0	1
		3	6	2	1	0	0	0	1	0	0	0	0	0	2	1
5325CI 5592CI	Citizens Choice Energy, LLC	3	29	2	1	0	0	0	1	0	2	8	4	3	4	5
	City Power & Gas, LLC	1	9	1	0	0	0	0	1	1	2	0 0	4	0	4	5
D238	Clearview Energy, Inc.		-		-	_	-	-			<u> </u>	-	-	4		-
D231	Columbia Utilities Power, Llc (electric)	7	27	4	3	1	4	0	3	2	1	1	2		3	1
D040	Columbia Utilities Power, Llc (gas)	5	31	-	1	2	3	2		0	2	1	2	3	4	6
5065CO	Community Energy Services, Inc.	0	2	0	0	0	0	0	0	0	-	0	0	0	1	1
D086	Con Edison Solutions	1	11	1	0	1	1	0	0	1	1	0	3	0	3	0
6771CO	Constellation Energy Gas Choice Inc.	3	8	1	2	1	0	3	1	1	2	0	-	0	0	0
	Constellation NewEnergy	4	21	1	3	0	3	0	0	1	2	2	2	4	3	4
D221	Constellation NewEnergy - Gas Divisio		9	0	0	0	2	0	0	0	0	2	1	1	1	1
8168DI	Direct Energy Business Marketing, LL	1	6	1	0	1	1	4	0	0	0	0	0	0	0	0
5308DI	Direct Energy Business, LLC	0	35	0	0	0	0	1	2	8	2	4	0	3	6	4
D176	Direct Energy Services LLC	94	206	42	52	49	40	32	21	6	14	7	6	6	6	10
D006	East Coast Energy, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D256	East Coast Power, Llc	1	5	1	0	0	1	0	0	0	0	0	1	1	0	1
6922EL	Eligo Energy NY, Llc	15	46	12	3	0	1	2	1	2	2	1	1	3	3	19
D047	Empire Natural Gas Corporation	0	1	0	0	0	0	0	1	0	0	0	0	0	0	0
D087	Energetix, Inc.	0	48	0	0	0	0	0	5	4	6	6	3	8	9	4
D183	Energy Cooperative of New York	0	2	0	0	0	0	0	0	0	0	0	0	1	1	0
6031EN	ENERGY DISCOUNTERS, LLC	0	1	0	0	0	0	0	0	1	0	0	0	0	0	0
D243	Energy Plus Holdings LLC	8	30	6	2	1	0	1	3	1	5	2	1	3	4	6
5568EN	Energy Plus Natural Gas LP	1	4	0	1	1	0	0	1	0	0	0	0	1	1	0

Number of Initial Complaints Received Against ESCO's

		ompr					<u>u</u>				51					
Code	Company Name	2016	2015	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15	May-15	Apr-15	Mar-15	Feb-15
D137	Energy Service Providers, Inc.	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0
5424EN	Energy Solutions Co. LLC	0	2	0	0	0	0	1	0	0	0	0	0	0	0	1
5182EN	EnergyMark, LLC	0	4	0	0	0	0	0	0	0	0	0	0	1	3	0
D201	Entergy Solutions Ltd.	0	1	0	0	0	0	0	0	0	0	1	0	0	0	0
8938EN	Entrust Energy East, Inc.	3	37	3	0	1	2	3	0	2	29	0	0	0	0	0
7005ET	Ethical Electric Benefit Co.	2	39	2	0	5	3	1	5	5	0	3	6	1	5	3
4920FA	Family Energy, Inc.	42	118	20	22	9	12	5	12	7	11	7	9	13	12	9
7383FR	Frontier Utilities Northeast LLC	30	19	10	20	10	1	2	3	1	0	0	0	0	1	0
6781FT	FTR Energy Services, LLC	0	10	0	0	0	0	0	3	1	2	0	1	0	0	0
6643GA	Galaxy Energy Llc	5	22	2	3	1	2	1	1	3	2	2	2	2	2	2
D046	Gateway Energy Services Corp.	0	83	0	0	0	0	2	8	7	6	11	4	13	13	8
4963GD	Gdf Suez Energy Resources Na, Inc.	0	1	0	0	0	0	0	1	0	0	0	0	0	0	0
6424GD	GDF Suez Retail Energy Solutions, LL	0	3	0	0	0	1	1	0	0	0	0	1	0	0	0
5349GL	Glacial Natural Gas, Inc.	0	3	0	0	0	0	0	1	0	0	0	0	0	0	2
6009GL	Global Energy, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	2
D104	Great Eastern Energy	1	10	1	0	1	1	0	0	2	0	0	4	0	0	0
D104	Green Mountain Energy	7	33	2	5	2	0	2	7	2	2	2	4	4	3	5
4877GR		11	25	2	4	1	3	2	3	0	2	2	<u> </u>	4 6	2	2
4077GR	Greenlight Energy Inc.	1	 7	0	4	0	0	3	0	0	1	1	1	0	2	2
	High Rise Energy Group, LLC			-		-	-					_		-		
5302PR	Hiko Energy, Llc	2	20	2	0	0	0	2	1	2	1	4	1	5	1	1
D120	Hudson Energy Services, Llc	2	23	1	1	3	3	2	3	0	0	1	3	4	2	0
D177	IDT Energy, Inc.	13	168	9	4	10	13	13	14	15	11	10	14	15	20	15
D188	IGS Energy	0	6	0	0	0	0	0	0	1	1	0	0	0	2	1
D167	Infinite Energy, Inc.	1	3	1	0	0	0	0	0	0	1	0	0	0	2	0
6647IR	Iron Energy LLC	0	5	0	0	1	0	0	0	0	0	0	0	0	0	4
7041JO	JOSCO Energy Corp	13	110	9	4	8	6	4	12	13	10	15	6	14	9	5
D213	Just Energy New York Corp	6	93	3	3	7	3	5	4	2	5	9	12	15	11	9
D015	Keyspan Energy Services, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	1	0
6646KI	Kiwi Energy Inc.	15	143	8	7	8	1	13	16	16	12	18	11	15	21	2
5520LE	Lexington Power & Light, LLC	1	0	0	1	0	0	0	0	0	0	0	0	0	0	0
D117	Liberty Power Corp.	4	52	3	1	2	4	4	2	3	1	7	2	3	4	6
2066	Long Island Power Authority	0	1	0	0	0	0	0	0	0	0	0	0	0	1	0
D147	M&R ENERGY RESOURCES CORPO		1	0	0	0	0	0	0	0	0	0	0	0	1	0
D214	Major Energy Services LLC	9	109	5	4	2	5	8	8	5	3	7	9	18	17	17
6007MA	Marathon Energy Corporation	9	21	6	3	2	1	3	1	1	4	0	1	2	5	0
	Mpower Energy LLC	17	113	9	8	20	6	12	14	3	7	7	3	8	12	11
	MyGrid Energy, Inc.	0	9	0	0	0	0	0	0	6	2	1	0	0	0	0
D020	Natgasco, Inc A Mitchell-Supreme C	0	2	0	0	0	1	0	0	0	0	0	1	0	0	0
5436NE	New Wave Energy Corp.	1	2	1	0	0	0	0	0	0	0	0	0	1	0	0
4987NE	NextEra Energy Resources, LLC	1	7	0	1	1	0	1	0	2	0	0	0	1	2	0
D148	Noco Natural Gas, Llc	0	2	0	0	0	0	0	0	0	1	0	0	0	0	1
5787NO	North American Power & Gas LLC	4	140	3	1	6	0	3	2	6	3	3	8	12	26	37
6976NO	North Eastern States, Inc.	0	19	0	0	0	0	0	0	0	0	0	4	2	4	3
5479NO	North Energy LLC	0	5	0	0	1	1	1	1	0	0	0	0	0	1	0
D239	NorthEastern Energy Corporation	0	1	0	0	0	0	0	0	1	0	0	0	0	0	0
D103	NYSEG Solutions, Inc.	0	138	0	0	0	0	1	10	9	9	12	10	27	32	14
4921OA	Oasis Power LLC, d/b/a Oasis Energy	3	14	3	0	1	1	2	1	2	0	0	0	2	2	2
6645PA	Pay Less Energy, LLC	1	4	0	1	1	0	0	0	1	0	0	2	0	0	0

Number of Initial Complaints Received Against ESCO's

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Code	Company Name	2016	2015	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15	May-15	Apr-15	Mar-15	Feb-15
6024PE	People's Power & Gas, Llc	0	1	0	0	0	0	0	0	0	1	0	0	0	0	0
6893PE	Perigee Energy, LLC	1	2	0	1	0	1	0	0	1	0	0	0	0	0	0
5637PL	Planet Energy (NY) Corp.	0	5	0	0	0	0	0	0	0	1	0	0	0	1	0
D237	Platinum Plus Energy Resources, Inc.	0	1	0	0	0	0	0	0	0	1	0	0	0	0	0
D171	Plymouth Rock Energy LLC	1	36	1	0	1	1	4	6	2	1	6	4	4	2	2
D263	Public Power Llc	6	54	4	2	4	3	4	8	5	4	4	2	3	2	6
6233RE	Reliant Energy Northeast LLC	3	6	3	0	2	0	0	0	1	0	1	0	0	0	0
6616RE	Renaissance Power & Gas, Inc.	3	13	0	3	4	0	2	0	2	1	2	0	2	0	0
6574RE	Residents Energy, LLC	8	51	4	4	5	5	5	6	5	7	2	2	5	1	4
D093	Robison Energy Of Westchester	0	5	0	0	1	0	1	1	0	1	0	0	0	1	0
5370SB	SBR Energy, LLC	0	5	0	0	0	0	0	0	0	0	0	1	0	1	1
5481SJ	SJ Energy Partners	1	3	1	0	0	0	0	1	0	0	0	1	1	0	0
4976SM	Smart One Energy, LLC	10	38	6	4	4	4	3	4	4	1	4	2	0	5	3
6216SO	South Bay Energy Corp.	0	11	0	0	0	0	2	0	1	1	0	1	2	2	1
5577SO	SouthStar Energy Services LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	1	0
D186	Spark Energy, L.P.	20	154	7	13	8	9	19	5	17	25	25	14	10	15	3
7397SP	Sperian Energy Corp.	0	5	0	0	0	0	0	0	1	0	0	1	1	1	0
5463ST	Starion Energy NY, Inc.	5	25	2	3	3	0	1	2	1	1	1	1	5	1	3
6809ST	Stream Energy New York LLC.	1	9	1	0	1	0	0	0	1	1	2	0	0	4	0
D223	Titan Gas, LLC	0	6	0	0	0	0	1	1	0	2	0	0	0	1	0
9014TR	Trident Retail Energy, LLC DBA Trider	2	0	2	0	0	0	0	0	0	0	0	0	0	0	0
D119	U.S. Gas & Electric, Inc.	14	52	7	7	3	6	2	2	2	3	11	4	3	4	5
D500	Unidentified ESCO	1	0	0	1	0	0	0	0	0	0	0	0	0	0	0
6008UN	United Energy Supply Corporation	3	11	3	0	2	1	0	0	1	2	1	1	0	0	2
7346UN	United Metro Energy Services Corp.	0	2	0	0	0	0	0	0	0	0	0	0	1	0	1
5461UT	Utility Expense Reduction LLC	14	51	10	4	8	6	11	4	8	0	2	3	2	2	3
6894VE	Verde Energy USA New York, LLC	8	72	4	4	4	2	2	10	3	4	6	7	4	9	13
5391VI	Viridian Energy NY, LLC	11	69	7	4	7	4	2	6	3	3	9	5	5	8	9
6668XO	XOOM Energy New York, LLC	6	72	4	2	2	4	5	8	4	2	6	7	8	7	10
	Total	1009	5044	417	592	469	275	321	347	314	391	409	540	505	519	495

ESCO's with no complaints on file since January 2015 are not listed on this report.

Number of Escalated Complaints Received Against ESCO's

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Code	Company Name	2016	2015	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15	May-15	Apr-15	Mar-15	Feb-15
6898AB	ABC Energy LLC	2	0	1	1	0	0	0	0	0	0	0	0	0	0	0
5567AB	ABN Energy, LLC DBA GreatEnergy	0	1	0	0	0	0	0	1	0	0	0	0	0	0	0
D128	Accent Energy Midwest, LLC	1	1	0	1	1	0	0	0	0	0	0	0	0	0	0
D001	Agway Energy Services, LLC.	3	17	1	2	0	1	1	0	0	1	1	2	2	3	3
5985AL	Alpha Gas And Electric, Llc	1	15	1	0	2	1	2	0	1	2	0	0	2	4	0
D230	Ambit Energy	180	398	105	75	18	17	16	23	36	44	44	55	28	34	49
5411AM	American Power & Gas, LLC	3	26	3	0	1	1	2	1	1	0	0	3	1	4	8
6729AM	Amplified Power & Gas, LLC	0	1	0	0	0	0	0	0	0	0	1	0	0	0	0
6023AP	Ap Gas & Electric (ny), Llc	1	9	1	0	1	1	3	0	0	1	0	1	0	0	1
6818AS	Astral Energy LLC	5	20	3	2	6	5	2	0	2	1	0	0	0	2	0
6481AT	Atlantic Energy, LLC	0	10	0	0	1	3	1	1	0	2	0	0	2	0	0
7844AT	Atlantic Power & Gas LLC	1	17	0	1	1	0	1	0	1	1	1	0	4	4	4
D222	BluCo Energy, LLC	0	3	0	0	0	0	0	1	0	1	0	0	0	1	0
5008BO	Bounce Energy NY, LLC	0	1	0	0	0	0	0	0	0	0	0	1	0	0	0
D113	Brown's Fuel	2	4	1	1	0	1	1	0	0	0	0	0	1	0	0
5246BU	BUY ENERGY DIRECT, LLC	0	5	0	0	0	0	0	0	1	0	0	2	0	0	2
9156CE	CenStar Operating Company, LLC	1	2	0	1	0	0	1	0	1	0	0	0	0	0	0
6903CH	Champion Energy Services, LLC	0	1	0	0	1	0	0	0	0	0	0	0	0	0	0
5773CH	Chief Energy Power, Llc	1	1	0	1	0	0	0	0	0	0	1	0	0	0	0
5592CI	City Power & Gas, LLC	0	10	0	0	0	0	0	0	1	0	3	0	1	1	3
D238	Clearview Energy, Inc.	0	10	0	0	0	0	0	1	0	0	0	0	0	0	0
D230	Columbia Utilities Power, Llc (electric)	4	4	2	2	0	0	0	1	2	0	0	0	0	0	0
D040	Columbia Utilities Power, Lic (gas)	1	6	0	1	0	0	0	0	0	0	0	1	1	2	2
5065CO	Community Energy Services, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	1	1	0
D086	Con Edison Solutions	0	2	0	0	0	1	0	0	0	0	0	0	0	0	1
D080	Constellation NewEnergy	0	4	0	0	0	0	0	0	0	0	0	0	2	1	1
D084	Constellation NewEnergy - Gas Divisio	0	2	0	0	0	0	0	0	0	0	0	0	0	0	1
8168DI	Direct Energy Business Marketing, LL	1	2	0	1	0	1	1	0	0	0	0	0	0	0	0
5308DI	Direct Energy Business, LLC	0	3	0	0	0	0	0	0	1	0	0	0	0	1	1
			37	13	16	9	7	8	5	1	3	1	0	0	1	
D176 D256	Direct Energy Services LLC East Coast Power, Llc	29 1		13	0	9	0	8 0	5 0	0	3 0	0	0	1	0	2
		1	10	1	0	0	0	0	1	0	0	0	1	2	2	4
6922EL	Eligo Energy NY, Llc		-	0	0	0	1	0	1	2	2	0	3	2	4	4
D087 D183	Energetix, Inc. Energy Cooperative of New York	0	16 1	0	0	0	0	0	0	2	2	0	0	2	4	0
			1	0	0	0	0	0	1	0	0	0	0	0	0	0
		0	-	_				0		1			1	2		-
D243	Energy Plus Holdings LLC	1	6	1	0	0	0	-	1		0	0			0	1
5424EN	Energy Solutions Co. LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	1	0
5182EN	EnergyMark, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	2	0
8938EN	Entrust Energy East, Inc.	0	6	0	0	1	0	1	0	0	4	0	0	0	0	0
7005ET	Ethical Electric Benefit Co.	0	5	0	0	2	0	0	1	1	0	0	0	0	1	0
4920FA	Family Energy, Inc.	8	18	4	4	1	1	1	1	0	1	1	3	1	3	1
7383FR	Frontier Utilities Northeast LLC	7	4	1	6	0	0	2	0	0	0	0	0	0	1	1
6781FT	FTR Energy Services, LLC	0	5	0	0	0	0	1	0	0	1	0	0	0	0	0
6643GA	Galaxy Energy Llc	0	4	0	0	0	0	0	1	0	0	0	0	3	0	0
D046	Gateway Energy Services Corp.	0	29	0	0	0	0	1	1	1	6	1	3	6	5	2
D127	Green Mountain Energy	1	3	0	1	0	0	2	0	0	0	0	0	0	1	0
4877GR	Greenlight Energy Inc.	0	4	0	0	1	1	0	0	0	0	0	0	0	1	0
D254	High Rise Energy Group, LLC	1	4	1	0	0	0	3	0	0	0	0	1	0	0	0

Number of Escalated Complaints Received Against ESCO's

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Code	Company Name	2016	2015	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15	May-15	Apr-15	Mar-15	Feb-15
5302PR	Hiko Energy, Llc	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1
D120	Hudson Energy Services, Llc	1	3	1	0	1	0	0	0	0	0	0	0	1	1	0
D177	IDT Energy, Inc.	0	5	0	0	1	0	0	1	0	0	0	0	2	1	0
7041JO	JOSCO Energy Corp	1	16	1	0	0	0	1	2	2	2	2	1	2	3	0
D213	Just Energy New York Corp	1	18	0	1	1	1	0	0	1	1	2	2	2	3	3
6646KI	Kiwi Energy Inc.	0	8	0	0	0	0	1	0	1	3	0	1	0	2	0
D117	Liberty Power Corp.	1	13	0	1	1	0	0	0	0	3	1	1	0	3	3
D214	Major Energy Services LLC	0	16	0	0	0	1	1	2	0	0	2	1	3	3	1
6007MA	Marathon Energy Corporation	3	2	1	2	0	0	0	0	0	0	1	0	0	0	1
D267	Mpower Energy LLC	2	12	2	0	1	1	2	0	1	1	1	0	1	1	0
6807MY	MyGrid Energy, Inc.	0	4	0	0	0	0	0	1	2	1	0	0	0	0	0
4987NE	NextEra Energy Resources, LLC	1	2	1	0	0	0	0	0	0	0	0	1	0	0	0
5787NO	North American Power & Gas LLC	4	55	2	2	0	1	0	1	1	0	2	5	6	15	13
6976NO	North Eastern States, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	1
5479NO	North Energy LLC	0	1	0	0	0	0	0	0	0	0	0	0	1	0	0
D239	NorthEastern Energy Corporation	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0
D103	NYSEG Solutions, Inc.	0	45	0	0	0	0	0	3	0	5	8	5	11	8	3
4921OA	Oasis Power LLC, d/b/a Oasis Energy	1	3	0	1	0	0	0	0	0	0	0	1	0	1	1
6645PA	Pay Less Energy, LLC	0	2	0	0	0	0	0	0	1	0	0	1	0	0	0
6893PE	Perigee Energy, LLC	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0
D237	Platinum Plus Energy Resources, Inc.	0	1	0	0	0	0	0	0	1	0	0	0	0	0	0
D171	Plymouth Rock Energy LLC	0	7	0	0	0	1	1	1	1	1	1	0	1	0	0
D263	Public Power Llc	1	15	1	0	2	1	1	1	0	0	0	1	2	3	1
6233RE	Reliant Energy Northeast LLC	0	1	0	0	0	0	0	0	0	0	1	0	0	0	0
6616RE	Renaissance Power & Gas, Inc.	0	2	0	0	0	0	0	0	0	0	1	0	1	0	0
6574RE	Residents Energy, LLC	0	2	0	0	0	1	0	0	0	0	0	1	0	0	0
D093	Robison Energy Of Westchester	0	2	0	0	0	1	0	1	0	0	0	0	0	0	0
5370SB	SBR Energy, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
4976SM	Smart One Energy, LLC	2	7	1	1	0	0	1	1	0	0	1	0	0	1	1
6216SO	South Bay Energy Corp.	0	1	0	0	0	0	0	0	1	0	0	0	0	0	0
D186	Spark Energy, L.P.	3	19	2	1	1	0	2	2	3	1	2	4	2	0	0
7397SP	Sperian Energy Corp.	0	3	0	0	0	0	0	1	0	0	0	1	1	0	0
6809ST	Stream Energy New York LLC.	0	5	0	0	0	0	0	0	1	1	0	0	2	1	0
D223	Titan Gas, LLC	0	3	0	0	0	1	0	0	0	1	0	0	1	0	0
	U.S. Gas & Electric, Inc.	1	10	1	0	1	0	1	1	1	1	2	0	1	2	0
6008UN	United Energy Supply Corporation	0	2	0	0	1	0	0	0	0	1	0	0	0	0	0
7346UN	United Metro Energy Services Corp.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1
5461UT	Utility Expense Reduction LLC	2	1	1	1	0	0	0	0	0	0	0	1	0	0	0
6894VE	Verde Energy USA New York, LLC	3	15	1	2	0	0	0	2	2	2	0	4	0	3	2
5391 VI	Viridian Energy NY, LLC	3	21	1	2	3	0	1	2	0	1	2	2	3	3	1
6668XO	XOOM Energy New York, LLC	1	22	1	0	1	2	3	2	0	2	2	1	0	4	3
	Total	287	1076		130	60	55	65	66	72	97	85	111	106		

ESCO's with no complaints on file since January 2015 are not listed on this report.

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Code	Company Name	2016	2015	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15	May-15	Apr-15	Mar-15	Feb-15
6000AD		1	1	0	1	0	<u>ح</u> 1	0	0	√ 0	0	, 0	2	0	2	0
6898AB 5567AB	ABC Energy LLC ABN Energy, LLC DBA GreatEnergy	0	1	0	0	0	0	0	0	0	0	1	0	0	0	0
D128	Accent Energy Midwest, LLC	1	3	0	1	1	1	1	0	0	0	0	0	0	0	0
8281AG	Agera Energy	0	2	0	0	0	0	0	0	1	0	0	0	0	0	1
D001	Agera Energy Agway Energy Services, LLC.	4	39	2	2	3	1	3	0	4	2	2	1	7	7	4
5985AL	Alpha Gas And Electric, Llc	2	26	2	0	2	2	1	1	3	4	2	2	2	6	4
D230	Ambit Energy	276	646	95	181	53	18	26	29	34	72	64	73	79	56	71
5411AM	American Power & Gas, LLC	19	156	10	9	2	6	9	23	8	10	16	12	22	20	22
6729AM	Amplified Power & Gas, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	20	0
6023AP	Ap Gas & Electric (ny), Llc	1	4	0	1	0	0	1	0	0	1	0	0	1	0	0
5465AS	ASC Energy Services, Inc.	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0
6818AS	Astral Energy LLC	6	27	0	6	2	4	5	3	1	1	6	3	0	1	0
6481AT	Atlantic Energy, LLC	2	8	0	2	1	2	2	2	0	0	0	0	0	0	1
7844AT	Atlantic Power & Gas LLC	1	9	0	1	0	0	0	0	0	0	2	0	2	0	5
D222	BluCo Energy, LLC	0		0	0	0	0	0	2	0	3	2	0	2	0	0
D222 D217	BlueRock Energy, Inc.	0	2	0	0	0	0	0	2	2	0	0	0	0	0	0
5008BO	Bounce Energy NY, LLC	0	2	0	0	0	0	0	0	2	0	0	1	0	1	0
D113	Brown's Fuel	2	7	0	2	2	0	2	0	0	0	0	0	0	0	0
_				0	2	2	0	2	0	0	0	-	-	0	0	0
5246BU	BUY ENERGY DIRECT, LLC	0	2	0	1	0	0	0	0	0	0	0	2	0	-	0
9156CE	CenStar Operating Company, LLC	1	1	0		-	0	0	-	0	0	0	0	0	0	-
D220	Chief Energy Gas, Llc.	0	1	1	0	0	0	0	0	0	0	1	0	0	0	0
5773CH	Chief Energy Power, Llc	3	-			_	-	-	0	-	-		-	-		-
5325CI	Citizens Choice Energy, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	2	0
5592CI	City Power & Gas, LLC	3	14	2	1	0	0	0	1	0	1	1	3	2	1	3
D238	Clearview Energy, Inc.	0	3	0	0	0	0	0	0	0	0	0	2	0	0	1
D231	Columbia Utilities Power, Llc (electric)	5	13	4	1	1	2	0	2	2	1	0	2	0	0	1
D040	Columbia Utilities Power, Llc (gas)	1	11	1	0	1	1	1	0	0	1	0	0	0	2	4
5065CO	Community Energy Services, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	0	1	1
D086	Con Edison Solutions	0	8	0	0	0	0	0	0	1	0	0	2	0	2	2
6771CO	Constellation Energy Gas Choice Inc.	2	4	1	1	1	0	0	1	1	1	0	0	0	0	0
D084	Constellation NewEnergy	0	11	0	0	0	0	0	0	0	1	0	1	4	1	4
D221	Constellation NewEnergy - Gas Divisio		2	0	0	0	0	0	0	0	0	0	0	0	0	2
5308DI	Direct Energy Business, LLC	0	18	0	0	0	0	0	1	1	0	4	0	3	4	1
D176	Direct Energy Services LLC	40	80	18	22	16	21	8	9	1	7	5	2	3	2	3
D256	East Coast Power, Llc	0	2	0	0	0	0	0	0	0	0	0	0	1	0	0
6922EL	Eligo Energy NY, Llc	5	28	5	0	0	1	1	0	0	0	1	1	2	5	13
7398EM	Empire Energy Services, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1
D047	Empire Natural Gas Corporation	0	1	0	0	0	0	0	1	0	0	0	0	0	0	0
D087	Energetix, Inc.	0	18	0	0	0	0	0	2	3	0	0	2	5	3	1
D243	Energy Plus Holdings LLC	4	12	4	0	0	0	1	1	1	2	1	0	2	1	3
5568EN	Energy Plus Natural Gas LP	0	1	0	0	0	0	0	0	0	0	0	0	1	0	0
D137	Energy Service Providers, Inc.	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0
5424EN	Energy Solutions Co. LLC	0	1	0	0	0	0	1	0	0	0	0	0	0	0	0
5182EN	EnergyMark, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	2	0
D201	Entergy Solutions Ltd.	0	1	0	0	0	0	0	0	0	0	1	0	0	0	0
8938EN	Entrust Energy East, Inc.	1	15	1	0	0	0	0	0	0	15	0	0	0	0	0
7005ET	Ethical Electric Benefit Co.	0	27	0	0	4	2	1	2	3	0	3	7	0	2	2
4920FA	Family Energy, Inc.	23	62	14	9	1	6	1	5	6	8	3	4	4	6	2

		37						<u> </u>							-	-
Code	Company Name	2016	2015	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15	May-15	Apr-15	Mar-15	Feb-15
7383FR	Frontier Utilities Northeast LLC	8	2	3	5	0	0	0	0	0	0	0	0	0	2	0
6781FT	FTR Energy Services, LLC	0	7	0	0	0	0	0	1	1	2	0	0	0	0	0
6643GA	Galaxy Energy Llc	3	10	2	1	1	0	1	0	3	0	1	1	1	0	1
D046	Gateway Energy Services Corp.	0	30	0	0	0	0	1	1	2	3	4	2	4	5	1
6424GD	GDF Suez Retail Energy Solutions, LL	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0
5349GL	Glacial Natural Gas. Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1
D104	Great Eastern Energy	0	4	0	0	1	1	0	0	1	0	0	0	0	0	0
D127	Green Mountain Energy	5	15	2	3	1	0	1	3	1	0	0	1	3	3	2
4877GR	Greenlight Energy Inc.	7	16	5	2	1	0	1	3	0	1	3	2	3	1	0
D254	High Rise Energy Group, LLC	2	1	1	1	0	0	0	0	0	0	0	0	0	0	1
5302PR	Hiko Energy, Llc	2	6	2	0	0	0	2	0	0	0	0	0	2	1	0
D120	Hudson Energy Services, Llc	0	4	0	0	1	0	1	1	0	0	0	1	0	0	0
D120	IDT Energy, Inc.	7	77	6	1	6	4	9	4	8	6	4	9	5	5	7
D177	IGS Energy	0	3	0	0	0	4	0	4	1	0	0	0	0	1	1
7041JO	JOSCO Energy Corp	9	38	7	2	4	3	0	6	4	5	3	3	5	3	1
D213	Just Energy New York Corp	3	29	1	2	4	0	1	1	4	2	1	3	7	3	3
6646KI	Kiwi Energy Inc.	5	82	3	2	4	1	7	8	<u> </u>	2	8	4	13	11	2
D117	Liberty Power Corp.	2	22	3 1	1	2	2	2	0	1	1	2	4	0	3	2
	· · ·					-			-		0		3	-	3 7	3 7
D214	Major Energy Services LLC	2	33	1	1	1	0	1	0	1	-	1		6		_
	Marathon Energy Corporation	0	2	0	0	0	0	0	1	0	0	0	0	0	1	0
D267	Mpower Energy LLC	10	70	5	5	10	2	7	6	5	2	7	1	6	8	8
4987NE	NextEra Energy Resources, LLC	2	3	1	1	0	0	1	0	0	0	0	1	0	1	0
5787NO	North American Power & Gas LLC	4	85	4	0	2	0	0	1	4	2	2	2	5	17	23
6976NO	North Eastern States, Inc.	0	8	0	0	0	0	0	0	0	0	0	0	1	2	2
	North Energy LLC	0	1	0	0	1	0	0	0	0	0	0	0	0	0	0
D103	NYSEG Solutions, Inc.	0	66	0	0	0	0	0	8	5	7	4	3	11	16	6
4921OA	Oasis Power LLC, d/b/a Oasis Energy	1	3	1	0	0	0	1	0	0	0	0	0	0	1	1
6645PA	Pay Less Energy, LLC	0	1	0	0	1	0	0	0	0	0	0	0	0	0	0
6024PE	People's Power & Gas, Llc	0	1	0	0	0	0	0	0	0	1	0	0	0	0	0
	Perigee Energy, LLC	1	1	0	1	0	0	0	0	1	0	0	0	0	0	0
5637PL	Planet Energy (NY) Corp.	0	5	0	0	0	0	0	0	0	1	0	0	0	1	0
D171	Plymouth Rock Energy LLC	0	16	0	0	0	0	2	3	1	0	4	2	1	0	2
D263	Public Power Llc	2	18	1	1	1	0	2	0	2	1	0	0	1	3	4
	Reliant Energy Northeast LLC	2	3	2	0	1	0	0	0	0	0	0	0	0	0	0
	Renaissance Power & Gas, Inc.	2	5	0	2	0	0	2	0	0	1	0	0	2	0	0
6574RE	Residents Energy, LLC	2	22	0	2	2	2	2	1	3	3	1	1	1	0	3
5370SB	SBR Energy, LLC	0	4	0	0	0	0	0	0	0	0	0	0	0	0	1
4976SM	Smart One Energy, LLC	5	18	3	2	3	1	0	1	5	1	2	1	0	0	3
6216SO	South Bay Energy Corp.	0	3	0	0	0	0	0	0	0	0	1	0	1	0	0
D186	Spark Energy, L.P.	8	53	3	5	4	2	4	3	5	9	10	2	4	8	0
7397SP	Sperian Energy Corp.	0	6	0	0	0	0	0	0	0	0	0	2	2	1	0
5463ST	Starion Energy NY, Inc.	2	11	1	1	0	0	1	2	0	0	0	0	3	1	2
6809ST	Stream Energy New York LLC.	0	5	0	0	0	0	0	0	2	2	1	0	0	0	0
D223	Titan Gas, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D119	U.S. Gas & Electric, Inc.	2	30	0	2	0	2	1	1	1	2	7	2	2	2	4
D500	Unidentified ESCO	28	130	14	14	11	3	10	15	21	18	14	15	7	2	7
6008UN	United Energy Supply Corporation	1	6	1	0	1	1	0	0	0	2	0	0	0	0	2
7346UN	United Metro Energy Services Corp.	0	1	0	0	0	0	0	0	0	0	0	0	1	0	0

Consumer Reports of Deceptive Marketing Practices by Energy Services Company

Code	Company Name	2016	2015	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15	May-15	Apr-15	Mar-15	Feb-15
5461UT	Utility Expense Reduction LLC	9	22	6	3	2	0	4	2	4	0	3	2	1	1	2
6894VE	Verde Energy USA New York, LLC	6	30	4	2	0	0	1	4	3	1	2	1	2	6	8
5391VI	Viridian Energy NY, LLC	7	30	2	5	1	0	0	2	1	4	4	4	3	3	3
6668XO	XOOM Energy New York, LLC	2	21	1	1	1	1	2	2	1	1	2	1	1	4	3
	Total	555	2348	244	311	156	95	131	144	172	213	204	189	244	250	262

Deceptive marketing complaints are taken from customers who report situations where an energy service company or energy marketer solicits the customer's home or business in a manner which the customer believes is misleading or the customer was presented with information which the customer believes is untrue.



March 2016

Office of Consumer Services Monthly Report on Consumer Complaint Activity

Audrey Zibelman Chief Executive Officer

Michael Corso Chief Consumer Advocate, Office of Consumer Services

Published April 28, 2016



Monthly Report on Consumer Complaint Activity

March 2016

Table of Contents

Director's Message	. 3
If You Have a Complaint About Your Utility Service	. 4
How Utility Complaints are Measured	. 5
Complaint Activity of New York's Major Utilities	. 7
Customer Service Response Index	. 8
Credits Obtained for Consumers	13
Number of Initial Complaints Received Against ESCO's	14
Number of Escalated Complaints Received Against ESCO's	17
Number of Marketing Complaints Received Against ESCO's	19



April 28, 2016

Dear Readers:

The Office of Consumer Services closely monitors the number and types of complaints received against all utilities operating in New York State. We strive to ensure that utilities fulfill their obligation to provide effective customer service in compliance with the laws, rules, regulations and policies we enforce.

Each month, this report provides an overview of complaint activity and utility responsiveness during the preceding month which is informative to both consumers and utility companies. Specific details regarding the way we measure the companies' activities are described in the section How Utility Complaint Data Is Reported.

The table titled Complaint Activity of New York's Major Utilities reports on the volume of complaints received against the largest utilities in each industry while the table titled Customer Service Response Index reports on the level of customer service and responsiveness delivered by each service provider.

The chart, Credit Adjustments Received for Consumers, reflects the amount of refunds or credits customers received as a result of our investigations. The Office of Consumer Services also monitors complaints against the competitive energy service companies (ESCO's) operating in New York. These complaints are reported in two tables; Number of Initial Complaints Received Against ESCO's and Number of Escalated Complaints Received Against ESCO's.

I hope this report is helpful in providing you with a summary of utility complaint activity. If you have any questions, please e-mail John.Auricchio@dps.ny.gov

Sincerely,

Michael lad

Michael Corso Director Office of Consumer Services



If You Have a Complaint About Your Utility Service

If you're having difficulty resolving a dispute with your regulated energy, telephone, cable television or water company, Department of Public Service staff is available to assist you.

The Office of Consumer Services takes all utility consumer complaints seriously. You can contact us toll-free by telephone, in writing or via the Internet. When you contact our office with a complaint about a utility or other service provider, we take immediate steps to address your concerns.

After we complete entering the details of your complaint we send your complaint to the utility by e-mail or fax. In an effort to ensure that utilities fulfill their obligation to provide effective customer service, we will first ask your utility to contact you and resolve your concern. If your complaint is related to the provision of service, your utility should contact you within two business hours. If your complaint is related to billing or another matter, the utility should contact you by the close of the following business day.

If the utility does not contact you with its initial acknowledgement, does not provide its response to you within two weeks or the matter remains unresolved after you have received a response, you can contact us. We will then further investigate the matter and notify you in writing or by telephone of the decision and the reasons for the decision.

If you believe the initial decision is wrong, you can request an informal hearing. This request may be in writing and made within 15 days of the initial decision. You may be asked to submit certain documents to support your position. If you and the utility are unable to settle the complaint, the hearing officer will make a decision on your complaint and notify you in writing of the decision.

If you believe that the informal hearing officer's decision was wrong, you can appeal it within 15 days of the decision. Your written appeal must contend that there was an error by the hearing officer or reviewer that affected the decision or that evidence not previously available would affect the decision. All appeals, except those involving PSEG-LI, will be decided by the Public Service Commission. PSEG-LI appeals will be decided by Long Island Power Authority (LIPA).

If you have a complaint about your utility service you may contact us thru one of the following avenues:

By Telephone Via the Internet	Monday thru Friday 8:30am – 4:00pm 24 hours a day	800-342-3377 www.dps.ny.gov
In Writing	Please be sure to include as much detail as possible, including your account number, service address, telephone number and the specifics of your complaint.	NYS Dept. of Public Service Office of Consumer Services Three Empire State Plaza Albany, NY 12223-1350



How Utility Complaints Are Measured

The Office of Consumer Services reports complaint data by volume and by responsiveness. A complaint rate is used to compare small utilities to large utilities. A response index is used to measure how well utilities address consumer complaints we forwarded to them.

The Office of Consumer Services closely monitors the number and types of complaints received against all utilities operating in New York State. We expect utility companies to be highly responsive to their customers' needs, especially when the customer feels that it is necessary to seek the assistance of the Department of Public Service staff. Each month, this report provides an overview of complaint activity and utility responsiveness which we believe is informative to both consumers and utility companies.

The table titled **Complaint Activity of New York's Major Utilities** reports on the volume of complaints received against the largest utilities in each industry. These utility companies vary in size from just over 10,000 customers to several million customers. Therefore, in order to compare complaint volumes among companies, a complaint rate per 100,000 customers is displayed. This allows the reader to compare the complaints of a large company to that of a small company.

There are two measures of complaints which are reported each month. At first all complaints are recorded and forwarded to the utility for resolution directly with the customer. These are noted as <u>initial complaints</u> (QRS) in the table titled **Complaint Activity of New York's Major Utilities**. If the customer informs us that the utility failed to satisfy their complaint the matter is escalated for further handling and investigation by staff and is noted as <u>escalated complaints</u> (SRS). Both numbers are converted into a complaint rate which allows the reader to compare performance regardless of the size of a company's customer base. The <u>escalation rate</u> is a measure of how successful a utility is in satisfying their customer upon receipt of an initial complaint made through the Office of Consumer Services. The <u>12 month complaint rate</u> is often used as one of several customer service delivered by an individual utility. This rate represents the average number of escalated complaints received per month per 100,000 customer accounts.

The table titled **Customer Service Response Index** (CSRI) reports on the level of customer service and responsiveness delivered by each service provider. The Customer Service Response Index is determined by measuring four metrics. Complete CSRI data is posted on the first page of the report for those service providers that average ten or more initial complaints per month. For all other service providers, the performance in each area is reported on subsequent pages of the table, less the actual index measures because the index measures for companies with fewer than ten initial complaints have been found to show significant fluctuations on a month to month basis. These fluctuations may result in the reader reaching an inaccurate conclusion as to a service provider's performance. If a company is not listed in a particular monthly report it is because there was no activity for the company in the reporting month.

The **Index** is determined by measuring four metrics:

<u>The Consumer Satisfaction Metric (CSM)</u> is a ratio of the number of <u>initial complaints</u> to the number of <u>escalated complaints</u> in the reporting month. A score of 5 points is awarded when a service provider receives no escalated complaints during the reporting month. There is no score awarded if a service provider satisfies less than 50% of the customers that the Department refers to them.

<u>The Complaint Response Time Metric (CRM)</u> is the average number of days it took the service provider to respond to <u>initial complaints</u> closed in the reporting month. A score of 2 points is awarded when a provider's average response time for <u>initial complaints</u> is 14 days or less. No points are earned if the average response time for <u>initial complaints</u> is more than 28 days (twice the acceptable reply standard).

<u>The Escalated Complaint Response Time Metric (ERM)</u> is the average number of days it took the service provider to respond to <u>escalated complaints</u> closed in the reporting month. A score of 2 points is awarded when a service provider's average response time for <u>escalated complaints</u> is 10 days or less. No points are earned if the average response time for <u>escalated complaints</u> is more than 25 days (two weeks past due).

<u>The Pending Case Metric (PCM)</u> is the average age of all cases awaiting response, determined on the last day of the reporting month. A score of 1 point is awarded when a service providers' average age of all cases is 14 days or less. No points are earned if the average age of all cases exceeds 70 days (two months delinquent). A negative score is applied if the average age of all cases is over 70 days.

Complaint Activity of New York's Major Utilities March 2016

Utility Companies	Initial Cor (QR	-	Escalated (Complaints	Escalation Rate	12 Month Escalated
	No.	Rate*	No.		Nale	Complaint Rate
Central Hudson Gas & Electric Corp.	36	11.7	0	0.0	0%	0.3
Con Edison of New York	376	10.6	57	1.6	15%	1.6
PSEG Long Island	63	5.6	5	0.4	8%	0.7
National Grid - L I	49	8.4	12	2.0	24%	0.9
New York State Electric & Gas Corp.	92	9.8	4	0.4	4%	0.6
National Grid-Upstate	198	11.6	8	0.5	4%	0.7
Orange & Rockland	31	13.4	0	0.0	0%	0.3
Rochester Gas & Electric Corp.	58	14.0	1	0.2	2%	0.6
National Grid-Metro NY	103	8.3	10	0.8	10%	0.5
National Fuel Gas Distribution	19	3.6	0	0.0	0%	0.1
Citizens Communications	6	4.5	0	0.0	0%	0.6
Frontier Communications of NY	0	0.0	0	0.0	0%	0.8
Frontier Telephone of Rochester, Inc.	9	5.8	2	1.3	22%	0.5
Windstream Communications, Inc.	3	8.1	1	2.7	33%	0.7
Verizon Communications	212	7.7	43	1.6	20%	1.1
AT&T	14		6		43%	
Optimum Voice	5		1		20%	
Time Warner Cable Information Services	6		1		17%	
Verizon Digital Voice	8		5		63%	
Cablevision Systems	18		2		11%	
Time-Warner Cable	115		21		18%	
Verizon New York, Inc. (CATV)	28		3		11%	
Long Island Water Corp.	10	8.2	1	0.8	10%	1.6
United Water - New York	13	17.5	2	2.7	15%	3.4
United Water - Westchester	10	23.0	2	4.6	20%	2.1

All complaint rates are based on December 2015 customer populations.

* - Complaints per 100,000 customer accounts where populations are reported by the utility

This table reports on the volume of complaints received against the largest utilities in each industry.

Initial Complaints (QRS) - This is the number (No.) of complaints we receive and forward to the utility company for resolution directly with the customer and the corresponding complaint rate (Rate) per 100,000 customer accounts.

Escalated Complaints (SRS) - This is the number (No.) of complaints that we escalated for further handling and investigation because the customer informed us that the utility failed to satisfy their initial complaint after we forwarded the initial complaint to the utility. The corresponding escalated complaint rate (Rate) per 100,000 customer accounts allows the reader to compare one utility to another regardless of the number of customer accounts.

Escalation Rate - This is a measure of how successful a utility is in satisfying their customer upon receipt of an initial complaint made through the Office of Consumer Services. The lower the rate the more successful the utility was in resolving initial complaints directly with the customer.

12 Month Escalated Complaint Rate - This rate represents the average number of escalated complaints received per month per 100,000 customer accounts. This is often used as one of several customer service measures that may be taken into consideration when staff monitors the quality of customer service delivered by an individual utility.

Customer Service Response Index March 2016

Service Provider	Initial Complaints	Escalated Complaints	CSM Index	Complaint Response Time	CRM Index	E. Complaint Response Time	ERM Index	Avg. Age of Cases Pending	PCM Index	CSRI
Orange & Rockland	31	0	5.0	5.6	2.0	3.3	2.0	3.7	1.0	10.0
National Fuel Gas Distribution	19	0	5.0	6.6	2.0	0.8	2.0	2.4	1.0	10.0
Major Energy Services LLC	11	0	5.0	10.6	2.0	0.0	2.0	2.0	1.0	10.0
American Power & Gas, LLC	18	0	5.0	4.4	2.0	0.8	2.0	18.0	0.9	9.9
National Grid - Upstate	198	8	4.6	4.3	2.0	6.3	2.0	5.1	1.0	9.6
New York State Electric & Gas Corp.	92	4	4.6	4.8	2.0	6.0	2.0	3.4	1.0	9.6
Rochester Gas & Electric Corp.	58	1	4.8	2.2	2.0	15.3	1.5	6.0	1.0	9.3
Central Hudson Gas & Electric Corp.	36	0	5.0	5.6	2.0	17.8	1.3	10.2	1.0	9.3
Family Energy, Inc.	28	1	4.6	16.4	1.7	10.1	1.9	9.6	1.0	9.2
PSEG Long Island	63	5	4.2	5.0	2.0	2.6	2.0	16.9	0.9	9.1
Verizon New York Inc.	28	3	3.9	9.5	2.0	2.6	2.0	3.3	1.0	8.9
Time Warner - Syracuse Division	29	1	4.7	4.9	2.0	16.6	1.4	31.2	0.6	8.7
National Grid - Metro Ny	103	10	4.0	9.2	2.0	15.2	1.5	18.0	0.9	8.4
United Water-New York	13	2	3.5	1.9	2.0	1.1	2.0	35.0	0.6	8.1
United Water Westchester Inc.	10	2	3.0	2.8	2.0	0.7	2.0	0.0	1.0	8.0
U.S. Gas & Electric, Inc.	10	2	3.0	15.2	1.8	5.8	2.0	2.0	1.0	7.8
Verizon Communications	212	43	3.0	10.9	2.0	13.8	1.7	7.8	1.0	7.7
New York American Water	10	1	4.0	20.8	1.3	18.9	1.2	35.3	0.5	7.0
Time Warner - Buffalo	16	5	1.9	4.9	2.0	9.6	2.0	28.5	0.7	6.6
Time Warner - Albany	11	3	2.3	10.7	2.0	18.0	1.3	7.4	1.0	6.6
Con Edison Of New York	376	57	3.5	10.7	2.0	26.4	0.0	14.2	0.9	6.4
Direct Energy Services LLC	42	15	1.4	10.4	2.0	5.1	2.0	5.7	1.0	6.4
AT&T	14	6	0.7	10.0	2.0	2.0	2.0	2.0	1.0	5.7
Spark Energy, L.P.	11	5	0.5	11.1	2.0	0.5	2.0	8.0	1.0	5.5
Time Warner - New York City	50	10	3.0	12.5	2.0	71.7	0.0	61.1	0.0	5.0
Ambit Energy	52	36	0.0	22.2	1.1	10.1	1.9	9.2	1.0	4.0
National Grid - L I	49	12	2.6	34.3	0.0	25.7	0.0	17.4	0.9	3.5

This table reports on the current level of customer service and responsiveness delivered by each service provider under the Department's jurisdiction. The Customer Service Response Index is determined by measuring four metrics. Complete CSRI data is posted on the first page of the report for those service providers that average ten or more initial complaints per month. For all other service providers, the performance in each area is reported on subsequent pages of the table, less the actual index measures because the index measures for companies with fewer than ten initial complaints have been found to show significant fluctuations on a month to month basis. These fluctuations may result in the reader reaching an inaccurate conclusion as to a service provider's performance. If a company is not listed on this report it is because there was no activity for the company in the reporting month. Below is an explanation of the data in each column. Exhibit 1131 Part 4 **Initial Complaints** - This is the number of initial complaints we receive and forward to the utility company for resolution directly with the customer.

Escalated Complaints - This is the number of complaints that we escalated for further handling and investigation because the customer informed us that the utility failed to satisfy their initial complaint after we forwarded the initial complaint to the utility.

CSM Index - The Consumer Satisfaction Index scores the ratio of the number of initial complaints to the number of escalated complaints in the reporting month. A score of 5 points are awarded when a service provider receives no escalated complaints during the reporting month. There is no score awarded if a service provider satisfies less than 50% of the customers that the Department refers to them.

Complaint Response Time - This is the average number of days it took for a utility to respond to initial complaints in the reporting month.

CRM Index - The Complaint Response Time Index scores the service provider's responsiveness to initial complaints closed in the reporting month. A score of 2 points is awarded when a provider's average response time for initial complaints is 14 days or less. No points are earned if the average response time for initial complaints is more than 28 days (twice the acceptable reply standard).

E. Complaint Response Time - This is the average number of days it took for a utility to respond to escalated complaints in the reporting month.

ERM Index - The Escalated Complaint Response Time Index scores the service providers responsiveness to escalated complaints closed in the reporting month. A score of 2 points is awarded when a provider's average response time for escalated complaints is 10 days or less. No points are earned if the average response time for escalated complaints is more than 25 days (two weeks past due).

Avg. Age of Cases Pending - This is the average age of all the cases awaiting a response from the service provider.

PCM Index - The Pending Case Index scores the average age of all cases awaiting response by the service provider. A score of 1 point is awarded when a service providers' average age of all cases is 14 days or less. No points are earned if the average age of all cases exceeds 70 days (two months delinquent). A negative score is applied if the average age of all cases is over 70 days.

CSRI - The Customer Service Response Index is the overall score received by the service provider. It is the sum of the four indices.

Service Provider	Initial Complaints	Escalated Complaints	CSM Index	Complaint Response Time	CRM Index	E. Complaint Response Time	ERM Index	Exhibit 11 Avg. Age of Cases Pending	31 Part 4 PCM Index	CSRI
125 Court Street, LLC	0	0		0.0		0.0		459.0		
200 Water SPE LLC	0	0		0.0		0.0		433.0 175.0		
343-345 West 51 Street, Llc	0	0		0.0		121.8		0.0		
3462 Third Avenue Owner Realty LLC	0	0		0.0		290.2		0.0		
89 Murray Street Associates Llc	-	0								
-	0	-		0.0		0.0		175.0		
ABC Energy LLC	0	0		0.0		2.1		0.0		
Affordable Power, L.P.	0	0		0.0		0.0		0.0		
Agera Energy, LLC	1	0		3.0		0.0		0.0		
Agway Energy Services, LLC.	6	2		2.8		0.2		11.0		
Akam Associates	0	0		0.0		0.0		164.0		
Alpha Gas And Electric, Llc	1	1		23.5		17.7		0.0		
Ap Gas & Electric (ny), Llc	0	1		30.5		5.9		0.0		
ASC Energy Services, Inc.	0	1		14.0		6.0		0.0		
Astral Energy LLC	9	1		25.9		29.2		50.8		
Atlantic Energy, LLC	1	0		0.0		0.0		3.0		
Atlantic Power & Gas LLC	5	0		0.8		50.0		15.0		
Augustus & James Corp.	0	0		0.0		0.0		175.0		
Automatic Meter Reading, Inc	0	1		0.0		0.1		0.0		
Be@Schermerhorn	0	0		0.0		0.0		36.0		
Birch Communications, Inc.	3	2		17.0		15.9		6.5		
Broadview Networks	3	0		7.5		0.0		3.0		
Brown's Fuel	3	0		0.7		0.0		0.0		
BTI Communications, Inc. d/b/a TELZE		1		3.5		0.0		26.7		
BUY ENERGY DIRECT, LLC	1	0		1.0		0.0		0.0		
Cablevision - MediaOne - Rockland	3	0		6.7		0.0		0.0		
Cablevision - MediaOne - US Cablevis		0		0.0		0.0		2.0		
Cablevision - MediaOne - Westchester		0		0.0		0.0		1.0		
Cablevision of Cross River	0	0		5.0		0.0		0.0		
Cablevision of Long Island	4	1		7.9		11.2		7.0		
Cablevision of New York City	6	1		10.4		3.9		2.0		
Cablevision of Rockland	1	0		13.0		0.0		0.0		
Cablevision of Westchester	2	0		13.5		0.0		0.0		
Cablevision of Yorktown	1	0		11.0		0.0		0.0		
Carousel Park Preservation L.P.	0	0		0.0		0.0		212.0		
CenStar Operating Company, LLC	1	0		8.0		0.0		0.0		
Chief Energy Power, Llc	2	0		7.0		0.0		0.0		
Citizens Communications	6	0		7.8		0.0		5.5		
City of Jamestown Board of Public Util		0		20.0		0.0		0.0		
City Power & Gas, LLC	2	0		0.5		0.0		0.0		
Clearview Energy, Inc.	1	0		2.0		0.0		0.0		
Clinton Hills Apts Owners Corp	0	0		0.0		0.0		522.0		
Columbia Utilities Power, Llc (electric)	2	0		2.5		0.0		0.0		
Columbia Utilities Power, Llc (gas)	1	1		6.5		0.9		0.0		
Comcast Cable of New York - CATV	2	0		10.0		0.0		0.0		
Con Edison Solutions	1	0		29.0		0.0		2.0		
Constellation Energy Gas Choice Inc.	4	0		7.3		0.0		4.0		
Constellation NewEnergy	1	0		12.0		0.0		0.0		
CornerStone Telephone Company, LL		0		10.0		4.8		0.0		
CTC Communications Corp.	0	0		26.0		0.0		0.0		
Direct Energy Business Marketing, LLC		0		17.3		52.2		0.0		
Dsf IV New Rochelle Owner Llc D/b/a		0		0.0		0.0		164.0		
Dutchess Estates Water	0	0		0.0		55.8		0.0		

								Exhibit 11	31 Part 4	
Our face Deviction	Initial Complainta	Escalated Complaints	CSM Index	Complaint Response Time	CRM Index	E. Complaint Response Time	ERM Index	Avg. Age of Cases Pending	PCM Index	CSRI
Service Provider	•		muex		muex		muex	-	muex	CSKI
East Coast Power, Llc	1	0		2.0		7.0		0.0		
Eligo Energy Ny, Llc	4	2		15.9		2.9		2.0		
Elmwood Square Preservation, L.P.	0	0		0.0		0.0		67.0		
Empire One Telecommunications, Inc.		0		0.0		0.0		0.0		
Energy Plus Holdings LLC	3	0		12.6		0.0		9.0		
Ethical Electric, Inc	1	0		14.0		0.0		0.0		
Fisher Island Electric	0	0		0.0		0.0		63.0		
Forest Park Water Co. Inc.	0	0		0.0		0.0		190.0		
Frontier Communications of Rocheste		0		6.1		0.0		0.0		
Frontier Telephone of Rochester, Inc.	9	2		8.8		5.7		1.0		
Frontier Utilities Northeast LLC	3	2		8.6		0.0		17.0		
Galaxy Energy Llc	0	0		34.0		0.0		0.0		
Global Tel*Link Corporation	0	0		4.0		0.0		0.0		
Granite Telecommunications, Llc	0	0		0.0		32.0		0.0		
Great Eastern Energy	2	0		8.7		0.0		0.0		
Green Mountain Energy	6	2		24.8		0.0		7.0		
Greenlight Energy Inc.	3	1		9.3		0.0		3.0		
Grenadier Realty Corp	0	0		0.0		0.0		357.0		
H & S Property Management	0	0		0.0		0.0		165.0		
Hamilton County/Gore Mt. Cable TV Inc	0	0		0.0		0.0		59.0		
Hazel Towers Co., L.P.	0	1		0.0		0.0		14.0		
Heywood Towers Associates	0	0		0.0		1037.1		0.0		
Hudson Energy Services, Llc	3	0		12.3		9.0		4.0		
IDT America Corp.	2	1		6.0		0.0		17.5		
ldt Energy, Inc.	9	3		12.2		1.4		2.0		
Infinite Energy, Inc.	0	0		17.0		0.0		0.0		
Josco Energy Corp	6	0		6.3		0.0		8.0		
Just Energy New York Corp	5	1		10.8		6.3		18.5		
Kiamesha Artesian Spring Water Co.,		1		29.0		4.2		0.0		
King Tract Utilities c/o Melohn Propertie		1		17.0		7.1		93.3		
Kiwi Energy Inc.	6	0		15.8		0.0		1.0		
Liberty Power Corp.	6	1		17.1		0.0		5.0		
Maiden Lane Properties, LLC	0	0		0.0		0.0		315.0		
Marathon Energy Corporation	5	3		13.4		2.4		44.2		
MCI	1	0		3.0		0.0		0.0		
Mid Hudson Cablevision, Inc.	2	0		0.0		0.0		11.5		
Mpower Energy LLC	8	1		13.3		0.9		10.0		
Natgasco, Inc A Mitchell-Supreme Co		0		13.0		0.0		0.0		
New Wave Energy Corp.	1	0		9.0		0.0		0.0		
Next Utility Energy LLC	1	0		0.0		0.0		3.0		
Next Guildy Energy Resources, LLC	1	0		0.0		0.0		15.0		
North 3rd Bedford Avenue Llc	0	0		0.0		0.0		175.0		
North American Power & Gas LLC	6	0		10.5		24.4		13.4		
NorthEastern Energy Corporation	0	0		0.0		141.1		0.0		
•••										
Nysandy7 Story Llc	0	0		0.0 10.5		0.0		175.0		
Oasis Power LLC, d/b/a Oasis Energy		0		10.5		0.0		0.0		
Optimum Voice	5	1		5.7		0.0		6.8		
Peerless Network of New York, LLC	1	0		1.0		0.0		0.0		
Penelec (A First Energy Company)	1	0		8.0		0.0		0.0		
Plymouth Rock Energy LLC	4	0		7.0		0.0		0.0		
Public Power Llc	0	1		28.0		17.7		0.0		
Queens Fresh Meadow Electric	0	0		0.0		0.0		105.5		

	Initial	Escalated	CSM	Complaint Response	CRM	E. Complaint Response	ERM	Exhibit 11 Avg. Age of Cases	31 Part 4 <i>PCM</i>	
Service Provider	Complaints	Complaints	Index	Time	Index	Time	Index	Pending	Index	CSRI
Reliant Energy Northeast LLC	3	1		18.0		0.0		21.7		
Residents Energy, LLC	4	0		3.4		0.0		0.0		
Riverview II Preservation Lp	0	0		0.0		0.0		282.0		
Roosevelt Island Associates	0	0		0.0		0.0		694.0		
Sea Park West Lp	0	0		0.0		0.0		436.0		
SJ Energy Partners	0	1		19.0		2.1		0.0		
Smart One Energy, LLC	7	1		22.4		15.2		29.2		
South Bay Energy Corp.	3	0		1.5		0.0		1.0		
SouthStar Energy Services LLC	1	0		10.0		0.0		0.0		
Spectrotel, Inc.	0	0		35.0		0.0		0.0		
St. Lawrence Gas	1	0		1.1		0.0		0.0		
Starion Energy NY, Inc.	2	0		0.0		0.0		4.5		
Taconic Telephone Corp.	0	1		28.0		0.0		28.0		
The Middleburgh Telephone Co.	0	1		41.0		1.8		0.0		
Time Warner - Rochester	9	2		4.4		5.8		6.0		
Time Warner Cable Information Servic	6	1		11.4		0.0		6.0		
Titan Gas, LLC	0	0		0.0		0.0		64.0		
Towers on the Park	0	0		0.0		0.0		458.0		
Trident Retail Energy, LLC dba Trident	0	0		0.0		0.0		0.0		
Trinsic Dba Matrix Telecom, Inc Dba E	1	0		7.0		0.0		0.0		
Tristate Bell Inc	0	1		20.5		0.0		18.0		
United Metro Energy Services Corp	0	0		0.0		0.0		175.0		
Utility Expense Reduction LLC	7	1		5.2		0.1		2.0		
Verde Energy USA New York, LLC	3	2		13.0		2.3		0.0		
Verizon Digital Voice	8	5		15.7		9.1		21.0		
Village of Arcade	1	0		0.0		0.0		0.0		
Village of Endicott	1	0		4.0		0.0		0.0		
Village of Springville	0	0		0.0		0.0		0.0		
Viridian Energy Ny, Llc	7	1		7.7		152.9		3.0		
Warwick Valley Telephone Company	1	0		0.0		0.0		0.0		
Windstream Communications, Inc.	3	1		50.0		58.5		17.5		
XChange Telecom	8	3		1.6		0.3		0.0		
XOOM Energy New York, LLC	3	0		16.2		10.2		9.5		

2016 Credit Adjustments Received For Consumers

As a result of our investigation into consumers' complaints, when staff determines that a consumer was overbilled, the utility is directed to refund to the consumer, any monies it collected above and beyond what was allowed by tariffs, rules and regulations. The chart below identifies the credits obtained on behalf of consumers.

	Total	Consumers
Jan-16	\$191,889.11	103
Feb-16	\$194,994.20	113
Mar-16	\$710,252.88	123
Apr-16		
May-16		
Jun-16		
Jul-16		
Aug-16		
Sep-16		
Oct-16		
Nov-16		
Dec-16		

2016 Total	\$1,097,136.19	339
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Number of Initial Complaints Received Against ESCO's

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Code	Company Name	2016	2015	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15	May-15	Apr-15	Mar-15
6898AB	ABC Energy LLC	2	3	0	1	1	2	1	0	0	0	0	0	0	0	0
5567AB	ABN Energy, LLC DBA GreatEnergy	0	3	0	0	0	0	0	0	1	0	0	1	0	0	0
D128	Accent Energy Midwest, LLC	0	6	0	0	0	0	2	2	1	0	0	1	0	0	0
D249	Affordable Power, L.P.	0	1	0	0	0	0	0	1	0	0	0	0	0	0	0
8281AG	Agera Energy	1	3	1	0	0	0	1	0	0	1	0	0	0	0	0
	Aggressive Energy, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D001	Agway Energy Services, LLC.	14	64	6	4	4	4	5	2	0	4	6	4	5	7	11
5985AL	Alpha Gas And Electric, Llc	6	59	1	3	2	4	2	2	3	4	9	4	6	7	10
D230	Ambit Energy	484	1346	52	113		209	36	45	51	46	114	114	287	155	95
	American Power & Gas, LLC	49	319	18	18	13	8	14	25	11	23	22	27	22	40	45
D240	Ameristar Energy, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1
	Amplified Power & Gas, LLC	0	6	0	0	0	0	0	0	0	0	0	0	2	0	3
6023AP	Ap Gas & Electric (ny), Llc	5	19	0	2	3	1	1	5	1	0	1	1	2	2	0
5465AS	ASC Energy Services, Inc.	5	2	0	5	0	0	. 1	1	0	0	0	0	0	0	0
6818AS	Astral Energy LLC	40	117	9	9	22	13	23	19	25	11	6	11	2	0	3
6481AT	Atlantic Energy, LLC	8	35	1	1	6	4	8	5	5	5	0	3	0	2	2
7844AT	Atlantic Power & Gas LLC	9	52	5	2	2	1	3	4	1	0	1	2	1	8	8
D222	BluCo Energy, LLC	1	18	0	1	0	1	1	0	3	0	4	0	3	2	0
D217	BlueRock Energy, Inc.	0	10	0	0	0	0	2	0	1	7	0	0	1	0	0
5008BO	Bounce Energy NY, LLC	0	8	0	0	0	0	0	0	0	0	0	0	2	0	2
D113	Brown's Fuel	5	19	3	0	2	1	0	4	2	1	1	1	1	0	1
5246BU	BUY ENERGY DIRECT, LLC	1	8	1	0	0	0	0	4	2	1	0	0	2	0	0
9156CE	CenStar Operating Company, LLC	3	4	1	0	2	1	1	0	1	1	0	0	0	0	0
6903CH	Champion Energy Services, LLC	0	4	0	0	0	0	1	0	0	0	0	0	0	0	0
D220	Chief Energy Gas, Llc.	2	3	0	2	0	1	0	0	0	0	0	0	1	0	0
5773CH	Chief Energy Power, Llc	5	5	2	2	1	0	0	0	0	1	0	1	0	1	0
5325CI	Citizens Choice Energy, LLC	3	6	0	2	1	0	0	0	1	0	0	0	0	0	2
5592CI	City Power & Gas, LLC	5	29	2	2	1	0	0	0	1	0	2	8	4	3	4
D238	Clearview Energy, Inc.	2	9	1	1	0	0	0	0	1	1	0	0	3	0	2
D230	Columbia Utilities Power, Llc (electric)	9	27	2	4	3	1	4	0	3	2	1	1	2	4	3
D231	Columbia Utilities Power, Lic (electric)	6	31	1	4	1	2	4	2	2	0	2	1	2	4	4
5065CO	Community Energy Services, Inc.	0	2	0	4	0	2	0	2	2	0	0	0	0	0	4
D086	Contracting Energy Services, Inc.		 11	1	1	0	1	1	0	0	1	1	0	3	0	3
6771CO	Constellation Energy Gas Choice Inc.	2 7	8	4	1	2	1	0	3	1	1	2	0	0	0	0
	Constellation NewEnergy	5	21	4	1	3	0	3	0	0		2	2	2	4	3
	Constellation NewEnergy - Gas Divisio		9	0	0	0	0	2	0	0	0	0	2	2	4	1
D221		3	-	2	1	0	1	2	4	0	0	0	0	0	0	0
8168DI	Direct Energy Business Marketing, LLC		6 35	2		0	0	0	4	2	8	2	4	0	3	-
5308DI D176	Direct Energy Business, LLC	0 136		42	0 42	52	49	40	32	21	-	14	4		6	6
	Direct Energy Services LLC		206								6	-		6		6
D006	East Coast Energy, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D256	East Coast Power, Llc	2	5	1	1	0	0	1	0	0	0	0	0	1	1	0
6922EL	Eligo Energy NY, Llc	19	46	4	12	3	0	1	2	1	2	2	1	1	3	3
D047	Empire Natural Gas Corporation	0	1	0	0	0	0	0	0	1	0	0	0	0	0	0
D087	Energetix, Inc.	0	48	0	0	0	0	0	0	5	4	6	6	3	8	9
D183	Energy Cooperative of New York	0	2	0	0	0	0	0	0	0	0	0	0	0	1	1
6031EN	ENERGY DISCOUNTERS, LLC	0	1	0	0	0	0	0	0	0	1	0	0	0	0	0
D243	Energy Plus Holdings LLC	1	30	3	6	2	1	0	1	3	1	5	2	1	3	4
5568EN	Energy Plus Natural Gas LP	1	4	0	0	1	1	0	0	1	0	0	0	0	1	1

Number of Initial Complaints Received Against ESCO's

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Code	Company Name	2016	2015	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15	May-15	Apr-15	Mar-15
D137	Energy Service Providers, Inc.	0	1	0	0	0	0	1	0	0	0	0	0	0	0	0
5424EN	Energy Solutions Co. LLC	0	2	0	0	0	0	0	1	0	0	0	0	0	0	0
5182EN	EnergyMark, LLC	0	4	0	0	0	0	0	0	0	0	0	0	0	1	3
D201	Entergy Solutions Ltd.	0	1	0	0	0	0	0	0	0	0	0	1	0	0	0
8938EN	Entrust Energy East, Inc.	3	37	0	3	0	1	2	3	0	2	29	0	0	0	0
7005ET	Ethical Electric Benefit Co.	3	39	1	2	0	5	3	1	5	5	0	3	6	1	5
4920FA	Family Energy, Inc.	70	118	28	20	22	9	12	5	12	7	11	7	9	13	12
7383FR	Frontier Utilities Northeast LLC	33	19	3	10	20	10	1	2	3	1	0	0	0	0	1
6781FT	FTR Energy Services, LLC	0	10	0	0	0	0	0	0	3	1	2	0	1	0	0
6643GA	Galaxy Energy Llc	5	22	0	2	3	1	2	1	1	3	2	2	2	2	2
D046	Gateway Energy Services Corp.	0	83	0	0	0	0	0	2	8	7	6	11	4	13	13
4963GD	Gdf Suez Energy Resources Na, Inc.	0	1	0	0	0	0	0	0	1	0	0	0	0	0	0
6424GD	GDF Suez Retail Energy Solutions, LL	0	3	0	0	0	0	1	1	0	0	0	0	1	0	0
5349GL	Glacial Natural Gas, Inc.	0	3	0	0	0	0	0	0	1	0	0	0	0	0	0
6009GL	Global Energy, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
D104	Great Eastern Energy	3	10	2	1	0	1	1	0	0	2	0	0	4	0	0
D104	Green Mountain Energy	13	33	6	2	5	2	0	2	7	2	2	2	2	4	3
				3	2	5 4	 1	3	2	3	0	2	2	2	4	2
4877GR	Greenlight Energy Inc.	14	25 7	3 0			0	3 0	3	3 0	-	_		1	0	
D254	High Rise Energy Group, LLC	1			0	1	-			-	0	1	1		-	0
5302PR	Hiko Energy, Llc	2	20	0	2	0	0	0	2	1	2	1	4	1	5	1
D120	Hudson Energy Services, Llc	5	23	3	1	1	3	3	2	3	0	0	1	3	4	2
D177	IDT Energy, Inc.	22	168	9	9	4	10	13	13	14	15	11	10	14	15	20
D188	IGS Energy	0	6	0	0	0	0	0	0	0	1	1	0	0	0	2
D167	Infinite Energy, Inc.	1	3	0	1	0	0	0	0	0	0	1	0	0	0	2
6647IR	Iron Energy LLC	0	5	0	0	0	1	0	0	0	0	0	0	0	0	0
7041JO	JOSCO Energy Corp	19	110	6	9	4	8	6	4	12	13	10	15	6	14	9
D213	Just Energy New York Corp	11	93	5	3	3	7	3	5	4	2	5	9	12	15	11
D015	Keyspan Energy Services, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1
6646KI	Kiwi Energy Inc.	21	143	6	8	7	8	1	13	16	16	12	18	11	15	21
5520LE	Lexington Power & Light, LLC	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0
D117	Liberty Power Corp.	10	52	6	3	1	2	4	4	2	3	1	7	2	3	4
2066	Long Island Power Authority	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1
D147	M&R ENERGY RESOURCES CORPO	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1
D214	Major Energy Services LLC	20	109	11	5	4	2	5	8	8	5	3	7	9	18	17
6007MA	Marathon Energy Corporation	14	21	5	6	3	2	1	3	1	1	4	0	1	2	5
D267	Mpower Energy LLC	25	113	8	9	8	20	6	12	14	3	7	7	3	8	12
6807MY	MyGrid Energy, Inc.	0	9	0	0	0	0	0	0	0	6	2	1	0	0	0
D020	Natgasco, Inc A Mitchell-Supreme C	1	2	1	0	0	0	1	0	0	0	0	0	1	0	0
5436NE	New Wave Energy Corp.	2	2	1	1	0	0	0	0	0	0	0	0	0	1	0
7379NE	NextEnergy Services LLC	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0
4987NE	NextEra Energy Resources, LLC	2	7	1	0	1	1	0	1	0	2	0	0	0	1	2
D148	Noco Natural Gas, Llc	0	2	0	0	0	0	0	0	0	0	1	0	0	0	0
5787NO	North American Power & Gas LLC	10	140	6	3	1	6	0	3	2	6	3	3	8	12	26
6976NO	North Eastern States, Inc.	0	19	0	0	0	0	0	0	0	0	0	0	4	2	4
5479NO	North Energy LLC	0	5	0	0	0	1	1	1	1	0	0	0	0	0	1
D239	NorthEastern Energy Corporation	0	1	0	0	0	0	0	0	0	1	0	0	0	0	0
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D103	NYSEG Solutions, Inc.	0	138	0	0	0	0	0	1	10	9	9	12	10	27	32

Number of Initial Complaints Received Against ESCO's

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Code	Company Name	2016	2015	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15	May-15	Apr-15	Mar-15
6645PA	Pay Less Energy, LLC	1	4	0	0	1	1	0	0	0	1	0	0	2	0	0
6024PE	People's Power & Gas, Llc	0	1	0	0	0	0	0	0	0	0	1	0	0	0	0
6893PE	Perigee Energy, LLC	1	2	0	0	1	0	1	0	0	1	0	0	0	0	0
5637PL	Planet Energy (NY) Corp.	0	5	0	0	0	0	0	0	0	0	1	0	0	0	1
D237	Platinum Plus Energy Resources, Inc.	0	1	0	0	0	0	0	0	0	0	1	0	0	0	0
D171	Plymouth Rock Energy LLC	5	36	4	1	0	1	1	4	6	2	1	6	4	4	2
D263	Public Power Llc	6	54	0	4	2	4	3	4	8	5	4	4	2	3	2
6233RE	Reliant Energy Northeast LLC	6	6	3	3	0	2	0	0	0	1	0	1	0	0	0
6616RE	Renaissance Power & Gas, Inc.	3	13	0	0	3	4	0	2	0	2	1	2	0	2	0
6574RE	Residents Energy, LLC	12	51	4	4	4	5	5	5	6	5	7	2	2	5	1
D093	Robison Energy Of Westchester	0	5	0	0	0	1	0	1	1	0	1	0	0	0	1
5370SB	SBR Energy, LLC	0	5	0	0	0	0	0	0	0	0	0	0	1	0	1
5481SJ	SJ Energy Partners	1	3	0	1	0	0	0	0	1	0	0	0	1	1	0
4976SM	Smart One Energy, LLC	17	38	7	6	4	4	4	3	4	4	1	4	2	0	5
6216SO	South Bay Energy Corp.	3	11	3	0	0	0	0	2	0	1	1	0	1	2	2
5577SO	SouthStar Energy Services LLC	1	1	1	0	0	0	0	0	0	0	0	0	0	0	1
D186	Spark Energy, L.P.	31	154	11	7	13	8	9	19	5	17	25	25	14	10	15
7397SP	Sperian Energy Corp.	0	5	0	0	0	0	0	0	0	1	0	0	1	1	1
5463ST	Starion Energy NY, Inc.	7	25	2	2	3	3	0	1	2	1	1	1	1	5	1
6809ST	Stream Energy New York LLC.	1	9	0	1	0	1	0	0	0	1	1	2	0	0	4
D223	Titan Gas, LLC	0	6	0	0	0	0	0	1	1	0	2	0	0	0	1
9014TR	Trident Retail Energy, LLC DBA Trider	2	0	0	2	0	0	0	0	0	0	0	0	0	0	0
D119	U.S. Gas & Electric, Inc.	24	52	10	7	7	3	6	2	2	2	3	11	4	3	4
D500	Unidentified ESCO	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0
6008UN	United Energy Supply Corporation	3	11	0	3	0	2	1	0	0	1	2	1	1	0	0
7346UN	United Metro Energy Services Corp.	0	2	0	0	0	0	0	0	0	0	0	0	0	1	0
5461UT	Utility Expense Reduction LLC	21	51	7	10	4	8	6	11	4	8	0	2	3	2	2
6894VE	Verde Energy USA New York, LLC	11	72	3	4	4	4	2	2	10	3	4	6	7	4	9
5391VI	Viridian Energy NY, LLC	18	69	7	7	4	7	4	2	6	3	3	9	5	5	8
6668XO	XOOM Energy New York, LLC	9	72	3	4	2	2	4	5	8	4	2	6	7	8	7
	Total	1351	5044	342	417	592	469	275	321	347	314	391	409	540	505	519

ESCO's with no complaints on file since January 2015 are not listed on this report.

Number of Escalated Complaints Received Against ESCO's

			Plant							ga						-
Code	Company Name	2016	2015	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15	May-15	Apr-15	Mar-15
6898AB	ABC Energy LLC	2	0	0	1	1	0	0	0	0	0	0	0	0	0	0
5567AB	ABN Energy, LLC DBA GreatEnergy	0	1	0	0	0	0	0	0	1	0	0	0	0	0	0
D128	Accent Energy Midwest, LLC	1	1	0	0	1	1	0	0	0	0	0	0	0	0	0
D001	Agway Energy Services, LLC.	5	17	2	1	2	0	1	1	0	0	1	1	2	2	3
5985AL	Alpha Gas And Electric, Llc	2	15	1	1	0	2	1	2	0	1	2	0	0	2	4
D230	Ambit Energy	216	398	36	105	75	18	17	16	23	36	44	44	55	28	34
5411AM	American Power & Gas, LLC	3	26	0	3	0	1	1	2	1	1	0	0	3	1	4
6729AM	Amplified Power & Gas, LLC	0	1	0	0	0	0	0	0	0	0	0	1	0	0	0
6023AP	Ap Gas & Electric (ny), Llc	2	9	1	1	0	1	1	3	0	0	1	0	1	0	0
5465AS	ASC Energy Services, Inc.	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0
6818AS	Astral Energy LLC	6	20	1	3	2	6	5	2	0	2	1	0	0	0	2
6481AT	Atlantic Energy, LLC	0	10	0	0	0	1	3	1	1	0	2	0	0	2	0
7844AT	Atlantic Power & Gas LLC	1	17	0	0	1	1	0	1	0	1	1	1	0	4	4
D222	BluCo Energy, LLC	0	3	0	0	0	0	0	0	1	0	1	0	0	0	1
5008BO	Bounce Energy NY, LLC	0	1	0	0	0	0	0	0	0	0	0	0	1	0	0
D113	Brown's Fuel	2	4	0	1	1	0	1	1	0	0	0	0	0	1	0
5246BU	BUY ENERGY DIRECT, LLC	0	5	0	0	0	0	0	0	0	1	0	0	2	0	0
9156CE	CenStar Operating Company, LLC	1	2	0	0	1	0	0	1	0	1	0	0	0	0	0
6903CH	Champion Energy Services, LLC	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0
5773CH	Chief Energy Power, Llc	1	1	0	0	1	0	0	0	0	0	0	1	0	0	0
5592CI	City Power & Gas, LLC	0	10	0	0	0	0	0	0	0	1	0	3	0	1	1
D238	Clearview Energy, Inc.	0	1	0	0	0	0	0	0	1	0	0	0	0	0	0
D231	Columbia Utilities Power, Llc (electric)	4	4	0	2	2	0	0	0	1	2	0	0	0	0	0
D040	Columbia Utilities Power, Llc (gas)	2	6	1	0	1	0	0	0	0	0	0	0	1	1	2
5065CO	Community Energy Services, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	0	1	1
D086	Con Edison Solutions	0	2	0	0	0	0	1	0	0	0	0	0	0	0	0
D084	Constellation NewEnergy	0	4	0	0	0	0	0	0	0	0	0	0	0	2	1
D221	Constellation NewEnergy - Gas Divisio		2	0	0	0	0	0	0	0	0	0	0	0	0	0
8168DI	Direct Energy Business Marketing, LL	1	2	0	0	1	0	1	1	0	0	0	0	0	0	0
5308DI	Direct Energy Business, LLC	0	3	0	0	0	0	0	0	0	1	0	0	0	0	1
D176	Direct Energy Services LLC	44	37	15	13	16	9	7	8	5	1	3	1	0	0	1
D256	East Coast Power, Llc	1	1	0	1	0	0	0	0	0	0	0	0	0	1	0
6922EL	Eligo Energy NY, Llc	3	10	2	1	0	0	0	0	1	0	0	0	1	2	2
D087	Energetix, Inc.	0	16	0	0	0	0	1	0	1	2	2	0	3	2	4
D183	Energy Cooperative of New York	0	1	0	0	0	0	0	0	0	0	0	0	0	1	0
6031EN	ENERGY DISCOUNTERS, LLC	0	1	0	0	0	0	0	0	1	0	0	0	0	0	0
D243	Energy Plus Holdings LLC	1	6	0	1	0	0	0	0	1	1	0	0	1	2	0
5424EN	Energy Solutions Co. LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1
5182EN	EnergyMark, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	2
8938EN	Entrust Energy East, Inc.	0	6	0	0	0	1	0	1	0	0	4	0	0	0	0
7005ET	Ethical Electric Benefit Co.	0	5	0	0	0	2	0	0	1	1	0	0	0	0	1
4920FA	Family Energy, Inc.	9	18	1	4	4	1	1	1	1	0	1	1	3	1	3
7383FR	Frontier Utilities Northeast LLC	9	4	2	1	6	0	0	2	0	0	0	0	0	0	1
6781FT	FTR Energy Services, LLC	0	5	0	0	0	0	0	1	0	0	1	0	0	0	0
6643GA	Galaxy Energy Llc	0	4	0	0	0	0	0	0	1	0	0	0	0	3	0
D046	Gateway Energy Services Corp.	0	29	0	0	0	0	0	1	1	1	6	1	3	6	5
D127	Green Mountain Energy	3	3	2	0	1	0	0	2	0	0	0	0	0	0	1
4877GR	Greenlight Energy Inc.	1	4	1	0	0	1	1	0	0	0	0	0	0	0	1

Number of Escalated Complaints Received Against ESCO's

ТМ		Com	plaint	5						ga						
Code	Company Name	2016	2015	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15	May-15	Apr-15	Mar-15
D254	High Rise Energy Group, LLC	1	4	0	1	0	0	0	3	0	0	0	0	1	0	0
5302PR	Hiko Energy, Llc	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D120	Hudson Energy Services, Llc	1	3	0	1	0	1	0	0	0	0	0	0	0	1	1
D177	IDT Energy, Inc.	3	5	3	0	0	1	0	0	1	0	0	0	0	2	1
7041JO	JOSCO Energy Corp	1	16	0	1	0	0	0	1	2	2	2	2	1	2	3
D213	Just Energy New York Corp	2	18	1	0	1	1	1	0	0	1	1	2	2	2	3
6646KI	Kiwi Energy Inc.	0	8	0	0	0	0	0	1	0	1	3	0	1	0	2
D117	Liberty Power Corp.	2	13	1	0	1	1	0	0	0	0	3	1	1	0	3
D214	Major Energy Services LLC	0	16	0	0	0	0	1	1	2	0	0	2	1	3	3
6007MA	Marathon Energy Corporation	6	2	3	1	2	0	0	0	0	0	0	1	0	0	0
D267	Mpower Energy LLC	3	12	1	2	0	1	1	2	0	1	1	1	0	1	1
6807MY	MyGrid Energy, Inc.	0	4	0	0	0	0	0	0	1	2	1	0	0	0	0
4987NE	NextEra Energy Resources, LLC	1	2	0	1	0	0	0	0	0	0	0	0	1	0	0
5787NO	North American Power & Gas LLC	4	55	0	2	2	0	1	0	1	1	0	2	5	6	15
6976NO	North Eastern States, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
5479NO	North Energy LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	1	0
D239	NorthEastern Energy Corporation	0	1	0	0	0	0	1	0	0	0	0	0	0	0	0
D103	NYSEG Solutions, Inc.	0	45	0	0	0	0	0	0	3	0	5	8	5	11	8
4921OA	Oasis Power LLC, d/b/a Oasis Energy	1	3	0	0	1	0	0	0	0	0	0	0	1	0	1
6645PA	Pay Less Energy, LLC	0	2	0	0	0	0	0	0	0	1	0	0	1	0	0
6893PE	Perigee Energy, LLC	0	1	0	0	0	0	1	0	0	0	0	0	0	0	0
D237	Platinum Plus Energy Resources, Inc.	0	1	0	0	0	0	0	0	0	1	0	0	0	0	0
D171	Plymouth Rock Energy LLC	0	7	0	0	0	0	1	1	1	1	1	1	0	1	0
D263	Public Power Llc	2	15	1	1	0	2	1	1	1	0	0	0	1	2	3
6233RE	Reliant Energy Northeast LLC	1	1	1	0	0	0	0	0	0	0	0	1	0	0	0
6616RE	Renaissance Power & Gas, Inc.	0	2	0	0	0	0	0	0	0	0	0	1	0	1	0
6574RE	Residents Energy, LLC	0	2	0	0	0	0	1	0	0	0	0	0	1	0	0
D093	Robison Energy Of Westchester	0	2	0	0	0	0	1	0	1	0	0	0	0	0	0
5370SB	SBR Energy, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
5481SJ	SJ Energy Partners	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0
4976SM	Smart One Energy, LLC	3	7	1	1	1	0	0	1	1	0	0	1	0	0	1
6216SO	South Bay Energy Corp.	0	1	0	0	0	0	0	0	0	1	0	0	0	0	0
D186	Spark Energy, L.P.	8	19	5	2	1	1	0	2	2	3	1	2	4	2	0
7397SP	Sperian Energy Corp.	0	3	0	0	0	0	0	0	1	0	0	0	1	1	0
6809ST	Stream Energy New York LLC.	0	5	0	0	0	0	0	0	0	1	1	0	0	2	1
D223	Titan Gas, LLC	0	3	0	0	0	0	1	0	0	0	1	0	0	1	0
D119	U.S. Gas & Electric, Inc.	3	10	2	1	0	1	0	1	1	1	1	2	0	1	2
6008UN	United Energy Supply Corporation	0	2	0	0	0	1	0	0	0	0	1	0	0	0	0
7346UN	United Metro Energy Services Corp.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
5461UT	Utility Expense Reduction LLC	3	1	1	1	1	0	0	0	0	0	0	0	1	0	0
6894VE	Verde Energy USA New York, LLC	5	15	2	1	2	0	0	0	2	2	2	0	4	0	3
5391VI	Viridian Energy NY, LLC	4	21	1	1	2	3	0	1	2	0	1	2	2	3	3
6668XO	XOOM Energy New York, LLC	1	22	0	1	0	1	2	3	2	0	2	2	1	0	4
	Total	377	1076	-	157	130	60	_ 55	65	66	72	97		-	106	

ESCO's with no complaints on file since January 2015 are not listed on this report.

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Code	Company Name	2016	2015	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15	May-15	Apr-15	Mar-15
6898AB	ABC Energy LLC	1	1	0	0	1	0	1	0	0	0	0	0	0	0	0
5567AB	ABN Energy, LLC DBA GreatEnergy	0	1	0	0	0	0	0	0	0	0	0	1	0	0	0
D128	Accent Energy Midwest, LLC	1	3	0	0	1	1	1	1	0	0	0	0	0	0	0
D249	Affordable Power, L.P.	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0
8281AG	Agera Energy	0	2	0	0	0	0	0	0	0	1	0	0	0	0	0
D001	Agway Energy Services, LLC.	5	39	1	2	2	3	1	3	0	4	2	2	1	7	7
5985AL	Alpha Gas And Electric, Llc	4	26	2	2	0	2	2	1	1	3	4	2	2	2	6
D230	Ambit Energy	322	646	46	95	181	53	18	26	29	34	72	64	73	79	56
5411AM	American Power & Gas, LLC	26	156	7	10	9	2	6	9	2	8	10	16	12	22	20
6729AM	Amplified Power & Gas, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	2
6023AP	Ap Gas & Electric (ny), Llc	1	4	0	0	1	0	0	1	0	0	1	0	0	1	0
5465AS	ASC Energy Services, Inc.	1	0	0	1	0	0	0	0	0	0	0	0	0	0	0
6818AS	Astral Energy LLC	8	27	2	0	6	2	4	5	3	1	1	6	3	0	1
6481AT	Atlantic Energy, LLC	2	8	0	0	2	1	2	2	2	0	0	0	0	0	0
7844AT	Atlantic Power & Gas LLC	2	9	1	0	1	0	0	0	0	0	0	2	0	2	0
D222	BluCo Energy, LLC	0	7	0	0	0	0	0	0	2	0	3	0	0	0	0
D217	BlueRock Energy, Inc.	0	2	0	0	0	0	0	0	0	2	0	0	0	0	0
5008BO	Bounce Energy NY, LLC	0	2	0	0	0	0	0	0	0	0	0	0	1	0	1
D113	Brown's Fuel	4	7	2	0	2	2	0	2	0	0	0	0	0	0	0
5246BU	BUY ENERGY DIRECT, LLC	0	2	0	0	0	0	0	0	0	0	0	0	2	0	0
9156CE	CenStar Operating Company, LLC	2	0	1	0	1	0	0	0	0	0	0	0	0	0	0
D220	Chief Energy Gas, Llc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
5773CH	Chief Energy Power, Llc	3	1	0	1	2	0	0	0	0	0	0	1	0	0	0
5325CI	Citizens Choice Energy, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	2
5592CI	City Power & Gas, LLC	4	14	1	2	1	0	0	0	1	0	1	1	3	2	1
D238	Clearview Energy, Inc.	0	3	0	0	0	0	0	0	0	0	0	0	2	0	0
D231	Columbia Utilities Power, Llc (electric)	6	13	1	4	1	1	2	0	2	2	1	0	2	0	0
D040	Columbia Utilities Power, Llc (gas)	2	11	1	1	0	1	1	1	0	0	1	0	0	0	2
5065CO	Community Energy Services, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	1
D086	Con Edison Solutions	0	8	0	0	0	0	0	0	0	1	0	0	2	0	2
6771CO	Constellation Energy Gas Choice Inc.	4	4	2	1	1	1	0	0	1	1	1	0	0	0	0
D084	Constellation NewEnergy	0	11	0	0	0	0	0	0	0	0	1	0	1	4	1
D221	Constellation NewEnergy - Gas Divisio		2	0	0	0	0	0	0	0	0	0	0	0	0	0
8168DI	Direct Energy Business Marketing, LL		0	2	0	0	0	0	0	0	0	0	0	0	0	0
5308DI	Direct Energy Business, LLC	0	18	0	0	0	0	0	0	1	1	0	4	0	3	4
D176	Direct Energy Services LLC	63	80	23	18	22	16	21	8	9	1	7	5	2	3	2
D256	East Coast Power, Llc	0	2	0	0	0	0	0	0	0	0	0	0	0	1	0
6922EL	Eligo Energy NY, Llc	5	28	0	5	0	0	1	1	0	0	0	1	1	2	5
7398EM	Empire Energy Services, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D047	Empire Natural Gas Corporation	0	1	0	0	0	0	0	0	1	0	0	0	0	0	0
D087	Energetix, Inc.	0	18	0	0	0	0	0	0	2	3	0	0	2	5	3
D243	Energy Plus Holdings LLC	6	12	2	4	0	0	0	1	1	1	2	1	0	2	1
5568EN	Energy Plus Natural Gas LP	0	1	0	0	0	0	0	0	0	0	0	0	0	1	0
D137	Energy Service Providers, Inc.	0	1	0	0	0	0	1	0	0	0	0	0	0	0	0
5424EN	Energy Solutions Co. LLC	0	1	0	0	0	0	0	1	0	0	0	0	0	0	0
5182EN	EnergyMark, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	2
D201	Entergy Solutions Ltd.	0	1	0	0	0	0	0	0	0	0	0	1	0	0	0
8938EN	Entrust Energy East, Inc.	1	15	0	1	0	0	0	0	0	0	15	0	0	0	0

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Code	Company Name	2016	2015	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15	May-15	Apr-15	Mar-15
7005ET	Ethical Electric Benefit Co.	0	27	2	0	0	4	2	1	2	3	0	3	2	< 0	2
	Family Energy, Inc.	32	62	9	14	9	1	6	1	5	6	8	3	4	4	6
	Frontier Utilities Northeast LLC	11	2	3	3	5	0	0	0	0	0	0	0	0	0	2
	FTR Energy Services, LLC	0	7	0	0	0	0	0	0	1	1	2	0	0	0	0
	Galaxy Energy Llc	3	10	0	2	1	1	0	1	0	3	0	1	1	1	0
D04307	Gateway Energy Services Corp.	0	30	0	0	0	0	0	1	1	2	3	4	2	4	5
	GDF Suez Retail Energy Solutions, LL	0	1	0	0	0	0	1	0	0	0	0	-	0	0	0
5349GL	Glacial Natural Gas, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
	Great Eastern Energy	0	4	0	0	0	1	1	0	0	1	0	0	0	0	0
	Green Mountain Energy	8	15	3	2	3	1	0	1	3	1	0	0	1	3	3
	Greenlight Energy Inc.	12	16	5	5	2	1	0	1	3	0	1	3	2	3	1
	High Rise Energy Group, LLC	2	10	0	1	2	0	0	0	0	0	0	0	2	0	0
	Hiko Energy, Llc	2	6	0	2	0	0	0	2	0	0	0	0	0	2	1
			4	2	2	0	1	0	2	-	0		0	1		-
	Hudson Energy Services, Llc	2			-	-		-		1	-	0	-		0 5	0
	IDT Energy, Inc.	10	77	3	6	1	6	4	9	4	8	6	4	9		5
	IGS Energy	0	3	-	0	0	0 4	0	0	0	1	0	0	0	0	1
	JOSCO Energy Corp	12	38	3	7	2		3	0	6	4	5	3	3	5 7	3
	Just Energy New York Corp	6	29	3	1	2	3	0	1	1	2	2	1	3		3
	Kiwi Energy Inc.	6	82	1	3	2	4	1	7	8	11	5	8	4	13	11
	Liberty Power Corp.	5	22	3	1	1	2	2	2	0	1	1	2	0	0	3
	Major Energy Services LLC	4	33	2	1	1	1	0	1	0	1	0	1	3	6	7
	Marathon Energy Corporation	2	2	2	0	0	0	0	0	1	0	0	0	0	0	1
	Mpower Energy LLC	14	70	4	5	5	10	2	7	6	5	2	7	1	6	8
	New Wave Energy Corp.	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0
	NextEra Energy Resources, LLC	2	3	0	1	1	0	0	1	0	0	0	0	1	0	1
	North American Power & Gas LLC	6	85	2	4	0	2	0	0	1	4	2	2	2	5	17
	North Eastern States, Inc.	0	8	0	0	0	0	0	0	0	0	0	0	0	1	2
	North Energy LLC	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0
	NYSEG Solutions, Inc.	0	66	0	0	0	0	0	0	8	5	7	4	3	11	16
	Oasis Power LLC, d/b/a Oasis Energy	2	3	1	1	0	0	0	1	0	0	0	0	0	0	1
	Pay Less Energy, LLC	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0
	People's Power & Gas, Llc	0	1	0	0	0	0	0	0	0	0	1	0	0	0	0
	Perigee Energy, LLC	1	1	0	0	1	0	0	0	0	1	0	0	0	0	0
	Planet Energy (NY) Corp.	0	5	0	0	0	0	0	0	0	0	1	0	0	0	1
	Plymouth Rock Energy LLC	1	16	1	0	0	0	0	2	3	1	0	4	2	1	0
	Public Power Llc	4	18	2	1	1	1	0	2	0	2	1	0	0	1	3
	Reliant Energy Northeast LLC	3	3	1	2	0	1	0	0	0	0	0	0	0	0	0
	Renaissance Power & Gas, Inc.	2	5	0	0	2	0	0	2	0	0	1	0	0	2	0
	Residents Energy, LLC	4	22	2	0	2	2	2	2	1	3	3	1	1	1	0
	SBR Energy, LLC	0	4	0	0	0	0	0	0	0	0	0	0	0	0	0
	Smart One Energy, LLC	7	18	2	3	2	3	1	0	1	5	1	2	1	0	0
	South Bay Energy Corp.	2	3	2	0	0	0	0	0	0	0	0	1	0	1	0
	Spark Energy, L.P.	12	53	4	3	5	4	2	4	3	5	9	10	2	4	8
	Sperian Energy Corp.	0	6	0	0	0	0	0	0	0	0	0	0	2	2	1
	Starion Energy NY, Inc.	3	11	1	1	1	0	0	1	2	0	0	0	0	3	1
6809ST	Stream Energy New York LLC.	0	5	0	0	0	0	0	0	0	2	2	1	0	0	0
	Titan Gas, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D119	U.S. Gas & Electric, Inc.	3	30	1	0	2	0	2	1	1	1	2	7	2	2	2

Code	Company Name	2016	2015	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15	May-15	Apr-15	Mar-15
D500	Unidentified ESCO	34	130	6	14	14	11	3	10	15	21	18	14	15	7	2
6008UN	United Energy Supply Corporation	1	6	0	1	0	1	1	0	0	0	2	0	0	0	0
7346UN	United Metro Energy Services Corp.	0	1	0	0	0	0	0	0	0	0	0	0	0	1	0
5461UT	Utility Expense Reduction LLC	11	22	2	6	3	2	0	4	2	4	0	3	2	1	1
6894VE	Verde Energy USA New York, LLC	6	30	0	4	2	0	0	1	4	3	1	2	1	2	6
5391VI	Viridian Energy NY, LLC	9	30	2	2	5	1	0	0	2	1	4	4	4	3	3
6668XO	XOOM Energy New York, LLC	3	21	1	1	1	1	1	2	2	1	1	2	1	1	4
	Total	724	2348	169	244	311	156	95	131	144	172	213	204	189	244	250

Deceptive marketing complaints are taken from customers who report situations where an energy service company or energy marketer solicits the customer's home or business in a manner which the customer believes is misleading or the customer was presented with information which the customer believes is untrue.



K Department of Public Service

April 2016

Office of Consumer Services Monthly Report on Consumer Complaint Activity

Audrey Zibelman Chief Executive Officer

Michael Corso Chief Consumer Advocate, Office of Consumer Services

Published May 27, 2016



Monthly Report on Consumer Complaint Activity

April 2016

Table of Contents

Director's Message	. 3
If You Have a Complaint About Your Utility Service	. 4
How Utility Complaints are Measured	. 5
Complaint Activity of New York's Major Utilities	. 7
Customer Service Response Index	. 8
Credits Obtained for Consumers	13
Number of Initial Complaints Received Against ESCO's	14
Number of Escalated Complaints Received Against ESCO's	17
Number of Marketing Complaints Received Against ESCO's	19



May 27, 2016

Dear Readers:

The Office of Consumer Services closely monitors the number and types of complaints received against all utilities operating in New York State. We strive to ensure that utilities fulfill their obligation to provide effective customer service in compliance with the laws, rules, regulations and policies we enforce.

Each month, this report provides an overview of complaint activity and utility responsiveness during the preceding month which is informative to both consumers and utility companies. Specific details regarding the way we measure the companies' activities are described in the section How Utility Complaint Data Is Reported.

The table titled Complaint Activity of New York's Major Utilities reports on the volume of complaints received against the largest utilities in each industry while the table titled Customer Service Response Index reports on the level of customer service and responsiveness delivered by each service provider.

The chart, Credit Adjustments Received for Consumers, reflects the amount of refunds or credits customers received as a result of our investigations. The Office of Consumer Services also monitors complaints against the competitive energy service companies (ESCO's) operating in New York. These complaints are reported in two tables; Number of Initial Complaints Received Against ESCO's and Number of Escalated Complaints Received Against ESCO's.

I hope this report is helpful in providing you with a summary of utility complaint activity. If you have any questions, please e-mail John.Auricchio@dps.ny.gov

Sincerely,

Michael lad

Michael Corso Director Office of Consumer Services



If You Have a Complaint About Your Utility Service

If you're having difficulty resolving a dispute with your regulated energy, telephone, cable television or water company, Department of Public Service staff is available to assist you.

The Office of Consumer Services takes all utility consumer complaints seriously. You can contact us toll-free by telephone, in writing or via the Internet. When you contact our office with a complaint about a utility or other service provider, we take immediate steps to address your concerns.

After we complete entering the details of your complaint we send your complaint to the utility by e-mail or fax. In an effort to ensure that utilities fulfill their obligation to provide effective customer service, we will first ask your utility to contact you and resolve your concern. If your complaint is related to the provision of service, your utility should contact you within two business hours. If your complaint is related to billing or another matter, the utility should contact you by the close of the following business day.

If the utility does not contact you with its initial acknowledgement, does not provide its response to you within two weeks or the matter remains unresolved after you have received a response, you can contact us. We will then further investigate the matter and notify you in writing or by telephone of the decision and the reasons for the decision.

If you believe the initial decision is wrong, you can request an informal hearing. This request may be in writing and made within 15 days of the initial decision. You may be asked to submit certain documents to support your position. If you and the utility are unable to settle the complaint, the hearing officer will make a decision on your complaint and notify you in writing of the decision.

If you believe that the informal hearing officer's decision was wrong, you can appeal it within 15 days of the decision. Your written appeal must contend that there was an error by the hearing officer or reviewer that affected the decision or that evidence not previously available would affect the decision. All appeals, except those involving PSEG-LI, will be decided by the Public Service Commission. PSEG-LI appeals will be decided by Long Island Power Authority (LIPA).

If you have a complaint about your utility service you may contact us thru one of the following avenues:

By Telephone Via the Internet	Monday thru Friday 8:30am – 4:00pm 24 hours a day	800-342-3377 www.dps.ny.gov
In Writing	Please be sure to include as much detail as possible, including your account number, service address, telephone number and the specifics of your complaint.	NYS Dept. of Public Service Office of Consumer Services Three Empire State Plaza Albany, NY 12223-1350



How Utility Complaints Are Measured

The Office of Consumer Services reports complaint data by volume and by responsiveness. A complaint rate is used to compare small utilities to large utilities. A response index is used to measure how well utilities address consumer complaints we forwarded to them.

The Office of Consumer Services closely monitors the number and types of complaints received against all utilities operating in New York State. We expect utility companies to be highly responsive to their customers' needs, especially when the customer feels that it is necessary to seek the assistance of the Department of Public Service staff. Each month, this report provides an overview of complaint activity and utility responsiveness which we believe is informative to both consumers and utility companies.

The table titled **Complaint Activity of New York's Major Utilities** reports on the volume of complaints received against the largest utilities in each industry. These utility companies vary in size from just over 10,000 customers to several million customers. Therefore, in order to compare complaint volumes among companies, a complaint rate per 100,000 customers is displayed. This allows the reader to compare the complaints of a large company to that of a small company.

There are two measures of complaints which are reported each month. At first all complaints are recorded and forwarded to the utility for resolution directly with the customer. These are noted as <u>initial complaints</u> (QRS) in the table titled **Complaint Activity of New York's Major Utilities**. If the customer informs us that the utility failed to satisfy their complaint the matter is escalated for further handling and investigation by staff and is noted as <u>escalated complaints</u> (SRS). Both numbers are converted into a complaint rate which allows the reader to compare performance regardless of the size of a company's customer base. The <u>escalation rate</u> is a measure of how successful a utility is in satisfying their customer upon receipt of an initial complaint made through the Office of Consumer Services. The <u>12 month complaint rate</u> is often used as one of several customer service delivered by an individual utility. This rate represents the average number of escalated complaints received per month per 100,000 customer accounts.

The table titled **Customer Service Response Index** (CSRI) reports on the level of customer service and responsiveness delivered by each service provider. The Customer Service Response Index is determined by measuring four metrics. Complete CSRI data is posted on the first page of the report for those service providers that average ten or more initial complaints per month. For all other service providers, the performance in each area is reported on subsequent pages of the table, less the actual index measures because the index measures for companies with fewer than ten initial complaints have been found to show significant fluctuations on a month to month basis. These fluctuations may result in the reader reaching an inaccurate conclusion as to a service provider's performance. If a company is not listed in a particular monthly report it is because there was no activity for the company in the reporting month.

The **Index** is determined by measuring four metrics:

<u>The Consumer Satisfaction Metric (CSM)</u> is a ratio of the number of <u>initial complaints</u> to the number of <u>escalated complaints</u> in the reporting month. A score of 5 points is awarded when a service provider receives no escalated complaints during the reporting month. There is no score awarded if a service provider satisfies less than 50% of the customers that the Department refers to them.

<u>The Complaint Response Time Metric (CRM)</u> is the average number of days it took the service provider to respond to <u>initial complaints</u> closed in the reporting month. A score of 2 points is awarded when a provider's average response time for <u>initial complaints</u> is 14 days or less. No points are earned if the average response time for <u>initial complaints</u> is more than 28 days (twice the acceptable reply standard).

<u>The Escalated Complaint Response Time Metric (ERM)</u> is the average number of days it took the service provider to respond to <u>escalated complaints</u> closed in the reporting month. A score of 2 points is awarded when a service provider's average response time for <u>escalated complaints</u> is 10 days or less. No points are earned if the average response time for <u>escalated complaints</u> is more than 25 days (two weeks past due).

<u>The Pending Case Metric (PCM)</u> is the average age of all cases awaiting response, determined on the last day of the reporting month. A score of 1 point is awarded when a service providers' average age of all cases is 14 days or less. No points are earned if the average age of all cases exceeds 70 days (two months delinquent). A negative score is applied if the average age of all cases is over 70 days.

Complaint Activity of New York's Major Utilities April 2016

Utility Companies	Initial Co (QF	-	Escalated (Complaints	Escalation Rate	12 Month Escalated		
	No.	Rate*	No.		Nate	Complaint Rate		
Central Hudson Gas & Electric Corp.	38	12.4	0	0.0	0%	0.3		
Con Edison of New York	374	10.5	47	1.3	13%	1.6		
PSEG Long Island	78	6.9	0	0.0	0%	0.6		
National Grid - L I	42	7.2	2	0.3	5%	0.9		
New York State Electric & Gas Corp.	74	7.9	4	0.4	5%	0.6		
National Grid-Upstate	253	14.8	12	0.7	5%	0.7		
Orange & Rockland	20	8.6	0	0.0	0%	0.1		
Rochester Gas & Electric Corp.	69	16.7	4	1.0	6%	0.6		
National Grid-Metro NY	125	10.0	6	0.5	5%	0.5		
National Fuel Gas Distribution	33	6.3	1	0.2	3%	0.1		
Citizens Communications	8	6.0	0	0.0	0%	0.4		
Frontier Communications of NY	3	9.7	1	3.2	33%	0.8		
Frontier Telephone of Rochester, Inc.	6	3.9	1	0.6	17%	0.6		
Windstream Communications, Inc.	2	5.4	2	5.4	100%	0.9		
Verizon Communications	204	7.4	42	1.5	21%	1.1		
AT&T	4		0		0%			
Optimum Voice	1		0		0%			
Time Warner Cable Information Services	5		1		20%			
Verizon Digital Voice	15		5		33%			
Cablevision Systems	15		1		7%			
Time-Warner Cable	95		11		12%			
Verizon New York, Inc. (CATV)	21		3		14%			
Long Island Water Corp.	7	5.7	4	3.3	57%	1.6		
United Water - New York	4	5.4	1	1.3	25%	3.1		
United Water - Westchester	7	16.1	1	2.3	14%	2.1		

All complaint rates are based on December 2015 customer populations.

* - Complaints per 100,000 customer accounts where populations are reported by the utility

This table reports on the volume of complaints received against the largest utilities in each industry.

Initial Complaints (QRS) - This is the number (No.) of complaints we receive and forward to the utility company for resolution directly with the customer and the corresponding complaint rate (Rate) per 100,000 customer accounts.

Escalated Complaints (SRS) - This is the number (No.) of complaints that we escalated for further handling and investigation because the customer informed us that the utility failed to satisfy their initial complaint after we forwarded the initial complaint to the utility. The corresponding escalated complaint rate (Rate) per 100,000 customer accounts allows the reader to compare one utility to another regardless of the number of customer accounts.

Escalation Rate - This is a measure of how successful a utility is in satisfying their customer upon receipt of an initial complaint made through the Office of Consumer Services. The lower the rate the more successful the utility was in resolving initial complaints directly with the customer.

12 Month Escalated Complaint Rate - This rate represents the average number of escalated complaints received per month per 100,000 customer accounts. This is often used as one of several customer service measures that may be taken into consideration when staff monitors the quality of customer service delivered by an individual utility.
Customer Service Response Index April 2016

Service Provider	Initial Complaints	Escalated Complaints	CSM Index	Complaint Response Time	CRM Index	E. Complaint Response Time	ERM Index	Avg. Age of Cases Pending	PCM Index	CSRI
Orange & Rockland	20	0	5.0	6.6	2.0	7.0	2.0	8.0	1.0	10.0
Josco Energy Corp	12	0	5.0	4.0	2.0	0.0	2.0	8.7	1.0	10.0
Time Warner - Rochester	10	0	5.0	2.4	2.0	0.0	2.0	15.0	0.9	9.9
Central Hudson Gas & Electric Corp.	38	0	5.0	8.5	2.0	12.7	1.8	6.6	1.0	9.8
National Fuel Gas Distribution	33	1	4.7	5.9	2.0	3.1	2.0	6.3	1.0	9.7
National Grid - Upstate	253	12	4.5	2.4	2.0	5.4	2.0	10.2	1.0	9.5
New York State Electric & Gas Corp.	74	4	4.5	2.6	2.0	7.4	2.0	8.4	1.0	9.5
Rochester Gas & Electric Corp.	69	4	4.4	3.4	2.0	2.7	2.0	10.7	1.0	9.4
PSEG Long Island	78	0	5.0	3.3	2.0	19.7	1.1	2.6	1.0	9.1
Major Energy Services LLC	11	1	4.1	7.7	2.0	0.0	2.0	5.2	1.0	9.1
Time Warner - Albany	19	2	3.9	6.5	2.0	5.8	2.0	21.1	0.8	8.7
Verizon New York Inc.	21	3	3.6	9.0	2.0	20.4	1.0	9.0	1.0	7.6
Direct Energy Services LLC	21	5	2.6	9.0	2.0	4.5	2.0	4.0	1.0	7.6
National Grid - Metro Ny	125	6	4.5	10.5	2.0	28.0	0.0	14.8	0.9	7.4
Family Energy, Inc.	13	3	2.7	18.0	1.6	11.3	1.9	8.1	1.0	7.2
Verizon Communications	204	42	2.9	9.0	2.0	18.0	1.3	12.1	1.0	7.2
National Grid - L I	42	2	4.5	10.1	2.0	30.0	0.0	27.8	0.7	7.2
Con Edison Of New York	374	47	3.7	8.7	2.0	24.8	0.2	9.6	1.0	6.9
Time Warner - Syracuse Division	16	1	4.4	4.1	2.0	99.6	0.0	45.6	0.3	6.7
Verizon Digital Voice	15	5	1.7	7.2	2.0	8.0	2.0	14.0	1.0	6.7
Time Warner - Buffalo	14	4	2.1	4.1	2.0	1.8	2.0	39.6	0.5	6.6
Time Warner - New York City	36	4	3.9	25.6	0.6	110.2	0.0	46.9	0.3	4.8
Ambit Energy	19	11	0.0	20.8	1.3	8.8	2.0	31.8	0.6	3.9

This table reports on the current level of customer service and responsiveness delivered by each service provider under the Department's jurisdiction. The Customer Service Response Index is determined by measuring four metrics. Complete CSRI data is posted on the first page of the report for those service providers that average ten or more initial complaints per month. For all other service providers, the performance in each area is reported on subsequent pages of the table, less the actual index measures because the index measures for companies with fewer than ten initial complaints have been found to show significant fluctuations on a month to month basis. These fluctuations may result in the reader reaching an inaccurate conclusion as to a service provider's performance. If a company is not listed on this report it is because there was no activity for the company in the reporting month. Below is an explanation of the data in each column. Exhibit 1131 Part 4 **Initial Complaints** - This is the number of initial complaints we receive and forward to the utility company for resolution directly with the customer.

Escalated Complaints - This is the number of complaints that we escalated for further handling and investigation because the customer informed us that the utility failed to satisfy their initial complaint after we forwarded the initial complaint to the utility.

CSM Index - The Consumer Satisfaction Index scores the ratio of the number of initial complaints to the number of escalated complaints in the reporting month. A score of 5 points are awarded when a service provider receives no escalated complaints during the reporting month. There is no score awarded if a service provider satisfies less than 50% of the customers that the Department refers to them.

Complaint Response Time - This is the average number of days it took for a utility to respond to initial complaints in the reporting month.

CRM Index - The Complaint Response Time Index scores the service provider's responsiveness to initial complaints closed in the reporting month. A score of 2 points is awarded when a provider's average response time for initial complaints is 14 days or less. No points are earned if the average response time for initial complaints is more than 28 days (twice the acceptable reply standard).

E. Complaint Response Time - This is the average number of days it took for a utility to respond to escalated complaints in the reporting month.

ERM Index - The Escalated Complaint Response Time Index scores the service providers responsiveness to escalated complaints closed in the reporting month. A score of 2 points is awarded when a provider's average response time for escalated complaints is 10 days or less. No points are earned if the average response time for escalated complaints is more than 25 days (two weeks past due).

Avg. Age of Cases Pending - This is the average age of all the cases awaiting a response from the service provider.

PCM Index - The Pending Case Index scores the average age of all cases awaiting response by the service provider. A score of 1 point is awarded when a service providers' average age of all cases is 14 days or less. No points are earned if the average age of all cases exceeds 70 days (two months delinquent). A negative score is applied if the average age of all cases is over 70 days.

CSRI - The Customer Service Response Index is the overall score received by the service provider. It is the sum of the four indices.

Service Provider Complaints Complaints Index Time Index Time Index Pending Index CSR 125 Court Street, LLC 0 0 0.0 0.0 0.0 489.0 200 Water SPE LLC 0 0 0.0 0.0 205.0
200 Water SPE LLC000.00.0205.089 Murray Street Associates Llc000.00.0205.0ABC Energy LLC201.00.026.0Agway Energy Services, LLC.318.21.00.0Akam Associates000.00.0194.0Alpha Gas And Electric, Llc301.00.60.0American Power & Gas, LLC1226.01.50.0Ap Gas & Electric (ny), Llc300.00.014.3ASC Energy Services, Inc.100.00.02.0Astral Energy LLC208.527.1112.5
89 Murray Street Associates Llc 0 0 0.0 0.0 205.0 ABC Energy LLC 2 0 1.0 0.0 26.0 Agway Energy Services, LLC. 3 1 8.2 1.0 0.0 Akam Associates 0 0 0.0 0.0 194.0 Alpha Gas And Electric, Llc 3 0 1.0 0.6 0.0 American Power & Gas, LLC 1 2 26.0 1.5 0.0 Ap Gas & Electric (ny), Llc 3 0 0.0 0.0 14.3 ASC Energy Services, Inc. 1 0 0.0 0.0 2.0 Astral Energy LLC 2 0 8.5 27.1 112.5
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Ap Gas & Electric (ny), Llc300.00.014.3ASC Energy Services, Inc.100.00.02.0Astral Energy LLC208.527.1112.5
ASC Energy Services, Inc. 1 0 0.0 0.0 2.0 Astral Energy LLC 2 0 8.5 27.1 112.5
Astral Energy LLC 2 0 8.5 27.1 112.5
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AT&T 4 0 47.3 0.0 3.0
Atlantic Energy, LLC 1 0 7.0 0.0 0.0
Atlantic Power & Gas LLC 1 0 0.0 0.0 45.0
Augustus & James Corp. 0 0 0.0 0.0 205.0
Be@Schermerhorn 0 0 0.0 0.0 66.0
Beekman Water Company 1 0 0.0 0.0 20.0
Berkshire Telephone Corp. 1 0 0.0 0.0 4.0
Birch Communications, Inc. 1 1 23.7 0.0 13.0
Broadview Networks 4 0 6.7 0.0 7.5
Brown's Fuel 2 0 1.5 0.0 0.0
BTI Communications, Inc. d/b/a TELZE 3 2 12.5 0.0 50.2
BUY ENERGY DIRECT, LLC 0 1 54.0 0.0 6.0
Cablevision - MediaOne - Rockland 1 0 3.0 0.0 0.0
Cablevision - MediaOne - US Cablevisi 1 0 3.0 0.0 3.0
Cablevision - MediaOne - Westchester 0 0 6.0 0.0 0.0
Cablevision Lightpath, Inc. 1 1 7.0 3.2 0.0
Cablevision of Long Island 3 0 12.5 0.0 4.5
Cablevision of New York City 9 0 6.8 7.0 4.0
Cablevision of Rockland 0 1 18.0 0.1 0.0
Cablevision of Westchester 2 0 4.0 0.0 11.0
Carousel Park Preservation L.P. 0 0 0.0 0.0 242.0
CenStar Operating Company, LLC 2 0 9.0 0.0 0.0
Charter Communications100.00.09.0Chautauqua & Erie Telephone Corp.100.00.018.0
Citizens Communications 8 0 8.4 0.0 11.0
City of Jamestown Board of Public Utili 1 0 0.0 0.0 4.0
Clinton Hills Apts Owners Corp 0 0 0.0 0.0 552.0
Columbia Utilities Power, Llc (electric) 2 0 1.5 0.0 0.0
Con Edison Solutions 2 0 8.5 0.0 27.0
Constellation Energy Gas Choice Inc. 0 0 8.0 0.0 0.0
Constellation NewEnergy 1 0 0.0 0.0 12.0
Dsf IV New Rochelle Owner Llc D/b/a I 0 0 0.0 0.0 194.0
East Coast Power, Llc 0 0 0.0 6.9 0.0
Eligo Energy Ny, Llc 5 0 11.0 5.0 6.2
Elmwood Square Preservation, L.P. 0 0 0.0 0.0 97.0
Empire One Telecommunications, Inc. 1 0 0.0 0.0 0.0
Empire Telephone Corp. 1 0 0.0 0.0 12.0
Energy Plus Holdings LLC0012.00.00.0
Ethical Electric, Inc 3 0 14.0 0.0 5.0

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North American Power & Gas LLC 3 7 29.1 14.5 18.6		-									
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Premier Empire Energy LLC 1 0 0.0 0.0 0.0											
Primelink, Inc. 1 0 0.0 0.0 0.0											
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Residents Energy, LLC 3 0 4.7 0.0 0.0	•••										
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Roosevelt Island Associates010.00.0374.5											
SBR Energy, LLC 1 0 0.0 0.0 0.0											
Sea Park West Lp 0 0 0.0 532.0 0.0	Sea Park West Lp	0	0		0.0		532.0		0.0		

				Complaint		E. Complaint		Exhibit 11 <i>Avg. Age</i>	31 Part 4	
	Initial	Escalated	CSM	Response	CRM	Response	ERM	of Cases	РСМ	
Service Provider	Complaints	Complaints	Index	Time	Index	Time	Index	Pending	Index	CSRI
SJ Energy Partners	0	0		0.0		0.0		0.0		
Smart One Energy, LLC	4	2		43.2		17.9		24.7		
South Bay Energy Corp.	0	0		4.0		0.0		0.0		
Spark Energy, L.P.	5	0		6.4		0.1		5.0		
Starion Energy NY, Inc.	2	0		13.5		0.0		0.0		
Taconic Telephone Corp.	0	0		0.0		33.7		0.0		
The Chaffee Water Works Company	0	0		0.0		0.0		26.0		
Time Warner Cable Information Servic	5	1		10.8		11.9		9.0		
Titan Gas, LLC	0	0		0.0		89.0		0.0		
Towers on the Park	0	0		0.0		0.0		488.0		
Trident Retail Energy, LLC dba Trident	2	0		5.5		0.0		0.0		
Trinsic Dba Matrix Telecom, Inc Dba E	0	1		22.0		8.1		0.0		
Tristate Bell Inc	1	1		7.0		0.0		26.0		
U.S. Gas & Electric, Inc.	6	3		8.4		4.0		3.5		
United Metro Energy Services Corp	0	0		0.0		0.0		205.0		
United Water Westchester Inc.	7	1		0.6		0.8		0.0		
United Water-New York	4	1		12.1		15.1		54.0		
Utility Expense Reduction LLC	1	0		3.0		0.0		0.0		
Verde Energy USA New York, LLC	2	0		5.0		0.0		0.0		
Village of Arcade	0	1		13.0		0.2		0.0		
Village of Endicott	1	1		14.0		4.2		6.0		
Village of Freeport Electric	1	1		49.0		0.7		5.0		
Viridian Energy Ny, Llc	5	2		15.4		2.2		5.0		
Windstream Communications, Inc.	2	2		47.8		6.0		2.5		
XChange Telecom	7	3		1.2		0.1		11.0		
XOOM Energy New York, LLC	3	0		16.0		0.0		8.3		

2016 Credit Adjustments Received For Consumers

As a result of our investigation into consumers' complaints, when staff determines that a consumer was overbilled, the utility is directed to refund to the consumer, any monies it collected above and beyond what was allowed by tariffs, rules and regulations. The chart below identifies the credits obtained on behalf of consumers.

	Total	Consumers
Jan-16	\$191,889.11	103
Feb-16	\$194,994.20	113
Mar-16	\$710,252.88	123
Apr-16	\$208,820.40	116
May-16		
Jun-16		
Jul-16		
Aug-16		
Sep-16		
Oct-16		
Nov-16		
Dec-16		

2016 Total \$1,305,956.59 4

455

Number of Initial Complaints Received Against ESCO's

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Code	Company Name	2016	2015	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15	May-15	Apr-15
6898AB	ABC Energy LLC	4	3	2	0	1	1	2	1	0	0	0	0	0	0	0
5567AB	ABN Energy, LLC DBA GreatEnergy	0	3	0	0	0	0	0	0	0	1	0	0	1	0	0
D128	Accent Energy Midwest, LLC	0	6	0	0	0	0	0	2	2	1	0	0	1	0	0
D249	Affordable Power, L.P.	0	1	0	0	0	0	0	0	1	0	0	0	0	0	0
8281AG	Agera Energy	1	3	0	1	0	0	0	1	0	0	1	0	0	0	0
5020AG	Aggressive Energy, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D001	Agway Energy Services, LLC.	17	. 64	3	6	4	4	4	5	2	0	4	6	4	5	7
5985AL	Alpha Gas And Electric, Llc	9	59	3	1	3	2	4	2	2	3	4	9	4	6	7
D230	Ambit Energy	503	1346	19	52	113	319	209	36	45	51	46	114	. 114	287	155
5411AM	American Power & Gas, LLC	50	319	1	18	18	13	8	14	25	11	23	22	27	22	40
D240	Ameristar Energy, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
6729AM	Amplified Power & Gas, LLC	0	6	0	0	0	0	0	0	0	0	0	0	0	2	0
6023AP	Ap Gas & Electric (ny), Llc	8	19	3	0	2	3	1	1	5	1	0	1	1	2	2
5465AS	ASC Energy Services, Inc.	6	2	1	0	5	0	0	1	1	0	0	0	0	0	0
6818AS	Astral Energy LLC	42	117	2	9	9	22	13	23	19	25	11	6	11	2	0
6481AT	Atlantic Energy, LLC	9	35	1	1	1	6	4	8	5	5	5	0	3	0	2
7844AT	Atlantic Power & Gas LLC	10	52	1	5	2	2	1	3	4	1	0	1	2	1	8
D222	BluCo Energy, LLC	10	18	0	0	1	0	1	1	0	3	0	4	0	3	2
D222 D217	BlueRock Energy, Inc.	0	18	0	0	0	0	0	2	0	1	7	4	0	1	2
5008BO	Bounce Energy NY, LLC		8	0	0	0	0	0	2	0	0	0	0	0	2	0
		0		2	-	0	2	1	0	-	2	1	1	1	2	0
D113		7	19	2	3	0	2	0	-	4		1	0			-
5246BU	BUY ENERGY DIRECT, LLC	1	8	2	1	-	-	1	0	0	1	1	0	0	2	0
9156CE	CenStar Operating Company, LLC	5	4	2		0	2	0	1	0	1	0	0	0	-	0
6903CH	Champion Energy Services, LLC	0	1	-	0	0		-		-	0			0	0	0
D220	Chief Energy Gas, Llc.	2	3	0	0	2	0	1	0	0	0	0	0	0	1	0
5773CH	Chief Energy Power, Llc	5	5	0	2	2	1	0	0	0	0	1	0	1	0	1
5325CI	Citizens Choice Energy, LLC	3	6	0	0	2	1	0	0	0	1	0	0	0	0	0
5592CI	City Power & Gas, LLC	5	29	0	2	2	1	0	0	0	1	0	2	8	4	3
D238	Clearview Energy, Inc.	2	9	0	1	1	0	0	0	0	1	1	0	0	3	0
D231	Columbia Utilities Power, Llc (electric)	11	27	2	2	4	3	1	4	0	3	2	1	1	2	4
D040	Columbia Utilities Power, Llc (gas)	7	31	1	1	4	1	2	3	2	2	0	2	1	2	3
5065CO	Community Energy Services, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
D086	Con Edison Solutions	4	11	2	1	1	0	1	1	0	0	1	1	0	3	0
6771CO	Constellation Energy Gas Choice Inc.	7	8	0	4	1	2	1	0	3	1	1	2	0	0	0
	Constellation NewEnergy	6	21	1	1	1	3	0	3	0	0	1	2	2	2	4
D221	Constellation NewEnergy - Gas Divisio		9	0	0	0	0	0	2	0	0	0	0	2	1	1
8168DI	Direct Energy Business Marketing, LL	3	6	0	2	1	0	1	1	4	0	0	0	0	0	0
5308DI	Direct Energy Business, LLC	0	35	0	0	0	0	0	0	1	2	8	2	4	0	3
D176	Direct Energy Services LLC	157	206	21	42	42	52	49	40	32	21	6	14	7	6	6
D006	East Coast Energy, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D256	East Coast Power, Llc	2	5	0	1	1	0	0	1	0	0	0	0	0	1	1
6922EL	Eligo Energy NY, Llc	24	46	5	4	12	3	0	1	2	1	2	2	1	1	3
D047	Empire Natural Gas Corporation	0	1	0	0	0	0	0	0	0	1	0	0	0	0	0
D087	Energetix, Inc.	0	48	0	0	0	0	0	0	0	5	4	6	6	3	8
D183	Energy Cooperative of New York	0	2	0	0	0	0	0	0	0	0	0	0	0	0	1
6031EN	ENERGY DISCOUNTERS, LLC	0	1	0	0	0	0	0	0	0	0	1	0	0	0	0
D243	Energy Plus Holdings LLC	11	30	0	3	6	2	1	0	1	3	1	5	2	1	3
5568EN	Energy Plus Natural Gas LP	1	4	0	0	0	1	1	0	0	1	0	0	0	0	1

Number of Initial Complaints Received Against ESCO's

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Code	Company Name	2016	2015	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15	May-15	Apr-15
D137	Energy Service Providers, Inc.	0	1	0	0	0	0	0	1	0	0	0	0	0	0	0
5424EN	Energy Solutions Co. LLC	0	2	0	0	0	0	0	0	1	0	0	0	0	0	0
5182EN	EnergyMark, LLC	0	4	0	0	0	0	0	0	0	0	0	0	0	0	1
D201	Entergy Solutions Ltd.	0	1	0	0	0	0	0	0	0	0	0	0	1	0	0
8938EN	Entrust Energy East, Inc.	3	37	0	0	3	0	1	2	3	0	2	29	0	0	0
7005ET	Ethical Electric Benefit Co.	6	39	3	1	2	0	5	3	1	5	5	0	3	6	1
4920FA	Family Energy, Inc.	83	118	13	28	20	22	9	12	5	12	7	11	7	9	13
7383FR	Frontier Utilities Northeast LLC	33	19	0	3	10	20	10	1	2	3	1	0	0	0	0
6781FT	FTR Energy Services, LLC	0	10	0	0	0	0	0	0	0	3	1	2	0	1	0
6643GA	Galaxy Energy Llc	5	22	0	0	2	3	1	2	1	1	3	2	2	2	2
D046	Gateway Energy Services Corp.	0	83	0	0	0	0	0	0	2	8	7	6	11	4	13
4963GD	Gdf Suez Energy Resources Na, Inc.	0	1	0	0	0	0	0	0	0	1	0	0	0	0	0
6424GD	GDF Suez Retail Energy Solutions, LL	0	3	0	0	0	0	0	1	1	0	0	0	0	1	0
5349GL	Glacial Natural Gas, Inc.	0	3	0	0	0	0	0	0	0	1	0	0	0	0	0
6009GL	Global Energy, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
D104	Great Eastern Energy	4	10	1	2	1	0	1	1	0	0	2	0	0	4	0
				2	6	2	5	2	0	2	7	2	2	2	4	4
D127	Green Mountain Energy	15	33		-	-			-				_	_		
4877GR	Greenlight Energy Inc.	15	25	1	3	7	4	1	3	1	3	0	2	2	1	6
D254	High Rise Energy Group, LLC	1	7	0	0	0	1	0	0	3	0	0	1	1	1	0
5302PR	Hiko Energy, Llc	5	20	3	0	2	0	0	0	2	1	2	1	4	1	5
D120	Hudson Energy Services, Llc	8	23	3	3	1	1	3	3	2	3	0	0	1	3	4
D177	IDT Energy, Inc.	28	168	6	9	9	4	10	13	13	14	15	11	10	14	15
D188	IGS Energy	0	6	0	0	0	0	0	0	0	0	1	1	0	0	0
D167	Infinite Energy, Inc.	1	3	0	0	1	0	0	0	0	0	0	1	0	0	0
6647IR	Iron Energy LLC	0	5	0	0	0	0	1	0	0	0	0	0	0	0	0
7041JO	JOSCO Energy Corp	31	110	12	6	9	4	8	6	4	12	13	10	15	6	14
D213	Just Energy New York Corp	16	93	5	5	3	3	7	3	5	4	2	5	9	12	15
D015	Keyspan Energy Services, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
6646KI	Kiwi Energy Inc.	22	143	1	6	8	7	8	1	13	16	16	12	18	11	15
5520LE	Lexington Power & Light, LLC	1	0	0	0	0	1	0	0	0	0	0	0	0	0	0
D117	Liberty Power Corp.	14	52	4	6	3	1	2	4	4	2	3	1	7	2	3
2066	Long Island Power Authority	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D147	M&R ENERGY RESOURCES CORPO	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D214	Major Energy Services LLC	31	109	11	11	5	4	2	5	8	8	5	3	7	9	18
6007MA	Marathon Energy Corporation	18	21	4	5	6	3	2	1	3	1	1	4	0	1	2
D267	Mpower Energy LLC	34	113	9	8	9	8	20	6	12	14	3	7	7	3	8
6807MY	MyGrid Energy, Inc.	0	9	0	0	0	0	0	0	0	0	6	2	1	0	0
D020	Natgasco, Inc A Mitchell-Supreme C	1	2	0	1	0	0	0	1	0	0	0	0	0	1	0
5436NE	New Wave Energy Corp.	3	2	1	1	1	0	0	0	0	0	0	0	0	0	1
7379NE	NextEnergy Services LLC	1	0	0	1	0	0	0	0	0	0	0	0	0	0	0
4987NE	NextEra Energy Resources, LLC	2	7	0	1	0	1	1	0	1	0	2	0	0	0	1
D148	Noco Natural Gas, Llc	0	2	0	0	0	0	0	0	0	0	0	1	0	0	0
5787NO	North American Power & Gas LLC	13	140	3	6	3	1	6	0	3	2	6	3	3	8	12
6976NO	North Eastern States, Inc.	0	19	0	0	0	0	0	0	0	0	0	0	0	4	2
5479NO	North Energy LLC	0	5	0	0	0	0	1	1	1	1	0	0	0	0	0
D239	NorthEastern Energy Corporation	0	1	0	0	0	0	0	0	0	0	1	0	0	0	0
D239 D103	NYSEG Solutions, Inc.	0	138	0	0	0	0	0	0	1	10	9	9	12	10	27
4921OA	Oasis Power LLC, d/b/a Oasis Energy	4	130	0		3	0	1	1	2	10	9	9	0	0	27

Number of Initial Complaints Received Against ESCO's

		<u></u>							34							
Code	Company Name	2016	2015	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15	May-15	Apr-15
6645PA	Pay Less Energy, LLC	1	4	0	0	0	1	1	0	0	0	1	0	0	2	0
6024PE	People's Power & Gas, Llc	0	1	0	0	0	0	0	0	0	0	0	1	0	0	0
6893PE	Perigee Energy, LLC	3	2	2	0	0	1	0	1	0	0	1	0	0	0	0
5637PL	Planet Energy (NY) Corp.	0	5	0	0	0	0	0	0	0	0	0	1	0	0	0
D237	Platinum Plus Energy Resources, Inc.	0	1	0	0	0	0	0	0	0	0	0	1	0	0	0
D171	Plymouth Rock Energy LLC	5	36	0	4	1	0	1	1	4	6	2	1	6	4	4
7871PR	Premier Empire Energy LLC	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0
D263	Public Power Llc	6	54	0	0	4	2	4	3	4	8	5	4	4	2	3
6233RE	Reliant Energy Northeast LLC	7	6	1	3	3	0	2	0	0	0	1	0	1	0	0
6616RE	Renaissance Power & Gas, Inc.	4	13	1	0	0	3	4	0	2	0	2	1	2	0	2
6574RE	Residents Energy, LLC	15	51	3	4	4	4	5	5	5	6	5	7	2	2	5
D093	Robison Energy Of Westchester	0	5	0	0	0	0	1	0	1	1	0	1	0	0	0
5370SB	SBR Energy, LLC	1	5	1	0	0	0	0	0	0	0	0	0	0	1	0
5481SJ	SJ Energy Partners	1	3	0	0	1	0	0	0	0	1	0	0	0	1	1
4976SM	Smart One Energy, LLC	21	38	4	7	6	4	4	4	3	4	4	1	4	2	0
6216SO	South Bay Energy Corp.	3	11	0	3	0	0	0	0	2	0	1	1	0	1	2
5577SO	SouthStar Energy Services LLC	1	1	0	1	0	0	0	0	0	0	0	0	0	0	0
D186	Spark Energy, L.P.	36	154	5	11	7	13	8	9	19	5	17	25	25	14	10
7397SP	Sperian Energy Corp.	0	5	0	0	0	0	0	0	0	0	1	0	0	1	1
5463ST	Starion Energy NY, Inc.	9	25	2	2	2	3	3	0	1	2	1	1	1	1	5
6809ST	Stream Energy New York LLC.	1	9	0	0	1	0	1	0	0	0	1	1	2	0	0
D223	Titan Gas, LLC	0	6	0	0	0	0	0	0	1	1	0	2	0	0	0
9014TR	Trident Retail Energy, LLC DBA Trider	4	0	2	0	2	0	0	0	0	0	0	0	0	0	0
D119	U.S. Gas & Electric, Inc.	30	52	6	10	7	7	3	6	2	2	2	3	11	4	3
D500	Unidentified ESCO	2	0	1	0	0	1	0	0	0	0	0	0	0	0	0
6008UN	United Energy Supply Corporation	3	11	0	0	3	0	2	1	0	0	1	2	1	1	0
7346UN	United Metro Energy Services Corp.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	1
5461UT	Utility Expense Reduction LLC	22	51	1	7	10	4	8	6	11	4	8	0	2	3	2
6894VE	Verde Energy USA New York, LLC	13	72	2	3	4	4	4	2	2	10	3	4	6	7	4
5391VI	Viridian Energy NY, LLC	23	69	5	7	7	4	7	4	2	6	3	3	9	5	5
6668XO	XOOM Energy New York, LLC	12	72	3	3	4	2	2	4	5	8	4	2	6	7	8
	Total	1545	5044	194	342	417	592	469	275	321	347	314	391	409	540	505

ESCO's with no complaints on file since January 2015 are not listed on this report.

Number of Escalated Complaints Received Against ESCO's

5567AB A D128 A D001 A 5985AL A D230 A	Company Name ABC Energy LLC	2016	2015	Apr-16	Mar-16	-16	-16	-15	-15	15	15	15	5	15	15	10
5567AB A D128 A D001 A 5985AL A D230 A	ABC Energy LLC		Ä	Apr	Mar	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15	May-15	Apr-15
5567AB A D128 A D001 A 5985AL A D230 A		2	0	0	0	1	1	0	0	0	0	0	0	0	0	0
D128 A D001 A 5985AL A D230 A	ABN Energy, LLC DBA GreatEnergy	0	1	0	0	0	0	0	0	0	1	0	0	0	0	0
D001 A 5985AL A D230 A	Accent Energy Midwest, LLC	1	1	0	0	0	1	1	0	0	0	0	0	0	0	0
5985AL A D230 A	Agway Energy Services, LLC.	6	17	1	2	1	2	0	1	1	0	0	1	1	2	2
D230 A	Alpha Gas And Electric, Llc	2	15	0	1	1	0	2	1	2	0	1	2	0	0	2
	Ambit Energy	227	398	11	36	105	75	18	17	16	23	36	44	44	55	28
5411AM A	American Power & Gas, LLC	5	26	2	0	3	0	1	1	2	1	1	0	0	3	1
	Amplified Power & Gas, LLC	0	1	0	0	0	0	0	0	0	0	0	0	1	0	0
	Ap Gas & Electric (ny), Llc	2	9	0	1	1	0	1	1	3	0	0	1	0	1	0
	ASC Energy Services, Inc.	1	0	0	1	0	0	0	0	0	0	0	0	0	0	0
	Astral Energy LLC	6	20	0	1	3	2	6	5	2	0	2	1	0	0	0
	Atlantic Energy, LLC	0	10	0	0	0	0	1	3	1	1	0	2	0	0	2
	Atlantic Power & Gas LLC	1	17	0	0	0	1	1	0		0	1	1	1	0	4
	BluCo Energy, LLC	0	3	0	0	0	0	0	0	0	1	0	1	0	0	0
	Bounce Energy NY, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	1	0
	Brown's Fuel	2	4	0	0	1	1	0	1	1	0	0	0	0	0	1
	BUY ENERGY DIRECT, LLC	1	5	1	0	0	0	0	0	0	0	1	0	0	2	0
	CenStar Operating Company, LLC	1	2	0	0	0	1	0	0	1	0	1	0	0	0	0
	Champion Energy Services, LLC	0	1	0	0	0	0	1	0	0	0	0	0	0	0	0
	Chief Energy Power, Llc	1	1	0	0	0	1	0	0	0	0	0	0	1	0	0
	City Power & Gas, LLC	0	10	0	0	0	0	0	0	0	0	1	0	3	0	1
	Clearview Energy, Inc.	0	1	0	0	0	0	0	0	0	1	0	0	0	0	0
	Columbia Utilities Power, Llc (electric)	4	4	0	0	2	2	0	0	0	1	2	0	0	0	0
	Columbia Utilities Power, Llc (gas)	2	6	0	1	0	1	0	0	0	0	0	0	0	1	1
	Community Energy Services, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	1
	Con Edison Solutions	0	2	0	0	0	0	0	1	0	0	0	0	0	0	0
	Constellation NewEnergy	0	4	0	0	0	0	0	0	0	0	0	0	0	0	2
	Constellation NewEnergy - Gas Divisio	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
	Direct Energy Business Marketing, LL	1	2	0	0	0	1	0	1	1	0	0	0	0	0	0
	Direct Energy Business, LLC	0	3	0	0	0	0	0	0	0	0	1	0	0	0	0
	Direct Energy Services LLC	49	37	5	15	13	16	9	7	8	5	1	3	1	0	0
	East Coast Power, Llc	1	1	0	0	1	0	0	0	0	0	0	0	0	0	1
	Eligo Energy NY, Llc	3	10	0	2	1	0	0	0	0	1	0	0	0	1	2
	Energetix, Inc.	0	16	0	0	0	0	0	1	0	1	2	2	0	3	2
	Energy Cooperative of New York	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1
	ENERGY DISCOUNTERS, LLC	0	1	0	0	0	0	0	0	0	1	0	0	0	0	0
	Energy Plus Holdings LLC	1	6	0	0	1	0	0	0	0	1	1	0	0	1	2
	Energy Solutions Co. LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
	EnergyMark, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
	Entrust Energy East, Inc.	0	6	0	0	0	0	1	0	1	0	0	4	0	0	0
	Ethical Electric Benefit Co.	0	5	0	0	0	0	2	0	0	1	1	-	0	0	0
	Family Energy, Inc.	12	18	3	1	4	4	1	1	1	1	0	1	1	3	1
	Frontier Utilities Northeast LLC	9	4	0	2	1	6	0	0	2	0	0	0	0	0	0
	TR Energy Services, LLC	0	5	0	0	0	0	0	0	1	0	0	1	0	0	0
	Galaxy Energy Llc	0	4	0	0	0	0	0	0	0	1	0	0	0	0	3
	Gateway Energy Services Corp.	0	29	0	0	0	0	0	0	1	1	1	6	1	3	6
	Green Mountain Energy	3	3	0	2	0	1	0	0	2	0	0	0	0	0	0
	Greenlight Energy Inc.	1	4	0	2	0	0	1	1	2	0	0	0	0	0	0

Number of Escalated Complaints Received Against ESCO's

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Code	Company Name	2016	2015	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15	May-15	Apr-15
D254	High Rise Energy Group, LLC	1	4	0	0	1	0	0	0	3	0	0	0	0	1	0
5302PR	Hiko Energy, Llc	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D120	Hudson Energy Services, Llc	3	3	2	0	1	0	1	0	0	0	0	0	0	0	1
D177	IDT Energy, Inc.	5	5	2	3	0	0	1	0	0	1	0	0	0	0	2
7041JO	JOSCO Energy Corp	1	16	0	0	1	0	0	0	1	2	2	2	2	1	2
D213	Just Energy New York Corp	2	18	0	1	0	1	1	1	0	0	1	1	2	2	2
6646KI	Kiwi Energy Inc.	1	8	1	0	0	0	0	0	1	0	1	3	0	1	0
D117	Liberty Power Corp.	2	13	0	1	0	1	1	0	0	0	0	3	1	1	0
D214	Major Energy Services LLC	1	16	1	0	0	0	0	1	1	2	0	0	2	1	3
6007MA	Marathon Energy Corporation	6	2	0	3	1	2	0	0	0	0	0	0	1	0	0
D267	Mpower Energy LLC	3	12	0	1	2	0	1	1	2	0	1	1	1	0	1
6807MY	MyGrid Energy, Inc.	0	4	0	0	0	0	0	0	0	1	2	1	0	0	0
4987NE	NextEra Energy Resources, LLC	1	2	0	0	1	0	0	0	0	0	0	0	0	1	0
5787NO	North American Power & Gas LLC	11	55	7	0	2	2	0	1	0	1	1	0	2	5	6
6976NO	North Eastern States, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
5479NO	North Energy LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1
D239	NorthEastern Energy Corporation	0	1	0	0	0	0	0	1	0	0	0	0	0	0	0
D103	NYSEG Solutions, Inc.	0	45	0	0	0	0	0	0	0	3	0	5	8	5	11
4921OA	Oasis Power LLC, d/b/a Oasis Energy	1	3	0	0	0	1	0	0	0	0	0	0	0	1	0
6645PA	Pay Less Energy, LLC	0	2	0	0	0	0	0	0	0	0	1	0	0	1	0
6893PE	Perigee Energy, LLC	0	1	0	0	0	0	0	1	0	0	0	0	0	0	0
D237	Platinum Plus Energy Resources, Inc.	0	1	0	0	0	0	0	0	0	0	1	0	0	0	0
D171	Plymouth Rock Energy LLC	0	7	0	0	0	0	0	1	1	1	1	1	1	0	1
D263	Public Power Llc	3	15	1	1	1	0	2	1	1	1	0	0	0	1	2
6233RE	Reliant Energy Northeast LLC	1	1	0	1	0	0	0	0	0	0	0	0	1	0	0
6616RE	Renaissance Power & Gas, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	1	0	1
6574RE	Residents Energy, LLC	0	2	0	0	0	0	0	1	0	0	0	0	0	1	0
D093	Robison Energy Of Westchester	0	2	0	0	0	0	0	1	0	1	0	0	0	0	0
5370SB	SBR Energy, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
5481SJ	SJ Energy Partners	1	0	0	1	0	0	0	0	0	0	0	0	0	0	0
4976SM	Smart One Energy, LLC	5	7	2	1	1	1	0	0	1	1	0	0	1	0	0
6216SO	South Bay Energy Corp.	0	1	0	0	0	0	0	0	0	0	1	0	0	0	0
D186	Spark Energy, L.P.	8	19	0	5	2	1	1	0	2	2	3	1	2	4	2
	Sperian Energy Corp.	0	3	0	0	0	0	0	0	0	1	0	0	0	1	1
6809ST	Stream Energy New York LLC.	0	5	0	0	0	0	0	0	0	0	1	1	0	0	2
D223	Titan Gas, LLC	0	3	0	0	0	0	0	1	0	0	0	1	0	0	1
D119	U.S. Gas & Electric, Inc.	6	10	3	2	1	0	1	0	1	1	1	1	2	0	1
6008UN	United Energy Supply Corporation	0	2	0	0	0	0	1	0	0	0	0	1	0	0	0
7346UN	United Metro Energy Services Corp.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
5461UT	Utility Expense Reduction LLC	3	1	0	1	1	1	0	0	0	0	0	0	0	1	0
6894VE	Verde Energy USA New York, LLC	5	15	0	2	1	2	0	0	0	2	2	2	0	4	0
5391 VI	Viridian Energy NY, LLC	6	21	2	1	1	2	3	0	1	2	0	1	2	2	3
6668XO	XOOM Energy New York, LLC	1	22	0	0	1	0	1	2	3	2	0	2	2	1	0
	Total	421	1076	44	90	157	130	60	55	65	66	72	97	85	111	106

ESCO's with no complaints on file since January 2015 are not listed on this report.

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Code	Company Name	2016	2015	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15	May-15	Apr-15
6898AB	ABC Energy LLC	2	1	1	0	0	1	0	1	0	0	0	0	0	0	0
5567AB	ABN Energy, LLC DBA GreatEnergy	0	1	0	0	0	0	0	0	0	0	0	0	1	0	0
D128	Accent Energy Midwest, LLC	1	3	0	0	0	1	1	1	1	0	0	0	0	0	0
D249	Affordable Power, L.P.	1	0	0	1	0	0	0	0	0	0	0	0	0	0	0
8281AG	Agera Energy	0	2	0	0	0	0	0	0	0	0	1	0	0	0	0
D001	Agway Energy Services, LLC.	6	39	1	1	2	2	3	1	3	0	4	2	2	1	7
5985AL	Alpha Gas And Electric, Llc	4	26	0	2	2	0	2	2	1	1	3	4	2	2	2
D230	Ambit Energy	330	646	8	46	95	181	53	18	26	29	34	72	64	73	79
5411AM	American Power & Gas, LLC	26	156	0	7	10	9	2	6	9	2	8	10	16	12	22
6729AM	Amplified Power & Gas, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
6023AP	Ap Gas & Electric (ny), Llc	3	4	2	0	0	1	0	0	1	0	0	1	0	0	1
5465AS	ASC Energy Services, Inc.	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0
6818AS	Astral Energy LLC	8	27	0	2	0	6	2	4	5	3	1	1	6	3	0
6481AT	Atlantic Energy, LLC	2	8	0	0	0	2	1	2	2	2	0	0	0	0	0
7844AT	Atlantic Power & Gas LLC	2	9	0	1	0	1	0	0	0	0	0	0	2	0	2
D222	BluCo Energy, LLC	0	7	0	0	0	0	0	0	0	2	0	3	0	0	0
D217	BlueRock Energy, Inc.	0	2	0	0	0	0	0	0	0	0	2	0	0	0	0
5008BO	Bounce Energy NY, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	1	0
D113	Brown's Fuel	5	7	1	2	0	2	2	0	2	0	0	0	0	0	0
5246BU	BUY ENERGY DIRECT, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	2	0
9156CE	CenStar Operating Company, LLC	4	0	2	1	0	1	0	0	0	0	0	0	0	0	0
D220	Chief Energy Gas, Llc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
5773CH	Chief Energy Power, Llc	3	1	0	0	1	2	0	0	0	0	0	0	1	0	0
5325CI	Citizens Choice Energy, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
5592CI	City Power & Gas, LLC	4	14	0	1	2	1	0	0	0	1	0	1	1	3	2
D238	Clearview Energy, Inc.	0	3	0	0	0	0	0	0	0	0	0	0	0	2	0
D231	Columbia Utilities Power, Llc (electric)	6	13	0	1	4	1	1	2	0	2	2	1	0	2	0
D040	Columbia Utilities Power, Llc (gas)	2	11	0	1	1	0	1	1	1	0	0	1	0	0	0
5065CO	Community Energy Services, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
D086	Con Edison Solutions	0	8	0	0	0	0	0	0	0	0	1	0	0	2	0
6771CO	Constellation Energy Gas Choice Inc.	4	4	0	2	1	1	1	0	0	1	1	1	0	0	0
D084	Constellation NewEnergy	0	11	0	0	0	0	0	0	0	0	0	1	0	1	4
D221	Constellation NewEnergy - Gas Divisio		2	0	0	0	0	0	0	0	0	0	0	0	0	0
8168DI	Direct Energy Business Marketing, LL		0	0	2	0	0	0	0	0	0	0	0	0	0	0
5308DI	Direct Energy Business, LLC	0	18	0	0	0	0	0	0	0	1	1	0	4	0	3
D176	Direct Energy Services LLC	72	80	9	23	18	22	16	21	8	9	1	7	5	2	3
D256	East Coast Power, Llc	0	2	0	0	0	0	0	0	0	0	0	0	0	0	1
6922EL	Eligo Energy NY, Llc	7	28	2	0	5	0	0	1	1	0	0	0	1	1	2
7398EM	Empire Energy Services, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D047	Empire Natural Gas Corporation	0	1	0	0	0	0	0	0	0	1	0	0	0	0	0
D087	Energetix, Inc.	0	18	0	0	0	0	0	0	0	2	3	0	0	2	5
D243	Energy Plus Holdings LLC	6	12	0	2	4	0	0	0	1	1	1	2	1	0	2
5568EN	Energy Plus Natural Gas LP	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1
D137	Energy Service Providers, Inc.	0	1	0	0	0	0	0	1	0	0	0	0	0	0	0
5424EN	Energy Solutions Co. LLC	0	1	0	0	0	0	0	0	1	0	0	0	0	0	0
5182EN	EnergyMark, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
D201	Entergy Solutions Ltd.	0	1	0	0	0	0	0	0	0	0	0	0	1	0	0
8938EN	Entrust Energy East, Inc.	1	15	0	0	1	0	0	0	0	0	0	15	0	0	0

		37														
Code	Company Name	2016	2015	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15	May-15	Apr-15
7005ET	Ethical Electric Benefit Co.	3	27	3	2	0	0	4	2	1	2	≺ 3	0	3	2	< 0
	Family Energy, Inc.	41	62	9	9	14	9	1	6	1	5	6	8	3	4	4
	Frontier Utilities Northeast LLC	11	2	0	3	3	5	0	0	0	0	0	0	0	0	0
	FTR Energy Services, LLC	0	7	0	0	0	0	0	0	0	1	1	2	0	0	0
6643GA	Galaxy Energy Llc	3	10	0	0	2	1	1	0	1	0	3	0	1	1	1
D046	Gateway Energy Services Corp.	0	30	0	0	0	0	0	0	1	1	2	3	4	2	4
6424GD	GDF Suez Retail Energy Solutions, LL	0	1	0	0	0	0	0	1	0	0	0	0	0	0	0
5349GL	Glacial Natural Gas, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D104	Great Eastern Energy	1	4	1	0	0	0	1	1	0	0	1	0	0	0	0
D104	Green Mountain Energy	8	15	0	3	2	3	1	0	1	3	1	0	0	1	3
4877GR	Greenlight Energy Inc.	12	16	0	5	5	2	1	0	1	3	0	1	3	2	3
4077GR	High Rise Energy Group, LLC	2	10	0	0	1	1	0	0	0	0	0	0	0	0	0
	Hiko Energy, Llc	3	6	1	0	2	0	0	0	2	0	0	0	0	0	2
D120	Hudson Energy Services, Llc	6	4	4	2	2	0	1	0	<u> </u>	1	0	0	0	1	2
		15		4 5	2	-	1	6	-				6	-	9	5
D177 D188	IDT Energy, Inc.		77	5 0	3 0	6	0	-	4	9 0	4	8 1	0	4	9	-
	IGS Energy JOSCO Energy Corp	0	3	5	3	0	2	0 4	0	0	6	4	5	0	3	0 5
7041JO	0, 1	17	38	5 1	3		2	4	3	-	0 1	4	-	-	3	5 7
D213	Just Energy New York Corp	7	29	-	-	1		-	0	1	-		2	1		
	Kiwi Energy Inc.	7	82	1	1	3	2	4	1	7	8	11	5	8	4	13
D117	Liberty Power Corp.	8	22	3	3	1	1	2	2	2	0	1	1	2	0	0
D214	Major Energy Services LLC	8	33	4	2	1	1	1	0	1	0	1	0	1	3	6
	Marathon Energy Corporation	3	2	1	2	0	0	0	0	0	1	0	0	0	0	0
D267	Mpower Energy LLC	17	70	3	4	5	5	10	2	7	6	5	2	7	1	6
	New Wave Energy Corp.	1	0	0	1	0	0	0	0	0	0	0	0	0	0	0
	NextEra Energy Resources, LLC	2	3	0	0	1	1	0	0	1	0	0	0	0	1	0
	North American Power & Gas LLC	9	85	3	2	4	0	2	0	0	1	4	2	2	2	5
	North Eastern States, Inc.	0	8	0	0	0	0	0	0	0	0	0	0	0	0	1
	North Energy LLC	0	1	0	0	0	0	1	0	0	0	0	0	0	0	0
	NYSEG Solutions, Inc.	0	66	0	0	0	0	0	0	0	8	5	7	4	3	11
	Oasis Power LLC, d/b/a Oasis Energy	2	3	0	1	1	0	0	0	1	0	0	0	0	0	0
	Pay Less Energy, LLC	0	1	0	0	0	0	1	0	0	0	0	0	0	0	0
	People's Power & Gas, Llc	0	1	0	0	0	0	0	0	0	0	0	1	0	0	0
6893PE	Perigee Energy, LLC	2	1	1	0	0	1	0	0	0	0	1	0	0	0	0
5637PL	Planet Energy (NY) Corp.	0	5	0	0	0	0	0	0	0	0	0	1	0	0	0
	Plymouth Rock Energy LLC	1	16	0	1	0	0	0	0	2	3	1	0	4	2	1
D263	Public Power Llc	4	18	0	2	1	1	1	0	2	0	2	1	0	0	1
6233RE	Reliant Energy Northeast LLC	3	3	0	1	2	0	1	0	0	0	0	0	0	0	0
6616RE	Renaissance Power & Gas, Inc.	3	5	1	0	0	2	0	0	2	0	0	1	0	0	2
6574RE	Residents Energy, LLC	4	22	0	2	0	2	2	2	2	1	3	3	1	1	1
5370SB	SBR Energy, LLC	0	4	0	0	0	0	0	0	0	0	0	0	0	0	0
4976SM	Smart One Energy, LLC	7	18	0	2	3	2	3	1	0	1	5	1	2	1	0
6216SO	South Bay Energy Corp.	3	3	1	2	0	0	0	0	0	0	0	0	1	0	1
D186	Spark Energy, L.P.	13	53	1	4	3	5	4	2	4	3	5	9	10	2	4
7397SP	Sperian Energy Corp.	0	6	0	0	0	0	0	0	0	0	0	0	0	2	2
5463ST	Starion Energy NY, Inc.	5	11	2	1	1	1	0	0	1	2	0	0	0	0	3
6809ST	Stream Energy New York LLC.	0	5	0	0	0	0	0	0	0	0	2	2	1	0	0
D223	Titan Gas, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
9014TR	Trident Retail Energy, LLC DBA Trider	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0

Code	Company Name	2016	2015	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15	May-15	Apr-15
D119	U.S. Gas & Electric, Inc.	8	30	5	1	0	2	0	2	1	1	1	2	7	2	2
D500	Unidentified ESCO	41	130	7	6	14	14	11	3	10	15	21	18	14	15	7
6008UN	United Energy Supply Corporation	1	6	0	0	1	0	1	1	0	0	0	2	0	0	0
7346UN	United Metro Energy Services Corp.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1
5461UT	Utility Expense Reduction LLC	11	22	0	2	6	3	2	0	4	2	4	0	3	2	1
6894VE	Verde Energy USA New York, LLC	7	30	1	0	4	2	0	0	1	4	3	1	2	1	2
5391VI	Viridian Energy NY, LLC	12	30	3	2	2	5	1	0	0	2	1	4	4	4	3
6668XO	XOOM Energy New York, LLC	3	21	0	1	1	1	1	1	2	2	1	1	2	1	1
	Total	817	2348	93	169	244	311	156	95	131	144	172	213	204	189	244

Deceptive marketing complaints are taken from customers who report situations where an energy service company or energy marketer solicits the customer's home or business in a manner which the customer believes is misleading or the customer was presented with information which the customer believes is untrue.



May 2016

Office of Consumer Services Monthly Report on Consumer Complaint Activity

Audrey Zibelman Chief Executive Officer

Michael Corso Chief Consumer Advocate, Office of Consumer Services

Published June 29, 2016



Monthly Report on Consumer Complaint Activity

May 2016

Table of Contents

Director's Message	. 3
If You Have a Complaint About Your Utility Service	. 4
How Utility Complaints are Measured	. 5
Complaint Activity of New York's Major Utilities	. 7
Customer Service Response Index	. 8
Credits Obtained for Consumers	13
Number of Initial Complaints Received Against ESCO's	14
Number of Escalated Complaints Received Against ESCO's	17
Number of Marketing Complaints Received Against ESCO's	19



June 29, 2016

Dear Readers:

The Office of Consumer Services closely monitors the number and types of complaints received against all utilities operating in New York State. We strive to ensure that utilities fulfill their obligation to provide effective customer service in compliance with the laws, rules, regulations and policies we enforce.

Each month, this report provides an overview of complaint activity and utility responsiveness during the preceding month which is informative to both consumers and utility companies. Specific details regarding the way we measure the companies' activities are described in the section How Utility Complaint Data Is Reported.

The table titled Complaint Activity of New York's Major Utilities reports on the volume of complaints received against the largest utilities in each industry while the table titled Customer Service Response Index reports on the level of customer service and responsiveness delivered by each service provider.

The chart, Credit Adjustments Received for Consumers, reflects the amount of refunds or credits customers received as a result of our investigations. The Office of Consumer Services also monitors complaints against the competitive energy service companies (ESCO's) operating in New York. These complaints are reported in two tables; Number of Initial Complaints Received Against ESCO's and Number of Escalated Complaints Received Against ESCO's.

I hope this report is helpful in providing you with a summary of utility complaint activity. If you have any questions, please e-mail John.Auricchio@dps.ny.gov

Sincerely,

Michael lad

Michael Corso Director Office of Consumer Services



If You Have a Complaint About Your Utility Service

If you're having difficulty resolving a dispute with your regulated energy, telephone, cable television or water company, Department of Public Service staff is available to assist you.

The Office of Consumer Services takes all utility consumer complaints seriously. You can contact us toll-free by telephone, in writing or via the Internet. When you contact our office with a complaint about a utility or other service provider, we take immediate steps to address your concerns.

After we complete entering the details of your complaint we send your complaint to the utility by e-mail or fax. In an effort to ensure that utilities fulfill their obligation to provide effective customer service, we will first ask your utility to contact you and resolve your concern. If your complaint is related to the provision of service, your utility should contact you within two business hours. If your complaint is related to billing or another matter, the utility should contact you by the close of the following business day.

If the utility does not contact you with its initial acknowledgement, does not provide its response to you within two weeks or the matter remains unresolved after you have received a response, you can contact us. We will then further investigate the matter and notify you in writing or by telephone of the decision and the reasons for the decision.

If you believe the initial decision is wrong, you can request an informal hearing. This request may be in writing and made within 15 days of the initial decision. You may be asked to submit certain documents to support your position. If you and the utility are unable to settle the complaint, the hearing officer will make a decision on your complaint and notify you in writing of the decision.

If you believe that the informal hearing officer's decision was wrong, you can appeal it within 15 days of the decision. Your written appeal must contend that there was an error by the hearing officer or reviewer that affected the decision or that evidence not previously available would affect the decision. All appeals, except those involving PSEG-LI, will be decided by the Public Service Commission. PSEG-LI appeals will be decided by Long Island Power Authority (LIPA).

If you have a complaint about your utility service you may contact us thru one of the following avenues:

By Telephone Via the Internet	Monday thru Friday 8:30am – 4:00pm 24 hours a day	800-342-3377 www.dps.ny.gov
In Writing	Please be sure to include as much detail as possible, including your account number, service address, telephone number and the specifics of your complaint.	NYS Dept. of Public Service Office of Consumer Services Three Empire State Plaza Albany, NY 12223-1350



How Utility Complaints Are Measured

The Office of Consumer Services reports complaint data by volume and by responsiveness. A complaint rate is used to compare small utilities to large utilities. A response index is used to measure how well utilities address consumer complaints we forwarded to them.

The Office of Consumer Services closely monitors the number and types of complaints received against all utilities operating in New York State. We expect utility companies to be highly responsive to their customers' needs, especially when the customer feels that it is necessary to seek the assistance of the Department of Public Service staff. Each month, this report provides an overview of complaint activity and utility responsiveness which we believe is informative to both consumers and utility companies.

The table titled **Complaint Activity of New York's Major Utilities** reports on the volume of complaints received against the largest utilities in each industry. These utility companies vary in size from just over 10,000 customers to several million customers. Therefore, in order to compare complaint volumes among companies, a complaint rate per 100,000 customers is displayed. This allows the reader to compare the complaints of a large company to that of a small company.

There are two measures of complaints which are reported each month. At first all complaints are recorded and forwarded to the utility for resolution directly with the customer. These are noted as <u>initial complaints</u> (QRS) in the table titled **Complaint Activity of New York's Major Utilities**. If the customer informs us that the utility failed to satisfy their complaint the matter is escalated for further handling and investigation by staff and is noted as <u>escalated complaints</u> (SRS). Both numbers are converted into a complaint rate which allows the reader to compare performance regardless of the size of a company's customer base. The <u>escalation rate</u> is a measure of how successful a utility is in satisfying their customer upon receipt of an initial complaint made through the Office of Consumer Services. The <u>12 month complaint rate</u> is often used as one of several customer service delivered by an individual utility. This rate represents the average number of escalated complaints received per month per 100,000 customer accounts.

The table titled **Customer Service Response Index** (CSRI) reports on the level of customer service and responsiveness delivered by each service provider. The Customer Service Response Index is determined by measuring four metrics. Complete CSRI data is posted on the first page of the report for those service providers that average ten or more initial complaints per month. For all other service providers, the performance in each area is reported on subsequent pages of the table, less the actual index measures because the index measures for companies with fewer than ten initial complaints have been found to show significant fluctuations on a month to month basis. These fluctuations may result in the reader reaching an inaccurate conclusion as to a service provider's performance. If a company is not listed in a particular monthly report it is because there was no activity for the company in the reporting month.

The **Index** is determined by measuring four metrics:

<u>The Consumer Satisfaction Metric (CSM)</u> is a ratio of the number of <u>initial complaints</u> to the number of <u>escalated complaints</u> in the reporting month. A score of 5 points is awarded when a service provider receives no escalated complaints during the reporting month. There is no score awarded if a service provider satisfies less than 50% of the customers that the Department refers to them.

<u>The Complaint Response Time Metric (CRM)</u> is the average number of days it took the service provider to respond to <u>initial complaints</u> closed in the reporting month. A score of 2 points is awarded when a provider's average response time for <u>initial complaints</u> is 14 days or less. No points are earned if the average response time for <u>initial complaints</u> is more than 28 days (twice the acceptable reply standard).

<u>The Escalated Complaint Response Time Metric (ERM)</u> is the average number of days it took the service provider to respond to <u>escalated complaints</u> closed in the reporting month. A score of 2 points is awarded when a service provider's average response time for <u>escalated complaints</u> is 10 days or less. No points are earned if the average response time for <u>escalated complaints</u> is more than 25 days (two weeks past due).

<u>The Pending Case Metric (PCM)</u> is the average age of all cases awaiting response, determined on the last day of the reporting month. A score of 1 point is awarded when a service providers' average age of all cases is 14 days or less. No points are earned if the average age of all cases exceeds 70 days (two months delinquent). A negative score is applied if the average age of all cases is over 70 days.

Complaint Activity of New York's Major Utilities May 2016

Utility Companies	Initial Cor		Escalated (•	Escalation	12 Month Escalated
otinty companies	No.	Rate*	No.	(0)	Rate	Complaint Rate
Central Hudson Gas & Electric Corp.	36	11.7	2	0.7	6%	0.3
Con Edison of New York	357	10.0	60	1.7	17%	1.5
PSEG Long Island	63	5.6	1	0.1	2%	0.6
National Grid - L I	42	7.2	5	0.9	12%	0.9
New York State Electric & Gas Corp.	100	10.6	8	0.8	8%	0.6
National Grid-Upstate	306	17.9	12	0.7	4%	0.7
Orange & Rockland	24	10.4	0	0.0	0%	0.1
Rochester Gas & Electric Corp.	78	18.9	3	0.7	4%	0.7
National Grid-Metro NY	124	10.0	7	0.6	6%	0.5
National Fuel Gas Distribution	20	3.8	0	0.0	0%	0.1
Citizens Communications	4	3.0	1	0.7	25%	0.5
Frontier Communications of NY	2	6.4	0	0.0	0%	0.8
Frontier Telephone of Rochester, Inc.	14	9.0	2	1.3	14%	0.7
Windstream Communications, Inc.	4	10.8	2	5.4	50%	1.4
Verizon Communications	327	11.9	55	2.0	17%	1.2
AT&T	17		2		12%	
Optimum Voice	8		0		0%	
Time Warner Cable Information Services	8		1		13%	
Verizon Digital Voice	13		4		31%	
Cablevision Systems	20		2		10%	
Time-Warner Cable	104		18		17%	
Verizon New York, Inc. (CATV)	30		2		7%	
Long Island Water Corp.	8	6.5	0	0.0	0%	1.4
United Water - New York	12	16.1	5	6.7	42%	3.7
United Water - Westchester	6	13.8	0	0.0	0%	2.1

All complaint rates are based on December 2015 customer populations.

* - Complaints per 100,000 customer accounts where populations are reported by the utility

This table reports on the volume of complaints received against the largest utilities in each industry.

Initial Complaints (QRS) - This is the number (No.) of complaints we receive and forward to the utility company for resolution directly with the customer and the corresponding complaint rate (Rate) per 100,000 customer accounts.

Escalated Complaints (SRS) - This is the number (No.) of complaints that we escalated for further handling and investigation because the customer informed us that the utility failed to satisfy their initial complaint after we forwarded the initial complaint to the utility. The corresponding escalated complaint rate (Rate) per 100,000 customer accounts allows the reader to compare one utility to another regardless of the number of customer accounts.

Escalation Rate - This is a measure of how successful a utility is in satisfying their customer upon receipt of an initial complaint made through the Office of Consumer Services. The lower the rate the more successful the utility was in resolving initial complaints directly with the customer.

12 Month Escalated Complaint Rate - This rate represents the average number of escalated complaints received per month per 100,000 customer accounts. This is often used as one of several customer service measures that may be taken into consideration when staff monitors the quality of customer service delivered by an individual utility.

Customer Service Response Index May 2016

	Initial	Escalated	CSM	Complaint Response	CRM	E. Complaint Response	ERM	Avg. Age of Cases	РСМ	
Service Provider	Complaints	Complaints	Index	Time	Index	Time	Index	Pending	Index	CSRI
Orange & Rockland	24	0	5.0	4.8	2.0	4.8	2.0	3.5	1.0	10.0
National Fuel Gas Distribution	20	0	5.0	7.1	2.0	4.2	2.0	0.0	1.0	10.0
PSEG Long Island	63	1	4.8	3.4	2.0	2.7	2.0	2.8	1.0	9.8
National Grid - Upstate	306	12	4.6	2.9	2.0	6.9	2.0	5.7	1.0	9.6
Rochester Gas & Electric Corp.	78	3	4.6	2.6	2.0	3.4	2.0	3.2	1.0	9.6
Central Hudson Gas & Electric Corp.	36	2	4.4	8.9	2.0	7.9	2.0	10.0	1.0	9.4
National Grid - Metro Ny	124	7	4.4	12.5	2.0	11.1	1.9	19.1	0.9	9.2
New York State Electric & Gas Corp.	100	8	4.2	4.7	2.0	6.4	2.0	9.0	1.0	9.2
Verizon New York Inc.	30	2	4.3	15.2	1.8	12.1	1.8	16.1	0.9	8.8
AT&T	17	2	3.8	11.7	2.0	9.5	2.0	9.3	1.0	8.8
Frontier Telephone of Rochester, Inc.	14	2	3.6	10.1	2.0	3.9	2.0	5.5	1.0	8.6
Cablevision of New York City	13	2	3.5	11.0	2.0	0.0	2.0	6.0	1.0	8.5
Family Energy, Inc.	13	2	3.5	17.5	1.6	5.7	2.0	9.2	1.0	8.1
Verizon Communications	327	55	3.3	10.5	2.0	16.0	1.5	16.5	0.9	7.7
Time Warner - Albany	20	1	4.5	7.5	2.0	23.1	0.4	24.5	0.8	7.7
Con Edison Of New York	357	60	3.3	10.5	2.0	17.9	1.3	11.2	1.0	7.6
Direct Energy Services LLC	23	5	2.9	16.4	1.7	6.4	2.0	6.1	1.0	7.6
XChange Telecom	12	3	2.5	1.9	2.0	0.1	2.0	0.0	1.0	7.5
Time Warner - New York City	54	11	3.0	11.6	2.0	5.7	2.0	62.2	0.0	7.0
Verizon Digital Voice	13	4	1.9	13.3	2.0	4.9	2.0	6.7	1.0	6.9
National Grid - L I	42	5	3.8	12.8	2.0	34.0	0.0	31.9	0.6	6.4
Time Warner - Syracuse Division	17	3	3.2	5.3	2.0	44.1	0.0	51.0	0.2	5.4
Time Warner - Buffalo	10	3	2.0	6.1	2.0	19.2	1.1	55.8	0.1	5.2
United Water-New York	12	5	0.8	1.4	2.0	0.1	2.0	63.7	0.0	4.8
Ambit Energy	25	13	0.0	17.0	1.7	5.1	2.0	5.1	1.0	4.7

This table reports on the current level of customer service and responsiveness delivered by each service provider under the Department's jurisdiction. The Customer Service Response Index is determined by measuring four metrics. Complete CSRI data is posted on the first page of the report for those service providers that average ten or more initial complaints per month. For all other service providers, the performance in each area is reported on subsequent pages of the table, less the actual index measures because the index measures for companies with fewer than ten initial complaints have been found to show significant fluctuations on a month to month basis. These fluctuations may result in the reader reaching an inaccurate conclusion as to a service provider's performance. If a company is not listed on this report it is because there was no activity for the company in the reporting month. Below is an explanation of the data in each column. Exhibit 1131 Part 4 **Initial Complaints** - This is the number of initial complaints we receive and forward to the utility company for resolution directly with the customer.

Escalated Complaints - This is the number of complaints that we escalated for further handling and investigation because the customer informed us that the utility failed to satisfy their initial complaint after we forwarded the initial complaint to the utility.

CSM Index - The Consumer Satisfaction Index scores the ratio of the number of initial complaints to the number of escalated complaints in the reporting month. A score of 5 points are awarded when a service provider receives no escalated complaints during the reporting month. There is no score awarded if a service provider satisfies less than 50% of the customers that the Department refers to them.

Complaint Response Time - This is the average number of days it took for a utility to respond to initial complaints in the reporting month.

CRM Index - The Complaint Response Time Index scores the service provider's responsiveness to initial complaints closed in the reporting month. A score of 2 points is awarded when a provider's average response time for initial complaints is 14 days or less. No points are earned if the average response time for initial complaints is more than 28 days (twice the acceptable reply standard).

E. Complaint Response Time - This is the average number of days it took for a utility to respond to escalated complaints in the reporting month.

ERM Index - The Escalated Complaint Response Time Index scores the service providers responsiveness to escalated complaints closed in the reporting month. A score of 2 points is awarded when a provider's average response time for escalated complaints is 10 days or less. No points are earned if the average response time for escalated complaints is more than 25 days (two weeks past due).

Avg. Age of Cases Pending - This is the average age of all the cases awaiting a response from the service provider.

PCM Index - The Pending Case Index scores the average age of all cases awaiting response by the service provider. A score of 1 point is awarded when a service providers' average age of all cases is 14 days or less. No points are earned if the average age of all cases exceeds 70 days (two months delinquent). A negative score is applied if the average age of all cases is over 70 days.

CSRI - The Customer Service Response Index is the overall score received by the service provider. It is the sum of the four indices.

Comios Providen	Initial Complaints	Escalated Complaints	CSM Index	Complaint Response Time	CRM Index	E. Complaint Response Time	ERM Index	Exhibit 11 Avg. Age of Cases Pending	31 Part 4 PCM Index	CSRI
Service Provider			muex		muex		muex	•	muex	CSKI
125 Court Street, LLC	0	0		0.0		0.0		520.0		
200 Water SPE LLC	0	0		0.0		0.0		236.0		
3462 Third Avenue Realty Llc	0	1		0.0		0.9		0.0		
89 Murray Street Associates Llc	0	0		0.0		0.0		236.0		
ABC Energy LLC	0	1		48.0		0.8		0.0		
Accent Energy Midwest, Llc	1	0		1.0		0.0		0.0		
ACN Communication Services, Inc.	1	0		1.0		0.0		0.0		
Aggressive Energy, Llc	1	0		0.0		0.0		13.0		
Agway Energy Services, LLC.	2	1		0.0		0.1		1.0		
Akam Associates	0	0		0.0		0.0		225.0		
American Communications Network, I	· 1	0		0.0		0.0		15.0		
American Power & Gas, LLC	8	0		0.9		0.0		1.0		
Ap Gas & Electric (ny), Llc	0	1		23.0		0.0		8.0		
Arbor Hills Waterworks	1	0		0.0		0.0		0.0		
ASC Energy Services, Inc.	0	1		11.0		12.8		0.0		
Astral Energy LLC	1	0		7.0		0.0		118.8		
Atlantic Energy, LLC	5	0		1.2		0.0		0.0		
Atlantic Power & Gas LLC	1	0		0.0		6.9		0.0		
Augustus & James Corp.	0	0		0.0		0.0		236.0		
Be@Schermerhorn	0	0		0.0		0.0		97.0		
Beaver Dam Lake Water Corp.	1	0		1.0		0.0		0.0		
Beekman Water Company	0	0		35.0		0.0		0.0		
Berkshire Telephone Corp.	0	0		6.0		0.0		0.0		
Birch Communications, Inc.	3	1		12.0		0.7		10.0		
Broadview Networks	3	0		12.9		0.0		7.0		
BTI Communications, Inc. d/b/a TELZ	4	3		16.2		0.0		66.7		
BUY ENERGY DIRECT, LLC	0	0		0.0		0.0		22.0		
Cablevision - MediaOne - Rockland	0	0		0.0		0.1		0.0		
Cablevision - MediaOne - US Cablevis	i O	0		0.0		4.2		0.0		
Cablevision Lightpath, Inc.	1	1		1.0		5.0		0.0		
Cablevision of Dutchess County	1	0		11.0		0.0		0.0		
Cablevision of East Hampton	1	0		8.0		0.0		0.0		
Cablevision of Long Island	3	0		6.8		0.9		6.0		
Cablevision of Rockland	0	0		0.0		0.0		0.0		
Cablevision of Southern Westchester	0	0		0.0		0.0		0.0		
Cablevision of Westchester	2	0		8.3		0.0		0.0		
Carousel Park Preservation L.P.	0	0		0.0		0.0		273.0		
Charter Communications	1	0		14.0		0.0		0.0		
Chautauqua & Erie Telephone Corp.	0	0		20.0		0.0		0.0		
Citizens Communications	4	1		14.6		13.0		0.0		
City of Jamestown Board of Public Util		0		0.5		28.2		0.0		
City Power & Gas, LLC		0		2.0		0.0		0.0		
Clinton Hills Apts Owners Corp	0	0		0.0		0.0		583.0		
Columbia Utilities Power, Llc (electric)	1	0		2.0		0.0		0.0		
Columbia Utilities Power, Llc (gas)	1	1		3.0		0.8		0.0		
Comcast Cable of New York - CATV	0	0		0.0		0.0		7.0		
Con Edison Solutions	0	0		38.0		0.0		0.0		
Constellation Energy Gas Choice Inc.	2	0		7.0		0.0		0.0		
Constellation NewEnergy	0	0		0.0		0.0		43.0		
CTC Communications Corp.	0 1	0		0.0		0.0		43.0 0.0		
Direct Energy Business Marketing, LL		0		11.0		0.0		0.0		
Dsf IV New Rochelle Owner Llc D/b/a		0		0.0		0.0		225.0		
	. 0	U		0.0		0.0		220.0		

Our in Duri In	Initial Complainta	Escalated	CSM	Complaint Response Time	CRM	E. Complaint Response Time	ERM	Exhibit 11 Avg. Age of Cases	РСМ	CSPI
Service Provider	Complaints		Index		Index		Index	Pending	Index	CSRI
Dutchess Estates Water	0	0		0.0		0.0		21.0		
Eligo Energy Ny, Llc	5	0		8.2		0.0		0.0		
Elmwood Square Preservation, L.P.	0	0		0.0		0.0		128.0		
Empire One Telecommunications, Inc.	0	1		5.0		0.0		28.0		
Empire Telephone Corp.	1	0		8.0		0.0		43.0		
Energy Cooperative of America, Inc.	1	0		1.0		0.0		0.0		
ENERGY DISCOUNTERS, LLC	0	0		0.0		0.0		0.0		
Ethical Electric, Inc	0	0		8.0		0.0		0.0		
Fisher Island Electric	0	0		0.0		0.0		124.0		
Forest Park Water Co. Inc.	1	0		0.0		0.0		126.0		
Frontier Communications of NY/aka Hi	2	0		11.9		0.0		15.0		
Frontier Communications of Rocheste	4	0		10.7		4.0		1.0		
Frontier Communications of Seneca-G	2	0		0.0		0.0		7.0		
Frontier Utilities Northeast LLC	1	0		5.0		64.1		0.0		
Germantown Telephone Co., Inc.	1	0		0.0		0.0		14.0		
Granite Telecommunications, Llc	0	0		0.0		0.0		21.0		
Great Eastern Energy	0	1		50.0		0.0		26.0		
Green Mountain Energy	2	0		13.0		1.0		0.0		
Greenlight Energy Inc.	1	0		17.0		0.0		0.0		
Grenadier Realty Corp	0	0		0.0		0.0		418.0		
H & S Property Management	0	0		0.0		0.0		226.0		
Hamilton County/Gore Mt. Cable TV Inc	0	0		0.0		0.0		120.0		
Hiko Energy, LLC	3	0		2.0		0.0		12.0		
Hudson Energy Services, Llc	2	0		10.3		7.8		8.0		
IDT America Corp.	0	2		33.5		2.6		1.0		
ldt Energy, Inc.	2	0		6.0		0.0		1.0		
Infinite Energy, Inc.	1	0		0.0		0.0		7.0		
Josco Energy Corp	8	2		17.3		4.1		16.5		
Just Energy New York Corp	6	0		12.8		0.0		11.0		
Kiamesha Artesian Spring Water Co.,	0	0		0.0		0.0		51.0		
King Tract Utilities c/o Melohn Propertie	0	0		0.0		64.9		321.0		
Kiwi Energy Inc.	4	1		9.0		7.7		12.0		
Level 3 Communications, LLC	0	1		19.0		9.9		0.0		
Liberty Power Corp.	3	1		19.8		3.7		4.0		
Light Tower Fiber Long Island LLC d/b/	1	0		0.0		0.0		8.0		
Maiden Lane Properties, LLC	0	0		0.0		0.0		376.0		
Major Energy Services LLC	9	2		6.6		5.6		5.0		
Marathon Energy Corporation	1	2		54.3		4.2		215.0		
Massena Electric Department	1	0		0.0		0.0		1.0		
Matrix Telecom, Inc Dba Trinsic Comm	1	0		0.0		0.0		0.0		
Metropolitan Telecommunications	1	0		6.0		0.0		0.0		
Mpower Energy LLC	7	0		12.4		7.8		9.6		
Natgasco, Inc A Mitchell-Supreme Co	1	0		13.0		0.0		0.0		
New York American Water	8	0		4.2		64.5		76.0		
NextEra Energy Resources, LLC	0	1		57.0		0.0		6.0		
North 3rd Bedford Avenue Llc	0	0		0.0		0.0		236.0		
North American Power & Gas LLC	1	0		13.0		48.6		34.0		
NorthEastern Energy Corporation	0	0		0.0		0.0		58.0		
Nysandy7 Story Llc	0	0		0.0		0.0		236.0		
Oasis Power LLC, d/b/a Oasis Energy	-	0		8.0		0.0		0.0		
Optimum Voice	8	0		2.8		54.8		10.8		
Pay Less Energy, LLC	1	0		0.0		0.0		6.0		
,		-								

Service Provider	Initial Complaints	Escalated Complaints	CSM Index	Complaint Response Time	CRM Index	E. Complaint Response Time	ERM Index	Exhibit 11 Avg. Age of Cases Pending	31 Part 4 PCM Index	CSRI
Penelec (A First Energy Company)	7	0		10.5		0.0		9.3		
Primelink, Inc.	0	1		10.0		2.2		0.0		
Public Power Llc	3	0		8.0		0.0		6.5		
Qtel, LLC	1	0		2.0		0.0		0.0		
Queens Fresh Meadow Electric	0	0		0.0		0.0		166.5		
Reliant Energy Northeast LLC	1	2		15.0		0.0		65.6		
Resdntl Comms. Netwrk of NY	2	0		8.5		0.0		0.0		
Residents Energy, LLC	4	0		7.0		0.0		8.0		
Riverview II Preservation Lp	0	0		0.0		0.0		343.0		
Robison Energy Of Westchester	1	0		2.0		0.0		0.0		
Roosevelt Island Associates	0	0		0.0		0.0		405.5		
Saratoga Water Services, Inc.	0	0		0.0		0.0		0.0		
SBR Energy, LLC	0	1		37.0		5.8		0.0		
SJ Energy Partners	1	0		4.0		0.0		0.0		
Smart One Energy, LLC	1	1		25.6		19.4		75.0		
South Bay Energy Corp.	1	0		1.0		0.0		0.0		
Spark Energy, L.P.	1	0		3.5		0.0		0.0		
Taconic Telephone Corp.	2	1		3.0		6.1		1.0		
The Chaffee Water Works Company	0	0		0.0		0.0		57.0		
Time Warner - Rochester	3	0		5.5		46.2		0.0		
Time Warner Cable Information Servic	8	1		9.0		13.9		8.0		
Towers on the Park	0	0		0.0		0.0		519.0		
Tristate Bell Inc	1	1		2.0		4.8		46.0		
U.S. Gas & Electric, Inc.	3	2		17.2		5.9		6.5		
United Metro Energy Services Corp	0	0		0.0		0.0		236.0		
United Water Westchester Inc.	6	0		0.7		0.0		0.0		
Utility Expense Reduction LLC	1	0		1.0		0.0		0.0		
Verde Energy USA New York, LLC	1	0		1.0		0.0		0.0		
Verizon Long Distance Llc (ld)	1	0		0.0		0.0		12.0		
Village of Endicott	1	0		11.5		0.0		0.0		
Village of Freeport Electric	2	0		4.7		0.0		0.0		
Viridian Energy Ny, Llc	2	1		18.0		4.8		8.0		
Wholesale Carrier Services, Inc.	1	0		0.0		0.0		12.0		
Windstream Communications, Inc.	4	2		7.5		12.0		12.0		
XOOM Energy New York, LLC	2	0		16.0		9.2		14.0		

2016 Credit Adjustments Received For Consumers

As a result of our investigation into consumers' complaints, when staff determines that a consumer was overbilled, the utility is directed to refund to the consumer, any monies it collected above and beyond what was allowed by tariffs, rules and regulations. The chart below identifies the credits obtained on behalf of consumers.

	Total	Consumers
Jan-16	\$191,889.11	103
Feb-16	\$194,994.20	113
Mar-16	\$710,252.88	123
Apr-16	\$208,820.40	116
May-16	\$320,169.70	92
Jun-16		
Jul-16		
Aug-16		
Sep-16		
Oct-16		
Nov-16		
Dec-16		
2016 Total	\$1,626,126.29	547

13

Number of Initial Complaints Received Against ESCO's

							Gu				51				>	
Code	Company Name	2016	2015	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15	May-15
6898AB	ABC Energy LLC	4	3	0	2	0	1	1	2	1	0	0	0	0	0	0
	ABN Energy, LLC DBA GreatEnergy	0	3	0	0	0	0	0	0	0	0	1	0	0	1	0
	Accent Energy Midwest, LLC	1	6	1	0	0	0	0	0	2	2	1	0	0	1	0
D249	Affordable Power, L.P.	0	1	0	0	0	0	0	0	0	1	0	0	0	0	0
8281AG	Agera Energy	1	3	0	0	1	0	0	0	1	0	0	1	0	0	0
	Aggressive Energy, LLC	1	1	1	0	0	0	0	0	0	0	0	0	0	0	0
	Agway Energy Services, LLC.	19	64	2	3	6	4	4	4	5	2	0	4	6	4	5
	Alpha Gas And Electric, Llc	9	59	0	3	1	3	2	4	2	2	3	4	9	4	6
	Ambit Energy	528	1346	25	19	52	113	319	209	36	45	51	46	114	114	287
	American Power & Gas, LLC	58	319	8	1	18	18	13	8	14	25	11	23	22	27	22
	Ameristar Energy, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
	Amplified Power & Gas, LLC	0	6	0	0	0	0	0	0	0	0	0	0	0	0	2
	Ap Gas & Electric (ny), Llc	8	19	0	3	0	2	3	1	1	5	1	0	1	1	2
	ASC Energy Services, Inc.	6	2	0	1	0	5	0	0	1	1	0	0	0	0	0
	Astral Energy LLC	43	117	1	2	9	9	22	13	23	19	25	11	6	11	2
	Atlantic Energy, LLC	14	35	5	1	1	1	6	4	8	5	5	5	0	3	0
	Atlantic Power & Gas LLC	11	52	1	1	5	2	2	1	3	4	1	0	1	2	1
	BluCo Energy, LLC	1	18	0	0	0	1	0	1	1	0	3	0	4	0	3
	BlueRock Energy, Inc.	0	11	0	0	0	0	0	0	2	0	1	7	0	0	1
	Bounce Energy NY, LLC	0	8	0	0	0	0	0	0	0	0	0	0	0	0	2
	Brown's Fuel	7	19	0	2	3	0	2	1	0	4	2	1	1	1	1
	BUY ENERGY DIRECT, LLC	1	8	0	0	1	0	0	0	0	1	1	1	0	0	2
	CenStar Operating Company, LLC	5	4	0	2	1	0	2	1	1	0	1	1	0	0	0
	Champion Energy Services, LLC	0	1	0	0	0	0	0	0	1	0	0	0	0	0	0
D220	Chief Energy Gas, Llc.	2	3	0	0	0	2	0	1	0	0	0	0	0	0	1
	Chief Energy Power, Llc	5	5	0	0	2	2	1	0	0	0	0	1	0	1	0
	Citizens Choice Energy, LLC	3	6	0	0	0	2	1	0	0	0	1	0	0	0	0
	City Power & Gas, LLC	6	29	1	0	2	2	1	0	0	0	1	0	2	8	4
D238	Clearview Energy, Inc.	2	9	0	0	1	1	0	0	0	0	1	1	0	0	3
D231	Columbia Utilities Power, Llc (electric)	12	27	1	2	2	4	3	1	4	0	3	2	1	1	2
D040	Columbia Utilities Power, Llc (gas)	8	31	1	1	1	4	1	2	3	2	2	0	2	1	2
	Community Energy Services, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
	Con Edison Solutions	4	11	0	2	1	1	0	1	1	0	0	1	1	0	3
6771CO	Constellation Energy Gas Choice Inc.	9	8	2	0	4	1	2	1	0	3	1	1	2	0	0
	Constellation NewEnergy	6	21	0	1	1	1	3	0	3	0	0	1	2	2	2
D221	Constellation NewEnergy - Gas Divisio		9	0	0	0	0	0	0	2	0	0	0	0	2	1
8168DI	Direct Energy Business Marketing, LL	5	6	2	0	2	1	0	1	1	4	0	0	0	0	0
5308DI	Direct Energy Business, LLC	0	35	0	0	0	0	0	0	0	1	2	8	2	4	0
D176	Direct Energy Services LLC	180	206	23	21	42	42	52	49	40	32	21	6	14	7	6
D006	East Coast Energy, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D256	East Coast Power, Llc	2	5	0	0	1	1	0	0	1	0	0	0	0	0	1
6922EL	Eligo Energy NY, Llc	29	46	5	5	4	12	3	0	1	2	1	2	2	1	1
D047	Empire Natural Gas Corporation	0	1	0	0	0	0	0	0	0	0	1	0	0	0	0
D087	Energetix, Inc.	0	48	0	0	0	0	0	0	0	0	5	4	6	6	3
8202EN	Energy Cooperative of America, Inc.	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0
D183	Energy Cooperative of New York	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
						-		-			-	-	-	_	-	-
6031EN	ENERGY DISCOUNTERS, LLC	0	1	0	0	0	0	0	0	0	0	0	11	0	0	0

Number of Initial Complaints Received Against ESCO's

Code	Company Name	2016	2015	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15	May-15
5568EN	Energy Plus Natural Gas LP	1	4	0	0	0	0	1	1	0	0	1	0	0	0	0
D137	Energy Service Providers, Inc.	0	1	0	0	0	0	0	0	1	0	0	0	0	0	0
5424EN	Energy Solutions Co. LLC	0	2	0	0	0	0	0	0	0	1	0	0	0	0	0
5182EN	EnergyMark, LLC	0	4	0	0	0	0	0	0	0	0	0	0	0	0	0
D201	Entergy Solutions Ltd.	0	1	0	0	0	0	0	0	0	0	0	0	0	1	0
8938EN	Entrust Energy East, Inc.	3	37	0	0	0	3	0	1	2	3	0	2	29	0	0
7005ET	Ethical Electric Benefit Co.	6	39	0	3	1	2	0	5	3	1	5	5	0	3	6
4920FA	Family Energy, Inc.	96	118	13	13	28	20	22	9	12	5	12	7	11	7	9
7383FR	Frontier Utilities Northeast LLC	34	19	1	0	3	10	20	10	1	2	3	1	0	0	0
6781FT	FTR Energy Services, LLC	0	10	0	0	0	0	0	0	0	0	3	1	2	0	1
6643GA	Galaxy Energy Llc	5	22	0	0	0	2	3	1	2	1	1	3	2	2	2
D046	Gateway Energy Services Corp.	0	83	0	0	0	0	0	0	0	2	8	7	6	11	4
4963GD	Gdf Suez Energy Resources Na, Inc.	0	1	0	0	0	0	0	0	0	0	1	0	0	0	0
6424GD	GDF Suez Retail Energy Solutions, LL	0	3	0	0	0	0	0	0	1	1	0	0	0	0	1
5349GL	Glacial Natural Gas, Inc.	0	3	0	0	0	0	0	0	0	0	1	0	0	0	0
6009GL	Global Energy, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
D104	Great Eastern Energy	4	10	0	1	2	1	0	1	1	0	0	2	0	0	4
D104	Green Mountain Energy	17	33	2	2	6	2	5	2	0	2	7	3	2	2	2
4877GR	Greenlight Energy Inc.	16	25	1	1	3	7	4	1	3	1	3	0	2	2	1
D254	High Rise Energy Group, LLC	1	7	0	0	0	0	1	0	0	3	0	0	1	1	1
5302PR	Hiko Energy, Llc	8	20	3	3	0	2	0	0	0	2	1	2	1	4	1
D120	Hudson Energy Services, Llc	10	20	2	3	3	2	1	3	3	2	3	2	0	4	3
D120	IDT Energy, Inc.	30	168	2	6	9	9	4	10	13	 13	14	15	11	10	14
D177	IGS Energy	0	6	2	0	9	9	4	0	0	0	0	15	1	0	0
D167	Infinite Energy, Inc.	2	3	1	0	0	1	0	0	0	0	0	0	1	0	0
			5	0	0	0	0	0	1	0	0	-	0	0	0	0
6647IR		0	-	8	12	6	9	4	8		4	0	13	-	15	6
7041JO	JOSCO Energy Corp	39 22	110	8 6	5	ь 5	9	4	0 7	6 3	4	4	2	10 5	9	6 12
D213	Just Energy New York Corp		93 1	0	5 0	5 0	3 0	3	0	3	5 0	4	2	5 0	9	0
D015	Keyspan Energy Services, Inc.	0	-	4	-	-		7	8	-	13	16	16	12	18	-
6646KI	Kiwi Energy Inc.	26	143	-	1	6	8			1		_				11
5520LE	Lexington Power & Light, LLC	1	0	0	0	0	0	1	0	0	0	0	0	0	0	0
D117	Liberty Power Corp.	17	52	3	4	6	3	1	2	4	4	2	3	1	7	2
2066	Long Island Power Authority	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D147	M&R ENERGY RESOURCES CORPO		1	0	0	0	0	0	0	0	0	0	0	0	0 7	0
	Major Energy Services LLC	40	109	9	11	11	5	4	2	5	8	8	5	3		9
	Marathon Energy Corporation	19	21	1	4	5	6	3	2	1	3	1	1	4	0	1
D267	Mpower Energy LLC	41	113	7	9	8	9	8	20	6	12	14	3	7	7	3
	MyGrid Energy, Inc.	0	9	0	0	0	0	0	0	0	0	0	6	2	1	0
D020	Natgasco, Inc A Mitchell-Supreme C	2	2	1	0	1	0	0	0	1	0	0	0	0	0	1
5436NE	New Wave Energy Corp.	3	2	0	1	1	1	0	0	0	0	0	0	0	0	0
7379NE	NextEnergy Services LLC	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0
4987NE	NextEra Energy Resources, LLC	2	7	0	0	1	0	1	1	0	1	0	2	0	0	0
D148	Noco Natural Gas, Llc	0	2	0	0	0	0	0	0	0	0	0	0	1	0	0
5787NO	North American Power & Gas LLC	14	140	1	3	6	3	1	6	0	3	2	6	3	3	8
6976NO	North Eastern States, Inc.	0	19	0	0	0	0	0	0	0	0	0	0	0	0	4
5479NO	North Energy LLC	0	5	0	0	0	0	0	1	1	1	1	0	0	0	0
D239	NorthEastern Energy Corporation	0	1	0	0	0	0	0	0	0	0	0	1	0	0	0
D103	NYSEG Solutions, Inc.	0	138	0	0	0	0	0	0	0	1	10	9	9	12	10

Number of Initial Complaints Received Against ESCO's

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Code	Company Name	2016	2015	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15	May-15	
4921OA	Oasis Power LLC, d/b/a Oasis Energy	5	14	1	0	1	3	0	1	1	2	1	2	0	0	0	
6645PA	Pay Less Energy, LLC	2	4	1	0	0	0	1	1	0	0	0	1	0	0	2	
6024PE	People's Power & Gas, Llc	0	1	0	0	0	0	0	0	0	0	0	0	1	0	0	
6893PE	Perigee Energy, LLC	3	2	0	2	0	0	1	0	1	0	0	1	0	0	0	
5637PL	Planet Energy (NY) Corp.	0	5	0	0	0	0	0	0	0	0	0	0	1	0	0	
D237	Platinum Plus Energy Resources, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	1	0	0	
D171	Plymouth Rock Energy LLC	5	36	0	0	4	1	0	1	1	4	6	2	1	6	4	
7871PR	Premier Empire Energy LLC	1	0	0	1	0	0	0	0	0	0	0	0	0	0	0	
D263	Public Power Llc	9	54	3	0	0	4	2	4	3	4	8	5	4	4	2	
6233RE	Reliant Energy Northeast LLC	8	6	1	1	3	3	0	2	0	0	0	1	0	1	0	
6616RE	Renaissance Power & Gas, Inc.	4	13	0	1	0	0	3	4	0	2	0	2	1	2	0	
6574RE	Residents Energy, LLC	19	51	4	3	4	4	4	5	5	5	6	5	7	2	2	
D093	Robison Energy Of Westchester	1	5	1	0	0	0	0	1	0	1	1	0	1	0	0	
5370SB	SBR Energy, LLC	1	5	0	1	0	0	0	0	0	0	0	0	0	0	1	
5481SJ	SJ Energy Partners	2	3	1	0	0	1	0	0	0	0	1	0	0	0	1	
4976SM	Smart One Energy, LLC	22	38	1	4	7	6	4	4	4	3	4	4	1	4	2	
6216SO	South Bay Energy Corp.	4	11	1	0	3	0	0	0	0	2	0	1	1	0	1	
5577SO	SouthStar Energy Services LLC	1	1	0	0	1	0	0	0	0	0	0	0	0	0	0	
D186	Spark Energy, L.P.	37	154	1	5	11	7	13	8	9	19	5	17	25	25	14	
7397SP	Sperian Energy Corp.	0	5	0	0	0	0	0	0	0	0	0	1	0	0	1	
5463ST	Starion Energy NY, Inc.	9	25	0	2	2	2	3	3	0	1	2	1	1	1	1	
6809ST	Stream Energy New York LLC.	1	9	0	0	0	1	0	1	0	0	0	1	1	2	0	
D223	Titan Gas, LLC	0	6	0	0	0	0	0	0	0	1	1	0	2	0	0	
9014TR	Trident Retail Energy, LLC DBA Trider	4	0	0	2	0	2	0	0	0	0	0	0	0	0	0	
D119	U.S. Gas & Electric, Inc.	33	52	3	6	10	7	7	3	6	2	2	2	3	11	4	
D500	Unidentified ESCO	2	0	0	1	0	0	1	0	0	0	0	0	0	0	0	
6008UN	United Energy Supply Corporation	3	11	0	0	0	3	0	2	1	0	0	1	2	1	1	
7346UN	United Metro Energy Services Corp.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0	
5461UT	Utility Expense Reduction LLC	23	51	1	1	7	10	4	8	6	11	4	8	0	2	3	
6894VE	Verde Energy USA New York, LLC	14	72	1	2	3	4	4	4	2	2	10	3	4	6	7	
5391VI	Viridian Energy NY, LLC	25	69	2	5	7	7	4	7	4	2	6	3	3	9	5	
6668XO	XOOM Energy New York, LLC	14	72	2	3	3	4	2	2	4	5	8	4	2	6	7	
	Total	1714	5044	169	194	342	417	592	469	275	321	347	314	391	409	540	

ESCO's with no complaints on file since January 2015 are not listed on this report.

Number of Escalated Complaints Received Against ESCO's

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Code	Company Name	2016	2015	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15	May-15
6898AB	ABC Energy LLC	3	0	1	0	0	1	1	0	0	0	0	0	0	0	0
5567AB	ABN Energy, LLC DBA GreatEnergy	0	1	0	0	0	0	0	0	0	0	1	0	0	0	0
D128	Accent Energy Midwest, LLC	1	1	0	0	0	0	1	1	0	0	0	0	0	0	0
D001	Agway Energy Services, LLC.	7	17	1	1	2	1	2	0	1	1	0	0	1	1	2
5985AL	Alpha Gas And Electric, Llc	2	15	0	0	1	1	0	2	1	2	0	1	2	0	0
D230	Ambit Energy	240	398	13	11	36	105	75	18	17	16	23	36	44	44	55
5411AM	American Power & Gas, LLC	5	26	0	2	0	3	0	1	1	2	1	1	0	0	3
6729AM	Amplified Power & Gas, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	1	0
6023AP	Ap Gas & Electric (ny), Llc	3	9	1	0	1	1	0	1	1	3	0	0	1	0	1
5465AS	ASC Energy Services, Inc.	2	0	1	0	1	0	0	0	0	0	0	0	0	0	0
6818AS	Astral Energy LLC	6	20	0	0	1	3	2	6	5	2	0	2	1	0	0
6481AT	Atlantic Energy, LLC	0	10	0	0	0	0	0	1	3	1	1	0	2	0	0
7844AT	Atlantic Power & Gas LLC	1	17	0	0	0	0	1	1	0	1	0	1	1	1	0
D222	BluCo Energy, LLC	0	3	0	0	0	0	0	0	0	0	1	0	1	0	0
5008BO	Bounce Energy NY, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1
D113	Brown's Fuel	2	4	0	0	0	1	1	0	1	1	0	0	0	0	0
5246BU	BUY ENERGY DIRECT, LLC	1	5	0	1	0	0	0	0	0	0	0	1	0	0	2
9156CE	CenStar Operating Company, LLC	1	2	0	0	0	0	1	0	0	1	0	1	0	0	0
6903CH	Champion Energy Services, LLC	0	1	0	0	0	0	0	1	0	0	0	0	0	0	0
5773CH	Chief Energy Power, Llc	1	1	0	0	0	0	1	0	0	0	0	0	0	1	0
5592CI	City Power & Gas, LLC	0	10	0	0	0	0	0	0	0	0	0	1	0	3	0
D238	Clearview Energy, Inc.	0	1	0	0	0	0	0	0	0	0	1	0	0	0	0
D231	Columbia Utilities Power, Llc (electric)	4	4	0	0	0	2	2	0	0	0	1	2	0	0	0
D040	Columbia Utilities Power, Llc (gas)	3	6	1	0	1	0	1	0	0	0	0	0	0	0	1
5065CO	Community Energy Services, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
D086	Con Edison Solutions	0	2	0	0	0	0	0	0	1	0	0	0	0	0	0
D084	Constellation NewEnergy	0	4	0	0	0	0	0	0	0	0	0	0	0	0	0
D221	Constellation NewEnergy - Gas Divisio	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
8168DI	Direct Energy Business Marketing, LL	1	2	0	0	0	0	1	0	1	1	0	0	0	0	0
5308DI	Direct Energy Business, LLC	0	3	0	0	0	0	0	0	0	0	0	1	0	0	0
D176	Direct Energy Services LLC	54	37	5	5	15	13	16	9	7	8	5	1	3	1	0
D256	East Coast Power, Llc	1	1	0	0	0	1	0	0	0	0	0	0	0	0	0
6922EL	Eligo Energy NY, Llc	3	10	0	0	2	1	0	0	0	0	1	0	0	0	1
D087	Energetix, Inc.	0	16	0	0	0	0	0	0	1	0	1	2	2	0	3
D183	Energy Cooperative of New York	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
	ENERGY DISCOUNTERS, LLC	0	1	0	0	0	0	0	0	0	0	1	0	0	0	0
D243	Energy Plus Holdings LLC	1	6	0	0	0	1	0	0	0	0	1	1	0	0	1
5424EN	Energy Solutions Co. LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
5182EN	EnergyMark, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
8938EN	Entrust Energy East, Inc.	0	6	0	0	0	0	0	1	0	1	0	0	4	0	0
7005ET	Ethical Electric Benefit Co.	0	5	0	0	0	0	0	2	0	0	1	1	0	0	0
4920FA	Family Energy, Inc.	14	18	2	3	1	4	4	1	1	1	1	0	1	1	3
7383FR	Frontier Utilities Northeast LLC	9	4	0	0	2	1	6	0	0	2	0	0	0	0	0
6781FT	FTR Energy Services, LLC	0	5	0	0	0	0	0	0	0	1	0	0	1	0	0
6643GA	Galaxy Energy Llc	0	4	0	0	0	0	0	0	0	0	1	0	0	0	0
D046	Gateway Energy Services Corp.	0	29	0	0	0	0	0	0	0	1	1	1	6	1	3
D104	Great Eastern Energy	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0
D127	Green Mountain Energy	3	3	0	0	2	0	1	0	0	2	0	0	0	0	0

Number of Escalated Complaints Received Against ESCO's

Code	Company Name	2016	2015	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15	May-15
4877GR	Greenlight Energy Inc.	1	4	0	0	1	0	0	1	1	0	0	0	0	0	0
D254	High Rise Energy Group, LLC	1	4	0	0	0	1	0	0	0	3	0	0	0	0	1
	Hiko Energy, Llc	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D120	Hudson Energy Services, Llc	3	3	0	2	0	1	0	1	0	0	0	0	0	0	0
D177	IDT Energy, Inc.	5	5	0	2	3	0	0	1	0	0	1	0	0	0	0
7041JO	JOSCO Energy Corp	3	16	2	0	0	1	0	0	0	1	2	2	2	2	1
D213	Just Energy New York Corp	2	18	0	0	1	0	1	1	1	0	0	1	1	2	2
	Kiwi Energy Inc.	2	8	1	1	0	0	0	0	0	1	0	1	3	0	1
D117	Liberty Power Corp.	3	13	1	0	1	0	1	1	0	0	0	0	3	1	1
D214	Major Energy Services LLC	3	16	2	1	0	0	0	0	1	1	2	0	0	2	1
	Marathon Energy Corporation	8	2	2	0	3	1	2	0	0	0	0	0	0	1	0
	Mpower Energy LLC	3	12	0	0	1	2	0	1	1	2	0	1	1	1	0
6807MY	MyGrid Energy, Inc.	0	4	0	0	0	0	0	0	0	0	1	2	1	0	0
4987NE	NextEra Energy Resources, LLC	2	2	1	0	0	1	0	0	0	0	0	0	0	0	1
5787NO	North American Power & Gas LLC	11	55	0	7	0	2	2	0	1	0	1	1	0	2	5
6976NO	North Eastern States, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
5479NO	North Energy LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D239	NorthEastern Energy Corporation	0	1	0	0	0	0	0	0	1	0	0	0	0	0	0
D103	NYSEG Solutions, Inc.	0	45	0	0	0	0	0	0	0	0	3	0	5	8	5
4921OA	Oasis Power LLC, d/b/a Oasis Energy	1	3	0	0	0	0	1	0	0	0	0	0	0	0	1
6645PA	Pay Less Energy, LLC	0	2	0	0	0	0	0	0	0	0	0	1	0	0	1
6893PE	Perigee Energy, LLC	0	1	0	0	0	0	0	0	1	0	0	0	0	0	0
D237	Platinum Plus Energy Resources, Inc.	0	1	0	0	0	0	0	0	0	0	0	1	0	0	0
D171	Plymouth Rock Energy LLC	0	7	0	0	0	0	0	0	1	1	1	1	1	1	0
D263	Public Power Llc	3	15	0	1	1	1	0	2	1	1	1	0	0	0	1
6233RE	Reliant Energy Northeast LLC	3	1	2	0	1	0	0	0	0	0	0	0	0	1	0
	Renaissance Power & Gas, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	0	1	0
6574RE	Residents Energy, LLC	0	2	0	0	0	0	0	0	1	0	0	0	0	0	1
D093	Robison Energy Of Westchester	0	2	0	0	0	0	0	0	1	0	1	0	0	0	0
5370SB	SBR Energy, LLC	1	1	1	0	0	0	0	0	0	0	0	0	0	0	0
5481SJ	SJ Energy Partners	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0
	Smart One Energy, LLC	6	7	1	2	1	1	1	0	0	1	1	0	0	1	0
6216SO	South Bay Energy Corp.	0	1	0	0	0	0	0	0	0	0	0	1	0	0	0
D186	Spark Energy, L.P.	8	19	0	0	5	2	1	1	0	2	2	3	1	2	4
	Sperian Energy Corp.	0	3	0	0	0	0	0	0	0	0	1	0	0	0	1
6809ST	Stream Energy New York LLC.	0	5	0	0	0	0	0	0	0	0	0	1	1	0	0
D223	Titan Gas, LLC	0	3	0	0	0	0	0	0	1	0	0	0	1	0	0
D119	U.S. Gas & Electric, Inc.	8	10	2	3	2	1	0	1	0	1	1	1	1	2	0
6008UN	United Energy Supply Corporation	0	2	0	0	0	0	0	1	0	0	0	0	1	0	0
7346UN	United Metro Energy Services Corp.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
5461UT	Utility Expense Reduction LLC	3	1	0	0	1	1	1	0	0	0	0	0	0	0	1
6894VE	Verde Energy USA New York, LLC	5	15	0	0	2	1	2	0	0	0	2	2	2	0	4
5391VI	Viridian Energy NY, LLC	7	21	1	2	1	1	2	3	0	1	2	0	1	2	2
6668XO	XOOM Energy New York, LLC	1	22	0	0	0	1	0	1	2	3	2	0	2	2	1
	Total	463	1076	42	44	90	157	130	60	55	65	66	72	97	85	111

ESCO's with no complaints on file since January 2015 are not listed on this report.

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Code	Company Name	2016	2015	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15	May-15
6898AB	ABC Energy LLC	2	1	0	1	0	0	1	0	1	0	0	0	0	0	0
5567AB	ABN Energy, LLC DBA GreatEnergy	0	1	0	0	0	0	0	0	0	0	0	0	0	1	0
D128	Accent Energy Midwest, LLC	1	3	0	0	0	0	1	1	1	1	0	0	0	0	0
D249	Affordable Power, L.P.	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0
8281AG	Agera Energy	0	2	0	0	0	0	0	0	0	0	0	1	0	0	0
5020AG	Aggressive Energy, LLC	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0
D001	Agway Energy Services, LLC.	9	39	3	1	1	2	2	3	1	3	0	4	2	2	1
5985AL	Alpha Gas And Electric, Llc	4	26	0	0	2	2	0	2	2	1	1	3	4	2	2
D230	Ambit Energy	350	646	20	8	46	95	181	53	18	26	29	34	72	64	73
5411AM	American Power & Gas, LLC	28	156	2	0	7	10	9	2	6	9	2	8	10	16	12
6729AM	Amplified Power & Gas, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
6023AP	Ap Gas & Electric (ny), Llc	4	4	1	2	0	0	1	0	0	1	0	0	1	0	0
5465AS	ASC Energy Services, Inc.	1	0	0	0	0	1	0	0	0	0	0	0	0	0	0
6818AS	Astral Energy LLC	8	27	0	0	2	0	6	2	4	5	3	1	1	6	3
6481AT	Atlantic Energy, LLC	3	8	1	0	0	0	2	1	2	2	2	0	0	0	0
7844AT	Atlantic Power & Gas LLC	2	9	0	0	1	0	1	0	0	0	0	0	0	2	0
D222	BluCo Energy, LLC	0	7	0	0	0	0	0	0	0	0	2	0	3	0	0
D217	BlueRock Energy, Inc.	0	2	0	0	0	0	0	0	0	0	0	2	0	0	0
5008BO	Bounce Energy NY, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	1
D113	Brown's Fuel	5	7	0	1	2	0	2	2	0	2	0	0	0	0	0
5246BU	BUY ENERGY DIRECT, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	2
9156CE	CenStar Operating Company, LLC	4	0	0	2	1	0	1	0	0	0	0	0	0	0	0
D220	Chief Energy Gas, Llc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
5773CH	Chief Energy Power, Llc	3	1	0	0	0	1	2	0	0	0	0	0	0	1	0
5325CI	Citizens Choice Energy, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
5592CI	City Power & Gas, LLC	4	14	0	0	1	2	1	0	0	0	1	0	1	1	3
D238	Clearview Energy, Inc.	0	3	0	0	0	0	0	0	0	0	0	0	0	0	2
D231	Columbia Utilities Power, Llc (electric)	7	13	1	0	1	4	1	1	2	0	2	2	1	0	2
D040	Columbia Utilities Power, Llc (gas)	2	11	0	0	1	1	0	1	1	1	0	0	1	0	0
5065CO	Community Energy Services, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
D086	Con Edison Solutions	1	8	1	0	0	0	0	0	0	0	0	1	0	0	2
6771CO	Constellation Energy Gas Choice Inc.	5	4	1	0	2	1	1	1	0	0	1	1	1	0	0
D084		0	11	0	0	0	0	0	0	0	0	0	0	1	0	1
D221	Constellation NewEnergy - Gas Divisio	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
	Direct Energy Business Marketing, LLC	2	0	Ŭ.	Ŭ,	2	v	0	0	v	v	, v	0	<u> </u>	, v	v
5308DI	Direct Energy Business, LLC	0	18	0	0	0	0	0	0	0	0	1	1	0	4	0
D176	Direct Energy Services LLC	77	80	5	9	23	18	22	16	21	8	9	1	7	5	2
D256	East Coast Power, Llc	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
6922EL 7398EM	Eligo Energy NY, Llc Empire Energy Services, Inc.	9	28	2	2	0	5	0	0	1	1	0	0	0	1	1
	Empire Energy Services, Inc. Empire Natural Gas Corporation	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D047 D087	Energetix, Inc.	0	1 18	0	0	0	0	0	0 0	0	0	1	0	0	0	0
6031EN	Energy DISCOUNTERS, LLC	1	0	1	0	0	0	0	0	0	0	2	3 0	0	0	2
D243	Energy Plus Holdings LLC	6	12	0	0	2	4	0	0	0	1	1	1	2	0	0
5568EN	Energy Plus Holdings LLC Energy Plus Natural Gas LP	0	12	0	0	2	4	0	0	0	0	0	0	2	0	0
D137	Energy Service Providers, Inc.	0	1	0	0	0	0	0	0	1	0	0	0	0	0	0
5424EN	Energy Solutions Co. LLC	0	1	0	0	0	0	0	0	0	1	0	0	0	0	0
5182EN	EnergyMark, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
JIUZLIN	LIGIGYMAIN, LLO	U	۷			U		0	0	U		U		0		

	Elici	<u> </u>						· · J								
Code	Company Name	2016	2015	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15	May-15
D201	Entergy Solutions Ltd.	0	1	2	0	2	0	0	0	2	0	0	4	0	,	2
8938EN	Entrust Energy East, Inc.	1	15	0	0	0	1	0	0	0	0	0	0	15	0	0
7005ET	Ethical Electric Benefit Co.	3	27	0	3	0	0	0	4	2	1	2	3	0	3	7
4920FA	Family Energy, Inc.	47	62	6	9	9	14	9	1	6	1	5	6	8	3	4
7383FR	Frontier Utilities Northeast LLC	11	2	0	0	3	3	5	0	0	0	0	0	0	0	4
6781FT	FTR Energy Services, LLC	0	7	0	0	0	0	0	0	0	0	1	1	2	0	0
6643GA	Galaxy Energy Llc	3	10	0	0	0	2	1	1	0	1	0	3	0	1	1
D0400/1	Gateway Energy Services Corp.	0	30	0	0	0	0	0	0	0	1	1	2	3	4	2
6424GD	GDF Suez Retail Energy Solutions, LL	0	1	0	0	0	0	0	0	1	0	0	0	0	0	0
5349GL	Glacial Natural Gas, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D104	Great Eastern Energy	1	4	0	1	0	0	0	1	1	0	0	1	0	0	0
D104	Green Mountain Energy	8	15	0	0	3	2	3	1	0	1	3	1	0	0	1
4877GR	Greenlight Energy Inc.	13	16	1	0	5	5	2	1	0	1	3	0	1	3	2
D254	High Rise Energy Group, LLC	2	10	0	0	0	1	1	0	0	0	0	0	0	0	0
5302PR	Hiko Energy, Llc	5	6	2	1	0	2	0	0	0	2	0	0	0	0	0
D120	Hudson Energy Services, Llc	6	4	2	4	2	2	0	1	0	2	1	0	0	0	1
D120	IDT Energy, Inc.	17	77	2	5	3	6	1	6	4	9	4	8	6	4	9
D177	IGS Energy	0	3	0	0	0	0	0	0	4	9	4	0	0	4	9
7041JO	JOSCO Energy Corp	23	38	6	5	3	7	2	4	3	0	6	4	5	3	3
D213	Just Energy New York Corp	 7	29	0	5	3	1	2	4	0	1	0	4	2	3 1	3
6646KI	Kiwi Energy Inc.	10	82	3	1	3	3	2	3 4	1	7	8	<u> </u>	2 5	8	3 4
D117	Liberty Power Corp.	8	22	0	3	3	3	2	4	2	2	0	1	5 1	。 2	4
D117 D214	Major Energy Services LLC	0 12	33	4	4	2	1	1	2	2	2	0	1	0	2 1	3
6007MA	Marathon Energy Corporation	3	2	4	4	2	0	0	0	0	0	1	0	0	0	0
D267	Mpower Energy LLC	18	70	1	3	4	5	5	10	2	7	6	5	2	7	1
5436NE	New Wave Energy Corp.	1	0	0	0	4	0	0	0	2	0	0	0	2	0	0
4987NE	NextEra Energy Resources, LLC	2	3	0	0	0	1	1	0	0	1	0	0	0	0	1
5787NO	North American Power & Gas LLC	9	85	0	3	2	4	0	2	0	0	1	4	2	2	2
6976NO	North Eastern States, Inc.	0	8	0	0	0	4	0	0	0	0	0	4	0	0	0
5479NO	North Energy LLC	0	1	0	0	0	0	0	1	0	0	0	0	0	0	0
D103	NYSEG Solutions, Inc.	0	66	0	0	0	0	0	0	0	0	8	5	7	4	3
4921OA	Oasis Power LLC, d/b/a Oasis Energy	2	3	0	0	1	1	0	0	0	1	0	0	0	0	0
6645PA	Pay Less Energy, LLC	1	1	1	0	0	0	0	1	0	0	0	0	0	0	0
6024PE	People's Power & Gas, Llc	0	1	0	0	0	0	0	0	0	0	0	0	1	0	0
	Perigee Energy, LLC	2	1	0	1	0	0	1	0	0	0	0	1	0	0	0
5637PL	Planet Energy (NY) Corp.	0	5	0	0	0	0	0	0	0	0	0	0	1	0	0
D171	Plymouth Rock Energy LLC	1	16	0	0	1	0	0	0	0	2	3	1	0	4	2
D263	Public Power Llc	4	18	0	0	2	1	1	1	0	2	0	2	1	0	0
6233RE	Reliant Energy Northeast LLC	5	3	2	0	1	2	0	1	0	0	0	0	0	0	0
6616RE	Renaissance Power & Gas, Inc.	3	5	0	1	0	0	2	0	0	2	0	0	1	0	0
6574RE	Residents Energy, LLC	6	22	2	0	2	0	2	2	2	2	1	3	3	1	1
5370SB	SBR Energy, LLC	0	4	0	0	0	0	0	0	0	0	0	0	0	0	0
4976SM	Smart One Energy, LLC	7	18	0	0	2	3	2	3	1	0	1	5	1	2	1
6216SO	South Bay Energy Corp.	3	3	0	1	2	0	0	0	0	0	0	0	0	1	0
D186	Spark Energy, L.P.	13	53	0	1	4	3	5	4	2	4	3	5	9	10	2
7397SP	Sperian Energy Corp.	0	6	0	0	4	0	0	4	2	4	0	0	9	0	2
5463ST	Starion Energy NY, Inc.	5	11	0	2	1	1	1	0	0	1	2	0	0	0	2
6809ST	Stream Energy New York LLC.	0	5	0	0	0	0	0	0	0	0	0	2	2	1	0
000921	Sucalli Ellergy New TUR LLC.	U	3	U	U	U	U	U	U	U	U	U	2	L 2		U

Code	Company Name	2016	2015	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15	May-15
D223	Titan Gas, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
9014TR	Trident Retail Energy, LLC DBA Trider	1	0	0	1	0	0	0	0	0	0	0	0	0	0	0
D119	U.S. Gas & Electric, Inc.	9	30	1	5	1	0	2	0	2	1	1	1	2	7	2
D500	Unidentified ESCO	47	130	6	7	6	14	14	11	3	10	15	21	18	14	15
6008UN	United Energy Supply Corporation	1	6	0	0	0	1	0	1	1	0	0	0	2	0	0
7346UN	United Metro Energy Services Corp.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
5461UT	Utility Expense Reduction LLC	12	22	1	0	2	6	3	2	0	4	2	4	0	3	2
6894VE	Verde Energy USA New York, LLC	7	30	0	1	0	4	2	0	0	1	4	3	1	2	1
5391VI	Viridian Energy NY, LLC	14	30	2	3	2	2	5	1	0	0	2	1	4	4	4
6668XO	XOOM Energy New York, LLC	3	21	0	0	1	1	1	1	1	2	2	1	1	2	1
	Total	896	2348	79	93	169	244	311	156	95	131	144	172	213	204	189

Deceptive marketing complaints are taken from customers who report situations where an energy service company or energy marketer solicits the customer's home or business in a manner which the customer believes is misleading or the customer was presented with information which the customer believes is untrue.



June 2016

Office of Consumer Services Monthly Report on Consumer Complaint Activity

Audrey Zibelman Chief Executive Officer

Michael Corso Chief Consumer Advocate, Office of Consumer Services

Published July 29, 2016


Monthly Report on Consumer Complaint Activity

June 2016

Table of Contents

Director's Message	. 3
If You Have a Complaint About Your Utility Service	. 4
How Utility Complaints are Measured	. 5
Complaint Activity of New York's Major Utilities	. 7
Customer Service Response Index	. 8
Credits Obtained for Consumers	13
Number of Initial Complaints Received Against ESCO's	14
Number of Escalated Complaints Received Against ESCO's	17
Number of Marketing Complaints Received Against ESCO's	19



July 29, 2016

Dear Readers:

The Office of Consumer Services closely monitors the number and types of complaints received against all utilities operating in New York State. We strive to ensure that utilities fulfill their obligation to provide effective customer service in compliance with the laws, rules, regulations and policies we enforce.

Each month, this report provides an overview of complaint activity and utility responsiveness during the preceding month which is informative to both consumers and utility companies. Specific details regarding the way we measure the companies' activities are described in the section How Utility Complaint Data Is Reported.

The table titled Complaint Activity of New York's Major Utilities reports on the volume of complaints received against the largest utilities in each industry while the table titled Customer Service Response Index reports on the level of customer service and responsiveness delivered by each service provider.

The chart, Credit Adjustments Received for Consumers, reflects the amount of refunds or credits customers received as a result of our investigations. The Office of Consumer Services also monitors complaints against the competitive energy service companies (ESCO's) operating in New York. These complaints are reported in two tables; Number of Initial Complaints Received Against ESCO's and Number of Escalated Complaints Received Against ESCO's.

I hope this report is helpful in providing you with a summary of utility complaint activity. If you have any questions, please e-mail John.Auricchio@dps.ny.gov

Sincerely,

Michael lad

Michael Corso Director Office of Consumer Services



If You Have a Complaint About Your Utility Service

If you're having difficulty resolving a dispute with your regulated energy, telephone, cable television or water company, Department of Public Service staff is available to assist you.

The Office of Consumer Services takes all utility consumer complaints seriously. You can contact us toll-free by telephone, in writing or via the Internet. When you contact our office with a complaint about a utility or other service provider, we take immediate steps to address your concerns.

After we complete entering the details of your complaint we send your complaint to the utility by e-mail or fax. In an effort to ensure that utilities fulfill their obligation to provide effective customer service, we will first ask your utility to contact you and resolve your concern. If your complaint is related to the provision of service, your utility should contact you within two business hours. If your complaint is related to billing or another matter, the utility should contact you by the close of the following business day.

If the utility does not contact you with its initial acknowledgement, does not provide its response to you within two weeks or the matter remains unresolved after you have received a response, you can contact us. We will then further investigate the matter and notify you in writing or by telephone of the decision and the reasons for the decision.

If you believe the initial decision is wrong, you can request an informal hearing. This request may be in writing and made within 15 days of the initial decision. You may be asked to submit certain documents to support your position. If you and the utility are unable to settle the complaint, the hearing officer will make a decision on your complaint and notify you in writing of the decision.

If you believe that the informal hearing officer's decision was wrong, you can appeal it within 15 days of the decision. Your written appeal must contend that there was an error by the hearing officer or reviewer that affected the decision or that evidence not previously available would affect the decision. All appeals, except those involving PSEG-LI, will be decided by the Public Service Commission. PSEG-LI appeals will be decided by Long Island Power Authority (LIPA).

If you have a complaint about your utility service you may contact us thru one of the following avenues:

By Telephone Via the Internet	Monday thru Friday 8:30am – 4:00pm 24 hours a day	800-342-3377 www.dps.ny.gov
In Writing	Please be sure to include as much detail as possible, including your account number, service address, telephone number and the specifics of your complaint.	NYS Dept. of Public Service Office of Consumer Services Three Empire State Plaza Albany, NY 12223-1350



How Utility Complaints Are Measured

The Office of Consumer Services reports complaint data by volume and by responsiveness. A complaint rate is used to compare small utilities to large utilities. A response index is used to measure how well utilities address consumer complaints we forwarded to them.

The Office of Consumer Services closely monitors the number and types of complaints received against all utilities operating in New York State. We expect utility companies to be highly responsive to their customers' needs, especially when the customer feels that it is necessary to seek the assistance of the Department of Public Service staff. Each month, this report provides an overview of complaint activity and utility responsiveness which we believe is informative to both consumers and utility companies.

The table titled **Complaint Activity of New York's Major Utilities** reports on the volume of complaints received against the largest utilities in each industry. These utility companies vary in size from just over 10,000 customers to several million customers. Therefore, in order to compare complaint volumes among companies, a complaint rate per 100,000 customers is displayed. This allows the reader to compare the complaints of a large company to that of a small company.

There are two measures of complaints which are reported each month. At first all complaints are recorded and forwarded to the utility for resolution directly with the customer. These are noted as <u>initial complaints</u> (QRS) in the table titled **Complaint Activity of New York's Major Utilities**. If the customer informs us that the utility failed to satisfy their complaint the matter is escalated for further handling and investigation by staff and is noted as <u>escalated complaints</u> (SRS). Both numbers are converted into a complaint rate which allows the reader to compare performance regardless of the size of a company's customer base. The <u>escalation rate</u> is a measure of how successful a utility is in satisfying their customer upon receipt of an initial complaint made through the Office of Consumer Services. The <u>12 month complaint rate</u> is often used as one of several customer service delivered by an individual utility. This rate represents the average number of escalated complaints received per month per 100,000 customer accounts.

The table titled **Customer Service Response Index** (CSRI) reports on the level of customer service and responsiveness delivered by each service provider. The Customer Service Response Index is determined by measuring four metrics. Complete CSRI data is posted on the first page of the report for those service providers that average ten or more initial complaints per month. For all other service providers, the performance in each area is reported on subsequent pages of the table, less the actual index measures because the index measures for companies with fewer than ten initial complaints have been found to show significant fluctuations on a month to month basis. These fluctuations may result in the reader reaching an inaccurate conclusion as to a service provider's performance. If a company is not listed in a particular monthly report it is because there was no activity for the company in the reporting month.

The **Index** is determined by measuring four metrics:

<u>The Consumer Satisfaction Metric (CSM)</u> is a ratio of the number of <u>initial complaints</u> to the number of <u>escalated complaints</u> in the reporting month. A score of 5 points is awarded when a service provider receives no escalated complaints during the reporting month. There is no score awarded if a service provider satisfies less than 50% of the customers that the Department refers to them.

<u>The Complaint Response Time Metric (CRM)</u> is the average number of days it took the service provider to respond to <u>initial complaints</u> closed in the reporting month. A score of 2 points is awarded when a provider's average response time for <u>initial complaints</u> is 14 days or less. No points are earned if the average response time for <u>initial complaints</u> is more than 28 days (twice the acceptable reply standard).

<u>The Escalated Complaint Response Time Metric (ERM)</u> is the average number of days it took the service provider to respond to <u>escalated complaints</u> closed in the reporting month. A score of 2 points is awarded when a service provider's average response time for <u>escalated complaints</u> is 10 days or less. No points are earned if the average response time for <u>escalated complaints</u> is more than 25 days (two weeks past due).

<u>The Pending Case Metric (PCM)</u> is the average age of all cases awaiting response, determined on the last day of the reporting month. A score of 1 point is awarded when a service providers' average age of all cases is 14 days or less. No points are earned if the average age of all cases exceeds 70 days (two months delinquent). A negative score is applied if the average age of all cases is over 70 days.

Complaint Activity of New York's Major Utilities June 2016

	Initial Co	-		Complaints	Escalation	12 Month
Utility Companies	(QR	,	(SF	RS)	Rate	Escalated
	No.	Rate*	No.			Complaint Rate
Central Hudson Gas & Electric Corp.	36	11.7	1	0.3	3%	0.3
Con Edison of New York	384	10.8	60	1.7	16%	1.6
PSEG Long Island	63	5.6	0	0.0	0%	0.5
National Grid - L I	47	8.0	2	0.3	4%	0.9
New York State Electric & Gas Corp.	87	9.2	6	0.6	7%	0.6
National Grid-Upstate	354	20.8	12	0.7	3%	0.6
Orange & Rockland	22	9.5	1	0.4	5%	0.1
Rochester Gas & Electric Corp.	81	19.6	3	0.7	4%	0.7
National Grid-Metro NY	102	8.2	4	0.3	4%	0.4
National Fuel Gas Distribution	19	3.6	1	0.2	5%	0.1
Citizens Communications	7	5.2	1	0.7	14%	0.5
Frontier Communications of NY	8	25.7	1	3.2	13%	1.1
Frontier Telephone of Rochester, Inc.	23	14.8	0	0.0	0%	0.7
Windstream Communications, Inc.	2	5.4	0	0.0	0%	1.4
Verizon Communications	237	8.6	71	2.6	30%	1.4
AT&T	10		2		20%	
Optimum Voice	6		1		17%	
Time Warner Cable Information Services	17		4		24%	
Verizon Digital Voice	12		3		25%	
Cablevision Systems	27		0		0%	
Time-Warner Cable	106		14		13%	
Verizon New York, Inc. (CATV)	22		6		27%	
Long Island Water Corp.	9	7.3	2	1.6	22%	1.6
United Water - New York	7	9.4	1	1.3	14%	3.6
United Water - Westchester	7	16.1	2	4.6	29%	1.9

All complaint rates are based on December 2015 customer populations.

* - Complaints per 100,000 customer accounts where populations are reported by the utility

This table reports on the volume of complaints received against the largest utilities in each industry.

Initial Complaints (QRS) - This is the number (No.) of complaints we receive and forward to the utility company for resolution directly with the customer and the corresponding complaint rate (Rate) per 100,000 customer accounts.

Escalated Complaints (SRS) - This is the number (No.) of complaints that we escalated for further handling and investigation because the customer informed us that the utility failed to satisfy their initial complaint after we forwarded the initial complaint to the utility. The corresponding escalated complaint rate (Rate) per 100,000 customer accounts allows the reader to compare one utility to another regardless of the number of customer accounts.

Escalation Rate - This is a measure of how successful a utility is in satisfying their customer upon receipt of an initial complaint made through the Office of Consumer Services. The lower the rate the more successful the utility was in resolving initial complaints directly with the customer.

12 Month Escalated Complaint Rate - This rate represents the average number of escalated complaints received per month per 100,000 customer accounts. This is often used as one of several customer service measures that may be taken into consideration when staff monitors the quality of customer service delivered by an individual utility.

Customer Service Response Index June 2016

	Initial	Escalated	CSM	Complaint Response	CRM	E. Complaint Response	ERM	Avg. Age of Cases	РСМ	
Service Provider	Complaints	Complaints	Index	Time	Index	Time	Index	Pending	Index	CSRI
PSEG Long Island	63	0	5.0	4.4	2.0	6.1	2.0	1.5	1.0	10.0
Frontier Telephone of Rochester, Inc.	23	0	5.0	8.2	2.0	4.8	2.0	5.8	1.0	10.0
National Grid - Upstate	354	12	4.7	2.4	2.0	5.6	2.0	4.6	1.0	9.7
Central Hudson Gas & Electric Corp.	36	1	4.7	6.4	2.0	9.0	2.0	5.3	1.0	9.7
Rochester Gas & Electric Corp.	81	3	4.6	2.0	2.0	2.7	2.0	5.7	1.0	9.6
Orange & Rockland	22	1	4.5	1.7	2.0	2.0	2.0	5.0	1.0	9.5
National Fuel Gas Distribution	19	1	4.5	8.3	2.0	1.7	2.0	5.0	1.0	9.5
Family Energy, Inc.	16	1	4.4	13.4	2.0	5.1	2.0	4.0	1.0	9.4
New York State Electric & Gas Corp.	87	6	4.3	3.5	2.0	6.2	2.0	10.7	1.0	9.3
Forest Park Water Co. Inc.	17	1	4.4	1.0	2.0	0.0	2.0	23.5	0.8	9.2
National Grid - L I	47	2	4.6	16.2	1.7	10.8	1.9	18.3	0.9	9.1
Cablevision of New York City	15	0	5.0	9.1	2.0	19.2	1.1	7.2	1.0	9.1
Time Warner - Rochester	10	1	4.0	12.5	2.0	13.9	1.7	4.8	1.0	8.7
Time Warner - Albany	15	1	4.3	4.2	2.0	13.5	1.7	36.0	0.5	8.5
Time Warner - Syracuse Division	31	3	4.0	6.8	2.0	1.1	2.0	48.4	0.3	8.3
AT&T	10	2	3.0	8.0	2.0	0.6	2.0	23.3	0.8	7.8
Time Warner Cable Information Servic	17	4	2.6	8.9	2.0	0.2	2.0	9.7	1.0	7.6
National Grid - Metro Ny	102	4	4.6	16.8	1.7	28.5	0.0	14.8	0.9	7.2
XChange Telecom	11	1	4.1	2.0	2.0	62.9	0.0	7.8	1.0	7.1
Verizon New York Inc.	22	6	2.3	17.9	1.6	7.1	2.0	13.2	1.0	6.9
Verizon Digital Voice	12	3	2.5	13.1	2.0	18.5	1.2	9.9	1.0	6.7
Time Warner - New York City	41	8	3.0	13.1	2.0	15.0	1.6	62.1	0.0	6.6
Verizon Communications	237	71	2.0	14.6	1.9	18.6	1.2	18.7	0.9	6.0
Con Edison Of New York	384	60	3.4	27.7	0.2	18.5	1.2	8.7	1.0	5.8
Ambit Energy	11	5	0.5	12.1	2.0	8.0	2.0	4.0	1.0	5.5

This table reports on the current level of customer service and responsiveness delivered by each service provider under the Department's jurisdiction. The Customer Service Response Index is determined by measuring four metrics. Complete CSRI data is posted on the first page of the report for those service providers that average ten or more initial complaints per month. For all other service providers, the performance in each area is reported on subsequent pages of the table, less the actual index measures because the index measures for companies with fewer than ten initial complaints have been found to show significant fluctuations on a month to month basis. These fluctuations may result in the reader reaching an inaccurate conclusion as to a service provider's performance. If a company is not listed on this report it is because there was no activity for the company in the reporting month. Below is an explanation of the data in each column. Exhibit 1131 Part 4 **Initial Complaints** - This is the number of initial complaints we receive and forward to the utility company for resolution directly with the customer.

Escalated Complaints - This is the number of complaints that we escalated for further handling and investigation because the customer informed us that the utility failed to satisfy their initial complaint after we forwarded the initial complaint to the utility.

CSM Index - The Consumer Satisfaction Index scores the ratio of the number of initial complaints to the number of escalated complaints in the reporting month. A score of 5 points are awarded when a service provider receives no escalated complaints during the reporting month. There is no score awarded if a service provider satisfies less than 50% of the customers that the Department refers to them.

Complaint Response Time - This is the average number of days it took for a utility to respond to initial complaints in the reporting month.

CRM Index - The Complaint Response Time Index scores the service provider's responsiveness to initial complaints closed in the reporting month. A score of 2 points is awarded when a provider's average response time for initial complaints is 14 days or less. No points are earned if the average response time for initial complaints is more than 28 days (twice the acceptable reply standard).

E. Complaint Response Time - This is the average number of days it took for a utility to respond to escalated complaints in the reporting month.

ERM Index - The Escalated Complaint Response Time Index scores the service providers responsiveness to escalated complaints closed in the reporting month. A score of 2 points is awarded when a provider's average response time for escalated complaints is 10 days or less. No points are earned if the average response time for escalated complaints is more than 25 days (two weeks past due).

Avg. Age of Cases Pending - This is the average age of all the cases awaiting a response from the service provider.

PCM Index - The Pending Case Index scores the average age of all cases awaiting response by the service provider. A score of 1 point is awarded when a service providers' average age of all cases is 14 days or less. No points are earned if the average age of all cases exceeds 70 days (two months delinquent). A negative score is applied if the average age of all cases is over 70 days.

CSRI - The Customer Service Response Index is the overall score received by the service provider. It is the sum of the four indices.

				Complaint		E. Complaint		Exhibit 11 <i>Avg. Age</i>	31 Part 4	
	Initial Communiate	Escalated	CSM	Response	CRM	Response	ERM	of Cases	PCM	0001
Service Provider	Complaints		Index	Time	Index	Time	Index	Pending	Index	CSRI
125 Court Street, LLC	0	0		0.0		0.0		550.0		
200 Water SPE LLC	0	0		0.0		0.0		266.0		
89 Murray Street Associates Llc	0	0		0.0		0.0		266.0		
ABC Energy LLC	3	0		5.3		0.8		0.0		
ACN Communication Services, Inc.	0	1		14.0		3.7		0.0		
Affordable Power, L.P.	1	0		0.0		0.0		10.0		
Aggressive Energy, Llc	0	0		0.0		0.0		43.0		
Agway Energy Services, LLC.	2	0		0.7		0.0		0.0		
Akam Associates	0	0		0.0		0.0		255.0		
Alpha Gas And Electric, Llc	1	0		19.0		0.0		0.0		
American Communications Network, Ir		0		0.0		0.0		45.0		
American Power & Gas, LLC	2	2		10.8		1.9		0.0		
Ap Gas & Electric (ny), Llc	0	0		0.0		8.8		0.0		
ASC Energy Services, Inc.	0	0		0.0		1.0		0.0		
Astral Energy LLC	5	0		6.0		0.0		37.5		
Atlantic Energy, LLC	3	0		2.7		0.0		0.0		
Atlantic Power & Gas LLC	6	0		0.7		0.0		0.0		
Augustus & James Corp.	0	0		0.0		0.0		266.0		
Be@Schermerhorn	0	0		0.0		0.0		127.0		
Birch Communications, Inc.	0	1		21.5		11.0		42.0		
Broadview Networks	3	2		9.0		1.9		0.0		
Brown's Fuel	1	0		0.0		0.0		0.0		
BTI Communications, Inc. d/b/a TELZE	3	1		14.3		32.0		94.2		
BUY ENERGY DIRECT, LLC	1	0		0.0		29.0		0.0		
Cablevision - MediaOne - US Cablevis		0		0.0		0.0		0.0		
Cablevision - MediaOne - Westchester		0		3.5		0.0		0.0		
Cablevision Lightpath, Inc.	0	0		0.0		5.1		0.0		
Cablevision of Dutchess County	1	0		1.0		0.0		0.0		
Cablevision of East Hampton	1	0		0.0		0.0		8.0		
Cablevision of Long Island	7	0		6.3		0.0		3.0		
Cablevision of Rockland	0	0		0.0		0.0		17.0		
Cablevision of Southern Westchester	1	0		3.0		0.0		0.0		
Cablevision of Westchester	0	0		0.0		0.0		0.0		
Carousel Park Preservation L.P.	0	0		0.0		0.0		303.0		
CenStar Operating Company, LLC	1	0		14.0		0.0		0.0		
Charter Communications	2	0		0.0		0.0		9.5		
Chatham Green Inc.	0	1		0.0		0.0		28.0		
Chief Energy Power, Llc	1	0		0.0		0.0		0.0		
Citizens Communications	7	1		7.8		0.0		1.7		
City of Jamestown Board of Public Util	i 1	0		3.0		0.0		0.0		
Clearview Energy, Inc.	1	0		0.0		0.0		9.0		
Clinton Hills Apts Owners Corp	0	0		0.0		0.0		613.0		
Columbia Utilities Power, Llc (electric)	2	1		3.5		1.1		0.0		
Con Edison Solutions	2	0		1.0		0.0		4.0		
Constellation Energy Gas Choice Inc.	1	0		6.0		0.0		0.0		
Constellation NewEnergy	3	0		37.0		0.0		4.0		
CornerStone Telephone Company, LL	2	1		22.0		0.0		17.0		
Corning Natural Gas Corp.	1	0		2.0		0.0		0.0		
Direct Energy Business Marketing, LLC	0	0		221.5		0.0		0.0		
Direct Energy Services LLC	9	1		10.2		0.8		11.0		
Dsf IV New Rochelle Owner Llc D/b/a	0	0		0.0		0.0		255.0		
Dutchess Estates Water	0	0		0.0		0.0		51.0		

Service Provider	Initial Complaints	Escalated Complaints	CSM Index	Complaint Response Time	CRM Index	E. Complaint Response Time	ERM Index	Exhibit 11 Avg. Age of Cases Pending	31 Part 4 PCM Index	CSRI
	•		maex		maex		mucx	-	macx	00/11
Edwards Telephone	1	0		0.0		0.0		15.0		
Eligo Energy Ny, Llc	3	1		21.7		0.0		4.5		
Elmwood Square Preservation, L.P.	0	0		0.0		0.0		158.0		
Emerald Green-Lake Louise Marie Wa		0		1.0		0.0		0.0		
Empire One Telecommunications, Inc.		0		0.0		36.1		0.0		
Empire Telephone Corp.	1	0		27.0		0.0		0.0		
Energy Plus Holdings LLC	1	0		12.0		0.0		0.0		
Ethical Electric, Inc	1	0		0.0		0.0		10.0		
Fillmore Gas Company Inc.	0	0		0.0		0.0		0.0		
Fisher Island Electric	0	0		139.0		0.0		0.0		
Frontier Communications of NY/aka Hi		1		13.5		0.0		3.8		
Frontier Communications of Rocheste		0		14.3		0.0		1.7		
Frontier Communications of Seneca-G	0	0		8.2		0.0		0.0		
Galaxy Energy Llc	1	0		1.0		0.0		0.0		
Germantown Telephone Co., Inc.	0	0		14.0		0.0		0.0		
Global Energy, LLC	2	0		1.0		0.0		18.0		
Granite Telecommunications, Llc	1	0		0.0		0.0		16.0		
Great Eastern Energy	0	0		0.0		0.0		56.0		
Green Mountain Energy	1	1		22.0		0.0		3.0		
Greenlight Energy Inc.	1	0		6.0		0.0		0.0		
Grenadier Realty Corp	0	0		0.0		0.0		448.0		
H & S Property Management	0	0		0.0		0.0		256.0		
Hamilton County/Gore Mt. Cable TV Inc	0	0		0.0		0.0		150.0		
Hiko Energy, LLC	0	0		28.0		0.0		0.0		
Hudson Energy Services, Llc	0	0		11.0		7.0		0.0		
IDT America Corp.	0	0		0.0		6.0		0.0		
ldt Energy, Inc.	3	0		3.8		0.0		0.0		
Infinite Energy, Inc.	0	0		15.0		0.0		0.0		
Josco Energy Corp	7	0		16.6		1.0		5.5		
Just Energy New York Corp	6	0		12.6		0.0		0.0		
Kiamesha Artesian Spring Water Co.,	0	0		0.0		0.0		81.0		
King Tract Utilities c/o Melohn Propertie	-	0		0.0		0.0		351.0		
Kiwi Energy Inc.	0	0		17.0		0.0		0.0		
Level 3 Communications, LLC	2	0		7.5		0.0		4.0		
Liberty Power Corp.	2	0		7.0		7.8		4.0		
Light Tower Fiber Long Island LLC d/b/		0		36.0		0.0		0.0		
Maiden Lane Properties, LLC	0	0		0.0		0.0		406.0		
Major Energy Services LLC	9	4		15.9		3.6		400.0 0.0		
Marathon Energy Corporation	3 7	1		41.1		1.2		2.0		
Massena Electric Department	0	0		10.0		0.0		0.0		
Matrix Telecom, Inc Dba Trinsic Com	-	0		7.0		0.0		0.0		
MCI		0		7.0 9.1		0.0		0.0		
	1									
Mid Hudson Cablevision, Inc.	1	0		6.0		0.0		0.0		
Mpower Energy LLC	7	1		16.1		13.9		8.2		
New York American Water	9	2		13.9		0.0		31.2		
NextEra Energy Resources, LLC	0	0		0.0		7.1		0.0		
North 3rd Bedford Avenue Llc	0	0		0.0		0.0		266.0		
North American Power & Gas LLC	2	3		26.0		13.3		21.0		
NorthEastern Energy Corporation	0	0		0.0		0.0		88.0		
Nysandy7 Story Llc	0	0		0.0		0.0		266.0		
Oasis Power LLC, d/b/a Oasis Energy		0		8.5		0.0		0.0		
Optimum Voice	6	1		13.1		1.6		3.0		

Service Provider	Initial Complaints	Escalated Complaints	CSM Index	Complaint Response Time	CRM Index	E. Complaint Response Time	ERM Index	Exhibit 11 Avg. Age of Cases Pending	31 Part 4 PCM Index	CSRI
Pay Less Energy, LLC	0	0		6.0		0.0		0.0		
Penelec (A First Energy Company)	3	0		12.9		0.0		0.0		
Public Power Llc	6	2		11.2		4.4		12.5		
Queens Fresh Meadow Electric	0	0		0.0		0.0		196.5		
Reliant Energy Northeast LLC	0	0		0.0		0.0		95.6		
Resdntl Comms. Netwrk of NY	0	1		42.0		10.8		0.0		
Residents Energy, LLC	3	0		6.3		0.0		4.0		
Riverview II Preservation Lp	0	0		0.0		0.0		373.0		
Robison Energy Of Westchester	1	0		0.0		0.0		3.0		
Roosevelt Island Associates	0	0		0.0		0.0		435.5		
SJ Energy Partners	1	0		1.0		0.0		0.0		
Smart One Energy, LLC	0	0		35.0		0.0		97.0		
South Bay Energy Corp.	2	0		1.5		0.0		0.0		
Spark Energy, L.P.	4	1		10.8		2.0		3.0		
Stellar Management Company	0	1		0.0		0.0		22.0		
Sterling Telecom, LLC	1	0		0.0		0.0		30.0		
Taconic Telephone Corp.	0	0		0.0		0.0		31.0		
Terrel Hills Water Co.	1	0		0.0		0.0		0.0		
The Chaffee Water Works Company	0	0		0.0		0.0		87.0		
Time Warner - Buffalo	9	1		10.3		0.0		74.7		
Titan Gas, LLC	1	0		0.0		0.0		11.0		
Towers on the Park	0	0		0.0		0.0		549.0		
Trident Retail Energy, LLC dba Trident	1	0		0.0		0.0		4.0		
Tristate Bell Inc	1	0		0.0		17.8		65.0		
U.S. Gas & Electric, Inc.	8	3		19.0		12.0		14.2		
United Metro Energy Services Corp	0	0		0.0		254.7		0.0		
United Water Westchester Inc.	7	2		2.9		0.4		4.0		
United Water-New York	7	1		2.7		19.5		75.0		
Utility Expense Reduction LLC	1	0		0.0		0.0		4.0		
Verizon Long Distance Llc (ld)	0	0		0.0		0.0		42.0		
Village of Endicott	1	0		0.0		0.0		2.0		
Viridian Energy Ny, Llc	4	3		26.8		7.9		5.8		
Wholesale Carrier Services, Inc.	0	0		34.0		0.0		0.0		
Windstream Communications, Inc.	2	0		14.0		11.8		23.7		
XO Communications, Inc.	1	0		2.0		0.0		0.0		
XOOM Energy New York, LLC	1	0		15.0		0.0		0.0		

2016 Credit Adjustments Received For Consumers

As a result of our investigation into consumers' complaints, when staff determines that a consumer was overbilled, the utility is directed to refund to the consumer, any monies it collected above and beyond what was allowed by tariffs, rules and regulations. The chart below identifies the credits obtained on behalf of consumers.

	Total	Consumers
Jan-16	\$191,889.11	103
Feb-16	\$194,994.20	113
Mar-16	\$710,252.88	123
Apr-16	\$208,820.40	116
May-16	\$320,169.70	92
Jun-16	\$210,276.06	134
Jul-16		
Aug-16		
Sep-16		
Oct-16		
Nov-16		
Dec-16		
2016 Total	\$1,836,402.35	681

Number of Initial Complaints Received Against ESCO's

5411AM American Power & Gas, LLC 60 319 2 8 1 18 13 8 14 25 11 23 22 D240 Ameristar Energy, LLC 0 1 0 <th></th> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th> <th>-</th> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th>										-							
5567.AB ABN Energy, LLC DBA GreatEnergy 0 3 0	Code	Company Name	2016	2015	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15
Separate ABN Energy, LLC DBA GreatEnergy 0 3 0	6898AB	ABC Energy LLC	7	3	3	0	2	0	1	1	2	1	0	0	0	0	0
D128 Accent Energy Mdwest, LLC 1 6 0 1 0 0 0 2 2 1 0 0 D249 Affordable Power, LP. 1 1 1 0			0	3	0	0	0	0	0	0		0	0	1	0	0	1
D249 Affordable Power, L.P. 1 1 1 1 0 <td></td> <td></td> <td>1</td> <td>6</td> <td>0</td> <td>1</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> <td>2</td> <td>2</td> <td>1</td> <td>0</td> <td>0</td> <td>1</td>			1	6	0	1	0	0	0	0	0	2	2	1	0	0	1
1 3 0 0 1 0 0 1 0 0 1 0	D249			1	1	0	0	0	0	0	0		-	0	0	0	0
5020AG Aggressive Energy, LLC 1 1 0 1 0 1 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 1 1 2 1 4 4 5 2 0 4 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 <th1< <="" td=""><td>8281AG</td><td></td><td>1</td><td>3</td><td>0</td><td>0</td><td>0</td><td>1</td><td>0</td><td>0</td><td>0</td><td>1</td><td>0</td><td>0</td><td>1</td><td>0</td><td>0</td></th1<>	8281AG		1	3	0	0	0	1	0	0	0	1	0	0	1	0	0
D01 Agway Lengry Services, LLC. 21 64 2 2 3 6 4 4 4 5 2 0 4 6 5985AL Apha Gas And Electric, Llc 10 59 1 10 3 1 3 1 3 1 3 1 4 4 2 2 3 4 9 D200 American Power & Gas, LLC 60 319 2 8 1 18 13 8 14 25 11 23 22 D200 Ameristar Energy, LLC 0 1 0 0 0 0 0 0 0 0 0 0 0 0 0 0 1 1 0 0 0 0 1 1 0 0 0 0 1 1 0 0 0 0 0 0 0 0 0 0 0 0 0			1	1	0	1	0	0	0	0	0	0	-	0	0	0	0
5985AL Apha Gas And Electric, Lic 10 59 1 0 3 1 3 2 4 2 2 3 4 9 D230 Ambit Energy LC 630 1346 11 25 19 52 113 319 209 36 45 51 46 114 S411AM American Energy, LLC 0 1 0 1 0 3 1 1 1 1 0 0 0 1 0 3 0 1 1 0 0 0 1 1 0 <t< td=""><td></td><td></td><td>21</td><td>64</td><td>2</td><td>2</td><td>3</td><td>6</td><td>4</td><td>4</td><td>4</td><td>5</td><td>_</td><td>0</td><td>4</td><td>6</td><td>4</td></t<>			21	64	2	2	3	6	4	4	4	5	_	0	4	6	4
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5411AM American Power & Gas, LLC 60 319 2 8 1 18 13 8 14 25 11 23 22 D240 Ameristar Energy, LLC 0 1 0			539		11	25	19	52	113	319	209	36	45	51	46	114	114
D240 Ameristar Energy, LLC 0 1 0 <td></td> <td></td> <td></td> <td>319</td> <td>2</td> <td></td> <td>1</td> <td>18</td> <td></td> <td></td> <td></td> <td>14</td> <td>_</td> <td>11</td> <td>23</td> <td></td> <td>27</td>				319	2		1	18				14	_	11	23		27
6729AM Amplified Power & Gas, LLC 0 6 0					0		0					0	-			-	0
6023AP Ap Cas & Electric (ny), Llc 8 19 0 0 3 0 2 3 1 1 5 1 0 1 5466AS ASC Energy Services, Inc. 6 2 0 0 1 0 0 0 1 1 0 0 0 0 1 1 0 0 0 0 1 1 0 0 0 0 0 1 1 0 0 1 1 0 0 1 1 0 0 1 1 0 0 1 1 0 0 1 1 0 0 0 1 1 0 1 1 0 1 1 0 1 1 0 1 1 0 1 1 1 1 1 0 1 1 0 1 1 0 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 <				6	0		0	0	0	0	0	0	0	0	0	0	0
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6818AS Astral Energy LLC 48 117 5 1 2 9 9 22 13 23 19 25 11 6 6481AT Atlantic Energy, LLC 17 35 3 5 1 1 1 6 4 8 5 5 0 7844AT Atlantic Power & Gas LLC 17 52 6 1 1 0 1 0 1 0 1 0 1 0 1 0 1 0 1 0 1 0 1 0 1 0 1 0 1 0 1 0 1 0 1 0 1 0 <td< td=""><td></td><td>· · · · · · · · · · · · · · · · · · ·</td><td></td><td></td><td>0</td><td>0</td><td>1</td><td>0</td><td></td><td></td><td>0</td><td>1</td><td>-</td><td>0</td><td>0</td><td>0</td><td>0</td></td<>		· · · · · · · · · · · · · · · · · · ·			0	0	1	0			0	1	-	0	0	0	0
6481AT Atlantic Energy, LLC 17 35 3 5 1 1 1 6 4 8 5 5 0 7844AT Atlantic Power & Gas LLC 17 52 6 1 1 5 2 2 1 3 4 1 0 1 D222 BluCo Energy, LLC 1 18 0 <					5	1	2	9		22		23	19	25	11	6	11
7844AT Atlantic Power & Gas LLC 17 52 6 1 1 5 2 2 1 3 4 1 0 1 D222 BluCo Energy, LLC 1 18 0 0 0 1 1 0 3 0 4 D217 BlueRock Energy, ILC 0 11 0					_			-	-	_			_			-	3
D222 BluCo Energy, LLC 1 18 0 0 1 1 0 3 0 4 D217 BlueRock Energy, Inc. 0 11 0 </td <td></td> <td>-</td> <td>_</td> <td>-</td> <td></td> <td>-</td> <td>2</td>												-	_	-		-	2
D217 BlueRock Energy, Inc. 0 11 0 0 0 0 0 2 0 1 7 0 5008BO Bounce Energy NY, LLC 0 8 0 <t< td=""><td></td><td></td><td></td><td></td><td>-</td><td></td><td></td><td></td><td></td><td></td><td>1</td><td>-</td><td>-</td><td></td><td>-</td><td>4</td><td>0</td></t<>					-						1	-	-		-	4	0
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D113 Brown's Fuel 8 19 1 0 2 3 0 2 1 0 4 2 1 1 52468BU BUY ENERGY DIRECT, LLC 2 8 1 0 0 1 1 1 0 0 0 0 1 1 1 0 <td></td> <td></td> <td></td> <td></td> <td></td> <td>-</td> <td></td> <td></td> <td>-</td> <td></td> <td>-</td> <td></td> <td>-</td> <td></td> <td></td> <td>-</td> <td>0</td>						-			-		-		-			-	0
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9156CE CenStar Operating Company, LLC 6 4 1 0 2 1 1 0 1 1 0 6903CH Champion Energy Services, LLC 0 1 0									-			-					0
6903CH Champion Energy Services, LLC 0 1 0				-		-	-		0		-	1	_			-	0
D220 Chief Energy Gas, Llc. 2 3 0 0 0 2 0 1 0 0 0 0 5773CH Chief Energy Power, Llc 6 5 1 0 0 2 2 1 0 0 0 1 0 0 0 1 0 0 0 1 0 0 0 1 0 0 0 1 0 0 0 1 0 0 0 1 0 0 0 1 1 0 0 0 1 1 0 0 0 1 1 0 0 0 1 1 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 1 1 0 1 1 0 1 1 0 0 1 1 0 0 1					-	-			-		0		-			-	0
5773CH Chief Energy Power, Llc 6 5 1 0 0 2 2 1 0 0 0 1 0 5325C1 Citizens Choice Energy, LLC 3 6 0 0 0 2 1 0 0 0 1 0 0 1 0 0 0 1 0 0 0 1 0 0 1 0 0 0 1 0 0 0 1 0 0 0 1 1 0 0 0 1 1 0 0 0 1 1 0 0 0 1 1 0 0 0 1 1 0				3	0	0	0	0	2	0	1	0	0	0	0	0	0
5325C1 Citizens Choice Energy, LLC 3 6 0 0 0 2 1 0 0 1 0 0 5592C1 City Power & Gas, LLC 6 29 0 1 0 2 1 0 0 0 1 0 2 D238 Clearview Energy, Inc. 3 9 1 0 0 1 1 0 0 0 1 1 0 2 1 0 0 0 1 1 0 2 1 0 0 0 1 1 0 0 0 1 1 0 0 0 0 0 1 1 1 1 1 0					-	-	-	-		-	0	-	-	-	-	-	1
5592CI City Power & Gas, LLC 6 29 0 1 0 2 2 1 0 0 0 1 0 2 D238 Clearview Energy, Inc. 3 9 1 0 0 1 1 0 0 0 1 1 0 0 0 1 1 0 0 0 1 1 0 0 0 1 1 0 0 0 0 1 1 0 1 1 0 0 0 0 1 1 1 1 1 1 1 1 1 1 1 1 1 1 0 1 1 0				-	0	-	-					-	_	-		-	0
D238 Clearview Energy, Inc. 3 9 1 0 0 1 1 0 0 0 1 1 0 D231 Columbia Utilities Power, Llc (electric) 14 27 2 1 2 2 4 3 1 4 0 3 2 1 D040 Columbia Utilities Power, Llc (gas) 8 31 0 1 1 4 1 2 3 2 2 0 2 5065C0 Community Energy Services, Inc. 0 2 0				-	-	-	0					0	-		-	-	8
D231 Columbia Utilities Power, Llc (electric) 14 27 2 1 2 2 4 3 1 4 0 3 2 1 D040 Columbia Utilities Power, Llc (gas) 8 31 0 1 1 1 4 1 2 3 2 2 0 2 5065CO Community Energy Services, Inc. 0 2 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 1 1 1 0 1 1 0 1 1 0 0 1 1 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 1 1 1 0 1 1 1 2 1				-	1	0	0				-	0		1	-		0
D040 Columbia Utilities Power, LIc (gas) 8 31 0 1 1 1 4 1 2 3 2 2 0 2 5065CO Community Energy Services, Inc. 0 2 0				-	2	-	2		4	-	1	4	-		2	1	1
5065CO Community Energy Services, Inc. 0 2 0						_							-			2	1
D086 Con Edison Solutions 6 11 2 0 2 1 1 0 1 1 0 0 1 1 6771CO Constellation Energy Gas Choice Inc. 10 8 1 2 0 4 1 2 1 0 3 1 1 2 D084 Constellation NewEnergy 9 21 3 0 1 1 1 3 0 3 0 1 1 2 D221 Constellation NewEnergy - Gas Divisic 0 9 0					-							-			-		0
6771CO Constellation Energy Gas Choice Inc. 10 8 1 2 0 4 1 2 1 0 3 1 1 2 D084 Constellation NewEnergy 9 21 3 0 1 1 1 3 0 3 0 1 2 D221 Constellation NewEnergy - Gas Divisic 0 9 0 0 0 0 0 0 2 0					-	-	-	-	1	-	-	-		-	1	-	0
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8168DI Direct Energy Business Marketing, LLQ 5 6 0 2 0 2 1 0 1 1 4 0 0 0 5308DI Direct Energy Business, LLC 0 35 0 0 0 0 0 0 0 1 1 4 0 0 0 D176 Direct Energy Services LLC 189 206 9 23 21 42 42 52 49 40 32 21 6 14 D006 East Coast Energy, Inc. 0 1 0 <td< td=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td>2</td></td<>																	2
5308DI Direct Energy Business, LLC 0 35 0 0 0 0 0 1 2 8 2 D176 Direct Energy Services LLC 189 206 9 23 21 42 42 52 49 40 32 21 6 14 D006 East Coast Energy, Inc. 0 1 0				6	0		0		1			1	_	0	0	0	0
D176 Direct Energy Services LLC 189 206 9 23 21 42 42 52 49 40 32 21 6 14 D006 East Coast Energy, Inc. 0 1 0					0		0	0	0		0	0	-	2	8		4
D006 East Coast Energy, Inc. 0 1 0	D176				9		21	42	42			40	32		6		7
D256 East Coast Power, Llc 2 5 0 0 1 1 0 0 1 0 </td <td></td> <td>-</td> <td></td> <td></td> <td></td> <td>-</td> <td>0</td>												-				-	0
6922EL Eligo Energy NY, Llc 32 46 3 5 5 4 12 3 0 1 2 1 2 2 D047 Empire Natural Gas Corporation 0 1 0 0 0 0 0 0 0 0 0 0 1 2 1 2 2 D047 Empire Natural Gas Corporation 0 1 0 <td< td=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td>-</td><td></td><td></td><td></td><td></td><td>0</td></td<>												-					0
D047 Empire Natural Gas Corporation 0 1 0													_			_	1
D087 Energetix, Inc. 0 48 0																	0
8202EN Energy Cooperative of America, Inc. 1 0 0 1 0									-				-				6
D183 Energy Cooperative of New York 0 2 0						1			-								0
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6031EN ENERGY DISCOUNTERS, LLC 0 1 0 0 0 0 0 0 0 0 0 0 0 0 1 0							-		-			-	-	-	-	-	0
D243 Energy Plus Holdings LLC 12 30 1 0 0 3 6 2 1 0 1 3 1 5									-		1	-	1	-	1		2

Number of Initial Complaints Received Against ESCO's

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Code	Company Name	2016	2015	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15
5568EN	Energy Plus Natural Gas LP	1	4	0	0	0	0	0	1	1	0	0	1	0	0	0
D137	Energy Service Providers, Inc.	0	1	0	0	0	0	0	0	0	1	0	0	0	0	0
5424EN	Energy Solutions Co. LLC	0	2	0	0	0	0	0	0	0	0	1	0	0	0	0
5182EN	EnergyMark, LLC	0	4	0	0	0	0	0	0	0	0	0	0	0	0	0
D201	Entergy Solutions Ltd.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1
8938EN	Entrust Energy East, Inc.	3	37	0	0	0	0	3	0	1	2	3	0	2	29	0
7005ET	Ethical Electric Benefit Co.	7	39	1	0	3	1	2	0	5	3	1	5	5	0	3
4920FA	Family Energy, Inc.	112	118	16	13	13	28	20	22	9	12	5	12	7	11	7
7383FR	Frontier Utilities Northeast LLC	34	19	0	1	0	3	10	20	10	1	2	3	1	0	0
6781FT	FTR Energy Services, LLC	0	10	0	0	0	0	0	0	0	0	0	3	1	2	0
6643GA	Galaxy Energy Llc	6	22	1	0	0	0	2	3	1	2	1	1	3	2	2
D046	Gateway Energy Services Corp.	0	83	0	0	0	0	0	0	0	0	2	8	7	6	11
4963GD	Gdf Suez Energy Resources Na, Inc.	0	1	0	0	0	0	0	0	0	0	0	1	0	0	0
6424GD	GDF Suez Retail Energy Solutions, LL	0	3	0	0	0	0	0	0	0	1	1	0	0	0	0
5349GL	Glacial Natural Gas, Inc.	0	3	0	0	0	0	0	0	0	0	0	1	0	0	0
6009GL	Global Energy, LLC	2	2	2	0	0	0	0	0	0	0	0	0	0	0	0
D104	Great Eastern Energy	4	10	0	0	1	2	1	0	1	1	0	0	2	0	0
D127	Green Mountain Energy	18	33	1	2	2	6	2	5	2	0	2	7	3	2	2
4877GR	Greenlight Energy Inc.	17	25	1	1	1	3	7	4	1	3	1	3	0	2	2
D254	High Rise Energy Group, LLC	1	7	0	0	0	0	0	1	0	0	3	0	0	1	1
5302PR	Hiko Energy, Llc	8	20	0	3	3	0	2	0	0	0	2	1	2	1	4
D120	Hudson Energy Services, Llc	10	23	0	2	3	3	1	1	3	3	2	3	0	0	- - 1
D120	IDT Energy, Inc.	33	168	3	2	6	9	9	4	10	13	13	14	15	11	10
D188	IGS Energy	0	6	0	0	0	0	0	0	0	0	0	0	1	1	0
D167	Infinite Energy, Inc.	2	3	0	1	0	0	1	0	0	0	0	0	0	1	0
6647IR	Iron Energy LLC	0	5	0	0	0	0	0	0	1	0	0	0	0	0	0
7041JO	JOSCO Energy Corp	46	110	7	8	12	6	9	4	8	6	4	12	13	10	15
D213	Just Energy New York Corp	28	93	6	6	5	5	3	3	7	3	5	4	2	5	9
D213	Keyspan Energy Services, Inc.	0	 1	0	0	0	0	0	0	0	0	0	0	0	0	0
6646KI	Kiwi Energy Inc.	26	143	0	4	1	6	8	7	8	1	13	16	16	12	18
5520LE	Lexington Power & Light, LLC	1	0	0	4	0	0	0	1	0	0	0	0	0	0	0
D117	Liberty Power Corp.	19	52	2	3	4	6	3	1	2	4	4	2	3	1	7
2066	Long Island Power Authority	0	1	0	0	4	0	0	0	0	0	0	0	0	0	0
D147	M&R ENERGY RESOURCES CORPO		1	0	0	0	0	0	0	0	0	0	0	0	0	0
D147 D214	Major Energy Services LLC	49	109	9	9	11	11	5	4	2	5	8	8	5	3	7
6007MA	Marathon Energy Corporation	26	21	9 7	9	4	5	6	4	2	1	3	0	1	4	0
D267	Mpower Energy LLC	48	113	7	7	9	8	9	8	20	6	12	14	3	4	7
6807MY	MyGrid Energy, Inc.	0	9	0	0	9	0	9	0	0	0	0	0	6	2	1
D020	Natgasco, Inc A Mitchell-Supreme C		2	0	1	0		0			1	_	0	0		-
5436NE	Natgasco, Inc A Mitchell-Supreme C New Wave Energy Corp.	2	2	0	0	1	1	1	0	0	0	0	0	0	0	0
7379NE	New Wave Energy Corp. NextEnergy Services LLC	3		0	0	0	1	0		0	0	0	0	0	0	0
4987NE	NextEra Energy Resources, LLC	1 2	0 7	0	0	0	1	0	0	1	0	1	0	2	0	0
4987NE D148			2	0		0	0	0			0	0	0	2	1	0
	Noco Natural Gas, Llc	0		-	0			-	0	0	-	-		-		-
5787NO	North American Power & Gas LLC	16	140	2		3	6	3	1	6	0	3	2	6	3	3
6976NO	North Eastern States, Inc.	0	19	0	0	0	0	0	0	0	0	0	0	0	0	0
5479NO	North Energy LLC	0	5	0	0	0	0	0	0	1	1	1	1	0	0	0
D239	NorthEastern Energy Corporation	0	1	0	0	0	0	0	0	0	0	0	0	1	0	0
D103	NYSEG Solutions, Inc.	0	138	0	0	0	0	0	0	0	0	1	10	9	9	12

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4921OA	Oasis Power LLC, d/b/a Oasis Energy	7	14	2	1	0	1	3	0	1	1	2	1	2	0	0
6645PA	Pay Less Energy, LLC	2	4	0	1	0	0	0	1	1	0	0	0	1	0	0
6024PE	People's Power & Gas, Llc	0	1	0	0	0	0	0	0	0	0	0	0	0	1	0
6893PE	Perigee Energy, LLC	3	2	0	0	2	0	0	1	0	1	0	0	1	0	0
5637PL	Planet Energy (NY) Corp.	0	5	0	0	0	0	0	0	0	0	0	0	0	1	0
D237	Platinum Plus Energy Resources, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	1	0
D171	Plymouth Rock Energy LLC	5	36	0	0	0	4	1	0	1	1	4	6	2	1	6
7871PR	Premier Empire Energy LLC	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0
D263	Public Power Llc	15	54	6	3	0	0	4	2	4	3	4	8	5	4	4
6233RE	Reliant Energy Northeast LLC	8	6	0	1	1	3	3	0	2	0	0	0	1	0	1
6616RE	Renaissance Power & Gas, Inc.	4	13	0	0	1	0	0	3	4	0	2	0	2	1	2
6574RE	Residents Energy, LLC	22	51	3	4	3	4	4	4	5	5	5	6	5	7	2
D093	Robison Energy Of Westchester	2	5	1	1	0	0	0	0	1	0	1	1	0	1	0
5370SB	SBR Energy, LLC	1	5	0	0	1	0	0	0	0	0	0	0	0	0	0
5481SJ	SJ Energy Partners	3	3	1	1	0	0	1	0	0	0	0	1	0	0	0
4976SM	Smart One Energy, LLC	22	38	0	1	4	7	6	4	4	4	3	4	4	1	4
6216SO	South Bay Energy Corp.	6	11	2	1	0	3	0	0	0	0	2	0	1	1	0
5577SO	SouthStar Energy Services LLC	1	1	0	0	0	1	0	0	0	0	0	0	0	0	0
D186	Spark Energy, L.P.	41	154	4	1	5	11	7	13	8	9	19	5	17	25	25
7397SP	Sperian Energy Corp.	0	5	0	0	0	0	0	0	0	0	0	0	1	0	0
5463ST	Starion Energy NY, Inc.	9	25	0	0	2	2	2	3	3	0	1	2	1	1	1
6809ST	Stream Energy New York LLC.	1	9	0	0	0	0	1	0	1	0	0	0	1	1	2
D223	Titan Gas, LLC	1	6	1	0	0	0	0	0	0	0	1	1	0	2	0
9014TR	Trident Retail Energy, LLC DBA Trider	5	0	1	0	2	0	2	0	0	0	0	0	0	0	0
D119	U.S. Gas & Electric, Inc.	41	52	8	3	6	10	7	7	3	6	2	2	2	3	11
D500	Unidentified ESCO	2	0	0	0	1	0	0	1	0	0	0	0	0	0	0
6008UN	United Energy Supply Corporation	3	11	0	0	0	0	3	0	2	1	0	0	1	2	1
7346UN	United Metro Energy Services Corp.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
5461UT	Utility Expense Reduction LLC	24	51	1	1	1	7	10	4	8	6	11	4	8	0	2
6894VE	Verde Energy USA New York, LLC	14	72	0	1	2	3	4	4	4	2	2	10	3	4	6
5391VI	Viridian Energy NY, LLC	29	69	4	2	5	7	7	4	7	4	2	6	3	3	9
6668XO	XOOM Energy New York, LLC	15	72	1	2	3	3	4	2	2	4	5	8	4	2	6
	Total	1874	5044	160	169	194	342	417	592	469	275	321	347	314	391	409

ESCO's with no complaints on file since January 2015 are not listed on this report.

Number of Escalated Complaints Received Against ESCO's

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Code	Company Name	2016	2015	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15
6898AB	ABC Energy LLC	3	0	0	1	0	0	1	1	0	0	0	0	0	0	0
5567AB	ABN Energy, LLC DBA GreatEnergy	0	1	0	0	0	0	0	0	0	0	0	1	0	0	0
D128	Accent Energy Midwest, LLC	1	1	0	0	0	0	0	1	1	0	0	0	0	0	0
D001	Agway Energy Services, LLC.	7	17	0	1	1	2	1	2	0	1	1	0	0	1	1
5985AL	Alpha Gas And Electric, Llc	2	15	0	0	0	1	1	0	2	1	2	0	1	2	0
D230	Ambit Energy	245	398	5	13	11	36	105	75	18	17	16	23	36	44	44
5411AM	American Power & Gas, LLC	7	26	2	0	2	0	3	0	1	1	2	1	1	0	0
6729AM	Amplified Power & Gas, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1
6023AP	Ap Gas & Electric (ny), Llc	3	9	0	1	0	1	1	0	1	1	3	0	0	1	0
5465AS	ASC Energy Services, Inc.	2	0	0	1	0	1	0	0	0	0	0	0	0	0	0
6818AS	Astral Energy LLC	6	20	0	0	0	1	3	2	6	5	2	0	2	1	0
6481AT	Atlantic Energy, LLC	0	10	0	0	0	0	0	0	1	3	1	1	0	2	0
7844AT	Atlantic Power & Gas LLC	1	17	0	0	0	0	0	1	1	0	1	0	1	1	1
D222	BluCo Energy, LLC	0	3	0	0	0	0	0	0	0	0	0	1	0	1	0
5008BO	Bounce Energy NY, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D113	Brown's Fuel	2	4	0	0	0	0	1	1	0	1	1	0	0	0	0
	BUY ENERGY DIRECT, LLC	1	5	0	0	1	0	0	0	0	0	0	0	1	0	0
9156CE	CenStar Operating Company, LLC	1	2	0	0	0	0	0	1	0	0	1	0	1	0	0
6903CH	Champion Energy Services, LLC	0	1	0	0	0	0	0	0	1	0	0	0	0	0	0
5773CH	Chief Energy Power, Llc	1	1	0	0	0	0	0	1	0	0	0	0	0	0	1
5592CI	City Power & Gas, LLC	0	10	0	0	0	0	0	0	0	0	0	0	1	0	3
D238	Clearview Energy, Inc.	0	1	0	0	0	0	0	0	0	0	0	1	0	0	0
D231	Columbia Utilities Power, Llc (electric)	5	4	1	0	0	0	2	2	0	0	0	1	2	0	0
D040	Columbia Utilities Power, Llc (gas)	3	6	0	1	0	1	0	1	0	0	0	0	0	0	0
5065CO	Community Energy Services, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
D086	Con Edison Solutions	0	2	0	0	0	0	0	0	0	1	0	0	0	0	0
D084	Constellation NewEnergy	0	4	0	0	0	0	0	0	0	0	0	0	0	0	0
D221	Constellation NewEnergy - Gas Divisio	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
8168DI	Direct Energy Business Marketing, LL	1	2	0	0	0	0	0	1	0	1	1	0	0	0	0
5308DI	Direct Energy Business, LLC	0	3	0	0	0	0	0	0	0	0	0	0	1	0	0
D176	Direct Energy Services LLC	55	37	1	5	5	15	13	16	9	7	8	5	1	3	1
D256	East Coast Power, Llc	1	1	0	0	0	0	1	0	0	0	0	0	0	0	0
6922EL	Eligo Energy NY, Llc	4	10	1	0	0	2	1	0	0	0	0	1	0	0	0
D087	Energetix, Inc.	0	16	0	0	0	0	0	0	0	1	0	1	2	2	0
D183	Energy Cooperative of New York	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
	ENERGY DISCOUNTERS, LLC	0	1	0	0	0	0	0	0	0	0	0	1	0	0	0
D243	Energy Plus Holdings LLC	1	6	0	0	0	0	1	0	0	0	0	1	1	0	0
5424EN	Energy Solutions Co. LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
5182EN	EnergyMark, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
8938EN	Entrust Energy East, Inc.	0	6	0	0	0	0	0	0	1	0	1	0	0	4	0
7005ET	Ethical Electric Benefit Co.	0	5	0	0	0	0	0	0	2	0	0	1	1	0	0
4920FA	Family Energy, Inc.	15	18	1	2	3	1	4	4	1	1	1	1	0	1	1
7383FR	Frontier Utilities Northeast LLC	9	4	0	0	0	2	1	6	0	0	2	0	0	0	0
6781FT	FTR Energy Services, LLC	0	5	0	0	0	0	0	0	0	0	1	0	0	1	0
6643GA	Galaxy Energy Llc	0	4	0	0	0	0	0	0	0	0	0	1	0	0	0
D046	Gateway Energy Services Corp.	0	29	0	0	0	0	0	0	0	0	1	1	1	6	1
D104	Great Eastern Energy	1	0	0	1	0	0	0	0	0	0	0	0	0	0	0
D127	Green Mountain Energy	4	3	1	0	0	2	0	1	0	0	2	0	0	0	0

Number of Escalated Complaints Received Against ESCO's

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Code	Company Name	2016	2015	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15
4877GR	Greenlight Energy Inc.	1	4	0	0	0	1	0	0	1	1	0	0	0	0	0
	High Rise Energy Group, LLC	1	4	0	0	0	0	1	0	0	0	3	0	0	0	0
	Hiko Energy, Llc	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
	Hudson Energy Services, Llc	3	3	0	0	2	0	1	0	1	0	0	0	0	0	0
	IDT Energy, Inc.	5	5	0	0	2	3	0	0	1	0	0	1	0	0	0
7041JO	JOSCO Energy Corp	3	16	0	2	0	0	1	0	0	0	1	2	2	2	2
D213	Just Energy New York Corp	2	18	0	0	0	1	0	1	1	1	0	0	1	1	2
6646KI	Kiwi Energy Inc.	2	8	0	1	1	0	0	0	0	0	1	0	1	3	0
	Liberty Power Corp.	3	13	0	1	0	1	0	1	1	0	0	0	0	3	1
	Major Energy Services LLC	7	16	4	2	1	0	0	0	0	1	1	2	0	0	2
	Marathon Energy Corporation	9	2	1	2	0	3	1	2	0	0	0	0	0	0	1
D267	Mpower Energy LLC	4	12	1	0	0	1	2	0	1	1	2	0	1	1	1
6807MY	MyGrid Energy, Inc.	0	4	0	0	0	0	0	0	0	0	0	1	2	1	0
4987NE	NextEra Energy Resources, LLC	2	2	0	1	0	0	1	0	0	0	0	0	0	0	0
5787NO	North American Power & Gas LLC	14	55	3	0	7	0	2	2	0	1	0	1	1	0	2
6976NO	North Eastern States, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
5479NO	North Energy LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D239	NorthEastern Energy Corporation	0	1	0	0	0	0	0	0	0	1	0	0	0	0	0
D103	NYSEG Solutions, Inc.	0	45	0	0	0	0	0	0	0	0	0	3	0	5	8
4921OA	Oasis Power LLC, d/b/a Oasis Energy	1	3	0	0	0	0	0	1	0	0	0	0	0	0	0
6645PA	Pay Less Energy, LLC	0	2	0	0	0	0	0	0	0	0	0	0	1	0	0
6893PE	Perigee Energy, LLC	0	1	0	0	0	0	0	0	0	1	0	0	0	0	0
D237	Platinum Plus Energy Resources, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	1	0	0
D171	Plymouth Rock Energy LLC	0	7	0	0	0	0	0	0	0	1	1	1	1	1	1
D263	Public Power Llc	5	15	2	0	1	1	1	0	2	1	1	1	0	0	0
6233RE	Reliant Energy Northeast LLC	3	1	0	2	0	1	0	0	0	0	0	0	0	0	1
	Renaissance Power & Gas, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	1
6574RE	Residents Energy, LLC	0	2	0	0	0	0	0	0	0	1	0	0	0	0	0
D093	Robison Energy Of Westchester	0	2	0	0	0	0	0	0	0	1	0	1	0	0	0
5370SB	SBR Energy, LLC	1	1	0	1	0	0	0	0	0	0	0	0	0	0	0
5481SJ	SJ Energy Partners	1	0	0	0	0	1	0	0	0	0	0	0	0	0	0
4976SM	Smart One Energy, LLC	6	7	0	1	2	1	1	1	0	0	1	1	0	0	1
6216SO	South Bay Energy Corp.	0	1	0	0	0	0	0	0	0	0	0	0	1	0	0
D186	Spark Energy, L.P.	9	19	1	0	0	5	2	1	1	0	2	2	3	1	2
	Sperian Energy Corp.	0	3	0	0	0	0	0	0	0	0	0	1	0	0	0
6809ST	Stream Energy New York LLC.	0	5	0	0	0	0	0	0	0	0	0	0	1	1	0
D223	Titan Gas, LLC	0	3	0	0	0	0	0	0	0	1	0	0	0	1	0
D119	U.S. Gas & Electric, Inc.	11	10	3	2	3	2	1	0	1	0	1	1	1	1	2
6008UN	United Energy Supply Corporation	0	2	0	0	0	0	0	0	1	0	0	0	0	1	0
7346UN	United Metro Energy Services Corp.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
5461UT	Utility Expense Reduction LLC	3	1	0	0	0	1	1	1	0	0	0	0	0	0	0
6894VE	Verde Energy USA New York, LLC	5	15	0	0	0	2	1	2	0	0	0	2	2	2	0
5391VI	Viridian Energy NY, LLC	10	21	3	1	2	1	1	2	3	0	1	2	0	1	2
6668XO	XOOM Energy New York, LLC	1	22	0	0	0	0	1	0	1	2	3	2	0	2	2
	Total	493	1076	30	42	44	90	157	130	60	55	65	66	72	97	85

ESCO's with no complaints on file since January 2015 are not listed on this report.

Consumer Reports of Deceptive Marketing Practices by Energy Services Company

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Code	Company Name	2016	2015	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15
6898AB	ABC Energy LLC	4	1	2	0	1	0	0	1	0	1	0	0	0	0	0
5567AB	ABN Energy, LLC DBA GreatEnergy	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1
D128	Accent Energy Midwest, LLC	1	3	0	0	0	0	0	1	1	1	1	0	0	0	0
D249	Affordable Power, L.P.	1	0	0	0	0	1	0	0	0	0	0	0	0	0	0
8281AG	Agera Energy	0	2	0	0	0	0	0	0	0	0	0	0	1	0	0
5020AG	Aggressive Energy, LLC	1	0	0	1	0	0	0	0	0	0	0	0	0	0	0
D001	Agway Energy Services, LLC.	11	39	2	3	1	1	2	2	3	1	3	0	4	2	2
5985AL	Alpha Gas And Electric, Llc	4	26	0	0	0	2	2	0	2	2	1	1	3	4	2
D230	Ambit Energy	358	646	8	20	8	46	95	181	53	18	26	29	34	72	64
5411AM	American Power & Gas, LLC	29	156	1	2	0	7	10	9	2	6	9	2	8	10	16
	Amplified Power & Gas, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
6023AP	Ap Gas & Electric (ny), Llc	4	4	0	1	2	0	0	1	0	0	1	0	0	1	0
5465AS	ASC Energy Services, Inc.	1	0	0	0	0	0	1	0	0	0	0	0	0	0	0
6818AS	Astral Energy LLC	9	27	1	0	0	2	0	6	2	4	5	3	1	1	6
6481AT	Atlantic Energy, LLC	4	8	1	1	0	0	0	2	1	2	2	2	0	0	0
7844AT	Atlantic Power & Gas LLC	4	9	2	0	0	1	0	1	0	0	0	0	0	0	2
D222	BluCo Energy, LLC	0	7	0	0	0	0	0	0	0	0	0	2	0	3	0
D217	BlueRock Energy, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	2	0	0
5008BO	Bounce Energy NY, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
D113	Brown's Fuel	5	7	0	0	1	2	0	2	2	0	2	0	0	0	0
5246BU	BUY ENERGY DIRECT, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
9156CE	CenStar Operating Company, LLC	4	0	0	0	2	1	0	1	0	0	0	0	0	0	0
D220	Chief Energy Gas, Llc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
5773CH	Chief Energy Power, Llc	3	1	0	0	0	0	1	2	0	0	0	0	0	0	1
5325CI	Citizens Choice Energy, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
5592CI	City Power & Gas, LLC	4	14	0	0	0	1	2	1	0	0	0	1	0	1	1
D238	Clearview Energy, Inc.	0	3	0	0	0	0	0	0	0	0	0	0	0	0	0
D231	Columbia Utilities Power, Llc (electric)	8	13	1	1	0	1	4	1	1	2	0	2	2	1	0
D040	Columbia Utilities Power, Llc (gas)	2	11	0	0	0	1	1	0	1	1	1	0	0	1	0
5065CO	Community Energy Services, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
D086	Con Edison Solutions	1	8	0	1	0	0	0	0	0	0	0	0	1	0	0
6771CO	Constellation Energy Gas Choice Inc.	5	4	0	1	0	2	1	1	1	0	0	1	1	1	0
D084	Constellation NewEnergy	1	11	1	0	0	0	0	0	0	0	0	0	0	1	0
D221	Constellation NewEnergy - Gas Divisio	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
8168DI	Direct Energy Business Marketing, LL	2	0	0	0	0	2	0	0	0	0	0	0	0	0	0
5308DI	Direct Energy Business, LLC	0	18	0	0	0	0	0	0	0	0	0	1	1	0	4
D176	Direct Energy Services LLC	79	80	2	5	9	23	18	22	16	21	8	9	1	7	5
D256	East Coast Power, Llc	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
6922EL	Eligo Energy NY, Llc	10	28	1	2	2	0	5	0	0	1	1	0	0	0	1
7398EM	Empire Energy Services, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D047	Empire Natural Gas Corporation	0	1	0	0	0	0	0	0	0	0	0	1	0	0	0
D087	Energetix, Inc.	0	18	0	0	0	0	0	0	0	0	0	2	3	0	0
6031EN	ENERGY DISCOUNTERS, LLC	1	0	0	1	0	0	0	0	0	0	0	0	0	0	0
D243	Energy Plus Holdings LLC	6	12	0	0	0	2	4	0	0	0	1	1	1	2	1
5568EN	Energy Plus Natural Gas LP	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D137	Energy Service Providers, Inc.	0	1	0	0	0	0	0	0	0	1	0	0	0	0	0
5424EN	Energy Solutions Co. LLC	0	1	0	0	0	0	0	0	0	0	1	0	0	0	0
5182EN	EnergyMark, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0

Consumer Reports of Deceptive Marketing Practices by Energy Services Company

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Code	Company Name	2016	2015	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15
D201	Entergy Solutions Ltd.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1
8938EN	Entrust Energy East, Inc.	1	15	0	0	0	0	1	0	0	0	0	0	0	15	0
7005ET	Ethical Electric Benefit Co.	3	27	0	0	3	0	0	0	4	2	1	2	3	0	3
4920FA	Family Energy, Inc.	52	62	5	6	9	9	14	9	1	6	1	5	6	8	3
7383FR	Frontier Utilities Northeast LLC	11	2	0	0	0	3	3	5	0	0	0	0	0	0	0
6781FT	FTR Energy Services, LLC	0	7	0	0	0	0	0	0	0	0	0	1	1	2	0
6643GA	Galaxy Energy Llc	3	10	0	0	0	0	2	1	1	0	1	0	3	0	1
D046	Gateway Energy Services Corp.	0	30	0	0	0	0	0	0	0	0	1	1	2	3	4
6424GD	GDF Suez Retail Energy Solutions, LL	0	1	0	0	0	0	0	0	0	1	0	0	0	0	0
5349GL	Glacial Natural Gas, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
6009GL	Global Energy, LLC	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0
D104	Great Eastern Energy	1	4	0	0	1	0	0	0	1	1	0	0	1	0	0
D127	Green Mountain Energy	8	15	0	0	0	3	2	3	1	0	1	3	1	0	0
4877GR	Greenlight Energy Inc.	14	16	1	1	0	5	5	2	1	0	1	3	0	1	3
D254	High Rise Energy Group, LLC	2	1	0	0	0	0	1	1	0	0	0	0	0	0	0
5302PR	Hiko Energy, Llc	5	6	0	2	1	0	2	0	0	0	2	0	0	0	0
D120	Hudson Energy Services, Llc	6	4	0	0	4	2	0	0	1	0	1	1	0	0	0
D177	IDT Energy, Inc.	20	77	3	2	5	3	6	1	6	4	9	4	8	6	4
D188	IGS Energy	0	3	0	0	0	0	0	0	0	0	0	0	1	0	0
7041JO	JOSCO Energy Corp	28	38	5	6	5	3	7	2	4	3	0	6	4	5	3
D213	Just Energy New York Corp	10	29	3	0	1	3	1	2	3	0	1	1	2	2	1
6646KI	Kiwi Energy Inc.	11	82	1	3	1	1	3	2	4	1	7	8	11	5	8
D117	Liberty Power Corp.	8	22	0	0	3	3	1	1	2	2	2	0	1	1	2
D214	Major Energy Services LLC	16	33	4	4	4	2	1	1	1	0	1	0	1	0	1
6007MA	Marathon Energy Corporation	4	2	1	0	1	2	0	0	0	0	0	1	0	0	0
D267	Mpower Energy LLC	21	70	3	1	3	4	5	5	10	2	7	6	5	2	7
5436NE	New Wave Energy Corp.	1	0	0	0	0	1	0	0	0	0	0	0	0	0	0
4987NE	NextEra Energy Resources, LLC	2	3	0	0	0	0	1	1	0	0	1	0	0	0	0
5787NO	North American Power & Gas LLC	11	85	2	0	3	2	4	0	2	0	0	1	4	2	2
6976NO	North Eastern States, Inc.	0	8	0	0	0	0	0	0	0	0	0	0	0	0	0
5479NO	North Energy LLC	0	1	0	0	0	0	0	0	1	0	0	0	0	0	0
D103	NYSEG Solutions, Inc.	0	66	0	0	0	0	0	0	0	0	0	8	5	7	4
4921OA	Oasis Power LLC, d/b/a Oasis Energy	2	3	0	0	0	1	1	0	0	0	1	0	0	0	0
6645PA	Pay Less Energy, LLC	1	1	0	1	0	0	0	0	1	0	0	0	0	0	0
6024PE	People's Power & Gas, Llc	0	1	0	0	0	0	0	0	0	0	0	0	0	1	0
6893PE	Perigee Energy, LLC	2	1	0	0	1	0	0	1	0	0	0	0	1	0	0
5637PL	Planet Energy (NY) Corp.	0	5	0	0	0	0	0	0	0	0	0	0	0	1	0
D171	Plymouth Rock Energy LLC	1	16	0	0	0	1	0	0	0	0	2	3	1	0	4
D263	Public Power Llc	5	18	1	0	0	2	1	1	1	0	2	0	2	1	0
6233RE	Reliant Energy Northeast LLC	5	3	0	2	0	1	2	0	1	0	0	0	0	0	0
6616RE	Renaissance Power & Gas, Inc.	3	5	0	0	1	0	0	2	0	0	2	0	0	1	0
6574RE	Residents Energy, LLC	6	22	0	2	0	2	0	2	2	2	2	1	3	3	1
5370SB	SBR Energy, LLC	0	4	0	0	0	0	0	0	0	0	0	0	0	0	0
4976SM	Smart One Energy, LLC	7	18	0	0	0	2	3	2	3	1	0	1	5	1	2
6216SO	South Bay Energy Corp.	5	3	2	0	1	2	0	0	0	0	0	0	0	0	1
D186	Spark Energy, L.P.	14	53	1	0	1	4	3	5	4	2	4	3	5	9	10
7397SP	Sperian Energy Corp.	0	6	0	0	0	0	0	0	0	0	0	0	0	0	0
5463ST	Starion Energy NY, Inc.	5	11	0	0	2	1	1	1	0	0	1	2	0	0	0

Consumer Reports of Deceptive Marketing Practices by Energy Services Company

Code	Company Name	2016	2015	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	Jun-15
6809ST	Stream Energy New York LLC.	0	5	0	0	0	0	0	0	0	0	0	0	2	2	1
D223	Titan Gas, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
9014TR	Trident Retail Energy, LLC DBA Trider	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0
D119	U.S. Gas & Electric, Inc.	12	30	3	1	5	1	0	2	0	2	1	1	1	2	7
D500	Unidentified ESCO	56	130	9	6	7	6	14	14	11	3	10	15	21	18	14
6008UN	United Energy Supply Corporation	1	6	0	0	0	0	1	0	1	1	0	0	0	2	0
7346UN	United Metro Energy Services Corp.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
5461UT	Utility Expense Reduction LLC	12	22	0	1	0	2	6	3	2	0	4	2	4	0	3
6894VE	Verde Energy USA New York, LLC	7	30	0	0	1	0	4	2	0	0	1	4	3	1	2
5391VI	Viridian Energy NY, LLC	18	30	4	2	3	2	2	5	1	0	0	2	1	4	4
6668XO	XOOM Energy New York, LLC	3	21	0	0	0	1	1	1	1	1	2	2	1	1	2
	Total	967	2348	71	79	93	169	244	311	156	95	131	144	172	213	204

Deceptive marketing complaints are taken from customers who report situations where an energy service company or energy marketer solicits the customer's home or business in a manner which the customer believes is misleading or the customer was presented with information which the customer believes is untrue.



July 2016

Office of Consumer Services Monthly Report on Consumer Complaint Activity

Audrey Zibelman Chief Executive Officer

LuAnn Scherer Acting Director, Office of Consumer Services

Published August 30, 2016



Monthly Report on Consumer Complaint Activity

July 2016

Table of Contents

Director's Message	. 3
If You Have a Complaint About Your Utility Service	. 4
How Utility Complaints are Measured	. 5
Complaint Activity of New York's Major Utilities	. 7
Customer Service Response Index	. 8
Credits Obtained for Consumers	13
Number of Initial Complaints Received Against ESCO's	14
Number of Escalated Complaints Received Against ESCO's	17
Number of Marketing Complaints Received Against ESCO's	19



August 30, 2016

Dear Readers:

The Office of Consumer Services closely monitors the number and types of complaints received against all utilities operating in New York State. We strive to ensure that utilities fulfill their obligation to provide effective customer service in compliance with the laws, rules, regulations and policies we enforce.

Each month, this report provides an overview of complaint activity and utility responsiveness during the preceding month which is informative to both consumers and utility companies. Specific details regarding the way we measure the companies' activities are described in the section How Utility Complaint Data Is Reported.

The table titled Complaint Activity of New York's Major Utilities reports on the volume of complaints received against the largest utilities in each industry while the table titled Customer Service Response Index reports on the level of customer service and responsiveness delivered by each service provider.

The chart, Credit Adjustments Received for Consumers, reflects the amount of refunds or credits customers received as a result of our investigations. The Office of Consumer Services also monitors complaints against the competitive energy service companies (ESCO's) operating in New York. These complaints are reported in two tables; Number of Initial Complaints Received Against ESCO's and Number of Escalated Complaints Received Against ESCO's.

I hope this report is helpful in providing you with a summary of utility complaint activity. If you have any questions, please e-mail John.Auricchio@dps.ny.gov

Sincerely,

LuAnn Scherer Acting Director Office of Consumer Services



If You Have a Complaint About Your Utility Service

If you're having difficulty resolving a dispute with your regulated energy, telephone, cable television or water company, Department of Public Service staff is available to assist you.

The Office of Consumer Services takes all utility consumer complaints seriously. You can contact us toll-free by telephone, in writing or via the Internet. When you contact our office with a complaint about a utility or other service provider, we take immediate steps to address your concerns.

After we complete entering the details of your complaint we send your complaint to the utility by e-mail or fax. In an effort to ensure that utilities fulfill their obligation to provide effective customer service, we will first ask your utility to contact you and resolve your concern. If your complaint is related to the provision of service, your utility should contact you within two business hours. If your complaint is related to billing or another matter, the utility should contact you by the close of the following business day.

If the utility does not contact you with its initial acknowledgement, does not provide its response to you within two weeks or the matter remains unresolved after you have received a response, you can contact us. We will then further investigate the matter and notify you in writing or by telephone of the decision and the reasons for the decision.

If you believe the initial decision is wrong, you can request an informal hearing. This request may be in writing and made within 15 days of the initial decision. You may be asked to submit certain documents to support your position. If you and the utility are unable to settle the complaint, the hearing officer will make a decision on your complaint and notify you in writing of the decision.

If you believe that the informal hearing officer's decision was wrong, you can appeal it within 15 days of the decision. Your written appeal must contend that there was an error by the hearing officer or reviewer that affected the decision or that evidence not previously available would affect the decision. All appeals, except those involving PSEG-LI, will be decided by the Public Service Commission. PSEG-LI appeals will be decided by Long Island Power Authority (LIPA).

If you have a complaint about your utility service you may contact us thru one of the following avenues:

By Telephone Via the Internet	Monday thru Friday 8:30am – 4:00pm 24 hours a day	800-342-3377 www.dps.ny.gov
In Writing	Please be sure to include as much detail as possible, including your account number, service address, telephone number and the specifics of your complaint.	NYS Dept. of Public Service Office of Consumer Services Three Empire State Plaza Albany, NY 12223-1350



How Utility Complaints Are Measured

The Office of Consumer Services reports complaint data by volume and by responsiveness. A complaint rate is used to compare small utilities to large utilities. A response index is used to measure how well utilities address consumer complaints we forwarded to them.

The Office of Consumer Services closely monitors the number and types of complaints received against all utilities operating in New York State. We expect utility companies to be highly responsive to their customers' needs, especially when the customer feels that it is necessary to seek the assistance of the Department of Public Service staff. Each month, this report provides an overview of complaint activity and utility responsiveness which we believe is informative to both consumers and utility companies.

The table titled **Complaint Activity of New York's Major Utilities** reports on the volume of complaints received against the largest utilities in each industry. These utility companies vary in size from just over 10,000 customers to several million customers. Therefore, in order to compare complaint volumes among companies, a complaint rate per 100,000 customers is displayed. This allows the reader to compare the complaints of a large company to that of a small company.

There are two measures of complaints which are reported each month. At first all complaints are recorded and forwarded to the utility for resolution directly with the customer. These are noted as <u>initial complaints</u> (QRS) in the table titled **Complaint Activity of New York's Major Utilities**. If the customer informs us that the utility failed to satisfy their complaint the matter is escalated for further handling and investigation by staff and is noted as <u>escalated complaints</u> (SRS). Both numbers are converted into a complaint rate which allows the reader to compare performance regardless of the size of a company's customer base. The <u>escalation rate</u> is a measure of how successful a utility is in satisfying their customer upon receipt of an initial complaint made through the Office of Consumer Services. The <u>12 month complaint rate</u> is often used as one of several customer service delivered by an individual utility. This rate represents the average number of escalated complaints received per month per 100,000 customer accounts.

The table titled **Customer Service Response Index** (CSRI) reports on the level of customer service and responsiveness delivered by each service provider. The Customer Service Response Index is determined by measuring four metrics. Complete CSRI data is posted on the first page of the report for those service providers that average ten or more initial complaints per month. For all other service providers, the performance in each area is reported on subsequent pages of the table, less the actual index measures because the index measures for companies with fewer than ten initial complaints have been found to show significant fluctuations on a month to month basis. These fluctuations may result in the reader reaching an inaccurate conclusion as to a service provider's performance. If a company is not listed in a particular monthly report it is because there was no activity for the company in the reporting month.

The **Index** is determined by measuring four metrics:

<u>The Consumer Satisfaction Metric (CSM)</u> is a ratio of the number of <u>initial complaints</u> to the number of <u>escalated complaints</u> in the reporting month. A score of 5 points is awarded when a service provider receives no escalated complaints during the reporting month. There is no score awarded if a service provider satisfies less than 50% of the customers that the Department refers to them.

<u>The Complaint Response Time Metric (CRM)</u> is the average number of days it took the service provider to respond to <u>initial complaints</u> closed in the reporting month. A score of 2 points is awarded when a provider's average response time for <u>initial complaints</u> is 14 days or less. No points are earned if the average response time for <u>initial complaints</u> is more than 28 days (twice the acceptable reply standard).

<u>The Escalated Complaint Response Time Metric (ERM)</u> is the average number of days it took the service provider to respond to <u>escalated complaints</u> closed in the reporting month. A score of 2 points is awarded when a service provider's average response time for <u>escalated complaints</u> is 10 days or less. No points are earned if the average response time for <u>escalated complaints</u> is more than 25 days (two weeks past due).

<u>The Pending Case Metric (PCM)</u> is the average age of all cases awaiting response, determined on the last day of the reporting month. A score of 1 point is awarded when a service providers' average age of all cases is 14 days or less. No points are earned if the average age of all cases exceeds 70 days (two months delinquent). A negative score is applied if the average age of all cases is over 70 days.

Complaint Activity of New York's Major Utilities July 2016

Utility Companies	Initial Cor (QR	-	Escalated (Complaints	Escalation	12 Month Escalated
	No.	Rate*	No.	,	Rate	Complaint Rate
Central Hudson Gas & Electric Corp.	42	13.7	1	0.3	2%	0.3
Con Edison of New York	341	9.6	41	1.2	12%	1.5
PSEG Long Island	58	5.1	0	0.0	0%	0.4
National Grid - L I	38	6.5	3	0.5	8%	0.8
New York State Electric & Gas Corp.	99	10.5	8	0.8	8%	0.6
National Grid-Upstate	280	16.4	13	0.8	5%	0.6
Orange & Rockland	17	7.3	0	0.0	0%	0.1
Rochester Gas & Electric Corp.	79	19.1	4	1.0	5%	0.7
National Grid-Metro NY	100	8.0	4	0.3	4%	0.4
National Fuel Gas Distribution	17	3.2	1	0.2	6%	0.1
Citizens Communications	12	9.0	0	0.0	0%	0.4
Frontier Communications of NY	3	9.7	0	0.0	0%	1.1
Frontier Telephone of Rochester, Inc.	11	7.1	3	1.9	27%	0.8
Windstream Communications, Inc.	1	2.7	1	2.7	100%	1.6
Verizon Communications	221	8.1	48	1.7	22%	1.4
AT&T	10		4		40%	
Optimum Voice	9		1		11%	
Time Warner Cable Information Services	16		3		19%	
Verizon Digital Voice	8		5		63%	
Cablevision Systems	22		2		9%	
Time-Warner Cable	130		14		11%	
Verizon New York, Inc. (CATV)	16		2		13%	
Long Island Water Corp.	7	5.7	1	0.8	14%	1.4
United Water - New York	12	16.1	2	2.7	17%	3.1
United Water - Westchester	4	9.2	0	0.0	0%	1.7

All complaint rates are based on December 2015 customer populations.

* - Complaints per 100,000 customer accounts where populations are reported by the utility

This table reports on the volume of complaints received against the largest utilities in each industry.

Initial Complaints (QRS) - This is the number (No.) of complaints we receive and forward to the utility company for resolution directly with the customer and the corresponding complaint rate (Rate) per 100,000 customer accounts.

Escalated Complaints (SRS) - This is the number (No.) of complaints that we escalated for further handling and investigation because the customer informed us that the utility failed to satisfy their initial complaint after we forwarded the initial complaint to the utility. The corresponding escalated complaint rate (Rate) per 100,000 customer accounts allows the reader to compare one utility to another regardless of the number of customer accounts.

Escalation Rate - This is a measure of how successful a utility is in satisfying their customer upon receipt of an initial complaint made through the Office of Consumer Services. The lower the rate the more successful the utility was in resolving initial complaints directly with the customer.

12 Month Escalated Complaint Rate - This rate represents the average number of escalated complaints received per month per 100,000 customer accounts. This is often used as one of several customer service measures that may be taken into consideration when staff monitors the quality of customer service delivered by an individual utility.

Customer Service Response Index July 2016

Service Provider	Initial Complaints	Escalated Complaints	CSM Index	Complaint Response Time	CRM Index	E. Complaint Response Time	ERM Index	Avg. Age of Cases Pending	PCM Index	CSRI
PSEG Long Island	58	0	5.0	3.2	2.0	4.1	2.0	4.2	1.0	10.0
Orange & Rockland	17	0	5.0	2.6	2.0	0.0	2.0	0.0	1.0	10.0
Citizens Communications	12	0	5.0	14.2	1.9	6.9	2.0	11.3	1.0	9.9
Central Hudson Gas & Electric Corp.	42	1	4.8	4.9	2.0	11.5	1.9	12.1	1.0	9.7
National Grid - Upstate	280	13	4.5	2.9	2.0	7.1	2.0	10.4	1.0	9.5
Rochester Gas & Electric Corp.	79	4	4.5	2.9	2.0	3.0	2.0	9.8	1.0	9.5
National Fuel Gas Distribution	17	1	4.4	6.8	2.0	3.4	2.0	9.5	1.0	9.4
Cablevision of New York City	15	1	4.3	11.2	2.0	3.2	2.0	13.3	1.0	9.3
New York State Electric & Gas Corp.	99	8	4.2	3.6	2.0	4.0	2.0	15.5	0.9	9.1
Time Warner - Rochester	11	1	4.1	6.3	2.0	0.2	2.0	17.0	0.9	9.0
National Grid - L I	38	3	4.2	8.3	2.0	9.6	2.0	25.4	0.7	8.9
National Grid - Metro Ny	100	4	4.6	9.4	2.0	17.5	1.3	21.4	0.8	8.7
Mpower Energy LLC	11	1	4.1	16.1	1.7	13.7	1.7	7.1	1.0	8.5
Con Edison Of New York	341	41	3.8	10.7	2.0	15.7	1.5	8.8	1.0	8.3
Time Warner - Syracuse Division	28	3	3.9	5.5	2.0	9.2	2.0	43.2	0.4	8.3
Direct Energy Services LLC	12	2	3.3	7.3	2.0	3.0	2.0	13.3	1.0	8.3
Verizon New York Inc.	16	2	3.8	15.1	1.8	14.1	1.6	16.5	0.9	8.1
Time Warner Cable Information Servic	: 16	3	3.1	13.4	2.0	10.2	1.9	16.9	0.9	7.9
Time Warner - Albany	22	1	4.5	2.8	2.0	24.9	0.2	0.0	1.0	7.7
Family Energy, Inc.	12	3	2.5	10.1	2.0	1.9	2.0	10.1	1.0	7.5
Frontier Telephone of Rochester, Inc.	11	3	2.3	17.5	1.6	5.9	2.0	10.2	1.0	6.9
AT&T	10	4	1.0	8.0	2.0	3.2	2.0	11.0	1.0	6.0
Verizon Communications	221	48	2.8	15.5	1.8	31.1	0.0	17.0	0.9	5.5
Time Warner - New York City	52	9	3.3	9.1	2.0	44.1	0.0	59.5	0.1	5.4
Time Warner - Buffalo	17	0	5.0	5.1	2.0	17.9	1.3	113.0	-9.0	0.0
United Water-New York	12	2	3.3	6.0	2.0	0.1	2.0	128.5	-9.0	0.0

This table reports on the current level of customer service and responsiveness delivered by each service provider under the Department's jurisdiction. The Customer Service Response Index is determined by measuring four metrics. Complete CSRI data is posted on the first page of the report for those service providers that average ten or more initial complaints per month. For all other service providers, the performance in each area is reported on subsequent pages of the table, less the actual index measures because the index measures for companies with fewer than ten initial complaints have been found to show significant fluctuations on a month to month basis. These fluctuations may result in the reader reaching an inaccurate conclusion as to a service provider's performance. If a company is not listed on this report it is because there was no activity for the company in the reporting month. Below is an explanation of the data in each column. Exhibit 1131 Part 4 **Initial Complaints** - This is the number of initial complaints we receive and forward to the utility company for resolution directly with the customer.

Escalated Complaints - This is the number of complaints that we escalated for further handling and investigation because the customer informed us that the utility failed to satisfy their initial complaint after we forwarded the initial complaint to the utility.

CSM Index - The Consumer Satisfaction Index scores the ratio of the number of initial complaints to the number of escalated complaints in the reporting month. A score of 5 points are awarded when a service provider receives no escalated complaints during the reporting month. There is no score awarded if a service provider satisfies less than 50% of the customers that the Department refers to them.

Complaint Response Time - This is the average number of days it took for a utility to respond to initial complaints in the reporting month.

CRM Index - The Complaint Response Time Index scores the service provider's responsiveness to initial complaints closed in the reporting month. A score of 2 points is awarded when a provider's average response time for initial complaints is 14 days or less. No points are earned if the average response time for initial complaints is more than 28 days (twice the acceptable reply standard).

E. Complaint Response Time - This is the average number of days it took for a utility to respond to escalated complaints in the reporting month.

ERM Index - The Escalated Complaint Response Time Index scores the service providers responsiveness to escalated complaints closed in the reporting month. A score of 2 points is awarded when a provider's average response time for escalated complaints is 10 days or less. No points are earned if the average response time for escalated complaints is more than 25 days (two weeks past due).

Avg. Age of Cases Pending - This is the average age of all the cases awaiting a response from the service provider.

PCM Index - The Pending Case Index scores the average age of all cases awaiting response by the service provider. A score of 1 point is awarded when a service providers' average age of all cases is 14 days or less. No points are earned if the average age of all cases exceeds 70 days (two months delinquent). A negative score is applied if the average age of all cases is over 70 days.

CSRI - The Customer Service Response Index is the overall score received by the service provider. It is the sum of the four indices.

Aurily Durily	Initial Complaints	Escalated	CSM Index	Complaint Response Time	CRM Index	E. Complaint Response Time	ERM Index	Exhibit 11 Avg. Age of Cases Pending	31 Part 4 PCM Index	CSRI
Service Provider	•		muex		muex		muex		muex	CSKI
125 Court Street, LLC	0	0		0.0		0.0		581.0		
3462 Third Avenue Realty Llc	0	0		0.0		777.8		0.0		
ABC Energy LLC	1	0		4.0		0.0		11.0		
ACN Communication Services, Inc.	0	0		0.0		4.9		0.0		
Affordable Power, L.P.	0	1		29.0		8.9		0.0		
Aggressive Energy, Llc	0	0		0.0		0.0		74.0		
Agway Energy Services, LLC.	4	0		4.5		0.0		4.5		
Akam Associates	0	0		0.0		0.0		286.0		
Alpha Gas And Electric, Llc	0	1		92.0		0.9		0.0		
Ambit Energy	5	4		25.4		6.5		5.0		
American Communications Network, I	0	0		0.0		0.0		76.0		
American Power & Gas, LLC	2	0		6.0		0.0		5.0		
Ap Gas & Electric (ny), Llc	2	0		0.0		0.0		13.5		
Arbor Hills Waterworks	7	0		5.0		0.0		0.0		
ASC Energy Services, Inc.	0	0		0.0		7.1		0.0		
Astral Energy LLC	2	0		14.0		0.0		51.4		
Atlantic Energy, LLC	6	1		7.3		0.1		0.0		
Atlantic Power & Gas LLC	1	1		38.0		0.0		8.0		
Augustus & James Corp.	0	0		0.0		0.0		297.0		
Be@Schermerhorn	0	0		0.0		0.0		158.0		
Birch Communications, Inc.	0	0		0.0		0.0		73.0		
Broadview Networks	5	0		8.5		0.0		11.0		
Brown's Fuel	1	0		0.0		0.0		31.0		
BTI Communications, Inc. d/b/a TELZE		1		9.8		0.0		130.6		
Cablevision - MediaOne - Rockland	0	0		0.0		0.0		0.0		
Cablevision - MediaOne - US Cablevis	-	0		14.0		0.2		0.0		
Cablevision - MediaOne - Westcheste	-	0		0.0		0.0		4.0		
Cablevision Lightpath, Inc.	1	0		8.0		0.0		0.0		
Cablevision of Dutchess County	1	0		1.0		0.0		0.0		
Cablevision of East Hampton	0	0		12.0		0.0		0.0		
Cablevision of Long Island	2	0		9.3		0.0		0.0		
Cablevision of Rockland	0	0		9.5 0.0		0.0		48.0		
Cablevision of Warwick	0	0		0.0 3.0		0.0		48.0		
Cablevision of Westchester		-								
Carousel Park Preservation L.P.	2 0	1		6.0 0.0		0.0		3.0		
Charter Communications	-	0				313.1		0.0		
Chatham Green Inc.	0	0		15.0		0.0		0.0		
	0	0		0.0		0.0		59.0		
Chautauqua & Erie Telephone Corp.	1	0		1.0		0.0		0.0		
Citizens Choice Energy, LLC	0	0		0.0		0.0		0.0		
City of Jamestown Board of Public Util		0		0.0		0.0		0.0		
City Power & Gas, LLC	1	0		3.0		0.0		0.0		
Clearview Energy, Inc.	0	0		14.0		0.0		0.0		
Clinton Hills Apts Owners Corp	0	0		0.0		632.9		0.0		
Columbia Utilities Power, Llc (electric)	1	0		1.0		0.0		0.0		
Comcast Cable of New York - CATV	3	0		0.0		0.0		10.7		
Comcast Phone Of New York, Llc D/b		0		0.0		0.0		11.0		
Con Edison Solutions	1	0		9.5		0.0		0.0		
Constellation Energy Gas Choice Inc.	0	0		0.0		0.0		0.0		
Constellation NewEnergy	3	1		9.5		0.1		10.0		
CornerStone Telephone Company, LL	0	0		0.0		1.8		0.0		
Corning Natural Gas Corp.	1	0		6.0		0.0		0.0		
CTC Communications Corp.	1	0		4.0		0.0		0.0		

Carries Descider	Initial Complaints	Escalated Complaints	CSM Index	Complaint Response Time	CRM Index	E. Complaint Response Time	ERM Index	Exhibit 11 Avg. Age of Cases Pending	31 Part 4 PCM Index	CSRI
Service Provider	•	•	muex		muex		muex	•	muex	CSKI
Deposit Telephone	1	0		0.0		0.0		4.0		
Direct Energy Business Marketing, LLC		0		12.0		0.0		0.0		
Dsf IV New Rochelle Owner Llc D/b/a I		0		0.0		0.0		286.0		
Dutchess Estates Water	0	0		0.0		0.0		82.0		
Edwards Telephone	0	0		15.0		0.0		0.0		
Eligo Energy Ny, Llc	5	2		12.8		10.6		4.0		
Elmwood Square Preservation, L.P.	0	0		0.0		167.9		0.0		
Emerald Green-Lake Louise Marie Wa	0	1		13.0		0.0		20.0		
Entrust Energy East, Inc.	1	0		0.0		0.0		3.0		
Ethical Electric, Inc	0	0		10.0		0.0		0.0		
Forest Park Water Co. Inc.	0	1		15.0		0.0		53.7		
Friedlander Water Supply	1	1		2.0		0.0		4.0		
Frontier Communications of AuSable V	2	0		0.8		0.0		7.0		
Frontier Communications of NY/aka High	3	0		10.1		6.0		3.0		
Frontier Communications of Rochester	4	0		5.3		0.0		19.5		
Frontier Utilities Northeast LLC	1	0		6.0		0.0		0.0		
Galaxy Energy Llc	1	1		10.0		0.0		7.0		
Global Energy, LLC	0	0		31.0		0.0		0.0		
Global Telecom & Technology America	1	0		0.0		0.0		14.0		
Granite Telecommunications, Llc	1	0		0.0		0.0		33.0		
Great Eastern Energy	1	0		1.0		62.0		0.0		
Green Mountain Energy	1	0		14.0		31.0		3.0		
Grenadier Realty Corp	0	1		0.0		1.9		479.0		
H & S Property Management	0	0		0.0		0.0		287.0		
Hamilton County/Gore Mt. Cable TV Inc		0		0.0		0.0		181.0		
Hiko Energy, LLC	1	0		0.0		0.0		0.0		
IDT America Corp.	3	0		20.0		0.0		0.0		
ldt Energy, Inc.	5	1		5.2		6.2		3.0		
Josco Energy Corp	5	0		9.0		0.0		0.0		
Just Energy New York Corp	1	1		43.0		0.0		7.0		
Kiamesha Artesian Spring Water Co.,	0	0		0.0		0.0		112.0		
King Tract Utilities c/o Melohn Propertie	-	0		0.0		0.0		382.0		
Kiwi Energy Inc.	1	0		0.0		0.0		14.0		
Knolls Water Co.	1	1		5.0		0.0		14.0		
Level 3 Communications, LLC	0	0		0.0		0.0		35.0		
Lexington Power & Light, LLC	1	0		2.0		0.0		0.0		
Liberty Power Corp.	4	0		14.2		0.0		6.0		
Maiden Lane Properties, LLC	0	0		0.0		0.0		437.0		
Major Energy Services LLC	5	1		11.6		6.4		0.0		
Marathon Energy Corporation	4	1		7.0		6.9		10.5		
Master Call Communications, Inc.	1	0		22.0		0.0		0.0		
MCI	2	1		11.0		3.2		0.0		
Metropolitan Telecommunications	1	0		0.0		0.0		0.0		
Mid Hudson Cablevision, Inc.	1	1		6.0		1.0		0.0		
New York American Water	7	1		4.6		5.5		45.6		
Nicholville Telephone Company	1	1		1.0		0.0		0.0		
North American Power & Gas LLC	2	0		11.0		26.9		18.3		
North Energy LLC	2	0		11.0		0.0		7.0		
NorthEastern Energy Corporation	0	0		0.0		0.0		7.0 119.0		
Nysandy7 Story Llc	0	0		0.0		0.0		297.0		
Optimum Voice	9	1		0.0 5.6		0.0		10.0		
Pay Less Energy, LLC	9 2	0		5.6 2.0		0.0		10.0		
r ay Less Linergy, LLO	2	U		2.0		0.0		10.0		

				Complaint		E. Complaint		Exhibit 11 <i>Avg. Age</i>		
Service Provider	Initial Complaints	Escalated Complaints	CSM Index	Response Time	CRM Index	Response Time	ERM Index	of Cases Pending	PCM Index	CSRI
Penelec (A First Energy Company)	6	0		3.3		0.0		7.0		
Perigee Energy, LLC	1	0		0.0		0.0		4.0		
Pheasant Hill Developers.	0	0		0.0		0.0		0.0		
Plymouth Rock Energy LLC	2	0		8.0		0.0		0.0		
Premier Empire Energy LLC	3	0		0.0		0.0		0.0		
Primelink, Inc.	1	1		5.0		0.9		0.0		
Public Power Llc	1	1		33.0		13.0		7.5		
Queens Fresh Meadow Electric	0	0		0.0		0.0		227.5		
Rcn Telecom Services Of New York, I	0	0		0.0		0.0		0.0		
Reliant Energy Northeast LLC	0	0		135.2		0.1		0.0		
Residents Energy, LLC	3	0		7.8		0.0		0.0		
Riverview II Preservation Lp	0	0		0.0		0.0		404.0		
Robison Energy Of Westchester	0	0		14.0		0.0		0.0		
Roosevelt Island Associates	0	0		0.0		791.0		117.0		
Saratoga Glen Hollow Water Corp.	1	0		10.0		0.0		0.0		
Smart One Energy, LLC	1	0		0.0		0.0		6.0		
South Bay Energy Corp.	3	0		5.5		0.0		3.0		
Spark Energy, L.P.	8	1		12.6		1.1		11.0		
Spectrotel, Inc.	1	0		8.0		0.0		0.0		
Sprint Communications	0	0		0.0		0.0		0.0		
Stellar Management Company	0	0		0.0		28.0		0.0		
Sterling Telecom, LLC	0	0		0.0		0.0		61.0		
Taconic Telephone Corp.	0	0		43.0		0.0		0.0		
The Chaffee Water Works Company	0	0		0.0		0.0		118.0		
Titan Gas, LLC	0	0		0.0		0.0		42.0		
Towers on the Park	0	0		0.0		569.9		0.0		
Trident Retail Energy, LLC dba Trident	t 0	0		10.0		0.0		0.0		
Tristate Bell Inc	0	0		38.0		0.0		140.0		
U.S. Gas & Electric, Inc.	3	3		20.6		7.8		6.0		
United Water Westchester Inc.	4	0		1.0		0.0		35.0		
Utility Expense Reduction LLC	3	0		2.2		0.0		0.0		
Verizon Digital Voice	8	5		19.5		7.7		13.6		
Verizon Long Distance Llc (ld)	0	0		0.0		0.0		73.0		
Village of Endicott	1	0		7.0		0.0		6.0		
Viridian Energy Ny, Llc	3	0		9.8		8.0		5.0		
Windstream Communications, Inc.	1	1		12.0		0.0		47.0		
World-Link Solutions, Inc	1	0		0.0		0.0		17.0		
XChange Telecom	3	1		10.0		11.8		9.7		

2016 Credit Adjustments Received For Consumers

As a result of our investigation into consumers' complaints, when staff determines that a consumer was overbilled, the utility is directed to refund to the consumer, any monies it collected above and beyond what was allowed by tariffs, rules and regulations. The chart below identifies the credits obtained on behalf of consumers.

	Total	Consumers
Jan-16	\$191,889.11	103
Feb-16	\$194,994.20	113
Mar-16	\$710,252.88	123
Apr-16	\$208,820.40	116
May-16	\$320,169.70	92
Jun-16	\$210,276.06	134
Jul-16	\$174,940.80	109
Aug-16		
Sep-16		
Oct-16		
Nov-16		
Dec-16		
2016 Total	\$2,011,343.15	790

13

Number of Initial Complaints Received Against ESCO's

									<u>94</u>							
Code	Company Name	2016	2015	Jul-16	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15
6898AB	ABC Energy LLC	8	3	1	3	0	2	0	1	1	2	1	0	0	0	0
5567AB	ABN Energy, LLC DBA GreatEnergy	0	3	0	0	0	0	0	0	0	0	0	0	1	0	0
D128	Accent Energy Midwest, LLC	1	6	0	0	1	0	0	0	0	0	2	2	1	0	0
D249	Affordable Power, L.P.	1	1	0	1	0	0	0	0	0	0	0	1	0	0	0
8281AG	Agera Energy	1	3	0	0	0	0	1	0	0	0	1	0	0	1	0
5020AG	Aggressive Energy, LLC	1	1	0	0	1	0	0	0	0	0	0	0	0	0	0
D001	Agway Energy Services, LLC.	25	64	4	2	2	3	6	4	4	4	5	2	0	4	6
5985AL	Alpha Gas And Electric, Llc	10	59	0	1	0	3	1	3	2	4	2	2	3	4	9
D230	Ambit Energy	544	1346	5	11	25	19	52	113	319	209	36	45	51	46	114
5411AM	American Power & Gas, LLC	62	319	2	2	8	1	18	18	13	8	14	25	11	23	22
D240	Ameristar Energy, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
	Amplified Power & Gas, LLC	0	6	0	0	0	0	0	0	0	0	0	0	0	0	0
6023AP	Ap Gas & Electric (ny), Llc	10	19	2	0	0	3	0	2	3	1	1	5	1	0	1
	ASC Energy Services, Inc.	6	2	0	0	0	1	0	5	0	0	1	1	0	0	0
6818AS	Astral Energy LLC	50	117	2	5	1	2	9	9	22	13	23	19	25	11	6
6481AT	Atlantic Energy, LLC	23	35	6	3	5	1	1	1	6	4	8	5	5	5	0
	Atlantic Power & Gas LLC	18	52	1	6	1	1	5	2	2	1	3	4	1	0	1
D222	BluCo Energy, LLC	10	18	0	0	0	0	0	1	0	1	1	0	3	0	4
D222 D217	BlueRock Energy, Inc.	0	10	0	0	0	0	0	0	0	0	2	0	1	7	0
5008BO	Bounce Energy NY, LLC	0	8	0	0	0	0	0	0	0	0	0	0	0	0	0
D113	Brown's Fuel	9	19	1	1	0	2	3	0	2	1	0	4	2	1	1
5246BU	BUY ENERGY DIRECT, LLC	2	8	0	1	0	2	3 1	0	2	0	0	4	2	1	0
9156CE		6	0 4	0	1	0	2	1	0	2	1	1	0	1	1	0
	CenStar Operating Company, LLC Champion Energy Services, LLC	0	4	0	0	0	2	0	0	2	0	1	0	0	0	0
6903CH D220	Chief Energy Gas, Llc.	2	3	0	0	0	0	0	2	0	1	0	0	0	0	0
			5	0	1	0	0	2	2	1	0	0	0	0	1	0
5773CH	Chief Energy Power, Llc	6	5 6	0	0	0	0	2	2	1	0	0	0	1	0	0
5325CI	Citizens Choice Energy, LLC	3 7	-	1	0	-	0	2	2	1	0	0	0	1	0	-
5592CI	City Power & Gas, LLC	3		0	1	1	0	 1	2	0	0	0	0	1	1	2
D238	Clearview Energy, Inc.		-			-	-			-	-	_	-	-		<u> </u>
D231	Columbia Utilities Power, Llc (electric)	15	27	1	2	1	2	2	4	3	1	4	0	3	2	1
D040	Columbia Utilities Power, Llc (gas)	8	31	0	0	1	1	1	4	1	2	3	2	2	0	2
5065CO	Community Energy Services, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
D086	Con Edison Solutions	7	11	1	2	0	2	1	1	0	1	1	0	0	1	1
6771CO	Constellation Energy Gas Choice Inc.	10	8	0	1	2	0	4	1	2	1	0	3	1	1	2
	Constellation NewEnergy	12	21	<u> </u>	3	0		1	1	3	0	3	0	0		2
D221	Constellation NewEnergy - Gas Divisio	0	9	0	0	0	0	0	0	0	0	2	0	0	0	0
8168DI	Direct Energy Business Marketing, LLC	6	6	1	0	2	0	2	1	0	1	1	4	0	0	0
5308DI	Direct Energy Business, LLC	0	35	0	0	0	0	0	0	0	0	0	1	2	8	2
D176	Direct Energy Services LLC	201	206	12	9	23	21	42	42	52	49	40	32	21	6	14
D006	East Coast Energy, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D256	East Coast Power, Llc	2	5	0	0	0	0	1	1	0	0	1	0	0	0	0
6922EL	Eligo Energy NY, Llc	37	46	5	3	5	5	4	12	3	0	1	2	1	2	2
D047	Empire Natural Gas Corporation	0	1	0	0	0	0	0	0	0	0	0	0	1	0	0
D087	Energetix, Inc.	0	48	0	0	0	0	0	0	0	0	0	0	5	4	6
8202EN	Energy Cooperative of America, Inc.	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0
D183	Energy Cooperative of New York	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
6031EN	ENERGY DISCOUNTERS, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	1	0
D243	Energy Plus Holdings LLC	12	30	0	1	0	0	3	6	2	1	0	1	3	1	5

Number of Initial Complaints Received Against ESCO's

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Code	Company Name	2016	2015	Jul-16	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15
5568EN	Energy Plus Natural Gas LP	1	4	0	0	0	0	0	0	1	1	0	0	1	0	0
D137	Energy Service Providers, Inc.	0	1	0	0	0	0	0	0	0	0	1	0	0	0	0
5424EN	Energy Solutions Co. LLC	0	2	0	0	0	0	0	0	0	0	0	1	0	0	0
5182EN	EnergyMark, LLC	0	4	0	0	0	0	0	0	0	0	0	0	0	0	0
D201	Entergy Solutions Ltd.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
8938EN	Entrust Energy East, Inc.	4	37	1	0	0	0	0	3	0	1	2	3	0	2	29
7005ET	Ethical Electric Benefit Co.	7	39	0	1	0	3	1	2	0	5	3	1	5	5	0
4920FA	Family Energy, Inc.	124	118	12	16	13	13	28	20	22	9	12	5	12	7	11
7383FR	Frontier Utilities Northeast LLC	35	19	1	0	1	0	3	10	20	10	1	2	3	1	0
6781FT	FTR Energy Services, LLC	0	10	0	0	0	0	0	0	0	0	0	0	3	1	2
6643GA	Galaxy Energy Llc	7	22	1	1	0	0	0	2	3	1	2	1	1	3	2
D046	Gateway Energy Services Corp.	0	83	0	0	0	0	0	0	0	0	0	2	8	7	6
4963GD	Gdf Suez Energy Resources Na, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	1	0	0
6424GD	GDF Suez Retail Energy Solutions, LL	0	3	0	0	0	0	0	0	0	0	1	1	0	0	0
5349GL	Glacial Natural Gas, Inc.	0	3	0	0	0	0	0	0	0	0	0	0	1	0	0
6009GL	Global Energy, LLC	2	2	0	2	0	0	0	0	0	0	0	0	0	0	0
D104	Great Eastern Energy	5	10	1	0	0	1	2	1	0	1	1	0	0	2	0
D104	Green Mountain Energy	19	33	1	1	2	2	6	2	5	2	0	2	7	3	2
4877GR	Greenlight Energy Inc.	17	25	0	1	1	1	3	7	4	1	3	1	3	0	2
D254	High Rise Energy Group, LLC	1	7	0	0	0	0	0	0	4	0	0	3	0	0	1
5302PR	Hiko Energy, Llc	9	20	1	0	3	3	0	2	0	0	0	2	1	2	1
D120	Hudson Energy Services, Llc	10	20	0	0	2	3	3	2	1	3	3	2	3	2	0
D120		38	168	5	3	2	6	3 9	9	4	10	13	2 13	3 14	15	11
D177 D188	IDT Energy, Inc. IGS Energy	0	6	0	0	2	0	9	9	4	0	0	0	0	15	1
D167		2	3	0	0	1	0	0	1	0	0	0	0	0	0	1
6647IR	Infinite Energy, Inc.	0	5	0	0	0	0	0	0	0	1	0	0	0	0	0
7041JO	Iron Energy LLC	51	110	5	7	8	12	6	9	4	8	6	4	12	13	10
D213	JOSCO Energy Corp	29	93	5	6	0 6	5	5	9 3	4	0 7	3	4 5	4	2	5
	Just Energy New York Corp		93	0	0	0	5 0	5 0	3 0	3 0	0	0	5 0	4	2	-
D015	Keyspan Energy Services, Inc. Kiwi Energy Inc.	0	-	1	-	4	1	6	8	7	8	1	13	16	16	0 12
6646KI	0,	27	143	_	0			-			-					
5520LE	Lexington Power & Light, LLC	2	0	1	0	0	0	0	0	1	0	0	0	0	0	0
D117	Liberty Power Corp.	23	52		2	3	4	6	3	1	2	4	4	2	3	1
2066	Long Island Power Authority	0	1	0	0	0	0	0	0	0		0	0	-	0	0
D147	M&R ENERGY RESOURCES CORPO	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D214	Major Energy Services LLC	54	109	5	9	9	11	11	5		~	5	8	8	<u> </u>	3
	Marathon Energy Corporation	30	21	4	7	1	4	5	6	3	2	1	3	1	1	4
D267	Mpower Energy LLC	59	113	11	7	7	9	8	9	8	20	6	12	14	3	7
6807MY	MyGrid Energy, Inc.	0	9	0	0	0	0	0	0	0	0	0	0	0	6	2
D020	Natgasco, Inc A Mitchell-Supreme C	2	2	0	0	1	0	1	0	0	0	1	0	0	0	0
5436NE	New Wave Energy Corp.	3	2	0	0	0	1	1	1	0	0	0	0	0	0	0
7379NE	NextEnergy Services LLC	1	0	0	0	0	0	1	0	0	0	0	0	0	0	0
4987NE	NextEra Energy Resources, LLC	2	7	0	0	0	0	1	0	1	1	0	1	0	2	0
D148	Noco Natural Gas, Llc	0	2	0	0	0	0	0	0	0	0	0	0	0	0	1
5787NO	North American Power & Gas LLC	18	140	2	2	1	3	6	3	1	6	0	3	2	6	3
6976NO	North Eastern States, Inc.	0	19	0	0	0	0	0	0	0	0	0	0	0	0	0
5479NO	North Energy LLC	1	5	1	0	0	0	0	0	0	1	1	1	1	0	0
D239	NorthEastern Energy Corporation	0	1	0	0	0	0	0	0	0	0	0	0	0	1	0
D103	NYSEG Solutions, Inc.	0	138	0	0	0	0	0	0	0	0	0	1	10	9	9

Number of Initial Complaints Received Against ESCO's

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Code	Company Name	2016	2015	Jul-16	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15	
4921OA	Oasis Power LLC, d/b/a Oasis Energy	7	14	0	2	1	0	1	3	0	1	1	2	1	2	0	
6645PA	Pay Less Energy, LLC	4	4	2	0	1	0	0	0	1	1	0	0	0	1	0	
6024PE	People's Power & Gas, Llc	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1	
6893PE	Perigee Energy, LLC	4	2	1	0	0	2	0	0	1	0	1	0	0	1	0	
5637PL	Planet Energy (NY) Corp.	0	5	0	0	0	0	0	0	0	0	0	0	0	0	1	
D237	Platinum Plus Energy Resources, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1	
D171	Plymouth Rock Energy LLC	7	36	2	0	0	0	4	1	0	1	1	4	6	2	1	
7871PR	Premier Empire Energy LLC	4	0	3	0	0	1	0	0	0	0	0	0	0	0	0	
D263	Public Power Llc	16	54	1	6	3	0	0	4	2	4	3	4	8	5	4	
6233RE	Reliant Energy Northeast LLC	8	6	0	0	1	1	3	3	0	2	0	0	0	1	0	
6616RE	Renaissance Power & Gas, Inc.	4	13	0	0	0	1	0	0	3	4	0	2	0	2	1	
6574RE	Residents Energy, LLC	25	51	3	3	4	3	4	4	4	5	5	5	6	5	7	
D093	Robison Energy Of Westchester	2	5	0	1	1	0	0	0	0	1	0	1	1	0	1	
5370SB	SBR Energy, LLC	1	5	0	0	0	1	0	0	0	0	0	0	0	0	0	
5481SJ	SJ Energy Partners	3	3	0	1	1	0	0	1	0	0	0	0	1	0	0	
4976SM	Smart One Energy, LLC	23	38	1	0	1	4	7	6	4	4	4	3	4	4	1	
6216SO	South Bay Energy Corp.	9	11	3	2	1	0	3	0	0	0	0	2	0	1	1	
5577SO	SouthStar Energy Services LLC	1	1	0	0	0	0	1	0	0	0	0	0	0	0	0	
D186	Spark Energy, L.P.	49	154	8	4	1	5	11	7	13	8	9	19	5	17	25	
7397SP	Sperian Energy Corp.	0	5	0	0	0	0	0	0	0	0	0	0	0	1	0	
5463ST	Starion Energy NY, Inc.	9	25	0	0	0	2	2	2	3	3	0	1	2	1	1	
6809ST	Stream Energy New York LLC.	1	9	0	0	0	0	0	1	0	1	0	0	0	1	1	
D223	Titan Gas, LLC	1	6	0	1	0	0	0	0	0	0	0	1	1	0	2	
9014TR	Trident Retail Energy, LLC DBA Trider	5	0	0	1	0	2	0	2	0	0	0	0	0	0	0	
D119	U.S. Gas & Electric, Inc.	44	52	3	8	3	6	10	7	7	3	6	2	2	2	3	
D500	Unidentified ESCO	2	0	0	0	0	1	0	0	1	0	0	0	0	0	0	
6008UN	United Energy Supply Corporation	3	11	0	0	0	0	0	3	0	2	1	0	0	1	2	
7346UN	United Metro Energy Services Corp.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0	
5461UT	Utility Expense Reduction LLC	27	51	3	1	1	1	7	10	4	8	6	11	4	8	0	
6894VE	Verde Energy USA New York, LLC	14	72	0	0	1	2	3	4	4	4	2	2	10	3	4	
5391VI	Viridian Energy NY, LLC	32	69	3	4	2	5	7	7	4	7	4	2	6	3	3	
6668XO	XOOM Energy New York, LLC	15	72	0	1	2	3	3	4	2	2	4	5	8	4	2	
	Total	2013	5044	139	160	169	194	342	417	592	469	275	321	347	314	391	

ESCO's with no complaints on file since January 2015 are not listed on this report.
Number of Escalated Complaints Received Against ESCO's

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Code	Company Name	2016	2015	Jul-16	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15
6898AB	ABC Energy LLC	3	0	0	0	1	0	0	1	1	0	0	0	0	0	0
5567AB	ABN Energy, LLC DBA GreatEnergy	0	1	0	0	0	0	0	0	0	0	0	0	1	0	0
D128	Accent Energy Midwest, LLC	1	1	0	0	0	0	0	0	1	1	0	0	0	0	0
D249	Affordable Power, L.P.	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0
D001	Agway Energy Services, LLC.	7	17	0	0	1	1	2	1	2	0	1	1	0	0	1
5985AL	Alpha Gas And Electric, Llc	3	15	1	0	0	0	1	1	0	2	1	2	0	1	2
D230	Ambit Energy	249	398	4	5	13	11	36	105	75	18	17	16	23	36	44
5411AM	American Power & Gas, LLC	7	26	0	2	0	2	0	3	0	1	1	2	1	1	0
6729AM	Amplified Power & Gas, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
6023AP	Ap Gas & Electric (ny), Llc	3	9	0	0	1	0	1	1	0	1	1	3	0	0	1
5465AS	ASC Energy Services, Inc.	2	0	0	0	1	0	1	0	0	0	0	0	0	0	0
6818AS	Astral Energy LLC	6	20	0	0	0	0	1	3	2	6	5	2	0	2	1
6481AT	Atlantic Energy, LLC	1	10	1	0	0	0	0	0	0	1	3	1	1	0	2
7844AT	Atlantic Power & Gas LLC	2	17	1	0	0	0	0	0	1	1	0	1	0	1	1
D222	BluCo Energy, LLC	0	3	0	0	0	0	0	0	0	0	0	0	1	0	1
5008BO	Bounce Energy NY, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D113	Brown's Fuel	2	4	0	0	0	0	0	1	1	0	1	1	0	0	0
	BUY ENERGY DIRECT, LLC	1	5	0	0	0	1	0	0	0	0	0	0	0	1	0
9156CE	CenStar Operating Company, LLC	1	2	0	0	0	0	0	0	1	0	0	1	0	1	0
6903CH	Champion Energy Services, LLC	0	1	0	0	0	0	0	0	0	1	0	0	0	0	0
				0	0	0	0	0	0	-	0	0	0	0	-	0
5773CH	Chief Energy Power, Llc	1	1	0	-	-	-	0	-	1	-	0	0	-	0	-
5592CI	City Power & Gas, LLC	0	10	0	0	0	0	-	0	0	0	0	0	0		0
D238	Clearview Energy, Inc.	0	1	-	0	0	0	0	-	0	0	-	-		0	0
D231	Columbia Utilities Power, Llc (electric)	5	4	0	1	0	0	0	2	2	0	0	0	1	2	0
D040	Columbia Utilities Power, Llc (gas)	3	6	0	0	1	0	1	0	1	0	0	0	0	0	0
5065CO	Community Energy Services, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
D086	Con Edison Solutions	0	2	0	0	0	0	0	0	0	0	1	0	0	0	0
D084	Constellation NewEnergy	1	4	1	0	0	0	0	0	0	0	0	0	0	0	0
D221	Constellation NewEnergy - Gas Divisio	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
8168DI	Direct Energy Business Marketing, LL	1	2	0	0	0	0	0	0	1	0	1	1	0	0	0
5308DI	Direct Energy Business, LLC	0	3	0	0	0	0	0	0	0	0	0	0	0	1	0
D176	Direct Energy Services LLC	57	37	2	1	5	5	15	13	16	9	7	8	5	1	3
D256	East Coast Power, Llc	1	1	0	0	0	0	0	1	0	0	0	0	0	0	0
6922EL	Eligo Energy NY, Llc	6	10	2	1	0	0	2	1	0	0	0	0	1	0	0
D087	Energetix, Inc.	0	16	0	0	0	0	0	0	0	0	1	0	1	2	2
D183	Energy Cooperative of New York	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
6031EN	ENERGY DISCOUNTERS, LLC	0	1	0	0	0	0	0	0	0	0	0	0	1	0	0
D243	Energy Plus Holdings LLC	1	6	0	0	0	0	0	1	0	0	0	0	1	1	0
5424EN	Energy Solutions Co. LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
5182EN	EnergyMark, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
8938EN	Entrust Energy East, Inc.	0	6	0	0	0	0	0	0	0	1	0	1	0	0	4
7005ET	Ethical Electric Benefit Co.	0	5	0	0	0	0	0	0	0	2	0	0	1	1	0
4920FA	Family Energy, Inc.	18	18	3	1	2	3	1	4	4	1	1	1	1	0	1
7383FR	Frontier Utilities Northeast LLC	9	4	0	0	0	0	2	1	6	0	0	2	0	0	0
6781FT	FTR Energy Services, LLC	0	5	0	0	0	0	0	0	0	0	0	1	0	0	1
6643GA	Galaxy Energy Llc	1	4	1	0	0	0	0	0	0	0	0	0	1	0	0
D046	Gateway Energy Services Corp.	0	29	0	0	0	0	0	0	0	0	0	1	1	1	6
D104	Great Eastern Energy	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0

Number of Escalated Complaints Received Against ESCO's

		calated complaints Received Against LOCO 3														
Code	Company Name	2016	2015	Jul-16	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15
D127	Green Mountain Energy	4	3	0	1	0	0	2	0	1	0	0	2	0	0	0
4877GR	Greenlight Energy Inc.	1	4	0	0	0	0	1	0	0	1	1	0	0	0	0
D254	High Rise Energy Group, LLC	1	4	0	0	0	0	0	1	0	0	0	3	0	0	0
5302PR	Hiko Energy, Llc	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D120	Hudson Energy Services, Llc	3	3	0	0	0	2	0	1	0	1	0	0	0	0	0
D177	IDT Energy, Inc.	6	5	1	0	0	2	3	0	0	1	0	0	1	0	0
7041JO	JOSCO Energy Corp	3	16	0	0	2	0	0	1	0	0	0	1	2	2	2
D213	Just Energy New York Corp	3	18	1	0	0	0	1	0	1	1	1	0	0	1	1
6646KI	Kiwi Energy Inc.	2	8	0	0	1	1	0	0	0	0	0	1	0	1	3
D117	Liberty Power Corp.	3	13	0	0	1	0	1	0	1	1	0	0	0	0	3
D214	Major Energy Services LLC	8	16	1	4	2	1	0	0	0	0	1	1	2	0	0
6007MA	Marathon Energy Corporation	10	2	1	1	2	0	3	1	2	0	0	0	0	0	0
D267	Mpower Energy LLC	5	12	1	1	0	0	1	2	0	1	1	2	0	1	1
6807MY	MyGrid Energy, Inc.	0	4	0	0	0	0	0	0	0	0	0	0	1	2	1
4987NE	NextEra Energy Resources, LLC	2	2	0	0	1	0	0	1	0	0	0	0	0	0	0
5787NO	North American Power & Gas LLC	14	55	0	3	0	7	0	2	2	0	1	0	1	1	0
6976NO	North Eastern States, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
5479NO	North Energy LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D239	NorthEastern Energy Corporation	0	1	0	0	0	0	0	0	0	0	1	0	0	0	0
D103	NYSEG Solutions, Inc.	0	45	0	0	0	0	0	0	0	0	0	0	3	0	5
4921OA	Oasis Power LLC, d/b/a Oasis Energy	1	3	0	0	0	0	0	0	1	0	0	0	0	0	0
6645PA	Pay Less Energy, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	1	0
6893PE	Perigee Energy, LLC	0	1	0	0	0	0	0	0	0	0	1	0	0	0	0
D237	Platinum Plus Energy Resources, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	1	0
D171	Plymouth Rock Energy LLC	0	7	0	0	0	0	0	0	0	0	1	1	1	1	1
D263	Public Power Llc	6	15	1	2	0	1	1	1	0	2	1	1	1	0	0
6233RE	Reliant Energy Northeast LLC	3	1	0	0	2	0	1	0	0	0	0	0	0	0	0
6616RE	Renaissance Power & Gas, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
6574RE	Residents Energy, LLC	0	2	0	0	0	0	0	0	0	0	1	0	0	0	0
D093	Robison Energy Of Westchester	0	2	0	0	0	0	0	0	0	0	1	0	1	0	0
5370SB	SBR Energy, LLC	1	1	0	0	1	0	0	0	0	0	0	0	0	0	0
5481SJ	SJ Energy Partners	1	0	0	0	0	0	1	0	0	0	0	0	0	0	0
4976SM	Smart One Energy, LLC	6	7	0	0	1	2	1	1	1	0	0	1	1	0	0
6216SO	South Bay Energy Corp.	0	1	0	0	0	0	0	0	0	0	0	0	0	1	0
D186	Spark Energy, L.P.	10	19	1	1	0	0	5	2	1	1	0	2	2	3	1
7397SP	Sperian Energy Corp.	0	3	0	0	0	0	0	0	0	0	0	0	1	0	0
6809ST	Stream Energy New York LLC.	0	5	0	0	0	0	0	0	0	0	0	0	0	1	1
D223	Titan Gas, LLC	0	3	0	0	0	0	0	0	0	0	1	0	0	0	1
D119	U.S. Gas & Electric, Inc.	14	10	3	3	2	3	2	1	0	1	0	1	1	1	1
6008UN	United Energy Supply Corporation	0	2	0	0	0	0	0	0	0	1	0	0	0	0	1
7346UN	United Metro Energy Services Corp.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
5461UT	Utility Expense Reduction LLC	3	1	0	0	0	0	1	1	1	0	0	0	0	0	0
6894VE	Verde Energy USA New York, LLC	5	15	0	0	0	0	2	1	2	0	0	0	2	2	2
5391VI	Viridian Energy NY, LLC	10	21	0	3	1	2	1	1	2	3	0	1	2	0	1
6668XO	XOOM Energy New York, LLC	1	22	0	0	0	0	0	1	0	1	2	3	2	0	2
	Total	520	1076	27	30	42	44	90		130	60	55	65	66	72	97

ESCO's with no complaints on file since January 2015 are not listed on this report.

		37					Jul									
Code	Company Name	2016	2015	Jul-16	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15
6898AB	ABC Energy LLC	4	1	0	2	2	1	2	0	,	0	2	0	0	4	0
5567AB	ABN Energy, LLC DBA GreatEnergy	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D128	Accent Energy Midwest, LLC	1	3	0	0	0	0	0	0	1	1	1	1	0	0	0
D120 D249	Affordable Power, L.P.	1	0	0	0	0	0	1	0	0	0	0	0	0	0	0
8281AG	Agera Energy	0	2	0	0	0	0	0	0	0	0	0	0	0	1	0
5020AG	Aggressive Energy, LLC	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0
D020A0	Agway Energy Services, LLC.	13	39	2	2	3	1	1	2	2	3	1	3	0	4	2
5985AL	Alpha Gas And Electric, Llc	4	26	0	0	0	0	2	2	0	2	2	1	1	3	4
D230	Ambit Energy	360	646	2	8	20	8	46	95	181	53	18	26	29	34	72
5411AM	American Power & Gas, LLC	31	156	2	1	20	0	40 7	10	9	2	6	9	23	8	10
6729AM	Amplified Power & Gas, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
6023AP	Ap Gas & Electric (ny), Llc	4	4	0	0	1	2	0	0	1	0	0	1	0	0	1
5465AS	ASC Energy Services, Inc.	1	0	0	0	0	0	0	1	0	0	0	0	0	0	0
6818AS	Astral Energy LLC	9	27	0	1	0	0	2	0	6	2	4	5	3	1	1
6481AT	Atlantic Energy, LLC	4	8	0	1	1	0	2	0	2	2	4	2	2	0	0
7844AT	Atlantic Power & Gas LLC	5	9	1	2	0	0	1	0	 1	0	2	2	2	0	0
D222	BluCo Energy, LLC	0	9 7	0	2	0	0	0	0	0	0	0	0	2	0	3
D222 D217	BlueRock Energy, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	2	2	0
5008BO	Bounce Energy NY, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	2	0
D113	Brown's Fuel	5	7	0	0	0	1	2	0	2	2	0	2	0	0	0
5246BU	BUY ENERGY DIRECT, LLC	0	2	0	0	0	0	2	0	2	2	0	2	0	0	0
9156CE	CenStar Operating Company, LLC	4	0	0	0	0	2	1	0	1	0	0	0	0	0	0
D220	Chief Energy Gas, Llc.		1	0	0	0	2	0	0	0	0	0	0	0	0	0
5773CH	Chief Energy Power, Llc	3	1	0	0	0	0	0	1	2	0	0	0	0	0	0
5325CI	Citizens Choice Energy, LLC	0	2	0	0	0	0	0	0	2	0	0	0	0	0	0
5592CI	City Power & Gas, LLC	4	14	0	0	0	0	1	2	1	0	0	0	1	0	1
D238	Clearview Energy, Inc.	0	3	0	0	0	0	0	0	0	0	0	0	0	0	0
D230	Columbia Utilities Power, Llc (electric)	8	13	0	1	1	0	1	4	1	1	2	0	2	2	1
D231	Columbia Utilities Power, Lic (electric)	2	11	0	0	0	0	1	4	0	1	1	1	2	0	1
5065CO	Community Energy Services, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
D086	Con Edison Solutions	1	8	0	0	1	0	0	0	0	0	0	0	0	1	0
6771CO	Constellation Energy Gas Choice Inc.	6	4	1	0	1	0	2	1	1	1	0	0	1	1	1
D084	Constellation NewEnergy	1	11	0	1	0	0	0	0	0	0	0	0	0	0	1
D004	Constellation NewEnergy - Gas Divisio		2	0	0	0	0	0	0	0	0	0	0	0	0	0
8168DI	Direct Energy Business Marketing, LL		0	0	0	0	0	2	0	0	0	0	0	0	0	0
5308DI	Direct Energy Business, LLC	0	18	0	0	0	0	0	0	0	0	0	0	1	1	0
D176	Direct Energy Services LLC	79	80	0	2	5	9	23	18	22	16	21	8	9	1	7
D176	East Coast Power, Llc	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
6922EL	Eligo Energy NY, Llc	12	28	2	1	2	2	0	5	0	0	1	1	0	0	0
7398EM	Empire Energy Services, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D047	Empire Natural Gas Corporation	0	1	0	0	0	0	0	0	0	0	0	0	1	0	0
D047 D087	Energetix, Inc.	0	18	0	0	0	0	0	0	0	0	0	0	2	3	0
6031EN	ENERGY DISCOUNTERS, LLC	1	0	0	0	1	0	0	0	0	0	0	0	2	0	0
D243	Energy Plus Holdings LLC	6	12	0	0	0	0	2	4	0	0	0	1	1	1	2
5568EN	Energy Plus Natural Gas LP	0	12	0	0	0	0	2	4	0	0	0	0	0	0	2
D137	Energy Service Providers, Inc.	0	1	0	0	0	0	0	0	0	0	1	0	0	0	0
5424EN	Energy Solutions Co. LLC	0	1	0	0	0	0	0	0	0	0	0	1	0	0	0
5424EN	EnergyMark, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
JIOZEIN	LICIYIVAIN, LLC	U	۷	U	U	U	U	U	U	U	U	U	U	U	U	U

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Code	Company Name	2016	2015	Jul-16	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15
D201	Entergy Solutions Ltd.	0	1	0	,	2	-√ 0	2	0	, 0	0	0	0	0	4	0
8938EN	Entrust Energy East, Inc.	1	15	0	0	0	0	0	1	0	0	0	0	0	0	15
7005ET	Ethical Electric Benefit Co.	3	27	0	0	0	3	0	0	0	4	2	1	2	3	0
4920FA	Family Energy, Inc.	59	62	7	5	6	9	9	14	9	1	6	1	5	6	8
7383FR	Frontier Utilities Northeast LLC	12	2	1	0	0	0	3	3	5	0	0	0	0	0	0
6781FT	FTR Energy Services, LLC	0	7	0	0	0	0	0	0	0	0	0	0	1	1	2
6643GA	Galaxy Energy Llc	3	10	0	0	0	0	0	2	1	1	0	1	0	3	0
D046	Gateway Energy Services Corp.	0	30	0	0	0	0	0	0	0	0	0	1	1	2	3
6424GD	GDF Suez Retail Energy Solutions, LL	0	1	0	0	0	0	0	0	0	0	1	0	0	0	0
5349GL	Glacial Natural Gas, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
6009GL	Global Energy, LLC	1	0	0	1	0	0	0	0	0	0	0	0	0	0	0
D104	Great Eastern Energy	1	4	0	0	0	1	0	0	0	1	1	0	0	1	0
D104	Green Mountain Energy	8	15	0	0	0	0	3	2	3	1	0	1	3	1	0
4877GR	Greenlight Energy Inc.	14	16	0	1	1	0	5	5	2	1	0	1	3	0	1
D254	High Rise Energy Group, LLC	2	10	0	0	0	0	0	1	2	0	0	0	0	0	0
5302PR	Hiko Energy, Llc	5	6	0	0	2	1	0	2	0	0	0	2	0	0	0
D120	Hudson Energy Services, Llc	6	4	0	0	0	4	2	0	0	1	0	1	1	0	0
D120	IDT Energy, Inc.	25	77	5	3	2	4 5	2	6	1	6	4	9	4	8	6
D177	IGS Energy	0	3	0	0	2	0	0	0	0	0	4	0	4	0	0
7041JO	JOSCO Energy Corp	32	38	4	5	6	5	3	7	2	4	3	0	6	4	5
D213	Just Energy New York Corp	10	29	4	3	0	5	3	1	2	4	0	1	0	4	2
6646KI	Kiwi Energy Inc.	10	29 82	1	3	3	1	3 1	3	2	3	1	7	8	2 11	2 5
5520LE	Lexington Power & Light, LLC	12	02	1	0	0	0	0	3 0	2	4	0	0	0 0	0	0
D117	Liberty Power Corp.	8	22	0	0	0	3	3	1	1	2	2	2	0	1	1
D117 D214	Major Energy Services LLC	19	33	3	4	4	4	2	1	1	2	0	2	0	1	0
6007MA	Marathon Energy Corporation	4	2	0	4	4	4	2	0	0	0	0	0	1	0	0
D267	Mpower Energy LLC	25	70	4	3	1	3	4	5	5	10	2	7	6	5	2
5436NE	New Wave Energy Corp.	1	0	0	0	0	0	1	0	0	0	0	0	0	0	0
4987NE	NextEra Energy Resources, LLC	2	3	0	0	0	0	0	1	1	0	0	1	0	0	0
4307NL 5787NO	North American Power & Gas LLC	11	85	0	2	0	3	2	4	0	2	0	0	1	4	2
6976NO	North Eastern States, Inc.	0	8	0	0	0	0	0	4	0	0	0	0	0	4	0
	North Energy LLC	0	1	0	0	0	0	0	0	0	1	0	0	0	0	0
D103	NYSEG Solutions, Inc.	0	66	0	0	0	0	0	0	0	0	0	0	8	5	7
4921OA	Oasis Power LLC, d/b/a Oasis Energy	2	3	0	0	0	0	1	1	0	0	0	1	0	0	0
	Pay Less Energy, LLC	2	1	1	0	1	0	0	0	0	1	0	0	0	0	0
6024PE	People's Power & Gas, Llc	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1
6893PE	Perigee Energy, LLC	2	1	0	0	0	1	0	0	1	0	0	0	0	1	0
5637PL	Planet Energy (NY) Corp.	0	5	0	0	0	0	0	0	0	0	0	0	0	0	1
D171	Plymouth Rock Energy LLC	1	16	0	0	0	0	1	0	0	0	0	2	3	1	0
D171	Public Power Llc	5	18	0	1	0	0	2	1	1	1	0	2	0	2	1
6233RE	Reliant Energy Northeast LLC	5	3	0	0	2	0	2	2	0	1	0	0	0	0	0
6616RE	Renaissance Power & Gas, Inc.	3	5	0	0	0	1	0	0	2	0	0	2	0	0	1
6574RE	Residents Energy, LLC	7	22	1	0	2	0	2	0	2	2	2	2	1	3	3
5370SB	SBR Energy, LLC	0	4	0	0	0	0	0	0	0	0	0	0	0	0	0
4976SM	Smart One Energy, LLC	8	18	1	0	0	0	2	3	2	3	1	0	1	5	1
6216SO	South Bay Energy Corp.	6	3	1	2	0	1	2	0	2	0	0	0	0	0	0
D186	Spark Energy, L.P.	16	53	2	2	0	1	4	3	5	4	2	4	3	5	9
7397SP	Sperian Energy Corp.	0	6	0	0	0	0	4	0	0	4	0	4	0	0	0
109105	openan Lhergy outp.	U	U	U	U	U	U	U	U	U	U	U	U	U	U	U

Code	Company Name	2016	2015	Jul-16	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15	Jul-15
5463ST	Starion Energy NY, Inc.	5	11	0	0	0	2	1	1	1	0	0	1	2	0	0
6809ST	Stream Energy New York LLC.	0	5	0	0	0	0	0	0	0	0	0	0	0	2	2
D223	Titan Gas, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
9014TR	Trident Retail Energy, LLC DBA Trider	1	0	0	0	0	1	0	0	0	0	0	0	0	0	0
D119	U.S. Gas & Electric, Inc.	14	30	2	3	1	5	1	0	2	0	2	1	1	1	2
D500	Unidentified ESCO	60	130	4	9	6	7	6	14	14	11	3	10	15	21	18
6008UN	United Energy Supply Corporation	1	6	0	0	0	0	0	1	0	1	1	0	0	0	2
7346UN	United Metro Energy Services Corp.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
5461UT	Utility Expense Reduction LLC	12	22	0	0	1	0	2	6	3	2	0	4	2	4	0
6894VE	Verde Energy USA New York, LLC	7	30	0	0	0	1	0	4	2	0	0	1	4	3	1
5391VI	Viridian Energy NY, LLC	18	30	0	4	2	3	2	2	5	1	0	0	2	1	4
6668XO	XOOM Energy New York, LLC	3	21	0	0	0	0	1	1	1	1	1	2	2	1	1
	Total	1015	2348	48	71	79	93	169	244	311	156	95	131	144	172	213

Deceptive marketing complaints are taken from customers who report situations where an energy service company or energy marketer solicits the customer's home or business in a manner which the customer believes is misleading or the customer was presented with information which the customer believes is untrue.





August 2016

Office of Consumer Services Monthly Report on Consumer Complaint Activity

Audrey Zibelman Chief Executive Officer

LuAnn Scherer Acting Director, Office of Consumer Services

Published September 29, 2016



Monthly Report on Consumer Complaint Activity

August 2016

Table of Contents

Director's Message	. 3
If You Have a Complaint About Your Utility Service	. 4
How Utility Complaints are Measured	. 5
Complaint Activity of New York's Major Utilities	. 7
Customer Service Response Index	. 8
Credits Obtained for Consumers	13
Number of Initial Complaints Received Against ESCO's	14
Number of Escalated Complaints Received Against ESCO's	17
Number of Marketing Complaints Received Against ESCO's	19



September 29, 2016

Dear Readers:

The Office of Consumer Services closely monitors the number and types of complaints received against all utilities operating in New York State. We strive to ensure that utilities fulfill their obligation to provide effective customer service in compliance with the laws, rules, regulations and policies we enforce.

Each month, this report provides an overview of complaint activity and utility responsiveness during the preceding month which is informative to both consumers and utility companies. Specific details regarding the way we measure the companies' activities are described in the section How Utility Complaint Data Is Reported.

The table titled Complaint Activity of New York's Major Utilities reports on the volume of complaints received against the largest utilities in each industry while the table titled Customer Service Response Index reports on the level of customer service and responsiveness delivered by each service provider.

The chart, Credit Adjustments Received for Consumers, reflects the amount of refunds or credits customers received as a result of our investigations. The Office of Consumer Services also monitors complaints against the competitive energy service companies (ESCO's) operating in New York. These complaints are reported in two tables; Number of Initial Complaints Received Against ESCO's and Number of Escalated Complaints Received Against ESCO's.

I hope this report is helpful in providing you with a summary of utility complaint activity. If you have any questions, please e-mail John.Auricchio@dps.ny.gov

Sincerely,

LuAnn Scherer Acting Director Office of Consumer Services



If You Have a Complaint About Your Utility Service

If you're having difficulty resolving a dispute with your regulated energy, telephone, cable television or water company, Department of Public Service staff is available to assist you.

The Office of Consumer Services takes all utility consumer complaints seriously. You can contact us toll-free by telephone, in writing or via the Internet. When you contact our office with a complaint about a utility or other service provider, we take immediate steps to address your concerns.

After we complete entering the details of your complaint we send your complaint to the utility by e-mail or fax. In an effort to ensure that utilities fulfill their obligation to provide effective customer service, we will first ask your utility to contact you and resolve your concern. If your complaint is related to the provision of service, your utility should contact you within two business hours. If your complaint is related to billing or another matter, the utility should contact you by the close of the following business day.

If the utility does not contact you with its initial acknowledgement, does not provide its response to you within two weeks or the matter remains unresolved after you have received a response, you can contact us. We will then further investigate the matter and notify you in writing or by telephone of the decision and the reasons for the decision.

If you believe the initial decision is wrong, you can request an informal hearing. This request may be in writing and made within 15 days of the initial decision. You may be asked to submit certain documents to support your position. If you and the utility are unable to settle the complaint, the hearing officer will make a decision on your complaint and notify you in writing of the decision.

If you believe that the informal hearing officer's decision was wrong, you can appeal it within 15 days of the decision. Your written appeal must contend that there was an error by the hearing officer or reviewer that affected the decision or that evidence not previously available would affect the decision. All appeals, except those involving PSEG-LI, will be decided by the Public Service Commission. PSEG-LI appeals will be decided by Long Island Power Authority (LIPA).

If you have a complaint about your utility service you may contact us thru one of the following avenues:

By Telephone Via the Internet	Monday thru Friday 8:30am – 4:00pm 24 hours a day	800-342-3377 www.dps.ny.gov
In Writing	Please be sure to include as much detail as possible, including your account number, service address, telephone number and the specifics of your complaint.	NYS Dept. of Public Service Office of Consumer Services Three Empire State Plaza Albany, NY 12223-1350



How Utility Complaints Are Measured

The Office of Consumer Services reports complaint data by volume and by responsiveness. A complaint rate is used to compare small utilities to large utilities. A response index is used to measure how well utilities address consumer complaints we forwarded to them.

The Office of Consumer Services closely monitors the number and types of complaints received against all utilities operating in New York State. We expect utility companies to be highly responsive to their customers' needs, especially when the customer feels that it is necessary to seek the assistance of the Department of Public Service staff. Each month, this report provides an overview of complaint activity and utility responsiveness which we believe is informative to both consumers and utility companies.

The table titled **Complaint Activity of New York's Major Utilities** reports on the volume of complaints received against the largest utilities in each industry. These utility companies vary in size from just over 10,000 customers to several million customers. Therefore, in order to compare complaint volumes among companies, a complaint rate per 100,000 customers is displayed. This allows the reader to compare the complaints of a large company to that of a small company.

There are two measures of complaints which are reported each month. At first all complaints are recorded and forwarded to the utility for resolution directly with the customer. These are noted as <u>initial complaints</u> (QRS) in the table titled **Complaint Activity of New York's Major Utilities**. If the customer informs us that the utility failed to satisfy their complaint the matter is escalated for further handling and investigation by staff and is noted as <u>escalated complaints</u> (SRS). Both numbers are converted into a complaint rate which allows the reader to compare performance regardless of the size of a company's customer base. The <u>escalation rate</u> is a measure of how successful a utility is in satisfying their customer upon receipt of an initial complaint made through the Office of Consumer Services. The <u>12 month complaint rate</u> is often used as one of several customer service delivered by an individual utility. This rate represents the average number of escalated complaints received per month per 100,000 customer accounts.

The table titled **Customer Service Response Index** (CSRI) reports on the level of customer service and responsiveness delivered by each service provider. The Customer Service Response Index is determined by measuring four metrics. Complete CSRI data is posted on the first page of the report for those service providers that average ten or more initial complaints per month. For all other service providers, the performance in each area is reported on subsequent pages of the table, less the actual index measures because the index measures for companies with fewer than ten initial complaints have been found to show significant fluctuations on a month to month basis. These fluctuations may result in the reader reaching an inaccurate conclusion as to a service provider's performance. If a company is not listed in a particular monthly report it is because there was no activity for the company in the reporting month.

The **Index** is determined by measuring four metrics:

<u>The Consumer Satisfaction Metric (CSM)</u> is a ratio of the number of <u>initial complaints</u> to the number of <u>escalated complaints</u> in the reporting month. A score of 5 points is awarded when a service provider receives no escalated complaints during the reporting month. There is no score awarded if a service provider satisfies less than 50% of the customers that the Department refers to them.

<u>The Complaint Response Time Metric (CRM)</u> is the average number of days it took the service provider to respond to <u>initial complaints</u> closed in the reporting month. A score of 2 points is awarded when a provider's average response time for <u>initial complaints</u> is 14 days or less. No points are earned if the average response time for <u>initial complaints</u> is more than 28 days (twice the acceptable reply standard).

<u>The Escalated Complaint Response Time Metric (ERM)</u> is the average number of days it took the service provider to respond to <u>escalated complaints</u> closed in the reporting month. A score of 2 points is awarded when a service provider's average response time for <u>escalated complaints</u> is 10 days or less. No points are earned if the average response time for <u>escalated complaints</u> is more than 25 days (two weeks past due).

<u>The Pending Case Metric (PCM)</u> is the average age of all cases awaiting response, determined on the last day of the reporting month. A score of 1 point is awarded when a service providers' average age of all cases is 14 days or less. No points are earned if the average age of all cases exceeds 70 days (two months delinquent). A negative score is applied if the average age of all cases is over 70 days.

Complaint Activity of New York's Major Utilities August 2016

	Initial Cor	-	Escalated (•	Escalation	12 Month
Utility Companies	(QR		(SF	RS)	Rate	Escalated
	No.	Rate*	No.			Complaint Rate
Central Hudson Gas & Electric Corp.	54	17.6	2	0.7	4%	0.3
Con Edison of New York	405	11.4	42	1.2	10%	1.4
PSEG Long Island	73	6.4	5	0.4	7%	0.3
National Grid - L I	36	6.1	7	1.2	19%	0.8
New York State Electric & Gas Corp.	94	10.0	7	0.7	7%	0.6
National Grid-Upstate	338	19.8	14	0.8	4%	0.6
Orange & Rockland	22	9.5	0	0.0	0%	0.1
Rochester Gas & Electric Corp.	85	20.6	2	0.5	2%	0.6
National Grid-Metro NY	128	10.3	2	0.2	2%	0.4
National Fuel Gas Distribution	18	3.4	0	0.0	0%	0.1
Citizens Communications	14	10.5	2	1.5	14%	0.5
Frontier Communications of NY	7	22.5	0	0.0	0%	1.1
Frontier Telephone of Rochester, Inc.	11	7.1	1	0.6	9%	0.8
Windstream Communications, Inc.	6	16.2	0	0.0	0%	1.6
Verizon Communications	266	9.7	45	1.6	17%	1.5
AT&T	15		0		0%	
Optimum Voice	7		2		29%	
Time Warner Cable Information Services	11		2		18%	
Verizon Digital Voice	22		4		18%	
Cablevision Systems	27		6		22%	
Time-Warner Cable	136		19		14%	
Verizon New York, Inc. (CATV)	22		3		14%	
Long Island Water Corp.	6	4.9	0	0.0	0%	1.3
United Water - New York	10	13.5	4	5.4	40%	3.1
United Water - Westchester	4	9.2	0	0.0	0%	1.7

All complaint rates are based on December 2015 customer populations.

* - Complaints per 100,000 customer accounts where populations are reported by the utility

This table reports on the volume of complaints received against the largest utilities in each industry.

Initial Complaints (QRS) - This is the number (No.) of complaints we receive and forward to the utility company for resolution directly with the customer and the corresponding complaint rate (Rate) per 100,000 customer accounts.

Escalated Complaints (SRS) - This is the number (No.) of complaints that we escalated for further handling and investigation because the customer informed us that the utility failed to satisfy their initial complaint after we forwarded the initial complaint to the utility. The corresponding escalated complaint rate (Rate) per 100,000 customer accounts allows the reader to compare one utility to another regardless of the number of customer accounts.

Escalation Rate - This is a measure of how successful a utility is in satisfying their customer upon receipt of an initial complaint made through the Office of Consumer Services. The lower the rate the more successful the utility was in resolving initial complaints directly with the customer.

12 Month Escalated Complaint Rate - This rate represents the average number of escalated complaints received per month per 100,000 customer accounts. This is often used as one of several customer service measures that may be taken into consideration when staff monitors the quality of customer service delivered by an individual utility.

Customer Service Response Index August 2016

Service Provider	Initial Complaints	Escalated Complaints	CSM Index	Complaint Response Time	CRM Index	E. Complaint Response Time	ERM Index	Avg. Age of Cases Pending	PCM Index	CSRI
Orange & Rockland	22	0	5.0	1.8	2.0	4.9	2.0	8.2	1.0	10.0
Family Energy, Inc.	11	0	5.0	10.4	2.0	0.0	2.0	7.6	1.0	10.0
AT&T (C)	15	0	5.0	5.1	2.0	11.0	1.9	0.0	1.0	9.9
Rochester Gas & Electric Corp.	85	2	4.8	2.4	2.0	5.5	2.0	11.1	1.0	9.8
National Grid - Upstate	338	_ 14	4.6	4.2	2.0	4.0	2.0	9.5	1.0	9.6
Central Hudson Gas & Electric Corp.	54	2	4.6	7.4	2.0	4.4	2.0	8.0	1.0	9.6
Josco Energy Corp	20	1	4.5	7.9	2.0	9.0	2.0	8.2	1.0	9.5
New York State Electric & Gas Corp.	94	7	4.3	7.1	2.0	7.7	2.0	12.2	1.0	9.3
PSEG Long Island	73	5	4.3	4.9	2.0	7.8	2.0	1.7	1.0	9.3
Time Warner - Albany	27	2	4.3	3.9	2.0	5.4	2.0	7.5	1.0	9.3
Time Warner - Rochester	13	1	4.2	8.1	2.0	0.0	2.0	2.5	1.0	9.2
Non-Jurisdictional / Deleted Complaint	22	2	4.1	0.0	2.0	4.3	2.0	0.0	1.0	9.1
Ambit Energy	11	1	4.1	8.6	2.0	4.2	2.0	2.5	1.0	9.1
Con Edison Of New York	405	42	4.0	12.9	2.0	10.0	2.0	4.2	1.0	9.0
Frontier Telephone of Rochester, Inc.	11	1	4.1	8.5	2.0	10.7	1.9	3.3	1.0	9.0
Eligo Energy Ny, Llc	28	3	3.9	6.0	2.0	6.1	2.0	15.3	0.9	8.8
National Fuel Gas Distribution	18	0	5.0	6.7	2.0	22.0	0.8	3.3	1.0	8.8
Mpower Energy LLC	16	2	3.8	13.9	2.0	10.3	1.9	6.9	1.0	8.7
Verizon New York Inc.	22	3	3.6	9.9	2.0	7.1	2.0	19.9	0.9	8.5
Citizens Communications (ILEC)	14	2	3.6	14.4	1.9	0.0	2.0	4.0	1.0	8.5
Time Warner - Syracuse Division	31	3	4.0	6.3	2.0	10.4	1.9	39.5	0.5	8.4
National Grid - Metro Ny	128	2	4.8	9.3	2.0	24.2	0.2	19.8	0.9	7.9
Time Warner Cable Information Servic	11	2	3.2	11.2	2.0	0.0	2.0	31.1	0.6	7.8
Verizon Digital Voice	22	4	3.2	6.1	2.0	18.3	1.2	5.7	1.0	7.4
National Grid - L I	36	7	3.1	9.5	2.0	16.6	1.4	30.9	0.6	7.1
Cablevision of New York City	14	4	2.1	8.9	2.0	8.2	2.0	9.1	1.0	7.1
Verizon Communications (LEC)	266	45	3.3	13.5	2.0	22.2	0.6	12.4	1.0	6.9
Direct Energy Services LLC	16	5	1.9	5.2	2.0	2.4	2.0	16.0	0.9	6.8
XChange Telecom	12	4	1.7	8.0	2.0	1.6	2.0	16.0	0.9	6.6
Time Warner - Buffalo	20	3	3.5	5.2	2.0	95.5	0.0	5.0	1.0	6.5
Time Warner - New York City	45	10	2.8	19.9	1.4	19.7	1.1	67.5	0.0	5.3
United Water-New York	10	4	1.0	5.7	2.0	3.0	2.0	159.5	-9.0	0.0

This table reports on the current level of customer service and responsiveness delivered by each service provider under the Department's jurisdiction. The Customer Service Response Index is determined by measuring four metrics. Complete CSRI data is posted on the first page of the report for those service providers that average ten or more initial complaints per month. For all other service providers, the performance in each area is reported on subsequent pages of the table, less the actual index measures because the index measures for companies with fewer than ten initial complaints have been found to show significant fluctuations on a month to month basis. These fluctuations may result in the reader reaching an inaccurate conclusion as to a service provider's performance. If a company is not listed on this report it is because there was no activity for the company in the reporting month. Below is an explanation of the data in each column. Exhibit 1131 Part 4 **Initial Complaints** - This is the number of initial complaints we receive and forward to the utility company for resolution directly with the customer.

Escalated Complaints - This is the number of complaints that we escalated for further handling and investigation because the customer informed us that the utility failed to satisfy their initial complaint after we forwarded the initial complaint to the utility.

CSM Index - The Consumer Satisfaction Index scores the ratio of the number of initial complaints to the number of escalated complaints in the reporting month. A score of 5 points are awarded when a service provider receives no escalated complaints during the reporting month. There is no score awarded if a service provider satisfies less than 50% of the customers that the Department refers to them.

Complaint Response Time - This is the average number of days it took for a utility to respond to initial complaints in the reporting month.

CRM Index - The Complaint Response Time Index scores the service provider's responsiveness to initial complaints closed in the reporting month. A score of 2 points is awarded when a provider's average response time for initial complaints is 14 days or less. No points are earned if the average response time for initial complaints is more than 28 days (twice the acceptable reply standard).

E. Complaint Response Time - This is the average number of days it took for a utility to respond to escalated complaints in the reporting month.

ERM Index - The Escalated Complaint Response Time Index scores the service providers responsiveness to escalated complaints closed in the reporting month. A score of 2 points is awarded when a provider's average response time for escalated complaints is 10 days or less. No points are earned if the average response time for escalated complaints is more than 25 days (two weeks past due).

Avg. Age of Cases Pending - This is the average age of all the cases awaiting a response from the service provider.

PCM Index - The Pending Case Index scores the average age of all cases awaiting response by the service provider. A score of 1 point is awarded when a service providers' average age of all cases is 14 days or less. No points are earned if the average age of all cases exceeds 70 days (two months delinquent). A negative score is applied if the average age of all cases is over 70 days.

CSRI - The Customer Service Response Index is the overall score received by the service provider. It is the sum of the four indices.

Service Provider	Initial Complaints	Escalated Complaints	CSM Index	Complaint Response Time	CRM Index	E. Complaint Response Time	ERM Index	Exhibit 11 Avg. Age of Cases Pending	31 Part 4 PCM Index	CSRI
			muex		muex		muex	-	Index	0311
125 Court Street, LLC	0	0		0.0		0.0		612.0		
3462 Third Avenue Realty Llc	0	1		0.0		0.0		29.0		
89 Murray Street Associates Llc	0	0		0.0		0.0		0.0		
ABC Energy LLC	0	0		0.0		18.8		0.0		
Affordable Power, L.P.	0	0		0.0		0.0		13.0		
Aggressive Energy, Llc	0	0		84.0		0.0		0.0		
Agway Energy Services, LLC.	1	0		10.7		3.0		0.0		
Akam Associates	0	0		0.0		0.0		317.0		
Alpha Gas And Electric, Llc	2	0		1.0		1.7		2.0		
American Communications Network, I	0	0		0.0		0.0		107.0		
American Power & Gas, LLC	9	0		5.0		0.0		9.0		
Ap Gas & Electric (ny), Llc	1	0		14.5		0.0		1.0		
Astral Energy LLC	4	1		12.4		1.0		85.2		
Atlantic Energy, LLC	6	1		6.2		4.8		0.0		
Atlantic Power & Gas LLC	4	2		18.3		17.4		6.0		
Augustus & James Corp.	0	0		0.0		0.0		328.0		
BCN Telecom, Inc.	1	0		0.0		0.0		23.0		
Be@Schermerhorn	0	0		0.0		0.0		189.0		
Birch Communications, Inc.	1	1		28.0		40.5		0.0		
Broadview Networks	1	0		14.9		1.2		0.0		
Brown's Fuel	1	0		23.5		0.0		0.0		
BTI Communications, Inc. d/b/a TELZE	3	0		0.5		98.1		128.5		
Cablevision - MediaOne - US Cablevis	0	0		0.0		0.0		0.0		
Cablevision - MediaOne - Westcheste	0	0		5.0		0.0		0.0		
Cablevision of Brookhaven	1	0		11.0		0.0		0.0		
Cablevision of Dutchess County	0	0		57.0		1.0		0.0		
Cablevision of East Hampton	0	0		0.0		0.0		0.0		
Cablevision of Hauppauge	0	0		0.0		0.0		0.0		
Cablevision of Long Island	9	1		9.1		4.1		1.0		
Cablevision of Matamoras	0	0		0.0		0.0		0.0		
Cablevision of Riverhead	1	0		0.0		0.0		17.0		
Cablevision of Rockland	1	1		1.0		0.0		42.5		
Cablevision of Westchester	1	0		0.0		6.9		13.0		
CenturyLink Communications, LLC	1	0		0.0		0.0		16.0		
Charter Communications	0	0		0.0		0.0		0.0		
Chatham Green Inc.	0	0		0.0		0.0		90.0		
Chief Energy Gas, Llc.	0 1	0		0.0		0.0		1.0		
City of Jamestown Board of Public Util		1		2.7		8.1		10.0		
City Power & Gas, LLC	0	1		27.0		1.2		0.0		
Columbia Utilities Power, Llc (electric)	5	3		7.5		0.9		0.0		
Comcast Cable of New York - CATV	1	0		15.5		0.0		0.0		
Comcast Phone Of New York, Llc D/b/		1		27.0		8.0		0.0		
Company Unknown	0	1		0.0		0.0		1.0		
Con Edison Solutions	2	0		0.0		0.0		7.5		
Constellation Energy Gas Choice Inc.	1	0		0.0		0.0		10.0		
Constellation NewEnergy	2	1		11.5		0.0		0.0		
CornerStone Telephone Company, LL		0		4.0		0.7		0.0		
Corning Natural Gas Corp.	1	0		4.0 1.0		0.0		0.0		
Deposit Telephone		0		13.0				0.0 2.0		
Direct Energy Business Marketing, LLC	1					0.0				
Direct Energy Business Marketing, LLC Dsf IV New Rochelle Owner Llc D/b/a		1		9.0		2.2		6.0 217.0		
		0		0.0		0.0		317.0		
Dutchess Estates Water	0	0		0.0		0.0		113.0		

Our in Duri ha	Initial Complainta	Escalated	CSM	Complaint Response Time	CRM	E. Complaint Response Time	ERM	Exhibit 11 Avg. Age of Cases Pending	РСМ	CSDI
Service Provider	Complaints		Index		Index		Index	•	Index	CSRI
Dynalink Communications, Inc.	1	0		0.0		0.0		1.0		
East Coast Power, Llc	1	0		1.0		0.0		0.0		
Elmwood Square Preservation, L.P.	1	0		0.0		0.0		1.0		
Emerald Green-Lake Louise Marie Wa		0		0.0		0.0		51.0		
Empire One Telecommunications, Inc.		0		0.0		0.0		16.0		
Empire Telephone Corp.	1	0		4.0		0.0		0.0		
Energy Plus Holdings LLC	2	0		9.0		0.0		5.0		
Energy Plus Natural Gas LP	1	0		0.0		0.0		13.0		
Entrust Energy East, Inc.	1	0		5.5		0.0		0.0		
Ethical Electric, Inc	8	0		0.0		0.0		8.6		
Fillmore Gas Company Inc.	1	0		9.0		0.0		0.0		
Forest Park Water Co. Inc.	0	0		0.0		34.2		343.0		
Friedlander Water Supply	0	0		0.0		0.0		35.0		
Frontier Communications of AuSable V		0		13.8		0.0		0.0		
Frontier Communications of NY/aka High	7	0		6.9		0.0		7.0		
Frontier Communications of Rochester	7	0		11.3		0.0		6.0		
Galaxy Energy Llc	0	0		0.0		31.9		0.0		
Global Telecom & Technology America	0	0		14.0		0.0		0.0		
Granite Telecommunications, Llc	0	0		45.0		0.0		0.0		
Great Eastern Energy	1	0		2.0		0.0		0.0		
Green Mountain Energy	3	0		11.5		9.9		7.0		
Greenlight Energy Inc.	6	0		3.6		0.0		6.0		
Grenadier Realty Corp	0	0		0.0		0.0		510.0		
H & S Property Management	0	0		0.0		0.0		318.0		
Hamilton County/Gore Mt. Cable TV Inc	0	0		0.0		0.0		212.0		
Herkimer Street Residence, L.P.	0	1		0.0		0.0		6.0		
Hiko Energy, LLC	0	0		0.0		0.0		0.0		
Hudson Energy Services, Llc	0	0		0.0		0.0		15.0		
IDT America Corp.	3	1		2.5		0.0		2.0		
ldt Energy, Inc.	9	0		6.3		0.0		7.5		
Just Energy New York Corp	4	0		13.2		8.1		0.0		
Kiamesha Artesian Spring Water Co.,	0	0		0.0		0.0		143.0		
King Tract Utilities c/o Melohn Propertie		0		0.0		0.0		413.0		
Kiwi Energy Inc.	2	0		9.5		0.0		13.0		
Knolls Water Co.	0	0		0.0		0.0		45.0		
Level 3 Communications, LLC	0	0		0.0		51.8		0.0		
Liberty Power Corp.	6	2		15.2		6.9		3.0		
Maiden Lane Properties, LLC	0	0		0.0		0.0		468.0		
Major Energy Services LLC	9	0		57.8		2.2		11.7		
Marathon Energy Corporation	6	2		16.7		0.1		8.4		
MCI	3	1		14.0		0.0		7.0		
Mountain Lodge Park Water Corp.	1	1		4.0		0.0		3.0		
New York American Water	6	0		7.5		14.1		59.8		
North American Power & Gas LLC	1	0		5.0		19.0		19.7		
North Energy LLC	0	0		0.0		7.1		0.0		
NorthEastern Energy Corporation	0	0		0.0		0.0		150.0		
Nysandy7 Story Llc	0	0		0.0		0.0		328.0		
Oasis Power LLC, d/b/a Oasis Energy		0		6.0		0.0		0.0		
Optimum Voice	7	2		13.1		0.0 9.0		0.0 12.5		
Pacific Telemanagement Services Dba		2		0.0		9.0 0.0		8.0		
Pay Less Energy, LLC	0	0		29.0		0.0		0.0		
Penelec (A First Energy Company)	1	0		29.0 11.0		0.0		0.0		
r Gheleo (A Filst Energy Company)	I	U		11.0		0.0		0.0		

	Initial	Escalated	СЅМ	Complaint Response	CRM	E. Complaint Response	ERM	Exhibit 11 Avg. Age of Cases	РСМ	000
Service Provider	Complaints		Index	Time	Index	Time	Index	Pending	Index	CSRI
Perigee Energy, LLC	1	0		8.5		0.0		0.0		
Pheasant Hill Developers.	1	0		0.0		0.0		20.0		
Plymouth Rock Energy LLC	0	2		35.0		0.0		4.5		
Premier Empire Energy LLC	0	1		36.0		0.0		3.0		
Public Power Llc	2	1		12.0		5.3		4.5		
Public Service Commission	1	0		0.0		0.0		117.0		
Queens Fresh Meadow Electric	0	1		0.0		0.0		181.3		
Reliant Energy Northeast LLC	1	0		14.0		3.0		0.0		
Renaissance Power & Gas, Inc.	2	0		1.0		0.0		0.0		
Residents Energy, LLC	4	0		6.7		0.0		3.0		
Riverview II Preservation Lp	0	0		0.0		0.0		435.0		
Roosevelt Island Associates	0	0		0.0		0.0		148.0		
Smart One Energy, LLC	1	0		9.0		0.0		10.0		
South Bay Energy Corp.	2	0		2.7		0.0		0.0		
Spark Energy, L.P.	5	1		11.4		5.7		1.5		
Starion Energy NY, Inc.	2	0		14.0		0.0		3.0		
State Telephone Company, Inc.	1	0		0.0		0.0		28.0		
Sterling Homes, LLC	1	0		0.0		0.0		0.0		
Sterling Telecom, LLC	0	0		82.0		0.0		0.0		
Sylvan Lake Water Corp.	1	0		0.0		0.0		17.0		
Taconic Telephone Corp.	7	0		2.0		0.0		16.7		
The Chaffee Water Works Company	0	0		0.0		0.0		149.0		
Titan Gas, LLC	2	1		29.5		0.0		8.0		
Tristate Bell Inc	1	0		0.0		0.0		89.5		
U.S. Gas & Electric, Inc.	2	0		14.0		7.3		2.0		
UDC Gateway LLC	0	1		0.0		0.0		8.0		
Unidentified ESCO	0	0		0.0		0.0		0.0		
United Water Westchester Inc.	4	0		2.2		0.0		66.0		
Utility Expense Reduction LLC	4	0		2.2		0.0		0.0		
Verde Energy USA New York, LLC	2	1		6.0		1.1		0.0		
Verizon Long Distance Llc (ld)	0	0		94.0		0.0		0.0		
Village of Endicott	1	0		5.5		0.0		0.0		
Village of Fairport	1	0		0.0		0.0		0.0		
Village of Freeport Electric	1	0		7.0		0.0		0.0		
Village of Wellsville	1	0		0.0		0.0		2.0		
Viridian Energy Ny, Llc	5	1		12.8		6.4		10.3		
Wholesale Carrier Services, Inc.	1	0		0.0		0.0		10.0		
Windstream Communications, Inc.	6	0		2.7		0.0		14.4		
World-Link Solutions, Inc	0	1		26.0		0.0		22.0		
XO Communications, Inc.	1	0		2.0		0.0		0.0		
XOOM Energy New York, LLC	8	0		15.0		0.0		6.3		
Xyz Utility Co. Inc	5	4		49.7		0.4		1.0		
- <u>j</u> = 2	Ŭ	•				0.1				

2016 Credit Adjustments Received For Consumers

As a result of our investigation into consumers' complaints, when staff determines that a consumer was overbilled, the utility is directed to refund to the consumer, any monies it collected above and beyond what was allowed by tariffs, rules and regulations. The chart below identifies the credits obtained on behalf of consumers.

	Total	Consumers
Jan-16	\$191,889.11	103
Feb-16	\$194,994.20	113
Mar-16	\$710,252.88	123
Apr-16	\$208,820.40	116
May-16	\$320,169.70	92
Jun-16	\$210,276.06	134
Jul-16	\$174,940.80	109
Aug-16	\$794,743.63	85
Sep-16		
Oct-16		
Nov-16		
Dec-16		
2016 Total	\$2,806,086.78	875

13

Number of Initial Complaints Received Against ESCO's

Code	Company Name	2016	2015	Aug-16	Jul-16	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15
6898AB	ABC Energy LLC	8	3	0	1	3	0	2	0	1	1	2	1	0	0	0
5567AB	ABN Energy, LLC DBA GreatEnergy	0	3	0	0	0	0	0	0	0	0	0	0	0	1	0
D128	Accent Energy Midwest, LLC	1	6	0	0	0	1	0	0	0	0	0	2	2	1	0
D249	Affordable Power, L.P.	1	1	0	0	1	0	0	0	0	0	0	0	1	0	0
8281AG	Agera Energy	1	3	0	0	0	0	0	1	0	0	0	1	0	0	1
5020AG	Aggressive Energy, LLC	1	1	0	0	0	1	0	0	0	0	0	0	0	0	0
D001	Agway Energy Services, LLC.	26	64	1	4	2	2	3	6	4	4	4	5	2	0	4
5985AL	Alpha Gas And Electric, Llc	12	59	2	0	1	0	3	1	3	2	4	2	2	3	4
D230	Ambit Energy	555	1346	11	5	11	25	19	52	113	319	209	36	45	51	46
5411AM	American Power & Gas, LLC	71	319	9	2	2	8	1	18	18	13	8	14	25	11	23
D240	Ameristar Energy, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
	Amplified Power & Gas, LLC	0	6	0	0	0	0	0	0	0	0	0	0	0	0	0
6023AP	Ap Gas & Electric (ny), Llc	10	19	0	2	0	0	3	0	2	3	1	1	5	1	0
5465AS	ASC Energy Services, Inc.	6	2	0	0	0	0	1	0	5	0	0	1	1	0	0
6818AS	Astral Energy LLC	54	117	4	2	5	1	2	9	9	22	13	23	19	25	11
6481AT	Atlantic Energy, LLC	29	35	6	6	3	5	1	1	1	6	4	8	5	5	5
7844AT	Atlantic Power & Gas LLC	22	52	4	1	6	1	1	5	2	2	1	3	4	1	0
D222	BluCo Energy, LLC	1	18	0	0	0	0	0	0	1	0	1	1	0	3	0
D217	BlueRock Energy, Inc.	0	11	0	0	0	0	0	0	0	0	0	2	0	1	7
5008BO	Bounce Energy NY, LLC	0	8	0	0	0	0	0	0	0	0	0	0	0	0	0
D113	Brown's Fuel	10	19	1	1	1	0	2	3	0	2	1	0	4	2	1
5246BU	BUY ENERGY DIRECT, LLC	2	8	0	0	1	0	0	1	0	0	0	0	1	1	1
9156CE	CenStar Operating Company, LLC	6	4	0	0	1	0	2	1	0	2	1	1	0	1	1
6903CH	Champion Energy Services, LLC	0	1	0	0	0	0	0	0	0	0	0	1	0	0	0
D220	Chief Energy Gas, Llc.	3	3	1	0	0	0	0	0	2	0	1	0	0	0	0
5773CH	Chief Energy Power, Llc	6	5	0	0	1	0	0	2	2	1	0	0	0	0	1
5325CI	Citizens Choice Energy, LLC	3	6	0	0	0	0	0	0	2	1	0	0	0	1	0
5592CI	City Power & Gas, LLC	7	29	0	1	0	1	0	2	2	1	0	0	0	1	0
D238	Clearview Energy, Inc.	3	9	0	0	1	0	0	1	1	0	0	0	0	1	1
D231	Columbia Utilities Power, Llc (electric)	20	27	5	1	2	1	2	2	4	3	1	4	0	3	2
D040	Columbia Utilities Power, Llc (gas)	8	31	0	0	0	1	1	1	4	1	2	3	2	2	0
5065CO	Community Energy Services, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
D086	Con Edison Solutions	9	11	2	1	2	0	2	1	1	0	1	1	0	0	1
6771CO	Constellation Energy Gas Choice Inc.	11	8	1	0	1	2	0	4	1	2	1	0	3	1	
	Constellation NewEnergy	14	21	2	3	3	0	1	1	1	3	0	3	0	0	1
D221	Constellation NewEnergy - Gas Divisio		9	0	0	0	0	0	0	0	0	0	2	0	0	0
8168DI	Direct Energy Business Marketing, LL	9	6	3	1	0	2	0	2	1	0	1	1	4	0	0
5308DI	Direct Energy Business, LLC	0	35	0	0	0	0	0	0	0	0	0	0	1	2	8
D176	Direct Energy Services LLC	217	206	16	12	9	23	21	42	42	52	49	40	32	21	6
D006	East Coast Energy, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D256	East Coast Power, Llc	3	5	1	0	0	0	0	1	1	0	0	1	0	0	0
6922EL	Eligo Energy NY, Llc	65	46	28	5	3	5	5	4	12	3	0	1	2	1	2
D047	Empire Natural Gas Corporation	0	1	0	0	0	0	0	4	0	0	0	0	0	1	0
D047 D087	Energetix, Inc.	0	48	0	0	0	0	0	0	0	0	0	0	0	5	4
8202EN	Energy Cooperative of America, Inc.		40	0	0	0	1	0	0	0	0	0	0	0	0	4
D183	Energy Cooperative of New York	1 0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
	ENERGY DISCOUNTERS, LLC					-		-		-	-		-	0	-	-
6031EN		0		0	0	0	0	0	0	0	0	0	0	-	0	1
D243	Energy Plus Holdings LLC	14	30	2	0	1	0	0	3	6	2		0	1	3	1

Number of Initial Complaints Received Against ESCO's

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Code	Company Name	2016	2015	Aug-16	Jul-16	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15
5568EN	Energy Plus Natural Gas LP	2	4	1	0	0	0	0	0	0	1	1	0	0	1	0
D137	Energy Service Providers, Inc.	0	1	0	0	0	0	0	0	0	0	0	1	0	0	0
5424EN	Energy Solutions Co. LLC	0	2	0	0	0	0	0	0	0	0	0	0	1	0	0
5182EN	EnergyMark, LLC	0	4	0	0	0	0	0	0	0	0	0	0	0	0	0
D201	Entergy Solutions Ltd.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
8938EN	Entrust Energy East, Inc.	5	37	1	1	0	0	0	0	3	0	1	2	3	0	2
7005ET	Ethical Electric Benefit Co.	15	39	8	0	1	0	3	1	2	0	5	3	1	5	5
4920FA	Family Energy, Inc.	135	118	11	12	16	13	13	28	20	22	9	12	5	12	7
7383FR	Frontier Utilities Northeast LLC	35	19	0	1	0	1	0	3	10	20	10	1	2	3	1
6781FT	FTR Energy Services, LLC	0	10	0	0	0	0	0	0	0	0	0	0	0	3	1
6643GA	Galaxy Energy Llc	7	22	0	1	1	0	0	0	2	3	1	2	1	1	3
D046	Gateway Energy Services Corp.	0	83	0	0	0	0	0	0	0	0	0	0	2	8	7
4963GD	Gdf Suez Energy Resources Na, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	1	0
6424GD	GDF Suez Retail Energy Solutions, LL	0	3	0	0	0	0	0	0	0	0	0	1	1	0	0
5349GL	Glacial Natural Gas, Inc.	0	3	0	0	0	0	0	0	0	0	0	0	0	1	0
6009GL	Global Energy, LLC	2	2	0	0	2	0	0	0	0	0	0	0	0	0	0
D104	Great Eastern Energy	6	10	1	1	0	0	1	2	1	0	1	1	0	0	2
D104	Green Mountain Energy	22	33	3	1	1	2	2	6	2	5	2	0	2	7	2
	65			3 6						2	4	-	3			0
4877GR	Greenlight Energy Inc.	23	25		0	1	1	1	3			1	-	1	3	-
D254	High Rise Energy Group, LLC	1	7	0	0	0	0	0	0	0	1	0	0	3	0	0
5302PR	Hiko Energy, Llc	9	20	0	1	0	3	3	0	2	0	0	0	2	1	2
D120	Hudson Energy Services, Llc	10	23	0	0	0	2	3	3	1	1	3	3	2	3	0
D177	IDT Energy, Inc.	47	168	9	5	3	2	6	9	9	4	10	13	13	14	15
D188	IGS Energy	0	6	0	0	0	0	0	0	0	0	0	0	0	0	1
D167	Infinite Energy, Inc.	2	3	0	0	0	1	0	0	1	0	0	0	0	0	0
6647IR	Iron Energy LLC	0	5	0	0	0	0	0	0	0	0	1	0	0	0	0
7041JO	JOSCO Energy Corp	71	110	20	5	7	8	12	6	9	4	8	6	4	12	13
D213	Just Energy New York Corp	33	93	4	1	6	6	5	5	3	3	7	3	5	4	2
D015	Keyspan Energy Services, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
6646KI	Kiwi Energy Inc.	29	143	2	1	0	4	1	6	8	7	8	1	13	16	16
5520LE	Lexington Power & Light, LLC	2	0	0	1	0	0	0	0	0	1	0	0	0	0	0
D117	Liberty Power Corp.	29	52	6	4	2	3	4	6	3	1	2	4	4	2	3
2066	Long Island Power Authority	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D147	M&R ENERGY RESOURCES CORPO	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D214	Major Energy Services LLC	63	109	9	5	9	9	11	11	5	4	2	5	8	8	5
6007MA	Marathon Energy Corporation	36	21	6	4	7	1	4	5	6	3	2	1	3	1	1
D267	Mpower Energy LLC	75	113	16	11	7	7	9	8	9	8	20	6	12	14	3
6807MY	MyGrid Energy, Inc.	0	9	0	0	0	0	0	0	0	0	0	0	0	0	6
D020	Natgasco, Inc A Mitchell-Supreme C	2	2	0	0	0	1	0	1	0	0	0	1	0	0	0
5436NE	New Wave Energy Corp.	3	2	0	0	0	0	1	1	1	0	0	0	0	0	0
7379NE	NextEnergy Services LLC	1	0	0	0	0	0	0	1	0	0	0	0	0	0	0
4987NE	NextEra Energy Resources, LLC	2	7	0	0	0	0	0	1	0	1	1	0	1	0	2
D148	Noco Natural Gas, Llc	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
5787NO	North American Power & Gas LLC	19	140	1	2	2	1	3	6	3	1	6	0	3	2	6
6976NO	North Eastern States, Inc.	0	19	0	0	0	0	0	0	0	0	0	0	0	0	0
5479NO	North Energy LLC	1	5	0	1	0	0	0	0	0	0	1	1	1	1	0
D239	NorthEastern Energy Corporation	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1
D103	NYSEG Solutions, Inc.	0	138	0	0	0	0	0	0	0	0	0	0	1	10	9

Number of Initial Complaints Received Against ESCO's

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Code	Company Name	2016	2015	Aug-16	Jul-16	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15
4921OA	Oasis Power LLC, d/b/a Oasis Energy	8	14	1	0	2	1	0	1	3	0	1	1	2	1	2
6645PA	Pay Less Energy, LLC	4	4	0	2	0	1	0	0	0	1	1	0	0	0	1
6024PE	People's Power & Gas, Llc	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
6893PE	Perigee Energy, LLC	5	2	1	1	0	0	2	0	0	1	0	1	0	0	1
5637PL	Planet Energy (NY) Corp.	0	5	0	0	0	0	0	0	0	0	0	0	0	0	0
D237	Platinum Plus Energy Resources, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D171	Plymouth Rock Energy LLC	7	36	0	2	0	0	0	4	1	0	1	1	4	6	2
7871PR	Premier Empire Energy LLC	4	0	0	3	0	0	1	0	0	0	0	0	0	0	0
D263	Public Power Llc	18	54	2	1	6	3	0	0	4	2	4	3	4	8	5
6233RE	Reliant Energy Northeast LLC	9	6	1	0	0	1	1	3	3	0	2	0	0	0	1
6616RE	Renaissance Power & Gas, Inc.	6	13	2	0	0	0	1	0	0	3	4	0	2	0	2
6574RE	Residents Energy, LLC	29	51	4	3	3	4	3	4	4	4	5	5	5	6	5
D093	Robison Energy Of Westchester	2	5	0	0	1	1	0	0	0	0	1	0	1	1	0
5370SB	SBR Energy, LLC	1	5	0	0	0	0	1	0	0	0	0	0	0	0	0
5481SJ	SJ Energy Partners	3	3	0	0	1	1	0	0	1	0	0	0	0	1	0
4976SM	Smart One Energy, LLC	24	38	1	1	0	1	4	7	6	4	4	4	3	4	4
6216SO	South Bay Energy Corp.	11	11	2	3	2	1	0	3	0	0	0	0	2	0	1
5577SO	SouthStar Energy Services LLC	1	1	0	0	0	0	0	1	0	0	0	0	0	0	0
D186	Spark Energy, L.P.	54	154	5	8	4	1	5	11	7	13	8	9	19	5	17
7397SP	Sperian Energy Corp.	0	5	0	0	0	0	0	0	0	0	0	0	0	0	1
5463ST	Starion Energy NY, Inc.	11	25	2	0	0	0	2	2	2	3	3	0	1	2	1
6809ST	Stream Energy New York LLC.	1	9	0	0	0	0	0	0	1	0	1	0	0	0	1
D223	Titan Gas, LLC	3	6	2	0	1	0	0	0	0	0	0	0	1	1	0
9014TR	Trident Retail Energy, LLC DBA Trider	5	0	0	0	1	0	2	0	2	0	0	0	0	0	0
D119	U.S. Gas & Electric, Inc.	46	52	2	3	8	3	6	10	7	7	3	6	2	2	2
D500	Unidentified ESCO	2	0	0	0	0	0	1	0	0	1	0	0	0	0	0
6008UN	United Energy Supply Corporation	3	11	0	0	0	0	0	0	3	0	2	1	0	0	1
7346UN	United Metro Energy Services Corp.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
5461UT	Utility Expense Reduction LLC	31	51	4	3	1	1	1	7	10	4	8	6	11	4	8
6894VE	Verde Energy USA New York, LLC	16	72	2	0	0	1	2	3	4	4	4	2	2	10	3
5391VI	Viridian Energy NY, LLC	37	69	5	3	4	2	5	7	7	4	7	4	2	6	3
6668XO	XOOM Energy New York, LLC	23	72	8	0	1	2	3	3	4	2	2	4	5	8	4
	Total	2260	5044	247	139	160	169	194	342	417	592	469	275	321	347	314

ESCO's with no complaints on file since January 2015 are not listed on this report.

Number of Escalated Complaints Received Against ESCO's

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Code	Company Name	2016	2015	Aug-16	Jul-16	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15
6898AB	ABC Energy LLC	3	0	0	0	0	1	0	0	1	1	0	0	0	0	0
5567AB	ABN Energy, LLC DBA GreatEnergy	0	1	0	0	0	0	0	0	0	0	0	0	0	1	0
D128	Accent Energy Midwest, LLC	1	1	0	0	0	0	0	0	0	1	1	0	0	0	0
D249	Affordable Power, L.P.	1	0	0	1	0	0	0	0	0	0	0	0	0	0	0
D001	Agway Energy Services, LLC.	7	17	0	0	0	1	1	2	1	2	0	1	1	0	0
5985AL	Alpha Gas And Electric, Llc	3	15	0	1	0	0	0	1	1	0	2	1	2	0	1
D230	Ambit Energy	250	398	1	4	5	13	11	36	105	75	18	17	16	23	36
5411AM	American Power & Gas, LLC	7	26	0	0	2	0	2	0	3	0	1	1	2	1	1
6729AM	Amplified Power & Gas, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
6023AP	Ap Gas & Electric (ny), Llc	3	9	0	0	0	1	0	1	1	0	1	1	3	0	0
5465AS	ASC Energy Services, Inc.	2	0	0	0	0	1	0	1	0	0	0	0	0	0	0
6818AS	Astral Energy LLC	7	20	1	0	0	0	0	1	3	2	6	5	2	0	2
6481AT	Atlantic Energy, LLC	2	10	1	1	0	0	0	0	0	0	1	3	1	1	0
7844AT	Atlantic Power & Gas LLC	4	17	2	1	0	0	0	0	0	1	1	0	1	0	1
D222	BluCo Energy, LLC	0	3	0	0	0	0	0	0	0	0	0	0	0	1	0
5008BO	Bounce Energy NY, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D113	Brown's Fuel	2	4	0	0	0	0	0	0	1	1	0	1	1	0	0
	BUY ENERGY DIRECT, LLC	1		0	0	0	0	1	0	0	0	0	0	0	0	1
5246BU			5	0	0		0		-	0	1	0	-	1		1
9156CE	CenStar Operating Company, LLC	1	2			0	-	0	0	-		-	0		0	<u> </u>
6903CH	Champion Energy Services, LLC	0	1	0	0	0	0	0	0	0	0	1	0	0	0	0
5773CH	Chief Energy Power, Llc	1	1	0	0	0	0	0	0	0	1	0	0	0	0	0
5592CI	City Power & Gas, LLC	1	10	1	0	0	0	0	0	0	0	0	0	0	0	1
D238	Clearview Energy, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	1	0
D231	Columbia Utilities Power, Llc (electric)	8	4	3	0	1	0	0	0	2	2	0	0	0	1	2
D040	Columbia Utilities Power, Llc (gas)	3	6	0	0	0	1	0	1	0	1	0	0	0	0	0
5065CO	Community Energy Services, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
D086	Con Edison Solutions	0	2	0	0	0	0	0	0	0	0	0	1	0	0	0
D084	Constellation NewEnergy	2	4	1	1	0	0	0	0	0	0	0	0	0	0	0
D221	Constellation NewEnergy - Gas Divisio	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
8168DI	Direct Energy Business Marketing, LL	2	2	1	0	0	0	0	0	0	1	0	1	1	0	0
5308DI	Direct Energy Business, LLC	0	3	0	0	0	0	0	0	0	0	0	0	0	0	1
D176	Direct Energy Services LLC	62	37	5	2	1	5	5	15	13	16	9	7	8	5	1
D256	East Coast Power, Llc	1	1	0	0	0	0	0	0	1	0	0	0	0	0	0
6922EL	Eligo Energy NY, Llc	9	10	3	2	1	0	0	2	1	0	0	0	0	1	0
D087	Energetix, Inc.	0	16	0	0	0	0	0	0	0	0	0	1	0	1	2
D183	Energy Cooperative of New York	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
6031EN	ENERGY DISCOUNTERS, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	1	0
D243	Energy Plus Holdings LLC	1	6	0	0	0	0	0	0	1	0	0	0	0	1	1
5424EN	Energy Solutions Co. LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
5182EN	EnergyMark, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
8938EN	Entrust Energy East, Inc.	0	6	0	0	0	0	0	0	0	0	1	0	1	0	0
7005ET	Ethical Electric Benefit Co.	0	5	0	0	0	0	0	0	0	0	2	0	0	1	1
4920FA	Family Energy, Inc.	18	18	0	3	1	2	3	1	4	4	1	1	1	1	0
7383FR	Frontier Utilities Northeast LLC	9	4	0	0	0	0	0	2	1	6	0	0	2	0	0
6781FT	FTR Energy Services, LLC	0	5	0	0	0	0	0	0	0	0	0	0	1	0	0
6643GA	Galaxy Energy Llc	1	4	0	1	0	0	0	0	0	0	0	0	0	1	0
D046	Gateway Energy Services Corp.	0	29	0	0	0	0	0	0	0	0	0	0	1	1	1
D104	Great Eastern Energy	1	0	0	0	0	ا آ	0	0	0	0	0	0	0	0	0

Number of Escalated Complaints Received Against ESCO's

ТЧМІ	ibel of Escalated		Plant			00				ga						<u> </u>
Code	Company Name	2016	2015	Aug-16	Jul-16	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15
D127	Green Mountain Energy	4	3	0	0	1	0	0	2	0	1	0	0	2	0	0
4877GR	Greenlight Energy Inc.	1	4	0	0	0	0	0	1	0	0	1	1	0	0	0
D254	High Rise Energy Group, LLC	1	4	0	0	0	0	0	0	1	0	0	0	3	0	0
5302PR	Hiko Energy, Llc	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D120	Hudson Energy Services, Llc	3	3	0	0	0	0	2	0	1	0	1	0	0	0	0
D177	IDT Energy, Inc.	6	5	0	1	0	0	2	3	0	0	1	0	0	1	0
7041JO	JOSCO Energy Corp	4	16	1	0	0	2	0	0	1	0	0	0	1	2	2
D213	Just Energy New York Corp	3	18	0	1	0	0	0	1	0	1	1	1	0	0	1
6646KI	Kiwi Energy Inc.	2	8	0	0	0	1	1	0	0	0	0	0	1	0	1
D117	Liberty Power Corp.	5	13	2	0	0	1	0	1	0	1	1	0	0	0	0
D214	Major Energy Services LLC	8	16	0	1	4	2	1	0	0	0	0	1	1	2	0
6007MA	Marathon Energy Corporation	12	2	2	1	1	2	0	3	1	2	0	0	0	0	0
D267	Mpower Energy LLC	7	12	2	1	1	0	0	1	2	0	1	1	2	0	1
	MyGrid Energy, Inc.	0	4	0	0	0	0	0	0	0	0	0	0	0	1	2
4987NE	NextEra Energy Resources, LLC	2	2	0	0	0	1	0	0	1	0	0	0	0	0	0
5787NO	North American Power & Gas LLC	14	55	0	0	3	0	7	0	2	2	0	1	0	1	1
6976NO	North Eastern States, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
5479NO	North Energy LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D239	NorthEastern Energy Corporation	0	1	0	0	0	0	0	0	0	0	0	1	0	0	0
D103	NYSEG Solutions, Inc.	0	45	0	0	0	0	0	0	0	0	0	0	0	3	0
4921OA	Oasis Power LLC, d/b/a Oasis Energy	1	3	0	0	0	0	0	0	0	1	0	0	0	0	0
6645PA	Pay Less Energy, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	1
6893PE	Perigee Energy, LLC	0	1	0	0	0	0	0	0	0	0	0	1	0	0	0
D237	Platinum Plus Energy Resources, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1
D171	Plymouth Rock Energy LLC	2	7	2	0	0	0	0	0	0	0	0	1	1	1	1
7871PR	Premier Empire Energy LLC	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0
D263	Public Power Llc	7	15	1	1	2	0	1	1	1	0	2	1	1	1	0
6233RE	Reliant Energy Northeast LLC	3	1	0	0	0	2	0	1	0	0	0	0	0	0	0
6616RE	Renaissance Power & Gas, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
6574RE	Residents Energy, LLC	0	2	0	0	0	0	0	0	0	0	0	1	0	0	0
D093	Robison Energy Of Westchester	0	2	0	0	0	0	0	0	0	0	0	1	0	1	0
5370SB	SBR Energy, LLC	1	1	0	0	0	1	0	0	0	0	0	0	0	0	0
5481SJ	SJ Energy Partners	1	0	0	0	0	0	0	1	0	0	0	0	0	0	0
4976SM	Smart One Energy, LLC	6	7	0	0	0	1	2	1	1	1	0	0	1	1	0
6216SO	South Bay Energy Corp.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1
D186	Spark Energy, L.P.	11	19	1	1	1	0	0	5	2	1	1	0	2	2	3
7397SP	Sperian Energy Corp.	0	3	0	0	0	0	0	0	0	0	0	0	0	1	0
6809ST	Stream Energy New York LLC.	0	5	0	0	0	0	0	0	0	0	0	0	0	0	1
D223	Titan Gas, LLC	1	3	1	0	0	0	0	0	0	0	0	1	0	0	0
D119	U.S. Gas & Electric, Inc.	14	10	0	3	3	2	3	2	1	0	1	0	1	1	1
6008UN	United Energy Supply Corporation	0	2	0	0	0	0	0	0	0	0	1	0	0	0	0
7346UN	United Metro Energy Services Corp.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
5461UT	Utility Expense Reduction LLC	3	1	0	0	0	0	0	1	1	1	0	0	0	0	0
6894VE	Verde Energy USA New York, LLC	6	15	1	0	0	0	0	2	1	2	0	0	0	2	2
5391VI	Viridian Energy NY, LLC	11	21	1	0	3	1	2	1	1	2	3	0	1	2	0
6668XO	XOOM Energy New York, LLC	1	22	0	0	0	0	0	0	1	0	1	2	3	2	0
	Total	554	1076	34	27	30	42	44	90	157	130	60	55	65	66	72

ESCO's with no complaints on file since January 2015 are not listed on this report.

Code	Company Name	2016	2015	Aug-16	Jul-16	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15
6898AB	ABC Energy LLC	4	1	0	0	2	0	1	0	0	1	0	1	0	0	0
5567AB	ABN Energy, LLC DBA GreatEnergy	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D128	Accent Energy Midwest, LLC	1	3	0	0	0	0	0	0	0	1	1	1	1	0	0
D249	Affordable Power, L.P.	1	0	0	0	0	0	0	1	0	0	0	0	0	0	0
8281AG	Agera Energy	0	2	0	0	0	0	0	0	0	0	0	0	0	0	1
5020AG	Aggressive Energy, LLC	1	0	0	0	0	1	0	0	0	0	0	0	0	0	0
D001	Agway Energy Services, LLC.	13	39	0	2	2	3	1	1	2	2	3	1	3	0	4
5985AL	Alpha Gas And Electric, Llc	4	26	0	0	0	0	0	2	2	0	2	2	1	1	3
D230	Ambit Energy	363	646	3	2	8	20	8	46	95	181	53	18	26	29	34
5411AM	American Power & Gas, LLC	33	156	2	2	1	2	0	7	10	9	2	6	9	2	8
6729AM	Amplified Power & Gas, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
6023AP	Ap Gas & Electric (ny), Llc	4	4	0	0	0	1	2	0	0	1	0	0	1	0	0
5465AS	ASC Energy Services, Inc.	1	0	0	0	0	0	0	0	1	0	0	0	0	0	0
6818AS	Astral Energy LLC	10	27	1	0	1	0	0	2	0	6	2	4	5	3	1
6481AT	Atlantic Energy, LLC	6	8	2	0	1	1	0	0	0	2	1	2	2	2	0
7844AT	Atlantic Power & Gas LLC	5	9	0	1	2	0	0	1	0	1	0	0	0	0	0
D222	BluCo Energy, LLC	0	7	0	0	0	0	0	0	0	0	0	0	0	2	0
D217	BlueRock Energy, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	2
5008BO	Bounce Energy NY, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
D113	Brown's Fuel	5	7	0	0	0	0	1	2	0	2	2	0	2	0	0
5246BU	BUY ENERGY DIRECT, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
9156CE	CenStar Operating Company, LLC	4	0	0	0	0	0	2	1	0	1	0	0	0	0	0
D220	Chief Energy Gas, Llc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
5773CH	Chief Energy Power, Llc	3	1	0	0	0	0	0	0	1	2	0	0	0	0	0
5325CI	Citizens Choice Energy, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
5592CI	City Power & Gas, LLC	4	14	0	0	0	0	0	1	2	1	0	0	0	1	0
D238	Clearview Energy, Inc.	0	3	0	0	0	0	0	0	0	0	0	0	0	0	0
D231	Columbia Utilities Power, Llc (electric)	11	13	3	0	1	1	0	1	4	1	1	2	0	2	2
D040	Columbia Utilities Power, Llc (gas)	2	11	0	0	0	0	0	1	1	0	1	1	1	0	0
5065CO	Community Energy Services, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
D086	Con Edison Solutions	2	8	1	0	0	1	0	0	0	0	0	0	0	0	1
6771CO	Constellation Energy Gas Choice Inc.	6	4	0	1	0	1	0	2	1	1	1	0	0	1	1
D084	Constellation NewEnergy	2	11	1	0	1	0	0	0	0	0	0	0	0	0	0
D221	Constellation NewEnergy - Gas Divisio	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
8168DI	Direct Energy Business Marketing, LLC	2	0	0	0	0	0	0	2	0	0	0	0	0	0	0
5308DI	Direct Energy Business, LLC	0	18	0	0	0	0	0	0	0	0	0	0	0	1	1
D176	Direct Energy Services LLC	81	80	2	0	2	5	9	23	18	22	16	21	8	9	1
D256	East Coast Power, Llc	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
6922EL	Eligo Energy NY, Llc	21	28	9	2	1	2	2	0	5	0	0	1	1	0	0
7398EM	Empire Energy Services, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D047 D087	Empire Natural Gas Corporation Energetix, Inc.	0	1	0	0	0	0	0	0	0	0	0 0	0	0	1	0
6031EN	Energetix, Inc. ENERGY DISCOUNTERS, LLC	0	180	0	0	0	0	0	0	0	0	0	0	0	2	3
D243	Energy Plus Holdings LLC		12	0	0	0	0	0	2	4	0	0	0	1	1	1
5568EN	Energy Plus Natural Gas LP	6	12	0	0	0	0	0	2	4	0	0	0	0	0	0
D137	Energy Service Providers, Inc.	0	1	0	0	0	0	0	0	0	0	0	1	0	0	0
5424EN	Energy Solutions Co. LLC	0	1	0	0	0	0	0	0	0	0	0	0	1	0	0
5424EN	EnergyMark, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
JIOZEN	LIGIGYWAIN, LLO	U	۷	U		U		U		0		0	U	0		U

Code	Company Name	2016	2015	Aug-16	Jul-16	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15
D201	Entergy Solutions Ltd.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
8938EN	Entrust Energy East, Inc.	1	15	0	0	0	0	0	0	1	0	0	0	0	0	0
7005ET	Ethical Electric Benefit Co.	16	27	13	0	0	0	3	0	0	0	4	2	1	2	3
4920FA	Family Energy, Inc.	64	62	5	7	5	6	9	9	14	9	1	6	1	5	6
7383FR	Frontier Utilities Northeast LLC	12	2	0	1	0	0	0	3	3	5	0	0	0	0	0
6781FT	FTR Energy Services, LLC	0	7	0	0	0	0	0	0	0	0	0	0	0	1	1
6643GA	Galaxy Energy Llc	3	10	0	0	0	0	0	0	2	1	1	0	1	0	3
D046	Gateway Energy Services Corp.	0	30	0	0	0	0	0	0	0	0	0	0	1	1	2
6424GD	GDF Suez Retail Energy Solutions, LL	0	1	0	0	0	0	0	0	0	0	0	1	0	0	0
5349GL	Glacial Natural Gas, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
6009GL	Global Energy, LLC	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0
D104	Great Eastern Energy	1	4	0	0	0	0	1	0	0	0	1	1	0	0	1
D127	Green Mountain Energy	9	15	1	0	0	0	0	3	2	3	1	0	1	3	1
4877GR	Greenlight Energy Inc.	18	16	4	0	1	1	0	5	5	2	1	0	1	3	0
D254	High Rise Energy Group, LLC	2	1	0	0	0	0	0	0	1	1	0	0	0	0	0
5302PR	Hiko Energy, Llc	6	6	1	0	0	2	1	0	2	0	0	0	2	0	0
D120	Hudson Energy Services, Llc	7	4	1	0	0	0	4	2	0	0	1	0	1	1	0
D177	IDT Energy, Inc.	27	77	2	5	3	2	5	3	6	1	6	4	9	4	8
D188	IGS Energy	0	3	0	0	0	0	0	0	0	0	0	0	0	0	1
7041JO	JOSCO Energy Corp	39	38	7	4	5	6	5	3	7	2	4	3	0	6	4
D213	Just Energy New York Corp	10	29	0	0	3	0	1	3	1	2	3	0	1	1	2
6646KI	Kiwi Energy Inc.	13	82	1	1	1	3	1	1	3	2	4	1	7	8	11
5520LE	Lexington Power & Light, LLC	1	0	0	1	0	0	0	0	0	0	0	0	0	0	0
D117	Liberty Power Corp.	8	22	0	0	0	0	3	3	1	1	2	2	2	0	1
D214	Major Energy Services LLC	21	33	2	3	4	4	4	2	1	1	1	0	1	0	1
6007MA	Marathon Energy Corporation	6	2	2	0	1	0	1	2	0	0	0	0	0	1	0
D267	Mpower Energy LLC	32	70	7	4	3	1	3	4	5	5	10	2	7	6	5
5436NE	New Wave Energy Corp.	1	0	0	0	0	0	0	1	0	0	0	0	0	0	0
4987NE	NextEra Energy Resources, LLC	2	3	0	0	0	0	0	0	1	1	0	0	1	0	0
5787NO	North American Power & Gas LLC	12	85	1	0	2	0	3	2	4	0	2	0	0	1	4
6976NO	North Eastern States, Inc.	0	8	0	0	0	0	0	0	0	0	0	0	0	0	0
5479NO	North Energy LLC	0	1	0	0	0	0	0	0	0	0	1	0	0	0	0
D103	NYSEG Solutions, Inc.	0	66	0	0	0	0	0	0	0	0	0	0	0	8	5
4921OA	Oasis Power LLC, d/b/a Oasis Energy	2	3	0	0	0	0	0	1	1	0	0	0	1	0	0
6645PA	Pay Less Energy, LLC	2	1	0	1	0	1	0	0	0	0	1	0	0	0	0
6024PE	People's Power & Gas, Llc	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
6893PE	Perigee Energy, LLC	2	1	0	0	0	0	1	0	0	1	0	0	0	0	1
5637PL	Planet Energy (NY) Corp.	0	5	0	0	0	0	0	0	0	0	0	0	0	0	0
D171	Plymouth Rock Energy LLC	1	16	0	0	0	0	0	1	0	0	0	0	2	3	1
D263	Public Power Llc	5	18	0	0	1	0	0	2	1	1	1	0	2	0	2
6233RE	Reliant Energy Northeast LLC	5	3	0	0	0	2	0	1	2	0	1	0	0	0	0
6616RE	Renaissance Power & Gas, Inc.	3	5	0	0	0	0	1	0	0	2	0	0	2	0	0
6574RE	Residents Energy, LLC	9	22	2	1	0	2	0	2	0	2	2	2	2	1	3
5370SB	SBR Energy, LLC	0	4	0	0	0	0	0	0	0	0	0	0	0	0	0
4976SM	Smart One Energy, LLC	8	18	0	1	0	0	0	2	3	2	3	1	0	1	5
6216SO	South Bay Energy Corp.	7	3	1	1	2	0	1	2	0	0	0	0	0	0	0
D186	Spark Energy, L.P.	18	53	2	2	1	0	1	4	3	5	4	2	4	3	5
7397SP	Sperian Energy Corp.	0	6	0	0	0	0	0	0	0	0	0	0	0	0	0

Code	Company Name	2016	2015	Aug-16	Jul-16	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15	Aug-15
5463ST	Starion Energy NY, Inc.	6	11	1	0	0	0	2	1	1	1	0	0	1	2	0
6809ST	Stream Energy New York LLC.	0	5	0	0	0	0	0	0	0	0	0	0	0	0	2
D223	Titan Gas, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
9014TR	Trident Retail Energy, LLC DBA Trider	1	0	0	0	0	0	1	0	0	0	0	0	0	0	0
D119	U.S. Gas & Electric, Inc.	16	30	2	2	3	1	5	1	0	2	0	2	1	1	1
D500	Unidentified ESCO	68	130	8	4	9	6	7	6	14	14	11	3	10	15	21
6008UN	United Energy Supply Corporation	1	6	0	0	0	0	0	0	1	0	1	1	0	0	0
7346UN	United Metro Energy Services Corp.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
5461UT	Utility Expense Reduction LLC	14	22	2	0	0	1	0	2	6	3	2	0	4	2	4
6894VE	Verde Energy USA New York, LLC	7	30	0	0	0	0	1	0	4	2	0	0	1	4	3
5391VI	Viridian Energy NY, LLC	19	30	1	0	4	2	3	2	2	5	1	0	0	2	1
6668XO	XOOM Energy New York, LLC	4	21	1	0	0	0	0	1	1	1	1	1	2	2	1
	Total	1106	2348	91	48	71	79	93	169	244	311	156	95	131	144	172

Deceptive marketing complaints are taken from customers who report situations where an energy service company or energy marketer solicits the customer's home or business in a manner which the customer believes is misleading or the customer was presented with information which the customer believes is untrue.



September 2016

Office of Consumer Services Monthly Report on Consumer Complaint Activity

Audrey Zibelman Chief Executive Officer

LuAnn Scherer Acting Director, Office of Consumer Services

Published October 28, 2016



Monthly Report on Consumer Complaint Activity

September 2016

Table of Contents

Director's Message	. 3
If You Have a Complaint About Your Utility Service	. 4
How Utility Complaints are Measured	. 5
Complaint Activity of New York's Major Utilities	. 7
Customer Service Response Index	. 8
Credits Obtained for Consumers	13
Number of Initial Complaints Received Against ESCO's	14
Number of Escalated Complaints Received Against ESCO's	17
Number of Marketing Complaints Received Against ESCO's	19



October 28, 2016

Dear Readers:

The Office of Consumer Services closely monitors the number and types of complaints received against all utilities operating in New York State. We strive to ensure that utilities fulfill their obligation to provide effective customer service in compliance with the laws, rules, regulations and policies we enforce.

Each month, this report provides an overview of complaint activity and utility responsiveness during the preceding month which is informative to both consumers and utility companies. Specific details regarding the way we measure the companies' activities are described in the section How Utility Complaint Data Is Reported.

The table titled Complaint Activity of New York's Major Utilities reports on the volume of complaints received against the largest utilities in each industry while the table titled Customer Service Response Index reports on the level of customer service and responsiveness delivered by each service provider.

The chart, Credit Adjustments Received for Consumers, reflects the amount of refunds or credits customers received as a result of our investigations. The Office of Consumer Services also monitors complaints against the competitive energy service companies (ESCO's) operating in New York. These complaints are reported in two tables; Number of Initial Complaints Received Against ESCO's and Number of Escalated Complaints Received Against ESCO's.

I hope this report is helpful in providing you with a summary of utility complaint activity. If you have any questions, please e-mail John.Auricchio@dps.ny.gov

Sincerely,

LuAnn Scherer

Luan Dekun

Acting Director Office of Consumer Services



If You Have a Complaint About Your Utility Service

If you're having difficulty resolving a dispute with your regulated energy, telephone, cable television or water company, Department of Public Service staff is available to assist you.

The Office of Consumer Services takes all utility consumer complaints seriously. You can contact us toll-free by telephone, in writing or via the Internet. When you contact our office with a complaint about a utility or other service provider, we take immediate steps to address your concerns.

After we complete entering the details of your complaint we send your complaint to the utility by e-mail or fax. In an effort to ensure that utilities fulfill their obligation to provide effective customer service, we will first ask your utility to contact you and resolve your concern. If your complaint is related to the provision of service, your utility should contact you within two business hours. If your complaint is related to billing or another matter, the utility should contact you by the close of the following business day.

If the utility does not contact you with its initial acknowledgement, does not provide its response to you within two weeks or the matter remains unresolved after you have received a response, you can contact us. We will then further investigate the matter and notify you in writing or by telephone of the decision and the reasons for the decision.

If you believe the initial decision is wrong, you can request an informal hearing. This request may be in writing and made within 15 days of the initial decision. You may be asked to submit certain documents to support your position. If you and the utility are unable to settle the complaint, the hearing officer will make a decision on your complaint and notify you in writing of the decision.

If you believe that the informal hearing officer's decision was wrong, you can appeal it within 15 days of the decision. Your written appeal must contend that there was an error by the hearing officer or reviewer that affected the decision or that evidence not previously available would affect the decision. All appeals, except those involving PSEG-LI, will be decided by the Public Service Commission. PSEG-LI appeals will be decided by Long Island Power Authority (LIPA).

If you have a complaint about your utility service you may contact us thru one of the following avenues:

By Telephone Via the Internet	Monday thru Friday 8:30am – 4:00pm 24 hours a day	800-342-3377 www.dps.ny.gov
In Writing	Please be sure to include as much detail as possible, including your account number, service address, telephone number and the specifics of your complaint.	NYS Dept. of Public Service Office of Consumer Services Three Empire State Plaza Albany, NY 12223-1350



How Utility Complaints Are Measured

The Office of Consumer Services reports complaint data by volume and by responsiveness. A complaint rate is used to compare small utilities to large utilities. A response index is used to measure how well utilities address consumer complaints we forwarded to them.

The Office of Consumer Services closely monitors the number and types of complaints received against all utilities operating in New York State. We expect utility companies to be highly responsive to their customers' needs, especially when the customer feels that it is necessary to seek the assistance of the Department of Public Service staff. Each month, this report provides an overview of complaint activity and utility responsiveness which we believe is informative to both consumers and utility companies.

The table titled **Complaint Activity of New York's Major Utilities** reports on the volume of complaints received against the largest utilities in each industry. These utility companies vary in size from just over 10,000 customers to several million customers. Therefore, in order to compare complaint volumes among companies, a complaint rate per 100,000 customers is displayed. This allows the reader to compare the complaints of a large company to that of a small company.

There are two measures of complaints which are reported each month. At first all complaints are recorded and forwarded to the utility for resolution directly with the customer. These are noted as <u>initial complaints</u> (QRS) in the table titled **Complaint Activity of New York's Major Utilities**. If the customer informs us that the utility failed to satisfy their complaint the matter is escalated for further handling and investigation by staff and is noted as <u>escalated complaints</u> (SRS). Both numbers are converted into a complaint rate which allows the reader to compare performance regardless of the size of a company's customer base. The <u>escalation rate</u> is a measure of how successful a utility is in satisfying their customer upon receipt of an initial complaint made through the Office of Consumer Services. The <u>12 month complaint rate</u> is often used as one of several customer service delivered by an individual utility. This rate represents the average number of escalated complaints received per month per 100,000 customer accounts.

The table titled **Customer Service Response Index** (CSRI) reports on the level of customer service and responsiveness delivered by each service provider. The Customer Service Response Index is determined by measuring four metrics. Complete CSRI data is posted on the first page of the report for those service providers that average ten or more initial complaints per month. For all other service providers, the performance in each area is reported on subsequent pages of the table, less the actual index measures because the index measures for companies with fewer than ten initial complaints have been found to show significant fluctuations on a month to month basis. These fluctuations may result in the reader reaching an inaccurate conclusion as to a service provider's performance. If a company is not listed in a particular monthly report it is because there was no activity for the company in the reporting month.

The **Index** is determined by measuring four metrics:

<u>The Consumer Satisfaction Metric (CSM)</u> is a ratio of the number of <u>initial complaints</u> to the number of <u>escalated complaints</u> in the reporting month. A score of 5 points is awarded when a service provider receives no escalated complaints during the reporting month. There is no score awarded if a service provider satisfies less than 50% of the customers that the Department refers to them.

<u>The Complaint Response Time Metric (CRM)</u> is the average number of days it took the service provider to respond to <u>initial complaints</u> closed in the reporting month. A score of 2 points is awarded when a provider's average response time for <u>initial complaints</u> is 14 days or less. No points are earned if the average response time for <u>initial complaints</u> is more than 28 days (twice the acceptable reply standard).

<u>The Escalated Complaint Response Time Metric (ERM)</u> is the average number of days it took the service provider to respond to <u>escalated complaints</u> closed in the reporting month. A score of 2 points is awarded when a service provider's average response time for <u>escalated complaints</u> is 10 days or less. No points are earned if the average response time for <u>escalated complaints</u> is more than 25 days (two weeks past due).

<u>The Pending Case Metric (PCM)</u> is the average age of all cases awaiting response, determined on the last day of the reporting month. A score of 1 point is awarded when a service providers' average age of all cases is 14 days or less. No points are earned if the average age of all cases exceeds 70 days (two months delinquent). A negative score is applied if the average age of all cases is over 70 days.

Complaint Activity of New York's Major Utilities September 2016

Utility Companies	Initial Cor (QR	-	Escalated (Complaints	Escalation	12 Month Escalated
	No.	Rate*	No.	,	Rate	Complaint Rate
Central Hudson Gas & Electric Corp.	52	16.9	0	0.0	0%	0.3
Con Edison of New York	446	12.5	51	1.4	11%	1.4
PSEG Long Island	61	5.4	0	0.0	0%	0.3
National Grid - L I	44	7.5	4	0.7	9%	0.7
New York State Electric & Gas Corp.	71	7.5	1	0.1	1%	0.5
National Grid-Upstate	311	18.2	11	0.6	4%	0.7
Orange & Rockland	31	13.4	0	0.0	0%	0.1
Rochester Gas & Electric Corp.	75	18.2	4	1.0	5%	0.6
National Grid-Metro NY	117	9.4	6	0.5	5%	0.4
National Fuel Gas Distribution	26	5.0	1	0.2	4%	0.1
Citizens Communications	22	16.5	3	2.2	14%	0.7
Frontier Communications of NY	4	12.9	0	0.0	0%	1.1
Frontier Telephone of Rochester, Inc.	18	11.6	3	1.9	17%	0.9
Windstream Communications, Inc.	5	13.5	3	8.1	60%	2.3
Verizon Communications	211	7.7	37	1.3	18%	1.5
AT&T	8		3		38%	
Optimum Voice	2		1		50%	
Time Warner Cable Information Services	5		2		40%	
Verizon Digital Voice	14		6		43%	
Cablevision Systems	19		2		11%	
Time-Warner Cable	106		11		10%	
Verizon New York, Inc. (CATV)	23		3		13%	
Long Island Water Corp.	8	6.5	0	0.0	0%	1.3
United Water - New York	13	17.5	2	2.7	15%	3.1
United Water - Westchester	4	9.2	0	0.0	0%	1.5

All complaint rates are based on December 2015 customer populations.

* - Complaints per 100,000 customer accounts where populations are reported by the utility

This table reports on the volume of complaints received against the largest utilities in each industry.

Initial Complaints (QRS) - This is the number (No.) of complaints we receive and forward to the utility company for resolution directly with the customer and the corresponding complaint rate (Rate) per 100,000 customer accounts.

Escalated Complaints (SRS) - This is the number (No.) of complaints that we escalated for further handling and investigation because the customer informed us that the utility failed to satisfy their initial complaint after we forwarded the initial complaint to the utility. The corresponding escalated complaint rate (Rate) per 100,000 customer accounts allows the reader to compare one utility to another regardless of the number of customer accounts.

Escalation Rate - This is a measure of how successful a utility is in satisfying their customer upon receipt of an initial complaint made through the Office of Consumer Services. The lower the rate the more successful the utility was in resolving initial complaints directly with the customer.

12 Month Escalated Complaint Rate - This rate represents the average number of escalated complaints received per month per 100,000 customer accounts. This is often used as one of several customer service measures that may be taken into consideration when staff monitors the quality of customer service delivered by an individual utility.

Customer Service Response Index September 2016

Service Provider	Initial Complaints	Escalated Complaints	CSM Index	Complaint Response Time	CRM Index	E. Complaint Response Time	ERM Index	Avg. Age of Cases Pending	PCM Index	CSRI
PSEG Long Island	61	0	5.0	7.7	2.0	2.4	2.0	3.5	1.0	10.0
Central Hudson Gas & Electric Corp.	52	0	5.0	5.4	2.0	8.9	2.0	6.2	1.0	10.0
Orange & Rockland	31	0	5.0	4.2	2.0	2.5	2.0	10.0	1.0	10.0
ldt Energy, Inc.	10	0	5.0	9.2	2.0	0.0	2.0	5.7	1.0	10.0
Time Warner - Rochester	10	0	5.0	8.1	2.0	0.0	2.0	11.8	1.0	10.0
Family Energy, Inc.	12	0	5.0	13.7	2.0	0.0	2.0	18.7	0.9	9.9
National Grid - Upstate	311	11	4.6	4.6	2.0	8.6	2.0	7.6	1.0	9.6
New York State Electric & Gas Corp.	71	1	4.9	3.9	2.0	10.2	1.9	23.2	0.8	9.6
National Fuel Gas Distribution	26	1	4.6	8.3	2.0	1.0	2.0	3.5	1.0	9.6
Rochester Gas & Electric Corp.	75	4	4.5	3.8	2.0	3.8	2.0	6.9	1.0	9.5
Major Energy Services LLC	16	1	4.4	7.3	2.0	0.1	2.0	4.0	1.0	9.4
Mpower Energy LLC	14	1	4.3	14.6	1.9	4.8	2.0	5.3	1.0	9.2
Direct Energy Services LLC	12	1	4.2	8.6	2.0	4.8	2.0	6.6	1.0	9.2
Josco Energy Corp	11	1	4.1	12.6	2.0	3.8	2.0	3.5	1.0	9.1
Con Edison Of New York	446	51	3.9	8.3	2.0	8.8	2.0	6.1	1.0	8.9
Cablevision of New York City	11	1	4.1	8.7	2.0	10.6	1.9	15.2	0.9	8.9
Verizon New York Inc.	23	3	3.7	8.1	2.0	9.9	2.0	19.0	0.9	8.6
Time Warner - Syracuse Division	26	1	4.6	12.8	2.0	0.0	2.0	64.6	0.0	8.6
Citizens Communications	22	3	3.6	9.9	2.0	10.9	1.9	8.5	1.0	8.5
Frontier Telephone of Rochester, Inc.	18	3	3.3	13.8	2.0	0.0	2.0	5.4	1.0	8.3
Time Warner - Buffalo	16	3	3.1	14.6	1.9	1.0	2.0	15.5	0.9	7.9
Time Warner - Albany	22	5	2.7	13.0	2.0	0.0	2.0	7.4	1.0	7.7
Verizon Communications	211	37	3.2	12.3	2.0	17.8	1.3	12.4	1.0	7.5
National Grid - Metro Ny	117	6	4.5	11.8	2.0	35.3	0.0	13.2	1.0	7.5
National Grid - L I	44	4	4.1	15.9	1.8	24.2	0.2	17.1	0.9	7.0
Verizon Digital Voice	14	6	0.7	6.8	2.0	6.9	2.0	16.0	0.9	5.6
XChange Telecom	11	5	0.5	4.8	2.0	9.9	2.0	12.5	1.0	5.5
Ambit Energy	11	5	0.5	9.7	2.0	6.6	2.0	7.0	1.0	5.5
Suez Water New York Inc.	13	2	3.5	93.8	0.0	1.0	2.0	77.0	-3.0	2.5
Time Warner - New York City	32	2	4.4	31.8	0.0	19.1	1.1	84.1	-5.0	0.5

This table reports on the current level of customer service and responsiveness delivered by each service provider under the Department's jurisdiction. The Customer Service Response Index is determined by measuring four metrics. Complete CSRI data is posted on the first page of the report for those service providers that average ten or more initial complaints per month. For all other service providers, the performance in each area is reported on subsequent pages of the table, less the actual index measures because the index measures for companies with fewer than ten initial complaints have been found to show significant fluctuations on a month to month basis. These fluctuations may result in the reader reaching an inaccurate conclusion as to a service provider's performance. If a company is not listed on this report it is because there was no activity for the company in the reporting month. Below is an explanation of the data in each column. Exhibit 1131 Part 4 **Initial Complaints** - This is the number of initial complaints we receive and forward to the utility company for resolution directly with the customer.

Escalated Complaints - This is the number of complaints that we escalated for further handling and investigation because the customer informed us that the utility failed to satisfy their initial complaint after we forwarded the initial complaint to the utility.

CSM Index - The Consumer Satisfaction Index scores the ratio of the number of initial complaints to the number of escalated complaints in the reporting month. A score of 5 points are awarded when a service provider receives no escalated complaints during the reporting month. There is no score awarded if a service provider satisfies less than 50% of the customers that the Department refers to them.

Complaint Response Time - This is the average number of days it took for a utility to respond to initial complaints in the reporting month.

CRM Index - The Complaint Response Time Index scores the service provider's responsiveness to initial complaints closed in the reporting month. A score of 2 points is awarded when a provider's average response time for initial complaints is 14 days or less. No points are earned if the average response time for initial complaints is more than 28 days (twice the acceptable reply standard).

E. Complaint Response Time - This is the average number of days it took for a utility to respond to escalated complaints in the reporting month.

ERM Index - The Escalated Complaint Response Time Index scores the service providers responsiveness to escalated complaints closed in the reporting month. A score of 2 points is awarded when a provider's average response time for escalated complaints is 10 days or less. No points are earned if the average response time for escalated complaints is more than 25 days (two weeks past due).

Avg. Age of Cases Pending - This is the average age of all the cases awaiting a response from the service provider.

PCM Index - The Pending Case Index scores the average age of all cases awaiting response by the service provider. A score of 1 point is awarded when a service providers' average age of all cases is 14 days or less. No points are earned if the average age of all cases exceeds 70 days (two months delinquent). A negative score is applied if the average age of all cases is over 70 days.

CSRI - The Customer Service Response Index is the overall score received by the service provider. It is the sum of the four indices.

Service Provider	Initial Complaints	Escalated Complaints	CSM Index	Complaint Response Time	CRM Index	E. Complaint Response Time	ERM Index	Exhibit 11 Avg. Age of Cases Pending	31 Part 4 PCM Index	CSRI
125 Court Street, LLC	0	0		0.0		0.0		642.0		
327 Central Park West Condominium										
		1		0.0		0.0		19.0		
3462 Third Avenue Realty Llc	0	0		0.0		0.0		59.0		
ABN Energy, LLC DBA GreatEnergy	1	0		8.0		0.0		0.0		
Affordable Power, L.P.	0	0		0.0		0.0		43.0		
Agway Energy Services, LLC.	4	0		2.2		2.8		0.0		
Akam Associates	0	0		0.0		0.0		347.0		
Alpha Gas And Electric, Llc	2	2		7.7		1.9		0.0		
American Communications Network, I	0	0		0.0		0.0		137.0		
American Power & Gas, LLC	6	0		8.3		0.0		2.5		
American Telephone Company LLC	1	0		0.0		0.0		24.0		
Ap Gas & Electric (ny), Llc	1	1		18.0		8.9		0.0		
Astral Energy LLC	4	0		6.0		0.0		85.2		
AT&T	8	3		15.5		0.0		3.4		
Atlantic Energy, LLC	4	1		2.0		1.3		1.0		
Atlantic Power & Gas LLC	0	0		0.0		0.1		36.0		
Augustus & James Corp.	0	0		0.0		0.0		358.0		
BCN Telecom, Inc.	0	0		42.0		0.0		0.0		
Be@Schermerhorn	0	0		0.0		0.0		219.0		
Birch Communications, Inc.	2	0		6.0		0.9		0.0		
BlueRock Energy, Inc.	0	0		0.0		0.0		0.0		
Broadview Networks	5	0		14.8		0.0		1.0		
Brown's Fuel	2	0		5.0		0.0		0.0		
BTI Communications, Inc. d/b/a TELZE		2		9.2		0.0		125.1		
Cablevision - MediaOne - US Cablevis		1		11.0		0.0		0.0		
Cablevision of Brookhaven	0	0		0.0		0.0		0.0		
Cablevision of Dutchess County	1	0		13.0		0.0		0.0		
Cablevision of Long Island	6	0		9.0		0.0		2.0		
Cablevision of Riverhead	0	0		9.0 18.0		0.0		0.0		
Cablevision of Rockland	0	0		0.0		7.1		0.0		
Cablevision of Westchester	-	1		0.0 8.5		0.0		0.0		
CenStar Operating Company, LLC	1									
	1	0		6.0		0.0		0.0		
CenturyLink Communications, LLC	0	0		0.0		0.0		46.0		
Charter Communications	1	0		0.0		0.0		1.0		
Chatham Green Inc.	0	0		0.0		0.0		120.0		
Chief Energy Gas, Llc.	0	0		2.0		0.0		0.0		
Citizens Choice Energy, LLC	2	0		7.0		0.0		11.0		
Citizens Telecommunications Co. of N		0		6.3		0.0		0.0		
City of Jamestown Board of Public Util		0		32.0		0.0		16.0		
City Power & Gas, LLC	3	0		3.0		0.0		22.0		
Columbia Utilities Power, Llc (electric)	2	0		2.0		0.0		0.0		
Comcast Cable of New York - CATV	0	0		0.0		0.0		0.0		
Con Edison Solutions	2	0		7.5		0.0		15.5		
Constellation Energy Gas Choice Inc.	3	0		10.0		0.0		1.0		
Constellation NewEnergy	2	1		14.3		1.0		0.0		
CornerStone Telephone Company, LL	1	0		0.0		0.0		3.0		
CTC Communications Corp.	1	0		7.0		0.0		2.0		
Deposit Telephone	1	0		8.0		0.0		10.0		
Direct Energy Business Marketing, LLC	. 1	0		6.0		0.0		1.0		
Dsf IV New Rochelle Owner Llc D/b/a	0	0		0.0		0.0		347.0		
Dutchess Estates Water	0	0		0.0		0.0		143.0		
Dynalink Communications, Inc.	0	0		30.0		0.0		0.0		
Carries Presiden	Initial Complaints	Escalated Complaints	CSM Index	Complaint Response Time	CRM Index	E. Complaint Response Time	ERM Index	Exhibit 11 Avg. Age of Cases Pending	31 Part 4 PCM Index	CSRI
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Service Provider	•	•	muex		muex		muex	•	muex	CSKI
Eligo Energy Ny, Llc	7	4		33.8		6.0		21.6		
Elmwood Square Preservation, L.P.	0	1		28.0		0.0		3.0		
Emerald Green-Lake Louise Marie Wa		0		0.0		0.0		81.0		
Empire One Telecommunications, Inc.	0	0		0.0		0.0		46.0		
Empire Telephone Corp.	1	0		1.0		0.0		0.0		
Energy Plus Holdings LLC	2	0		13.5		9.0		8.0		
Energy Plus Natural Gas LP	0	0		13.0		0.0		0.0		
Ethical Electric, Inc	1	0		9.0		0.0		4.0		
Friedlander Water Supply	0	0		0.0		0.0		65.0		
Frontier Communications of AuSable \		0		0.2		0.0		0.0		
Frontier Communications of NY/aka Hi	4	0		8.8		0.0		0.0		
Frontier Communications of Rocheste	6	0		4.5		69.0		0.0		
Galaxy Energy Llc	1	0		0.0		15.8		8.0		
Great Eastern Energy	2	0		1.0		0.0		0.0		
Green Mountain Energy	2	0		12.5		0.0		5.0		
Greenlight Energy Inc.	2	1		20.0		0.0		17.5		
Grenadier Realty Corp	0	0		0.0		0.0		540.0		
H & S Property Management	0	0		0.0		0.0		348.0		
Hamilton County/Gore Mt. Cable TV Inc	0	0		0.0		0.0		242.0		
Herkimer Street Residence, L.P.	0	0		0.0		0.0		36.0		
Hiko Energy, LLC	1	0		0.0		0.0		0.0		
Hudson Energy Services, Llc	3	0		7.0		0.0		12.8		
Hudson Park Investors, Llc	0	1		0.0		0.0		5.0		
IDT America Corp.	0	1		13.0		0.0		24.0		
Infinite Energy, Inc.	1	0		0.0		0.0		9.0		
Interstate Gas Supply of New York	1	0		2.0		0.0		0.0		
J.D. Water Co. Inc.	1	0		3.0		0.0		0.0		
Jdm Washington Llc	0	1		0.0		11.0		0.0		
Just Energy New York Corp	4	0		11.8		0.2		0.0		
Kiamesha Artesian Spring Water Co.,	0	0		0.0		0.0		173.0		
King Tract Utilities c/o Melohn Propertie	1	0		0.0		0.0		230.5		
Kiwi Energy Inc.	1	0		16.0		0.0		0.0		
Knolls Water Co.	0	0		0.0		0.0		75.0		
Liberty Power Corp.	4	0		14.0		5.0		2.0		
Lindenwood Village Sec. B Co-op Corp		1		0.0		0.0		30.0		
Maiden Lane Properties, LLC	0	0		0.0		0.0		498.0		
Marathon Energy Corporation	2	1		9.8		28.0		13.0		
Master Call Communications, Inc.	1	1		0.0		34.2		0.0		
MCI	1	0		13.8		0.0		1.0		
Mid Hudson Cablevision, Inc.	1	0		9.0		0.0		0.0		
Mountain Lodge Park Water Corp.	0	0		0.0		0.0		33.0		
New Wave Energy Corp.	1	0		0.0		0.0		4.0		
New York American Water	8	0		5.6		10.9		53.5		
Noco Natural Gas, Llc	0	0		0.0		0.0		0.0		
North American Power & Gas LLC	3	1		19.5		24.4		5.0		
NorthEastern Energy Corporation	0	0		0.0		0.0		180.0		
Nysandy7 Story Llc	0	0		0.0		0.0		358.0		
Oasis Power LLC, d/b/a Oasis Energy		0		0.0		0.0		8.0		
Optimum Voice	2	1		17.3		21.9		0.0		
Pacific Telemanagement Services Dba		0		17.3		0.0		0.0		
Penelec (A First Energy Company)	2	0		14.0		0.0		0.0		
Pheasant Hill Developers.	2	1		40.0		0.0		0.0 10.0		
	U	I		+0.0		0.0		10.0		

	Initial	Escalated	CSM	Complaint Response	CRM	E. Complaint Response	ERM	Exhibit 11 Avg. Age of Cases	РСМ	
Service Provider	Complaints	•	Index	Time	Index	Time	Index	Pending	Index	CSRI
Plymouth Rock Energy LLC	0	0		0.0		13.4		0.0		
Premier Empire Energy LLC	0	0		0.0		0.0		33.0		
Public Power Llc	1	0		10.0		6.7		9.0		
Queens Fresh Meadow Electric	0	0		0.0		0.0		211.3		
Reliant Energy Northeast LLC	2	0		9.0		0.0		3.0		
Renaissance Power & Gas, Inc.	1	0		0.0		0.0		0.0		
Resdntl Comms. Netwrk of NY	0	0		0.0		0.0		0.0		
Residents Energy, LLC	2	0		9.5		0.0		1.0		
Riverview II Preservation Lp	0	0		0.0		0.0		465.0		
Roosevelt Island Associates	0	0		0.0		173.9		0.0		
Rural Atlantic Water Company Inc.	1	0		1.0		0.0		0.0		
Saratoga Water Services, Inc.	0	0		0.0		0.0		0.0		
Slic Network Solutions, Inc.	1	0		0.0		0.0		0.0		
Smart One Energy, LLC	1	1		8.5		0.0		1.0		
South Bay Energy Corp.	2	0		9.0		0.0		1.0		
Spark Energy, L.P.	9	1		9.9		0.0		2.0		
Spectrotel, Inc.	1	0		0.0		0.0		2.0		
St. Lawrence Gas	1	0		0.0		0.0		0.0		
Starion Energy NY, Inc.	2	1		19.2		9.2		0.0		
State Telephone Company, Inc.	0	0		0.0		0.0		58.0		
Sterling Homes, LLC	0	1		28.0		0.0		25.0		
Suez Water Westchester Inc.	4	0		1.0		0.0		23.0		
Sylvan Lake Water Corp.	0	0		0.0		0.0		47.0		
Taconic Telephone Corp.	2	1		23.9		0.0		5.5		
TDS Metrocom, Inc.	1	0		0.0		0.0		9.0		
Terrel Hills Water Co.	0	1		84.0		3.2		0.0		
The Chaffee Water Works Company	0	0		0.0		0.0		179.0		
Time Warner Cable Information Servic	5	2		22.2		1.1		52.8		
Titan Gas, LLC	0	0		30.0		0.0		31.0		
Trident Retail Energy, LLC dba Trident	1	0		0.0		0.0		1.0		
Tristate Bell Inc	3	0		6.3		0.0		101.0		
U.S. Gas & Electric, Inc.	2	3		22.2		6.7		9.5		
UDC Gateway LLC	0	0		0.0		0.0		38.0		
United Metro Energy Services Corp	0	0		0.0		0.0		30.0		
Utility Expense Reduction LLC	4	0		2.5		0.0		0.0		
Verde Energy USA New York, LLC	1	0		0.0		0.0		24.0		
Verizon Long Distance Llc (Id)	1	0		0.0		0.0		3.0		
Village of Fairport	1	0		0.0		0.0		0.0		
Village of Freeport Electric	1	0		0.0		0.0		19.0		
Village of Mohawk Municipal Commissi		0		0.0		0.0		8.0		
Village of Wellsville	0	0		2.0		0.0		0.0		
Viridian Energy Ny, Llc	3	0		22.3		1.1		8.0		
Wholesale Carrier Services, Inc.	0	0		25.0		0.0		0.0		
Windstream Communications, Inc.	5	3		11.0		3.4		24.4		
XOOM Energy New York, LLC	3	2		17.8		13.8		0.0		
ACCINENCICY TON, LEO	5	~		11.0		10.0		0.0		

2016 **Credit Adjustments Received For Consumers**

As a result of our investigation into consumers' complaints, when staff determines that a consumer was overbilled, the utility is directed to refund to the consumer, any monies it collected above and beyond what was allowed by tariffs, rules and regulations. The chart below identifies the credits obtained on behalf of consumers.

	Total	Consumers
Jan-16	\$191,889.11	103
Feb-16	\$194,994.20	113
Mar-16	\$710,252.88	123
Apr-16	\$208,820.40	116
May-16	\$320,169.70	92
Jun-16	\$210,276.06	134
Jul-16	\$174,940.80	109
Aug-16	\$794,743.63	85
Sep-16	\$300,124.16	79
Oct-16		
Nov-16		
Dec-16		

2016 Total \$3,106,210.94

954

Number of Initial Complaints Received Against ESCO's

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Code	Company Name	2016	2015	Sep-16	Aug-16	Jul-16	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15
6898AB	ABC Energy LLC	8	3	0	0	1	3	0	2	0	1	1	2	1	0	0
5567AB	ABN Energy, LLC DBA GreatEnergy	1	3	1	0	0	0	0	0	0	0	0	0	0	0	1
D128	Accent Energy Midwest, LLC	1	6	0	0	0	0	1	0	0	0	0	0	2	2	1
D249	Affordable Power, L.P.	1	1	0	0	0	1	0	0	0	0	0	0	0	1	0
8281AG	Agera Energy	1	3	0	0	0	0	0	0	1	0	0	0	1	0	0
5020AG	Aggressive Energy, LLC	1	1	0	0	0	0	1	0	0	0	0	0	0	0	0
D001	Agway Energy Services, LLC.	30	64	4	1	4	2	2	3	6	4	4	4	5	2	0
5985AL	Alpha Gas And Electric, Llc	14	59	2	2	0	1	0	3	1	3	2	4	2	2	3
D230	Ambit Energy	566	1346	11	11	5	11	25	19	52	113	319	209	36	45	51
5411AM	American Power & Gas, LLC	77	319	6	9	2	2	8	1	18	18	13	8	14	25	11
D240	Ameristar Energy, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
	Amplified Power & Gas, LLC	0	6	0	0	0	0	0	0	0	0	0	0	0	0	0
6023AP	Ap Gas & Electric (ny), Llc	11	19	1	0	2	0	0	3	0	2	3	1	1	5	1
	ASC Energy Services, Inc.	6	2	0	0	0	0	0	1	0	5	0	0	1	1	0
	Astral Energy LLC	58	117	4	4	2	5	1	2	9	9	22	13	23	19	25
6481AT	Atlantic Energy, LLC	33	35	4	6	6	3	5	1	1	1	6	4	8	5	5
	Atlantic Power & Gas LLC	22	52	0	4	1	6	1	1	5	2	2	1	3	4	1
	BluCo Energy, LLC	1	18	0	0	0	0	0	0	0	1	0	1	1	0	3
	BlueRock Energy, Inc.	0	11	0	0	0	0	0	0	0	0	0	0	2	0	1
	Bounce Energy NY, LLC	0	8	0	0	0	0	0	0	0	0	0	0	0	0	0
D113	Brown's Fuel	12	19	2	1	1	1	0	2	3	0	2	1	0	4	2
	BUY ENERGY DIRECT, LLC	2	8	0	0	0	1	0	0	1	0	0	0	0	1	1
9156CE	CenStar Operating Company, LLC	7	4	1	0	0	1	0	2	1	0	2	1	1	0	1
	Champion Energy Services, LLC	0	1	0	0	0	0	0	0	0	0	0	0	1	0	0
D220	Chief Energy Gas, Llc.	3	3	0	1	0	0	0	0	0	2	0	1	0	0	0
5773CH	Chief Energy Power, Llc	6	5	0	0	0	1	0	0	2	2	1	0	0	0	0
5325CI	Citizens Choice Energy, LLC	5	6	2	0	0	0	0	0	0	2	1	0	0	0	1
5592CI	City Power & Gas, LLC	10	29	3	0	1	0	1	0	2	2	1	0	0	0	1
D238	Clearview Energy, Inc.	3	9	0	0	0	1	0	0	1	1	0	0	0	0	1
D231	Columbia Utilities Power, Llc (electric)	22	27	2	5	1	2	1	2	2	4	3	1	4	0	3
D040	Columbia Utilities Power, Llc (gas)	8	31	0	0	0	0	1	1	1	4	1	2	3	2	2
5065CO	Community Energy Services, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
D086	Con Edison Solutions	11	11	2	2	1	2	0	2	1	1	0	1	1	0	0
	Constellation Energy Gas Choice Inc.	14	8	3	1	0	1	2	0	4	1	2	1	0	3	1
	Constellation NewEnergy	16	21	2	2	3	3	0	1	1	1	3	0	3	0	0
D221	Constellation NewEnergy - Gas Divisio		9	0	0	0	0	0	0	0	0	0	0	2	0	0
8168DI	Direct Energy Business Marketing, LL	10	6	1	3	1	0	2	0	2	1	0	1	1	4	0
5308DI	Direct Energy Business, LLC	0	35	0	0	0	0	0	0	0	0	0	0	0	1	2
D176	Direct Energy Services LLC	229	206	12	16	12	9	23	21	42	42	52	49	40	32	21
D006	East Coast Energy, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D256	East Coast Power, Llc	3	5	0	1	0	0	0	0	1	1	0	0	1	0	0
6922EL	Eligo Energy NY, Llc	72	46	7	28	5	3	5	5	4	12	3	0		2	1
D047	Empire Natural Gas Corporation	0	1	0	0	0	0	0	0	- 0	0	0	0	0	0	1
D047	Energetix, Inc.	0	48	0	0	0	0	0	0	0	0	0	0	0	0	5
8202EN	Energy Cooperative of America, Inc.	1	-40	0	0	0	0	1	0	0	0	0	0	0	0	0
	Energy Cooperative of New York	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
111×4	LIGITY COOPERATIVE OF NEW TOTA	U	L 2	U U	U U		<u> </u>	0		0	10	U U	0	U		0
D183 6031EN	ENERGY DISCOUNTERS, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0

Number of Initial Complaints Received Against ESCO's

		<u></u>		-					34							
Code	Company Name	2016	2015	Sep-16	Aug-16	Jul-16	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15
5568EN	Energy Plus Natural Gas LP	2	4	0	1	0	0	0	0	0	0	1	1	0	0	1
D137	Energy Service Providers, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	1	0	0
5424EN	Energy Solutions Co. LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	1	0
5182EN	EnergyMark, LLC	0	4	0	0	0	0	0	0	0	0	0	0	0	0	0
D201	Entergy Solutions Ltd.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
8938EN	Entrust Energy East, Inc.	5	37	0	1	1	0	0	0	0	3	0	1	2	3	0
7005ET	Ethical Electric Benefit Co.	16	39	1	8	0	1	0	3	1	2	0	5	3	1	5
4920FA	Family Energy, Inc.	147	118	12	11	12	16	13	13	28	20	22	9	12	5	12
7383FR	Frontier Utilities Northeast LLC	35	19	0	0	1	0	1	0	3	10	20	10	1	2	3
6781FT	FTR Energy Services, LLC	0	10	0	0	0	0	0	0	0	0	0	0	0	0	3
6643GA	Galaxy Energy Llc	8	22	1	0	1	1	0	0	0	2	3	1	2	1	1
D046	Gateway Energy Services Corp.	0	83	0	0	0	0	0	0	0	0	0	0	0	2	8
4963GD	Gdf Suez Energy Resources Na, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1
6424GD	GDF Suez Retail Energy Solutions, LL	0	3	0	0	0	0	0	0	0	0	0	0	1	1	0
5349GL	Glacial Natural Gas, Inc.	0	3	0	0	0	0	0	0	0	0	0	0	0	0	1
6009GL	Global Energy, LLC	2	2	0	0	0	2	0	0	0	0	0	0	0	0	0
D104	Great Eastern Energy	8	10	2	1	1	0	0	1	2	1	0	1	1	0	0
D104	Green Mountain Energy	24	33	2	3	1	1	2	2	6	2	5	2	0	2	7
4877GR	Greenlight Energy Inc.	24	25	2	6	0	1	2	1	3	7	4	2	3	1	3
D254	High Rise Energy Group, LLC	1	7	0	0	0	0	0	0	0	0	1	0	0	3	0
5302PR	Hiko Energy, Llc	10	20	1	0	1	0	3	3	0	2	0	0	0	2	1
D120	Hudson Energy Services, Llc	13	20	3	0	0	0	3 2	3	3	2	1	3	3	2	3
D120	IDT Energy, Inc.	57	168	3 10	9	5	3	2	6	3 9	9	4	3 10	3 13	2 13	3 14
D177	IGS Energy	1	6	10	9	0	0	2	0	9	9	4	0	0	0	0
			3	1	0	0	0	1	0	0	1	0	0	0	0	0
D167	Infinite Energy, Inc.	3	5	0	-	0	0	0	0	0	0	0	-	0	-	-
6647IR		0		-	0	-	0	-	12	-	-	-	1	-	0	0
7041JO	JOSCO Energy Corp	82	110	11	20	5		8		6	9	4	8 7	6	4	12
D213	Just Energy New York Corp	37	93 1	4	4	1	6	6 0	5	5	3	3		3	5	4
D015	Keyspan Energy Services, Inc.	0	-	-	0	0	0	-	0	0	0	0	0	-	0	0
6646KI	Kiwi Energy Inc.	30	143	1	2	1	0	4	1	6	8	7	8	1	13	16
5520LE	Lexington Power & Light, LLC	2	0	0	0	1	0	0	0	0	0	1	0	0	0	0
D117	Liberty Power Corp.	33	52	4	6	4	2	3	4	6	3	1	2	4	4	2
2066	Long Island Power Authority	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D147	M&R ENERGY RESOURCES CORPO		1	0	0	0	0 9	0 9	0	0	0	0	0	0	0	0
D214	Major Energy Services LLC	79	109	16	9	5	· ·	0	11	11	5	4	2	5	8	8
6007MA	Marathon Energy Corporation	38	21	2	6	4	7	1	4	5	6	3	2	1	3	1
D267	Mpower Energy LLC	89	113	14	16	11	7	7	9	8	9	8	20	6	12	14
6807MY	MyGrid Energy, Inc.	0	9	0	0	0	0	0	0	0	0	0	0	0	0	0
D020	Natgasco, Inc A Mitchell-Supreme C	2	2	0	0	0	0	1	0	1	0	0	0	1	0	0
5436NE	New Wave Energy Corp.	4	2	1	0	0	0	0	1	1	1	0	0	0	0	0
7379NE	NextEnergy Services LLC	1	0	0	0	0	0	0	0	1	0	0	0	0	0	0
4987NE	NextEra Energy Resources, LLC	2	7	0	0	0	0	0	0	1	0	1	1	0	1	0
D148	Noco Natural Gas, Llc	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
5787NO	North American Power & Gas LLC	22	140	3	1	2	2	1	3	6	3	1	6	0	3	2
6976NO	North Eastern States, Inc.	0	19	0	0	0	0	0	0	0	0	0	0	0	0	0
5479NO	North Energy LLC	1	5	0	0	1	0	0	0	0	0	0	1	1	1	1
D239	NorthEastern Energy Corporation	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D103	NYSEG Solutions, Inc.	0	138	0	0	0	0	0	0	0	0	0	0	0	1	10

Number of Initial Complaints Received Against ESCO's

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Code	Company Name	2016	2015	Sep-16	Aug-16	Jul-16	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15
4921OA	Oasis Power LLC, d/b/a Oasis Energy	9	14	1	1	0	2	1	0	1	3	0	1	1	2	1
6645PA	Pay Less Energy, LLC	4	4	0	0	2	0	1	0	0	0	1	1	0	0	0
6024PE	People's Power & Gas, Llc	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
6893PE	Perigee Energy, LLC	5	2	0	1	1	0	0	2	0	0	1	0	1	0	0
5637PL	Planet Energy (NY) Corp.	0	5	0	0	0	0	0	0	0	0	0	0	0	0	0
D237	Platinum Plus Energy Resources, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D171	Plymouth Rock Energy LLC	7	36	0	0	2	0	0	0	4	1	0	1	1	4	6
7871PR	Premier Empire Energy LLC	4	0	0	0	3	0	0	1	0	0	0	0	0	0	0
D263	Public Power Llc	19	54	1	2	1	6	3	0	0	4	2	4	3	4	8
6233RE	Reliant Energy Northeast LLC	11	6	2	1	0	0	1	1	3	3	0	2	0	0	0
6616RE	Renaissance Power & Gas, Inc.	7	13	1	2	0	0	0	1	0	0	3	4	0	2	0
6574RE	Residents Energy, LLC	31	51	2	4	3	3	4	3	4	4	4	5	5	5	6
D093	Robison Energy Of Westchester	2	5	0	0	0	1	1	0	0	0	0	1	0	1	1
5370SB	SBR Energy, LLC	1	5	0	0	0	0	0	1	0	0	0	0	0	0	0
5481SJ	SJ Energy Partners	3	3	0	0	0	1	1	0	0	1	0	0	0	0	1
4976SM	Smart One Energy, LLC	25	38	1	1	1	0	1	4	7	6	4	4	4	3	4
6216SO	South Bay Energy Corp.	13	11	2	2	3	2	1	0	3	0	0	0	0	2	0
5577SO	SouthStar Energy Services LLC	1	1	0	0	0	0	0	0	1	0	0	0	0	0	0
D186	Spark Energy, L.P.	63	154	9	5	8	4	1	5	11	7	13	8	9	19	5
7397SP	Sperian Energy Corp.	0	5	0	0	0	0	0	0	0	0	0	0	0	0	0
5463ST	Starion Energy NY, Inc.	13	25	2	2	0	0	0	2	2	2	3	3	0	1	2
6809ST	Stream Energy New York LLC.	1	9	0	0	0	0	0	0	0	1	0	1	0	0	0
D223	Titan Gas, LLC	3	6	0	2	0	1	0	0	0	0	0	0	0	1	1
9014TR	Trident Retail Energy, LLC DBA Trider	6	0	1	0	0	1	0	2	0	2	0	0	0	0	0
D119	U.S. Gas & Electric, Inc.	48	52	2	2	3	8	3	6	10	7	7	3	6	2	2
D500	Unidentified ESCO	2	0	0	0	0	0	0	1	0	0	1	0	0	0	0
6008UN	United Energy Supply Corporation	3	11	0	0	0	0	0	0	0	3	0	2	1	0	0
7346UN	United Metro Energy Services Corp.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
5461UT	Utility Expense Reduction LLC	35	51	4	4	3	1	1	1	7	10	4	8	6	11	4
6894VE	Verde Energy USA New York, LLC	17	72	1	2	0	0	1	2	3	4	4	4	2	2	10
5391VI	Viridian Energy NY, LLC	40	69	3	5	3	4	2	5	7	7	4	7	4	2	6
6668XO	XOOM Energy New York, LLC	26	72	3	8	0	1	2	3	3	4	2	2	4	5	8
	Total	2459	5044	199	247	139	160	169	194	342	417	592	469	275	321	347

ESCO's with no complaints on file since January 2015 are not listed on this report.

Number of Escalated Complaints Received Against ESCO's

			Plaint			00										
Code	Company Name	2016	2015	Sep-16	Aug-16	Jul-16	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15
6898AB	ABC Energy LLC	3	0	0	0	0	0	1	0	0	1	1	0	0	0	0
5567AB	ABN Energy, LLC DBA GreatEnergy	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1
D128	Accent Energy Midwest, LLC	1	1	0	0	0	0	0	0	0	0	1	1	0	0	0
D249	Affordable Power, L.P.	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0
D001	Agway Energy Services, LLC.	7	17	0	0	0	0	1	1	2	1	2	0	1	1	0
5985AL	Alpha Gas And Electric, Llc	5	15	2	0	1	0	0	0	1	1	0	2	1	2	0
D230	Ambit Energy	255	398	5	1	4	5	13	11	36	105	75	18	17	16	23
5411AM	American Power & Gas, LLC	7	26	0	0	0	2	0	2	0	3	0	1	1	2	1
6729AM	Amplified Power & Gas, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
6023AP	Ap Gas & Electric (ny), Llc	4	9	1	0	0	0	1	0	1	1	0	1	1	3	0
5465AS	ASC Energy Services, Inc.	2	0	0	0	0	0	1	0	1	0	0	0	0	0	0
6818AS	Astral Energy LLC	7	20	0	1	0	0	0	0	1	3	2	6	5	2	0
6481AT	Atlantic Energy, LLC	3	10	1	1	1	0	0	0	0	0	0	1	3	1	1
7844AT	Atlantic Power & Gas LLC	4	17	0	2	1	0	0	0	0	0	1		0	1	0
D222	BluCo Energy, LLC		3	0	0	0	0	0	0	0	0	0	0	0	0	1
5008BO	Bounce Energy NY, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D113	Brown's Fuel	2	4	0	0	0	0	0	0	0	1	1	0	1	1	0
5246BU	BUY ENERGY DIRECT, LLC		5	0	0	0	0	0	1	0	0	0	0	0	0	0
		1		0	-	0	-	0		0	0		-	-		-
9156CE	CenStar Operating Company, LLC	1	2	-	0	-	0	-	0	-	-	1	0	0	1	0
6903CH	Champion Energy Services, LLC	0	1	0	0	0	0	0	0	0	0	0	1	0	0	0
5773CH	Chief Energy Power, Llc	1	1	0	0	0	0	0	0	0	0	1	0	0	0	0
5592CI	City Power & Gas, LLC	1	10	0	1	0	0	0	0	0	0	0	0	0	0	0
D238	Clearview Energy, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1
D231	Columbia Utilities Power, Llc (electric)	8	4	0	3	0	1	0	0	0	2	2	0	0	0	1
D040	Columbia Utilities Power, Llc (gas)	3	6	0	0	0	0	1	0	1	0	1	0	0	0	0
5065CO	Community Energy Services, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
D086	Con Edison Solutions	0	2	0	0	0	0	0	0	0	0	0	0	1	0	0
D084	Constellation NewEnergy	3	4	1	1	1	0	0	0	0	0	0	0	0	0	0
D221	Constellation NewEnergy - Gas Divisio	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
8168DI	Direct Energy Business Marketing, LL	2	2	0	1	0	0	0	0	0	0	1	0	1	1	0
5308DI	Direct Energy Business, LLC	0	3	0	0	0	0	0	0	0	0	0	0	0	0	0
D176	Direct Energy Services LLC	63	37	1	5	2	1	5	5	15	13	16	9	7	8	5
D256	East Coast Power, Llc	1	1	0	0	0	0	0	0	0	1	0	0	0	0	0
6922EL	Eligo Energy NY, Llc	13	10	4	3	2	1	0	0	2	1	0	0	0	0	1
D087	Energetix, Inc.	0	16	0	0	0	0	0	0	0	0	0	0	1	0	1
D183	Energy Cooperative of New York	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
6031EN	ENERGY DISCOUNTERS, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1
D243	Energy Plus Holdings LLC	1	6	0	0	0	0	0	0	0	1	0	0	0	0	1
5424EN	Energy Solutions Co. LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
5182EN	EnergyMark, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
8938EN	Entrust Energy East, Inc.	0	6	0	0	0	0	0	0	0	0	0	1	0	1	0
7005ET	Ethical Electric Benefit Co.	0	5	0	0	0	0	0	0	0	0	0	2	0	0	1
4920FA	Family Energy, Inc.	18	18	0	0	3	1	2	3	1	4	4	1	1	1	1
7383FR	Frontier Utilities Northeast LLC	9	4	0	0	0	0	0	0	2	1	6	0	0	2	0
6781FT	FTR Energy Services, LLC	0	5	0	0	0	0	0	0	0	0	0	0	0	1	0
6643GA	Galaxy Energy Llc	1	4	0	0	1	0	0	0	0	0	0	0	0	0	1
D046	Gateway Energy Services Corp.	0	29	0	0	0	0	0	0	0	0	0	0	0	1	1
D104	Great Eastern Energy	1	0	0	0	0	0	1	0	0	0	0	0	0	0	0

Number of Escalated Complaints Received Against ESCO's

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Code	Company Name	2016	2015	Sep-16	Aug-16	Jul-16	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15
D127	Green Mountain Energy	4	3	0	0	0	1	0	0	2	0	1	0	0	2	0
4877GR	Greenlight Energy Inc.	2	4	1	0	0	0	0	0	1	0	0	1	1	0	0
D254	High Rise Energy Group, LLC	1	4	0	0	0	0	0	0	0	1	0	0	0	3	0
5302PR	Hiko Energy, Llc	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D120	Hudson Energy Services, Llc	3	3	0	0	0	0	0	2	0	1	0	1	0	0	0
D177	IDT Energy, Inc.	6	5	0	0	1	0	0	2	3	0	0	1	0	0	1
7041JO	JOSCO Energy Corp	5	16	1	1	0	0	2	0	0	1	0	0	0	1	2
D213	Just Energy New York Corp	3	18	0	0	1	0	0	0	1	0	1	1	1	0	0
6646KI	Kiwi Energy Inc.	2	8	0	0	0	0	1	1	0	0	0	0	0	1	0
D117	Liberty Power Corp.	5	13	0	2	0	0	1	0	1	0	1	1	0	0	0
D214	Major Energy Services LLC	9	16	1	0	1	4	2	1	0	0	0	0	1	1	2
6007MA	Marathon Energy Corporation	13	2	1	2	1	1	2	0	3	1	2	0	0	0	0
D267	Mpower Energy LLC	8	12	1	2	1	1	0	0	1	2	0	1	1	2	0
	MyGrid Energy, Inc.	0	4	0	0	0	0	0	0	0	0	0	0	0	0	1
4987NE	NextEra Energy Resources, LLC	2	2	0	0	0	0	1	0	0	1	0	0	0	0	0
4987NE 5787NO	North American Power & Gas LLC	15	55	1	0	0	3	0	7	0	2	2	0	1	0	1
6976NO	North Eastern States, Inc.	0	2	0	0	0	0	0	0	0	2	2	0	0	0	0
				0	-	-	0	0	-	0	-	-	0	0	-	0
	North Energy LLC	0	1	-	0	0	-	-	0		0	0	-	-	0	-
D239	NorthEastern Energy Corporation	0	1	0	0	-	0	0	0	0	-	0	0	1	0	0
D103	NYSEG Solutions, Inc.	0	45	0	0	0	0	0	0	0	0	0	0	0	0	3
4921OA	Oasis Power LLC, d/b/a Oasis Energy	1	3	0	0	0	0	0	0	0	0	1	0	0	0	0
6645PA	Pay Less Energy, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
6893PE	Perigee Energy, LLC	0	1	0	0	0	0	0	0	0	0	0	0	1	0	0
D237	Platinum Plus Energy Resources, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D171	Plymouth Rock Energy LLC	2	7	0	2	0	0	0	0	0	0	0	0	1	1	1
7871PR	Premier Empire Energy LLC	1	0	0	1	0	0	0	0	0	0	0	0	0	0	0
D263	Public Power Llc	7	15	0	1	1	2	0	1	1	1	0	2	1	1	1
6233RE	Reliant Energy Northeast LLC	3	1	0	0	0	0	2	0	1	0	0	0	0	0	0
6616RE	Renaissance Power & Gas, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
6574RE	Residents Energy, LLC	0	2	0	0	0	0	0	0	0	0	0	0	1	0	0
D093	Robison Energy Of Westchester	0	2	0	0	0	0	0	0	0	0	0	0	1	0	1
5370SB	SBR Energy, LLC	1	1	0	0	0	0	1	0	0	0	0	0	0	0	0
5481SJ	SJ Energy Partners	1	0	0	0	0	0	0	0	1	0	0	0	0	0	0
4976SM	Smart One Energy, LLC	7	7	1	0	0	0	1	2	1	1	1	0	0	1	1
6216SO	South Bay Energy Corp.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D186	Spark Energy, L.P.	12	19	1	1	1	1	0	0	5	2	1	1	0	2	2
7397SP	Sperian Energy Corp.	0	3	0	0	0	0	0	0	0	0	0	0	0	0	1
5463ST	Starion Energy NY, Inc.	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0
6809ST	Stream Energy New York LLC.	0	5	0	0	0	0	0	0	0	0	0	0	0	0	0
D223	Titan Gas, LLC	1	3	0	1	0	0	0	0	0	0	0	0	1	0	0
D119	U.S. Gas & Electric, Inc.	17	10	3	0	3	3	2	3	2	1	0	1	0	1	1
6008UN	United Energy Supply Corporation	0	2	0	0	0	0	0	0	0	0	0	1	0	0	0
7346UN	United Metro Energy Services Corp.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
5461UT	Utility Expense Reduction LLC	3	1	0	0	0	0	0	0	1	1	1	0	0	0	0
6894VE	Verde Energy USA New York, LLC	6	15	0	1	0	0	0	0	2	1	2	0	0	0	2
5391VI	Viridian Energy NY, LLC	11	21	0	1	0	3	1	2	1	1	2	3	0	1	2
6668XO	XOOM Energy New York, LLC	3	22	2	0	0	0	0	0	0	1	0	1	2	3	2
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ESCO's with no complaints on file since January 2015 are not listed on this report.

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Code	Company Name	2016	2015	Sep-16	Aug-16	Jul-16	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15
6898AB	ABC Energy LLC	4	1	0	0	0	2	0	1	0	0	1	0	1	0	0
5567AB	ABN Energy, LLC DBA GreatEnergy	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D128	Accent Energy Midwest, LLC	1	3	0	0	0	0	0	0	0	0	1	1	1	1	0
D249	Affordable Power, L.P.	1	0	0	0	0	0	0	0	1	0	0	0	0	0	0
8281AG	Agera Energy	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
5020AG	Aggressive Energy, LLC	1	0	0	0	0	0	1	0	0	0	0	0	0	0	0
D001	Agway Energy Services, LLC.	15	39	2	0	2	2	3	1	1	2	2	3	1	3	0
5985AL	Alpha Gas And Electric, Llc	6	26	2	0	0	0	0	0	2	2	0	2	2	1	1
D230	Ambit Energy	367	646	4	3	2	8	20	8	46	95	181	53	18	26	29
5411AM	American Power & Gas, LLC	36	156	3	2	2	1	2	0	7	10	9	2	6	9	2
6729AM	Amplified Power & Gas, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
6023AP	Ap Gas & Electric (ny), Llc	4	4	0	0	0	0	1	2	0	0	1	0	0	1	0
5465AS	ASC Energy Services, Inc.	1	0	0	0	0	0	0	0	0	1	0	0	0	0	0
6818AS	Astral Energy LLC	12	27	2	1	0	1	0	0	2	0	6	2	4	5	3
6481AT	Atlantic Energy, LLC	7	8	1	2	0	1	1	0	0	0	2	1	2	2	2
7844AT	Atlantic Power & Gas LLC	5	9	0	0	1	2	0	0	1	0	1	0	0	0	0
D222	BluCo Energy, LLC	0	7	0	0	0	0	0	0	0	0	0	0	0	0	2
D217	BlueRock Energy, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
5008BO	Bounce Energy NY, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
D113	Brown's Fuel	5	7	0	0	0	0	0	1	2	0	2	2	0	2	0
5246BU	BUY ENERGY DIRECT, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
9156CE	CenStar Operating Company, LLC	4	0	0	0	0	0	0	2	1	0	1	0	0	0	0
D220	Chief Energy Gas, Llc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
5773CH	Chief Energy Power, Llc	3	1	0	0	0	0	0	0	0	1	2	0	0	0	0
5325CI	Citizens Choice Energy, LLC	1	2	1	0	0	0	0	0	0	0	0	0	0	0	0
5592CI	City Power & Gas, LLC	4	14	0	0	0	0	0	0	1	2	1	0	0	0	1
D238	Clearview Energy, Inc.	0	3	0	0	0	0	0	0	0	0	0	0	0	0	0
D231	Columbia Utilities Power, Llc (electric)	11	13	0	3	0	1	1	0	1	4	1	1	2	0	2
D040	Columbia Utilities Power, Llc (gas)	2	11	0	0	0	0	0	0	1	1	0	1	1	1	0
5065CO	Community Energy Services, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
D086	Con Edison Solutions	2	8	0	1	0	0	1	0	0	0	0	0	0	0	0
6771CO	Constellation Energy Gas Choice Inc.	6	4	0	0	1	0	1	0	2	1	1	1	0	0	1
D084	Constellation NewEnergy	3	11	1	1	0	1	0	0	0	0	0	0	0	0	0
D221	Constellation NewEnergy - Gas Divisio		2	0	0	0	0	0	0	0	0	0	0	0	0	0
8168DI	Direct Energy Business Marketing, LLC		0	0	0	0	0	0	0	2	0	0	0	0	0	0
5308DI	Direct Energy Business, LLC	0	18	0	0	0	0	0	0	0	0	0	0	0	0	1
D176	Direct Energy Services LLC	81	80	0	2	0	2	5	9	23	18	22	16	21	8	9
D256	East Coast Power, Llc	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
6922EL	Eligo Energy NY, Llc	24	28	3	9	2	1	2	2	0	5	0	0	1	1	0
7398EM	Empire Energy Services, Inc. Empire Natural Gas Corporation	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D047 D087		0	1	0	0	0	0	0	0	0	0	0	0	0	0	2
6031EN	Energetix, Inc. ENERGY DISCOUNTERS, LLC	0	180	0	0	0	0	1	0	0	0	0	0	0	0	2
D243		1 7	12	0	-	0	0		0	2	4	0	-	0	1	1
5568EN	Energy Plus Holdings LLC Energy Plus Natural Gas LP	0	12	0	0	0	0	0	0	2	4	0	0	0	0	0
D137	Energy Service Providers, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	1	0	0
5424EN	Energy Solutions Co. LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	1	0
5424EN	EnergyMark, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
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Code	Company Name	2016	2015	Sep-16	Aug-16	Jul-16	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15
D201	Entergy Solutions Ltd.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
8938EN	Entrust Energy East, Inc.	1	15	0	0	0	0	0	0	0	1	0	0	0	0	0
7005ET	Ethical Electric Benefit Co.	17	27	1	13	0	0	0	3	0	0	0	4	2	1	2
4920FA	Family Energy, Inc.	67	62	3	5	7	5	6	9	9	14	9	1	6	1	5
7383FR	Frontier Utilities Northeast LLC	12	2	0	0	1	0	0	0	3	3	5	0	0	0	0
6781FT	FTR Energy Services, LLC	0	7	0	0	0	0	0	0	0	0	0	0	0	0	1
6643GA	Galaxy Energy Llc	4	10	1	0	0	0	0	0	0	2	1	1	0	1	0
D046	Gateway Energy Services Corp.	0	30	0	0	0	0	0	0	0	0	0	0	0	1	1
6424GD	GDF Suez Retail Energy Solutions, LL	0	1	0	0	0	0	0	0	0	0	0	0	1	0	0
5349GL	Glacial Natural Gas, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
6009GL	Global Energy, LLC	1	0	0	0	0	1	0	0	0	0	0	0	0	0	0
D104	Great Eastern Energy	2	4	1	0	0	0	0	1	0	0	0	1	1	0	0
D104	Green Mountain Energy	10	15	1	1	0	0	0	0	3	2	3	1	0	1	3
4877GR	Greenlight Energy Inc.	20	16	2	4	0	1	1	0	5	5	2	1	0	1	3
D254	High Rise Energy Group, LLC	20	10	0	-	0	0	0	0	0	1	1	0	0	0	0
5302PR	Hiko Energy, Llc	6	6	0	1	0	0	2	1	0	2	0	0	0	2	0
D120	Hudson Energy Services, Llc	7	4	0	1	0	0	0	4	2	0	0	1	0	1	1
D120	IDT Energy, Inc.	33	77	6	2	5	3	2	5	3	6	1	6	4	9	4
D188	IGS Energy	0	3	0	0	0	0	0	0	0	0	0	0	4	0	0
D167	Infinite Energy, Inc.	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0
7041JO	JOSCO Energy Corp	43	38	4	7	4	5	6	5	3	7	2	4	3	0	6
D213	Just Energy New York Corp	43 11	29	4	0	4	3	0	1	3	1	2	4	0	1	1
6646KI	Kiwi Energy Inc.	13	82	0	1	1	1	3	1	1	3	2	4	1	7	8
5520LE	Lexington Power & Light, LLC	1	02	0	0	1	0	0	0	0	0	0	4	0	0	0
D117	Liberty Power Corp.	10	22	2	0	0	0	0	3	3	1	1	2	2	2	0
D117 D214	Major Energy Services LLC	25	33	4	2	3	4	4	4	2	1	1	1	0	1	0
6007MA	Marathon Energy Corporation	8	2	2	2	0	1	-	1	2	0	0	0	0	0	1
D267	Mpower Energy LLC	34	70	2	7	4	3	1	3	4	5	5	10	2	7	6
5436NE	New Wave Energy Corp.	2	0	1	0	4	0	0	0	4	0	0	0	2	0	0
4987NE	NextEra Energy Resources, LLC	2	3	0	0	0	0	0	0	0	1	1	0	0	1	0
5787NO	North American Power & Gas LLC	13	85	1	1	0	2	0	3	2	4	0	2	0	0	1
6976NO	North Eastern States, Inc.	0	8	0	0	0	0	0	0	0	-	0	0	0	0	0
5479NO	North Energy LLC	0	1	0	0	0	0	0	0	0	0	0	1	0	0	0
D103	NYSEG Solutions, Inc.	0	66	0	0	0	0	0	0	0	0	0	0	0	0	8
4921OA	Oasis Power LLC, d/b/a Oasis Energy	2	3	0	0	0	0	0	0	1	1	0	0	0	1	0
6645PA	Pay Less Energy, LLC	2	1	0	0	1	0	1	0	0	0	0	1	0	0	0
6024PE	People's Power & Gas, Llc	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
6893PE	Perigee Energy, LLC	2	1	0	0	0	0	0	1	0	0	1	0	0	0	0
5637PL	Planet Energy (NY) Corp.	0	5	0	0	0	0	0	0	0	0	0	0	0	0	0
D171	Plymouth Rock Energy LLC	1	16	0	0	0	0	0	0	1	0	0	0	0	2	3
D263	Public Power Llc	6	18	1	0	0	1	0	0	2	1	1	1	0	2	0
6233RE	Reliant Energy Northeast LLC	6	3	1	0	0	0	2	0	1	2	0	1	0	0	0
6616RE	Renaissance Power & Gas, Inc.	3	5	0	0	0	0	0	1	0	0	2	0	0	2	0
6574RE	Residents Energy, LLC	9	22	0	2	1	0	2	0	2	0	2	2	2	2	1
5370SB	SBR Energy, LLC	0	4	0	0	0	0	0	0	2	0	0	0	2	0	0
4976SM	Smart One Energy, LLC	8	18	0	0	1	0	0	0	2	3	2	3	1	0	1
6216SO	South Bay Energy Corp.	7	3	0	1	1	2	0	1	2	0	0	0	0	0	0
D186	Spark Energy, L.P.	20	53	2	2	2	1	0	1	4	3	5	4	2	4	3
0100	opan Libiyy, Li .	20	55	2	L 2	2	L '	U		1 -1	5	5	+	2	+	J

		J														
Code	Company Name	2016	2015	Sep-16	Aug-16	Jul-16	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15	Sep-15
7397SP	Sperian Energy Corp.	0	6	0	0	0	0	0	0	0	0	0	0	0	0	0
5463ST	Starion Energy NY, Inc.	6	11	0	1	0	0	0	2	1	1	1	0	0	1	2
6809ST	Stream Energy New York LLC.	0	5	0	0	0	0	0	0	0	0	0	0	0	0	0
D223	Titan Gas, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
9014TR	Trident Retail Energy, LLC DBA Trider	1	0	0	0	0	0	0	1	0	0	0	0	0	0	0
D119	U.S. Gas & Electric, Inc.	17	30	1	2	2	3	1	5	1	0	2	0	2	1	1
D500	Unidentified ESCO	70	130	2	8	4	9	6	7	6	14	14	11	3	10	15
6008UN	United Energy Supply Corporation	1	6	0	0	0	0	0	0	0	1	0	1	1	0	0
7346UN	United Metro Energy Services Corp.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
5461UT	Utility Expense Reduction LLC	14	22	0	2	0	0	1	0	2	6	3	2	0	4	2
6894VE	Verde Energy USA New York, LLC	7	30	0	0	0	0	0	1	0	4	2	0	0	1	4
5391VI	Viridian Energy NY, LLC	19	30	0	1	0	4	2	3	2	2	5	1	0	0	2
6668XO	XOOM Energy New York, LLC	6	21	2	1	0	0	0	0	1	1	1	1	1	2	2
	Total	1168	2348	62	91	48	71	79	93	169	244	311	156	95	131	144

Deceptive marketing complaints are taken from customers who report situations where an energy service company or energy marketer solicits the customer's home or business in a manner which the customer believes is misleading or the customer was presented with information which the customer believes is untrue.



October 2016

Office of Consumer Services Monthly Report on Consumer Complaint Activity

Audrey Zibelman Chief Executive Officer

LuAnn Scherer Acting Director, Office of Consumer Services

Published November 30, 2016



Monthly Report on Consumer Complaint Activity

October 2016

Table of Contents

Director's Message	. 3
If You Have a Complaint About Your Utility Service	. 4
How Utility Complaints are Measured	. 5
Complaint Activity of New York's Major Utilities	. 7
Customer Service Response Index	. 8
Credits Obtained for Consumers	13
Number of Initial Complaints Received Against ESCO's	14
Number of Escalated Complaints Received Against ESCO's	17
Number of Marketing Complaints Received Against ESCO's	20



November 30, 2016

Dear Readers:

The Office of Consumer Services closely monitors the number and types of complaints received against all utilities operating in New York State. We strive to ensure that utilities fulfill their obligation to provide effective customer service in compliance with the laws, rules, regulations and policies we enforce.

Each month, this report provides an overview of complaint activity and utility responsiveness during the preceding month which is informative to both consumers and utility companies. Specific details regarding the way we measure the companies' activities are described in the section How Utility Complaint Data Is Reported.

The table titled Complaint Activity of New York's Major Utilities reports on the volume of complaints received against the largest utilities in each industry while the table titled Customer Service Response Index reports on the level of customer service and responsiveness delivered by each service provider.

The chart, Credit Adjustments Received for Consumers, reflects the amount of refunds or credits customers received as a result of our investigations. The Office of Consumer Services also monitors complaints against the competitive energy service companies (ESCO's) operating in New York. These complaints are reported in two tables; Number of Initial Complaints Received Against ESCO's and Number of Escalated Complaints Received Against ESCO's.

I hope this report is helpful in providing you with a summary of utility complaint activity. If you have any questions, please e-mail John.Auricchio@dps.ny.gov

Sincerely,

LuAnn Scherer

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Acting Director Office of Consumer Services



If You Have a Complaint About Your Utility Service

If you're having difficulty resolving a dispute with your regulated energy, telephone, cable television or water company, Department of Public Service staff is available to assist you.

The Office of Consumer Services takes all utility consumer complaints seriously. You can contact us toll-free by telephone, in writing or via the Internet. When you contact our office with a complaint about a utility or other service provider, we take immediate steps to address your concerns.

After we complete entering the details of your complaint we send your complaint to the utility by e-mail or fax. In an effort to ensure that utilities fulfill their obligation to provide effective customer service, we will first ask your utility to contact you and resolve your concern. If your complaint is related to the provision of service, your utility should contact you within two business hours. If your complaint is related to billing or another matter, the utility should contact you by the close of the following business day.

If the utility does not contact you with its initial acknowledgement, does not provide its response to you within two weeks or the matter remains unresolved after you have received a response, you can contact us. We will then further investigate the matter and notify you in writing or by telephone of the decision and the reasons for the decision.

If you believe the initial decision is wrong, you can request an informal hearing. This request may be in writing and made within 15 days of the initial decision. You may be asked to submit certain documents to support your position. If you and the utility are unable to settle the complaint, the hearing officer will make a decision on your complaint and notify you in writing of the decision.

If you believe that the informal hearing officer's decision was wrong, you can appeal it within 15 days of the decision. Your written appeal must contend that there was an error by the hearing officer or reviewer that affected the decision or that evidence not previously available would affect the decision. All appeals, except those involving PSEG-LI, will be decided by the Public Service Commission. PSEG-LI appeals will be decided by Long Island Power Authority (LIPA).

If you have a complaint about your utility service you may contact us thru one of the following avenues:

By Telephone Via the Internet	Monday thru Friday 8:30am – 4:00pm 24 hours a day	800-342-3377 www.dps.ny.gov
In Writing	Please be sure to include as much detail as possible, including your account number, service address, telephone number and the specifics of your complaint.	NYS Dept. of Public Service Office of Consumer Services Three Empire State Plaza Albany, NY 12223-1350



How Utility Complaints Are Measured

The Office of Consumer Services reports complaint data by volume and by responsiveness. A complaint rate is used to compare small utilities to large utilities. A response index is used to measure how well utilities address consumer complaints we forwarded to them.

The Office of Consumer Services closely monitors the number and types of complaints received against all utilities operating in New York State. We expect utility companies to be highly responsive to their customers' needs, especially when the customer feels that it is necessary to seek the assistance of the Department of Public Service staff. Each month, this report provides an overview of complaint activity and utility responsiveness which we believe is informative to both consumers and utility companies.

The table titled **Complaint Activity of New York's Major Utilities** reports on the volume of complaints received against the largest utilities in each industry. These utility companies vary in size from just over 10,000 customers to several million customers. Therefore, in order to compare complaint volumes among companies, a complaint rate per 100,000 customers is displayed. This allows the reader to compare the complaints of a large company to that of a small company.

There are two measures of complaints which are reported each month. At first all complaints are recorded and forwarded to the utility for resolution directly with the customer. These are noted as <u>initial complaints</u> (QRS) in the table titled **Complaint Activity of New York's Major Utilities**. If the customer informs us that the utility failed to satisfy their complaint the matter is escalated for further handling and investigation by staff and is noted as <u>escalated complaints</u> (SRS). Both numbers are converted into a complaint rate which allows the reader to compare performance regardless of the size of a company's customer base. The <u>escalation rate</u> is a measure of how successful a utility is in satisfying their customer upon receipt of an initial complaint made through the Office of Consumer Services. The <u>12 month complaint rate</u> is often used as one of several customer service delivered by an individual utility. This rate represents the average number of escalated complaints received per month per 100,000 customer accounts.

The table titled **Customer Service Response Index** (CSRI) reports on the level of customer service and responsiveness delivered by each service provider. The Customer Service Response Index is determined by measuring four metrics. Complete CSRI data is posted on the first page of the report for those service providers that average ten or more initial complaints per month. For all other service providers, the performance in each area is reported on subsequent pages of the table, less the actual index measures because the index measures for companies with fewer than ten initial complaints have been found to show significant fluctuations on a month to month basis. These fluctuations may result in the reader reaching an inaccurate conclusion as to a service provider's performance. If a company is not listed in a particular monthly report it is because there was no activity for the company in the reporting month.

The **Index** is determined by measuring four metrics:

<u>The Consumer Satisfaction Metric (CSM)</u> is a ratio of the number of <u>initial complaints</u> to the number of <u>escalated complaints</u> in the reporting month. A score of 5 points is awarded when a service provider receives no escalated complaints during the reporting month. There is no score awarded if a service provider satisfies less than 50% of the customers that the Department refers to them.

<u>The Complaint Response Time Metric (CRM)</u> is the average number of days it took the service provider to respond to <u>initial complaints</u> closed in the reporting month. A score of 2 points is awarded when a provider's average response time for <u>initial complaints</u> is 14 days or less. No points are earned if the average response time for <u>initial complaints</u> is more than 28 days (twice the acceptable reply standard).

<u>The Escalated Complaint Response Time Metric (ERM)</u> is the average number of days it took the service provider to respond to <u>escalated complaints</u> closed in the reporting month. A score of 2 points is awarded when a service provider's average response time for <u>escalated complaints</u> is 10 days or less. No points are earned if the average response time for <u>escalated complaints</u> is more than 25 days (two weeks past due).

<u>The Pending Case Metric (PCM)</u> is the average age of all cases awaiting response, determined on the last day of the reporting month. A score of 1 point is awarded when a service providers' average age of all cases is 14 days or less. No points are earned if the average age of all cases exceeds 70 days (two months delinquent). A negative score is applied if the average age of all cases is over 70 days.

Complaint Activity of New York's Major Utilities October 2016

	Initial Co	mplaints	Escalated	Complaints	Escalation	12 Month
Utility Companies	(QR	(S)	(SF	RS)	Rate	Escalated
	No.	Rate*	No.		Nate	Complaint Rate
Central Hudson Gas & Electric Corp.	38	12.4	1	0.3	3%	0.3
Con Edison of New York	363	10.2	56	1.6	15%	1.4
PSEG Long Island	76	6.7	1	0.1	1%	0.2
National Grid - L I	27	4.6	0	0.0	0%	0.7
New York State Electric & Gas Corp.	74	7.9	1	0.1	1%	0.5
National Grid-Upstate	251	14.7	13	0.8	5%	0.7
Orange & Rockland	36	15.5	0	0.0	0%	0.1
Rochester Gas & Electric Corp.	74	17.9	3	0.7	4%	0.6
National Grid-Metro NY	126	10.1	8	0.6	6%	0.4
National Fuel Gas Distribution	44	8.4	1	0.2	2%	0.1
Citizens Communications	15	11.2	4	3.0	27%	0.9
Frontier Communications of NY	6	19.3	1	3.2	17%	1.3
Frontier Telephone of Rochester, Inc.	18	11.6	1	0.6	6%	0.9
Windstream Communications, Inc.	4	10.8	2	5.4	50%	2.7
Verizon Communications	183	6.7	37	1.3	20%	1.5
AT&T	8		2		25%	
Optimum Voice	12		2		17%	
Time Warner Cable Information Services	11		3		27%	
Verizon Digital Voice	9		3		33%	
Cablevision Systems	19		4		21%	
Time-Warner Cable	98		16		16%	
Verizon New York, Inc. (CATV)	15		3		20%	
Long Island Water Corp.	6	4.9	1	0.8	17%	1.2
United Water - New York	10	13.5	1	1.3	10%	2.7
United Water - Westchester	4	9.2	1	2.3	25%	1.5

All complaint rates are based on December 2015 customer populations.

* - Complaints per 100,000 customer accounts where populations are reported by the utility

This table reports on the volume of complaints received against the largest utilities in each industry.

Initial Complaints (QRS) - This is the number (No.) of complaints we receive and forward to the utility company for resolution directly with the customer and the corresponding complaint rate (Rate) per 100,000 customer accounts.

Escalated Complaints (SRS) - This is the number (No.) of complaints that we escalated for further handling and investigation because the customer informed us that the utility failed to satisfy their initial complaint after we forwarded the initial complaint to the utility. The corresponding escalated complaint rate (Rate) per 100,000 customer accounts allows the reader to compare one utility to another regardless of the number of customer accounts.

Escalation Rate - This is a measure of how successful a utility is in satisfying their customer upon receipt of an initial complaint made through the Office of Consumer Services. The lower the rate the more successful the utility was in resolving initial complaints directly with the customer.

12 Month Escalated Complaint Rate - This rate represents the average number of escalated complaints received per month per 100,000 customer accounts. This is often used as one of several customer service measures that may be taken into consideration when staff monitors the quality of customer service delivered by an individual utility.

Customer Service Response Index October 2016

Service Provider	Initial Complaints	Escalated Complaints	CSM Index	Complaint Response Time	CRM Index	E. Complaint Response Time	ERM Index	Avg. Age of Cases Pending	PCM Index	CSRI
Orange & Rockland	36	0	5.0	3.3	2.0	0.8	2.0	4.4	1.0	10.0
Josco Energy Corp	11	0	5.0	9.5	2.0	0.0	2.0	3.0	1.0	10.0
Mpower Energy LLC	11	0	5.0	13.2	2.0	0.0	2.0	7.9	1.0	10.0
PSEG Long Island	76	1	4.9	5.5	2.0	5.0	2.0	5.4	1.0	9.9
National Fuel Gas Distribution	44	1	4.8	8.6	2.0	2.6	2.0	6.0	1.0	9.8
Central Hudson Gas & Electric Corp.	38	1	4.7	5.2	2.0	6.6	2.0	10.4	1.0	9.7
Rochester Gas & Electric Corp.	74	3	4.6	3.5	2.0	8.5	2.0	8.5	1.0	9.6
National Grid - Upstate	251	13	4.5	4.0	2.0	5.4	2.0	5.2	1.0	9.5
National Grid - L I	27	0	5.0	8.0	2.0	16.4	1.4	11.2	1.0	9.4
Frontier Telephone of Rochester, Inc.	18	1	4.4	10.2	2.0	6.4	2.0	8.8	1.0	9.4
ldt Energy, Inc.	14	1	4.3	5.0	2.0	3.1	2.0	1.0	1.0	9.3
Spark Energy, L.P.	11	1	4.1	8.3	2.0	3.4	2.0	11.0	1.0	9.1
Direct Energy Services LLC	19	2	3.9	7.3	2.0	5.4	2.0	11.4	1.0	8.9
Time Warner - Albany	26	3	3.8	9.9	2.0	0.0	2.0	18.4	0.9	8.7
Con Edison Of New York	363	56	3.5	12.5	2.0	7.6	2.0	9.3	1.0	8.5
National Grid - Metro Ny	126	8	4.4	16.5	1.7	18.4	1.2	13.8	1.0	8.3
Time Warner - Buffalo	13	2	3.5	13.0	2.0	5.7	2.0	31.1	0.6	8.1
New York State Electric & Gas Corp.	74	1	4.9	3.7	2.0	24.1	0.2	23.1	0.8	7.9
Verizon New York Inc.	15	3	3.0	14.0	2.0	11.4	1.9	21.8	0.8	7.7
Optimum Voice	12	2	3.3	23.3	1.0	11.6	1.9	7.2	1.0	7.2
Citizens Communications	15	4	2.3	13.0	2.0	14.5	1.6	7.7	1.0	6.9
Time Warner Cable Information Servic	: 11	3	2.3	10.4	2.0	0.0	2.0	54.8	0.2	6.5
Verizon Communications	183	37	3.0	15.6	1.8	23.2	0.4	12.8	1.0	6.2
Time Warner - Syracuse Division	16	6	1.2	11.6	2.0	0.9	2.0	65.8	0.0	5.2
Time Warner - New York City	35	5	3.6	11.0	2.0	0.7	2.0	86.9	-7.0	0.6
Suez Water New York Inc.	10	1	4.0	2.8	2.0	9.5	2.0	158.0	-9.0	0.0

This table reports on the current level of customer service and responsiveness delivered by each service provider under the Department's jurisdiction. The Customer Service Response Index is determined by measuring four metrics. Complete CSRI data is posted on the first page of the report for those service providers that average ten or more initial complaints per month. For all other service providers, the performance in each area is reported on subsequent pages of the table, less the actual index measures because the index measures for companies with fewer than ten initial complaints have been found to show significant fluctuations on a month to month basis. These fluctuations may result in the reader reaching an inaccurate conclusion as to a service provider's performance. If a company is not listed on this report it is because there was no activity for the company in the reporting month. Below is an explanation of the data in each column. Exhibit 1131 Part 4 **Initial Complaints** - This is the number of initial complaints we receive and forward to the utility company for resolution directly with the customer.

Escalated Complaints - This is the number of complaints that we escalated for further handling and investigation because the customer informed us that the utility failed to satisfy their initial complaint after we forwarded the initial complaint to the utility.

CSM Index - The Consumer Satisfaction Index scores the ratio of the number of initial complaints to the number of escalated complaints in the reporting month. A score of 5 points are awarded when a service provider receives no escalated complaints during the reporting month. There is no score awarded if a service provider satisfies less than 50% of the customers that the Department refers to them.

Complaint Response Time - This is the average number of days it took for a utility to respond to initial complaints in the reporting month.

CRM Index - The Complaint Response Time Index scores the service provider's responsiveness to initial complaints closed in the reporting month. A score of 2 points is awarded when a provider's average response time for initial complaints is 14 days or less. No points are earned if the average response time for initial complaints is more than 28 days (twice the acceptable reply standard).

E. Complaint Response Time - This is the average number of days it took for a utility to respond to escalated complaints in the reporting month.

ERM Index - The Escalated Complaint Response Time Index scores the service providers responsiveness to escalated complaints closed in the reporting month. A score of 2 points is awarded when a provider's average response time for escalated complaints is 10 days or less. No points are earned if the average response time for escalated complaints is more than 25 days (two weeks past due).

Avg. Age of Cases Pending - This is the average age of all the cases awaiting a response from the service provider.

PCM Index - The Pending Case Index scores the average age of all cases awaiting response by the service provider. A score of 1 point is awarded when a service providers' average age of all cases is 14 days or less. No points are earned if the average age of all cases exceeds 70 days (two months delinquent). A negative score is applied if the average age of all cases is over 70 days.

CSRI - The Customer Service Response Index is the overall score received by the service provider. It is the sum of the four indices.

Service Provider	Initial Complaints	Escalated Complaints	CSM Index	Complaint Response Time	CRM Index	E. Complaint Response Time	ERM Index	Exhibit 11 Avg. Age of Cases Pending	31 Part 4 PCM Index	CSRI
125 Court Street, LLC	0	0		0.0		0.0		673.0	muun	
327 Central Park West Condominium		0		0.0		0.0		50.0		
		0								
3462 Third Avenue Realty Llc	0	-		0.0		0.0		90.0		
	2	0		5.5		0.0		0.0		
ABN Energy, LLC DBA GreatEnergy	1	0		1.0		0.0		0.0		
Affordable Power, L.P.	0	0		0.0		0.0		74.0		
Agway Energy Services, LLC.	2	0		2.0		0.0		4.0		
Akam Associates	0	0		0.0		0.0		378.0		
Alpha Gas And Electric, Llc	2	1		12.5		2.1		0.0		
Ambit Energy	5	1		18.4		1.0		7.8		
American Communications Network, I	0	0		0.0		0.0		168.0		
American Power & Gas, LLC	5	0		2.7		0.0		0.0		
American Telephone Company LLC	0	0		34.0		0.0		0.0		
Astral Energy LLC	2	0		6.0		0.0		171.0		
AT&T	8	2		6.8		0.8		10.2		
Atlantic Energy, LLC	3	0		7.0		0.0		1.0		
Atlantic Power & Gas LLC	0	0		0.0		0.0		1.0		
Augustus & James Corp.	0	0		0.0		0.0		389.0		
Be@Schermerhorn	0	0		0.0		0.0		250.0		
Braeside Aqua Corporation	1	1		3.0		0.0		12.0		
Broadview Networks	4	0		93.1		2.9		12.0		
Brown's Fuel	1	0		0.0		0.0		18.0		
BTI Communications, Inc. d/b/a TELZE	3	3		7.3		168.4		102.2		
BUY ENERGY DIRECT, LLC	1	0		1.0		0.0		0.0		
Cable Communications of Willsboro, In	0	0		0.0		0.0		0.0		
Cablevision - MediaOne - Rockland	2	0		0.0		0.0		8.0		
Cablevision - MediaOne - US Cablevis		0		0.3		0.0		6.0		
Cablevision Lightpath, Inc.	1	0		1.0		0.0		0.0		
Cablevision of Dutchess County	0	0		0.0		0.0		0.0		
Cablevision of Hauppauge	1	0		4.0		0.0		0.0		
Cablevision of Long Island	7	3		4.9		4.0		6.0		
Cablevision of New York City	7	1		10.1		20.5		5.7		
Cablevision of Riverhead	1	0		0.0		0.0		1.0		
Cablevision of Rockland	1	0		4.0		0.0		0.0		
Cablevision of Westchester	0	0		0.0		10.0		0.0		
CenStar Operating Company, LLC	1	0		4.0		0.0		0.0		
CenturyLink Communications, LLC	0	0		4.0 51.0		0.0		0.0		
Charter Communications	1	0		17.0		0.0		25.0		
Chatham Green Inc.	0	0		0.0		0.0		23.0 151.0		
Chief Energy Power, Llc	1	0		1.0		0.0		0.0		
Citizens Choice Energy, LLC	2	0		8.0		0.0		0.0		
City of Jamestown Board of Public Util				8.0 1.5		0.0		0.0		
City of Salamanca Electric		0								
-	1	1		0.0		0.8		0.0		
City Power & Gas, LLC	2	0		11.0		0.0		0.0		
Columbia Utilities Power, Llc (electric)	1	0		1.0		0.0		0.0		
Comcast Cable of New York - CATV	3	1		10.0		0.0		6.0		
Con Edison Solutions	0	1		20.5		0.0		25.0		
Constellation Energy Gas Choice Inc.	1	1		9.5		7.1		0.0		
Constellation NewEnergy	1	0		0.0		0.0		4.0		
CornerStone Telephone Company, LL		1		11.5		3.9		0.0		
CTC Communications Corp.	0	0		162.0		0.1		0.0		
Deposit Telephone	0	0		13.0		0.0		0.0		

Sarvice Browider	Initial Complaints	Escalated Complaints	CSM Index	Complaint Response Time	CRM Index	E. Complaint Response Time	ERM Index	Exhibit 11 Avg. Age of Cases Pending	31 Part 4 PCM Index	CSRI
Service Provider		•	muex		muex		muex	•	muex	CSKI
Direct Energy Business Marketing, LLC		0		89.0		0.0		6.0		
Dsf IV New Rochelle Owner Llc D/b/a I		0		0.0		0.0		378.0		
Dutchess Estates Water	0	0		0.0		167.0		0.0		
Eligo Energy Ny, Llc	3	1		30.3		24.1		0.0		
Elmwood Square Preservation, L.P.	1	0		13.0		0.0		34.0		
Emerald Green-Lake Louise Marie Wat		0		0.0		0.0		112.0		
Empire One Telecommunications, Inc.	0	0		0.0		0.0		77.0		
Energy Plus Holdings LLC	1	0		12.0		0.0		0.0		
Ethical Electric, Inc	5	0		8.5		0.0		6.5		
Family Energy, Inc.	6	3		13.0		4.5		3.0		
Flanders Energy LLC	4	0		1.0		0.0		0.0		
Friedlander Water Supply	0	0		0.0		0.0		96.0		
Frontier Communications of AuSable V	2	0		10.5		0.0		0.0		
Frontier Communications of NY/aka High	6	1		8.6		4.0		4.0		
Frontier Communications of Rochester	6	3		11.1		4.6		4.0		
Frontier Utilities Northeast LLC	1	0		145.2		9.3		0.0		
Galaxy Energy Llc	0	1		18.0		0.0		21.0		
Great Eastern Energy	2	0		0.0		0.0		6.0		
Green Mountain Energy	1	0		13.5		0.0		0.0		
Greenlight Energy Inc.	2	0		10.0		29.1		0.0		
Grenadier Realty Corp	0	0		0.0		0.0		571.0		
H & S Property Management	0	0		0.0		0.0		379.0		
Herkimer Street Residence, L.P.	0	0		0.0		0.0		67.0		
Hudson Energy Services, Llc	0	1		76.7		0.0		14.7		
Hudson Park Investors, Llc	0	0		0.0		0.0		36.0		
IDT America Corp.	0	0		0.0		0.0		55.0		
Infinite Energy, Inc.	0	0		14.0		0.0		0.0		
Interstate Gas Supply of New York	0	1		22.0		3.2		0.0		
Jdm Washington Llc	0	0		0.0		0.0		61.0		
Just Energy New York Corp	2	1		7.3		7.0		0.0		
Kiamesha Artesian Spring Water Co.,	2	0		0.0		0.0		204.0		
King Tract Utilities c/o Melohn Propertie	-			0.0				49.0		
Kiwi Energy Inc.		0				460.2				
Knolls Water Co.	0	0		165.0		0.0		27.0		
Liberty Power Corp.	0	0		0.0		78.9		0.0		
	6	2		13.2		0.0		7.5		
Lindenwood Village Sec. B Co-op Corp		0		0.0		0.0		61.0		
Maiden Lane Properties, LLC	0	0		0.0		0.0		529.0		
Major Energy Services LLC	5	4		14.6		6.6		5.0		
Marathon Energy Corporation	6	1		13.8		10.3		18.3		
Matrix Telecom, Inc Dba Trinsic Comm		0		4.0		0.0		4.0		
MCI	1	0		0.0		24.0		8.0		
Metropolitan Telecommunications	1	0		7.0		0.0		0.0		
Mountain Lodge Park Water Corp.	0	0		0.0		0.0		64.0		
New Horizons Communications Corp.	1	0		3.0		0.0		0.0		
New Wave Energy Corp.	0	0		0.0		0.0		35.0		
New York American Water	6	1		27.7		255.7		17.7		
North American Power & Gas LLC	1	1		2.0		4.9		6.0		
NorthEastern Energy Corporation	0	0		0.0		0.0		211.0		
Northland Networks, Ltd.	0	0		0.0		0.0		0.0		
Nysandy7 Story Llc	0	0		0.0		0.0		389.0		
Oasis Power LLC, d/b/a Oasis Energy	2	0		7.7		0.0		0.0		
One Touch Communications	1	0		1.0		0.0		0.0		

	Initial	Escalated	CSM	Complaint Response	CRM	E. Complaint Response	ERM	Exhibit 11 Avg. Age of Cases	31 Part 4 <i>PCM</i>	
Service Provider	Complaints	Complaints	Index	Time	Index	Time	Index	Pending	Index	CSRI
Paetec Communications, Inc. Dba Wir	1	0		0.0		0.0		12.0		
Penelec (A First Energy Company)	2	0		4.0		0.0		4.0		
Pheasant Hill Developers.	0	0		0.0		29.1		0.0		
Plymouth Rock Energy LLC	0	0		0.0		1.9		0.0		
Premier Empire Energy LLC	0	2		96.0		16.7		4.0		
Public Power Llc	5	0		7.0		9.1		19.5		
Qtel, LLC	3	0		9.0		0.0		4.0		
Queens Fresh Meadow Electric	0	0		0.0		0.0		242.3		
Reliant Energy Northeast LLC	1	0		106.0		0.0		27.0		
Renaissance Power & Gas, Inc.	3	1		11.5		0.8		1.0		
Resdntl Comms. Netwrk of NY	0	0		0.0		0.0		0.0		
Residents Energy, LLC	2	0		4.0		0.0		1.0		
Riverview II Preservation Lp	0	0		0.0		0.0		496.0		
Royal York Owners Corp.	0	1		0.0		0.0		25.0		
Smart One Energy, LLC	2	2		29.5		11.8		22.7		
South Bay Energy Corp.	1	0		3.0		0.0		0.0		
Spectrotel, Inc.	1	1		29.0		0.0		12.5		
St. Lawrence Gas	2	1		0.6		0.0		1.0		
Starion Energy NY, Inc.	1	0		0.0		0.0		7.0		
State Telephone Company, Inc.	0	0		68.0		0.0		0.0		
Sterling Homes, LLC	0	0		0.0		29.1		0.0		
Suez Water Westchester Inc.	4	1		4.8		0.9		54.0		
Sylvan Lake Water Corp.	0	0		0.0		0.0		78.0		
Taconic Telephone Corp.	1	0		20.0		12.8		1.0		
TDS Metrocom, Inc.	0	0		12.0		0.0		0.0		
Time Warner - Rochester	8	0		8.8		0.0		21.3		
Titan Gas, LLC	0	0		0.0		0.0		7.0		
Trident Retail Energy, LLC dba Trident	1	0		1.0		0.0		32.0		
Tristate Bell Inc	2	0		4.0		226.8		32.0		
U.S. Gas & Electric, Inc.	3	0		0.0		12.6		9.5		
UDC Gateway LLC	0	1		0.0		0.0		38.0		
Utility Expense Reduction LLC	8	2		8.0		3.0		9.0		
Verde Energy USA New York, LLC	1	0		5.0		0.0		0.0		
Verizon Digital Voice	9	3		18.8		15.4		7.1		
Verizon Long Distance Llc (ld)	0	0		14.0		0.0		0.0		
Village of Mohawk Municipal Commissi	i 0	0		0.0		0.0		39.0		
Viridian Energy Ny, Llc	4	2		81.9		6.7		13.0		
Windstream Communications, Inc.	4	2		13.6		0.0		37.8		
XChange Telecom	2	1		7.5		35.2		28.7		
XOOM Energy New York, LLC	2	0		0.0		0.0		13.0		

2016 Credit Adjustments Received For Consumers

As a result of our investigation into consumers' complaints, when staff determines that a consumer was overbilled, the utility is directed to refund to the consumer, any monies it collected above and beyond what was allowed by tariffs, rules and regulations. The chart below identifies the credits obtained on behalf of consumers.

	Total	Consumers
Jan-16	\$191,889.11	103
Feb-16	\$194,994.20	113
Mar-16	\$710,252.88	123
Apr-16	\$208,820.40	116
May-16	\$320,169.70	92
Jun-16	\$210,276.06	134
Jul-16	\$174,940.80	109
Aug-16	\$794,743.63	85
Sep-16	\$300,124.16	79
Oct-16	\$349,086.59	147
Nov-16		
Dec-16		

2010 10tdi \$3,455,297.55 110	2016 Total	\$3,455,297.53	1101
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13

Number of Initial Complaints Received Against ESCO's

E888AB ABC Energy LLC 10 3 2 0 1 3 0 2 0 1 1 2 1 1 0										_			1				
5557.AB ABN Energy, LLC DBA GreatEnergy 2 3 1 1 0	Code	Company Name	2016	2015	Oct-16	Sep-16	Aug-16	Jul-16	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15
S587AB ABN Energy, LLC DBA GreatEnergy 2 3 1 1 0	6898AB	ABC Energy LLC	10	3	2	0	0	1	3	0	2	0	1	1	2	1	0
D128 Accent Energy Mdwest, LLC 1 6 0 0 0 1 0	5567AB			3		1	0	0	0	0		0	0	0		0	0
D249 Affordable Power, L.P. 1 1 0 0 0 1 0 <td>D128</td> <td></td> <td></td> <td></td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> <td>1</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> <td>2</td> <td>2</td>	D128				0	0	0	0	0	1	0	0	0	0	0	2	2
1 3 0	D249		1	1	0	0	0	0	1	0	0	0	_	0	0		1
5020AG Aggressive Energy, LLC 1 1 0<	8281AG	· · · · · · · · · · · · · · · · · · ·	1	3	0	0	0	0	0	0	0	1	0	0	0	1	0
D011 Agway Energy Services, LLC. 32 64 2 4 1 4 2 2 3 6 4 4 4 5 2 5985AL Aipha Gas And Electric, Lic 16 59 2 2 0 1 0 3 1 3 2 4 2 2 D230 Ambit Energy CT 1346 5 11 11 5 11 25 11 3 8 1 3 8 1 13 8 14 25 1 0					0	0	0	0	0	1	0	0	0	0	0	0	0
5985AL Apha Gas And Electric, Lic 16 59 2 2 0 1 0 3 1 3 2 4 2 2 D230 Ambit Energy LC 82 319 5 6 9 2 2 8 1 18 11 1 0 0 0 0 0 0 0 0 1 1 1 18 18 18 18 14 14 1 1 1 1 1 1 1 1 1 1 <t< td=""><td></td><td></td><td>32</td><td>64</td><td>2</td><td>4</td><td>1</td><td>4</td><td>2</td><td></td><td>3</td><td>6</td><td>_</td><td>4</td><td>4</td><td>5</td><td>2</td></t<>			32	64	2	4	1	4	2		3	6	_	4	4	5	2
D20 Ambit Energy 571 1346 5 11 11 5 11 25 13 51 20 38 44 5411AM American Power & Gas, LLC 0 1 0				59		2	2	0				1	3	2	4		2
5411AM American Power & Gas, LLC 82 319 5 6 9 2 2 8 1 18 18 13 8 14 22 D240 Ameristar Energy, LLC 0 1 0 <t< td=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td>5</td><td>11</td><td>25</td><td></td><td>52</td><td></td><td></td><td>209</td><td></td><td>45</td></t<>								5	11	25		52			209		45
D20 Ameristar Energy, LLC 0 1 0 1 1 0																	25
6729AM Amplified Power & Gas, LLC 0 6 0							-			_					-		0
6023AP Ap Cas & Electric (ny), Lic 11 19 0 1 0 2 0 0 3 0 2 3 1 1 5 5466AS ASC Energy Services, Inc. 6 2 0 0 0 0 1 1 0 5 0 0 1 1 0 5 0 0 1 1 0 0 0 0 1 1 0 0 0 1 1 0 0 0 1 1 1 0 <td></td> <td></td> <td></td> <td></td> <td>0</td> <td>-</td> <td>-</td> <td></td> <td>0</td> <td>-</td> <td>-</td> <td>-</td> <td>-</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td>					0	-	-		0	-	-	-	-	0	0	0	0
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6818AS Astral Energy LLC 60 117 2 4 4 2 5 1 2 9 9 22 13 23 14 6481AT Atlantic Deverse & Gas LLC 22 52 0 0 4 1 1 1 1 6 4 8 5 784AAT Atlantic Deverse & Gas LLC 22 52 0 0 4 1 6 0 <t< td=""><td></td><td></td><td></td><td></td><td>-</td><td>0</td><td>-</td><td></td><td>-</td><td></td><td></td><td>-</td><td></td><td></td><td></td><td>1</td><td>1</td></t<>					-	0	-		-			-				1	1
6481AT Attantic Energy, LLC 36 35 3 4 6 6 3 5 1 1 1 6 4 8 5 784AAT Attantic Power & Gas LLC 22 52 0 0 4 1 6 1 1 5 2 2 1 3 4 D222 BlueCo Energy, LLC 1 1 0					-		4	-	-		2	9		-	-		19
7844AT Atlantic Power & Gas LLC 22 52 0 0 4 1 6 1 1 5 2 2 1 3 4 D222 BluCo Energy, LLC 1 18 0		<u> </u>										-					5
D222 BluCo Energy, LLC 1 18 0 0 0 0 1 0 1 1 0 D217 BlueRock Energy, ILC 0 11 0 <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>-</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>-</td>							-										-
D217 BlueRock Energy, Inc. 0 11 0 <td></td> <td></td> <td></td> <td></td> <td></td> <td>-</td> <td></td> <td></td> <td>-</td> <td></td> <td></td> <td>-</td> <td></td> <td></td> <td></td> <td></td> <td></td>						-			-			-					
5008BO Bounce Energy NY, LLC 0 8 0 </td <td></td> <td></td> <td></td> <td></td> <td>-</td> <td>-</td> <td>-</td> <td>-</td> <td>-</td> <td></td> <td>-</td> <td>-</td> <td></td> <td>-</td> <td></td> <td></td> <td>0</td>					-	-	-	-	-		-	-		-			0
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5246BU BUY ENERGY DIRECT, LLC 3 8 1 0 0 1 0 0 1 0				-	-	-	-	-	-	-	-	-	-	-	-	-	-
9156CE CenStar Operating Company, LLC 8 4 1 1 0 0 1 0 2 1 0 0 1 0 2 1 0 0 1 0 0 1 0					-					-		-	-			-	
6903CH Champion Energy Services, LLC 0 1 0 0 0 0 0 0 0 0 0 0 1 0 D220 Chief Energy Gas, LLC. 3 3 0 0 1 0		· · · · · · · · · · · · · · · · · · ·			-	-	-	-		-	-		-	-	-	-	
D220 Chief Energy Gas, LL. 3 3 0 0 1 0 0 0 2 0 1 0 0 5773CH Chief Energy Power, LLC 7 5 1 0 0 0 0 2 2 1 0 0 0 5325CI Citizens Choice Energy, LLC 7 6 2 2 0 0 0 0 2 1 0 0 0 D238 Clearview Energy, Inc. 3 9 0 0 0 1 1 0 0 0 0 0 1 1 0 0 0 0 0 0 1 1 0				-			-	-					-				0
5773CH Chief Energy Power, Llc 7 5 1 0 0 1 0 0 2 2 1 0 0 0 5325CI Citizens Choice Energy, LLC 7 6 2 2 0 0 0 0 2 1 0 0 0 5592CI City Power & Gas, LLC 12 29 2 3 0 1 0 0 2 1 0 0 0 D238 Clearview Energy, Inc. 3 9 0 0 0 1 0 0 1 1 0 0 0 0 0 0 1 1 0					-	-	-	-	-	-			-	-	-		0
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D231 Columbia Utilities Power, LLc (electric) 23 27 1 2 5 1 2 1 2 2 4 3 1 4 0 D040 Columbia Utilities Power, LLc (gas) 8 31 0 0 0 0 1 1 1 4 1 2 3 2 5065C0 Community Energy Services, Inc. 0 2 0						-	-		-		-				-	-	0
D040 Columbia Utilities Power, Llc (gas) 8 31 0 0 0 0 1 1 1 4 1 2 3 2 5065C0 Community Energy Services, Inc. 0 2 0				-	-	-	-	-		-	-		_	-	-	-	-
5065CO Community Energy Services, Inc. 0 2 0					_		-										-
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6771CO Constellation Energy Gas Choice Inc. 15 8 1 3 1 0 1 2 0 4 1 2 1 0 3 D084 Constellation NewEnergy 17 21 1 2 2 3 3 0 1 1 1 3 0 3 0 D221 Constellation NewEnergy - Gas Divisic 0 9 0					-		-	-	-	-		-	-	-	-	-	0
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5308DI Direct Energy Business, LLC 0 35 0							-		-			-		-			-
D176 Direct Energy Services LLC 248 206 19 12 16 12 9 23 21 42 42 52 49 40 32 D006 East Coast Energy, Inc. 0 1 0							1		-				-				
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D087 Energetix, Inc. 0 48 0													-				0
8202EN Energy Cooperative of America, Inc. 1 0 0 0 0 0 1 0		· · · ·			-				-			-	-	-		-	0
D183 Energy Cooperative of New York 0 2 0							-		-			-	_		-	-	-
6031EN ENERGY DISCOUNTERS, LLC 0 1 0					-		-		-			-	_	-	-	_	-
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	D243	Energy Plus Holdings LLC	17	30	1	2	2	0	1	0	0	3	6	2	1	0	1

Number of Initial Complaints Received Against ESCO's

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Code	Company Name	2016	2015	Oct-16	Sep-16	Aug-16	Jul-16	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15
5568EN	Energy Plus Natural Gas LP	2	4	0	0	1	0	0	0	0	0	0	1	1	0	0
D137	Energy Service Providers, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	1	0
5424EN	Energy Solutions Co. LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	1
5182EN	EnergyMark, LLC	0	4	0	0	0	0	0	0	0	0	0	0	0	0	0
D201	Entergy Solutions Ltd.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
8938EN	Entrust Energy East, Inc.	5	37	0	0	1	1	0	0	0	0	3	0	1	2	3
7005ET	Ethical Electric Benefit Co.	21	39	5	1	8	0	1	0	3	1	2	0	5	3	1
4920FA	Family Energy, Inc.	153	118	6	12	11	12	16	13	13	28	20	22	9	12	5
6594FL	Flanders Energy LLC	4	0	4	0	0	0	0	0	0	0	0	0	0	0	0
7383FR	Frontier Utilities Northeast LLC	36	19	1	0	0	1	0	1	0	3	10	20	10	1	2
6781FT	FTR Energy Services, LLC	0	10	0	0	0	0	0	0	0	0	0	0	0	0	0
6643GA	Galaxy Energy Llc	8	22	0	1	0	1	1	0	0	0	2	3	1	2	1
D046	Gateway Energy Services Corp.	0	83	0	0	0	0	0	0	0	0	0	0	0	0	2
4963GD	Gdf Suez Energy Resources Na, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
6424GD	GDF Suez Retail Energy Solutions, LL	0	3	0	0	0	0	0	0	0	0	0	0	0	1	1
5349GL	Glacial Natural Gas, Inc.	0	3	0	0	0	0	0	0	0	0	0	0	0	0	0
6009GL	Global Energy, LLC	2	2	0	0	0	0	2	0	0	0	0	0	0	0	0
D104	Great Eastern Energy	10	10	2	2	1	1	0	0	1	2	1	0	1	1	0
D127	Green Mountain Energy	25	33	1	2	3	1	1	2	2	6	2	5	2	0	2
4877GR	Greenlight Energy Inc.	27	25	2	2	6	0	1	1	1	3	7	4	1	3	1
D254	High Rise Energy Group, LLC	1	7	0	0	0	0	0	0	0	0	0	1	0	0	3
5302PR	Hiko Energy, Llc	10	20	0	1	0	1	0	3	3	0	2	0	0	0	2
D120	Hudson Energy Services, Llc	13	23	0	3	0	0	0	2	3	3	1	1	3	3	2
D120	IDT Energy, Inc.	71	168	14	10	9	5	3	2	6	9	9	4	10	13	13
D188	IGS Energy	1	6	0	1	0	0	0	0	0	0	0	0	0	0	0
D167	Infinite Energy, Inc.	3	3	0	1	0	0	0	1	0	0	1	0	0	0	0
6647IR	Iron Energy LLC	0	5	0	0	0	0	0	0	0	0	0	0	1	0	0
7041JO	JOSCO Energy Corp	93	110	11	11	20	5	7	8	12	6	9	4	8	6	4
D213	Just Energy New York Corp	39	93	2	4	4	1	6	6	5	5	3	3	7	3	5
D015	Keyspan Energy Services, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
6646KI	Kiwi Energy Inc.	30	143	0	1	2	1	0	4	1	6	8	7	8	1	13
5520LE	Lexington Power & Light, LLC	2	0	0	0	0	1	0	0	0	0	0	1	0	0	0
D117	Liberty Power Corp.	39	52	6	4	6	4	2	3	4	6	3	1	2	4	4
2066	Long Island Power Authority	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D147	M&R ENERGY RESOURCES CORPO		1	0	0	0	0	0	0	0	0	0	0	0	0	0
D214	Major Energy Services LLC	84	109	5	16	9	5	9	9	11	11	5	4	2	5	8
6007MA	Marathon Energy Corporation	44	21	6	2	6	4	7	1	4	5	6	3	2	1	3
D267	Mpower Energy LLC	100	113	11	14	16	11	7	7	9	8	9	8	20	6	12
6807MY	MyGrid Energy, Inc.	0	9	0	0	0	0	0	0	0	0	0	0	0	0	0
D020	Natgasco, Inc A Mitchell-Supreme C	2	2	0	0	0	0	0	1	0	1	0	0	0	1	0
5436NE	New Wave Energy Corp.	4	2	0	1	0	0	0	0	1	1	1	0	0	0	0
7379NE	NextEnergy Services LLC	1	0	0	0	0	0	0	0	0	1	0	0	0	0	0
4987NE	NextEra Energy Resources, LLC	2	7	0	0	0	0	0	0	0	1	0	1	1	0	1
D148	Noco Natural Gas, Llc	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
5787NO	North American Power & Gas LLC	23	140	1	3	1	2	2	1	3	6	3	1	6	0	3
6976NO	North Eastern States, Inc.	23		0	3 0	0	2	2	0	3 0	0	0	0	0	0	3 0
			19 5	-		-					-	-		-	0	1
5479NO	North Energy LLC	1		0	0	0	1	0	0	0	0	0	0	1		0
D239	NorthEastern Energy Corporation	0	1	U	0	0	U	0	U	0	0	U	0	U	0	U

Number of Initial Complaints Received Against ESCO's

							<u>u</u>		<u>gu</u>							
Code	Company Name	2016	2015	Oct-16	Sep-16	Aug-16	Jul-16	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15
D103	NYSEG Solutions, Inc.	0	138	0	0	0	0	0	0	0	0	0	0	0	0	1
4921OA	Oasis Power LLC, d/b/a Oasis Energy	11	14	2	1	1	0	2	1	0	1	3	0	1	1	2
6645PA	Pay Less Energy, LLC	4	4	0	0	0	2	0	1	0	0	0	1	1	0	0
6024PE	People's Power & Gas, Llc	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
6893PE	Perigee Energy, LLC	5	2	0	0	1	1	0	0	2	0	0	1	0	1	0
5637PL	Planet Energy (NY) Corp.	0	5	0	0	0	0	0	0	0	0	0	0	0	0	0
D237	Platinum Plus Energy Resources, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D171	Plymouth Rock Energy LLC	7	36	0	0	0	2	0	0	0	4	1	0	1	1	4
7871PR	Premier Empire Energy LLC	4	0	0	0	0	3	0	0	1	0	0	0	0	0	0
D263	Public Power Llc	24	54	5	1	2	1	6	3	0	0	4	2	4	3	4
6233RE	Reliant Energy Northeast LLC	12	6	1	2	1	0	0	1	1	3	3	0	2	0	0
6616RE	Renaissance Power & Gas, Inc.	10	13	3	1	2	0	0	0	1	0	0	3	4	0	2
6574RE	Residents Energy, LLC	33	51	2	2	4	3	3	4	3	4	4	4	5	5	5
D093	Robison Energy Of Westchester	2	5	0	0	0	0	1	1	0	0	0	0	1	0	1
5370SB	SBR Energy, LLC	1	5	0	0	0	0	0	0	1	0	0	0	0	0	0
5481SJ	SJ Energy Partners	3	3	0	0	0	0	1	1	0	0	1	0	0	0	0
4976SM	Smart One Energy, LLC	27	38	2	1	1	1	0	1	4	7	6	4	4	4	3
6216SO	South Bay Energy Corp.	14	11	1	2	2	3	2	1	0	3	0	0	0	0	2
5577SO	SouthStar Energy Services LLC	1	1	0	0	0	0	0	0	0	1	0	0	0	0	0
D186	Spark Energy, L.P.	74	154	11	9	5	8	4	1	5	11	7	13	8	9	19
7397SP	Sperian Energy Corp.	0	5	0	0	0	0	0	0	0	0	0	0	0	0	0
5463ST	Starion Energy NY, Inc.	14	25	1	2	2	0	0	0	2	2	2	3	3	0	1
6809ST	Stream Energy New York LLC.	1	9	0	0	0	0	0	0	0	0	1	0	1	0	0
D223	Titan Gas, LLC	3	6	0	0	2	0	1	0	0	0	0	0	0	0	1
9014TR	Trident Retail Energy, LLC DBA Trider	7	0	1	1	0	0	1	0	2	0	2	0	0	0	0
D119	U.S. Gas & Electric, Inc.	51	52	3	2	2	3	8	3	6	10	7	7	3	6	2
D500	Unidentified ESCO	2	0	0	0	0	0	0	0	1	0	0	1	0	0	0
6008UN	United Energy Supply Corporation	3	11	0	0	0	0	0	0	0	0	3	0	2	1	0
7346UN	United Metro Energy Services Corp.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
5461UT	Utility Expense Reduction LLC	43	51	8	4	4	3	1	1	1	7	10	4	8	6	11
6894VE	Verde Energy USA New York, LLC	18	72	1	1	2	0	0	1	2	3	4	4	4	2	2
5391VI	Viridian Energy NY, LLC	44	69	4	3	5	3	4	2	5	7	7	4	7	4	2
6668XO	XOOM Energy New York, LLC	28	72	2	3	8	0	1	2	3	3	4	2	2	4	5
	Total	2639	5044	180	199	247	139	160	169	194	342	417	592	469	275	321

ESCO's with no complaints on file since January 2015 are not listed on this report.

Number of Escalated Complaints Received Against ESCO's

			plaint							gu						
Code	Company Name	2016	2015	Oct-16	Sep-16	Aug-16	Jul-16	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15
6898AB	ABC Energy LLC	3	0	0	0	0	0	0	1	0	0	1	1	0	0	0
5567AB	ABN Energy, LLC DBA GreatEnergy	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D128	Accent Energy Midwest, LLC	1	1	0	0	0	0	0	0	0	0	0	1	1	0	0
D249	Affordable Power, L.P.	1	0	0	0	0	1	0	0	0	0	0	0	0	0	0
D001	Agway Energy Services, LLC.	7	17	0	0	0	0	0	1	1	2	1	2	0	1	1
5985AL	Alpha Gas And Electric, Llc	6	15	1	2	0	1	0	0	0	1	1	0	2	1	2
D230	Ambit Energy	256	398	1	5	1	4	5	13	11	36	105	75	18	17	16
5411AM	American Power & Gas, LLC	7	26	0	0	0	0	2	0	2	0	3	0	1	1	2
6729AM	Amplified Power & Gas, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
6023AP	Ap Gas & Electric (ny), Llc	4	9	0	1	0	0	0	1	0	1	1	0	1	1	3
5465AS	ASC Energy Services, Inc.	2	0	0	0	0	0	0	1	0	1	0	0	0	0	0
6818AS	Astral Energy LLC	7	20	0	0	1	0	0	0	0	1	3	2	6	5	2
6481AT	Atlantic Energy, LLC	3	10	0	1	1	1	0	0	0	0	0	0	1	3	1
7844AT	Atlantic Power & Gas LLC	4	17	0	0	2	1	0	0	0	0	0	1	1	0	1
D222	BluCo Energy, LLC	0	3	0	0	0	0	0	0	0	0	0	0	0	0	0
5008BO	Bounce Energy NY, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D113	Brown's Fuel	2	4	0	0	0	0	0	0	0	0	1	1	0	1	1
	BUY ENERGY DIRECT, LLC	1	5	0	0	0	0	0	0	1	0	0	0	0	0	0
	CenStar Operating Company, LLC	1	2	0	0	0	0	0	0	0	0	0	1	0	0	1
	Champion Energy Services, LLC	0	1	0	0	0	0	0	0	0	0	0	0	1	0	0
5773CH	Chief Energy Power, Llc	1	1	0	0	0	0	0	0	0	0	0	1	0	0	0
5592CI	City Power & Gas, LLC	1	10	0	0	1	0	0	0	0	0	0	0	0	0	0
D238	Clearview Energy, Inc.	0	10	0	0	0	0	0	0	0	0	0	0	0	0	0
			4	0	0	3	0	1	0	0	0	2	2	0	0	0
D231	Columbia Utilities Power, Llc (electric)	8			-		-							-	-	
D040	Columbia Utilities Power, Llc (gas)	3	6	0	0	0	0	0	1	0	1	0	1	0	0	0
5065CO	Community Energy Services, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
D086	Con Edison Solutions	1	2	1	0	0	0	0	0	0	0	0	0	0	1	0
6771CO	Constellation Energy Gas Choice Inc.	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0
D084	Constellation NewEnergy	3	4	0	1	1	1	0	0	0	0	0	0	0	0	0
D221	Constellation NewEnergy - Gas Divisic	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
8168DI	Direct Energy Business Marketing, LLC	2	2	0	0	1	0	0	0	0	0	0	1	0	1	1
5308DI	Direct Energy Business, LLC	0	3	0	0	0	0	0	0	0	0	0	0	0	0	0
D176	Direct Energy Services LLC	65	37	2	1	5	2	1	5	5	15	13	16	9	7	8
D256	East Coast Power, Llc	1	1	0	0	0	0	0	0	0	0	1	0	0	0	0
6922EL	Eligo Energy NY, Llc	14	10	1	4	3	2	1	0	0	2	1	0	0	0	0
D087	Energetix, Inc.	0	16	0	0	0	0	0	0	0	0	0	0	0	1	0
D183	Energy Cooperative of New York	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
6031EN	ENERGY DISCOUNTERS, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D243	Energy Plus Holdings LLC	1	6	0	0	0	0	0	0	0	0	1	0	0	0	0
5424EN	Energy Solutions Co. LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
5182EN	EnergyMark, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
8938EN	Entrust Energy East, Inc.	0	6	0	0	0	0	0	0	0	0	0	0	1	0	1
7005ET	Ethical Electric Benefit Co.	0	5	0	0	0	0	0	0	0	0	0	0	2	0	0
4920FA	Family Energy, Inc.	21	18	3	0	0	3	1	2	3	1	4	4	1	1	1
7383FR	Frontier Utilities Northeast LLC	9	4	0	0	0	0	0	0	0	2	1	6	0	0	2
6781FT	FTR Energy Services, LLC	0	5	0	0	0	0	0	0	0	0	0	0	0	0	1
6643GA	Galaxy Energy Llc	2	4	1	0	0	1	0	0	0	0	0	0	0	0	0
D046	Gateway Energy Services Corp.	0	29	0	0	0	0	0	0	0	0	0	0	0	0	1

Number of Escalated Complaints Received Against ESCO's

	inder of Escalated		plaint					J u		ga						-
Code	Company Name	2016	2015	Oct-16	Sep-16	Aug-16	Jul-16	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15
D104	Great Eastern Energy	1	0	0	0	0	0	0	1	0	0	0	0	0	0	0
D127	Green Mountain Energy	4	3	0	0	0	0	1	0	0	2	0	1	0	0	2
4877GR	Greenlight Energy Inc.	2	4	0	1	0	0	0	0	0	1	0	0	1	1	0
D254	High Rise Energy Group, LLC	1	4	0	0	0	0	0	0	0	0	1	0	0	0	3
5302PR	Hiko Energy, Llc	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D120	Hudson Energy Services, Llc	4	3	1	0	0	0	0	0	2	0	1	0	1	0	0
D177	IDT Energy, Inc.	7	5	1	0	0	1	0	0	2	3	0	0	1	0	0
D188	IGS Energy	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0
7041JO	JOSCO Energy Corp	5	16	0	1	1	0	0	2	0	0	1	0	0	0	1
D213	Just Energy New York Corp	4	18	1	0	0	1	0	0	0	1	0	1	1	1	0
6646KI	Kiwi Energy Inc.	2	8	0	0	0	0	0	1	1	0	0	0	0	0	1
D117	Liberty Power Corp.	7	13	2	0	2	0	0	1	0	1	0	1	1	0	0
	Major Energy Services LLC	13	16	4	1	0	1	4	2	1	0	0	0	0	1	1
	Marathon Energy Corporation	14	2	1	1	2	1	1	2	0	3	1	2	0	0	0
	Mpower Energy LLC	8	12	0	1	2	1	1	0	0	1	2	0	1	1	2
	MyGrid Energy, Inc.	0	4	0	0	0	0	0	0	0	0	0	0	0	0	0
	NextEra Energy Resources, LLC	2	2	0	0	0	0	0	1	0	0	1	0	0	0	0
5787NO	North American Power & Gas LLC	16	55	1	1	0	0	3	0	7	0	2	2	0	1	0
6976NO	North Eastern States, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
	North Energy LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D239	NorthEastern Energy Corporation	0	1	0	0	0	0	0	0	0	0	0	0	0	1	0
D239 D103	NYSEG Solutions, Inc.	0	45	0	0	0	0	0	0	0	0	0	0	0	0	0
4921OA	Oasis Power LLC, d/b/a Oasis Energy	1	3	0	0	0	0	0	0	0	0	0	1	0	0	0
	Pay Less Energy, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
6893PE	Perigee Energy, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	1	0
D237	Platinum Plus Energy Resources, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D237 D171	Plymouth Rock Energy LLC	2	7	0	0	2	0	0	0	0	0	0	0	0	1	1
7871PR	Premier Empire Energy LLC	3	0	2	0	1	0	0	0	0	0	0	0	0	0	0
D263	Public Power Llc	7	15	0	0	1	1	2	0	1	1	1	0	2	1	1
6233RE	Reliant Energy Northeast LLC	3	1	0	0	0	0	0	2	0	1	0	0	0	0	0
6616RE	Renaissance Power & Gas, Inc.	1	2	1	0	0	0	0	0	0	0	0	0	0	0	0
6574RE	Residents Energy, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	1	0
D093	Robison Energy Of Westchester	0	2	0	0	0	0	0	0	0	0	0	0	0	1	0
5370SB	SBR Energy, LLC	1	1	0	0	0	0	0	1	0	0	0	0	0	0	0
		1	0	0	0	0	0	0	0	0	1	0	0	0	0	0
	SJ Energy Partners Smart One Energy, LLC	9	7	2	1	0	0	0	1	2	1	1	1	0	0	1
6216SO	South Bay Energy Corp.	0	1	0		0	0	0	0	2	0	0	0	0	0	-
D186	Spark Energy, L.P.	13	19	1	0	1		1		0	5	2		1	0	0
7397SP	Sperian Energy Corp.			0			1	0	0	0	0	2	1			2
		0	3	_	0	0	0	-			-		-	0	0	-
5463ST	Starion Energy NY, Inc.	1	0	0	1	0	0	0	0	0	0	0	0	0	0	0
6809ST	Stream Energy New York LLC. Titan Gas, LLC	0	5	0	0	0	0	0	0	0	0	0	0	0	0	0
D223		1	3	0	0	1	0	0	0	0	0	0	0	0	1	0
D119	U.S. Gas & Electric, Inc.	17	10	0	3	0	3	3	2	3	2	1	0	1	0	1
6008UN	United Energy Supply Corporation	0	2	0	0	0	0	0	0	0	0	0	0	1	0	0
7346UN	United Metro Energy Services Corp.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
5461UT	Utility Expense Reduction LLC	5	1	2	0	0	0	0	0	0	1	1	1	0	0	0
6894VE	Verde Energy USA New York, LLC	6	15	0	0	1	0	0	0	0	2	1	2	0	0	0
5391VI	Viridian Energy NY, LLC	13	21	2	0	1	0	3	1	2	1	1	2	3	0	1

Exhibit 1131 Part 4 Number of Escalated Complaints Received Against ESCO's

Code	Company Name	2016	2015	Oct-16	Sep-16	Aug-16	Jul-16	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15
6668XO	XOOM Energy New York, LLC	3	22	0	2	0	0	0	0	0	0	1	0	1	2	3
	Total	616	1076	33	29	34	27	30	42	44	90	157	130	60	55	65

ESCO's with no complaints on file since January 2015 are not listed on this report.

Code	Company Name	2016	2015	Oct-16	Sep-16	Aug-16	Jul-16	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15
6898AB	ABC Energy LLC	4	1	0	0	0	0	2	2	1	0	0	1	0	1	0
5567AB	ABN Energy, LLC DBA GreatEnergy	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D128	Accent Energy Midwest, LLC	1	3	0	0	0	0	0	0	0	0	0	1	1	1	1
D249	Affordable Power, L.P.	1	0	0	0	0	0	0	0	0	1	0	0	0	0	0
8281AG	Agera Energy	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
5020AG	Aggressive Energy, LLC	1	0	0	0	0	0	0	1	0	0	0	0	0	0	0
D001	Agway Energy Services, LLC.	15	39	0	2	0	2	2	3	1	1	2	2	3	1	3
5985AL	Alpha Gas And Electric, Llc	6	26	0	2	0	0	0	0	0	2	2	0	2	2	1
D230	Ambit Energy	369	646	2	4	3	2	8	20	8	46	95	181	53	18	26
5411AM	American Power & Gas, LLC	39	156	3	3	2	2	1	2	0	7	10	9	2	6	9
6729AM	Amplified Power & Gas, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
6023AP	Ap Gas & Electric (ny), Llc	4	4	0	0	0	0	0	1	2	0	0	1	0	0	1
5465AS	ASC Energy Services, Inc.	1	0	0	0	0	0	0	0	0	0	1	0	0	0	0
6818AS	Astral Energy LLC	12	27	0	2	1	0	1	0	0	2	0	6	2	4	5
6481AT	Atlantic Energy, LLC	8	8	1	1	2	0	1	1	0	0	0	2	1	2	2
7844AT	Atlantic Power & Gas LLC	5	9	0	0	0	1	2	0	0	1	0	1	0	0	0
D222	BluCo Energy, LLC	0	7	0	0	0	0	0	0	0	0	0	0	0	0	0
D217	BlueRock Energy, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
5008BO	Bounce Energy NY, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
D113	Brown's Fuel	5	7	0	0	0	0	0	0	1	2	0	2	2	0	2
5246BU	BUY ENERGY DIRECT, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
9156CE	CenStar Operating Company, LLC	5	0	1	0	0	0	0	0	2	1	0	1	0	0	0
D220	Chief Energy Gas, Llc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
5773CH	Chief Energy Power, Llc	3	1	0	0	0	0	0	0	0	0	1	2	0	0	0
5325CI	Citizens Choice Energy, LLC	1	2	0	1	0	0	0	0	0	0	0	0	0	0	0
5592CI	City Power & Gas, LLC	5	14	1	0	0	0	0	0	0	1	2	1	0	0	0
D238	Clearview Energy, Inc.	0	3	0	0	0	0	0	0	0	0	0	0	0	0	0
D231	Columbia Utilities Power, Llc (electric)	12	13	1	0	3	0	1	1	0	1	4	1	1	2	0
D040	Columbia Utilities Power, Llc (gas)	2	11	0	0	0	0	0	0	0	1	1	0	1	1	1
5065CO	Community Energy Services, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
D086	Con Edison Solutions	2	8	0	0	1	0	0	1	0	0	0	0	0	0	0
6771CO	Constellation Energy Gas Choice Inc.	8	4	2	0	0	1	0	1	0	2	1	1	1	0	0
D084	Constellation NewEnergy	3	11	0	1	1	0	1	0	0	0	0	0	0	0	0
D221	Constellation NewEnergy - Gas Divisio	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
8168DI	Direct Energy Business Marketing, LL	2	0	0	0	0	0	0	0	0	2	0	0	0	0	0
5308DI	Direct Energy Business, LLC	0	18	0	0	0	0	0	0	0	0	0	0	0	0	0
D176	Direct Energy Services LLC	84	80	3	0	2	0	2	5	9	23	18	22	16	21	8
D256	East Coast Power, Llc	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
6922EL	Eligo Energy NY, Llc	25	28	1	3	9	2	1	2	2	0	5	0	0	1	1
7398EM	Empire Energy Services, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D047	Empire Natural Gas Corporation	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D087	Energetix, Inc.	0	18	0	0	0	0	0	0	0	0	0	0	0	0	0
6031EN	ENERGY DISCOUNTERS, LLC	1	0	0	0	0	0	0	1	0	0	0	0	0	0	0
D243	Energy Plus Holdings LLC	7	12	0	1	0	0	0	0	0	2	4	0	0	0	1
5568EN	Energy Plus Natural Gas LP	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D137	Energy Service Providers, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	1	0
5424EN	Energy Solutions Co. LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1
5182EN	EnergyMark, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0

Code	Company Name	2016	2015	Oct-16	Sep-16	Aug-16	Jul-16	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15
D201	Entergy Solutions Ltd.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
	Entrust Energy East, Inc.	1	15	0	0	0	0	0	0	0	0	1	0	0	0	0
	Ethical Electric Benefit Co.	21	27	4	1	13	0	0	0	3	0	0	0	4	2	1
4920FA	Family Energy, Inc.	68	62	1	3	5	7	5	6	9	9	14	9	1	6	1
	Flanders Energy LLC	2	0	2	0	0	0	0	0	0	0	0	0	0	0	0
	Frontier Utilities Northeast LLC	14	2	2	0	0	1	0	0	0	3	3	5	0	0	0
	FTR Energy Services, LLC	0	7	0	0	0	0	0	0	0	0	0	0	0	0	0
	Galaxy Energy Llc	5	10	1	1	0	0	0	0	0	0	2	1	1	0	1
	Gateway Energy Services Corp.	0	30	0	0	0	0	0	0	0	0	0	0	0	0	1
	GDF Suez Retail Energy Solutions, LL	0	1	0	0	0	0	0	0	0	0	0	0	0	1	0
	Glacial Natural Gas, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
6009GL	Global Energy, LLC	1	0	0	0	0	0	1	0	0	0	0	0	0	0	0
	Great Eastern Energy	3	4	1	1	0	0	0	0	1	0	0	0	1	1	0
	Green Mountain Energy	10	15	0	1	1	0	0	0	0	3	2	3	1	0	1
4877GR	Greenlight Energy Inc.	20	16	0	2	4	0	1	1	0	5	5	2	1	0	1
D254	High Rise Energy Group, LLC	2	1	0	0	0	0	0	0	0	0	1	1	0	0	0
	Hiko Energy, Llc	6	6	0	0	1	0	0	2	1	0	2	0	0	0	2
D120	Hudson Energy Services, Llc	8	4	1	0	1	0	0	0	4	2	0	0	1	0	1
D177	IDT Energy, Inc.	38	77	5	6	2	5	3	2	5	3	6	1	6	4	9
D188	IGS Energy	0	3	0	0	0	0	0	0	0	0	0	0	0	0	0
D167	Infinite Energy, Inc.	1	0	0	1	0	0	0	0	0	0	0	0	0	0	0
7041JO	JOSCO Energy Corp	45	38	2	4	7	4	5	6	5	3	7	2	4	3	0
	Just Energy New York Corp	12	29	1	1	0	0	3	0	1	3	1	2	3	0	1
	Kiwi Energy Inc.	13	82	0	0	1	1	1	3	1	1	3	2	4	1	7
	Lexington Power & Light, LLC	1	0	0	0	0	1	0	0	0	0	0	0	0	0	0
	Liberty Power Corp.	10	22	0	2	0	0	0	0	3	3	1	1	2	2	2
	Major Energy Services LLC	26	33	1	4	2	3	4	4	4	2	1	1	1	0	1
	Marathon Energy Corporation	12	2	4	2	2	0	1	0	1	2	0	0	0	0	0
	Mpower Energy LLC	37	70	3	2	7	4	3	1	3	4	5	5	10	2	7
	New Wave Energy Corp.	2	0	0	1	0	0	0	0	0	1	0	0	0	0	0
4987NE	NextEra Energy Resources, LLC	2	3	0	0	0	0	0	0	0	0	1	1	0	0	1
5787NO	North American Power & Gas LLC	14	85	1	1	1	0	2	0	3	2	4	0	2	0	0
6976NO	North Eastern States, Inc.	0	8	0	0	0	0	0	0	0	0	0	0	0	0	0
5479NO	North Energy LLC	0	1	0	0	0	0	0	0	0	0	0	0	1	0	0
D103	NYSEG Solutions, Inc.	0	66	0	0	0	0	0	0	0	0	0	0	0	0	0
	Oasis Power LLC, d/b/a Oasis Energy	2	3	0	0	0	0	0	0	0	1	1	0	0	0	1
6645PA	Pay Less Energy, LLC	2	1	0	0	0	1	0	1	0	0	0	0	1	0	0
6024PE	People's Power & Gas, Llc	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
6893PE	Perigee Energy, LLC	2	1	0	0	0	0	0	0	1	0	0	1	0	0	0
5637PL	Planet Energy (NY) Corp.	0	5	0	0	0	0	0	0	0	0	0	0	0	0	0
D171	Plymouth Rock Energy LLC	1	16	0	0	0	0	0	0	0	1	0	0	0	0	2
	Public Power Llc	6	18	0	1	0	0	1	0	0	2	1	1	1	0	2
6233RE	Reliant Energy Northeast LLC	7	3	1	1	0	0	0	2	0	1	2	0	1	0	0
	Renaissance Power & Gas, Inc.	6	5	3	0	0	0	0	0	1	0	0	2	0	0	2
	Residents Energy, LLC	9	22	0	0	2	1	0	2	0	2	0	2	2	2	2
	SBR Energy, LLC	0	4	0	0	0	0	0	0	0	0	0	0	0	0	0
	Smart One Energy, LLC	8	18	0	0	0	1	0	0	0	2	3	2	3	1	0
	South Bay Energy Corp.	8	3	1	0	1	1	2	0	1	2	0	0	0	0	0

		5,														
Code	Company Name	2016	2015	Oct-16	Sep-16	Aug-16	Jul-16	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15	Oct-15
D186	Spark Energy, L.P.	24	53	4	2	2	2	1	0	1	4	3	5	4	2	4
7397SP	Sperian Energy Corp.	0	6	0	0	0	0	0	0	0	0	0	0	0	0	0
5463ST	Starion Energy NY, Inc.	7	11	1	0	1	0	0	0	2	1	1	1	0	0	1
6809ST	Stream Energy New York LLC.	0	5	0	0	0	0	0	0	0	0	0	0	0	0	0
D223	Titan Gas, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
9014TR	Trident Retail Energy, LLC DBA Trider	1	0	0	0	0	0	0	0	1	0	0	0	0	0	0
D119	U.S. Gas & Electric, Inc.	17	30	0	1	2	2	3	1	5	1	0	2	0	2	1
D500	Unidentified ESCO	77	130	7	2	8	4	9	6	7	6	14	14	11	3	10
6008UN	United Energy Supply Corporation	1	6	0	0	0	0	0	0	0	0	1	0	1	1	0
7346UN	United Metro Energy Services Corp.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
5461UT	Utility Expense Reduction LLC	15	22	1	0	2	0	0	1	0	2	6	3	2	0	4
6894VE	Verde Energy USA New York, LLC	7	30	0	0	0	0	0	0	1	0	4	2	0	0	1
5391VI	Viridian Energy NY, LLC	24	30	5	0	1	0	4	2	3	2	2	5	1	0	0
6668XO	XOOM Energy New York, LLC	6	21	0	2	1	0	0	0	0	1	1	1	1	1	2
	Total	1235	2348	67	62	91	48	71	79	93	169	244	311	156	95	131

Deceptive marketing complaints are taken from customers who report situations where an energy service company or energy marketer solicits the customer's home or business in a manner which the customer believes is misleading or the customer was presented with information which the customer believes is untrue.



November 2016

Office of Consumer Services Monthly Report on Consumer Complaint Activity

Audrey Zibelman Chief Executive Officer

LuAnn Scherer Acting Director, Office of Consumer Services

Published December 30, 2016



Monthly Report on Consumer Complaint Activity

November 2016

Table of Contents

Director's Message	. 3
If You Have a Complaint About Your Utility Service	. 4
How Utility Complaints are Measured	. 5
Complaint Activity of New York's Major Utilities	. 7
Customer Service Response Index	. 8
Credits Obtained for Consumers	13
Number of Initial Complaints Received Against ESCO's	14
Number of Escalated Complaints Received Against ESCO's	17
Number of Marketing Complaints Received Against ESCO's	20



December 30, 2016

Dear Readers:

The Office of Consumer Services closely monitors the number and types of complaints received against all utilities operating in New York State. We strive to ensure that utilities fulfill their obligation to provide effective customer service in compliance with the laws, rules, regulations and policies we enforce.

Each month, this report provides an overview of complaint activity and utility responsiveness during the preceding month which is informative to both consumers and utility companies. Specific details regarding the way we measure the companies' activities are described in the section How Utility Complaint Data Is Reported.

The table titled Complaint Activity of New York's Major Utilities reports on the volume of complaints received against the largest utilities in each industry while the table titled Customer Service Response Index reports on the level of customer service and responsiveness delivered by each service provider.

The chart, Credit Adjustments Received for Consumers, reflects the amount of refunds or credits customers received as a result of our investigations. The Office of Consumer Services also monitors complaints against the competitive energy service companies (ESCO's) operating in New York. These complaints are reported in two tables; Number of Initial Complaints Received Against ESCO's and Number of Escalated Complaints Received Against ESCO's.

I hope this report is helpful in providing you with a summary of utility complaint activity. If you have any questions, please e-mail John.Auricchio@dps.ny.gov

Sincerely,

LuAnn Scherer

Lyan Schun

Acting Director Office of Consumer Services


If You Have a Complaint About Your Utility Service

If you're having difficulty resolving a dispute with your regulated energy, telephone, cable television or water company, Department of Public Service staff is available to assist you.

The Office of Consumer Services takes all utility consumer complaints seriously. You can contact us toll-free by telephone, in writing or via the Internet. When you contact our office with a complaint about a utility or other service provider, we take immediate steps to address your concerns.

After we complete entering the details of your complaint we send your complaint to the utility by e-mail or fax. In an effort to ensure that utilities fulfill their obligation to provide effective customer service, we will first ask your utility to contact you and resolve your concern. If your complaint is related to the provision of service, your utility should contact you within two business hours. If your complaint is related to billing or another matter, the utility should contact you by the close of the following business day.

If the utility does not contact you with its initial acknowledgement, does not provide its response to you within two weeks or the matter remains unresolved after you have received a response, you can contact us. We will then further investigate the matter and notify you in writing or by telephone of the decision and the reasons for the decision.

If you believe the initial decision is wrong, you can request an informal hearing. This request may be in writing and made within 15 days of the initial decision. You may be asked to submit certain documents to support your position. If you and the utility are unable to settle the complaint, the hearing officer will make a decision on your complaint and notify you in writing of the decision.

If you believe that the informal hearing officer's decision was wrong, you can appeal it within 15 days of the decision. Your written appeal must contend that there was an error by the hearing officer or reviewer that affected the decision or that evidence not previously available would affect the decision. All appeals, except those involving PSEG-LI, will be decided by the Public Service Commission. PSEG-LI appeals will be decided by Long Island Power Authority (LIPA).

If you have a complaint about your utility service you may contact us thru one of the following avenues:

By Telephone Via the Internet	Monday thru Friday 8:30am – 4:00pm 24 hours a day	800-342-3377 <u>www.dps.ny.gov</u>
In Writing	Please be sure to include as much detail as possible, including your account number, service address, telephone number and the specifics of your complaint.	NYS Dept. of Public Service Office of Consumer Services Three Empire State Plaza Albany, NY 12223-1350



How Utility Complaints Are Measured

The Office of Consumer Services reports complaint data by volume and by responsiveness. A complaint rate is used to compare small utilities to large utilities. A response index is used to measure how well utilities address consumer complaints we forwarded to them.

The Office of Consumer Services closely monitors the number and types of complaints received against all utilities operating in New York State. We expect utility companies to be highly responsive to their customers' needs, especially when the customer feels that it is necessary to seek the assistance of the Department of Public Service staff. Each month, this report provides an overview of complaint activity and utility responsiveness which we believe is informative to both consumers and utility companies.

The table titled **Complaint Activity of New York's Major Utilities** reports on the volume of complaints received against the largest utilities in each industry. These utility companies vary in size from just over 10,000 customers to several million customers. Therefore, in order to compare complaint volumes among companies, a complaint rate per 100,000 customers is displayed. This allows the reader to compare the complaints of a large company to that of a small company.

There are two measures of complaints which are reported each month. At first all complaints are recorded and forwarded to the utility for resolution directly with the customer. These are noted as <u>initial complaints</u> (QRS) in the table titled **Complaint Activity of New York's Major Utilities**. If the customer informs us that the utility failed to satisfy their complaint the matter is escalated for further handling and investigation by staff and is noted as <u>escalated complaints</u> (SRS). Both numbers are converted into a complaint rate which allows the reader to compare performance regardless of the size of a company's customer base. The <u>escalation rate</u> is a measure of how successful a utility is in satisfying their customer upon receipt of an initial complaint made through the Office of Consumer Services. The <u>12 month complaint rate</u> is often used as one of several customer service delivered by an individual utility. This rate represents the average number of escalated complaints received per month per 100,000 customer accounts.

The table titled **Customer Service Response Index** (CSRI) reports on the level of customer service and responsiveness delivered by each service provider. The Customer Service Response Index is determined by measuring four metrics. Complete CSRI data is posted on the first page of the report for those service providers that average ten or more initial complaints per month. For all other service providers, the performance in each area is reported on subsequent pages of the table, less the actual index measures because the index measures for companies with fewer than ten initial complaints have been found to show significant fluctuations on a month to month basis. These fluctuations may result in the reader reaching an inaccurate conclusion as to a service provider's performance. If a company is not listed in a particular monthly report it is because there was no activity for the company in the reporting month.

The **Index** is determined by measuring four metrics:

<u>The Consumer Satisfaction Metric (CSM)</u> is a ratio of the number of <u>initial complaints</u> to the number of <u>escalated complaints</u> in the reporting month. A score of 5 points is awarded when a service provider receives no escalated complaints during the reporting month. There is no score awarded if a service provider satisfies less than 50% of the customers that the Department refers to them.

<u>The Complaint Response Time Metric (CRM)</u> is the average number of days it took the service provider to respond to <u>initial complaints</u> closed in the reporting month. A score of 2 points is awarded when a provider's average response time for <u>initial complaints</u> is 14 days or less. No points are earned if the average response time for <u>initial complaints</u> is more than 28 days (twice the acceptable reply standard).

<u>The Escalated Complaint Response Time Metric (ERM)</u> is the average number of days it took the service provider to respond to <u>escalated complaints</u> closed in the reporting month. A score of 2 points is awarded when a service provider's average response time for <u>escalated complaints</u> is 10 days or less. No points are earned if the average response time for <u>escalated complaints</u> is more than 25 days (two weeks past due).

<u>The Pending Case Metric (PCM)</u> is the average age of all cases awaiting response, determined on the last day of the reporting month. A score of 1 point is awarded when a service providers' average age of all cases is 14 days or less. No points are earned if the average age of all cases exceeds 70 days (two months delinquent). A negative score is applied if the average age of all cases is over 70 days.

Complaint Activity of New York's Major Utilities November 2016

Utility Companies	Initial Cor (QR	-	Escalated (Complaints	Escalation	12 Month Escalated
	No.	Rate*	No.		Rate	Complaint Rate
Central Hudson Gas & Electric Corp.	39	12.7	1	0.3	3%	0.3
Con Edison of New York	352	9.9	57	1.6	16%	1.4
PSEG Long Island	54	4.8	2	0.2	4%	0.2
National Grid - L I	36	6.1	1	0.2	3%	0.6
New York State Electric & Gas Corp.	77	8.2	6	0.6	8%	0.5
National Grid-Upstate	171	10.0	13	0.8	8%	0.6
Orange & Rockland	19	8.2	0	0.0	0%	0.1
Rochester Gas & Electric Corp.	45	10.9	2	0.5	4%	0.6
National Grid-Metro NY	143	11.5	4	0.3	3%	0.4
National Fuel Gas Distribution	22	4.2	0	0.0	0%	0.1
Citizens Communications	13	9.7	2	1.5	15%	1.0
Frontier Communications of NY	6	19.3	0	0.0	0%	1.3
Frontier Telephone of Rochester, Inc.	17	10.9	2	1.3	12%	0.9
Windstream Communications, Inc.	4	10.8	1	2.7	25%	2.7
Verizon Communications	177	6.5	33	1.2	19%	1.5
AT&T	5		1		20%	
Optimum Voice	10		4		40%	
Time Warner Cable Information Services	8		2		25%	
Verizon Digital Voice	18		2		11%	
Cablevision Systems	31		5		16%	
Time-Warner Cable	86		9		10%	
Verizon New York, Inc. (CATV)	25		2		8%	
Long Island Water Corp.	20	16.3	2	1.6	10%	1.2
United Water - New York	12	16.1	0	0.0	0%	2.5
United Water - Westchester	5	11.5	1	2.3	20%	1.7

All complaint rates are based on December 2015 customer populations.

* - Complaints per 100,000 customer accounts where populations are reported by the utility

This table reports on the volume of complaints received against the largest utilities in each industry.

Initial Complaints (QRS) - This is the number (No.) of complaints we receive and forward to the utility company for resolution directly with the customer and the corresponding complaint rate (Rate) per 100,000 customer accounts.

Escalated Complaints (SRS) - This is the number (No.) of complaints that we escalated for further handling and investigation because the customer informed us that the utility failed to satisfy their initial complaint after we forwarded the initial complaint to the utility. The corresponding escalated complaint rate (Rate) per 100,000 customer accounts allows the reader to compare one utility to another regardless of the number of customer accounts.

Escalation Rate - This is a measure of how successful a utility is in satisfying their customer upon receipt of an initial complaint made through the Office of Consumer Services. The lower the rate the more successful the utility was in resolving initial complaints directly with the customer.

12 Month Escalated Complaint Rate - This rate represents the average number of escalated complaints received per month per 100,000 customer accounts. This is often used as one of several customer service measures that may be taken into consideration when staff monitors the quality of customer service delivered by an individual utility.

Customer Service Response Index November 2016

Service Provider	Initial Complaints	Escalated Complaints	CSM Index	Complaint Response Time	CRM Index	E. Complaint Response Time	ERM Index	Avg. Age of Cases Pending	PCM Index	CSRI
National Fuel Gas Distribution	22	0	5.0	6.5	2.0	0.0	2.0	6.0	1.0	10.0
Orange & Rockland	19	0	5.0	5.1	2.0	7.5	2.0	11.7	1.0	10.0
Central Hudson Gas & Electric Corp.	39	1	4.7	4.2	2.0	8.5	2.0	6.1	1.0	9.7
PSEG Long Island	54	2	4.6	8.2	2.0	5.9	2.0	4.2	1.0	9.6
Rochester Gas & Electric Corp.	45	2	4.6	4.9	2.0	6.7	2.0	9.0	1.0	9.6
National Grid - Metro Ny	143	4	4.7	9.9	2.0	13.4	1.7	7.7	1.0	9.4
National Grid - Upstate	171	13	4.2	4.9	2.0	7.9	2.0	4.7	1.0	9.2
New York State Electric & Gas Corp.	77	6	4.2	3.9	2.0	8.3	2.0	6.9	1.0	9.2
ldt Energy, Inc.	12	1	4.2	4.8	2.0	0.0	2.0	5.4	1.0	9.2
National Grid - L I	36	1	4.7	4.6	2.0	14.6	1.6	31.9	0.6	8.9
Verizon Digital Voice	18	2	3.9	8.3	2.0	2.6	2.0	4.1	1.0	8.9
New York American Water	20	2	4.0	9.7	2.0	0.0	2.0	23.9	0.8	8.8
Frontier Telephone of Rochester, Inc.	17	2	3.8	13.4	2.0	4.4	2.0	4.6	1.0	8.8
Citizens Communications	13	2	3.5	10.5	2.0	6.9	2.0	10.3	1.0	8.5
Con Edison Of New York	352	57	3.4	12.1	2.0	13.4	1.7	10.7	1.0	8.1
Verizon New York Inc.	25	2	4.2	8.5	2.0	17.2	1.3	35.3	0.5	8.0
Cablevision of Long Island	15	4	2.3	4.6	2.0	1.1	2.0	6.5	1.0	7.3
Time Warner - Buffalo	13	1	4.2	8.8	2.0	24.3	0.2	25.7	0.7	7.1
Time Warner - Syracuse Division	19	0	5.0	9.2	2.0	191.1	0.0	58.7	0.1	7.1
Time Warner - Albany	14	1	4.3	12.0	2.0	26.3	0.0	45.7	0.3	6.6
Direct Energy Services LLC	11	4	1.4	10.6	2.0	4.0	2.0	3.3	1.0	6.4
Optimum Voice	10	4	1.0	7.9	2.0	2.8	2.0	2.5	1.0	6.0
Verizon Communications	177	33	3.1	16.1	1.7	26.0	0.0	11.3	1.0	5.8
Time Warner - New York City	34	5	3.5	15.3	1.8	330.5	0.0	81.0	-5.0	0.3
Suez Water New York Inc.	12	0	5.0	1.6	2.0	188.1	0.0	180.0	-9.0	0.0

This table reports on the current level of customer service and responsiveness delivered by each service provider under the Department's jurisdiction. The Customer Service Response Index is determined by measuring four metrics. Complete CSRI data is posted on the first page of the report for those service providers that average ten or more initial complaints per month. For all other service providers, the performance in each area is reported on subsequent pages of the table, less the actual index measures because the index measures for companies with fewer than ten initial complaints have been found to show significant fluctuations on a month to month basis. These fluctuations may result in the reader reaching an inaccurate conclusion as to a service provider's performance. If a company is not listed on this report it is because there was no activity for the company in the reporting month. Below is an explanation of the data in each column. Exhibit 1131 Part 4 **Initial Complaints** - This is the number of initial complaints we receive and forward to the utility company for resolution directly with the customer.

Escalated Complaints - This is the number of complaints that we escalated for further handling and investigation because the customer informed us that the utility failed to satisfy their initial complaint after we forwarded the initial complaint to the utility.

CSM Index - The Consumer Satisfaction Index scores the ratio of the number of initial complaints to the number of escalated complaints in the reporting month. A score of 5 points are awarded when a service provider receives no escalated complaints during the reporting month. There is no score awarded if a service provider satisfies less than 50% of the customers that the Department refers to them.

Complaint Response Time - This is the average number of days it took for a utility to respond to initial complaints in the reporting month.

CRM Index - The Complaint Response Time Index scores the service provider's responsiveness to initial complaints closed in the reporting month. A score of 2 points is awarded when a provider's average response time for initial complaints is 14 days or less. No points are earned if the average response time for initial complaints is more than 28 days (twice the acceptable reply standard).

E. Complaint Response Time - This is the average number of days it took for a utility to respond to escalated complaints in the reporting month.

ERM Index - The Escalated Complaint Response Time Index scores the service providers responsiveness to escalated complaints closed in the reporting month. A score of 2 points is awarded when a provider's average response time for escalated complaints is 10 days or less. No points are earned if the average response time for escalated complaints is more than 25 days (two weeks past due).

Avg. Age of Cases Pending - This is the average age of all the cases awaiting a response from the service provider.

PCM Index - The Pending Case Index scores the average age of all cases awaiting response by the service provider. A score of 1 point is awarded when a service providers' average age of all cases is 14 days or less. No points are earned if the average age of all cases exceeds 70 days (two months delinquent). A negative score is applied if the average age of all cases is over 70 days.

CSRI - The Customer Service Response Index is the overall score received by the service provider. It is the sum of the four indices.

								Exhibit 11	31 Part 4	
Service Provider	Initial Complaints	Escalated Complaints	CSM Index	Complaint Response Time	CRM Index	E. Complaint Response Time	ERM Index	Avg.Age of Cases Pending	PCM Index	CSRI
125 Court Street, LLC	0	0	muex	0.0	muex	0.0	muex	703.0	muex	0311
327 Central Park West Condominium		0		0.0				80.0		
	0	0		0.0		0.0		120.0		
3462 Third Avenue Realty Llc						0.0				
Accent Energy Midwest, Llc	1	0		160.0		0.0		9.0		
Affordable Power, L.P.	0	0		0.0		0.0		9.0		
Agway Energy Services, LLC.	4	0		3.8		0.0		0.0		
Akam Associates	0	1		0.0		0.0		218.0		
Alpha Gas And Electric, Llc	1	0		1.0		1.9		0.0		
Ambit Energy	8	1		12.2		5.9		5.7		
American Power & Gas, LLC	1	0		0.0		0.0		10.0		
ASC Energy Services, Inc.	1	0		1.0		0.0		0.0		
Astral Energy LLC	1	1		13.0		115.5		81.0		
AT&T	5	1		4.3		20.1		18.0		
Atlantic Energy, LLC	3	0		4.0		0.0		1.0		
Atlantic Power & Gas LLC	2	0		0.5		9.1		0.0		
Augustus & James Corp.	0	0		0.0		0.0		419.0		
Be@Schermerhorn	0	0		0.0		0.0		280.0		
Berkshire Telephone Corp.	1	0		22.0		0.0		0.0		
Birch Communications, Inc.	3	0		0.0		0.0		6.3		
BlueRock Energy, Inc.	1	0		0.0		0.0		0.0		
Braeside Aqua Corporation	0	0		0.0		0.0		42.0		
Broadview Networks	4	0		8.2		0.0		1.0		
Brown's Fuel	0	0		18.0		0.0		0.0		
BTI Communications, Inc. d/b/a TELZE	3	1		20.0		28.3		19.0		
Cable Communications of Willsboro, Ir	0	0		0.0		0.0		0.0		
Cablevision - MediaOne - Rockland	0	0		14.0		0.0		0.0		
Cablevision - MediaOne - US Cablevis	0	0		5.0		0.0		38.0		
Cablevision - MediaOne - Westchester		0		0.0		0.0		10.0		
Cablevision of Brookhaven	1	0		1.0		0.0		0.0		
Cablevision of East Hampton	1	0		3.0		0.0		0.0		
Cablevision of New York City	6	0		15.4		25.0		9.5		
Cablevision of Riverhead	2	1		3.0		1.1		1.0		
Cablevision of Rockland	2	0		9.0		0.0		14.0		
Cablevision of Westchester	2	0		0.0		0.0		6.0		
CenStar Operating Company, LLC	2	1		19.0		0.0		1.0		
Champion Energy Services, LLC	1	0		0.0		0.0		15.0		
Charter Communications	3	0		20.0		0.0		2.5		
Chatham Green Inc.	0	0		0.0		0.0		181.0		
Citizens Choice Energy, LLC	3	0		6.0		0.0		2.0		
City Power & Gas, LLC	5	0		2.2		0.0		0.0		
Comcast Cable of New York - CATV	2	0		5.0		0.0		5.5		
Commerce Energy, Inc	1	0		0.0		0.0		5.5 1.0		
Con Edison Solutions	2	0		6.0		0.0		0.0		
Constellation NewEnergy	2	0		0.0 4.5		0.0		10.0		
•••										
CornerStone Telephone Company, LLC	0 2	0 0		0.0 2.5		0.0 0.0		9.0		
Corning Natural Gas Corp.								0.0		
CTC Communications Corp.	1	0		0.0		0.0		0.0		
Direct Energy Business Marketing, LLC		0		0.0		14.7		0.0		
Dsf IV New Rochelle Owner Llc D/b/a		0		0.0		0.0		408.0		
Eligo Energy Ny, Llc	6	0		7.0		0.0		2.0		
Elmwood Square Preservation, L.P.	0	0		0.0		0.0		64.0		
Emerald Green-Lake Louise Marie Wa	0	0		0.0		117.9		0.0		

				Complaint		E. Complaint		Exhibit 11 <i>Avg. Age</i>	31 Part 4	
Service Provider	Initial Complaints	Escalated Complaints	CSM Index	Response Time	CRM Index	Response Time	ERM Index	of Cases Pending	PCM Index	CSRI
Empire One Telecommunications, Inc.	0	0		0.0		0.0		16.0		
Energy Plus Holdings LLC	0	0		0.0		1.9		0.0		
Ethical Electric, Inc	4	0		12.2		0.0		2.0		
Family Energy, Inc.	9	2		16.3		5.0		6.7		
Flanders Energy LLC	5 7	0		0.6		0.0		0.0		
Friedlander Water Supply	0	0		0.0		0.0		126.0		
Frontier Communications of NY/aka Hi		0		5.3		0.0		120.0		
Frontier Communications of Rochester		0		22.3		0.0		2.0		
	1	0		0.2		0.1		0.0		
Frontier Communications of Sylvan La										
Galaxy Energy Llc	1	0		0.0		0.0		37.0		
Global Energy, LLC	1	0		0.0		0.0		29.0		
Granite Telecommunications, Llc	0	0		0.0		0.0		0.0		
Great Eastern Energy	0	0		13.0		0.0		0.0		
Green Mountain Energy	2	1		17.0		0.0		8.5		
Greenlight Energy Inc.	4	0		1.0		0.0		8.3		
Grenadier Realty Corp	0	0		0.0		0.0		601.0		
H & S Property Management	0	0		0.0		403.1		0.0		
Herkimer Street Residence, L.P.	0	0		0.0		0.0		97.0		
Hiko Energy, LLC	1	0		0.0		0.0		0.0		
Hudson Energy Services, Llc	1	0		9.0		9.2		24.3		
Hudson Park Investors, Llc	0	0		0.0		0.0		66.0		
IDT America Corp.	1	1		4.0		0.0		9.3		
Jdm Washington Llc	0	0		0.0		0.0		91.0		
Josco Energy Corp	5	0		7.2		0.0		5.5		
Just Energy New York Corp	1	0		13.0		0.0		0.0		
Kiamesha Artesian Spring Water Co.,	0	0		0.0		0.0		234.0		
King Tract Utilities c/o Melohn Propertie	1	0		0.0		0.0		50.0		
Kiwi Energy Inc.	0	0		0.0		11.8		57.0		
Level 3 Communications, LLC	2	0		14.0		0.0		14.0		
Liberty Power Corp.	4	1		85.8		11.7		9.8		
Lindenwood Village Sec. B Co-op Corp	0	0		0.0		0.0		91.0		
Maiden Lane Properties, LLC	0	0		0.0		0.0		559.0		
Major Energy Services LLC	6	0		5.0		0.0		5.7		
Marathon Energy Corporation	2	1		12.0		1.2		76.0		
Matrix Telecom, Inc Dba Trinsic Comm		0		5.0		0.0		0.0		
MCI	0	0		8.0		0.0		9.0		
Mountain Lodge Park Water Corp.	0	0		0.0		0.0		94.0		
Mpower Energy LLC	6	1		12.1		5.7		5.0		
New Wave Energy Corp.	0	0		38.0		0.0		0.0		
North American Power & Gas LLC	1	0		457.0		0.0		19.5		
NorthEastern Energy Corporation	1	1		24.0		0.0		122.0		
Nysandy7 Story Llc	0	0		0.0		0.0		419.0		
Oasis Power LLC, d/b/a Oasis Energy		0		79.0		3.1		0.0		
Paetec Communications, Inc. Dba Wir		0		18.0		0.0		0.0		
Penelec (A First Energy Company)	1	0		17.0		0.0		0.0		
Premier Empire Energy LLC	1	0		0.0		0.0		0.0 28.5		
Public Power Llc				21.6				28.5		
	2	2				8.4				
Qtel, LLC Queena Freah Maadaw Electric	2	1		14.3		9.9		2.0		
Queens Fresh Meadow Electric	0	0		0.0		0.0		272.3		
Reliant Energy Northeast LLC	0	0		0.0		0.0		57.0		
Renaissance Power & Gas, Inc.	7	0		2.2		0.0		1.3		
Residents Energy, LLC	0	0		7.0		0.0		0.0		

				Complaint		E. Complaint		Exhibit 11 <i>Avg. Age</i>	31 Part 4	
	Initial	Escalated	CSM	Response	CRM	Response	ERM	of Cases	РСМ	
Service Provider	•	Complaints	Index	Time	Index	Time	Index	Pending	Index	CSRI
Riverview II Preservation Lp	0	0		0.0		0.0		526.0		
Robison Energy Of Westchester	1	0		4.0		0.0		1.0		
Royal York Owners Corp.	0	0		0.0		0.0		55.0		
Saratoga Water Services, Inc.	1	1		6.0		1.3		0.0		
Smart One Energy, LLC	2	0		33.7		39.0		0.0		
South Bay Energy Corp.	3	0		1.7		0.0		0.0		
Spark Energy, L.P.	6	3		11.5		3.4		0.0		
Spectrotel, Inc.	0	0		21.0		0.0		28.0		
Sperian Energy Corp.	1	0		7.0		0.0		0.0		
St. Lawrence Gas	2	0		3.5		3.1		0.0		
Starion Energy NY, Inc.	2	1		37.5		0.0		3.7		
Suez Water Westchester Inc.	5	1		10.5		1.8		42.5		
Sylvan Lake Water Corp.	0	0		0.0		0.0		108.0		
Taconic Telephone Corp.	0	0		3.0		0.0		0.0		
Time Warner - Rochester	6	2		12.6		0.0		7.2		
Time Warner Cable Information Servic	8	2		8.2		31.8		82.9		
Titan Gas, LLC	0	0		0.0		20.1		0.0		
Trident Retail Energy, LLC dba Trident	0	0		38.0		0.0		0.0		
Tristate Bell Inc	2	0		6.0		0.0		31.5		
U.S. Gas & Electric, Inc.	3	2		13.6		7.0		5.0		
UDC Gateway LLC	0	0		0.0		0.0		68.0		
Utility Expense Reduction LLC	1	1		8.5		4.0		13.0		
Village of Endicott	1	0		6.0		0.0		0.0		
Village of Mohawk Municipal Commiss	0	0		41.0		0.0		0.0		
Viridian Energy Ny, Llc	1	1		13.0		0.0		15.3		
Windstream Communications, Inc.	4	1		10.5		112.0		28.3		
XChange Telecom	6	1		12.3		6.6		2.5		
XOOM Energy New York, LLC	4	1		17.5		0.0		4.6		

2016 Credit Adjustments Received For Consumers

As a result of our investigation into consumers' complaints, when staff determines that a consumer was overbilled, the utility is directed to refund to the consumer, any monies it collected above and beyond what was allowed by tariffs, rules and regulations. The chart below identifies the credits obtained on behalf of consumers.

	Total	Consumers
Jan-16	\$191,889.11	103
Feb-16	\$194,994.20	113
Mar-16	\$710,252.88	123
Apr-16	\$208,820.40	116
May-16	\$320,169.70	92
Jun-16	\$210,276.06	134
Jul-16	\$174,940.80	109
Aug-16	\$794,743.63	85
Sep-16	\$300,124.16	79
Oct-16	\$349,086.59	147
Nov-16	\$198,710.48	112
Dec-16		

2016 Total \$3,654,008.01 1213

13

Number of Initial Complaints Received Against ESCO's

		ompie							ya		JL					
Code	Company Name	2016	2015	Nov-16	Oct-16	Sep-16	Aug-16	Jul-16	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15
6898AB	ABC Energy LLC	10	3	0	2	0	0	1	3	0	2	0	1	1	2	1
5567AB	ABN Energy, LLC DBA GreatEnergy	2	3	0	1	1	0	0	0	0	0	0	0	0	0	0
D128	Accent Energy Midwest, LLC	2	6	1	0	0	0	0	0	1	0	0	0	0	0	2
D249	Affordable Power, L.P.	1	1	0	0	0	0	0	1	0	0	0	0	0	0	0
8281AG	Agera Energy	1	3	0	0	0	0	0	0	0	0	1	0	0	0	1
5020AG	Aggressive Energy, LLC	1	1	0	0	0	0	0	0	1	0	0	0	0	0	0
D001	Agway Energy Services, LLC.	36	64	4	2	4	1	4	2	2	3	6	4	4	4	5
5985AL	Alpha Gas And Electric, Llc	17	59	1	2	2	2	0	1	0	3	1	3	2	4	2
D230	Ambit Energy	579	1346	8	5	11	11	5	11	25	19	52	113	319	209	36
5411AM	American Power & Gas, LLC	83	319	1	5	6	9	2	2	8	1	18	18	13	8	14
D240	Ameristar Energy, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
6729AM	Amplified Power & Gas, LLC	0	6	0	0	0	0	0	0	0	0	0	0	0	0	0
6023AP	Ap Gas & Electric (ny), Llc	11	19	0	0	1	0	2	0	0	3	0	2	3	1	1
5465AS	ASC Energy Services, Inc.	7	2	1	0	0	0	0	0	0	1	0	5	0	0	1
6818AS	Astral Energy LLC	61	117	1	2	4	4	2	5	1	2	9	9	22	13	23
6481AT	Atlantic Energy, LLC	39	35	3	3	4	6	6	3	5	1	1	1	6	4	8
7844AT	Atlantic Power & Gas LLC	24	52	2	0	0	4	1	6	1	1	5	2	2	1	3
D222	BluCo Energy, LLC	1	18	0	0	0	0	0	0	0	0	0	1	0	1	1
D217	BlueRock Energy, Inc.	1	11	1	0	0	0	0	0	0	0	0	0	0	0	2
5008BO	Bounce Energy NY, LLC	0	8	0	0	0	0	0	0	0	0	0	0	0	0	0
D113	Brown's Fuel	13	19	0	1	2	1	1	1	0	2	3	0	2	1	0
5246BU	BUY ENERGY DIRECT, LLC	3	8	0	1	0	0	0	1	0	0	1	0	0	0	0
9156CE	CenStar Operating Company, LLC	10	4	2	1	1	0	0	1	0	2	1	0	2	1	1
6903CH	Champion Energy Services, LLC	1	1	1	0	0	0	0	0	0	0	0	0	0	0	1
D220	Chief Energy Gas, Llc.	3	3	0	0	0	1	0	0	0	0	0	2	0	1	0
5773CH	Chief Energy Power, Llc	7	5	0	1	0	0	0	1	0	0	2	2	1	0	0
5325CI	Citizens Choice Energy, LLC	10	6	3	2	2	0	0	0	0	0	0	2	1	0	0
5592CI	City Power & Gas, LLC	17	29	5	2	3	0	1	0	1	0	2	2	1	0	0
D238	Clearview Energy, Inc.	3	9	0	0	0	0	0	1	0	0	1	1	0	0	0
D231	Columbia Utilities Power, Llc (electric)	23	27	0	1	2	5	1	2	1	2	2	4	3	1	4
D040	Columbia Utilities Power, Llc (gas)	8	31	0	0	0	0	0	0	1	1	1	4	1	2	3
D208	Commerce Energy, Inc	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0
5065CO	Community Energy Services, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
D086	Con Edison Solutions	13	11	2	0	2	2	1	2	0	2	1	1	0	1	1
	Constellation Energy Gas Choice Inc.	15	8	0	1	3	1	0	1	2	0	4	1	2	1	0
D084	Constellation NewEnergy	19	21	2	1	2	2	3	3	0	1	1	1	3	0	3
D221	Constellation NewEnergy - Gas Divisio	0	9	0	0	0	0	0	0	0	0	0	0	0	0	2
8168DI	Direct Energy Business Marketing, LL	10	6	0	0	1	3	1	0	2	0	2	1	0	1	1
5308DI	Direct Energy Business, LLC	0	35	0	0	0	0	0	0	0	0	0	0	0	0	0
D176	Direct Energy Services LLC	259	206	11	19	12	16	12	9	23	21	42	42	52	49	40
D006	East Coast Energy, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D256	East Coast Power, Llc	3	5	0	0	0	1	0	0	0	0	1	1	0	0	1
6922EL	Eligo Energy NY, Llc	81	46	6	3	7	28	5	3	5	5	4	12	3	0	1
D047	Empire Natural Gas Corporation	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D047	Energetix, Inc.	0	48	0	0	0	0	0	0	0	0	0	0	0	0	0
8202EN	Energy Cooperative of America, Inc.	1	0	0	0	0	0	0	0	1	0	0	0	0	0	0
D183	Energy Cooperative of New York	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
5100	Energy cooperative of New Tork	U	-	· ·	, v						L V				<u> </u>	

Number of Initial Complaints Received Against ESCO's

Code	Company Name	2016	2015	Nov-16	Oct-16	Sep-16	Aug-16	Jul-16	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15
D243	Energy Plus Holdings LLC	17	30	0	1	2	2	0	1	0	0	3	6	2	1	0
5568EN	Energy Plus Natural Gas LP	2	4	0	0	0	1	0	0	0	0	0	0	1	1	0
D137	Energy Service Providers, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1
5424EN	Energy Solutions Co. LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
5182EN	EnergyMark, LLC	0	4	0	0	0	0	0	0	0	0	0	0	0	0	0
D201	Entergy Solutions Ltd.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
8938EN	Entrust Energy East, Inc.	5	37	0	0	0	1	1	0	0	0	0	3	0	1	2
7005ET	Ethical Electric Benefit Co.	25	39	4	5	1	8	0	1	0	3	1	2	0	5	3
4920FA	Family Energy, Inc.	162	118	9	6	12	11	12	16	13	13	28	20	22	9	12
6594FL	Flanders Energy LLC	11	0	7	4	0	0	0	0	0	0	0	0	0	0	0
7383FR	Frontier Utilities Northeast LLC	36	19	0	1	0	0	1	0	1	0	3	10	20	10	1
6781FT	FTR Energy Services, LLC	0	10	0	0	0	0	0	0	0	0	0	0	0	0	0
6643GA	Galaxy Energy Llc	9	22	1	0	1	0	1	1	0	0	0	2	3	1	2
D046	Gateway Energy Services Corp.	0	83	0	0	0	0	0	0	0	0	0	0	0	0	0
4963GD	Gdf Suez Energy Resources Na, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
6424GD	GDF Suez Retail Energy Solutions, LL	0	3	0	0	0	0	0	0	0	0	0	0	0	0	1
5349GL	Glacial Natural Gas, Inc.	0	3	0	0	0	0	0	0	0	0	0	0	0	0	0
6009GL	Global Energy, LLC	3	2	1	0	0	0	0	2	0	0	0	0	0	0	0
D104	Great Eastern Energy	10	10	0	2	2	1	1	0	0	1	2	1	0	1	1
D104	Green Mountain Energy	27	33	2	1	2	3	1	1	2	2	6	2	5	2	0
4877GR	Greenlight Energy Inc.	31	25	4	2	2	6	0	1	2	2	3	2	4	2	3
4077GR D254		1	 7	4	2	2	0	0	0	0	0	0	0	4	0	0
5302PR	High Rise Energy Group, LLC	11	20	1	0	1	0	1	0	3	3	0	2	0	0	0
D120	Hiko Energy, Llc	14	20	1	0	3	0	0	0	2	3	3	2	1	3	3
	Hudson Energy Services, Llc			12	-	10	9	5	-	2	6	9	9	4	10	3 13
D177	IDT Energy, Inc.	83	168		14		9	5 0	3	2	-	9	-			
D188	IGS Energy	1	6	0	0	1	-	-	-	-	0		0	0	0	0
D167	Infinite Energy, Inc.	3	3	0	0	1	0	0	0	1	-	0	1	0	0	0
6647IR	Iron Energy LLC	0	-	0	0	0	0	0	0	0	0	0	0	0	1	0
7041JO	JOSCO Energy Corp	98	110	5	11	11	20	5	7	8	12	6	9	4	8	6
D213	Just Energy New York Corp	40	93	1	2	4	4	1	6	6	5	5	3	3	7	3
D015	Keyspan Energy Services, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
6646KI	Kiwi Energy Inc.	30	143	0	0	1	2	1	0	4	1	6	8	7	8	1
5520LE	Lexington Power & Light, LLC	2	0	0	0	0	0	1	0	0	0	0	0	1	0	0
D117	Liberty Power Corp.	43	52	4	6	4	6	4	2	3	4	6	3	1	2	4
	Long Island Power Authority	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D147	M&R ENERGY RESOURCES CORPO		1	0	0	0	0	0	0	0	0	0	0	0	0	0
D214	Major Energy Services LLC	90	109	6	5	16	9	5	9	9	11	11	5	4	2	5
6007MA	Marathon Energy Corporation	46	21	2	6	2	6	4	7	1	4	5	6	3	2	1
D267	Mpower Energy LLC	106	113	6	11	14	16	11	7	7	9	8	9	8	20	6
6807MY	MyGrid Energy, Inc.	0	9	0	0	0	0	0	0	0	0	0	0	0	0	0
D020	Natgasco, Inc A Mitchell-Supreme C	2	2	0	0	0	0	0	0	1	0	1	0	0	0	1
5436NE	New Wave Energy Corp.	4	2	0	0	1	0	0	0	0	1	1	1	0	0	0
7379NE	NextEnergy Services LLC	1	0	0	0	0	0	0	0	0	0	1	0	0	0	0
4987NE	NextEra Energy Resources, LLC	2	7	0	0	0	0	0	0	0	0	1	0	1	1	0
D148	Noco Natural Gas, Llc	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
5787NO	North American Power & Gas LLC	24	140	1	1	3	1	2	2	1	3	6	3	1	6	0
6976NO	North Eastern States, Inc.	0	19	0	0	0	0	0	0	0	0	0	0	0	0	0
5479NO	North Energy LLC	1	5	0	0	0	0	1	0	0	0	0	0	0	1	1

Number of Initial Complaints Received Against ESCO's

							<u>u</u>		ga							
Code	Company Name	2016	2015	Nov-16	Oct-16	Sep-16	Aug-16	Jul-16	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15
D239	NorthEastern Energy Corporation	1	1	1	0	0	0	0	0	0	0	0	0	0	0	0
D103	NYSEG Solutions, Inc.	0	138	0	0	0	0	0	0	0	0	0	0	0	0	0
4921OA	Oasis Power LLC, d/b/a Oasis Energy	12	14	1	2	1	1	0	2	1	0	1	3	0	1	1
6645PA	Pay Less Energy, LLC	4	4	0	0	0	0	2	0	1	0	0	0	1	1	0
6024PE	People's Power & Gas, Llc	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
6893PE	Perigee Energy, LLC	5	2	0	0	0	1	1	0	0	2	0	0	1	0	1
5637PL	Planet Energy (NY) Corp.	0	5	0	0	0	0	0	0	0	0	0	0	0	0	0
D237	Platinum Plus Energy Resources, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D171	Plymouth Rock Energy LLC	7	36	0	0	0	0	2	0	0	0	4	1	0	1	1
7871PR	Premier Empire Energy LLC	5	0	1	0	0	0	3	0	0	1	0	0	0	0	0
D263	Public Power Llc	26	54	2	5	1	2	1	6	3	0	0	4	2	4	3
6233RE	Reliant Energy Northeast LLC	12	6	0	1	2	1	0	0	1	1	3	3	0	2	0
6616RE	Renaissance Power & Gas, Inc.	17	13	7	3	1	2	0	0	0	1	0	0	3	4	0
6574RE	Residents Energy, LLC	33	51	0	2	2	4	3	3	4	3	4	4	4	5	5
D093	Robison Energy Of Westchester	3	5	1	0	0	0	0	1	1	0	0	0	0	1	0
5370SB	SBR Energy, LLC	1	5	0	0	0	0	0	0	0	1	0	0	0	0	0
5481SJ	SJ Energy Partners	3	3	0	0	0	0	0	1	1	0	0	1	0	0	0
4976SM	Smart One Energy, LLC	29	38	2	2	1	1	1	0	1	4	7	6	4	4	4
6216SO	South Bay Energy Corp.	17	11	3	1	2	2	3	2	1	0	3	0	0	0	0
5577SO	SouthStar Energy Services LLC	1	1	0	0	0	0	0	0	0	0	1	0	0	0	0
D186	Spark Energy, L.P.	80	154	6	11	9	5	8	4	1	5	11	7	13	8	9
7397SP	Sperian Energy Corp.	1	5	1	0	0	0	0	0	0	0	0	0	0	0	0
5463ST	Starion Energy NY, Inc.	16	25	2	1	2	2	0	0	0	2	2	2	3	3	0
6809ST	Stream Energy New York LLC.	1	9	0	0	0	0	0	0	0	0	0	1	0	1	0
D223	Titan Gas, LLC	3	6	0	0	0	2	0	1	0	0	0	0	0	0	0
9014TR	Trident Retail Energy, LLC DBA Trider	7	0	0	1	1	0	0	1	0	2	0	2	0	0	0
D119	U.S. Gas & Electric, Inc.	54	52	3	3	2	2	3	8	3	6	10	7	7	3	6
D500	Unidentified ESCO	2	0	0	0	0	0	0	0	0	1	0	0	1	0	0
6008UN	United Energy Supply Corporation	3	11	0	0	0	0	0	0	0	0	0	3	0	2	1
7346UN	United Metro Energy Services Corp.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
5461UT	Utility Expense Reduction LLC	44	51	1	8	4	4	3	1	1	1	7	10	4	8	6
6894VE	Verde Energy USA New York, LLC	18	72	0	1	1	2	0	0	1	2	3	4	4	4	2
5391VI	Viridian Energy NY, LLC	45	69	1	4	3	5	3	4	2	5	7	7	4	7	4
6668XO	XOOM Energy New York, LLC	32	72	4	2	3	8	0	1	2	3	3	4	2	2	4
	Total	2798	5044	159	180	199	247	139	160	169	194	342	417	592	469	275

ESCO's with no complaints on file since January 2015 are not listed on this report.

Number of Escalated Complaints Received Against ESCO's

ITUI	IDEI UI LSCAIALEU		plaint	.5		66		5 U								<u> </u>
Code	Company Name	2016	2015	Nov-16	Oct-16	Sep-16	Aug-16	Jul-16	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15
6898AB	ABC Energy LLC	3	0	0	0	0	0	0	0	1	0	0	1	1	0	0
5567AB	ABN Energy, LLC DBA GreatEnergy	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D128	Accent Energy Midwest, LLC	1	1	0	0	0	0	0	0	0	0	0	0	1	1	0
D249	Affordable Power, L.P.	1	0	0	0	0	0	1	0	0	0	0	0	0	0	0
D001	Agway Energy Services, LLC.	7	17	0	0	0	0	0	0	1	1	2	1	2	0	1
5985AL	Alpha Gas And Electric, Llc	6	15	0	1	2	0	1	0	0	0	1	1	0	2	1
D230	Ambit Energy	257	398	1	1	5	1	4	5	13	11	36	105	75	18	17
5411AM	American Power & Gas, LLC	7	26	0	0	0	0	0	2	0	2	0	3	0	1	1
6729AM	Amplified Power & Gas, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
6023AP	Ap Gas & Electric (ny), Llc	4	9	0	0	1	0	0	0	1	0	1	1	0	1	1
5465AS	ASC Energy Services, Inc.	2	0	0	0	0	0	0	0	1	0	1	0	0	0	0
6818AS	Astral Energy LLC	8	20	1	0	0	1	0	0	0	0	1	3	2	6	5
6481AT	Atlantic Energy, LLC	3	10	0	0	1	1	1	0	0	0	0	0	0	1	3
7844AT	Atlantic Power & Gas LLC	4	10	0	0	0	2	1	0	0	0	0	0	1		0
D222	BluCo Energy, LLC	0	3	0	0	0	0	0	0	0	0	0	0	0	0	0
5008BO	Bounce Energy NY, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D113	Brown's Fuel	2	4	0	0	0	0	0	0	0	0	0	1	1	0	1
5246BU	BUY ENERGY DIRECT, LLC	1	5	0	0	0	0	0	0	0	1	0	0	0	0	0
9156CE	CenStar Operating Company, LLC	2	2	1	0	0	0	0	0	0	0	0	0	1	0	0
6903CH	Champion Energy Services, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	1	0
5773CH	Chief Energy Power, Llc	1	1	0	0	0	0	0	0	0	0	0	0	1	0	0
				0	0	0	-	0		0	0	0	0	0	0	0
5592CI	City Power & Gas, LLC	1	10	0	0	0	1	0	0	0	0	0	0	0	0	-
D238	Clearview Energy, Inc.	0	1	0	0	0	-	0	1	0	0	0	-	-	0	0
D231	Columbia Utilities Power, Llc (electric)	8	4		-	-	3	-		-	-	-	2	2	-	0
D040	Columbia Utilities Power, Llc (gas)	3	6	0	0	0	0	0	0	1	0	1	0	1	0	0
5065CO	Community Energy Services, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
D086	Con Edison Solutions	1	2	0	1	0	0	0	0	0	0	0	0	0	0	1
6771CO	Constellation Energy Gas Choice Inc.	1	0	0	1	0	0	0	0	0	0	0	0	0	0	0
D084	Constellation NewEnergy	3	4	0	0	1	1	1	0	0	0	0	0	0	0	0
D221	Constellation NewEnergy - Gas Divisio	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
8168DI	Direct Energy Business Marketing, LL	2	2	0	0	0	1	0	0	0	0	0	0	1	0	1
5308DI	Direct Energy Business, LLC	0	3	0	0	0	0	0	0	0	0	0	0	0	0	0
D176	Direct Energy Services LLC	69	37	4	2	1	5	2	1	5	5	15	13	16	9	7
D256	East Coast Power, Llc	1	1	0	0	0	0	0	0	0	0	0	1	0	0	0
6922EL	Eligo Energy NY, Llc	14	10	0	1	4	3	2	1	0	0	2	1	0	0	0
D087	Energetix, Inc.	0	16	0	0	0	0	0	0	0	0	0	0	0	0	1
D183	Energy Cooperative of New York	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
6031EN	ENERGY DISCOUNTERS, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D243	Energy Plus Holdings LLC	1	6	0	0	0	0	0	0	0	0	0	1	0	0	0
5424EN	Energy Solutions Co. LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
5182EN	EnergyMark, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
8938EN	Entrust Energy East, Inc.	0	6	0	0	0	0	0	0	0	0	0	0	0	1	0
7005ET	Ethical Electric Benefit Co.	0	5	0	0	0	0	0	0	0	0	0	0	0	2	0
4920FA	Family Energy, Inc.	23	18	2	3	0	0	3	1	2	3	1	4	4	1	1
7383FR	Frontier Utilities Northeast LLC	9	4	0	0	0	0	0	0	0	0	2	1	6	0	0
6781FT	FTR Energy Services, LLC	0	5	0	0	0	0	0	0	0	0	0	0	0	0	0
6643GA	Galaxy Energy Llc	2	4	0	1	0	0	1	0	0	0	0	0	0	0	0
D046	Gateway Energy Services Corp.	0	29	0	0	0	0	0	0	0	0	0	0	0	0	0

Number of Escalated Complaints Received Against ESCO's

	IDEI UI LSCAIALEU		plaint			66		5 U								
Code	Company Name	2016	2015	Nov-16	Oct-16	Sep-16	Aug-16	Jul-16	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15
D104	Great Eastern Energy	1	0	0	0	0	0	0	0	1	0	0	0	0	0	0
D127	Green Mountain Energy	5	3	1	0	0	0	0	1	0	0	2	0	1	0	0
4877GR	Greenlight Energy Inc.	2	4	0	0	1	0	0	0	0	0	1	0	0	1	1
D254	High Rise Energy Group, LLC	1	4	0	0	0	0	0	0	0	0	0	1	0	0	0
5302PR	Hiko Energy, Llc	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D120	Hudson Energy Services, Llc	4	3	0	1	0	0	0	0	0	2	0	1	0	1	0
D177	IDT Energy, Inc.	8	5	1	1	0	0	1	0	0	2	3	0	0	1	0
D188	IGS Energy	1	0	0	1	0	0	0	0	0	0	0	0	0	0	0
7041JO	JOSCO Energy Corp	5	16	0	0	1	1	0	0	2	0	0	1	0	0	0
D213	Just Energy New York Corp	4	18	0	1	0	0	1	0	0	0	1	0	1	1	1
6646KI	Kiwi Energy Inc.	2	8	0	0	0	0	0	0	1	1	0	0	0	0	0
D117	Liberty Power Corp.	8	13	1	2	0	2	0	0	1	0	1	0	1	1	0
D214	Major Energy Services LLC	13	16	0	4	1	0	1	4	2	1	0	0	0	0	1
	Marathon Energy Corporation	15	2	1	1	1	2	1	1	2	0	3	1	2	0	0
	Mpower Energy LLC	9	12	1	0	1	2	1	1	0	0	1	2	0	1	1
	MyGrid Energy, Inc.	0	4	0	0	0	0	0	0	0	0	0	0	0	0	0
	NextEra Energy Resources, LLC	2	2	0	0	0	0	0	0	1	0	0	1	0	0	0
	North American Power & Gas LLC	16	55	0	1	1	0	0	3	0	7	0	2	2	0	1
6976NO	North Eastern States, Inc.	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
	North Energy LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D239	NorthEastern Energy Corporation	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1
D239 D103	NYSEG Solutions, Inc.	0	45	0	0	0	0	0	0	0	0	0	0	0	0	0
4921OA	Oasis Power LLC, d/b/a Oasis Energy	1	4 <u>5</u> 3	0	0	0	0	0	0	0	0	0	0	1	0	0
6645PA	Pay Less Energy, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
6893PE	Perigee Energy, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1
D237	Platinum Plus Energy Resources, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D237 D171	Plymouth Rock Energy LLC	2	7	0	0	0	2	0	0	0	0	0	0	0	0	1
7871PR	Premier Empire Energy LLC	3	0	0	2	0	2 1	0	0	0	0	0	0	0	0	0
			-	2	2	0		1	2	0	-	1	1	0	2	1
D263	Public Power Llc	9	15	_	-	-	1			-	1		_	-		
6233RE	Reliant Energy Northeast LLC	3	1	0	0	0	0	0	0	2	0	1	0	0	0	0
6616RE	Renaissance Power & Gas, Inc.	1	2	0	1	0	0	0	0	0	0	0	0	0	0	0
6574RE	Residents Energy, LLC	0	2	0	0	0	0	0	0	0	0	0	0	0	0	1
D093	Robison Energy Of Westchester	0	2	0	0	0	0	0	0	0	0	0	0	0	0	1
5370SB	SBR Energy, LLC	1	1	0	0	0	0	0	0	1	0	0	0	0	0	0
	SJ Energy Partners	1	0	0	0	0	0	0	0	0	0	1	0	0	0	0
4976SM	Smart One Energy, LLC	9	7	0	2	1	0	0	0	1	2	1	1	1	0	0
6216SO	South Bay Energy Corp.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D186	Spark Energy, L.P.	16	19	3	1	1	1	1	1	0	0	5	2	1	1	0
7397SP	Sperian Energy Corp.	0	3	0	0	0	0	0	0	0	0	0	0	0	0	0
5463ST	Starion Energy NY, Inc.	2	0	1	0	1	0	0	0	0	0	0	0	0	0	0
6809ST	Stream Energy New York LLC.	0	5	0	0	0	0	0	0	0	0	0	0	0	0	0
D223	Titan Gas, LLC	1	3	0	0	0	1	0	0	0	0	0	0	0	0	1
D119	U.S. Gas & Electric, Inc.	19	10	2	0	3	0	3	3	2	3	2	1	0	1	0
6008UN	United Energy Supply Corporation	0	2	0	0	0	0	0	0	0	0	0	0	0	1	0
7346UN	United Metro Energy Services Corp.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
5461UT	Utility Expense Reduction LLC	6	1	1	2	0	0	0	0	0	0	1	1	1	0	0
6894VE	Verde Energy USA New York, LLC	6	15	0	0	0	1	0	0	0	0	2	1	2	0	0
5391 VI	Viridian Energy NY, LLC	14	21	1	2	0	1	0	3	1	2	1	1	2	3	0

Exhibit 1131 Part 4 Number of Escalated Complaints Received Against ESCO's

																-
Code	Company Name	2016	2015	Nov-16	Oct-16	Sep-16	Aug-16	Jul-16	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15
6668XO	XOOM Energy New York, LLC	4	22	1	0	2	0	0	0	0	0	0	1	0	1	2
	Total	641	1076	25	33	29	34	27	30	42	44	90	157	130	60	55

ESCO's with no complaints on file since January 2015 are not listed on this report.

Consumer Reports of Deceptive Marketing Practices by Energy Services Company

Code Company Name y			<u>gy 00</u>						• •								
6898AB ABC Energy LLC 4 1 0	Code	Company Name	2016	2015	Nov-16	Oct-16	Sep-16	Aug-16	Jul-16	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15
5567A8 ABN Energy, LLC DA GreatEnergy 0 1 0	6898AB	ABC Energy LLC	4	1	0	0		0	0	2	0	1	0	0	1	0	1
D128 Accent Energy Midwest, LLC 1 3 0					-	-	-	-	-		-		-	-			0
D249 Affordable Power, L.P. 1 0 <td></td> <td></td> <td></td> <td></td> <td>-</td> <td>1</td>					-	-	-	-	-	-	-	-	-	-	-	-	1
B281AG Agera Energy 0 2 0				-	-	-	_	-	-	-	-	-	-	_			0
5020AG Aggressive Energy, LLC 1 0 0 0 0 0 1 0<				-	-	-	-	-	-	-	-	-		-	-	-	0
D001 Agway Energy Services, LLC. 18 39 3 0 2 0 2 2 3 1 1 2 2 3 5985AL Alpha Gas And Electric, Lic 6 26 0 0 2 2 0 2 0 0 0 0 2 2 0 2 0 2 0 2 0 2 0 2 0 </td <td></td> <td></td> <td></td> <td></td> <td>-</td> <td>_</td> <td>-</td> <td></td> <td>-</td> <td>-</td> <td>-</td> <td>-</td> <td></td> <td>-</td> <td>0</td> <td>-</td> <td>0</td>					-	_	-		-	-	-	-		-	0	-	0
5985AL Alpha Gas And Electric, Llc 6 26 0 0 2 0 0 0 2 2 0 2 D230 Ambit Energy 370 646 1 2 4 3 2 8 20 8 46 95 181 53 5411AM American Power & Gas, LLC 0 2 0 1 0 <td></td> <td></td> <td></td> <td>-</td> <td>3</td> <td>0</td> <td>-</td> <td>0</td> <td>-</td> <td>-</td> <td>3</td> <td>-</td> <td>-</td> <td>-</td> <td>2</td> <td>-</td> <td>1</td>				-	3	0	-	0	-	-	3	-	-	-	2	-	1
D230 Ambit Energy 370 646 1 2 4 3 2 8 20 8 46 95 181 53 5411AM American Power & Gas, LLC 0 2 0					-	-		-			-						2
5411AM American Power & Gas, LLC 39 156 0 3 3 2 2 1 2 0 7 10 9 2 6729AM Amplified Power & Gas, LLC 0 2 0		· ·	370	646	1	2		3	2	8	20	8			181		18
6729AM Amplified Power & Gas, LLC 0 2 0				156	0		3	2		1		0					6
6023AP Ap Gas & Electric (ny), Llc 4 4 0 0 0 0 1 2 0 0 1 0 5465AS ASC Energy Services, Inc. 1 0 <t< td=""><td></td><td></td><td></td><td></td><td>0</td><td>0</td><td>0</td><td></td><td></td><td>0</td><td></td><td>0</td><td></td><td></td><td>0</td><td></td><td>0</td></t<>					0	0	0			0		0			0		0
5465AS ASC Energy Services, Inc. 1 0 1 1 0 0 0 1 1 0 0 0 0 1 1 0 0 0 0 1 1 0 0 0 0 1 1 0 <		•	4	4	0	0	0			0	1	2		0	1		0
6818AS Astral Energy LLC 12 27 0 0 2 1 0 1 0 0 2 0 6 2 6481AT Atlantic Energy, LLC 9 8 1 1 1 2 0 1 1 0			1	0	0	0	0	0	0	0	0		0	1	0		0
6481AT Atlantic Energy, LLC 9 8 1 1 1 2 0 1 1 0 0 0 2 1 7844AT Atlantic Power & Gas LLC 5 9 0 0 0 0 1 2 0 0 1 0 1 0 1 0 1 0 1 0 1 0 1 0 1 0 1 0 1 0 1 0			12	27	0	0	2	1	0	1	0	0		0	6		4
7844AT Atlantic Power & Gas LLC 5 9 0 0 0 1 2 0 0 1 0 <t< td=""><td></td><td></td><td></td><td></td><td>-</td><td>-</td><td></td><td></td><td>-</td><td></td><td>-</td><td>-</td><td></td><td>-</td><td>-</td><td></td><td>2</td></t<>					-	-			-		-	-		-	-		2
D222 BluCo Energy, LLC 0 7 0					0	0	0		1	2	0	0	1	0	1	0	0
D217 BlueRock Energy, Inc. 0 2 0 <td></td> <td></td> <td></td> <td>-</td> <td>0</td> <td>-</td> <td>_</td> <td>0</td> <td>0</td> <td></td> <td>-</td> <td>0</td> <td></td> <td>-</td> <td></td> <td>-</td> <td>0</td>				-	0	-	_	0	0		-	0		-		-	0
5008BO Bounce Energy NY, LLC 0 2 0				2	0	0	0	0	0	0	0	0		0	0		0
D113 Brown's Fuel 5 7 0 0 0 0 0 1 2 0 2 2 5246BU BUY ENERGY DIRECT, LLC 0 2 0	5008BO				0	0	0	0	0	0	0	0	0	0	0		0
5246BU BUY ENERGY DIRECT, LLC 0 2 0					0	0	0	0	0	0	0	1	2	0	2	2	0
9156CE CenStar Operating Company, LLC 8 0 3 1 0 0 0 2 1 0 1 0 D220 Chief Energy Gas, Llc. 0 1 0	_				-	-	_	-	-	-	-			_			0
D220 Chief Energy Gas, Llc. 0 1 0 <td></td> <td>· · · · · · · · · · · · · · · · · · ·</td> <td></td> <td></td> <td>3</td> <td>1</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> <td>2</td> <td>1</td> <td>0</td> <td>1</td> <td>0</td> <td>0</td>		· · · · · · · · · · · · · · · · · · ·			3	1	0	0	0	0	0	2	1	0	1	0	0
5773CH Chief Energy Power, Llc 3 1 0 <td< td=""><td></td><td></td><td></td><td>1</td><td>0</td><td>0</td><td>0</td><td>0</td><td>0</td><td>0</td><td>0</td><td></td><td>0</td><td>0</td><td>0</td><td>0</td><td>0</td></td<>				1	0	0	0	0	0	0	0		0	0	0	0	0
5325CI Citizens Choice Energy, LLC 5 2 4 0 1 0				1	0	0	0	0	0	0	0	0	0	1	2	0	0
5592C1 City Power & Gas, LLC 7 14 2 1 0			5	2	4	0	1	0	0	0	0	0	0	0	0	0	0
D238 Clearview Energy, Inc. 0 3 0 <td></td> <td></td> <td></td> <td>14</td> <td>2</td> <td>1</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> <td>1</td> <td>2</td> <td>1</td> <td>0</td> <td>0</td>				14	2	1	0	0	0	0	0	0	1	2	1	0	0
D231 Columbia Utilities Power, Llc (electric) 12 13 0 1 0 3 0 1 1 0 1 4 1 1 D040 Columbia Utilities Power, Llc (gas) 2 11 0 0 0 0 0 0 0 0 0 0 1 1 0 1 1 0 1 1 0 1 1 0 1 1 0 1 1 0 1 1 0 1 1 0 1 1 0 1 1 0 1 1 0 1 1 0 1 1 0 1 1 0 1 1 0 1 1 0 1 1 0 1 0				3		0	0	0	0	0	0	0		0	0	0	0
D040 Columbia Utilities Power, Llc (gas) 2 11 0 0 0 0 0 0 0 0 1 1 0 1 5065CO Community Energy Services, Inc. 0 2 0<				13	0	1	0	3	0	1	1	0	1	4	1	1	2
5065CO Community Energy Services, Inc. 0 2 0	D040				0	0	0	0	0	0	0	0	1	1	0	1	1
D086 Con Edison Solutions 2 8 0 0 1 0 0 1 0 0 0 0 0 0 0 1 0	5065CO				0	0	0	0	0	0	0	0	0	0	0	0	0
D084 Constellation NewEnergy 4 11 1 0 1 1 0 1 0 1 0	D086		2	8	0	0	0	1	0	0	1	0	0	0	0	0	0
D221 Constellation NewEnergy - Gas Divisio 0 2 0 <td>6771CO</td> <td>Constellation Energy Gas Choice Inc.</td> <td>8</td> <td>4</td> <td>0</td> <td>2</td> <td>0</td> <td>0</td> <td>1</td> <td>0</td> <td>1</td> <td>0</td> <td>2</td> <td>1</td> <td>1</td> <td>1</td> <td>0</td>	6771CO	Constellation Energy Gas Choice Inc.	8	4	0	2	0	0	1	0	1	0	2	1	1	1	0
8168DI Direct Energy Business Marketing, LLO 2 0	D084	Constellation NewEnergy	4	11	1	0	1	1	0	1	0	0	0	0	0	0	0
8168DI Direct Energy Business Marketing, LLQ 2 0	D221	Constellation NewEnergy - Gas Divisio	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
5308DI Direct Energy Business, LLC 0 18 0	8168DI	Direct Energy Business Marketing, LL	2	0	0	0	0	0	0	0	0	0	2	0	0	0	0
D256 East Coast Power, Llc 0 2 0 <td>5308DI</td> <td></td> <td>0</td> <td>18</td> <td>0</td>	5308DI		0	18	0	0	0	0	0	0	0	0	0	0	0	0	0
	D176	Direct Energy Services LLC	88	80	4	3	0	2	0	2	5	9	23	18	22	16	21
6922EL Eligo Energy NY, Llc 29 28 4 1 3 9 2 1 2 2 0 5 0 0	D256	East Coast Power, Llc	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0
	6922EL	Eligo Energy NY, Llc	29	28	4	1	3	9	2	1	2	2	0	5	0	0	1
7398EM Empire Energy Services, Inc. 0 1 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	7398EM		0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D047 Empire Natural Gas Corporation 0 1 0	D047	Empire Natural Gas Corporation	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D087 Energetix, Inc. 0 18 0	D087	Energetix, Inc.	0	18	0	0	0	0	0	0	0	0	0	0	0	0	0
6031EN ENERGY DISCOUNTERS, LLC 1 0 0 0 0 0 0 0 1 0 0 0 0 0 0 0	6031EN		1	0	0	0	0	0	0	0	1	0	0	0	0	0	0
D243 Energy Plus Holdings LLC 7 12 0 0 1 0 0 0 0 2 4 0 0	D243	Energy Plus Holdings LLC	7	12	0	0	1	0	0	0	0	0	2	4	0	0	0
5568EN Energy Plus Natural Gas LP 0 1 0 <t< td=""><td>5568EN</td><td></td><td>0</td><td>1</td><td>0</td><td>0</td><td>0</td><td>0</td><td>0</td><td>0</td><td>0</td><td>0</td><td>0</td><td>0</td><td>0</td><td>0</td><td>0</td></t<>	5568EN		0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
D137 Energy Service Providers, Inc. 0 1 0	D137	Energy Service Providers, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1
5424EN Energy Solutions Co. LLC 0 1 0			0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
5182EN EnergyMark, LLC 0 2 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	5182EN		0	2	0	0	0	0	0	0	0	0	0	0	0	0	0

Consumer Reports of Deceptive Marketing Practices by Energy Services Company

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Code	Company Name	2016	2015	Nov-16	Oct-16	Sep-16	Aug-16	Jul-16	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15
D201	Entergy Solutions Ltd.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
	Entrust Energy East, Inc.	1	15	0	0	0	0	0	0	0	0	0	1	0	0	0
	Ethical Electric Benefit Co.	26	27	5	4	1	13	0	0	0	3	0	0	0	4	2
	Family Energy, Inc.	75	62	7	1	3	5	7	5	6	9	9	14	9	1	6
	Flanders Energy LLC	5	0	3	2	0	0	0	0	0	0	0	0	0	0	0
	Frontier Utilities Northeast LLC	14	2	0	2	0	0	1	0	0	0	3	3	5	0	0
6781FT	FTR Energy Services, LLC	0	7	0	0	0	0	0	0	0	0	0	0	0	0	0
	Galaxy Energy Llc	5	10	0	1	1	0	0	0	0	0	0	2	1	1	0
	Gateway Energy Services Corp.	0	30	0	0	0	0	0	0	0	0	0	0	0	0	0
	GDF Suez Retail Energy Solutions, LL	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1
	Glacial Natural Gas, Inc.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
6009GL	Global Energy, LLC	1	0	0	0	0	0	0	1	0	0	0	0	0	0	0
	Great Eastern Energy	3	4	0	1	1	0	0	0	0	1	0	0	0	1	1
	Green Mountain Energy	10	15	0	0	1	1	0	0	0	0	3	2	3	1	0
	Greenlight Energy Inc.	23	16	3	0	2	4	0	1	1	0	5	5	2	1	0
	High Rise Energy Group, LLC	2	1	0	0	0	0	0	0	0	0	0	1	1	0	0
	Hiko Energy, Llc	6	6	0	0	0	1	0	0	2	1	0	2	0	0	0
	Hudson Energy Services, Llc	8	4	0	1	0	1	0	0	0	4	2	0	0	1	0
	IDT Energy, Inc.	44	77	6	5	6	2	5	3	2	5	3	6	1	6	4
D188	IGS Energy	0	3	0	0	0	0	0	0	0	0	0	0	0	0	0
	Infinite Energy, Inc.	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0
	JOSCO Energy Corp	45	38	0	2	4	7	4	5	6	5	3	7	2	4	3
	Just Energy New York Corp	13	29	1	1	1	0	0	3	0	1	3	1	2	3	0
	Kiwi Energy Inc.	13	82	0	0	0	1	1	1	3	1	1	3	2	4	1
	Lexington Power & Light, LLC	1	0	0	0	0	0	1	0	0	0	0	0	0	0	0
	Liberty Power Corp.	15	22	5	0	2	0	0	0	0	3	3	1	1	2	2
	Major Energy Services LLC	27	33	1	1	4	2	3	4	4	4	2	1	1	1	0
	Marathon Energy Corporation	12	2	0	4	2	2	0	1	0	1	2	0	0	0	0
	Mpower Energy LLC	39	70	2	3	2	7	4	3	1	3	4	5	5	10	2
	New Wave Energy Corp.	2	0	0	0	1	0	0	0	0	0	1	0	0	0	0
4987NE	NextEra Energy Resources, LLC	2	3	0	0	0	0	0	0	0	0	0	1	1	0	0
5787NO	North American Power & Gas LLC	14	85	0	1	1	1	0	2	0	3	2	4	0	2	0
6976NO	North Eastern States, Inc.	0	8	0	0	0	0	0	0	0	0	0	0	0	0	0
5479NO	North Energy LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	1	0
D103	NYSEG Solutions, Inc.	0	66	0	0	0	0	0	0	0	0	0	0	0	0	0
4921OA	Oasis Power LLC, d/b/a Oasis Energy	2	3	0	0	0	0	0	0	0	0	1	1	0	0	0
6645PA	Pay Less Energy, LLC	2	1	0	0	0	0	1	0	1	0	0	0	0	1	0
6024PE	People's Power & Gas, Llc	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
6893PE	Perigee Energy, LLC	2	1	0	0	0	0	0	0	0	1	0	0	1	0	0
5637PL	Planet Energy (NY) Corp.	0	5	0	0	0	0	0	0	0	0	0	0	0	0	0
D171	Plymouth Rock Energy LLC	1	16	0	0	0	0	0	0	0	0	1	0	0	0	0
7871PR	Premier Empire Energy LLC	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0
D263	Public Power Llc	8	18	2	0	1	0	0	1	0	0	2	1	1	1	0
6233RE	Reliant Energy Northeast LLC	7	3	0	1	1	0	0	0	2	0	1	2	0	1	0
	Renaissance Power & Gas, Inc.	11	5	5	3	0	0	0	0	0	1	0	0	2	0	0
	Residents Energy, LLC	9	22	0	0	0	2	1	0	2	0	2	0	2	2	2
	SBR Energy, LLC	0	4	0	0	0	0	0	0	0	0	0	0	0	0	0
4976SM	Smart One Energy, LLC	9	18	1	0	0	0	1	0	0	0	2	3	2	3	1

Consumer Reports of Deceptive Marketing Practices by Energy Services Company

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Code	Company Name	2016	2015	Nov-16	Oct-16	Sep-16	Aug-16	Jul-16	Jun-16	May-16	Apr-16	Mar-16	Feb-16	Jan-16	Dec-15	Nov-15
6216SO	South Bay Energy Corp.	9	3	1	1	0	1	1	2	0	1	2	0	0	0	0
D186	Spark Energy, L.P.	27	53	3	4	2	2	2	1	0	1	4	3	5	4	2
7397SP	Sperian Energy Corp.	1	6	1	0	0	0	0	0	0	0	0	0	0	0	0
5463ST	Starion Energy NY, Inc.	8	11	1	1	0	1	0	0	0	2	1	1	1	0	0
6809ST	Stream Energy New York LLC.	0	5	0	0	0	0	0	0	0	0	0	0	0	0	0
D223	Titan Gas, LLC	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
9014TR	Trident Retail Energy, LLC DBA Trider	1	0	0	0	0	0	0	0	0	1	0	0	0	0	0
D119	U.S. Gas & Electric, Inc.	19	30	2	0	1	2	2	3	1	5	1	0	2	0	2
D500	Unidentified ESCO	86	130	9	7	2	8	4	9	6	7	6	14	14	11	3
6008UN	United Energy Supply Corporation	1	6	0	0	0	0	0	0	0	0	0	1	0	1	1
7346UN	United Metro Energy Services Corp.	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
5461UT	Utility Expense Reduction LLC	16	22	1	1	0	2	0	0	1	0	2	6	3	2	0
6894VE	Verde Energy USA New York, LLC	7	30	0	0	0	0	0	0	0	1	0	4	2	0	0
5391VI	Viridian Energy NY, LLC	24	30	0	5	0	1	0	4	2	3	2	2	5	1	0
6668XO	XOOM Energy New York, LLC	6	21	0	0	2	1	0	0	0	0	1	1	1	1	1
	Total	1318	2348	83	67	62	91	48	71	79	93	169	244	311	156	95

Deceptive marketing complaints are taken from customers who report situations where an energy service company or energy marketer solicits the customer's home or business in a manner which the customer believes is misleading or the customer was presented with information which the customer believes is untrue.