



March 14, 2011

**VIA EMAIL**

Honorable Jaclyn A. Brillong, Secretary  
New York State Public Service Commission  
Three Empire State Plaza  
Albany, New York 12223

Re: Case 07-M-0548 – Proceeding on Motion of the Commission Regarding an Energy Efficiency Portfolio Standard

Case 08-E-1129 – Petition of New York State Electric & Gas Corporation for Approval of an Energy Efficiency Portfolio Standard (EEPS) Utility-Administered Electric Energy Efficiency Program

Case 08-E-1130 – Petition of Rochester Gas and Electric Corporation for Approval of an Energy Efficiency Portfolio Standard (EEPS) Utility-Administered Electric Energy Efficiency Program

Dear Secretary Brillong:

Pursuant to the New York State Public Service Commission's January 4, 2010 Order Approving Certain Commercial and Industrial; Residential; and Low-Income Residential Customer Energy Efficiency Programs with Modifications in Cases 08-E-1129 and 08-E-1130<sup>1</sup>, New York State Electric & Gas Corporation and Rochester Gas and Electric Corporation hereby file the "Monthly Scorecard Report" of key Non-Residential Block Bidding Program achievements for the month ending February 28, 2011.

Please direct any questions to me at telephone number 607-725-3936 or via email at [jjfishgertz@nyseg.com](mailto:jjfishgertz@nyseg.com).

Respectfully Submitted,

Joni Fish-Gertz  
Manager, EEPS Programs

Enclosure

<sup>1</sup> Cases 08-E-1129 and 08-E-1130 - Petitions of New York State Electric & Gas Corporation and Rochester Gas and Electric Corporation for Approval of an Energy Efficiency Portfolio Standard Utility-Administered Electric Energy Efficiency Program, Order Approving Certain Commercial and Industrial; Residential; and Low-Income Residential Customer Energy Efficiency Programs with Modifications, at 86 (January 4, 2010).



**Combined Report for  
New York State Electric & Gas Corporation  
&  
Rochester Gas and Electric Corporation**

Non-Residential Block Bidding Program

**Savings from New York Standard Approach for Estimating  
Energy Savings from Energy Efficiency Programs**

Monthly Program Report

**March 14, 2011**

Prepared for

**New York State Department of Public Service**

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## **Introduction**

No changes since the January 2011 Scorecard.

## **Section I. Basic Program Information**

No changes since the January 2011 Scorecard.

## **Section II. Evaluation Support Information**

No changes since the January 2011 Scorecard.

## **Section III. Reporting Template for Monthly Non-Residential Block Bidding Program Report**

Table 2a below, represents NYSEG's Monthly Program Statistics for February 2011.

### **Table 2a. Variables Reported Monthly for February 2011**

Refer to Attachment 1.

Table 2b below, represents RG&E's Monthly Program Statistics for February 2011.

### **Table 2b. Variables Reported Monthly for February 2011**

Refer to Attachment 1.

## **Section IV. Narrative Report to be Included with Spreadsheet**

Program Administrator: NYSEG/RG&E  
Program/Project: Non-Residential Block Bidding Program  
Reporting Period: February 2011  
Report Contact Person: Tom O'Connor

### **1. Program Status**

#### **Program Performance Goals**

**(a) Describe and discuss circumstances that may have an impact on the achievement of project performance goals (positive or negative).**

The Companies have negotiated service agreements for 2 of the 3 selected bidders in the first Request for Proposal (RFP) process. Negotiations for a service agreement with the third bidder are underway. The acquisition of savings and the achievement of project performance goals will occur in 2011 in accordance with the project schedules defined in the service agreements. Three (3) projects have been completed; two (2) of these projects have been approved and approved for payment, resulting in "Acquired" savings being achieved for RG&E. Four (4) other projects have been completed and are in the approval process.

**(b) Describe and discuss other key aspects of program performance goals that were not discussed in (a).**

The Companies released a second competitive RFP process on December 9, 2010, and bid selections are expected to be made in May, 2011. Depending upon the results of the second RFP, the Companies will consider whether a third and fourth RFP are warranted and whether to request transfer of funds from other programs which have been slower to gain participation.

**(c) Forecast of net energy impacts.**

**NYSEG and RG&E  
Annual Energy Savings Forecast (MWhs)**

Year	NYSEG	RG&E
2010	0	0
2011	5,676	5,676
2012	2,594	2,594

Because of the non-uniform timing of commitment and acquisition of block bidding savings, 2012 funds may be committed in 2011. The timing of acquisition will depend upon the specific projects selected under the RFP, and the bidder's schedules.

**2) Program Implementation Activities**

**(a) Marketing Activities**

No changes since the January 2011 Scorecard.

**(b) Evaluation Activities**

There are none at this time.

**(c) Other Activities**

There are none at this time.

**3. Customer Complaints and/or Disputes**

No NYPSC complaints have been reported. No Bidder/Customer disputes have occurred.

**4. Changes to Subcontractors or Staffing**

There are none at this time.

**5. Additional Issues**

There are none at this time.

<b>Program Administrator(PA) and Program ID<sup>1</sup></b>	<b>NYSEG Non-Residential Block Bidding Program</b>
<b>Program Name</b>	<b>NYSEG Non-Residential Block Bidding Program Electric</b>
<b>Program Type<sup>2</sup></b>	Block Bidding
<b>Total Acquired First-Year Impacts This Month<sup>3, 13</sup></b>	
Net first-year annual kWh acquired this Month <sup>4</sup>	0
Monthly Net kWh Goal <sup>17</sup> (based on net first-year annual <sup>5</sup> kWh Goal)	N/A
Percent of Monthly Net kWh Goal Acquired	N/A
<b>Net Peak<sup>6</sup> kW acquired this Month</b>	
Monthly Net Peak kW Goal	N/A
Percent of Monthly Peak kW Goal Acquired	N/A
<b>Net First-year annual therms acquired this month</b>	
Monthly Net Therm Goal	N/A
Percent of Monthly Therm Goal Acquired	N/A
<b>Net Lifecycle kWh acquired this month</b>	
Net Lifecycle therms acquired this month	N/A
<b>Total Acquired Net First-Year Impacts To Date<sup>13</sup></b>	
Net first-year annual kWh acquired to date	0
Net first-year annual kWh acquired to date as a percent of annual goal <sup>18</sup>	0
Net first-year annual kWh acquired to date as a percent of 8-year goal	N/A
Net cumulative kWh acquired to date	0
<b>Net utility peak kW reductions acquired to date</b>	
Net utility peak kW reductions acquired to date as a percent of utility annual goal	N/A
Net utility peak kW reductions acquired to date as a percent of 8-year goal	N/A
Net NYISO peak kW reductions acquired to date	N/A
<b>Net first-year annual therms acquired to date</b>	
Net first-year annual therms acquired to date as a percent of annual goal	N/A
Net first-year annual therms acquired to date as a percent of 8-year goal	N/A
Net cumulative therms acquired to date	N/A
<b>Total Acquired Lifecycle Impacts To Date<sup>7, 13</sup></b>	
Net Lifecycle kWh acquired to date	0
Net Lifecycle therms acquired to date	N/A
<b>Committed<sup>8, 13</sup> Impacts (not yet acquired) This Month</b>	
Net First-year annual kWh committed this month	0
Net Lifecycle kWh committed this month	0
Net Utility Peak kW committed this month	0
Net first-year annual therms committed this month	N/A
Net Lifecycle therms committed this month	N/A
Funds committed at this point in time	\$195,699
<b>Overall Impacts (Acquired &amp; Committed)</b>	
Net first-year annual kWh acquired & committed this month	-
Net utility peak kW acquired & committed this month	-
Net First-year annual therms acquired & committed this month	N/A
<b>Costs<sup>9</sup></b>	
Total program budget	\$3,181,000
General Administration <sup>14</sup>	0
Program Planning	0
Program Marketing	0
Trade Ally Training	0
Incentives and Services <sup>15</sup>	0
Direct Program Implementation	\$7,092
Program Evaluation	0
Total expenditures to date	\$114,353
Percent of total budget spent to date	3.59%

<b>Participation</b>	
Number of measures <sup>16</sup> offered on customer proposals to date	16
Number of measures on signed customer contracts to date	16
Number of measures installed to date	0
Percent of proposed measures in signed customer contracts	100.00%
<b>Quarterly Carbon Emission Reductions (in tons)</b>	
Total Acquired Net First-year Carbon Emission Reductions To Date <sup>12</sup>	N/A
Total Acquired Cumulative Net Carbon Emission Reductions To Date <sup>12</sup>	N/A
<b>DPS Staff Notes:</b>	
<p><sup>1</sup>DPS Staff needs to work with utilities to develop a Program ID naming convention. However, a Program ID number is not required for the first report. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.</p> <p><sup>2</sup>There is not currently a consistent list of program types but individual categories for common use by administrators could be developed.</p> <p><sup>3</sup>First-year savings are defined as the annual savings expected from a given measure in the first year after installation. The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. <i>Acquired</i> kWh savings are defined as those savings that reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.</p> <p><sup>4</sup>Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.</p> <p><sup>5</sup>Program Administrators should make best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the <i>monthly</i> goals but does not want to mandate monthly goals, at least initially.</p> <p><sup>6</sup>Peak is defined uniquely for each utility.</p> <p><sup>7</sup>The lifecycle savings are tracked beginning in the <i>year</i> in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will be different for each month as a function of adding savings from measures installed in a given month and subtracting savings from measures installed earlier in the funding cycle that have reached the end of their useful life.</p> <p><sup>8</sup>Committed savings are defined as those for which funds have been encumbered but not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's best <i>estimate</i> of what they have committed. There should be some assumptions on how the administrator does that. Program administrators should forecast as accurately as possible and it should get more precise with program experience, e.g., the difference between achieved and committed should get closer over time.</p> <p><sup>9</sup>These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans.</p> <p><sup>10</sup>An application is processed once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."</p> <p><sup>11</sup>The application is approved once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which there are cases in which an application could be received, processed, and approved all in one day, then a "1" would be counted for each step in the tracking lifecycle.</p> <p><sup>12</sup>See CO<sub>2</sub> Reduction Values tab in Data Reporting Template 6-26-09.Xls (quarterly and annual reports only).</p>	
<b>Utility Notes:</b>	
<p><sup>13</sup>There are no Acquired savings for the program for this month. Funds committed represent energy savings that have been committed in the Service Agreements that have been signed for selected bids. Energy savings are expected to be Acquired through 2011 based on project schedules agreed to in the Service Agreements.</p> <p><sup>14</sup>Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.</p> <p><sup>15</sup>Incentives and services costs are reported based on Company payment of invoices received from program contractors and/or Bidders/Suppliers.</p> <p><sup>16</sup>The number of measures represents the number of customer host locations proposed by the accepted bidders.</p> <p><sup>17</sup>Due to the non-uniform acquisition of energy savings under the Block Bidding Program, the Program does not have defined Monthly goals.</p> <p><sup>18</sup>The Block Bidding Program did not acquire energy savings in 2010. Therefore, the 2010 program goal has been added to the 2011 program goal for a combined 2011 program goal of 5676 mWhs.</p>	

<b>Program Administrator(PA) and Program ID<sup>1</sup></b>	<b>RG&amp;E Non-Residential Block Bidding Program</b>
<b>Program Name</b>	<b>RG&amp;E Non-Residential Block Bidding Program Electric</b>
<b>Program Type<sup>2</sup></b>	Block Bidding
<b>Total Acquired First-Year Impacts This Month<sup>3, 13</sup></b>	
Net first-year annual kWh acquired this Month <sup>4</sup>	1,645,378
Monthly Net kWh Goal <sup>17</sup> (based on net first-year annual <sup>5</sup> kWh Goal)	N/A
Percent of Monthly Net kWh Goal Acquired	N/A
<b>Net Peak<sup>6</sup> kW acquired this Month</b>	
Monthly Net Peak kW Goal	N/A
Percent of Monthly Peak kW Goal Acquired	N/A
<b>Net First-year annual therms acquired this month</b>	
Monthly Net Therm Goal	N/A
Percent of Monthly Therm Goal Acquired	N/A
<b>Net Lifecycle kWh acquired this month</b>	
Net Lifecycle therms acquired this month	N/A
<b>Total Acquired Net First-Year Impacts To Date<sup>13</sup></b>	
Net first-year annual kWh acquired to date	1,645,378
Net first-year annual kWh acquired to date as a percent of annual goal <sup>18</sup>	28.99%
Net first-year annual kWh acquired to date as a percent of 8-year goal	N/A
Net cumulative kWh acquired to date	1,645,378
<b>Net utility peak kW reductions acquired to date</b>	
Net utility peak kW reductions acquired to date as a percent of utility annual goal	N/A
Net utility peak kW reductions acquired to date as a percent of 8-year goal	N/A
Net NYISO peak kW reductions acquired to date	N/A
<b>Net first-year annual therms acquired to date</b>	
Net first-year annual therms acquired to date as a percent of annual goal	N/A
Net first-year annual therms acquired to date as a percent of 8-year goal	N/A
Net cumulative therms acquired to date	N/A
<b>Total Acquired Lifecycle Impacts To Date<sup>7, 13</sup></b>	
Net Lifecycle kWh acquired to date	16,453,780
Net Lifecycle therms acquired to date	N/A
<b>Committed<sup>8, 13</sup> Impacts (not yet acquired) This Month</b>	
Net First-year annual kWh committed this month	0
Net Lifecycle kWh committed this month	0
Net Utility Peak kW committed this month	0
Net first-year annual therms committed this month	N/A
Net Lifecycle therms committed this month	N/A
Funds committed at this point in time	\$1,157,468
<b>Overall Impacts (Acquired &amp; Committed)</b>	
Net first-year annual kWh acquired & committed this month	1,645,378
Net utility peak kW acquired & committed this month	199
Net First-year annual therms acquired & committed this month	N/A
<b>Costs<sup>9</sup></b>	
Total program budget	\$3,280,000
General Administration <sup>14</sup>	0
Program Planning	0
Program Marketing	0
Trade Ally Training	0
Incentives and Services <sup>15</sup>	\$301,486
Direct Program Implementation	\$8,810
Program Evaluation	0
Total expenditures to date	\$475,439
Percent of total budget spent to date	14.50%

<b>Participation</b>	
Number of measures <sup>16</sup> offered on customer proposals to date	24
Number of measures on signed customer contracts to date	23
Number of measures installed to date	0
Percent of proposed measures in signed customer contracts	95.83%
<b>Quarterly Carbon Emission Reductions (in tons)</b>	
Total Acquired Net First-year Carbon Emission Reductions To Date <sup>12</sup>	N/A
Total Acquired Cumulative Net Carbon Emission Reductions To Date <sup>12</sup>	N/A
<b>DPS Staff Notes:</b>	
<p><sup>1</sup>DPS Staff needs to work with utilities to develop a Program ID naming convention. However, a Program ID number is not required for the first report. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.</p> <p><sup>2</sup>There is not currently a consistent list of program types but individual categories for common use by administrators could be developed.</p> <p><sup>3</sup>First-year savings are defined as the annual savings expected from a given measure in the first year after installation. The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. <i>Acquired</i> kWh savings are defined as those savings that reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.</p> <p><sup>4</sup>Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.</p> <p><sup>5</sup>Program Administrators should make best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the <i>monthly</i> goals but does not want to mandate monthly goals, at least initially.</p> <p><sup>6</sup>Peak is defined uniquely for each utility.</p> <p><sup>7</sup>The lifecycle savings are tracked beginning in the <i>year</i> in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will be different for each month as a function of adding savings from measures installed in a given month and subtracting savings from measures installed earlier in the funding cycle that have reached the end of their useful life.</p> <p><sup>8</sup>Committed savings are defined as those for which funds have been encumbered but not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's best <i>estimate</i> of what they have committed. There should be some assumptions on how the administrator does that. Program administrators should forecast as accurately as possible and it should get more precise with program experience, e.g., the difference between achieved and committed should get closer over time.</p> <p><sup>9</sup>These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans.</p> <p><sup>10</sup>An application is processed once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."</p> <p><sup>11</sup>The application is approved once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which there are cases in which an application could be received, processed, and approved all in one day, then a "1" would be counted for each step in the tracking lifecycle.</p> <p><sup>12</sup>See CO<sub>2</sub> Reduction Values tab in Data Reporting Template 6-26-09.Xls (quarterly and annual reports only).</p>	
<b>Utility Notes:</b>	
<p><sup>13</sup>There are no Acquired savings for the program for this month. Funds committed represent energy savings that have been committed in the Service Agreements that have been signed for selected bids. Energy savings are expected to be Acquired through 2011 based on project schedules agreed to in the Service Agreements.</p> <p><sup>14</sup>Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.</p> <p><sup>15</sup>Incentives and services costs are reported based on Company payment of invoices received from program contractors and/or Bidders/Suppliers.</p> <p><sup>16</sup>The number of measures represents the number of customer host locations proposed by the accepted bidders.</p> <p><sup>17</sup>Due to the non-uniform acquisition of energy savings under the Block Bidding Program, the Program does not have defined Monthly goals.</p> <p><sup>18</sup>The Block Bidding Program did not acquire energy savings in 2010. Therefore, the 2010 program goal has been added to the 2011 program goal for a combined 2011 program goal of 5676 mWhs.</p>	