

Instructions

Do not include this sheet in the Annual Report you send to the Commission

General Information

This is the excel file which makes up the annual report. The file is called WATERAR.XLS and contains general corporate information, financial statements, and various financial and operating data.

The pages in WATERAR.XLS are separated by Tabs. The names of the Tabs are arranged by page number. The Table of Contents in the file (Tab called Schedules) provides the Description of each Schedule and Page Number of the Schedule.

The file includes a tab called a Data Sheet. The completion of the Data Sheet will automatically transfer your company's name and year of the report to each page of the annual report. The file has not been protected. Therefore, please refrain from inserting or deleting rows or columns.

We have checked the accuracy of the formulas and cell references in the file. However, all corrections may not have been made. If you feel that certain formulas or cell references in the file are incorrect, make the correction and describe the change on the Table of Contents under the column called "Remarks".

It is not required for you to input the data in this excel file for the following schedules: Important Changes During the Year, Notes to the Financial Statements, General Description of Construction Overhead Procedures and other general notes. However, include these completed schedules in the paper copy of the annual report.

Insert Pages

Due to a large amount of data, some companies will be required to file additional pages to complete certain schedules. If you are required to prepare insert pages, insert pages have been provided in the workspace below the applicable schedule. The totals of the insert pages should be input on the relates schedule. The print function will not print the insert pages. As a result, you will have to print these manually.

Saving the File

As stated above, the name of the file is WATERAR.XLS. It is advised that you call up the file and then immediately save it using the assigned file names as shown below.

Print the Entire Report

When you have completed the report, you may want to print out the entire report. To do this, select Print under the File menu. In the Print Dialogue box that appears chose the Entire Workbook option in the Print What Section.

Organizing the Paper Copy of the Annual Report

The paper copy of the annual report contains several blank pages throughout the report so that schedules on two pages can be viewed at one time. This excel file does not contain those blank pages. As a result, please use the paper copy of the annual report as a guide for placing blank pages into the paper copy submitted.

<u>Company Name</u>	<u>WATERFORM.XLS File NAME TO SAVE</u>
Hertitage Hills Waterworks	HHWATER.XLS
Long Island Water	LIWATER.XLS
New York American-Water	NYAWATER.XLS
New York Water Service	NYWWATER.XLS
Sea Cliff Water	SCWATER.XLS
United Water - New Rochelle	UWATERNR.XLS
United Water - New York	UWATERNY.XLS
United Water - Oswego	UWATEROS.XLS

STATE OF NEW YORK PUBLIC SERVICE COMMISSION

ANNUAL REPORT OF WATERWORKS CORPORATIONS Year Ended December 31, 2012

Instructions for this sheet:

- 1 Fill in your name, address and appropriate dates in the designated area below so that this information will carry to other sheets in the file.
- 2 If the respondent's name is long, the "Year ended December 31, 19__" may over pass the print range. This can be corrected by one of two methods: selecting a smaller font size on the specific sheet, or to delete some spaces on the combined string below.

Please fill in the following:

Respondent's exact legal name :	United Water New York, Inc.
Address line 1:	360 West Nyack Road
Address line 2:	West Nyack, NY 10994
Date of Report (Mo,Da,Yr)	March 31, 2013
Year of Report	December 31, 2012
For the period starting:	January 1, 2012
For the period ending:	December 31, 2012
Date due:	March 31, 2013

For the period starting January 1, 2012
For the period ending December 31, 2012
Year Ended December 31, 2012

Example
3/31/97

December 31,1996

January 1, 1996

December 31,1996

March 31, 1997

Annual Report of United Water New York, Inc.

Year Ended December 31, 2012

Annual Report of United Water New York, Inc.

Year Ended December 31, 2012

Annual Report of United Water New York, Inc.

Year Ended December 31, 2012

Annual Report of United Water New York, Inc.

Year Ended December 31, 2012

Annual Report of United Water New York, Inc.

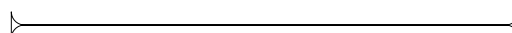
Year Ended December 31, 2012

Annual Report of United Water New York, Inc.

Year Ended December 31, 2012

Annual Report of United Water New York, Inc.

Year Ended December 31, 2012



WATER WORKS CORPORATIONS CLASSES A AND B ANNUAL REPORT

OF

United Water New York, Inc.

Exact legal name of reporting water utility

(If name was changed during year, show also the previous name and date of change)

360 West Nyack Road

West Nyack, NY 10994

(Address of principal business office at end of year)

FOR THE

Year Ended December 31, 2012

TO THE

STATE OF NEW YORK

PUBLIC SERVICE COMMISSION

Name, title, address and telephone number (including area code), of
the person to contact concerning this report:

Elena Goldfarb - Manager Financial Planning Reporting & Analysis

200 Old Hook Road, Harrington Park, New Jersey (201) 767 - 9300

GENERAL INSTRUCTIONS

1. The completed original of this report form, properly filled out, shall be filed with the Public Service Commission, Albany, N.Y., on or before the 31st of March next following the end of the year to which the report applies. At least one additional copy shall be retained in the files of the reporting utility.
2. All utility companies upon which this report form is served are required by statute to complete and to file the report. The statute further provides that when any such report is defective or believed to be erroneous, the reporting utility shall be duly notified and given a reasonable time within which to make the necessary amendments or corrections.
3. All accounting terms and phrases used in this form are to be interpreted in accordance with the Uniform Systems of Accounts prescribed by this Commission. Whenever the term respondent is used, it shall be understood to mean the reporting utility.
4. If the report is made for a period other than the calendar year, the period covered must be clearly stated on the front cover and elsewhere throughout the report where the period covered is shown. When operations cease during the year because of the disposition of property the balance sheet and supporting schedules should consist of balances and items immediately prior to transfer (for accounting purposes). If the books are not closed as of that date, the data in the report should nevertheless be complete and the amounts reported should be supported by information set forth in, or as part of the books of account.
5. Every inquiry must be definitely answered. If "none" or "not applicable" states the fact, such an answer may be used. The annual report should be complete in itself. Reference to reports of previous years or to any paper or document should not be made in lieu of required entries except as specifically outlined.
6. Upon filing, the report may, if desired, be permanently bound. If it is so bound, the requirement for page by page identification of the reporting company set forth in paragraph 9 below, may be disregarded. Extra copies of any page will be furnished upon request.
7. If the utility conducts operations both within and without the State of New York, data should be reported so that there will be shown the quantities of commodities sold within this State, and (separately by accounts) the operating revenues from sources within this State, the operating revenue deductions applicable thereto and the plant investment as of the end of the year within this State.
8. All entries shall be made in black or dark blue except those of a contrary or opposite nature, which should be made in red or enclosed in parentheses. Inserts, if any, should be appropriately identified with the schedules to which they relate.
9. Insert the initials of the reporting utility and the year which the report covers in the space provided on each page.
10. Cents are to be omitted on all schedules except where they apply to averages and figures per unit where cents are important. The amounts shown on all supporting schedules shall agree with the item in the statement they support.

LIST OF SCHEDULES			
Title of Schedule (a)	Reference Page No. (b)	Date Revised (c)	Remarks (d)
General Corporate Information and Financial Statements			
General Information		12-78	
Company Profile	102-103	12-97	
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Security Holders and Voting Powers	106-107	12-97	
Important Changes During the Year	108-109	12-97	
Reconciliation between PSC and Stockholders Report	110-113	12-97	
Comparative Balance Sheet	114-115	12-97	
Comparative Statement of Income for the Year	116-119	12-97	
Comparative Statement of Retained Earnings for the Year	120-121	12-97	
New York State Intrastate Revenue	121	12-97	
Statement of Cash Flows	122-123	12-97	
Notes to the Financial Statements	124-125	12-97	
Balance Sheet Supporting Schedules (Assets and Other Debits)			
Summary of Utility Plant and Accumulated Provision for Depreciation, Amortization, and Depletion	200-201	12-97	
Water Plant in Service	202-205	12-97	
Miscellaneous Plant Data	204-205	12-97	
Construction Work in Progress	206	12-97	
Construction Overheads	207	12-97	
General Description of Construction Overheads Procedures	208	12-97	
Accumulated Provision for Depreciation and Amortization of Water Plant	209	12-97	
Investments	210	12-97	
Non-Utility Property	211	12-97	
Special Funds and Deposits	212	12-97	
Notes and Accounts Receivable	213	12-97	
Receivables from Associated Companies	214	12-97	
Material & Supplies	215	12-97	
Prepayments	215	12-97	
Extraordinary Property Losses	216	12-97	
Miscellaneous Deferred Debits	216	12-97	
Accumulated Deferred Income Taxes	217	12-97	
Balance Sheet Supporting Schedules (Liabilities Other Credits)			
Capital Stock	250-251	12-97	
Capital Stock Subscribed, Capital Stock Liability for Conversion, Premium on Capital Stock, and Installments Received on Capital Stock	252	12-97	
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Notes Payable	255	12-97	
Long-Term Debt	256-257	12-97	
Taxes Accrued, Prepaid and Charged During the Year	258-260	12-97	
Other Deferred Credits	261	12-97	
Accumulated Deferred Investment Tax Credits	262-263	12-97	
Accumulated Deferred Income Taxes	264-265	12-97	

LIST OF SCHEDULES			
Title of Schedule (a)	Reference Page No. (b)	Date Revised (c)	Remarks (d)
Balance Sheet Supporting Schedules (Liabilities Other Credits) (Continued)			
Excess/Deficient Deferred Federal Income Taxes	266	12-97	
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Income Account Supporting Schedules			
Water Operating Revenues	300	12-97	
Sales of Water by Rate Schedule	301	12-97	
Sales of Water by Municipality	302-304	12-97	
Sales for Resale and Purchased Water	305	12-97	
Miscellaneous Service Revenues and Other Water Revenues	306	12-97	
Water Operation and Maintenance Expenses	307-309	12-97	
Number of Employees	309	12-97	
Fuel or Purchased Power for Pumping	310	12-97	
Depreciation and Amortization of Water Plant	311-312	12-97	
Miscellaneous General Expenses	313	12-97	
Reconciliation of Reported Net Income with Taxable Income for Federal Income Taxes	314	12-97	
Income from Merchandising, Jobbing and Contract Work	315	12-97	
Particulars Concerning Certain Other Income Accounts	316	12-97	
Gain and Loss on Disposition of Property	317	12-97	
Particulars Concerning Certain Income Deduction and Interest Charges Accounts	318-320	12-97	
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Research, Development and Demonstration Activities	352-353	12-97	
Distribution of Salaries and Wages	354	12-97	
Charges of Outside Professional Services and Other Consultative Services	355-356	12-97	
Employee Protective Plans	357-358	12-97	
Analysis of Pension Costs	359-360	12-97	
Analysis of Pension Settlements, Curtailments and Terminations	361-362	12-97	
Analysis of OPEB Costs, Funding and Deferrals	363-366	12-97	
Miscellaneous Tax Refunds	367	12-97	
Statistical Data			
Water Production and Consumption	400	12-97	
Sources of Supply	401-402	12-97	
Pumping Station and Fire Service Statistics	403-404	12-97	
Water Treatment System/Treatment Process	405	12-97	
Distribution Reservoirs and Stand Pipes	406	12-97	
Mains	407-408	12-97	
Service Pipes	409	12-97	
Customer Meters	410	12-97	
Fire Hydrants	411	12-97	
Verification			
Index			

GENERAL INFORMATION

1. Provide name and title of officer having custody of the general corporate books of account and address of office where the general corporate books are kept, and address of office where any other corporate books of account are kept, if different from that where the general corporate books are kept.

Alina Rocha, Controller
200 Old Hook Road
Harrington Park, New Jersey 07640

2. Provide name of the State under the laws of which respondent is incorporated, and date of incorporation. If incorporated under a special law, give reference to such law. If not incorporated, state that fact and give the type of organization and the date organized.

New York, January 28, 1893
Organized under Chapter 566, Laws of 1890
as amended by Chapter 617, Laws of 1892
(Now part of the Transportation Corporation Law.)

3. If at any time during the year the property of respondent was held by a receiver or trustee, give (a) name of receiver or trustee, (b) date such receiver or trustee took possession, (c) the authority by which the receivership or trusteeship was created, and (d) date when possession by receiver or trustee ceased.

Not Applicable

4. State the classes of utility and other services furnished by respondent during the year in each State in which the respondent operated.

Water Service for Domestic, Industrial and Municipal use.

5. Have you engaged as the principal accountant to audit your financial statements an accountant who is not the principal accountant for your previous year's certified financial statements?

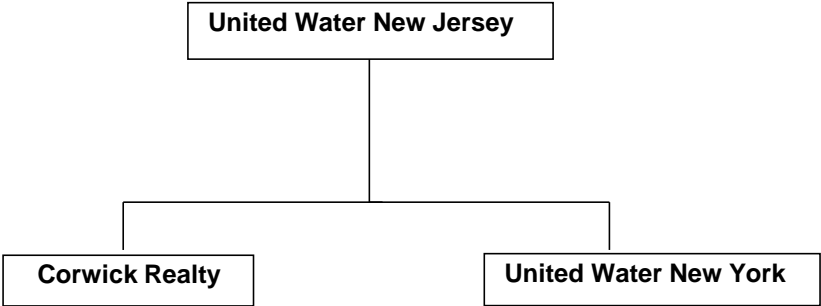
(1) ☐ Yes WeiserMazars LLP
(2) ☒ No.

COMPANY PROFILE

1. Brief company history and description of service territory.
2. Major short-term goals and objectives.
3. Major operating divisions and functions.
4. Current and projected customer growth patterns.
5. Provide an organizational chart that shows all parents and subsidiaries of the utility.
 - a. The chart must show the relationship between the utility and the affiliates.
 - b. For parents of the utility, provide the extent of control that the parent has over the utility.
 - c. For subsidiaries of the utility, provide the extent of control that the utility has over the subsidiary.

1. United Water New York was founded as Spring Valley Water Works and Supply Company in 1893. The Company was formed to supply water to the Spring Valley section of the town of Ramapo. By 1903, Spring Valley Water was serving 91 customers. Today, the Company provides water to 291,379 people throughout Rockland County. In 1995, Spring Valley Water Company changed its name to reflect the relationship with its parent company, United Water New Jersey.
2. United Water New York - Company Goals are:
 - a. Continue with the infrastructure replacement program.
 - b. Compliance with the Ground Water Rule and continue the study for Ground water under the direct influence of surface water.
 - c. Meet hydrant strategy objectives.
 - d. Complete well redevelopment projects and well upgrades for additional peaking and average day capacity.
 - e. Progress Haverstraw Water Supply Project, finalize the FEIS and obtain water supply permits from NYS DEC. In parallel seek all local land use approvals to start significant construction in line with May 2013 milestone. for surcharge in line with significant construction starting. Surcharge will limit AFUDC by closing out CWIP balances and phase in cost to our customer rather than provide rate shock.
 - f. Implement a new integrated business systems including the implementation of a new asset management system. Continue to improve knowledge and customer service performance as a result of the implementation of the new Customer Contact and Billing system.
 - g. Further Implement e-billing
 - h. Meter and R/F change out program
 - i. Maintain ISO 14001 Environmental standard and ISO 9001 Quality standard for Lake Deforest Treatment plant.
 - j. Reduce Non Revenue Water
 - k. Improve our overall safety record
 - l. Improve our overall compliance record
 - m. Increase our presence in the community through volunteering and fund raising.
 - n. Increase outreach and education efforts for conservation and the HWSP. Increase school program outreach.
 - o. Prepare plans for the roll out of monthly billing.
 - p. Reduce accounts receivables, targets those over 60 days and significantly reduce A/R over 120 days.
3. Major operating divisions and functions are as follows:
 - a. Customer Service
 - b. Operations, including Water Quality, Production, Construction and Distribution
 - c. Administration and Reporting
 - d. Finance
 - e. Legal
 - f. Engineering
4. Current projected growth rate is 0.5%.
5.
 - a. See page 103.
 - b. United Water New Jersey has 100% ownership of Company.
 - c. None

COMPANY PROFILE (Continued)



OFFICERS AND DIRECTORS (Including Compensation)

1. Furnish the indicated data with respect to each executive officer and director, whether or not they received any compensation from the respondent.
2. Executive officers include a company's president, secretary, treasurer and vice president in charge of a principal business unit, division or function (such as sales, administration or finance), and any other person who performs similar policy making functions.
3. Indicate with an asterisk (*) in column (a) those directors who were members of the executive committee, if any, and by a double asterisk (**) the chairman, if any, of that committee, at the end of the year.

Line No.	Name of Person (a)	Title and Department Over Which Jurisdiction Is Exercised (b)	Term Expired or Current Term Will Expire (c)	Salary	
				Rate at Year End (d)	Paid During Year (e)
1	Robert J. Iacullo	Director			None
2	Dennis L. Cierniecki	President & Director	8/6/2012		None
3	David Stanton	Director & President (eff 8/31/2012)			None
4	Edward Imparato	Senior Vice President, Finance & Director	6/1/2012		None
5	Philippe Dartienne	Director & Senior Vice President, Finance (eff 6/1/12)			None
6	Michael Pointing	Vice President and General Manager			
7	Michael Algranati	Treasurer			None
8	John T. Dillon	Secretary			None
9	Mary T. Campbell	Assistant Secretary			None
10					
11					
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27					
28					
29					

NOTES:

Please complete the information on this schedule for all copies (paper and electronic version) of the report.

OFFICERS AND DIRECTORS (Including Compensation - Continued)

4. If any person reported in this schedule received remuneration directly or indirectly other than salary shown in column (e) list the amounts in columns (f) through (k) with the footnotes necessary to explain the essentials of the plan, the basis of determining the ultimate benefits receivable and the payments or provisions made during the year to each person reported herein. If the word "none" correctly states the facts in regard to entries for columns (f) through (k), so state.

5. If any person reported hereunder received compensation from more than one affiliated company or was carried on the payroll of an affiliated company, details shall be given in a note.

Deferred Compensation (f)	Incentive Pay (Bonuses, etc.) (g)	Savings Plans (h)	Stock Options (i)	Life Insurance Premiums (j)	Other (Explain Below) (k)	Total (e thru k) (l)	Line No.
						\$0	1
						0	2
						0	3
						0	4
						0	5
						0	6
						0	7
						0	8
						0	9
						0	10
						0	11
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						0	23
						0	24
						0	25
						0	26
						0	27
						0	28
						0	29

NOTES:**Note to 5**

All officers for which column (E) states "None" are on the payroll of an affiliated company, United Water Management & Services.

(K) Mileage

SECURITY HOLDERS AND VOTING POWERS

1. Give the names and addresses of the 10 security holders of the respondent who, at the date of the latest closing of the stock book or compilation of list of stockholders of the respondent, prior to the end of the year, had the highest voting powers in the respondent, and state the number of votes which each would have had the right to cast on that date if a meeting were then in order. If any such holder held in trust, give in a footnote the known particulars of the trust (whether voting trust, etc.), duration of trust, and principal holders of beneficiary interests in the trust. If the stock book was not closed or a list of stockholders was not compiled within one year prior to the end of the year, or if since the previous compilation of a list of stockholders, some other class of security has become vested with voting rights, then show such 10 security holders as of the close of the year. Arrange the names of the security holders in the order of voting power, commencing with the highest. Show in column (a) the titles of officers and directors included in such list of 10 security holders.

2. If any security other than stock carries voting rights,

explain in a footnote the circumstances

whereby such security became vested with voting rights and give other important particulars (details) concerning the voting rights of such security. State whether voting rights are actual or contingent; if contingent, describe the contingency.

3. If any class or issue of security has any special privileges in the election of directors, trustees or managers, or in determination of corporate action by any method, explain briefly in a footnote.

4. Furnish particulars (details) concerning any options, warrants, or rights outstanding at the end of the year for others to purchase securities of the respondent or any securities or other assets owned by the respondent, including prices, expiration dates, and other material information relating to exercise of the options, warrants, or rights. Specify the amount of such securities or assets so entitled to be purchased by any officer, director, associated company, or any of the ten largest security holders. This instruction is inapplicable to convertible securities or to any securities substantially all of which are outstanding in the hands of the general public where the options, warrants, or rights were issued on a prorata basis.

1. Give date of the latest closing of the stock book prior to end of year, and state the purpose of such closing:

2. State the total number of votes cast at the latest general meeting prior to end of year for election of directors of the respondent and number of such votes cast by proxy.

Total: 47,781

By proxy: 47,781

3. Give the date and place of such meeting:

August 31, 2012

Line No.	Name (Title) and Address of Security Holder (a)	VOTING SECURITIES			
		Number of votes as of (date):			
		Total Votes (b)	Common Stock (c)	Preferred Stock (d)	Other (e)
4	TOTAL votes of all voting securities				
5	TOTAL number of security holders				
6	TOTAL votes of security holders listed below				
7					
8					
9					
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18					

SECURITY HOLDERS AND VOTING POWERS (Continued)

Line No.	Name (Title) and Address of Security Holder (a)	Total Votes (b)	Common Stock (c)	Preferred Stock (d)	Other (e)
19					
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IMPORTANT CHANGES DURING THE YEAR

Give particulars (details) concerning the matters indicated below. Make the statements explicit and precise, and number them in accordance with the inquiries. Each inquiry should be answered. Enter "none", "not applicable," or "NA" where applicable. If information which answers an inquiry is given elsewhere in the report, make a reference to the schedule in which it appears.

1. Changes in and important additions to franchise rights: Describe the actual consideration given therefor and state from whom the franchise rights were acquired. If acquired without the payment of consideration, state that fact.

2. Acquisition of ownership in other companies by reorganization, merger, or consolidation with other companies: Give names of companies involved, particulars concerning the transactions, name of the Commission authorizing the transaction, and reference to Commission authorization.

3. Purchase or sale of an operating unit or system: Give a brief description of the property, and of the transactions relating thereto, and reference to Commission authorization, if any was required. Give date journal entries called for by the Uniform System of Accounts were submitted to the Commission.

4. (Reserved)

5. Important extension or reduction of transmission or distribution system: State territory added or relinquished and date operations began or ceased and give reference to Commission authorization, if any was required. State also the approximate number of customers added or lost and approximate annual revenues of each class of service.

6. Obligations incurred as a result of issuance of securities or assumption of liabilities or guarantees including issuance of short-term debt and commercial paper having a maturity of one year or less. Give reference to State Commission authorization, as appropriate, and the amount of obligation or guarantee.

7. Changes in articles of incorporation or amendments to charter: Explain the nature and purpose of such changes or amendments.

8. State the estimated annual effect and nature of any important wage scale changes during the year.

9. State briefly the status of any materially important legal proceedings pending at the end of the year, and the results of any such proceedings culminated during the year.

10. Describe briefly any materially important transactions of the respondent not disclosed elsewhere in this report in which an officer, director, security holder reported on page 106, voting trustee, associated company or known associate of any of these persons was a party or in which any such person had a material interest.

11. (Reserved)

12. If the important changes during the year relating to the respondent company appearing in the annual report to stockholders are applicable in every respect and furnish the data required by instructions 1 to 11 above, such notes may be included on this page (Paper Copy Only).

1. None

2. None

3. None

4. Reserved

5. None

6. As authorized by Case 09-4W-0229, issued \$30 million 4.74% Senior Notes due February 26, 2020. Short-term debt decreased from \$40 million to \$34.5 million.

7. None

8. A wage increase of 2%, of base pay, was given to all Bargaining Unit employees, effective September 1, 2011.

IMPORTANT CHANGES DURING THE YEAR (Continued)

9. On October 12, 2012, following a trial which began on September 28, 2012, the jury rendered a verdict in the Company's favor finding that the Company's operation of the Lake DeForest and Lake Tappan Dams during the April, 2007 storm event did not worsen the flooding that would have occurred if the Hackensack River flowed naturally and the Dams did not exist. On January 29, 2013, the trial court entered an Order denying the plaintiffs' motion to vacate the jury verdict and Judgment entered in favor of the Company. On February 12, 2013, the plaintiffs filed a Notice of Appeal with the New York Appellate Division, Second Department. The plaintiffs have six (6) months from filing the Notice, or until August 12, 2013 within which to file and serve the record on appeal and their appellate brief.

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RECONCILIATION BETWEEN PSC AND STOCKHOLDER'S ANNUAL REPORT

Attach herein (following this page) the respondent's latest annual report to stockholders. If such a report is not prepared, but if audited annual financial statements on which a certified public accountant expresses an opinion are regularly prepared and distributed to bondholders, banking institutions or security analysts, submit that.

If the respondent's annual report to stockholders or audited annual financial statements are prepared on a calendar year basis, the major financial statements contained therein, i.e., Balance Sheet, Income and Retained Earnings Statement and Statement of

Cash Flow, shall be reconciled with the corresponding PSC statements. The reconciliation shall contain an explanation of all differences in reporting.

If the respondent's annual report to stockholders or audited annual financial statements are prepared on a fiscal year basis, then a statement shall be included stating that, except as noted, the major financial statements are prepared on the same basis as in this annual report to the Commission and are in conformity with this Commission's applicable Uniform System of Accounts.

If reports to stockholders or audited annual financial statements are not prepared, so state below:

Certified Bondholders' Report enclosed

**RECONCILIATION BETWEEN PSC AND STOCKHOLDER'S
ANNUAL REPORT (Continued)
(\$000s)**

Line No.	Description	PSC USOA	Adjustments						Consolidations Eliminations	Footnote Ref	Stockholder's Report
1	Balance Sheet										
2	<u>Assets</u>										
3	Total Net Utility Plant	\$338,555								1	\$338,555
4											
5											
6											
7	Other Property & Investments	-									-
8											
9											
10											
11	Current Assets	20,654								2	20,654
12											
13											
14											
15	Deferred Debits	41,052	263							3	41,315
16											
17											
18											
19											
20	Total	\$400,261	\$263	\$0	\$0	\$0	\$0	\$0	\$0		\$400,524
21	<u>Liabilities & Capital</u>										
22	Proprietary Capital	190,590	143							4	190,733
23											
24											
25											
26	Long Term Debt	82,000									82,000
27											
28	Other Noncurrent Liabilities	-									-
29											
30											
31	Current & Accrued Liabilities	54,996	317							5	55,313
32											
33											
34	Deferred Credits	10,061	62,417							6	72,478
35											
36											
37											
38											
39	Operating Reserves	8,551	(8,551)							7	-
40											
41	Income Taxes	54,063	(54,063)							8	-
42											
43	Total	\$400,261	\$263	\$0	\$0	\$0	\$0	\$0	\$0		\$400,524

NYPSC 347-97

**RECONCILIATION BETWEEN PSC AND STOCKHOLDER'S
ANNUAL REPORT (Continued)
(\$000s)**

Line No.	Description	PSC USOA	Adjustments						Consolidations Eliminations	Footnote Ref	Stockholder's Report
1	Income Statement										
2	<u>Operating Revenues</u>	\$75,378									\$75,378
3											
4											
5											
6	<u>Operating Expenses</u>	61,654	(7,706)							9	53,948
7											
8											
9											
10	<u>Other Income and Deductions</u>	4,893	(4,893)							10	-
11											
12											
13											
14	<u>Interest Charges</u>	7,743	(4,882)							10	2,861
15											
16											
17											
18	<u>Provision for Income Tax</u>		7,715							9	7,715
19											
20											
21	<u>Extraordinary Items</u>										-
22											
23											
24											
25	Net Income	\$10,874	(\$20)	\$0	\$0	\$0	\$0	\$0	\$0		\$10,854

FOOTNOTES

1 None

2 None

3 Reclass of various net regulatory assets for GAAP reporting and record warrant asset

4 SAR and warrant adjustments, net of income taxes.

5 Reclass Injury & Damages Reserve

6 Reclass Pension Accrual, Accumulated Deferred Taxes, negative balance regulatory liabilities and SAR entry

7 Reclass Injury & Damages and Pension Reserve

8 Reclass Taxes other than Income Taxes

**RECONCILIATION BETWEEN FERC, PSC AND STOCKHOLDER'S
ANNUAL REPORT (Continued)
(\$000s)**

Line No.	Description	PSC USOA	Adjustments						Consolidations Eliminations	Footnote Ref	Stockholder's Report
1	Statement of Cash Flows										
2	<u>Operating Activities</u>	\$17,831	\$2,802							11	\$20,633
3											
4											
5											
6											
7											
8	<u>Investing Activities</u>	(26,739)	(3,473)							12	(30,212)
9											
10											
11											
12											
13											
14	<u>Financing Activities</u>	8,501	671							13	9,172
15											
16											
17											
18	Net increase (decrease) in cash										
19	and cash equivalents	(407)	0	0	0	0	0	0	0		(407)
20											
21											
22	Cash and cash equivalents,										
23	Beginning of Year	1,935	0								1,935
24											
25	Cash and cash equivalents,										
26	End of Year	\$1,528	\$0	\$0	\$0	\$0	\$0	\$0	\$0		\$1,528

FOOTNOTES

9 SARs entries, tax adjustments and tax provision reclass to separate line

10 Reclass of AFUDC debt portion and record warrant income

11 CWIP reclasses and SAR entry

12 CWIP reclasses

13 Customer Advances for Construction Reclass

COMPARATIVE BALANCE SHEET (ASSETS AND OTHER DEBITS)				
Line No.	Title of Account (a)	Ref. Page No. (b)	Balance at Beg. of Year (c)	Balance at End of Year (d)
1	UTILITY PLANT			
2	Water Plant (101-107, 114, 116, 117, 118.1, 118.2)	200-201	\$402,468,623	\$431,515,289
3	(Less) Accum. Prov. for Depr. Amort. Depl. (108-113, 115, 119.1, 119.2)	200-201	87,367,078	92,960,119
4	Net Utility Plant (Total of line 2 less 3)	-	315,101,545	338,555,170
5	OTHER PROPERTY AND INVESTMENTS	-		
6	Nonutility Property (121)	211	0	0
7	(Less) Accum. Prov. for Depr. and Amort. (122)	-		
8	Investments in Associated Companies (123)	210		
9	Investment in Subsidiary Companies (123.1)	-		
10	Other Investments (124)	210		
11	Sinking Funds (125)	212		
12	Depreciation Funds (126)	212		
13	Other Special Funds (128)	212		
14	TOTAL Other Property and Investments (Total of lines 6 thru 13)	-	0	0
15	CURRENT AND ACCRUED ASSETS	-		
16	Cash (131)	-	1,932,363	1,526,597
17	Interest Special Deposits (132)	212		
18	Dividend Special Deposits (133)	212		
19	Other Special Deposits (134)	212	1,065	0
20	Working Fund (135)	-	1,650	1,650
21	Temporary Cash Investments (136)	-		
22	Notes Receivable (141)	213	0	0
23	Customer Accounts Receivable (142)	213	7,988,636	6,687,287
24	Other Accounts Receivable (143)	213	193,795	436,769
25	(Less) Accum. Prov. for Uncollectible Acct.-Credit (144)	213	242,400	1,054,311
26	Notes Receivable from Associated Companies (145)	214		
27	Accounts Receivable from Assoc. Companies (146)	214	0	
28	Materials and Supplies (150)	215	765,928	974,056
29	Prepayments (165)	215	5,610,728	5,937,191
30	Interest and Dividends Receivable (171)	-		
31	Rents Receivable (172)	-		
32	Accrued Utility Revenues (173)	-	5,451,353	6,145,000
33	Miscellaneous Current and Accrued Assets (174)	-		
34	TOTAL Current and Accrued Assets (Enter Total of lines 16 thru 33)	-	21,703,118	20,654,239
35	DEFERRED DEBITS	-		
36	Unamortized Debt Expense (181)	-	2,116,305	2,236,498
37	Extraordinary Property Losses (182)	216		
38	Preliminary Survey and Investigative Charges (183)	-		
39	Clearing Accounts (184)	-	364,827	383,060
40	Temporary Facilities (185)	-		
41	Miscellaneous Deferred Debits (186)	216	31,859,326	38,193,739
42	Investment in Research and Development (188)	352-353		
43	Unamortized Loss on Reacquired Debt	-		
44	Accumulated Deferred Income Taxes (190)	217	(201,136)	239,015
45	TOTAL Deferred Debits (Enter Total of lines 36 thru 44)	-	34,139,322	41,052,312
46	TOTAL Assets and Other Debits (Enter Total of lines 4, 14, 34, and 45)	-	\$370,943,985	\$400,261,721

COMPARATIVE BALANCE SHEET (LIABILITIES AND OTHER CREDITS)

Line No.	Title of Account (a)	Ref. Page No. (b)	Balance at Beg. of Year (c)	Balance at End of Year (d)
1	PROPRIETARY CAPITAL	-		
2	Common Stock Issued (201)	250-251	\$13,856,490	\$13,856,490
3	Preferred Stock Issued (204)	250-251		
4	Capital Stock Subscribed (202, 205)	252		
5	Stock Liability for Conversion (203, 206)	252		
6	Premium on Capital Stock (207)	252	6,286,958	6,286,958
7	Other Paid-in Capital (208-211)	253	77,991,095	92,991,095
8	Installments Received on Capital Stock (212)	252		
9	(Less) Discount on Capital Stock	-		
10	(Less) Capital Stock Expense (214)	254		
11	Retained Earnings (215, 216)	120-121	72,581,315	77,455,653
12	(Less) Reacquired Capital Stock (217)	120-121		
13	TOTAL Proprietary Capital (Total of lines 2 thru 12)	-	170,715,858	190,590,196
14	LONG-TERM DEBT	-		
15	Bonds (221)	256-257		
16	(Less) Reacquired Bonds (222)	256-257		
17	Advances from Associated Companies (223)	256-257		
18	Other Long-Term Debt (224)	256-257	81,000,000	82,000,000
19	Unamortized Premium on Long-Term Debt (225)	256-257		
20	(Less) Unamortized Discount on Long-Term Debt-Debit (226)	256-257		
21	TOTAL Long-Term Debt (Total of Lines 16 thru 20)	-	81,000,000	82,000,000
22	OPERATING RESERVES	-		
23	Obligations Under Capital Leases - Noncurrent	-		
24	Property Insurance Reserve (261)	-		
25	Injuries and Damages Reserve (262)	-	558,854	317,126
26	Pensions and Benefits Reserve (263)	-	5,183,951	8,233,831
27	Misc Operating Reserves (265)	-		
28	TOTAL Operating Reserves (Total lines 23 thru 27)	-	5,742,805	8,550,957
29	CURRENT AND ACCRUED LIABILITIES	-		
30	Notes Payable (231)	255	35,000,000	35,000,000
31	Accounts Payable (232)	255	10,763,949	9,224,833
32	Notes Payable to Associated Companies (233)	255		
33	Accounts Payable to Associated Companies (234)	255	347,370	248,096
34	Customer Deposits (235)	-	176,143	252,130
35	Taxes Accrued (236)	258-260	1,592,065	3,845,987
36	Interest Accrued (237)	-	2,430,427	4,163,088
37	Dividends Declared (238)	-		
38	Matured Long-Term Debt (239)	-		
39	Matured Interest (240)	-		
40	Tax Collections Payable (241)	-	(31,328)	11,127
41	Miscellaneous Current and Accrued Liabilities (242)	-	1,836,422	2,250,629
42	Obligations Under Capital Leases - Current	-		
43	TOTAL Current and Accrued Liabilities (Total of lines 30 thru 42)	-	52,115,048	54,995,890
44	DEFERRED CREDITS	-		
45	Customer Advances for Construction (252)	-	3,222,750	2,013,747
46	Other Deferred Credits (253)	261	7,318,528	8,046,771
47	Accumulated Deferred Investment Tax Credits (255)	262-263	625,117	599,158
48	Deferred Gains from Disposition of Utility Plant	-		
49	Unamortized Gain on Reacquired Debt	-		
50	Accumulated Deferred Income Taxes (281 - 283)	264-265	50,203,879	53,465,002
51	TOTAL Deferred Credits (Total of lines 45 thru 50)	-	61,370,274	64,124,678
52	TOTAL Liabilities and Other Credits(Total of lines 13, 21, 28, 43 and 51)	-	\$370,943,985	\$400,261,721

STATEMENT OF INCOME FOR THE YEAR

1. Report amounts for accounts 412 and 413, Revenue and Expenses from Utility Plant Leased to Others, in another utility column (i, k, m, o) in a similar manner to a utility department. Spread the amount(s) over lines 02 thru 23 as appropriate. Include these amounts in columns (c) and (d) totals.
2. Report amounts in account 414, Other Utility Operating Income, in the same manner as accounts 412 and 413 above.
3. Use page 124-125 for important notes regarding the statement of income or any account thereof.

4. Give concise explanations concerning unsettled rate proceedings where a contingency exists such that refunds of material amount may need to be made to the utility's customers or which may result in a material refund to the utility with respect to water purchases. State for each year affected the gross revenues or costs to which the contingency relates and the tax effects together with an explanation of the major factors which affect the rights of the utility to retain such revenues or recover amounts paid with respect to water purchases.
5. Give concise explanations concerning significant amount of any refunds made or received during the year

Line No.	Account (a)	(Ref.) Page No. (b)	TOTAL	
			Current Year (c)	Previous Year (d)
1	UTILITY OPERATING INCOME	-		
2	Operating Revenues (400)	300	\$75,378,293	\$70,182,491
3	Operating Expenses	-		
4	Operation Expenses (401)	307-309	22,982,600	20,816,047
5	Maintenance Expenses (402)	307-309	5,777,017	6,110,203
6	Depreciation Expense (403)	311-312	8,210,788	7,581,394
7	Amort. Limited-term Water Plant (404)	311-312	215,409	271,876
8	Amort. of Other Water Plant (405)	311-312	0	0
9	Amort. of Water Plant Acquisition Adjustment (406)	-	0	0
10	Amort. of Property Losses (407)	-	0	0
11	Regulatory Debits	-	0	0
12	(Less) Regulatory Credits	-	0	0
13	Taxes Other Than Income Taxes (408.1)	258-260	16,749,044	14,001,590
14	Income Taxes -- Federal (409.1)	258-260	3,849,954	5,208,436
15	-- Other (409.1)	258-260	883,804	505,551
16	Provision for Deferred Income Taxes (410.1)	-	3,011,002	2,068,415
17	(Less) Provision for Deferred Income Taxes -Cr. (411.1)	-	0	0
18	Investment Tax Credit Adj. -- Net (411.4)	-	(25,959)	(25,959)
19	Misc. Adjustments of Income Taxes (411.8)	-	0	0
20	(Less) Gains from Disp. of Utility Plant	-	0	0
21	Losses from Disp. of Utility Plant	-	0	0
22	TOTAL Utility Operating Expenses (Enter Total of lines 4 thru 21)	-	61,653,659	56,537,553
23	Net Utility Operating Income (Enter Total of line 2 less 22) (Carry forward to page 118)	-	\$13,724,634	\$13,644,938

STATEMENT OF INCOME FOR THE YEAR (Continued)

resulting from settlement of any rate proceeding affecting revenues received or costs incurred for water purchases, and a summary of the adjustments made to balance sheet, income, and expense accounts.

6. If any notes appearing in the report to stockholders are applicable to this Statement of Income, such notes may be included on page 124-125.

7. Enter on page 124-125 a concise explanation of only those changes in accounting methods made during the year

which had an effect on net income, including the basis of allocations and apportionments from those used in the preceding year. Also give the approximate dollar effect of such changes.

8. Explain in a footnote if the previous year's figures are different from that reported in prior reports.

Water Utility		Other Utility		Other Utility		Line No.
Current Year	Previous Year	Current Year	Previous Year	Current Year	Previous Year	
(e)	(f)	(g)	(h)	(i)	(j)	
						1
\$75,378,293	\$70,182,491					2
						3
22,982,600	20,816,047					4
5,777,017	6,110,203					5
8,210,788	7,581,394					6
215,409	271,876					7
						8
0	0					9
0	0					10
						11
						12
16,749,044	14,001,590					13
4,768,063	5,208,436					14
1,131,566	505,551					15
3,011,002	2,068,415					16
						17
(25,959)	(25,959)					18
	0					19
						20
						21
62,819,530	56,537,553	0	0	0	0	22
\$12,558,763	\$13,644,938	\$0	\$0	\$0	\$0	23

STATEMENT OF INCOME FOR THE YEAR

Line No.	Account (a) <input type="checkbox"/>	(Ref). Page No. (b)	TOTAL	
			Current Year (c)	Previous Year (d)
24	Net Utility Operating Income (Carried forward from page 116)	-	\$13,724,634	\$13,644,938
25	Other Income and Deductions	-		
26	Other Income	-		
27	Nonutility Operating Income	-		
28	Revenues From Merchandising, Jobbing and Contract Work (415)	315	3,101	11,644
29	(Less) Costs and Exp. of Merchandising, Job. & Contract Work (416)	315	56,057	47,483
30	Revenues From Nonutility Operations (417)	316		
31	(Less) Expenses of Nonutility Operations (417.1)	316		
32	Nonoperating Rental Income (418)	-		
33	Equity in Earnings of Subsidiary Companies	-		
34	Interest and Dividend Income (419)	-	248,249	190,777
35	Allowance for Other Funds Used During Construction (419.1)	207	4,925,349	3,790,731
36	Miscellaneous Nonoperating Income (421)	316	76,472	72,270
37	Gain in Disposition of Property (421.1)	317		
38	TOTAL Other Income (Enter Total of lines 28 thru 37)	-	5,197,114	4,017,939
39	Other Income Deductions	-		
40	Loss on Disposition of Property (421.2)	317		
41	Miscellaneous Amortization (425)	318-320	0	0
42	Miscellaneous Income Deductions (426)	318-320	304,433	30,661
43	TOTAL Other Income Deductions (Total of lines 40 thru 42)	-	304,433	30,661
44	Taxes Applicable to Other Income and Deductions	-		
45	Taxes Other Than Income Taxes (408.2)	258-260		
46	Income Taxes -- Federal (409.2)	258-260		
47	Income Taxes -- Other (409.2)	258-260		
48	Provision for Deferred Inc. Taxes (410.2)	-		
49	(Less) Provision for Deferred Income Taxes -- Cr. (411.2)	-		
50	Investment Tax Credit Adj. -- Net (411.5)	-		
51	(Less) Investment Tax Credits (420)	-		
52	TOTAL Taxes on Other Income and Deduct. (Total of 45 thru 51)	-	0	0
53	Net Other Income and Deductions (Enter Total of lines 38, 43, 52)	-	4,892,681	3,987,278
54	Interest Charges	-		
55	Interest on Long-Term Debt (427)	256-257	4,165,241	4,860,600
56	Amort. of Debt Disc. and Expense (428)	256-257	169,829	176,268
57	Amortization of Loss on Reacquired Debt	-		
58	(Less) Amort. of Premium on Debt-Credit (429)	256-257		
59	(Less) Amortization of Gain on Reacquired Debt-Credit	-		
60	Interest on Debt to Assoc. Companies (430)	318-320		
61	Other Interest Expense (431)	318-320	3,407,907	1,462,214
62	(Less) Allowance for Borrowed Funds Used During Construction-Cr.	-		
63	Net Interest Charges (Enter Total of lines 55 thru 62)	-	7,742,977	6,499,082
64	Income Before Extraordinary Items (Total of lines 24, 53 and 63)	-	10,874,338	11,133,134
65	Extraordinary Items	-		
66	Extraordinary Income (434)	321		
67	(Less) Extraordinary Deductions (435)	321		
68	Net Extraordinary Items (Enter Total of line 66 less line 67)	-	0	0
69	Income Taxes -- Federal and Other (409.3)	-		
70	Extraordinary Items After Taxes (Enter Total of line 68 less line 69)	-	0	0
71	Net Income (Enter Total of lines 64 and 70)	-	\$10,874,338	\$11,133,134

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STATEMENT OF RETAINED EARNINGS FOR THE YEAR

1. Report all changes in appropriated retained earnings, unappropriated retained earnings, and unappropriated undistributed subsidiary earnings for the year.

2. Each credit and debit during the year should be identified as to the retained earnings account in which recorded (Accounts 433, 436 - 439 inclusive). Show the contra primary account affected in column (b).

3. State the purpose and amount of each reservation or appropriation of retained earnings.

4. List first account 439, Adjustments to Retained Earnings, reflecting adjustments to the opening balance of retained earnings. Follow by credit, then debit items in that order.

5. Show dividends for each class and series of capital stock.

6. Show separately the State and Federal income tax effect of items shown in account 439, Adjustments to Retained Earnings.

7. Explain in a footnote the basis for determining the amount reserved or appropriated. If such reservation or appropriation is to be recurrent, state the number and annual amounts to be reserved or appropriated as well as the totals eventually to be accumulated.

8. If any notes appearing in the report to stockholders are applicable to this statement, include them on pages 124-125.

Line No.	Item (a)	Contra Primary Account Affected (b)	Amount (c)
	UNAPPROPRIATED RETAINED EARNINGS (Account 216)		
1	Balance -- Beginning of Year		\$72,581,315
2	Changes (Identify by prescribed retained earnings accounts)		
3	Adjustments to Retained Earnings (Account 439)		
4	Credit:		
5	Credit:		
6	Credit:		
7	Credit:		
8	Credit:		
9	TOTAL Credits to Retained Earnings (Acct. 439) (Total of lines 4 thru 8)		0
10	Debit: Minimum Pension Liability Adjustment	263	
11	Debit: Adjustment to RE - Taxes (entry recorded for December 2006)		
12	Debit: Stock redemption		
13	Debit:		
14	Debit:		
15	TOTAL Debits to Retained Earnings (Acct. 439) (Total of lines 10 thru 14)		0
16	Balance Transferred from Income (Account 433 less Account 418.1)		10,874,338
17	Appropriations of Retained Earnings (Account 436)		
18			
19			
20			
21			
22	TOTAL Appropriations to Retained Earnings (Acct. 436) (Total of lines 18 thru 21)		0
23	Dividends Declared -- Preferred Stock (Account 437)		
24			
25			
26			
27			
28			
29	TOTAL Dividends Declared -- Preferred Stock (Acct. 437) (Total of lines 24 thru 28)		0
30	Dividends Declared -- Common Stock (Account 438)		
31			(6,000,000)
32			
33			
34			
35			
36	TOTAL Dividends Declared -- Common Stock (Acct. 438) (Total of lines 31 thru 35)		(6,000,000)
37	Transfers from Acct. 216.1, Unappropriated Undistributed Subsidiary Earnings		
38	Balance -- End of year (Total of lines 01, 09, 15, 16, 22, 29, 36 and 37)		\$ 77,455,653

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STATEMENT OF RETAINED EARNINGS FOR THE YEAR (Continued)			
Line No.	Item (a)	Amount (b)	
	APPROPRIATED RETAINED EARNINGS (Account 215) State balance and purpose of each appropriated retained earnings amount at end of year and give accounting entries for any applications of appropriated retained earnings during the year.		
39 40 41 42 43 44		None	
45	TOTAL Appropriated Retained Earnings (Account 215)	0	
New York State Intrastate Revenues			
Show the amount of gross operating revenues derived from New York intrastate utility operations during the year. If these amounts differ from the corresponding revenue figures in the income statement , each such difference should be explained in sufficient detail to identify the amounts by detail revenue account. It is intended that the amounts shown here shall represent the revenues subject to assessment under Section 18a of the Public Service Law.			
Line No.	Description of Account (a)	Revenues Intrastate (b)	Interstate (c)
1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17	Water Utility Revenue Derived from United Water New Jersey for stream flow benefits in New Jersey 		

STATEMENT OF CASH FLOWS

1. If the notes to the cash flow statement in the respondents annual stockholders report are applicable to this statement, such notes should be included on pages 124-125. Information about noncash investing and financing activities should be provided on pages 124-125. Provide also on page 122 a reconciliation between "Cash and Cash Equivalents at End of Year" with related amounts on the balance sheet.

2. Under "Other" specify significant amounts and group others.

3. Operating Activities -- Other: Include gains and losses pertaining to operating activities only. Gains and losses pertaining to investing and financing activities should be reported in those activities. Show on page 124-125 the amounts of interest paid (net of amounts capitalized) and income taxes paid.

Line No.	Description (See Instructions for Explanations of Codes) (a)	Amounts (b)
1	Net Cash Flow from Operating Activities:	
2	Net Income	\$10,874,338
3	Noncash Charges (Credits) to Income:	
4	Depreciation and Depletion	8,210,788
5	Amortization of (Specify)	169,829
6	Minimum Liability Adjustment	
7	RE Cumulated Income	
8	Deferred Income Taxes (Net)	2,820,972
9	Investment Tax Credit Adjustment (Net)	(25,959)
10	Net (Increase) Decrease in Receivables	1,176,639
11	Net (Increase) Decrease in Inventory	(208,128)
12	Net Increase (Decrease) in Payables and Accrued Expenses	626,920
13	Net (Increase) Decrease in Other Regulatory Assets	
14	Net Increase (Decrease) in Other Regulatory Liabilities	
15	(Less) Allowance for Other Funds Used During Construction	4,925,349
16	(Less) Undistributed Earnings from Subsidiary Companies	
17	Other: Decrease (Increase) in Deferred Debits	(6,352,646)
18	(Decrease) Increase in Deferred Credits	728,243
19	Decrease (Increase) in Prepayments and Other, Net	2,481,689
20	(Decrease) Increase in Current Income Taxes and Other Taxes Payable	2,253,922
21	Net Cash Provided by (Used in) Operating Activities (Total of lines 2 thru 20)	17,831,258
22		
23	Cash Flows from Investment Activities:	
24	Construction and Acquisition of Plant (including Land):	
25	Gross Additions to Utility Plant	(31,664,413)
26	Gross Additions to Common Utility Plant	
27	Gross Additions to Nonutility Plant	
28	(Less) Allowance for Other Funds Used During Construction	4,925,349
29	Other:	
30		
31		
32	Cash Outflows for Plant (Total of lines 24 thru 31)	(26,739,064)
33		
34	Acquisition of Other Noncurrent Assets (d)	
35	Proceeds from Disposal of Noncurrent Assets (d)	
36		
37	Investments in and Advances to Assoc. and Subsidiary Companies	
38	Contributions and Advances from Assoc. and Subsidiary Companies	
39	Disposition and Investments in (and Advances to)	
40	Associated and Subsidiary Companies	
41		
42	Purchase of Investment Securities (a)	
43	Proceeds from Sales of Investment Securities (a)	

STATEMENT OF CASH FLOWS (Continued)**4. Investing Activities**

Include at Other (line 29) net cash outflow to acquire other companies. Provide a reconciliation of assets acquired with liabilities assumed on pages 124-125.

5. Codes used:

- (a) Net proceeds or payments.
- (b) Bonds, debentures and other long-term debt.
- (c) Include commercial paper.
- (d) Identify separately such items as investments, fixed assets, intangibles, etc.

6. Enter on pages 124-125 clarifications and explanations.

Line No.	Description (See Instruction No. 5 for Explanations of Codes) (a)	Amounts (b)
44	Loans Made or Purchased	
45	Collections on Loans	
46		
47	Net (Increase) Decrease in Receivables	
48	Net (Increase) Decrease in Inventory	
49	Net Increase (Decrease) in Payables and Accrued Expenses	
50	Other:	
51		
52		
53	Net Cash Provided by (Used in) Investing Activities	
54	(Total of lines 32 thru 52)	(26,739,064)
55		
56	Cash Flows from Financing Activities:	
57	Proceeds from Issuance of:	
58	Long-Term Debt (b)	
59	Preferred Stock	1,000,000
60	Common Stock	
61	Other: Cash Contribution from Parent	15,000,000
62	Other: Retained Earnings Adjustment	
63	Net Increase in Short-Term Debt (c)	
64	Other:	
65	Unamortized Debt Expense increase	(290,022)
66		
67	Cash Provided by Outside Sources (Total of lines 58 thru 66)	15,709,978
68		
69	Payments for Retirement of:	
70	Long-term Debt (b)	
71	Preferred Stock	
72	Common Stock	
73	Other: Customer Advances for Construction	(1,209,003)
74		
75	Net Decrease in Short-Term Debt (c)	
76		
77	Dividends on Preferred Stock	
78	Dividends on Common Stock	(6,000,000)
79	Net Cash Provided by (Used in) Financing Activities	
80	(Total of lines 67 thru 78)	8,500,975
81		
82	Net Increase (Decrease) in Cash and Cash Equivalents	
83	(Total of lines 21, 54 and 80)	(406,831)
84		
85	Cash and Cash Equivalents at Beginning of Year	1,935,078
86		
87	Cash and Cash Equivalents at End of Year	\$1,528,247

NOTES TO FINANCIAL STATEMENTS

1. Use the space below for important notes regarding the Balance Sheet, Statement of Income for the year, Statement of Retained Earnings for the year, Statement of Cash Flows, or any account thereof. Classify the notes according to each basic statement, providing a subheading for each statement except where a note is applicable to more than one statement.

2. Furnish particulars (details) as to any significant contingent assets or liabilities existing at end of year, including a brief explanation of any action initiated by the Internal Revenue Service involving possible assessment of additional income taxes of material amount, or of a claim for refund of income taxes of a material amount initiated by the utility. Give also a brief explanation of any dividends in arrears on cumulative preferred stock.

3. For Account 116, Utility Plant Adjustments, explain the origin of such amount, debits and credits during the year, and

plan of disposition contemplated, giving reference to Commission orders or other authorizations respecting classification of amounts as plant adjustments and requirements as to disposition thereof.

4. Give a concise explanation of any retained earnings restrictions and state the amount of retained earnings affected by such restrictions.

5. If the notes to financial statements relating to the respondent company appearing in the annual report to the stockholders are applicable and furnish the data required by instructions above and on pages 108-109, such notes may be included herein.

See Stockholders Annual Report

NOTES TO FINANCIAL STATEMENTS (Continued)

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**SUMMARY OF UTILITY PLANT AND ACCUMULATED PROVISIONS
FOR DEPRECIATION, AMORTIZATION AND DEPLETION**

Line No.	Item (a)	Total (b)	Water (c)
1	UTILITY PLANT		
2	In Service		
3	Plant in Service (Classified) (101)	\$367,406,347	\$367,406,347
4	Property Under Capital Leases		
5	Plant Purchased or Sold (102)	0	
6	Plant in Process of Reclassification (103)	0	
7	Completed Construction not Classified (106)	0	
8	TOTAL (Enter Total of lines 3 thru 7)	367,406,347	367,406,347
9	Leased to Others (104)	0	0
10	Held for Future Use (105)	8,794,537	8,794,537
11	Construction Work in Progress (107)	56,493,817	56,493,817
12	Plant Acquisition Adjustments (114)	0	0
13	Other Plant Adjustments (116)	0	0
14	Accumulated Gains and Losses from Disposition of Utility Land and Land Rights (117)	(1,179,412)	(1,179,412)
15	TOTAL Utility Plant (Enter Total of lines 8 thru 14)	431,515,289	431,515,289
16	Less: Accum. Prov. for Depr. and Amort.	92,960,119	92,960,119
17	Net Utility Plant (Enter Total of line 13 less 14)	\$338,555,170	\$338,555,170
18	DETAIL OF ACCUMULATED PROVISIONS FOR		
19	DEPRECIATION & AMORTIZATION		
20	In Service		
21	Depreciation (108, 119.1, 119.2)	\$91,165,064	\$91,165,064
22	Amortization (111, 119.1, 119.2)	1,795,055	1,795,055
23	TOTAL In Service (Enter Total of lines 21 thru 22)	92,960,119	92,960,119
24	Leased to Others		
25	Depreciation (109, 119.1, 119.2)	0	
26	Amortization (112, 119.1, 119.2)	0	
27	TOTAL Leased to Others (Enter Total of lines 25 and 26)	0	0
28	Held for Future Use		
29	Depreciation (110, 119.1, 119.2)	0	
30	Amortization (113, 119.1, 119.2)	0	
31	TOTAL Held for Future Use (Enter Total of lines 29 and 30)	0	
32	Amort. of Plant Acquisition Adj.	0	
33	TOTAL Accumulated Provisions (Should agree with line 16 above)	\$92,960,119	\$92,960,119
34	(Enter Total of lines 23, 27, 31 and 32)		

**SUMMARY OF UTILITY PLANT ACCUMULATED PROVISIONS
FOR DEPRECIATION, AMORTIZATION AND DEPLETION**

Other (Specify) (d)	Other (Specify) (e)	Other (Specify) (f)	Other (Specify) (g)	Common (h)	Line No.
					1
					2
					3
					4
					5
					6
					7
0	0	0	0	0	8
					9
					10
					11
					12
					13
					14
0	0	0	0	0	15
0	0	0	0	0	16
\$0	\$0	\$0	\$0	\$0	17
					18
					19
					20
					21
					22
0	0	0	0	0	23
					24
					25
					26
0	0	0	0	0	27
					28
					29
					30
0	0	0	0	0	31
					32
\$0	\$0	\$0	\$0	\$0	33
					34

WATER PLANT IN SERVICE (Accounts 101, 102, and 106)

1. Report below the original cost of water plant in service according to the prescribed accounts.
2. In addition to Account 101, Water Plant in Service (Classified), this page and the next include Account 102, Water Plant Purchased or Sold; and Account 106, Completed Construction Not Classified.
3. Include in column (c) or (d), as appropriate, corrections of additions and retirements for the current or preceding year.
4. Enclose in parentheses credit adjustments of plant accounts to indicate the negative effect of such accounts.
5. Classify Account 106 according to prescribed accounts, on an estimated basis if necessary, and include the entries in column (c).

Line No.	Account (a)	Balance at Beginning of Year (b)	Addition (c)
1	1. INTANGIBLE PLANT		
2	(301) Organization	\$773	\$0
3	(302) Franchises and Consents	79,231	0
4	(303) Miscellaneous Intangible Plant	13,651	70
5	TOTAL Intangible Plant (Enter Total of lines 2, 3, and 4)	93,655	70
6	2. SOURCE OF SUPPLY PLANT		
7	(310) Land and Land Rights	8,228,308	(14,079)
8	(311) Structures and Improvements	7,530	0
9	(312) Collecting and Impounding Reservoirs	7,804,548	611,500
10	(313) Lake, River and Other Intakes	6,448,835	(10,282)
11	(314) Wells and Springs	4,525,346	411,077
12	(315) Infiltration Galleries & Tunnels	0	0
13	(316) Supply Mains	2,397,558	83,725
14	(317) Other Water Source Plant	114,071	0
15	TOTAL Source of Supply Plant (Enter Total of lines 7 thru 14)	29,526,195	1,081,941
16	3. PUMPING PLANT		
17	(320) Land and Land Rights	38,231	0
18	(321) Structures and Improvements	8,458,324	423,365
19	(322) Boiler Plant Equipment	0	0
20	(323) Other Power Production Equipment	0	0
21	(324) Steam Pumping Equipment	0	0
22	(325) Electric Pumping Equipment	22,521,945	1,068,312
23	(326) Diesel Pumping Equipment	0	0
24	(327) Hydraulic Pumping Equipment	0	0
25	(328) Other Power Pumping Equipment	1,535,979	(16,826)
26	TOTAL Pumping Equipment (Total of lines 17 thru 25)	32,554,479	1,474,851
27	4. WATER TREATMENT PLANT		
28	(330) Land and Land Rights	68,408	108
29	(331) Structures and Improvements	16,291,582	468,068
30	(332) Water Treatment Equipment	28,290,060	2,543,525
31	TOTAL Water Treatment Plant (Enter Total of lines 28 thru 30)	44,650,050	3,011,702
32	5. TRANSMISSION & DISTRIBUTION PLANT		
33	(340) Land and Land Rights	339,677	0
34	(341) Structures and Improvements	0	0
35	(342) Distribution Reservoirs & Standpipes	11,096,085	(12,948)
36	(343) Transmission & Distribution Mains	125,593,089	11,342,534
37	(344) Fire Mains	0	0
39	(345) Services	43,612,635	2,125,793
40	(346) Meters	21,750,387	1,582,554
41	(348) Hydrants	13,235,343	775,008
42	(349) Other Transmission & Distribution Plant	0	0
43	Total Transmission & Distribution Plant (sum lines 33 thru 42)	\$215,627,215	\$15,812,942

WATER PLANT IN SERVICE (Accounts 101, 102, and 106)

6. Show in column (f) reclassifications or transfers within utility plant accounts. Include also in column (f) the additions or reductions of primary account classifications arising from distribution of amounts initially recorded in Account 102. In showing the clearance of Account 102, include in column (e) the amounts with respect to accumulated provision for depreciation, acquisition adjustments, etc., and show in column (f) only the offset to the debits or credits distributed in column (f) to primary account classifications.
7. For Account 399, state the nature and use of plant included in this account and if substantial in amount submit a supplementary statement showing subaccount classification of such plant conforming to the requirements of these pages.
8. For each amount comprising the reported balance and changes in Account 102, state the property purchased or sold, name of vendor or purchaser, and date of transaction. If proposed journal entries have been filed with the Commission as required by the Uniform System of Accounts, give also the date of such filing.

Retirements (d)	Adjustments (e)	Transfers (f)	Balance at End of Year (g)		Line No.
					1
			\$773	(301)	2
			79,231	(302)	3
			13,721	(303)	4
0	0	0	93,725		5
					6
0			8,214,229	(310)	7
0			7,530	(311)	8
30,025			8,386,023	(312)	9
0			6,438,552	(313)	10
59,400			4,877,023	(314)	11
0			0	(315)	12
10,000			2,471,283	(316)	13
0			114,071	(317)	14
99,425	0	0	30,508,711		15
					16
0			38,231	(320)	17
14,250			8,867,439	(321)	18
0			0	(322)	19
0			0	(323)	20
0			0	(324)	21
229,319			23,360,938	(325)	22
0			0	(326)	23
0			0	(327)	24
4,500			1,514,653	(328)	25
248,069	0	0	33,781,262		26
					27
0			68,516	(330)	28
48,625			16,711,026	(331)	29
631,504			30,202,081	(332)	30
680,129	0	0	46,981,623		31
					32
0	0		339,677	(340)	33
0	0		0	(341)	34
34,720	0		11,048,417	(342)	35
206,488	(1,076,565)		135,652,570	(343)	36
0	0		0	(344)	37
93,261	0		45,645,167	(345)	39
364,095	0		22,968,846	(346)	40
49,727	0		13,960,624	(348)	41
0	0		0	(349)	42
\$748,291	(\$1,076,565)	\$0	\$229,615,301		43

NYSPSC 347-97

WATER PLANT IN SERVICE (Accounts 101, 102, and 106) (Continued)

	Account (a)	Balance at Beginning of Year (b)	Additions (c)
44	6. GENERAL PLANT		
45	(389) Land and Land Rights	\$229,943	\$0
46	(390) Structures & Improvements	4,167,763	624,349
47	(391) Office Furniture & Equipment	16,015,518	812,857
48	(392) Transportation Equipment	125,787	0
49	(393) Stores Equipment	176,756	1,338
50	(394) Tools Shop & Garage Equipment	899,993	91,861
51	(395) Laboratory Equipment	337,935	4,055
52	(396) Power Operated Equipment	108,749	64,823
53	(397) Communications Equipment	2,765,104	150,609
54	(398) Misc Equipment	2,696	0
55	(399) Other Tangible Property	0	0
56	Total General Plant (sum lines 45 thru 55)	24,830,244	1,749,891
57	(101) Total Plant Accounts (101)	347,281,838	23,131,396
58	(102) Water Plant Purchased or Sold		
59	Total Water Plant in Service	\$347,281,838	\$23,131,396

MISCELLANEOUS PLANT DATA

Furnish a summary statement for each of the accounts listed here if a balance was carried over at any time during the year. There should be a brief description and amounts, of transactions earned through each such account and, except to the extent that the information is shown elsewhere in this report, opening and closing balances. If any of the property involved has an income producing status during the year, the gross income and applicable expenses (suitably subdivided) should be reported.

103 Water Plant in Process of Reclassification	109 Accumulated Provision for Depreciation of Plant Leased to Others
104 Plant Leased to Others	
105 Plant Held for Future Use	110 Accumulated Provision for Depreciation of Plant Held for Future Use
114 Plant Acquisition Adjustments	112 Accumulated Provision for Amortization of Plant Leased to Others
116 Other Water Plant Adjustments	
117 Accumulated Gains and Losses from Disposition of Utility Plant and Land Rights	113 Accumulated Provision for Amortization of Plant Held for Future Use
	115 Accumulated Provision for Amortization of Plant Acquisition Adjustments
118.2 Other Utility Plant	119.2 Accumulated Provision for Depreciation and Amortization of Other Utility Plant

	Year Acquired	Book Cost End of Year
105-Property Held For Future Use		
8.232 acres of land-Lime Kiln Road, Ramapo		\$0
Proposed tank site	1970	0
Ambrey Pond Project	Various	1,922
Test Well #3, Hillburn Ramapo	1995	64,963
Test Well #107, Strawtown Road Clarkstown	1996	8,462,172
Bulsontown Property Upgrade-Stony Point	1999	130,641
Merge South County Water	2006	0
		54,000
		73,836
		7,003
TOTALS		\$8,794,537

WATER PLANT IN SERVICE (Accounts 101, 102, and 106) (Continued)

Retirements (d)	Adjustments (e)	Transfers (f)	Balance at End of Year (g)		Line No.
					44
\$0			\$229,943	(389)	45
7,000			4,785,112	(390)	46
58,375			16,770,000	(391)	47
0			125,787	(392)	48
0			178,094	(393)	49
2,000			989,855	(394)	50
0			341,990	(395)	51
13,832			159,740	(396)	52
73,203			2,842,510	(397)	53
0			2,696	(398)	54
0			0	(399)	55
154,410	0	0	26,425,726		56
1,930,323	(1,076,565)	0	367,406,347	(101)	57
				(102)	58
\$1,930,323	(\$1,076,565)	\$0	\$367,406,347		59

MISCELLANEOUS PLANT DATA (Continued)

117-Accumulated Gains & Losses from Disposition of Utility Land and Land Rights	Balance End of Year
Sale of Land Parcel in Town of Clarkstown	(\$1,123,436)
Sale of Land by Condemnation to State of New York for route 304 Project	(43,308)
Sale of Land Parcel-Town of Ramapo	(12,664)
South County Corp	(4)
TOTAL	(\$1,179,412)

* Represents Contributions in Aid of Construction.

CONSTRUCTION WORK IN PROGRESS (Account 107)

1. For each department and common plant in service, report below descriptions and balances at the end of year for each projects in process, of construction.
2. Minor projects may be grouped.

Line No.	Description of Each Project (a)	Construction Work in Progress (Account 107) (b)
1	100 Clarkstown-Town	
2	C12D618_200 Crusher Road Main Repl.	10,041
3		
4	101 Upper Nyack	
5	C12D620_200 Upper Nyack Main Replacement	238,062
6		
7	111 Congers	
8	C12D302_200 X-11552M Congers Amb Squad	17,103
9	C12D501_200 Replacement Hydrants	93
10	C12D502_200 Repl Short Mains & Valves	1,506
11	C12D621_200 Congers Main Replacement	243,288
12	C12M007_200 Congers Main Repl & Ext	22,681
13		
14	112 Nanuet	
15	C10A501_200 Pascack 65 Deareation	1,222,862
16	C11D602_200 N Middletown Road-Phase 2	452
17	C12B103_200 Stripper Air Flow Monitors	522
18	C12D102_200 Duryea Lane Main Installation	103
19	C12D502_200 Repl Short Mains & Valves	56
20	C12D616_200 Townline Road Main Replacement	2,783
21	C12D627_200 Pd 10 Subdistrict Metering	12,465
22	C12D632_200 12" Main Nanuet Lk Dr, Nanuet	1,469
23	C12F001_200 New Domestic Svcs	16
24	C12F501_200 Repl Domestic Svcs	951
25		
26	113 New City	
27	C08D301_200 Inv 11263, X-11009 - Phillips	10,606
28	C08D312_200 Inv. 12386 - Highland Vista	47,954
29	C12B510_200 Repl Chem Feed Equipment	117
30	C12D301_200 X-11257 Sky Ridge New City	66,920
31	C12D502_200 Repl Short Mains & Valves	41
32	C12D617_200 New Hempstead Road	94,309
33	C12F001_200 New Domestic Svcs	89
34	C12F501_200 Repl Domestic Svcs	73
35	C13F501_200 Repl Domestic Svcs	46,175
36		
37	114 Valley Cottage	
38	C12D502_200 Repl Short Mains & Valves	6,265
39	C12F003_200 New Fire Services	220
40	C12F501_200 Repl Domestic Svcs	537
41		
42	115 West Nyack	
43	C07M005_200 Long Term Water Supply	16,001,358
44	C07M005A_200 Long Term Water Supply	32,017,602
45		
46	SUBTOTAL PAGE 206	\$50,066,721

CONSTRUCTION WORK IN PROGRESS (Account 107)

1. For each department and common plant in service, report below descriptions and balances at the end of year for each projects in process, of construction.
2. Minor projects may be grouped.

Line No.	Description of Each Project (a)	Construction Work in Progress (Account 107) (b)
1	115 West Nyack	
2	C07M013_200 Well De-Aeration Prelim Design	(843)
3	C09M009_200 Gwudi Monitoring & Analysis	374,513
4	C11A004_200 lwrmp	2,651
5	C11B001_200 Ldf Filter Project (Air Scour)	14,704
6	C11J100_200 Uwny Online Ops Reporting	204
7	C11K502_200 W. Nyack Maint Bldg Ph. 1	22,054
8	C11M005_200 Ldf Dam Remediation Plan	178,524
9	C11M009_200 Backflow Devices-Pierson Lks	3,050
10	C11M010_200 Facility Improvements	17,662
11	C11M014_200 Ldf Sludge Disposal Study	54,537
12	C11M015_200 Uirp Designs	7,832
13	C12A005_200 Dam Inspections	445
14	C12A501_200 Lake Deforest Bascule Gates	1,526,711
15	C12A513_200 Bascule Gate Yoke Hoist System	3,349
16	C12B120_200 Daf Streaming Current Meter	1,509
17	C12B121_200 Ldf Chemical Containment Walls	45,042
18	C12B522_200 Ldf Water Line Replacement	1,242
19	C12B523_200 Chlorine Detection Colormeter	19,831
20	C12C502_200 Ldf Fw Pump 3 Cone Valve	33,835
21	C12C512_200 Actuators For Filter Valves	27,656
22	C12C517_200 Ldf-Filter Gallery Walls	15,567
23	C12C518_200 Ldf Air Release Chamber	24,445
24	C12C521_200 Instrumentation Ldf	9,128
25	C12C531_200 Well Instruments Low Cl Cutoff	10,326
26	C12D002_200 New Short Mains & Valves	83
27	C12D502_200 Repl Short Mains & Valves	155
28	C12D627_200 Pd 10 Subdistrict Metering	286,965
29	C12G001_200 New Customer Meters	206
30	C12G002_200 Rf Program	375
31	C12G501_200 Repl Customer Meters	20,061
32	C12G502_200 Repl Homer Mius W/Rf	121
33	C12J004_200 New Computers	2,371
34	C12J006_200 Scada Blackbox	7,403
35	C12J007_200 Control Panel Ups Batteries	18,503
36	C12J009_200 Ldf Scada Wiring Upgrade	6,266
37	C12J010_200 W Nyack Scada Wiring Upgrade	16,816
38	C12J100_200 Eops Programming	(363)
39	C12J502_200 Pc Refresh	2,961
40	C12J503_200 Scada Master Radio System	19,522
41	C12J504_200 Scada Rx3I Upgrade	24,776
42	C12J505_200 Scada Ifix 5.5 Upgrade	15,719
43	C12J506_200 Computer-M. Joosten	1,094
44	C12J507_200 Ldf Scada Servers	17,331
45	SUBTOTAL PAGE 206a	\$2,834,341

CONSTRUCTION WORK IN PROGRESS (Account 107)

1. For each department and common plant in service, report below descriptions and balances at the end of year for each projects in process, of construction.
2. Minor projects may be grouped.

Line No.	Description of Each Project (a)	Construction Work in Progress (Account 107) (b)
1	115 West Nyack	
2	C12J950_200 System Enhancements	453,541
3	C12K104_200 Safety Railings And Covers	(33,044)
4	C12K105_200 Ldf Boat Dock	39,022
5	C12K116_200 Wachs Valve Oper & Vacuum	1,985
6	C12K124_200 Ldf Sludge Lagoon Baffles	170,832
7	C12K125_200 Pesticide Storage Ventilation	735
8	C12K508_200 Uwny Csb Furniture	7,579
9	C12K963_200 Hurricane Sandy Capital Imprv	11,788
10	C12M005_200 Pd 10 Subdistrict Metering	348
11	C12M011_200 Langshur Dam Study	14,962
12	C12M016_200 Filter Gallery Wall Design	6,739
13	C12M017_200 Oasis Water Supply Model	32,086
14	C13G501_200 Repl Customer Meters	14,066
15	OVERHEAD_200 General Overhead	(952,509)
16		
17	201 Haverstraw-Village	
18	C12D502_200 Repl Short Mains & Valves	593
19	C12D626_200 Haverstraw Main Replacements	185,640
20	C12F501_200 Repl Domestic Svcs	2,760
21		
22	202 West Haverstraw	
23	C12D606_200 Tanneyanns Lane Main Repl	2,670
24		
25	203 Pomona	
26	C09D303_200 Inv. 12530 X-11277 Klinger Ct	54,722
27	C11M008_200 Haverstraw Tank Evaluation	21,911
28	C12C560_200 Cheesecote Ps Vfd	33
29	C12D001_200 New Fire Hydrants	(163)
30	C12E510_200 Cheesecote Tank Capital Imprv	4,655
31	C12F001_200 New Domestic Svcs	73
32	C12K962_200 Letchworth Bank Stabil Ph 1	126,973
33	C12M009_200 Letchworth Streambank Stabil	(10,000)
34	C12M012_200 Letchworth Plant Automation	7,495
35		
36	212 Thiells	
37	C11K106_200 Letchworth Spcc Improvements	5,874
38		
39	300 Orangetown-Town	
40	C12M014_200 Oak Tree Rd W. Bridge Repl	2,752
41	C12M020_200 Oak Tree Rd Town Bridge Repl	11,629
42		
43	303 Piermont	
44	C10M009_200 Piermont Regulator / Main Work	18,742
45	SUBTOTAL PAGE 206b	\$204,490

CONSTRUCTION WORK IN PROGRESS (Account 107)

1. For each department and common plant in service, report below descriptions and balances at the end of year for each projects in process, of construction.
2. Minor projects may be grouped.

Line No.	Description of Each Project (a)	Construction Work in Progress (Account 107) (b)
1	303 Piermont	
2	C12C543_200 Pinebrook 69 Pump Repl	19,699
3	C12D612_200 Piermont Regulator Fredon Ave	206,942
4	C12F501_200 Repl Domestic Svcs	1,132
5		
6	310 Blauvelt	
7	C12D502_200 Repl Short Mains & Valves	1,602
8	C13D502_200 Repl Short Mains & Valves	25,059
9		
10	311 Orangeburg	
11	C12F001_200 New Domestic Svcs	628
12	C12F003_200 New Fire Services	557
13	C12F501_200 Repl Domestic Svcs	79
14		
15	313 Pearl River	
16	C11A006_200 Pearl River 22 Deaeration	2,852
17	C12D103_200 Railroad Ave Main Installation	106
18	C12F001_200 New Domestic Svcs	719
19	C12F501_200 Repl Domestic Svcs	43
20		
21	314 Sparkill	
22	C12F001_200 New Domestic Svcs	1,534
23	C13D501_200 Replacement Hydrants	23,879
24	C13F001_200 New Domestic Svcs	954
25		
26	315 Tappan	
27	C12B103_200 Stripper Air Flow Monitors	2,134
28	C12D502_200 Repl Short Mains & Valves	13
29	C12D619_200 Lexington, Washingt & Campbell	389,564
30		
31	400 Ramapo-Town	
32	C01A010_200 Potake Pond	(145)
33	C12D104_200 X-11636 30 Campbell Avenue	78,948
34	C12F501_200 Repl Domestic Svcs	80
35	C13F501_200 Repl Domestic Svcs	1,306
36		
37	401 Hillburn	
38	C12A510_200 Well Site Improvement Projects	5,718
39		
40	402 New Square	
41	C10D307_200 X-12863 - Bush Lane	49,739
42	C12F001_200 New Domestic Svcs	69
43		
44		
45	SUBTOTAL PAGE 206c	\$813,211

CONSTRUCTION WORK IN PROGRESS (Account 107)

1. For each department and common plant in service, report below descriptions and balances at the end of year for each projects in process, of construction.
2. Minor projects may be grouped.

Line No.	Description of Each Project (a)	Construction Work in Progress (Account 107) (b)
1	403 Sloatsburg	
2	C07M007_200 Liberty Ridge Main Repl.	128,039
3	C07M012_200 Potake Pond Phase Ii	49,564
4	C11D606_200 Sloatsburg Water Main Repl.	1
5	C12A005_200 Dam Inspections	1,127
6	C12D501_200 Replacement Hydrants	157
7	C12D611_200 Seven Lakes Drive Main Repl	65
8	C12D623_200 Seven Lakes Dr Ph 2	25,254
9	C12K123_200 Post Rd Tank Security Fence	\$4,287
10	C12K126_200 Potake Security Cameras	70,596
11	C12K506_200 Post Rd Tank Driveway Rehab	5,457
12	C12K507_200 Post Rd Tank Driveway Paving	31,190
13	C12M013_200 Potake Cottage Demolition	2,836
14		
15	404 Spring Valley	
16	C09D304_200 Inv 12529 X-11314 Maple 45	72
17	C10J002_200 Rockland Model Rebuild	104,256
18	C10M003_200 Rt 45 Directional Drill	15,968
19	C10M010_200 Spring Valley Marketplace	54,585
20	C12D501_200 Replacement Hydrants	2,551
21	C12D615_200 Pascack Rd 12" Main Install	1,621
22	C12F001_200 New Domestic Svcs	80
23	C12F003_200 New Fire Services	671
24	C12F501_200 Repl Domestic Svcs	1,285
25	C12M003_200 Union Rd Directional Drill	75,895
26	C12M004_200 Spring Valley Standpipe Valve	52,647
27		
28	405 Suffern	
29	C12D502_200 Repl Short Mains & Valves	477
30	C12F001_200 New Domestic Svcs	\$372
31	C12F003_200 New Fire Services	283
32	C12F501_200 Repl Domestic Svcs	354
33		
34	406 Pomona	
35	C07B530_200 Uv For Grotke & Pomona Wells	78,353
36	C12A510_200 Well Site Improvement Projects	13,833
37	C12B020_200 Pomona 37 Gwudi Treatment	465,423
38	C12B510_200 Repl Chem Feed Equipment	181
39	C12C546_200 Catamount 42 Motor Repl	26,471
40		
41	407 Chestnut Ridge	
42	C07B530_200 Uv For Grotke & Pomona Wells	20,452
43	C12B010_200 Grotke 83 Gwudi Treatment	497,056
44	C12D502_200 Repl Short Mains & Valves	68
45	SUBTOTAL PAGE 206d	\$1,731,525

CONSTRUCTION WORK IN PROGRESS (Account 107)

1. For each department and common plant in service, report below descriptions and balances at the end of year for each projects in process, of construction.
2. Minor projects may be grouped.

Line No.	Description of Each Project (a)	Construction Work in Progress (Account 107) (b)
1	407 Chestnut Ridge	
2	C12F501_200 Repl Domestic Svcs	163
3	C12J008_200 Fox Hill Rd Interconn Scada	32,216
4	C12M006_200 Chestnut Ridge Intercon Scada	27,387
5		
6	408 Wesley Hills	
7	C08D302_200 Inv 12456, X-11185 The Willows	99,240
8	C12B510_200 Repl Chem Feed Equipment	149
9	C12F003_200 New Fire Services	\$875
10	C12F501_200 Repl Domestic Svcs	549
11		
12	409 New Hempstead	
13	C12C541_200 New Hempstead 18 Pump & 150 Hp	504
14		
15	410 Montebello	
16	C12M015_200 Grandview Arsenic Treat Desig	21,908
17	C12M019_200 Well 29 Gwudi Improvements	33,731
18		
19	420 Airmont	
20	C12B103_200 Stripper Air Flow Monitors	6,961
21	C12F501_200 Repl Domestic Svcs	449
22		
23	421 Monsey	
24	C11D304_200 X-11521 Grandview Holdings	4,693
25	C12A510_200 Well Site Improvement Projects	2,156
26	C12B510_200 Repl Chem Feed Equipment	149
27	C12D502_200 Repl Short Mains & Valves	3,435
28	C12F003_200 New Fire Services	2,642
29	C12F501_200 Repl Domestic Svcs	837
30	C13F001_200 New Domestic Svcs	\$16,495
31	C13F003_200 New Fire Services	54,115
32		
33	423 Viola	
34	C06M001_200 Viola Asr Well Pilot	169,358
35	C12F501_200 Repl Domestic Svcs	73
36		
37	429 Kaser	
38	C12F003_200 New Fire Services	290
39	C12F501_200 Repl Domestic Svcs	355
40		
41	500 Stony Point-Town	
42	C11M016_200 Stony Point Tank	124,076
43	C12D502_200 Repl Short Mains & Valves	14,489
44	C12F501_200 Repl Domestic Svcs	418
45	SUBTOTAL PAGE 206e	\$617,714

CONSTRUCTION WORK IN PROGRESS (Account 107)

1. For each department and common plant in service, report below descriptions and balances at the end of year for each projects in process, of construction.
2. Minor projects may be grouped.

Line No.	Description of Each Project (a)	Construction Work in Progress (Account 107) (b)
1	500 Stony Point-Town	
2	C12K505_200 Pyngyp Relief Valve Improv.	22,564
3	C13D502_200 Repl Short Mains & Valves	32,609
4		
5	603 Blue Lake	
6	C11M007_200 Blue Lake Dam Study	58,625
7	C12C571_200 South County Scada Upgrades	14,052
8	C12J005_200 Hach Wims	124
9	C12K128_200 Safety Improvements	\$5,978
10		
11	604 Indian Kill	
12	C12B524_200 Indian Kill Backwash Pump	19,418
13	C12C571_200 South County Scada Upgrades	14,052
14	C12D501_200 Replacement Hydrants	1,189
15	C12J005_200 Hach Wims	124
16	C12K119_200 Vechile Equipment	12,145
17	C12K128_200 Safety Improvements	4,365
18		
19	605 Maple Brook	
20	C12D622_200 Maplebrook CI Improvements	27,026
21		
22	607 Sterling Lake	
23	C12C026_200 Sterling Lake Flow Meters	7,563
24	C12J005_200 Hach Wims	5,982
25		
26	SUBTOTAL PAGE 206f	225,816
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44		
45	GRAND TOTAL	\$56,493,817

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CONSTRUCTION OVERHEADS

1. List in column (a) the kinds of overheads according to the titles used by the respondent. Charges for outside professional services for engineering fees and management or supervision fees capitalized should be shown as separate items.
2. On page 208 furnish information concerning construction overheads.
3. A respondent should not report "none" to this page if no overhead apportionments are made, but rather should explain on page 208, the accounting procedures employed and the amounts of engineering, supervision and administrative costs, etc., which are directly charged to construction.
4. Enter on this page engineering, supervision, administrative, and allowance for funds used during construction, etc., which are first assigned to a blanket work order and then prorated to construction jobs.

Line No.	Description of Overhead (a)	Total Amount Charged for the Year (b)
1	Allowance For Funds Used During Construction	
2		
3	Engineering, Supervision, Administrative Costs	
4	which are first assigned to a blanket order and	
5	then prorated to construction jobs.	
6	Payroll	\$1,508,903
7	Corporate	516,010
8	Local	2,344,212
9	Region	639,307
10		
11		
12		
13	Engineering, Supervision, Administrative Costs	
14	which are directly chargeable to construction are	
15	charged to construction.	
16		
17		
18		
19		
20		
21		
22		
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39		
40		
41		
42	TOTAL	\$5,008,432

GENERAL DESCRIPTION OF CONSTRUCTION OVERHEAD PROCEDURE

1. For each construction overhead explain: (a) the nature and extent of work, etc. the overhead charges are intended to cover, (b) the general procedure for determining the amount capitalized, (c) the method of distribution to construction jobs, (d) whether different rates are applied to different types of construction, (e) basis of differentiation in rates for different types of construction, and (f) whether the overhead is directly or indirectly assigned.

2. Show below the computation of allowance for funds used during construction rate(s) used by the company during the reporting year.

3. Where a net-of-tax rate for borrowed funds is used, show the appropriate tax effect adjustment to the computations below in a manner that clearly indicates the amount of reduction in the gross rate for tax effects.

Description of Each Construction Overhead

1) Generally, Construction Overheads are charged directly to the project to which such costs were incurred. For indirect construction overheads incurred for capital projects, the accumulated overheads are distributed to each project according to its dollar relation to the total amount of such projects.

- (a) Payroll - benefits on direct time charged to construction
Local - administrative and engineering time and expenses on construction related work
Corporate - time and benefits of corporate office spent on construction related work
- (b) Payroll - total benefits / total payroll times payroll charged to construction
Corporate/Local - time cards
- (c) Payroll - actual time spent on a project times the labor rate times payroll benefit rate.
Corporate/Local total capitalized dollars/ total construction equals loading factor.
Loading factor times gross expenditures equals amount allocated to project.

2) The Company applies interest during construction from the time a project reaches the construction amount of \$50,000 (retroactive to the time actual construction was started).

In 2012 the interest rate used is 11.18%

COMPUTATION OF ALLOWANCE FOR FUNDS USED DURING CONSTRUCTION RATES**1. Components of Formula**

Line No.	Title (a)	Amount (b)	Capitalization Ratio (Percent) (c)	Cost Rate Percentage (d)
1	Average Short-Term Debt			
2	Short-Term Interest			
3	Long-Term Debt	1,000,045	20.30%	2.24%
4	Equity Gross up	2,583,448	52.45%	3.63%
5	Common Equity	1,341,856	27.24%	5.31%
6	Total Capitalization	4,925,349	100.00%	
7	Average Construction Work in Progress Balance	50,297,208		

2. Gross Rate for Borrowed Funds

=> 5.12% Long term
0.0144 Short Term

3. Rate for Other Funds

=> 3.40% Customers funds

4. Weighted Average Rate Actually Used for the Year:

- a. Rate for Borrowed Funds - => 2.24% Weighted debt cost per rate order
- b. Rate for Other Funds - => 8.94% weighted equity cost per rate order (incl grossup)

ACCUMULATED PROVISION FOR DEPR. AND AMORT. OF WATER PLANT (A/C 108 and 111)

1. Explain in a footnote any important adjustments during year.
2. Explain in a footnote any difference between the amount for book cost of plant retired, line 11, column (c), and that reported for water plant in service, pages 202-205, column (d), excluding retirements of non-depreciable property.
3. The provisions of Account 108 in the Uniform System of Accounts require that retirements of depreciable plant be recorded when such plant is removed from service. If the respondent has a significant amount of plant retired at year end which has not been recorded and/or classified to the various reserve functional classifications, make preliminary closing entries to tentatively functionalize the book cost of the plant retired. In addition, include all costs included in retirement work in progress at year end in the appropriate functional classifications.
4. Show separately interest credits under a sinking fund or similar method of depreciation accounting.

Section A. Balances and Changes During Year

Line No.	Item (a)	Total (c+d) (b)	Water Plant (A/C 108) (c)	Water Plant (A/C 111) (d)
1	Balance Beginning of Year	\$87,367,080	85,921,005	1,446,075
2	Depreciation Provisions for Year, Charged to			
3	(403) Depreciation Expense	\$8,210,788	\$8,075,162	\$135,626
4	(413) Exp. of Plt. Leas. to Others	0	0	0
5	Transportation Expenses-Clearing	5,094	5,051	43
6	Other Clearing Accounts	0	0	0
7	Other Accounts (Specify): a/c 404	215,409	0	215,409
8		0		
9	TOTAL Deprec. Prov. for Year (Total of lines 3 thru 8)	8,431,291	8,080,213	351,078
10	Net Charges for Plant Retired:			
11	Book Cost of Plant Retired	1,930,323	1,928,223	2,100
12	Cost of Removal	949,859	949,859	0
13	Salvage (Credit)	41,930	41,930	0
14	TOTAL Net Chrgs. for Plant Ret. (Enter Total of lines 11 thru 13)	2,838,252	2,836,152	2,100
15	Other Dr. or Cr. Items (a/c 115):	0		
16	South County Merger	0		
17	Balance End of Year (Enter Total of lines 1, 9, 14, 15, and 16)	\$92,960,119	\$91,165,066	\$1,795,053

Section B. Balances at End of Year According to Functional Classifications

18	Source of Supply	\$7,525,837	\$6,415,029	\$1,110,808
19	Pumping	13,681,474	13,681,474	
20	Water Treatment	8,208,920	7,524,675	684,245
21	Transmission and Distribution	55,379,331	55,379,331	
22	General	8,164,557	8,164,557	
23	TOTAL (Enter Total of lines 18 thru 22)	\$92,960,119	\$91,165,066	\$1,795,053

Investments (Account 123 and 124)

1. Report below investments greater than or equal to \$100,000 in Accounts 123, Investment in Associated Companies and 124, Other Investments.
2. Provide a subheading for each account and list thereunder the information called for, observing the instructions below.
3. Investment in Securities - List and describe each security owned, giving name of issuer. For bonds give also principal amount, date of issue, maturity, and interest rate. For capital stock state number of shares, class and series of stock. Minor investments may be grouped by classes.
4. Investment Advances - Report separately for each person or company the amounts of loans or investment advances which are subject to repayment but which are not subject to current settlement. With respect to each advance show whether the advance is a note or open account. Each note should be listed giving date of issuance, maturity date, and specifying whether note is a renewal. Designate any advances due from officers, directors, stockholders or employees.
5. For any securities, notes, or accounts that were pledged, designate such securities, notes or accounts and in a footnote state the name of the pledgee and purpose of the pledge.
6. If commission approval was required for any advance made or security acquired, designate such fact and in a footnote give date of authorization and case number.
7. Interest and dividend revenues from investments should be reported in column (g), including such revenues from securities disposed of during the year.
8. In column (h) report for each investment disposed of during the year the gain or loss represented by the difference between cost of the investment (or the other amount at which carried in the books of account if different from cost) and the selling price therefor, not including any dividend or interest adjustment includible in column (g).

Line No.	Description of Investment (a)	Date Acquired (b)	Date of Maturity (c)	Book Cost Beginning Of Year (d)	Principal Amount or No. Of Shares End of Year (e)	Book Costs * End Of Year (f)	Revenues For Year (g)	Gain or Loss From Investment Disposed of (h)
1								
2								
3								
4								
5								
6								
7								
8	Totals (Account 123)			\$0		\$0	\$0	\$0
9								
10								
11								
12								
13								
14								
15								
16	Totals (Account 124)			\$0		\$0	\$0	\$0

* If book cost is different from cost to respondent, give cost to respondent in a footnote and explain difference.

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NONUTILITY PROPERTY (Account 121)

1. Give a brief description and state the location of nonutility property included in Account 121.
2. Designate with a double asterisk any property which is leased to another company. State name of lessee and whether lessee is an associated company.
3. Furnish particulars (details) concerning sales, purchases, or transfers of Nonutility Property during the year.
4. List separately all property previously devoted to public service and give date of transfer to Account 121, Nonutility Property.
5. Minor items may be grouped.

Line No.	Description and Location (a)	Balance at Beginning of Year (b)	Purchases, Sales, Transfers, etc. (c)	Balance at End of Year (d)
1	None			
2				
3				
4				
5				
6				
7				
8				
9				
10				
11				
12				
13				
14				
15				
16				
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34				
35				
36				
37				
38				
39				
40				
41				
42	Minor Items-Other Nonutility Property			
43	TOTAL	\$0	\$0	\$0

SPECIAL FUNDS (Accounts 125, 126, 128)
(Sinking Funds, Depreciation Fund, Other Special Funds)

1. For each fund at the end of the year, report the balance below.
Aggregate all other funds. Indicate nature of any fund included in Account 128, Other Special Funds.
2. Explain, for each fund, any deductions other than withdrawals for the purpose for which the fund was created.
3. If the trustee of any fund is an associated company, give name of such associated company.
4. If assets other than cash comprise any fund, furnish a list of the securities or other assets, giving interest or dividend rate of each, cost to respondent, number of shares or principal amount, and book cost at end of year.

Line No.	Name of Fund and trustee if any (a)	Balance End of Year (b)
1		
2		
3		
4		
5		
6	Total (Account 125)	\$0
7		
8		
9		
10		
11		
12		
13	Total (Account 126)	\$0
14		
15		
16		
17		
18		
19		
20	Total (Account 128)	\$0

SPECIAL DEPOSITS (Accounts 132, 133, 134)

1. For each fund at the end of the year, report the balance below.
Aggregate all other funds.
2. If any deposit consists of assets other than cash, give a brief description of such assets.
3. If any deposit is held by an associated company, give name of company.

Line No.	Description and purpose of deposit (a)	Balance End of Year (b)
21	Interest Special Deposits (Account 132)	\$0
22	Dividend Special Deposits (Account 133)	
23	Other Special Deposits (Account 134):	
24	(Specify purpose of each other special deposit)	
25		
26		
27		
28		
29		
30		
31		
32		
33		
34		
35		
36		
37	Total (Account 134)	\$0

NOTES AND ACCOUNTS RECEIVABLE (Accounts 141, 142, 143)

Show separately by footnote the total amount of notes and accounts receivable from directors, officers, and employees included in Notes Receivable (Account 141) and Other Accounts Receivable (Account 143).
 Note any capital stock subscriptions received included in Account 143, Other Accounts Receivable.

LINE NO.		Balance Beginning of Year (b)	Balance End of Year (c)
1	Notes Receivable (Account 141)		
2	Customer Accounts Receivable (Account 142):		
3	General Customers	\$7,988,636	\$6,687,287
4	Other Water Companies		
5	Public Authorities		
6	Merchandising, Jobbing and Contract Work		
7	Other		
8	Other Accounts Receivable (Account 143)	193,795	436,769
9	Total (Accounts 142 and 143)	8,182,431	7,124,056
10	Less: Accumulated Provision for Uncollectible Accounts - Cr. (Account 144)	242,400	1,054,311
11	Total, Less Accumulated Provision for Uncollectible Accounts	\$7,940,031	\$6,069,745
12			
13			
14			
15			

ACCUMULATED PROVISION FOR UNCOLLECTIBLE ACCOUNTS-CR. (Account 144)

1. Report below the information called for concerning this accumulated provision.
2. Explain any important adjustments of subaccounts.
3. Entries with respect to officers and employees shall not include items for utility services.

LINE NO.	Item (a)	Utility Customers (b)	Merchandising, Jobbing and Contract Work (c)	Officers and Employees (d)	Other (e)	Total (f)
16	Balance Beginning of Year	\$118,400	\$0	\$0	\$124,000	\$242,400
17	Prov. for Uncollectibles for Year	1,424,223			47,281	1,471,504
18	Accounts Written Off	(709,558)			(1,056)	(710,614)
19	Collection of Accounts Written Off	48,746			2,275	51,021
20	Adjustments (Explain)					0
21						0
22	Balance End of Year	\$881,811	\$0	\$0	\$172,500	\$1,054,311

- 23
- 24 4. Summarize the collection and write-off practices applied to overdue customers' accounts.
- 25
- 26 Customers receive Past Due Reminders after 30 days of the original bill date and Final Termination Notices
- 27 after 45 days from the original bill date. If the balance remains outstanding after 18 days from the Final Termination
- 28 Notice, a field person is sent to the service location for collection of the balance and tags the account with a 10 day
- 29 shut off notice, if the customer does not pay or get into an agreement the service is terminated on the 11th day.
- 30
- 31 Finaled Accounts receive a Second Final Bill after 30 days of the original bill date, which also notifies the individual
- 32 the bill will be forwarded to a collection agency if payment is not received. If balance remains outstanding after
- 33 40 days of the original bill date, it is sent to a collection agency. After 90 days of the original bill date, the balance is
- 34 written off.
- 35

RECEIVABLES FROM ASSOCIATED COMPANIES (Accounts 145, 146)

1. Report particulars of notes and accounts receivable from associated companies at end of year.
2. Provide separate headings and totals for Accounts 145, Notes Receivable from Associated Companies, and 146, Accounts Receivable from Associated Companies, in addition to a total for the combined accounts.
3. For notes receivable list each note separately and state purpose for which received. Show also in column (a) date of note, date of maturity and interest rate.
4. If any note was received in satisfaction of an open account, state the period covered by such open account.
5. Include in column (f) interest recorded as income during the year, including interest on accounts and notes held any time during the year.
6. Give particulars of any notes pledged or discounted, also of any collateral held as guarantee of payment of any note or account.

Line No.	Particulars (a)	Balance Beginning of Year (b)			Balance End of Year (e)	Interest for Year (f)
			Debits (c)	Credits (d)		
1					\$0	
2					0	
3					0	
4					0	
5					0	
6					0	
7					0	
8					0	
9					0	
10					0	
11					0	
12					0	
13					0	
14	Totals (Account 145)	\$0	\$0	\$0	\$0	\$0
15	United Water New Jersey					
16	Labor and Materials	0			\$0	
17	Other	0	\$1,801	\$1,801	0	
18	Water Sold-Montvale	0	181,945	181,945	0	
19	Water Sold-DeForest	0	1,431,311	1,431,311	0	
20						
21	United Water Env. Services Inc	0	5,918	5,918	0	
22						
23	United Water Inc	0	2,581,660	2,581,660	0	
24						
25	United Water Owego/Nichols	0	22,289	22,289	0	
26						
27	United Water Connecticut	0	48,740	48,740	0	
28						
29	United Water Operations Contracts Inc	0	882	882	0	
30						
31		0			0	
32						
33		0			0	
34						
35	United Water Delaware	0	76	76	0	
36						
37	United Water New Rochelle	0	668,255	668,255	0	
38						
39	United Water Rhode Island	0	15,372	15,372	0	
40						
41	United Water South County Sewer	0	496,027	496,027	0	
42						
43	United Waterworks	0	583,114	583,114	0	
44						
45	United Water Westchester	0	193,821	193,821	0	
46						
47		0			0	
48						
49	United Water WERCs	0	407	407	0	
50						
51	Totals (Account 146)	\$0	\$6,231,618	\$6,231,618	\$0	\$0

MATERIALS AND SUPPLIES (Account 150)

1. For Account 150, report the amount of plant materials and operating supplies under the primary functional classifications as indicated in column (a); estimates of amounts by function are acceptable. In column (d), designate the department or departments which use the class of material.

2. Give an explanation of important inventory adjustments during the year (in a footnote) showing general classes of material and supplies and the various accounts (operating expenses, clearing accounts, plant, etc.) affected - debited or credited. Show separately debits or credits to stores expense-clearing, if applicable.

Line No.	Account (a)	Balance Beginning of Year (b)	Balance End of Year (c)	Department or Departments Which Use Material (d)
1	Fuel Stock (Account 150.151)			
2	Fuel Stock Expenses Undistributed (Account 150.152)			
3	Residuals and Extracted Products			
4	Plant Materials and Operating Supplies (Account 150.154)			
5	Assigned to - Construction (Estimated)	\$608,705	\$756,809	
6	Assigned to - Operations and Maintenance	89,589	84,090	
7	Production Plant (Estimated)			
8	Transmission Plant (Estimated)			
9	Distribution Plant (Estimated)			
10	Assigned to - Other	67,634	133,158	
11	TOTAL Account 150.154 (Enter Total of lines 5 thru 10)	\$765,928	\$974,056	
12	Merchandise (Account 150.155)			
13	Other Material and Supplies (Account 150.156)			
14				
14	Stores Expense Undistributed (Account 150.163)			
15				
16				
17				
18				
19	TOTAL Materials and Supplies (per Balance Sheet)	\$765,928	\$974,056	

PREPAYMENTS (ACCOUNT 165)

1. Give below the particulars called for concerning each prepayment.

2. Minor items may be grouped by classes, showing number of such items.

Line No.	Nature of Prepayment (a)	End of Year Balance (b)
1		
2		
3	Prepaid taxes	\$5,897,806
4		
5	Prepaid Regulatory Assessment	39,385
6		
7		
8		
9		
10		
11		
12		
13		
14	TOTAL Material and Supplies (per Balance Sheet)	\$5,937,191

EXTRAORDINARY PROPERTY LOSSES (Account 182)

Line No.	Description of Extraordinary Loss [Include in the description the date of loss, the date of Commission authorization to use Account 182 and period of amortization (mo, yr to mo, yr).] (a)	Total Amount of Loss (b)	Losses Recognized During Year (c)	WRITTEN OFF DURING THE YEAR		Balance at End of Year (f)
				Account Charged (d)	Amount (e)	
1	None					
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13						
14						
15						
16						
17	TOTAL	\$0	\$0		\$0	\$0

MISCELLANEOUS DEFERRED DEBITS (Account 186)

Line No.	Description of Miscellaneous Deferred Debit [Include in the description of costs, the date of Commission authorization to use Account 186, and period of amortization (mo, yr to mo, yr).] (a)	Total Amount of Charges (b)	Costs Recognized During Year (c)	WRITTEN OFF DURING THE YEAR		Balance at End of Year (f)
				Account Charged (d)	Amount (e)	
18	Deferred Pension/PBOP (Rate Case 09-W-0731)	\$945,607	\$153,866	930	\$817,896	\$281,577
19						
20	Deferred TSA Tax	509,478	1,206,368	400	1,206,991	508,855
21						
22	Deferred Tank Painting	482,184	149,324	672	53,995	577,513
23						
24	Deferred Purchased Water (Rate Case 09-W-0731)	35,894	(91,253)			(55,359)
25						
26	Deferred AFUDC Equity Gross Up (Rate Case 09-W-0731)	1,520,543	2,583,455			4,103,998
27						
28	Deferred Power Costs (Rate Case 09-W-0731)	(188,149)	(100,611)			(288,760)
29						
30	Deferred Chemical Costs (Rate Case 09-W-0731)	(128,063)	54,728			(73,335)
31						
32	Deferred Property Taxes (Rate Case 09-W-0731)	2,352,517	(1,191,893)			1,160,624
33						
34	Deferred Sludge Reconciliation (Rate Case 09-W-0731)	149,497	143,509			293,006
35						
36	Deferred Revenue Recon (Rate Case 09-W-0731)	11,816,718	3,286,284			15,103,002
37						
38	Deferred Rate Case (Rate Case 09-W-0731)	404,180	128,008	928	244,505	287,683
39						
40	Deferred Revenue-Levelizing AR (Rate Case 09-W-0731)	1,646,126	(225,580)			1,420,546
43						
44	Deferred Pension FAS158	9,419,603	1,547,313			10,966,916
45						
46	Deferred PBOP FAS159	(616,837)	1,292,430			675,593
47						
48	Def AFUDC Equity Gr-Up Amort. (Rate Case 09-W-0731)	(25,459)	(70,178)	930		(95,637)
49						
50	Def F71/F109 - Plant-Fed	3,535,487	(207,970)	283		3,327,517
51						
52						
53						
54	TOTAL	\$31,859,326	\$8,657,800		\$2,323,387	\$38,193,739

ACCUMULATED DEFERRED INCOME TAXES (Account 190)

1. Report the information called for below, concerning the respondent's accounting for deferred income taxes.
2. At Other (Specify), include deferrals relating to other income and deductions.

Line No.	Account Subdivisions (a)	Balance at Beginning of Year (b)	Balance End of Year (c)
1	Water		
2	Reserve for Uncollectibles (A)	\$98,518	\$428,231
3	ITC Gross-up FAS 109 (B)	432,071	414,131
4	Deferred Director Fees	658	0
5	Bond Discount	(990,914)	0
6	Accrued Vacation Accrual	42,224	541
7	Purchased Water	(14,709)	0
8	Accrued Purchased Water	44,478	(957,656)
9	Deferred Sludge Reconciliation	(60,719)	59,064
10	Accrued Other	113,789	22,349
11	Accrued Audit	22,238	66,186
12	Accrued Legal Expense	3	(60,719)
13	Legal Expense - pending	1	106,160
14	Deferred Compensation	3,750	48,553
15	Deferred NR - MTBE Costs	(4,781)	3
16	Insurance Reserve	35,289	1
17	Other	(6)	0
18	Medicare Part D	76,974	3,829
19			36,158
20			(4,781)
21			(9)
22			76,974
23			
24	TOTAL Water (Total of lines 2 through 24)	(201,136)	239,015
25	Other		
26	Deferred Revenue Tax Adjustment	0	0
27			
28			
29			
30			
31			
32			
33	TOTAL Other (Total of lines 20 through 26)	0	0
34	TOTAL Account 190 (TOTAL of lines 18 and 27)	(\$201,136)	\$239,015

Notes

(A) Case No. 29465, dated July 7, 1987.

(B) Case No. 92-M-1005, issued January 15, 1993.

CAPITAL STOCK (Accounts 201 and 204)

1. Report below the particulars (details) called for concerning common and preferred stock at end of year, distinguishing separate series of any general class. Show separate totals for common and preferred stock. If information to meet the stock exchange reporting requirement outlined in column (a) is available from the SEC 10-K Report Form filing, a specific reference to report form (i.e. year and company title) may be reported in column (a) provided the fiscal years for both the 10-K report and this report are compatible.
2. Entries in column (b) should represent the number of shares authorized by the articles of incorporation as amended to end of year.
3. Give particulars (details) concerning shares of any class and series of stock authorized to be issued by a regulatory commission which have not yet been issued.

Line No.	Class and Series of Stock and Name of Stock Exchange (a)	Number of Shares Authorized by Charter (b)	Par or Stated Value Per Share (c)	Call Price at End of Year (d)
1	<u>Common - Account 201</u>			
2				
3	Common - Account 201	50,000	\$290	N/A
4				
5				
6				
7				
8				
9				
10				
11				
12				
13				
14				
15				
16				
17				
18				
19				
20	Total	50,000		
21				
22	<u>Preferred - Account 204</u>			
23				
24				
25				
26				
27				
28				
29				
30				
31				
32				
33				
34				
35				
36				
37				
38				
39				
40				
41	Total	0		
42				

CAPITAL STOCK (Accounts 201 and 204) (Continued)

4. The identification of each class of preferred stock should show the dividend rate and whether the dividends are cumulative or noncumulative.
5. State in a footnote if any capital stock which has been nominally issued is nominally outstanding at end of year. Give particulars (details) in column (a) of any nominally issued capital stock, reacquired stock, or stock in sinking and other funds which is pledged, stating name of pledgee and purposes of pledge.

OUTSTANDING PER BALANCE SHEET (Total amount outstanding without reduction for amounts held by respondent.)		HELD BY RESPONDENT				Line No.
		AS REACQUIRED STOCK (Account 217)		IN SINKING AND OTHER FUNDS		
Shares (e)	Amount (f)	Shares (g)	Cost (h)	Shares (i)	Amount (j)	
47,781	\$13,856,490					1
						2
						3
						4
						5
						6
						7
						8
						9
						10
						11
						12
						13
						14
						15
						16
						17
						18
						19
47,781	\$13,856,490	0	\$0	0	\$0	20
						21
						22
						23
						24
						25
						26
						27
						28
						29
						30
						31
						32
						33
						34
						35
						36
						37
						38
						39
						40
						0
						42

**CAPITAL STOCK SUBSCRIBED, CAPITAL STOCK LIABILITY FOR CONVERSION,
PREMIUM ON CAPITAL STOCK, AND INSTALLMENTS RECEIVED ON CAPITAL STOCK**

(Accounts 202 and 205, 203 and 206, 207, 212)

1. Show for each of the above accounts the amounts applying to each class and series of capital stock.
2. For Account 202, Common Stock Subscribed, and Account 205, Preferred Stock Subscribed, show the subscription price and the balance due on each class at the end of year.
3. Describe in a footnote the agreement and transactions under which a conversion liability existed under Account 203,

Common Stock Liability for Conversion, or Account 206, Preferred Stock Liability for Conversion, at the end of the year.
4. For Premium on Account 207, Capital Stock, designate with a double asterisk any amounts representing the excess of consideration received over stated values of stocks without par value.

Line No.	Name of Account and Description of Item (a)	Number of Shares (b)	Amount (c)
1	<u>Common Stock Subscribed (Account 202)</u>		
2			
3			
4			
5			
6	TOTALS	0	\$0
7			
8	<u>Preferred Stock Subscribed (Account 205)</u>		
9			
10			
11			
12			
13	TOTALS	0	\$0
14			
15	<u>Common Stock Liability for Conversion (Account 203)</u>		
16			
17			
18			
19			
20	TOTALS	0	\$0
21			
22	<u>Preferred Stock Liability for Conversion (Account 206)</u>		
23			
24			
25			
26			
27	TOTALS	0	\$0
28			
29	<u>Premium on Capital Stock (Account 207)</u>		
30			
31			
32	Common	47,781	\$6,286,958
33			
34			
35			
36	TOTALS	47,781	\$6,286,958
37			
38	<u>Installments Received on Capital Stock (Account 212)</u>		
39			
40			
41			
42			
43			
44			
45			
46	TOTALS	0	\$0

OTHER PAID-IN CAPITAL (Accounts 208-211, inc.)

Report below the balance at the end of the year and the information specified below for the respective other paid-in capital accounts. Provide a subheading for each account and show a total for the account, as well as total of all accounts for reconciliation with the balance sheet. Add more columns for any account if deemed necessary. Explain changes made in any account during the year and give the accounting entries effecting such change.

(a) Donations Received from Stockholders (Account 208) - State amount and give brief explanation of the origin and purpose of each donation.

(b) Reduction in Par or Stated Value of Capital Stock (Account 209) - State amount and give brief explanation of the capital change which gave rise to amounts reported under this caption including identification with the class and series of stock to which related.

(c) Gain on Resale or Cancellation of Reacquired Capital Stock (Account 210) - Report balance at beginning of year, credits, debits, and balance at end of year with a designation of the nature of each credit and debit identified by the class and series of stock to which related.

(d) Miscellaneous Paid-In Capital (Account 211) - Classify amounts included in this account according to captions which, together with brief explanations, disclose the general nature of the transactions which gave rise to the reported amounts.

Line No.	Item (a)	Amount (b)
1	<u>Donations Received from Stockholders (Account 208)</u>	
2		
3		
4		
5		
6		
7		
8	Subtotal	\$0
9		
10	<u>Reduction in Par or Stated Value of Common Stock (Account 209)</u>	
11		
12		
13		
14		
15		
16		
17	Subtotal	\$0
18		
19	<u>Gain on Resale or Cancellation of Reacquired Capital Stock (Account 210)</u>	
20		
21		
22		
23		
24		
25		
26	Subtotal	\$0
27		
28	<u>Miscellaneous Paid-In Capital (Account 211)</u>	
29		
30	Common	\$5,786,850
31	Cash Transfer from Parent	85,000,000
32	South County Merger	2,204,245
33		
34		
35	Subtotal	\$92,991,095
36		
37		
38		
39		
40	TOTAL	\$92,991,095

CAPITAL STOCK EXPENSE (Account 214)

1. Report the balance at end of year of capital stock expenses for each class and series of capital stock.
2. If any change occurred during the year in the balance with respect to any class or series of stock, attach a statement giving particulars of the change. State the reason for any charge-off of capital stock expense and specify the account charged.

Line No.	Class and Series of Stock (a)	Balance at End of Year (b)
1	None	
2		
3		
4		
5		
6		
7		
8		
9		
10		
11		
12		
13		
14		
15		
16		
17		
18		
19		
20		
21		
22		
23		
24		
25		
26		
27		
28		
29		
30		
31		
32		
33		
34		
35		
36		
37	TOTAL	\$0

NOTES PAYABLE (Account 231)

1. Report the particulars indicated concerning notes payable at end of year.
2. Give particulars of collateral pledged, if any.
3. Furnish particulars for any formal or informal compensating balance agreements covering open lines of credit.
4. Any demand notes should be designated as such in Column (c).
5. Minor amounts may be grouped by classes, showing the number of such amounts.
6. Report in total, all other interest accrued and paid on notes discharged during the year.

Line No.	PAYEE AND INTEREST RATE (a)	DATE OF NOTE (b)	DATE OF MATURITY (c)	Outstanding at End of Year (d)	INTEREST DURING YEAR	
					ACCRUED (e)	PAID (f)
1	CIC Bank	12/31/2012	1/2/2013	\$10,000,000	\$19,333	\$43,194
2	Various Rates					
3						
4	Chase Bank	12/28/2012	1/30/2013	10,000,000	68,950	71,700
5	Various Rates					
6						
7	Bank of America	12/31/2012	1/2/2013	15,000,000	327,228	307,106
8	Various Rates					
9						
10	Bank of New York Mellon	10/30/2012	10/31/2012	0	147,111	161,434
11	Various Rates					
12						
13						
14						
15						
16						
17						
18						
19						
20	TOTALS			\$35,000,000	\$562,623	\$583,435

PAYABLES TO ASSOCIATED COMPANIES (ACCOUNTS 233 and 234)

1. Report particulars of notes and accounts payable to associated companies to end of year.
2. Provide separate totals for Accounts 233, Notes Payable to Associated Companies, and 234, Accounts Payable to Associated Companies.
3. List each note separately and state the purpose for which issued. Show also in Column (a) date of note, maturity and interest rate.
4. Include in Column (f) the amount of any interest expense during the year on notes or accounts that were paid before the end of the year.
5. If collateral has been pledged as security to the payment of any note or account, describe such collateral.

Line No.	PARTICULARS (a)	BALANCE BEGINNING OF YEAR (b)	TOTAL FOR YEAR		BALANCE END OF YEAR (e)	INTEREST FOR YEAR (f)
			DEBITS (c)	CREDITS (d)		
1					\$0	
2					0	
3					0	
4					0	
5					0	
6					0	
7	TOTALS (ACCOUNT 233)	0	0	0	0	0
8	United Water Idaho	0	3,531	3,531	0	
9	United Water Pennsylvania	0	23,613	23,613	0	
10	United Water New Jersey	0	1,703,888	1,703,888	0	
11	United Water Management Services	0	21,038,743	21,038,743	0	
12	United Water Toms River	0	1,171	1,171	0	
13	United Water Management Services Regulated	347,370	3,577,562	3,478,288	248,096	
14	United Water Management Services Non-Regulated	0	9,101	9,101	0	
15	United Water Inc	0			0	
16	United Water Env. Services Inc	0	11	11	0	
17	United Water Services Inc	0	53,666	53,666	0	
18					0	
19	TOTALS (ACCOUNT 234)	\$347,370	\$26,411,285	\$26,312,011	\$248,096	\$0

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LONG-TERM DEBT (Accounts 221, 222, 223, and 224)

1. Report by balance sheet account the particulars (details) concerning long-term debt included in Accounts 221, Bonds, 222, Reacquired Bonds, 223, Advances from Associated Companies, and 224, Other Long-Term Debt.

2. In column (a), for new issues, give Commission authorization numbers and dates.

3. For bonds assumed by the respondent, include in column(a) the name of the issuing company as well as a description of the bonds.

4. For advances from Associated Companies, report separately advances on notes and advances on open accounts. Designate demand notes as such. Include in column(a) names of associated companies from which advances were received.

5. For receivers' certificates, show in column(a) the name of the court and date of court order under which such certificates were issued.

6. In column(b) show the principal amount of bonds or other long-term debt originally issued.

7. In column (c) show the expense, premium or discount with respect to the amount of bonds or other long-term debt originally issued.

8. For column (c) the total expenses should be listed first for each issuance, then the amount of premium (in parentheses) or discount. Indicate the premium or discount with a notation, such as (P) or (D). The expenses, premium or discount should not be netted.

9. Furnish in a footnote particulars (details) regarding the treatment of unamortized debt expense, premium or discount associated with issues redeemed during the year. Also, give in a footnote the date of the Commission's authorization of treatment other than as specified by the Uniform System of Accounts.

Line No.	Class and Series of Obligation, Coupon Rate (For new issue, give Commission Authorization numbers and dates)	Principal Amount of Debt Issued	Total Expense, Premium or Discount
	(a)	(b)	(c)
1	<u>Bonds (Account 221)</u>		
2			
3			
4			
5			
6			
7			
8			
9			
10			
11			
12			
13			
14			
15			
16			
17			
18			
19			
20	Subtotal	\$0	\$0
21			
22	<u>Reacquired Bonds (Account 222)</u>		
23			
24			
25			
26			
27			
28	Subtotal	\$0	\$0
29			
30	<u>From Insert Page</u>		
31	Advances from Associated Companies (Account 223)	0	0
32	Other Long Term Debt (Account 224)	82,000,000	169,829
33	TOTAL	\$82,000,000	\$169,829

LONG-TERM DEBT (Accounts 221, 222, 223, and 224) (Continued)

10. Identify separate indisposed amounts applicable to issues which were redeemed in prior years.

11. Explain any debits and credits other than amortization debited to Account 428, Amortization of Debt Discount and Expense, or credited to Account 429, Amortization of Premium on Debt - Credit.

12. In a footnote, give explanatory particulars (details) for Accounts 223 and 224 of net charges during the year. With respect to long-term advances, show for each company: (a) principal advanced during year, (b) interest added to principal amount, and (c) principal repaid during year. Give Commission authorization numbers and dates.

13. If the respondent has pledged any of its long-term debt

securities give particulars (details) in a footnote including name of pledgee and purpose of the pledge.

14. If the respondent has any long-term debt securities which have been nominally issued and are nominally outstanding at end of year, describe such securities in a footnote.

15. If interest expense was incurred during the year on any obligations retired or reacquired before end of year, include such interest expense in column (i). Explain in a footnote any difference between the total of column (i) and the total of Account 427, Interest on Long-Term Debt and Account 430, Interest on Debt to Associated Companies.

16. Give particulars (details) concerning any long-term debt authorized by a regulatory commission but not yet issued

Nominal Date of Issue	Date of Maturity	AMORTIZATION PERIOD		Outstanding (Total amount outstanding without reduction for amounts held by respondent) (h)	Interest for Year Amount (i)	Line No.
		Date From	Date To			
(d)	(e)	(f)	(g)	(h)	(i)	
						1
						2
						3
						4
						5
						6
						7
						8
						9
						10
						11
						12
						13
						14
						15
						16
						17
						18
						19
				\$0	\$0	20
						21
						22
						23
						24
						25
						26
						27
				\$0	\$0	28
						29
				0	0	30
				82,000,000	4,165,241	31
				82,000,000	4,165,241	32
				82,000,000	4,165,241	33

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LONG-TERM DEBT (Accounts 221, 222, 223, and 224)			
Line No.	Class and Series of Obligation, Coupon Rate (For new issue, give Commission Authorization numbers and dates)	Principal Amount of Debt Issued	Total Expense, Premium or Discount
	(a)	(b)	(c)
1	<u>Advances from Associated Companies (Account 223)</u>		
2			
3			
4			
5			
6			
7			
8	Subtotal	\$0	\$0
9			
10	<u>Other Long Term Debt (Account 224)</u>		
11			
12	4.74 % Senior Notes	30,000,000	22,872
13			
14	5.65 % Promissory Notes (retired on July 27, 2012)		22,510
15			
16	6.30 % Promissory Notes (retired on July 27, 2012)		44,990
17			
18	6.15 % Promissory Notes (retired on July 27, 2012)		56,970
19			
20	8.98 % Senior Notes	12,000,000	4,032
21			
22	Private placement 3.47%	10,000,000	5,692
23			
24	Private Placement 3.91%	30,000,000	12,763
25			
26			
27			
28			
29			
30			
31			
32			
33			
34			
35			
36			
37			
38			
39			
40			
41			
42			
43			
44			
45	Subtotal	\$82,000,000	\$169,829
46			
47			
48			

LONG-TERM DEBT (Accounts 221, 222, 223, and 224)						
Nominal Date of Issue	Date of Maturity	AMORTIZATION PERIOD		Outstanding (Total amount outstanding without reduction for amounts held by respondent)	Interest for Year Amount	Line No.
		Date From	Date To			
(d)	(e)	(f)	(g)	(h)	(i)	
						1
						2
						3
						4
						5
						6
						7
				\$0	\$0	8
						9
						10
						11
3/1/010	2/26/2020	2010	2020	30,000,000	1,422,000	12
						13
12/14/1993	11/1/2023	1994	2023	0	387,967	14
						15
6/29/2004	8/1/2024	1994	2024	0	560,300	16
						17
6/29/2004	8/1/2024	1994	2024	0	402,750	18
						19
1/20/1995	1/15/2025	1995	2025	12,000,000	1,077,600	20
						21
10/15/2012	10/16/2027	2012	2027	10,000,000	71,826	22
						23
10/15/2012	10/16/2027	2012	2027	30,000,000	242,798	24
						25
						26
						27
						28
						29
						30
						31
						32
						33
						34
						35
						36
						37
						38
						39
						40
						41
						42
						43
						44
				\$82,000,000	\$4,165,241	45
						46
						47
						48

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TAXES ACCRUED, PREPAID AND CHARGED DURING YEAR

1. Give particulars (details) of the combined prepaid and accrued tax accounts and show the total taxes charged to operations and other accounts during the year. Do not include gasoline and other sales taxes which have been charged to the accounts to which the taxed material was charged. If the actual or estimated amounts of such taxes are known, show the amounts in a footnote and designate whether estimated or actual amounts.
2. Include on this page, taxes paid during the year and charged direct to final accounts, (not charged to prepaid or accrued taxes). Enter the amounts in both columns (d) and (e). The balancing of this page is not affected by the inclusion of these taxes.
3. Include in column (d) taxes charged during the year, taxes charged to operations and other accounts through (a) accruals credited to taxes accrued, (b) amounts credited to proportions of prepaid taxes chargeable to current year, and (c) taxes paid and charged direct to operations or accounts other than accrued and prepaid tax accounts.
4. List the aggregate of each kind of tax under the appropriate heading of "Federal," "State," and "Local" in such manner that the total tax for each State and subdivision can readily be ascertained.

Line No.	Kind of Tax (See Instruction 5) (a)	BALANCE BEGINNING OF YEAR		Taxes Charged During Year (d)	Taxes Paid During Year (e)	Adjustments (f)
		Taxes Accrued (Account 236) (b)	Prepaid Taxes (Include in Account 165) (c)			
	Federal:					
1	Income Taxes	\$2,593,799		\$3,849,954	\$2,588,737	
2	FICA Contribution	37,116		739,849	737,416	
3	Unemployment	65		8,097	8,142	
4	Other	0				
5	Total	2,630,980	0	4,597,900	3,334,295	0
	State:					
6	Franchise - Gross Income - 186a					
7	Franchise - Gross Earnings - 186					
8	Franchise - Excess Dividends - 186					
9	Temporary Surcharges					
10	Sec. 186a (Gross Income)					
11	Sec. 186 (Gross Earnings)					
12	Sec. 186 (Excess Dividends)					
13	MTA Surcharge					
14	Unemployment Insurance	332		29,690	29,928	
15	Disability Insurance					
16	Sales and Use	170,596		102,212	238,084	
17	Petroleum Business Tax - New York					
18	Other (NYS Corporate Tax)	(1,236,823)		883,804	(241,919)	
19	Total	(1,065,895)	0	1,015,706	26,093	0
	Local:					
20	Real Estate		1,820,920	5,486,365	5,579,638	
21	Special Franchise		3,754,940	11,211,059	11,439,732	
22	Municipal Gross Income	26,980		232,650	231,946	
23	NYC Special Franchise					
24	Public Utility Excise					
25	Sales and Use					
26	Other					
27	Total	26,980	5,575,860	16,930,074	17,251,316	0
	Other (list):					
28	Payroll Taxes Capitalized					
29	Payroll Taxes Other					
30	Sales Tax Charged to Operations			(102,212)		
31	Commuter Transp Mobility Tax			28,596		
32	Property Tax Deferral per rate case			(1,084,838)		
33	Sales & Use Audit Savings			97,576		
34						
35						
36						
37						
38						
39						
40	TOTAL	\$1,592,065	\$5,575,860	\$21,482,802	\$20,611,704	\$0

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TAXES ACCRUED, PREPAID AND CHARGED DURING YEAR (Continued)

5. If any tax covers more than one year, show the required information separately for each tax year, identifying the year in column (a).
6. Enter all adjustments of the accrued and prepaid tax accounts in column (f) and explain each adjustment in a footnote. Designate debit adjustments by parentheses.
7. Do not include on this page entries with respect to deferred income taxes or taxes collected through payroll deductions or otherwise pending transmittal of such taxes to the taxing authority.

8. Report in columns (i) through (q) how the taxes were distributed.

9. For any tax apportioned to more than one utility department or account, state in a footnote the basis (necessity) of apportioning such tax.

BALANCE AT END OF YEAR		DISTRIBUTION OF TAXES CHARGED (Show utility dept. where applicable and acct. charged.)					
(Taxes Accrued Account 236) (g)	Prepaid Taxes (Incl. in Acct. 165) (h)	Water (Account 408.1,409.1) (i)	(j)	Other Utility Depts. (Account 408.1,409.1) (k)	Other Utility Operating Income (Account 408.1,409.1) (l)	Line No.	
\$3,855,016		\$3,849,954				1	
39,549		739,849				2	
20		8,097				3	
0						4	
3,894,585	0	4,597,900			0	0	5
		0					6
							7
							8
							9
							10
							11
94		29,690					12
							13
34,724		102,212					14
							15
(111,100)		883,804					16
(76,282)	0	1,015,706			0	0	17
							18
	1,914,193	5,486,365					19
	3,983,613	11,211,059					20
27,684		232,650					21
							22
							23
							24
							25
27,684	5,897,806	16,930,074			0	0	26
							27
		0					28
		0					29
		(102,212)					30
		28,596					31
		(1,084,838)					32
		97,576					33
							34
							35
							36
							37
							38
							39
\$3,845,987	\$5,897,806	\$21,482,802	\$0	\$0	\$0	40	

TAXES ACCRUED, PREPAID AND CHARGED DURING YEAR (Continued)**DISTRIBUTION OF TAXES CHARGED (Show utility dept. where applicable and acct. charged.)**

Line No.	Kind of Tax (See Instruction 5) (a)	Other Income and Deductions Account 408.2, 409.2 (m)	Extraordinary Items (Account 409.3) (n)	Adjustment to Ret. Earnings (Account 439) (o)	Other (p)	Other (q)
	Federal:					
1	Income Taxes					
2	FICA Contribution					
3	Unemployment					
4	Other					
5	Total	0	0	0	0	0
	State:					
6	Franchise - Gross Income - 186a					
7	Franchise - Gross Earnings - 186					
8	Franchise - Excess Dividends - 186					
	Temporary Surcharges					
9	Sec. 186a (Gross Income)					
10	Sec. 186 (Gross Earnings)					
11	Sec. 186 (Excess Dividends)					
12	MTA Surcharge					
13	Unemployment Insurance					
14	Disability Insurance					
15	Sales and Use					
16	Petroleum Business Tax - New York					
17	Other					
18	Total	0	0	0	0	0
	Local:					
19	Real Estate					
20	Special Franchise					
21	Municipal Gross Income					
22	NYC Special Franchise					
23	Public Utility Excise					
24	Sales and Use					
25	Other					
26	Total	0	0	0	0	0
	Other (list):					
27						
28						
29						
30						
31						
32						
33						
34						
35						
36						
37						
38						
39						
40	TOTAL	\$0	\$0	\$0	\$0	\$0

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OTHER DEFERRED CREDITS (Account 253)

1. Report below the particulars (details) called for concerning other deferred credits.
2. For any deferred credit being amortized, show the period of amortization.
3. Minor items may be grouped by classes showing the number of items in each class.

Line No.	Description of Other Deferred Credits (a)	Balance at Beginning of Year (b)	Debits		Credits (e)	Balance at End of Year (f)
			Contra Account (c)	Amount (d)		
1						
2	Compensation	\$9,237	431		\$193	\$9,430
3						
4	Post Retirement Benefits	2,614,190	131, 186	869,086	1,806,484	3,551,588
5						
6	Director Fees & Interest	1,610	131	317	27	1,320
7						
8	Gate Hill Road Project	4,840	662	4,840		0
9						
10	Mellon Adjustment	381	131	381		0
11						
12	Def Reg Liab-Federal-Medic D	65,487	190			65,487
13						
14	Def Reg Liab-State-Medic D	11,487	190			11,487
15						
16	Def Reg Liab F71/F109	432,071	190	17,940		414,131
17						
18	Property Tax	20,199	186	20,199		0
19						
20	MTBE	1,450,372	461, 462, 466, 474	1,461,755	11,383	0
21						
22	Interest on Pens/PBOP Int Reserve	816,054			581,065	1,397,119
23						
24	PBOP Liability-Internal Reserve	1,892,600			703,609	2,596,209
25						
26						
27						
28						
29						
30						
31						
32						
33						
34						
35						
36						
37						
38						
39						
40						
41						
42						
43						
44						
45						
46						
47	TOTAL	\$7,318,528		\$2,374,518	\$3,102,761	\$8,046,771

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ACCUMULATED DEFERRED INVESTMENT TAX CREDITS (Account 255)

Report below information applicable to Account 255. Where appropriate, segregate the balances and transactions by utility and nonutility operations. Explain by footnote any correction adjustments to the account balance shown in column (g). Include in column (i) the average period over which the tax credits are amortized.

Line No.	Account Subdivisions (a)	Balance at Beginning of Year (b)	Deferred for Year		Allocations to Current Year's Income		Adjustments (g)
			Account No. (c)	Amount (d)	Account No. (e)	Amount (f)	
1	Water Utility						
2		\$625,117			411.4	25,959	
3							
4							
5							
6							
7							
8							
9							
10							
11							
12							
13							
14							
15							
16							
17							
18							
19	SUBTOTAL	\$625,117		\$0		\$25,959	\$0
20	Other						
21							
22							
23							
24							
25							
26							
27							
28							
29							
30							
31							
32							
33							
34							
35							
36							
37							
38							
39	SUBTOTAL	\$0		\$0		\$0	\$0
40	TOTAL	\$625,117		\$0		\$25,959	\$0

ACCUMULATED DEFERRED INVESTMENT TAX CREDITS (Account 255) (Continued)			
Balance at End Year (h)	Average Period of Allocation to Income (i)	Adjustment Explanation	Line No.
			1
\$599,158	50 Years		2
0			3
0			4
0			5
0			6
0			7
0			8
0			9
0			10
0			11
0			12
0			13
0			14
0			15
0			16
0			17
0			18
\$599,158			19
			20
\$0			21
0			22
0			23
0			24
0			25
0			26
0			27
0			28
0			29
0			30
0			31
0			32
0			33
0			34
0			35
0			36
0			37
0			38
\$0			39
\$599,158			40

ACCUMULATED DEFERRED INCOME TAXES (Accounts 281, 282, and 283)

1. Report the information called for below concerning the respondent's accounting for deferred income taxes.

2. For Other (Specify), include deferrals relating to other income and deductions.

Line No.	Account (a)	Balance at Beginning of Year (b)	CHANGES DURING YEAR	
			Amounts Debited To Account 410.1 (c)	Amounts Credited To Account 411.1 (d)
1	Accelerated Amortization (Account 281)			
2	Water			
3	Pollution Control	\$0		
4	Defense Facilities	971		
5	Other			
6				
7				
8	TOTAL WATER (Enter Total of lines 3 thru 7)	971	0	0
9	Other (Specify)			
10	TOTAL (Account 281)(Total of 8 and 9)	\$971	\$0	\$0
	Liberalized Depreciation (Account 282)			
11	Water			
12		\$36,544,061	8,804,839	8,063,149
13				
14				
15				
16				
17	TOTAL WATER (Enter Total of lines 12 thru 16)	36,544,061	8,804,839	8,063,149
18	Other (Specify) FAS 109	3,535,488		
19	TOTAL (Account 282)(Total of 17 and 18)	\$40,079,549	\$8,804,839	\$8,063,149
20	Other (Account 283)			
21	Water			
22		\$10,123,359	60,490,450	57,763,046
23				
24				
25				
26				
27				
28				
29				
30	TOTAL WATER (Enter Total of lines 22 thru 29)	10,123,359	60,490,450	57,763,046
31	Other (Specify)			
32	TOTAL (Account 283)(Total of 30 and 31)	\$10,123,359	\$60,490,450	\$57,763,046
33	TOTAL (Accounts 281, 282, 283)			
34	Water	\$46,668,391	\$69,295,289	\$65,826,195
35	Other	3,535,488	0	0
36	TOTAL	\$50,203,879	\$69,295,289	\$65,826,195

ACCUMULATED DEFERRED INCOME TAXES (Accounts 281, 282, and 283)

3. Use footnotes as required.

CHANGES DURING YEAR		ADJUSTMENTS				Balance at End of Year (k)	Line No.
Amounts Debited To Account 410.2 (e)	Amounts Account 411.2 (f)	Debits		Credits			
		Acct. Credited (g)	Amount (h)	Acct. Debited (i)	Amount (j)		
							1
							2
						\$0	3
						971	4
						0	5
						0	6
						0	7
0	0				0	971	8
						0	9
\$0	\$0		\$0		\$0	\$971	10
							11
		Various	\$1,175,307	Various	\$1,281,905	\$37,392,349	12
						0	13
						0	14
						0	15
						0	16
0	0		1,175,307		1,281,905	37,392,349	17
		Various	207,970	190		3,327,518	18
\$0	\$0		\$1,383,277		\$1,281,905	\$40,719,867	19
							20
							21
		Various	\$1,281,905	Various	\$1,175,306	\$12,744,164	22
						0	23
						0	24
						0	25
						0	26
						0	27
						0	28
						0	29
0	0		1,281,905		1,175,306	12,744,164	30
						0	31
\$0	\$0		\$1,281,905		\$1,175,306	\$12,744,164	32
							33
\$0	\$0		\$2,457,212		\$2,457,211	\$50,137,484	34
0	0		207,970		0	3,327,518	35
\$0	\$0		\$2,665,182		\$2,457,211	\$53,465,002	36

Excess/Deficient Deferred Federal Income Tax Balances*

1. Report below the specified excess/deficient accumulated deferred Federal income taxes as of December 31 of the reporting year.
2. Protected amounts are accumulated deferred taxes that are depreciation related and are protected from rapid write-back by Section 203 (e) of the Tax Reform Act of 1986.
3. Unprotected amounts are those accumulated deferred taxes that are not subject to Section 203 (e) of the Tax Reform Act of 1986.
4. Excess/deficient deferred taxes result when there is a reduction/increase in the statutory income tax rate (e.g.. TRA-86 & Revenue Reconciliation Act of 1993) & the deferred tax balances provided are greater/less than the enacted tax rate, all calculated on a vintage year basis.

Line No.	Item (a)	Debits	Credits			Total (f)
		Account 190 (b)	Account 281 (c)	Account 282 (d)	Account 283 (e)	
	<u>Excess Deferred Taxes</u>					
1	Protected Excess Deferred Taxes					\$0
2	Unprotected Excess Deferred Taxes					0
3	Total Excess Deferred Taxes	\$0	\$0	\$0	\$0	\$0
	<u>Deficient Deferred Taxes</u>					
4	Deficient Deferred FIT Balance Related to: 1986 & Prior Vintage Yr. Assets/Liab.					\$0
5	1987 to Current Vintage Yr. Assets/Liabs. Average Remaining Amortization Period for:					0
6	Protected Excess Deferred FIT Balance					0
7	Unprotected Excess Deferred FIT Balance					0
8	Deficient Deferred FIT Balance					\$0
	*NOTE: Do not include deferred Federal income taxes recorded purely from the implementation of FAS-109, Accounting for Income Taxes					

TEMPORARY INCOME TAX DIFFERENCES - SFAS 109

1. Report below the accumulated deferred Federal income tax assets/liabilities, as of December 31 of the reporting year, that result purely from the implementation of SFAS - 109, "Accounting for Income Taxes", and in accordance with the Commission's associated Policy Statement (issued January 15, 1993), in Case 92-M-1005.

Line No.	Item (a)	Debits	Credits			
		Account 190 (b)	Account 281 (c)	Account 282 (d)	Account 283 (e)	(f)
	<u>AFUDC</u>					
1	AFUDC - Net of Tax - Plant					\$0
2	AFUDC - Equity Component - Plant					0
3	Other Net of Tax Items (specify)					0
4						0
	<u>Prior Flow-Through Items</u>					
5	Depreciation				1,976,229	1,976,229
6	Asset Base Difference (non - ITC)					0
7	Other (specify)					0
8	Depreciation - Gross up				1,064,124	1,064,124
	<u>ITC</u>					
9	Section 46(f)(1) ITC	190,758				0
10	Section 46(f)(2) ITC	131,857				0
11						0
	<u>Other Items</u>					
12						0
13						0
14						0
15						0
16	Total	\$322,615	\$0	\$0	\$3,040,353	\$3,040,353
17	Gross-up of above amounts for income tax effects; etc.					

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WATER OPERATING REVENUES (Account 400)

1. Report below water operating revenues for the year for each account.
2. Number of customers, columns (h) and (i), should be reported on the number of meters, plus number of flat rate accounts, except that where separate meter readings are added for billing purposes, one customer should be counted for each group of meters so added. The average number of customers means the average of twelve figures at the close of each month. If customer count in the residential and commercial classifications includes customers counted more than once because of special services, indicate in a footnote the number of such customers included in each of the two service classifications.
3. If preceding year columns (e), (g) and (i) are not derived from previously reported figures, explain any inconsistencies.

Line No. (a)	Account No. (b)	Account Title (c)	Operating Revenues		Number of Thousand Gallons Sold		Average Number of Customers Per Month	
			Amount for Year (d)	Amount for Previous Year (e)	Amount for Year (f)	Amount for Previous Year (g)	Number for Year (h)	Number for Previous Year (i)
1		SALES OF WATER						
2	460.1, 461.1	Residential Sales	\$45,256,526	\$42,026,165	6,014,149	6,024,385	67,471	67,245
3	460.2, 461.2	Commercial Sales	10,006,905	9,028,398	1,627,963	1,607,507	4,908	4,738
4	460.3, 461.3	Industrial Sales	2,089,407	1,945,533	426,266	451,277	105	113
5	460.7	Customer Main Extension Surcharge						
6	462	Private Fire Protection Service	2,455,053	2,384,653	0	0	1,644	1,563
7	463	Public Fire Protection Service	5,822,396	5,299,162	0	0	71	71
8	464	Other Sales to Public Authorities						
9	465	Sales to Irrigation Customers						
10	466	Sales for Resale	223,605	361,445	73,569	103,055	2	2
11	467	Interdepartmental Sales	1,424,687	1,421,071			1	1
12		Total Sales of Water	67,278,579	62,466,427	8,141,947	8,186,224	74,202	73,733
13								
14		OTHER OPERATING REVENUES						
15	470	Forfeited Discounts						
16	471	Misc. Service Revenues	56,492	33,568				
17	472	Rent from Water Property	207,666	184,418				
18	473	Interdepartmental Rents						
19	474	Other Water Revenues	7,835,556	7,498,078				
20		Total Other Operating Revenues	8,099,714	7,716,064				
21		Total Water Operating Revenues	\$75,378,293	\$70,182,491				

BILLING ROUTINE - WATER

Report the following information in days for Accounts 460 and 461:

1. The period for which bills are rendered.
2. The period between the date meters are read and the date customers are billed.
3. The period between the billing date and the date on which discounts are forfeited.

SALES OF WATER BY RATE SCHEDULES

1. Report below for each rate schedule in effect during the year the thousand gallons sold, revenue, average number of customers, average thousand gallons sold per customer, and average revenue per thousand gallons.

2. Provide a subheading and total for each prescribed operating revenue account in the sequence followed in "Water Operating Revenues," page 300. If the sales under any rate schedule are classified in more than one revenue account, list the rate schedule and sales data under each applicable revenue account subheading.

3. Where the same customers are served under more than one rate schedule in the same revenue account classification, the entries in column (d) for the special schedule should denote the duplication in number of reported customers.

4. The average number of customers should be the number of bills rendered during the year divided by the number of billing periods during the year (12 if all billings are made monthly).

Line No.	Number and Title of Rate Schedule (a)	Thousand Gallons Sold (b)	Revenue (c)	Average Number of Customers (d)	Thousand Gallons Per Customer (e)	Revenue Per Thousand Gallons (f)
1						
2	Service Class 1,2,6,7,9,10					
3	Residential	6,014,149	\$45,256,526	67,471	89	7.53
4	Commercial	1,627,963	10,006,905	4,908	332	6.15
5	Industrial	426,266	2,089,407	105	4,060	4.90
6						
7						
8	TOTALS (Accounts 460.1, 461.1)	8,068,378	\$57,352,838	72,484	111	\$7.11
9						
10						
11						
12						
13						
14						
15						
16	TOTALS (Accounts 460.2, 461.2)	0	\$0	0		
17						
18						
19						
20						
21						
22						
23						
24	TOTALS (Accounts 460.3, 461.3)	0	\$0	0		
25						
26	Service Class 3	N/A	2,455,053	1,644	0	N/A
27						
28						
29						
30	TOTALS (Account 462)	0	\$2,455,053	1,644	0	
31						
32	Service Class 4	N/A	5,822,396	71	0	N/A
33						
34						
35						
36	TOTALS (Account 463)	0	\$5,822,396	71	0	
37						
38						
39						
40						
41						
42	TOTALS (Account 464)	0	\$0	0		
43						
44						
45						
46						
47						
48	TOTALS (Account 465)	0	\$0	0		

SALES OF WATER BY MUNICIPALITIES

1. Report below the information called for concerning each city, village, town, or water supply district at any time during the year.
If service is restricted to a portion of a city, designate the boroughs or area covered by the respondent's operations.

2. If any items were determined by estimate or apportionment, state that fact and give full particulars in a footnote.

Line No.	Name of Municipality (a)	Residential			Commercial		
		Operating Revenues (b)	Thousand Gallons (c)	Average Number of Customers (d)	Operating Revenues (e)	Thousand Gallons (f)	Average Number of Customers (g)
1	Town of Orangetown	\$6,255,496	801,774	10,559	\$2,429,283	434,268	792
2	Town of Ramapo	\$5,502,051	746,877	6,896	\$1,150,904	189,491	525
3	Town of Clarkstown	\$13,314,058	1,730,292	21,489	\$2,641,703	404,528	1,466
4	Town of Haverstraw	\$1,720,119	237,628	2,507	\$306,977	47,085	163
5	Town of Stony Point	\$2,175,634	275,422	3,766	\$353,415	56,114	259
6	Village of Spring Valley	\$4,260,799	671,011	3,159	\$775,422	123,075	435
7	Village of SV-Clarkstown	\$185,724	25,091	244	\$83,448	12,441	86
8	Village of Grandview	\$85,410	10,863	126	\$780	73	6
9	Village of Piermont	\$450,666	62,546	694	\$89,424	13,453	45
10	Village of Upper Nyack	\$391,043	48,377	698	\$71,654	11,439	31
11	Village of New Square	\$515,734	70,580	772	\$109,577	17,986	26
12	Village of Haverstraw	\$1,565,876	209,000	2,725	\$453,045	73,516	270
13	Village of W.Haverstraw	\$1,611,719	212,849	2,796	\$286,033	45,849	170
14	Village of Airmont	\$1,477,049	189,493	2,321	\$361,972	54,816	286
15	Village of Pomona-Haverstraw	\$434,996	53,656	648	\$20,298	3,100	13
16	Village of Pomona-Ramapo	\$238,597	30,040	368	\$17,866	2,593	12
17	Village of Kaser	\$403,548	54,778	505	\$142,950	25,413	15
18	Village of Hillburn	\$0	0	0	\$0	0	3
19	Village of Westley Hills	\$944,501	120,306	1,292	\$35,401	5,207	38
20	Village of Chestnut Ridge	\$1,303,518	165,700	2,124	\$225,107	36,742	106
21	Village of Montebello	\$857,177	108,136	978	\$162,371	25,273	46
22	Village of Pothat	\$5,221	530	17	\$2,308	328	2
23	Village of Sloatsburg	\$465,487	53,222	994	\$57,239	17,345	73
24	Village of Tuxedo	\$1,219	141	2	\$40,008	3,159	7
25	Village of New Hemstead	\$878,852	111,618	1,315	\$25,477	3,897	18
26	Blue Lake	\$89,283	10,120	199	\$153,314	19,248	10
27	Indian Kill	\$80,217	9,093	188	\$6,873	1,011	1
28	Maple Brook	\$21,275	2,456	45	\$2,956	389	1
29	NYU	\$7,329	1,040	3	\$0	0	0
30	Sterling Lake	\$13,929	1,509	41	\$1,101	126	3
31							
32							
33							
34							
35							
36							
37							
38							
39							
40							
41							
42							
43							
44							
45							
46							
47	TOTAL SALES	\$45,256,526	6,014,149	67,471	\$10,006,905	1,627,963	4,908

SALES OF WATER BY MUNICIPALITIES (CONTINUED)

3. The information to be shown below should be the same basis as provided in "Water Operating Revenues".

4. The totals should agree with the amounts for those accounts shown in Schedule entitled "Water Operating Revenues".

Industrial			Private Fire Protection Service			Public Fire Protection Service			Line No.
Operating Revenues (h)	Thousand Gallons (i)	Average Number of Customers (j)	Operating Revenues (k)	Thousand Gallons (l)	Average Number of Customers (m)	Operating Revenues (n)	Thousand Gallons (o)	Average Number of Customers (q)	
\$1,784,459	371,697	39	\$509,069		208	\$965,511		8	1
\$6,264	1,056	4	\$286,022		395	\$723,885		28	2
\$187,160	36,231	15	\$696,392		382	\$1,952,327		13	3
\$995	147	1	\$68,475		47	\$208,114		3	4
\$17,612	2,674	14	\$65,049		31	\$278,952		1	5
\$17,794	2,826	5	\$211,360		178	\$214,382		2	6
\$0	0	0	\$53,103		19	\$0		0	7
\$0	0	0	\$772		0	\$19,683		1	8
\$0	0	0	\$42,434		20	\$85,510		1	9
\$0	0	0	\$15,806		14	\$67,685		2	10
\$0	0	0	\$9,728		30	\$32,980		1	11
\$42,618	7,034	4	\$70,643		54	\$125,658		1	12
\$678	52	4	\$46,553		27	\$128,852		1	13
\$10,040	1,483	11	\$119,212		73	\$98,378		1	14
\$0	0	0	\$291		2	\$57,468		1	15
\$0	0	0	\$3,571		3	\$43,832		2	16
\$0	0	0	\$15,352		47	\$0		0	17
\$0	0	0	\$0		0	\$0		0	18
\$0	0	0	\$9,157		5	\$158,768		1	19
\$6,769	1,071	4	\$77,966		38	\$231,692		1	20
\$0	0	0	\$52,067		28	\$143,895		1	21
\$1,094	150	1	\$12,789		4	\$0		0	22
\$0	0	0	\$12,004		6	\$136,973		1	23
\$11,256	1,443	0	\$2,420		2	\$203		0	24
\$0	0	0	\$25,165		23	\$134,417		1	25
\$0	0	0	\$9,237		3	\$2,716		0	26
\$2,564	402	2	\$31,892		2	\$8,106		0	27
\$0	0	0	\$8,252		1	\$2,410		0	28
\$0	0	0	\$0		0	\$0		0	29
\$105	0	1	\$270		2	\$0		0	30
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									46
\$2,089,407	426,266	105	\$2,455,053	0	1,644	\$5,822,396	0	71	47

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SALES OF WATER BY MUNICIPALITIES							
Line No.	Name of Municipality (a)	Other Sales to Public Authorities			Sales to Irrigation Customers		
		Operating Revenues (b)	Thousand Gallons (c)	Average Number of Customers (d)	Operating Revenues (e)	Thousand Gallons (f)	Average Number of Customers (g)
1							
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46							
47	TOTAL SALES	\$0	0	0	\$0	0	0

SALES FOR RESALE AND PURCHASED WATER (Account 466 and 602)

Report below particulars of sales or purchases for redistribution during the year.

Line No.	Sold To (a)	Thousand Gallons Supplied (b)	Revenue (c)	Average per Thousand Gallons (Cents) (d)	Purchased From (e)	Thousand Gallons (f)	Cost (g)	Average per Thousand Gallons (Cents) (h)
1								
2	United Water New Jersey	35,333	181,945	5.15	Lake Tiorati Releases		10,000	
3								
4	Village of Hillburn	38,236	89,331	2.34	New York State Office of Parks	182,500	178,317	0.98
5								
6	Town of Ramapo	0	(47,670)		Purchased Water Deferral		(15,533)	
7								
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31								
32								
33	TOTAL	73,569	\$223,605	7.49	TOTAL	182,500	\$172,784	0.98

MISCELLANEOUS SERVICE REVENUES AND OTHER WATER REVENUES (ACCOUNT 471, 474)

1. Report particulars concerning other water revenues derived from water utility operations during the year. Provide a subheading and amount for each classification of Account 474.

2. Designate associated companies.

3. Minor items may be grouped by classes.

LINE NO.	DESCRIPTION OF SERVICE (a)	Amount of Revenue for Year (b)
1		
2	Meter Reset Fees	0
3	Turn On Charges	47,775
4	Hydrant Fees	2,909
5	Returned Check Charges	5,808
6	DeForest Lake Fishing Program	
7		
8		
9		
10		
11		
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14		
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18		
19	TOTAL (Account 471)	\$56,492
20		
21		
22	Revenue Reconciliation/Deferrals	6,534,723
23		
24	Late Payment Charges	525,736
25		
26	Meter Estimating Fees	81,475
27		
28	Sales from Stores	0
29		
30	Unbilled Revenue	693,647
31		
32	Distribution System Improvement (New Water Supply Surcharge)	(13)
33		
34	Construction Recovery Surcharge	(12)
35		
36		
37		
38		
39		
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42		
43		
44		
45		
46		
47	TOTAL (Account 474)	\$7,835,556

WATER OPERATION AND MAINTENANCE EXPENSES (Accounts 401 - 402.1)

Enter in the space provided the operation and maintenance expenses for the year and previous year.

LINE NO.	ACCOUNT (a)	AMOUNT FOR CURRENT YEAR (b)	AMOUNT FOR PREVIOUS YEAR (c)
1	1. SOURCE OF SUPPLY EXPENSES		
2	OPERATIONS		
3	600 OPERATION SUPERVISION AND ENGINEERING	54,409	79,950
4	601 OPERATION LABOR & EXPENSES	83,417	99,839
5	602 PURCHASED WATER	172,784	167,206
6	603 MISCELLANEOUS EXPENSES	115,378	95,115
7	604 RENTS		
8	TOTAL OPERATION	425,988	442,110
9	MAINTENANCE		
10	610 MAINTENANCE SUPERVISION & ENGINEERING	6,979	512
11	611 MAINTENANCE OF STRUCTURES & IMPROVEMENTS	1,251	1,263
12	612 MAINTENANCE OF COLLECTING & IMPOUNDING RESERVOIRS	115	781
13	613 MAINTENANCE OF LAKE, RIVER & OTHER INTAKES	3,642	16,668
14	614 MAINTENANCE OF WELLS & SPRINGS	209,264	319,157
15	615 MAINTENANCE OF INFILTRATION GALLERIES & TUNNELS	0	0
16	616 MAINTENANCE OF SUPPLY MAINS	13	158
17	617 MAINTENANCE OF MISCELLANEOUS WATER RESOURCE PLANT	141	5,419
18	TOTAL MAINTENANCE	221,405	343,958
19	TOTAL SOURCE OF SUPPLY EXPENSE	647,393	786,068
20	2. PUMPING EXPENSES		
21	OPERATIONS		
22	620 OPERATIONS SUPERVISION AND ENGINEERING	1,053,953	857,942
23	621 FUEL FOR POWER PRODUCTION	0	0
24	622 POWER PRODUCTION LABOR AND EXPENSES	329	0
25	623 FUEL OR POWER PURCHASED FOR PUMPING	3,472,388	3,600,932
26	624 PUMPING AND LABOR EXPENSES	207,471	236,122
27	625 EXPENSES TRANSFERRED - CREDIT	0	0
28	626 MISCELLANEOUS EXPENSES	125,689	176,818
29	627 RENTS	0	0
30	TOTAL OPERATIONS	4,859,830	4,871,814
31	MAINTENANCE		
32	630 MAINTENANCE SUPERVISION AND ENGINEERING	125,573	171,452
33	631 MAINTENANCE OF STRUCTURES AND IMPROVEMENTS	241,853	179,023
34	632 MAINTENANCE OF POWER PRODUCTION IMPROVEMENTS	130,794	131,299
35	633 MAINTENANCE OF PUMPING EQUIPMENT	397,974	396,761
36	TOTAL MAINTENANCE	896,194	878,535
37	TOTAL PUMPING EXPENSES	5,756,024	5,750,349
38	3. WATER TREATMENT EXPENSES		
39	OPERATION		
40	640 OPERATION SUPERVISION AND ENGINEERING	78,463	129,687
41	641 CHEMICALS	1,136,085	1,018,110
42	642 OPERATION AND LABOR EXPENSE	2,314,527	2,185,208
43	643 MISCELLANEOUS EXPENSES	853,159	723,980
44	644 RENTS	17,250	178
45	TOTAL OPERATION	4,399,484	4,057,163

WATER OPERATION AND MAINTENANCE EXPENSES (Accounts 401 - 402.1)

Enter in the space provided the operation and maintenance expenses for the year and previous year.

LINE NO.	ACCOUNT (a)	AMOUNT FOR CURRENT YEAR (b)	AMOUNT FOR PREVIOUS YEAR (c)
46	MAINTENANCE		
47	650 MAINTENANCE SUPERVISION AND ENGINEERING	114,801	47,935
48	651 MAINTENANCE OF STRUCTURES AND IMPROVEMENTS	26,574	17,479
49	652 MAINTENANCE OF WATER TREATMENT EQUIPMENT	151,702	49,842
50	TOTAL MAINTENANCE	293,077	115,256
51	TOTAL WATER TREATMENT EXPENSES	4,692,561	4,172,419
52	4. TRANSMISSION AND DISTRIBUTION EXPENSES		
53			
54	OPERATION		
55	660 OPERATION SUPERVISION AND ENGINEERING	94,066	121,893
56	661 STORAGE FACILITIES EXPENSE	0	0
57	662 TRANSMISSION AND DISTRIBUTION LINE EXPENSES	1,205,830	1,072,507
58	663 METER EXPENSES	709,344	614,113
59	664 CUSTOMER INSTALLATIONS EXPENSE	249,616	192,674
60	665 MISC EXPENSES	133,920	189,179
61	666 RENTS	99	0
62	TOTAL OPERATION	2,392,874	2,190,366
63	MAINTENANCE		
64	670 MAINTENANCE SUPERVISION AND ENGINEERING	180,976	92,896
65	671 MAINTENANCE OF STRUCTURES AND IMPROVEMENTS	6,375	2,117
66	672 MAINTENANCE OF DISTRIBUTION RESERVOIRS AND STANDPIPE	63,855	53,609
67	673 MAINTENANCE OF TRANSMISSION AND DISTRIBUTION MAINS	1,775,105	2,326,067
68	674 MAINTENANCE OF FIRE MAINS	0	527
69	675 MAINTENANCE OF SERVICES	1,118,584	1,187,137
70	676 MAINTENANCE OF METERS	355,473	209,178
71	677 MAINTENANCE OF HYDRANTS	185,806	225,273
72	678 MAINTENANCE OF MISCELLANEOUS PLANTS	216,821	224,150
73	TOTAL MAINTENANCE	3,902,995	4,320,954
74	TOTAL TRANSMISSION & DISTRIBUTION EXPENSE	6,295,870	6,511,320
75			
79	5. CUSTOMER ACCOUNTS EXPENSES		
80			
81			
82	901 SUPERVISION	80,683	80,251
83	902 METER READING EXPENSES	615,014	556,381
84	903 CUSTOMER RECORDS AND COLLECTION EXPENSES	1,655,808	1,527,849
85	904 UNCOLLECTIBLE ACCOUNTS	1,424,656	389,882
86	905 MISC CUSTOMER ACCOUNT EXPENSES	19,631	25,060
87	TOTAL CUSTOMER ACCOUNT EXPENSES	3,795,793	2,579,423

WATER OPERATION AND MAINTENANCE EXPENSES (Accounts 401 - 402.1)

Enter in the space provided the operation and maintenance expenses for the year and previous year.

LINE NO.	ACCOUNT (a)	AMOUNT FOR CURRENT YEAR (b)	AMOUNT FOR PREVIOUS YEAR (c)
88	6. SALES EXPENSES		
89	OPERATIONS		
90	910 SALES EXPENSES		
91			
92	7. ADMINISTRATIVE AND GENERAL EXPENSES		
93	OPERATIONS		
94	920 ADMINISTRATION & GENERAL SALARIES	1,192,874	667,682
95	921 OFFICE SUPPLIES & OTHER EXPENSES	147,012	123,718
96	922 ADMINISTRATIVE EXPENSES TRANSFERRED - CR.	(5,082,473)	(4,779,814)
97	923 OUTSIDE SERVICES EMPLOYED	4,760,841	4,405,718
98	924 PROPERTY INSURANCE	431,166	638,816
99	925 INJURIES AND DAMAGES	181,388	207,365
100	926 EMPLOYEE PENSIONS AND BENEFITS	3,838,234	3,758,602
101	927 FRANCHISE REQUIREMENTS	0	0
102	928 REGULATORY COMMISSION EXPENSES	420,118	360,244
103	929 DUPLICATE CHARGES - (CREDIT)	(23,752)	0
104	930 MISCELLANEOUS GENERAL EXPENSES	1,219,800	1,270,515
105	931.1 GENERAL RENTS	23,424	22,324
106	931.2 EXPENSES OF DATA PROCESSING EQUIPMENT	0	0
107			
108	TOTAL OPERATION	7,108,632	6,675,170
109	MAINTENANCE		
110	932 MAINTENANCE OF GENERAL PLANT	463,346	451,501
111	TOTAL MAINTENANCE	463,346	451,501
113	TOTAL ADMINISTRATIVE AND GENERAL EXPENSES	7,571,977	7,126,671
114			
115			
116			
117	TOTAL WATER O&M EXPENSES - SUM OF 7 CATEGORIES ABOVE	\$28,759,617	\$26,926,250

NUMBER OF WATER DEPARTMENT EMPLOYEES

1. The data on number of employees should be reported for the payroll period ending December 31, or any payroll period ending 60 days before December 31.

2. If the respondent's payroll for the reporting period includes any special construction personnel, include such employees on line 3, and show the number of such special construction employees in a footnote.

3. The number of employees assignable to the water company from joint functions of the parent or affiliates may be determined by estimate, on the basis of employee equivalents.

1.	Payroll Period ended (Date)	12/31/12
2.	Total Regular Full-Time Employees	119
3.	Total Part-Time and Temporary Employees	0
4.	Total Employees	119

FUEL OR POWER PURCHASED FOR PUMPING (ACCOUNT 623)

Show the requested information concerning items includible in account 623, Fuel or Power Purchased for Pumping during the year.

Line No.	Name of Vendor (a)	Kind of Power (b)	Number of units purchased or transferred (c)	Amount (d)
1				
2	Orange & Rockland Utilities, Inc, Constellation	Electric	27,943,637	\$ 3,029,179
3				
4	Purchased Power Deferral			443,209
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49	TOTAL		27,943,637	\$3,472,388

DEPRECIATION AND AMORTIZATION OF WATER PLANT (Accounts 403, 404, 405)

(Except amortization of acquisition adjustments)

1. Report in section A for the year amounts of depreciation expense (account 403) according to plant functional classifications, amortization of limited-term water Plant (Account 404); and (c) Amortization of Other Water Plant (Account 405).
2. Report in section B the rates used to compute amortization charges for water plant (Accounts 404 and 405). State the basis used to compute charges and whether any changes have been made in the basis or rates used from the preceding report year.
3. Report all available information called for in section C every fifth year beginning with report year 1972, reporting annually only changes to columns (c) through (g) from the complete report of the preceding year.
- Unless composite depreciation accounting for total depreciable plant is followed, list numerically in column (a) each plant subaccount, account or functional classification, as appropriate, to which a rate is applied. Identify at the bottom of section C the type of plant included in any subaccounts used.
- In column (b) report all depreciable plant balances to which rates are applied showing subtotals by functional classifications and showing a composite total. Indicate at the bottom of section C the manner in which column balances are obtained. If average balances, state the method of averaging used.
- For columns (c), (d), and (e) report available information for each plant subaccount, account or functional classification listed in column (a). If plant mortality studies are prepared to assist in estimating average service lives, show in column (f) the type mortality curve selected as most appropriate for the account and in column (g), if available, the weighted average remaining life of surviving plant.
- If composite depreciation accounting is used, report available information called for in columns (b) through (g) on this basis.
4. If provisions for depreciation were made during the year in addition to depreciation provided by application of reported rates, state at the bottom of section C the amounts and nature of the provisions and the plant items to which related.

A. Summary of Depreciation and Amortization Charges

Line No.	Functional Classification (a)	Depreciation Expense (Account 403) (b)	Amortization of Limited-Term Water Plant (Acct. 404) (c)	Amortization of Other Water Plant (Acct. 405) (d)	Total (e)
1	Intangible Plant				\$0
2	Source of Supply	322,280	215,409		537,689
3	Pumping Plant	861,616	0		861,616
4	Water Treatment Plant	1,163,679	0		1,163,679
5	Transmission and Distribution Plant	4,499,500	0		4,499,500
6	General Plant	1,363,713	0		1,363,713
7	Common Plant-Water				0
8	TOTAL	\$8,210,788	\$215,409	\$0	\$8,426,197

B. Basis for Amortization Charges

DEPRECIATION AND AMORTIZATION OF WATER PLANT (Continued)**C. Factors Used in Estimating Depreciation Charges**

Line	Account No. (a)	Depreciable Plant Base (In thousands) (b)	Estimated Avg. Service Life (c)	Net Salvage (Percent) (d)	Applied Depr. Rates (Percent) (e)	Mortality Curve Type (f)	Average Remaining Life (g)
9	312	7,025	78.1		1.28%		
10	312	1,382	12.8		7.84%		
11	313	6,439	66.7		1.50%		
12	314	4,877	45.5		2.20%		
13	316	2,471	95.2		1.05%		
14	317	114	20.0		5.00%		
15	321	8,867	43.9		2.28%		
16	325	23,361	38.2		2.62%		
17	328	1,515	25.0		4.00%		
18	331	16,711	48.3		2.07%		
19	332	21,421	37.6		2.66%		
20	332	4,822	35.0		2.86%		
21	332	3,960	30.0		3.33%		
22	342	11,048	40.3		2.48%		
23	343	8,413	96.2		1.04%		
24	343	17,880	93.5		1.07%		
25	343	48,927	90.9		1.10%		
26	343	57,587	87.0		1.15%		
27	343	649	77.5		1.29%		
28	345	45,687	28.7		3.48%		
29	346	4,216	15.0		6.67%		
30	346	2,799	20.5		4.87%		
31	346	931	25.0		4.00%		
32	346	12,617	26.1		3.83%		
33	346	2,407	29.4		3.40%		
34	348	14,005	53.8		1.86%		
35	390	4,785	55.0		1.82%		
36	391	16,770	15.3		6.53%		
37	392	126	5.0		20.00%		
38	393	178	25.0		4.00%		
39	394	990	26.7		3.75%		
40	395	342	15.2		6.60%		
41	396	162	20.0		5.00%		
42	397	2,827	20.0		5.00%		
43	397	15	10.0		10.00%		
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56		\$356,326					

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MISCELLANEOUS GENERAL EXPENSES (Account 930)		
Line No.	Description (a)	Amount (b)
1	Industry Association Dues	\$80,569
2	Experimental and general research expenses	
3	Publishing and Distributing Information and Reports to Stockholders; Trustee, Registrar, and Transfer Agent Fees and Expenses, and Other Expenses of Servicing Outstanding Securities of the Respondent	15,150
4	Other Expenses (List items of \$1,000 or more in this column showing the (1) purpose, (2) recipient and (3) amount of such items. Group amounts of less than \$1,000 by classes if the number of items so grouped is shown).	
5	Miscellaneous Expense	83,102
6	Amortization of OPEB Costs - Rate Case: 09-W-0731	817,896
7	Amort of AFUDC Equity GU - Rate Case: 09-W-0731	70,178
8	Staff Meetings, Conferences & Seminars	0
9	Amortization of ESP/ERP Costs	49,959
10	Bank charges	1,135
11	Safety Equipment	6,304
12	Other Misc G&A Expenses	71,754
13	System Water Use	23,752
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49	TOTAL	\$1,219,799

RECONCILIATION OF REPORTED NET INCOME WITH TAXABLE INCOME FOR FEDERAL INCOME TAXES

1. Report the reconciliation of reported net income for the year with taxable income used in computing Federal income tax accruals and show computation of such tax accruals. Include in the reconciliation, as far as practicable, the same detail as furnished on Schedule M-1 of the tax return for the year. Submit a reconciliation even though there is no taxable income for the year. Indicate clearly the nature of each reconciling amount.
2. If the utility is a member of a group which files a consolidated Federal tax return, reconcile reported net income with taxable net income as if a separate return were to be filed, indicating, however, intercompany amounts to be eliminated in such consolidated return. State names of group members, tax assigned to each group member, and basis of allocation, assignment, or sharing of the consolidated tax among group members.
3. A substitute page, designed to meet a particular need of a company, may be used as long as the data is consistent and meets the requirements of the above instructions. For electronic reporting purposes complete line 27 and provide the substitute page in the context of a footnote.

Line No.	Particulars (Details) (a)	Amount (b)
1	Net Income for the Year (Page 118)	\$10,874,338
2	Reconciling Items for the Year	
3		
4	Taxable Income Not Reported on Books	
5	Accrued VEBA / PEBOP	664,030
6	PBOP Liability - Interanal Reserve	703,609
7	AFUDC Avoided Interest	893,970
8	Accrued Pension - Internal Reserve	1,943,008
9	Deferred interest on Pension/PBOP Internal Reserve	581,065
10	Bad Debt	763,411
11	Deferred Property Tax Reconciliation	1,191,893
12	Rate Case Expense	116,497
13	Deferred Power Costs	100,611
14	Other Temporary Tax Adjustments	627,056
15	Deductions Recorded on Books, Not Deducted for Return	
16	Federal & State Income Tax Recorded	7,718,801
17		
18	Income Recorded on Books, Not Included in Return	
19		
20		
21	Deductions Recorded on Return, Not Charged Against Book Income	
22	Tax Vs. Book Depreciation	(2,142,196)
23	Deferred Property Tax Reconciliation	0
24	AFUDC Equity	(1,341,857)
25	PBOP Liability Trustee	(355,032)
26	AFUDC Equity Gross-up	(2,583,455)
27	Injury and Damage expenses	(241,728)
28	Joint Clamps Deducted	(415,127)
29	Cost of Removal	(777,586)
30	Prepaid Franchise	(228,673)
31	Regulatory Liabilities	(1,450,373)
32	Revenue Adjustment	(3,286,285)
33		
34		
35	Prepaid Pension	(440,441)
36	Other Temporary Tax Adjustments	(1,017,402)
37	Current State Income Tax Provision	(883,805)
38		
39		
40	Federal Tax Net Income	\$11,014,329
41	Show Computation of Tax:	
42	35% of Taxable Income	\$3,855,015
43	State Income Tax	883,804
44	Adjustment of Prior Years Tax / SIT/ITC/TUP	(5,062)
45	Other	
46	Income Taxes. Utility Operating Income (409.1)	\$4,733,757
47	Provision for Deferred Income Taxes (410.1XX)	\$3,011,002
48	Provision for Deferred Income Taxes Cr. (411.1)	0
49	Amortization of Investment Tax Credit (410.105)	(25,959)
50	Federal Income Tax Expense	\$2,985,043
51		

INCOME FROM MERCHANDISING, JOBBING AND CONTRACT WORK (Accounts 415 and 416)

1. Report by utility departments the revenues, costs, expenses, and net income from merchandising, jobbing and contract work during the year. Report also the applicable taxes included in Accounts 408 and 409 and income after such taxes. Give the bases of any allocation of expenses between utility and merchandising, jobbing and contract work activities.

LINE NO.	ITEM (a)	WATER DEPARTMENT (b)	(c)	(d)	TOTAL (e)
1	Revenues:				
2	Merchandise sales, less discounts, allowances and returns	\$3,101			\$3,101
3	Contract work				0
4	Commissions				0
5	Other (list according to major classes)				0
6					0
7					0
8					0
9					0
10	Total Revenues	\$3,101	\$0	\$0	\$3,101
11					
12	Costs and Expenses:				
13	Costs of Sales (list according to major classes of costs)	\$56,057			\$56,057
14					0
15					0
16					0
17					0
18					0
19					0
20					0
21					0
22					0
23					0
24					0
25					0
26	Sales expenses				0
27	Customer accounts expenses				0
28	Administrative and general expenses				0
29	Depreciation				0
30	Total Costs and Expenses	\$56,057	\$0	\$0	\$56,057
31					
32					
33					
34	Net Income (before taxes)	(\$52,956)	\$0	\$0	(\$52,956)
35					
36	Taxes:(408,409)				
37	Federal				0
38	State				0
39	Other				0
40					
41	Total Taxes	\$0	\$0	\$0	\$0
42					
43					
44					
45	Net Income (after taxes)	(\$52,956)	\$0	\$0	(\$52,956)

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PARTICULARS CONCERNING CERTAIN OTHER INCOME ACCOUNTS

Report the information specified below, in the order given, for the respective other income accounts. Provide a subheading for each account and show a total for the account. Additional columns may be added if deemed appropriate with respect to any account.

(a) Income from Nonutility Operations (Accounts 417 and 417.1) - Describe each nonutility operation, maintenance, depreciation, rents, amortization and net income, before

taxes from operations. Give the basis of any allocation of expenses between utility/nonutility operations. The book cost of property classified as nonutility operations should be included in Account 121.

(b) Miscellaneous Nonoperating Income (Account 421) -

Give the nature and source of each miscellaneous nonoperating income, and the amount thereof for the year. Minor items may be grouped.

Line No.	Item (a)	Amount (b)
1	<u>Income from Nonutility Operations (Accounts 417 and 417.1)</u>	
2		
3	None	
4		
5		
6		
7		
8		
9		
10		
11		
12		
13		
14		
15		
16		
17		
18		
19		
20		
21		
22		
23		
24	TOTAL	\$0
25		
26	<u>Miscellaneous Nonoperating Income (Account 421)</u>	
27		
28	Antenna Site Rental	\$39,527
29	Taxable Service Laterals - taxes	35,161
30	Miscellaneous Nonoperating Income	1,784
31		
32		
33		
34		
35		
36		
37		
38		
39		
40		
41	TOTAL	\$76,472

GAIN OR LOSS ON DISPOSITION OF PROPERTY (Accounts 421.1 and 421.2)					
<p>1. Give a brief description of property creating the gain or loss. Include name of party acquiring the property (when acquired by another utility or associated company) and the date transaction was completed. Identify property by type: Leased, Held for Future Use, or Nonutility.</p> <p>2. Individual gains or losses relating to property with an</p>		<p>original cost of less than \$2,500 may be grouped, with the number of such transactions disclosed in column (a).</p> <p>3. Give the date of Commission approval of journal entries in column (b), when approval is required. Where approval is required but has not been received, give explanation following the item in column (a). See Account 102, Utility Plant Purchased or Sold).</p>			
Line No.	Description of Property (a)	Original Cost of Related Property (b)	Date Journal Entry Approved (When Required) (c)	Account 421.1 (d)	Account 421.2 (e)
1	<u>Gain on Disposition of Property:</u>				
2					
3	None				
4					
5					
6					
7					
8					
9					
10					
11					
12					
13					
14					
15	TOTAL GAIN			\$0	
16	<u>Loss on Disposition of Property:</u>				
17					
18	None				
19					
20					
21					
22					
23					
24					
25					
26					
27					
28					
29					
30	TOTAL LOSS				\$0

PARTICULARS CONCERNING CERTAIN INCOME DEDUCTIONS AND INTEREST CHARGES ACCOUNTS

Report the information specified below, in the order given, for the respective income deduction and interest charges accounts. Provide a subheading for each account and a total for the account. Additional columns may be added if deemed appropriate with respect to any account.

(a) Miscellaneous Amortization (Account 425)-Describe the nature of items included in this account, the contra account charged, the total of amortization charges for the year, and the period of amortization.

(b) Miscellaneous Income Deductions-Report the nature, payee, and amount of other income deductions for the year as required by Accounts 426.1, Donations; 426.2, Life Insurance; 426.3, Penalties; 426.4, Expenditures for Certain Civic, Political and Related Activities; and 426.5, Other

Deductions, of the Uniform System of Accounts. Amounts of less than \$1,000 may be grouped by classes within the above subaccounts if the number of items so grouped is shown.

(c) Interest on Debt to Associated Companies (Account 430)-For each associated company to which interest on debt was incurred during the year, indicate the amount and interest rate respectively for (a) advances on notes, (b) advances on open account, (c) notes payable, (d) accounts payable, and (e) other debt, and total interest. Explain the nature of other debt on which interest was incurred during the year.

(d) Other Interest Expense (Account 431)-Report particulars (details) including the amount and interest rate for other interest charges incurred during the year.

Line No.	Item (a)	Amount (b)
1	<u>Miscellaneous Amortization (Account 425)</u>	
2		
3		
4		
5		
6		
7		
8		
9		
10	Total Misc. Amortization	\$0
11	<u>Donations (Account 426.1)</u>	
12	CALVARYBAP Total	\$1,000
13	MARIAN SHRINE Total	1,000
14	STONY POINT, TOWN OF Total	1,000
15	ROCKLAND ECONOMIC DEVELOPMENT Total	1,250
16	UNITED WAY Total	1,250
17	ROCKLAND BUSINESS ASSOCIATION Total	1,270
18	METROPOLITAN MUSIC LLC Total	1,450
19	KEEP ROCKLAND BEAUTIFUL INC Total	1,605
20	HELEN HAYES HOSPITAL FOUNDATIO Total	1,950
21	CAMP VENTURE INC Total	2,500
22	PEOPLE TO PEOPLE Total	2,500
23	JAWONIO FOUNDATION Total	3,200
24	MEALS ON WHEELS OF ROCKLAND CO Total	3,450
25	UNITED WATER FOUNDATION INC Total	3,451
26	DOMINICAN COLLEGE Total	4,300
27	FRIENDS OF ST DOMINIC'S INC Total	4,500
28	PALISADES INTERSTATE PARK COMM Total	5,000
29	HAVERSTRAW Total	5,300
30	BASEBALL ASSISTANCE TEAM Total	7,000
31	NEW JERSEY SHARES INC Total	10,000
32		
33	Various Other Contributions (29)	8,745
34		
35		
36		
37		
38		
39		
40		
41	Total Donations	\$71,721

PARTICULARS CONCERNING CERTAIN INCOME DEDUCTIONS AND INTEREST CHARGES ACCOUNTS		
Line No.	Item (a)	Amount (b)
1	<u>Life Insurance (Account 426.2)</u>	
2		
3		
4		
5		
6		
7	Total Life Insurance	\$0
8	<u>Penalties (Account 426.3)</u>	
9		
10	Penalties	13,118
11		
12		
13		
14		
15	Total Penalties	\$13,118
16	<u>Expenditures for Certain Civic, Political, and Related Activities (Account 426.4)</u>	
17		
18	None	
19		
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23		
24		
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26		
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52	Total Exp. for Certain Civic, Political and Related Activities	\$0

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PARTICULARS CONCERNING CERTAIN INCOME DEDUCTIONS AND INTEREST CHARGES ACCOUNTS		
Line No.	Item (a)	Amount (b)
1	<u>Other Deductions (Account 426.5)</u>	
2		
3	MTBE	\$208,015
4	Misc non operating deductions	18,889
5	Employee Withholding	(7,309)
6		
7		
8		
9		
10		
11		
12		
13		
14		
15	Total Other Deductions	\$219,595
16	<u>Interest on Debt to Associated Companies (Account 430)</u>	
17		
18		
19		
20		
21		
22		
23		
24		
25		
26	Total Interest on Debt to Associated Companies	\$0
27	<u>Other Interest Expense (Account 431)</u>	
28		
29	Interest on Customer Deposits @4.85%	\$ 3,367
30	Interest on Deferred Directors Fees Based on 90-Day T-Bills	220
31	Interest on Bank Loans-Prime Rate	562,623
32	Interest Bank Fees	90,844
33	Interest-Real Estate Taxes	(20,954)
34	Interest-MTBE	11,383
35	Interest TSA	9,027
36	Interest Pension/PBOP Reserve	581,455
37	Interest - Sales Tax	(700,835)
38	Intersest Other	(155)
39	Interest allocated to UWNY by UWNJ	2,870,931
40		
41	Total Other Interest Expense	\$ 3,407,907
42		
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EXTRAORDINARY ITEMS (Accounts 434 and 435)

1. Give below a brief description of each item included in accounts 434, Extraordinary Income and 435, Extraordinary Deductions.
2. Give reference to Commission approval, including date of approval, for extraordinary treatment of any item which amounts to less than 5% of income. (See General Instruction section 561.7 of the applicable Uniform System of Accounts.
3. Income tax effects relating to each extraordinary item should be listed in Column (c).

LINE NO.	DESCRIPTION OF ITEMS (a)	GROSS AMOUNT (b)	RELATED FEDERAL TAXES (c)
1	Extraordinary Income (Account 434):		
2			
3	None		
4			
5			
6			
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20			
21	Total Extraordinary Income	\$0	\$0
22	Extraordinary Deductions (Account 435):		
23			
24	None		
25			
26			
27			
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44			
45			
46	Total Extraordinary Deductions	\$0	\$0
47	Net Extraordinary Items	\$0	\$0

REGULATORY COMMISSION EXPENSES (Account 928)

1. Report particulars (details) of regulatory commission expenses incurred during the current year (or incurred in previous years, if being amortized) relating to formal cases before a regulatory body, or cases in which such a body was a party.

2. Report in columns (b) and (c) only the current year's expenses that are not deferred and the current year's amortization of amounts deferred in previous years.

Line No.	Description (Furnish name of regulatory commission or body the docket or case number, and a description of the case.) (a)	Assessed by Regulatory Commission (b)	Expenses of Utility (c)	Total Expenses for Current Year (b) + (c) (d)	Deferred in Account 186 Beginning of Year (e)
1					
2	Section 18-a Fiscal Year Ending 3/3/2013	\$187,375		\$187,375	
3	REFUND	(11,761)		(11,761)	
4	Amortization of Rate Case Expense		244,505	244,505	
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43					
44					
45					
46	TOTAL	\$175,613	\$244,505	\$420,118	\$0

REGULATORY COMMISSION EXPENSES (Continued)

3. Show in column (k) any expenses incurred in prior years which are being amortized. List in column (a) the period of amortization.

4. List in column (f), (g), and (h) expenses incurred during year which were charged currently to income, plant, or other accounts.

5. Minor items may be grouped.

Expenses Incurred During Year				Amortized During Year			
Charged Currently to			Deferred to Account 186	Contra Account	Amount	Deferred in Account 186 End of Year	Line No.
Department (f)	Account No. (g)	Amount (h)					
		\$187,375					1
		(11,761)					2
		244,505					3
							4
							5
							6
							7
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		\$420,118	\$0		\$0	\$0	46

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RESEARCH AND DEVELOPMENT ACTIVITIES

1. Describe and show below costs incurred and accounts charged during the year for technological research and development (R & D) project initiated, continued, or concluded during the year. Report also support given to others during the year for jointly-sponsored projects. (Identify recipient regardless of affiliation.) For any R & D work carried on by the respondent in which there is a sharing of costs with others, show separately the respondent's cost for the year and cost chargeable to others. (See definition of research, development, and demonstration in Uniform System of Accounts.)

2. Indicate in column (a) the class of plant or operating function for which the project was undertaken, if payments were made in support of research by others performed outside the company, state the name of the person or organization to whom such payments were made.

3. Include in column (c) all R & D items performed internally and in column (d) those items performed outside the company costing \$5,000 or more, briefly describing the specific area of R & D (such as safety, corrosion control, pollution, automation, measurement, insulation, type of appliance, etc.). Group items under \$5,000 by classifications and indicate the number of items grouped.

Line No.	Classification (a)	Description (b)
1		
2	Various	R & I Alliance
3		
4		
5		
6		
7		
8		
9		
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12		
13		
14		
15		
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35		
36		
37		
38	Total	

RESEARCH AND DEVELOPMENT ACTIVITIES(Continued)

4. Show in column (e) the account number charged with expenses during the year or the account to which amounts were capitalized during the year, listing Account 107, Construction Work in Progress, first. Show in column (f) the amounts related to the account charged in column (e).

6. If costs have not been segregated for R & D activities or projects, submit estimates for columns (c), (d), and (f) with such amounts identified by "Est."

7. Report separately research and related testing facilities operated by the respondent.

of costs of projects. This total must equal the balance in Account 188, Research, Development, and Demonstration Expenditures, Outstanding at the end of the year.

Costs Incurred - Current Year		AMOUNTS CHARGED IN CURRENT YEAR		Unamortized Accumulation (g)	Line No.
Internal (c)	External (d)	Account (e)	Amount (f)		
	\$272,107	923	\$272,107		1
					2
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\$0	\$272,107		\$272,107	\$0	37
					38

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DISTRIBUTION OF SALARIES AND WAGES

Report below the distribution of total salaries and wages for the year. Segregate amounts originally charged to clearing accounts to Utility Departments, Construction, Plant Removals, and Other Accounts, and enter such amounts in the appropriate

lines and columns provided. In determining this segregation of salaries and wages originally charged to clearing accounts, a method of approximation giving substantially correct results may be used.

Line No.	Classification (a)	Direct Payroll Distribution (b)	Allocation of Payroll Charged for Clearing Accounts (c)	Total (d)
1				
2	Operation			
3	Source of Supply	78,187		
4	Pumping	757,655		
5	Water Treatment	1,198,470		
6	Transmission and Distribution	1,140,723		
7	Customer Accounts	924,964		
8	Sales			
9	Administrative and General	1,049,732		
10	TOTAL Operation (Enter Total of lines 3 thru 9)	5,149,731		
11	Maintenance			
12	Source of Supply	61,010		
13	Pumping	244,514		
14	Water Treatment	106,316		
15	Transmission and Distribution	1,546,746		
16	Administrative and General	151		
17	TOTAL Maint. (Total of lines 12 thru 16)	1,958,738		
18	Total Operation and Maintenance			
19	Source of Supply	139,197		
20	Pumping	1,002,170		
21	Water Treatment	1,304,786		
22	Transmission and Distribution	2,687,469		
23	Customer Accounts	924,964		
24	Sales	0		
25	Administrative and General	1,049,883		
26	TOTAL Oper. and Maint. (Total of lines 19 thru 25)	7,108,469		7,108,469
27	UTILITY PLANT			
28	Construction (By Utility Departments)			
29	Water Plant	2,898,880	0	2,898,880
30	Other			0
31	TOTAL Construction (Total of lines 29 thru 30)	2,898,880	0	2,898,880
32	Plant Removal (By Utility Departments)			
33	Water Plant	161,500		161,500
34	Other			0
35	TOTAL Plant Removal (Total of lines 33 thru 34)	161,500	0	161,500
36	STIP, LTIP, vacation accrual	179,550		179,550
37	Other Accounts (Specify): Acct. 143-184-186	136,051		136,051
38	TOTAL Salaries and Wages	10,484,450	0	10,484,450

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CHARGES FOR OUTSIDE PROFESSIONAL AND OTHER CONSULTATIVE SERVICES

1. Report the information specified below for all charges made during the year included in any account (including plant accounts) for outside consultative and other professional services. (These services include rate, management, construction, engineering, research, financial, valuation, legal, accounting, purchasing, advertising, labor relations, and public relations, rendered the respondent under written or oral arrangement, for which aggregate payments were made during the year to any corporation, partnership, organization of any kind, or individual (other than for services as an employee or for payments made for medical and related services) amounting to more than \$1,000 in the case of a Class B company or \$5,000 in the case of a Class A company, including payments for legislative services, except those which should be reported in Account 426.4, Expenditures for Certain Civic, Political and Related Activities.

- (a) name of person or organization rendering services in alphabetical order,
 (b) description of services received during year and project or case to which services relate,
 (c) basis of charges,
 (d) total charges for the year detailing utility department..

2. Designate with an asterisk associated companies.

Line No.	Vendor	Description of Services	Basis	Total Charges
1	ABSOLUTE AIR QUALITY INC	Engineers	Actual Cost	\$72,304
2	AKRF INC	Engineers	Actual Cost	1,092,876
3	ANALYTICAL TECHNOLOGY INC	Purchasing	Actual Cost	108,293
4	ATLANTIC ENGINEERING LLC	Engineers	Actual Cost	29,344
5	AUTODESK INC	Engineers	Actual Cost	7,395
6	BENTLEY SYSTEMS INC	Consultants	Actual Cost	12,985
7	BIVONA & COHEN PC	Attorneys	Actual Cost	10,400
8	BROOKER ENGINEERING PLLC	Engineers	Actual Cost	89,857
9	BUCK, SEIFERT & JOST	Engineers	Actual Cost	1,271,860
10	BUCKLEY PETERSEN GLOBAL INC	Consultants	Actual Cost	14,766
11	BYNE GROUP INC	Advertising	Actual Cost	10,578
12	CAMP DRESSER & MCKEE INC	Engineers	Actual Cost	3,250,691
13	CHAPMAN & CUTLER	Attorneys	Actual Cost	43,086
14	COMPLETE HYDRAULIC WORKS INC	Engineers	Actual Cost	167,232
15	D&B ENGINEERS & ARCHITECTS PC	Engineers	Actual Cost	8,760
16	DAY PITNEY LLP	Attorneys	Actual Cost	6,657
17	DET NORSKE VERITAS CERTIFICATION INC	Consultants	Actual Cost	9,571
18	DEWEY & LEBOEUF LLP	Attorneys	Actual Cost	587,026
19	DLA PIPER US LLP	Attorneys	Actual Cost	490,475
20	DRAPER ASSOCIATES INC	Printing	Actual Cost	219,509
21	DUCHARME MCMILLEN & ASSOCIATES INC	Consultants	Actual Cost	22,534
22	ECOVA INC	Consultants	Actual Cost	8,161
23	EDISON MECHANICAL CONTRACTORS INC	Engineers	Actual Cost	187,593
24	ESRI INC	Consultants	Actual Cost	10,909
25	ESSENTIAL BUSINESS AND CONSULTING SERV	Engineers	Actual Cost	21,038
26	FOCUS MEDIA INC	Advertising	Actual Cost	366,907
27	GARDELL LAND SURVEYING LLC	Engineers	Actual Cost	18,900
28	H2O OPERATORS LLC	Consultants	Actual Cost	9,905
29	HATCH MOTT MACDONALD I&E	Engineers	Actual Cost	70,383
30	HAZARDOUS SUBSTANCE & WASTE MANAGEMENT	Consultants	Actual Cost	19,986
31	HDR ENGINEERING INC	Engineers	Actual Cost	193,710
32	HENNINGSON DURHAM & RICHARDSON	Engineers	Actual Cost	498,256
33	HYDROLOGICS INC	Consultants	Actual Cost	24,510
34	IDMODELING INC	Hydrolic Modeling	Actual Cost	72,170
35	INDUSTRIAL CONTROLS DISTRUBUTORS LLC	Engineers	Actual Cost	121,415
36	JETT INDUSTRIES INC	Engineers	Actual Cost	113,971
37	JM NORTHEAST SERVICES LLC	Consultants	Actual Cost	7,268
38	L+C DESIGN CONSULTANTS PA	Engineers	Actual Cost	5,650
39				
40	SUBTOTAL			\$9,276,931

CHARGES FOR OUTSIDE PROFESSIONAL AND OTHER CONSULTATIVE SERVICES				
Line No.	Vendor	Description of Services	Basis	Total Charges
1	LAYNE CHRISTENSEN CO	Engineers	Actual Cost	\$82,753
2	LEGGETTE, BRASHEARS & GRAHAM INC	Attorneys	Actual Cost	69,247
3	LEXIS-NEXIS	Attorneys	Actual Cost	6,747
4	LITCHFIELD CAVO LLP	Attorneys	Actual Cost	7,379
5	LITTLER MENDELSON PC	Attorneys	Actual Cost	6,995
6	MAZARS LLP	Auditors	Actual Cost	66,900
7	MCLAUGHLIN & ASSOCIATES INC	Consultants	Actual Cost	33,925
8	MESSER & SUSSLIN & OTHERS INC	Advertising	Actual Cost	51,152
9	MICHAEL SHILALE ARCHITECTS LLP	Architects	Actual Cost	25,902
10	MONSEN ENGINEERING CO	Engineers	Actual Cost	5,090
11	MUESER RUTLEDGE CONSULTING ENGINEERS	Engineers	Actual Cost	10,595
12	MWH AMERICAS INC	Engineers	Actual Cost	10,003
13	OCE NORTH AMERICA INC	Purchasing	Actual Cost	18,972
14	OMEGA ENVIRONMENTAL SERVICES	Engineers	Actual Cost	14,791
15	SMARTECH SYSTEMS INC	Engineers	Actual Cost	65,031
16	TONIO BURGOS & ASSOC INC	Consultants	Actual Cost	123,132
17	US GYPSUM	Attorneys	Actual Cost	61,000
18	WINDELS MARX LANE & MITTENDORF LLP	Attorneys	Actual Cost	18,336
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57	TOTAL			\$9,954,880

Employee Protective Plans

Report a summary of each employee program in effect at any time during the year. This schedule is intended to cover pension, profit sharing, group life insurance, accident and sickness, medical, hospital, prescription drugs, guaranteed annual wage, severance pay, and any other plan maintained for employees (or retirees), but it is not intended to cover such a plan required by law, (e.g. social security).

For each plan report:

1. the identity thereof, and the employee group covered (e.g. management, non-management, executive officers, etc.)
2. whether the benefits are provided through an insurance carrier or directly by the company.
3. the total cost for the year.

Note: If any important change is made with respect to any such plan during the year, give brief particulars.

COSTS

Comprehensive Health Insurance Plan

This plan provides, through a Plan Administrator on a self funded basis, a choice of comprehensive or managed care insurance coverage. The plan provides coverage for all employees and dependents, and supplemental (to Medicare) coverage for all employees over 65, and dependents.

\$1,179,884

Dental Plan

The Plan provides, through an insurance carrier, for regular full time employees and their dependents, benefits for dental care.

\$30,620

Group Life Insurance

The Group Life Insurance Plan provides, through an insurance carrier term life insurance for all employees with accidental death and dismemberment coverage for all bargaining unit employees.

\$62,580

Tax Deferred Savings Plan 401K

The Plan is designed to provide employees a convenient way of saving to supplement their retirement plan and social security income on a pre-tax basis.

\$249,670

Accident and Sickness Benefits

The Plan provides, through an insurance carrier, for regular full time employees, disability benefits according to the New York State Statutory Plan.

\$224,495

Sick Leave

Sick leave is granted in accordance with Labor Agreement between United Water New York, and Local 363 IBEW, AFL-CIO.

\$206,329

Long Term Disability

Through an insurance carrier, a Long Term Disability Plan covers executive, supervisory, and confidential employees not covered by a bargaining agreement.

\$62,356

Employee Protective Plans (Continued)Retirement Plan

The Company provides a non-contributory, qualified Retirement Plan for qualified employees. The Plan fully complies with the Employee Retirement Income Security Act (ERISA) and is funded through a trust and group annuity contract. In addition, certain disability retirement, non-qualified, and ad hoc retiree supplemental are provided on an unfunded basis.

\$1,861,276

Postretirement Benefits Other Than Pension (OPEB)

The Company has postretirement benefit plans such as comprehensive health insurance plan and a prescription plan for retirees and their dependents.

\$491,437

PLAN: United Water Resources Inc. Retirement Plan**ANALYSIS OF PENSION COST**

1. On lines 1-21 report the terms of the Pension Plan for the holding company or parent company; on lines 22-32 report details for the reporting company. If the reporting company has more than one pension plan, report each using separate forms.
2. Report on line 1 the actuarial present value of benefits determined as of a specific date during the calendar year according to the terms of a pension plan and based on employees' compensation and service to that date (salary progression is not considered in making this computation).
3. Report on line 2 the actuarial present value of all benefits attributed to employee service up to a specific date, based on the terms of the plan including salary progression factor for final pay and career average pay plans.
4. Report on line 3 the amount the pension plan could expect to receive for investments in a sale between a willing buyer and a willing seller, other than in a forced or liquidation sale.
5. Report on line 8 the discount rate which was used to calculate the obligations reported on Lines 1 and 2.
6. Report on Line 9 the expected long-term return on plan assets.
7. Report on line 14 the net asset gain or loss deferred during the reporting year for later recognition. Do not include in this amount amortization of previously deferred gains or losses as these amounts are to be reported on line 17.
8. Report on lines 19 through 21 and lines 29 through 32 the number of persons covered by the plan at the beginning of the policy year.
9. Report on line lines 21 and 32 the numbers of persons having vested pension rights but who are no longer employed by the company and not yet drawing a pension allowance.
10. On line 22, the term "Minimum Required Contribution" shall mean the payment by the employer to its employees' pension fund necessary to meet the requirement set forth in the Employee Retirement Income Security Act of 1974.
11. On line 24, the term "Maximum Amount Deductible" shall mean the amount of pension expense that is allowable under Section 415 of the Internal Revenue Code.
12. Report on line 26 the dollar amount applicable to the reporting company which has been included in the amount on line 18.
13. Report on line 27 the dollar amount included on line 26 which has been capitalized.

For each plan, specify and explain in the space below any accounting changes or changes in assumptions or elected options made during the reporting year. Quantify the effects of each such revision on each of the amounts reported on Page **. Use a separate insert sheet if more space is required.

The mortality assumption for the UWR Plan was changed from the RP-2000 Combined Healthy Table projected with Scale AA to 2020 to the RP-2000 Combined Healthy Table projected with Scale AA to 2021 effective December 31, 2012. This change increased the UWR Plan Projected Benefit Obligation by \$792,015. This change increased the Reporting Company's Projected Benenefit Obligation by \$27,863.

ANALYSIS OF PENSION COST (Continued)		
Line No.	Item (a)	Current Year (b)
<u>PLAN: United Water Resources Inc. Retirement Plan</u>		
1	Accumulated Benefit Obligation	\$ 324,758,673
2	Projected Benefit Obligation	\$ 354,557,196
3	Fair Value of Plan Assets	\$ 219,163,606
4	Unrecognized Transition Amount	\$ 0
5	Unrecognized Prior Service Costs	\$ 999,705
6	Unrecognized Gains or (Losses)	\$ (149,977,372)
7	Date of Valuation Reported on Lines 1 through 6	12/31/2012
8	Discount Rate	4.32%
9	Expected Long-Term Rate of Return on Assets	8.50%
10	Salary Progression Rate (if applicable)	3.0% - 3.25%
<u>Net Periodic Pension Cost:</u>		
11	Service Cost	\$ 7,870,205
12	Interest Cost	15,392,884
13	Actual Return on Plan Assets [(Gain) or Loss]	(19,381,843)
14	Deferral of Asset Gain or (Loss)	2,369,902
15	Amortization of Transition Amount	0
16	Amortization of Unrecognized Prior Service Cost	235,967
17	Amortization of (Gains) or Losses	9,142,645
18	Total Pension Cost	\$ 15,629,760
19	Number of Active Employees Covered by Plan	1,096
20	Number of Retired Employees Covered by Plan	995
21	Number of Previous Employees Vested but Not Retired	586
<u>REPORTING COMPANY</u>		
22	Minimum Required Contribution	\$ 308,981
23	Actual Contribution*	\$ 143,547
24	Maximum Amount Deductible*	\$ 8,264,366
25	Benefit Payments	\$ 549,427
26	Total Pension Cost	\$ 734,630 *
27	Pension Cost Capitalized	\$ 636
28	Accumulated Pension Asset/(Liability) at Close of Year	\$ 357,779
29	Total Number of Company Employees at Beginning of Policy Year	107
30	Number of Active Employees Covered by Plan	23
31	Number of Retired Employees Covered by Plan	32
32	Number of Previous Employees Vested but Not Retired	12
<p>* Specify in the space below the reason(s) for any difference between the amounts reported on lines 23(b) and 24(b).</p> <p>The company's funding policy requires that sufficient contributions be made to meet the Minimum Required Contribution under ERISA for the Plan. The company has the option to make additional contributions in order to avoid additional funding charges in future years.</p> <p>The Actual Contribution excludes expected receivable contributions of \$516,606 for the 2012 plan year to be paid by September 13, 2013.</p> <p>*Does not include net curtailment / settlement gain of \$1,569 due to service cap plan amendments and spinoff of assets and liabilities for the sale of a participating company.</p>		

PLAN: United Water New York Inc. Employees' Retirement Plan - Bargaining Unit
ANALYSIS OF PENSION COST

1. On lines 1-21 report the terms of the Pension Plan for the holding company or parent company; on lines 22-32 report details for the reporting company. If the reporting company has more than one pension plan, report each using separate forms.
2. Report on line 1 the actuarial present value of benefits determined as of a specific date during the calendar year according to the terms of a pension plan and based on employees' compensation and service to that date (salary progression is not considered in making this computation).
3. Report on line 2 the actuarial present value of all benefits attributed to employee service up to a specific date, based on the terms of the plan including salary progression factor for final pay and career average pay plans.
4. Report on line 3 the amount the pension plan could expect to receive for investments in a sale between a willing buyer and a willing seller, other than in a forced or liquidation sale.
5. Report on line 8 the discount rate which was used to calculate the obligations reported on Lines 1 and 2.
6. Report on Line 9 the expected long-term return on plan assets.
7. Report on line 14 the net asset gain or loss deferred during the reporting year for later recognition. Do not include in this amount amortization of previously deferred gains or losses as these amounts are to be reported on line 17.
8. Report on lines 19 through 21 and lines 29 through 32 the number of persons covered by the plan at the beginning of the policy year.
9. Report on line lines 21 and 32 the numbers of persons having vested pension rights but who are no longer employed by the company and not yet drawing a pension allowance.
10. On line 22, the term "Minimum Required Contribution" shall mean the payment by the employer to its employees' pension fund necessary to meet the requirement set forth in the Employee Retirement Income Security Act of 1974.
11. On line 24, the term "Maximum Amount Deductible" shall mean the amount of pension expense that is allowable under Section 415 of the Internal Revenue Code.
12. Report on line 26 the dollar amount applicable to the reporting company which has been included in the amount on line 18.
13. Report on line 27 the dollar amount included on line 26 which has been capitalized.

For each plan, specify and explain in the space below any accounting changes or changes in assumptions or elected options made during the reporting year. Quantify the effects of each such revision on each of the amounts reported on Page **. Use a separate insert sheet if more space is required.

The mortality assumption for the UWR Plan was changed from the RP-2000 Combined Healthy Table projected with Scale AA to 2020 to the RP-2000 Combined Healthy Table projected with Scale AA to 2021 effective December 31, 2012. This change increased the UWR Plan Projected Benefit Obligation by \$792,015. This change increased the Reporting Company's Projected Benefit Obligation by \$41,022.

PLAN: United Water New York Inc. Employees' Retirement Plan - Bargaining Unit
ANALYSIS OF PENSION COST (Continued)

Line No.	Item (a)	Current Year (b)
PLAN: United Water New York Inc. Employees' Retirement Plan - Bargaining Unit		
1	Accumulated Benefit Obligation	\$ 324,758,673
2	Projected Benefit Obligation	\$ 354,557,196
3	Fair Value of Plan Assets	\$ 219,163,606
4	Unrecognized Transition Amount	\$ 0
5	Unrecognized Prior Service Costs	\$ 999,705
6	Unrecognized Gains or (Losses)	\$ (149,977,372)
7	Date of Valuation Reported on Lines 1 through 6	12/31/2012
8	Discount Rate	4.32%
9	Expected Long-Term Rate of Return on Assets	8.50%
10	Salary Progression Rate (if applicable)	3.0% - 3.25%
Net Periodic Pension Cost:		
11	Service Cost	\$ 7,870,205
12	Interest Cost	15,392,884
13	Actual Return on Plan Assets [(Gain) or Loss]	(19,381,843)
14	Deferral of Asset Gain or (Loss)	2,369,902
15	Amortization of Transition Amount	0
16	Amortization of Unrecognized Prior Service Cost	235,967
17	Amortization of (Gains) or Losses	9,142,645
18	Total Pension Cost	\$ 15,629,760 *
19	Number of Active Employees Covered by Plan	1,096
20	Number of Retired Employees Covered by Plan	995
21	Number of Previous Employees Vested but Not Retired	586
REPORTING COMPANY		
22	Minimum Required Contribution	\$ 0
23	Actual Contribution*	\$ 51,356
24	Maximum Amount Deductible*	\$ 8,996,846
25	Benefit Payments	\$ 641,822
26	Total Pension Cost	\$ 1,143,128 **
27	Pension Cost Capitalized	\$ 168
28	Accumulated Pension Asset/(Liability) at Close of Year	\$ 2,413,831
29	Total Number of Company Employees at Beginning of Policy Year	107
30	Number of Active Employees Covered by Plan	83
31	Number of Retired Employees Covered by Plan	42
32	Number of Previous Employees Vested but Not Retired	15

* Specify in the space below the reason(s) for any difference between the amounts reported on lines 23(b) and 24(b).

The company's funding policy requires that sufficient contributions be made to meet the Minimum Required Contribution under ERISA for the Plan. The company has the option to make additional contributions in order to avoid additional funding charges in future years.

The Actual Contribution excludes expected receivable contributions of \$613,654 for the 2012 plan year to be paid by September 13, 2013.

*Does not include net curtailment / settlement gain of \$1,569 due to service cap plan amendments and spinoff of assets and liabilities for the sale of a participating company.

**Includes curtailment charge of \$5,293 for implementation of 40 year service cap.

ANALYSIS OF PENSION SETTLEMENTS, CURTAILMENTS AND TERMINATIONS

1. Report the amount of gains or losses arising from employee termination benefits or settlements, partial settlements, curtailments or suspensions of pensions or pension obligations during the year. If none have occurred, state "none" on line 5. If they qualified as "small settlements" under SFAS-88 and the company elected not to recognize the gain or loss, state "none" on line 5 and complete the applicable sections on the bottom of the form. Use separate forms to report the effect of each event and, if the event affected more than one plan, use separate forms for each plan. These events include:
 - a. purchases of annuity contracts.
 - b. lump-sum cash payments to plan participants.
 - c. other irrevocable actions that relieved the company or the plan of primary responsibility for a pension obligation and eliminates significant risks related to the obligation and assets.
 - d. an event that significantly reduces the expected years future service for present employees who are entitled to receive benefits from that plan or that eliminates the accrual of benefits for some or all of the future services of a significant number of those employees.

If this is the first year the company is subject to the reporting requirements of this schedule, complete separate forms for each reportable event having occurred since the company's adoption of SFAS-87 and include those forms in the current Annual Report.
2. On lines 1-15 report activities for the holding company or parent company; on line 16-18 report details for the reporting company.
3. Report on line 1 the amount of overfunding remaining (excess of plan assets, adjusted for accrued or prepaid pension costs, over the Pension Benefit Obligation), if any, from when the company first complied with SFAS-87. The amount should be adjusted by the year-to-date amortization.
4. Report on line 2 the actuarial gains and losses that occurred in prior fiscal years following compliance with SFAS-87 but have not yet been amortized. The amount should be adjusted by the year-to-date amortization.
5. Report on line 3 the actual return on plan assets (the sum of investment income and appreciation).
6. Report on line 4 the expected return on plan assets (a component of the current-year expense calculation, which should be prorated for the elapsed portion of the current year).
7. Report on line 6 the Pension Benefit Obligation (PBO) updated from the previous year-end figure to the settlement date. This amount should reflect the addition of a pro rata portion of the service cost and interest cost and the subtraction of benefit payments. It should also reflect any plan changes made during the year.
8. Convert the basis points and percentages reported on line 7 and 8 to their decimal equivalents before entering them in the formula on line 9.
9. Report on line 17 the applicable Federal income tax rate. Although no tax is currently payable on the gain and loss, it should be reflected because it represents a reduction of future pretax pension expense.

State separately below for each reportable event having occurred since the company's initial compliance with SFAS-87, and for which amortization of deferred gains or losses was not completed by December 31 of last year, the (1) type of event, e.g. settlement or curtailment, (2) date of occurrence, (3) amount of gain or loss originally deferred, (4) period of amortization specified by beginning and ending dates, and (5) amount of the current year's amortization.

ANALYSIS OF PENSION SETTLEMENTS, CURTAILMENTS AND TERMINATIONS (Continued)

Line No.	ESTIMATE OF SETTLEMENT GAIN OR LOSS (a)	(b)	(c)
	PLAN		
1	Unrecognized net asset		1. _____
2	Unrecognized net actuarial gain or (loss)		2. _____
	Year-to-date asset gain or (loss):		
3	Actual return	3. _____	
4	Expected return	4. _____	
5	Gain or (loss): (3)-(4)		5. _____ 0
	Year-to-date liability gain or (loss):		
6	PBO at settlement date	6. _____	
7	Year-to-date increase (or decrease) in actuarial discount rate	7. _____	basis points
8	Percentage decrease in PBO for each 100 basis-point increase in the discount rate	8. _____	
9	Liability gain or (loss): {(6) x (7) x (8)} x 100 -- see instructions		9. _____ 0
	Settlement gain or (loss):		
10	Accounting value of obligation which was settled	10. _____	
11	Settlement cost (e.g., price of purchased annuity contract)	11. _____	
12	Settlement gain or (loss): (10)-(11)		12. _____ 0
13	Total accumulated gain or (loss): (1)+(2)+(5)+(9)+(12)		13. _____ 0
14	Settlement ratio: (10)/(6)		14. _____ 0%
15	Pretax gain recognizable in current income: (13) x (14)		15. _____ 0
	REPORTING COMPANY		
16	Portion of amount on line 15 allocated to reporting company		16. _____
	Tax-affected gain:		
17	Tax rate	17. _____	
18	Gain or (loss) after provision for income tax: 16 x [100% - (17)]		18. _____ 0

Explain the basis of allocation used to derive the amount reported on line 16 from that reported on line 15:

For the amount reported on line 16 specify:

- a. the amount recorded as income for the current year _____
- b. the amount deferred on the balance sheet _____
- c. amortization period for the deferred amount (specify beginning and ending dates). _____

Briefly describe the event (e.g., settlement, curtailment or termination with short description of the change) and the date of its occurrence.

See attachment for a description of events during 2012.

The effect of these curtailments with respect to the Plan and the Reporting Company are shown on the attached exhibits.

If the event involves the purchase of an annuity contract(s), state whether they are participating or nonparticipating contracts. If they are participating, explain the terms and state the cost difference between the contract(s) purchased and identical contracts without the participating feature.

If the event qualified as a "small settlement" under SFAS 88, and the company elected not to recognize the gain or loss, state:

- a. number of employees affected _____
- b. the cost of the settlement _____
- c. the amount of PBO settled _____

ANALYSIS OF PENSION SETTLEMENTS, CURTAILMENTS AND TERMINATIONS

1. Report the amount of gains or losses arising from employee termination benefits or settlements, partial settlements, curtailments or suspensions of pensions or pension obligations during the year. If none have occurred, state "none" on line 5. If they qualified as "small settlements" under SFAS-88 and the company elected not to recognize the gain or loss, state "none" on line 5 and complete the applicable sections on the bottom of the form. Use separate forms to report the effect of each event and, if the event affected more than one plan, use separate forms for each plan. These events include:
 - a. purchases of annuity contracts.
 - b. lump-sum cash payments to plan participants.
 - c. other irrevocable actions that relieved the company or the plan of primary responsibility for a pension obligation and eliminates significant risks related to the obligation and assets.
 - d. an event that significantly reduces the expected of years future service for present employees who are entitled to receive benefits from that plan or that eliminates the accrual of benefits for some or all of the future services of a significant number of those employees.

If this is the first year the company is subject to the reporting requirements of this schedule, complete separate forms for each reportable event having occurred since the company's adoption of SFAS-87 and include those forms in the current Annual Report.
2. On lines 1-15 report activities for the holding company or parent company; on line 16-18 report details for the reporting company.
3. Report on line 1 the amount of overfunding remaining (excess of plan assets, adjusted for accrued or prepaid pension costs, over the Pension Benefit Obligation), if any, from when the company first complied with SFAS-87. The amount should be adjusted by the year-to-date amortization.
4. Report on line 2 the actuarial gains and losses that occurred in prior fiscal years following compliance with SFAS-87 but have not yet been amortized. The amount should be adjusted by the year-to-date amortization.
5. Report on line 3 the actual return on plan assets (the sum of investment income and appreciation).
6. Report on line 4 the expected return on plan assets (a component of the current-year expense calculation, which should be prorated for the elapsed portion of the current year).
7. Report on line 6 the Pension Benefit Obligation (PBO) updated from the previous year-end figure to the settlement date. This amount should reflect the addition of a pro rata portion of the service cost and interest cost and the subtraction of benefit payments. It should also reflect any plan changes made during the year.
8. Convert the basis points and percentages reported on line 7 and 8 to their decimal equivalents before entering them in the formula on line 9.
9. Report on line 17 the applicable Federal income tax rate. Although no tax is currently payable on the gain and loss, it should be reflected because it represents a reduction of future pretax pension expense.

State separately below for each reportable event having occurred since the company's initial compliance with SFAS-87, and for which amortization of deferred gains or losses was not completed by December 31 of last year, the (1) type of event, e.g. settlement or curtailment, (2) date of occurrence, (3) amount of gain or loss originally deferred, (4) period of amortization specified by beginning and ending dates, and (5) amount of the current year's amortization.

ANALYSIS OF PENSION SETTLEMENTS, CURTAILMENTS AND TERMINATIONS (Continued)

Line No.	ESTIMATE OF SETTLEMENT GAIN OR LOSS (a)	(b)	(c)
	PLAN		
1	Unrecognized net asset		1. _____
2	Unrecognized net actuarial gain or (loss)		2. _____
	Year-to-date asset gain or (loss):		
3	Actual return	3. _____	
4	Expected return	4. _____	
5	Gain or (loss): (3)-(4)		5. _____ 0
	Year-to-date liability gain or (loss):		
6	PBO at settlement date	6. _____	
7	Year-to-date increase (or decrease) in actuarial discount rate	7. _____	basis points
8	Percentage decrease in PBO for each 100 basis-point increase in the discount rate	8. _____	
9	Liability gain or (loss): {(6) x (7) x (8)} x 100 -- see instructions		9. _____ 0
	Settlement gain or (loss):		
10	Accounting value of obligation which was settled	10. _____	
11	Settlement cost (e.g., price of purchased annuity contract)	11. _____	
12	Settlement gain or (loss): (10)-(11)		12. _____ 0
13	Total accumulated gain or (loss): (1)+(2)+(5)+(9)+(12)		13. _____ 0
14	Settlement ratio: (10)/(6)		14. _____ 0%
15	Pretax gain recognizable in current income: (13) x (14)		15. _____ 0
	REPORTING COMPANY		
16	Portion of amount on line 15 allocated to reporting company		16. _____
	Tax-affected gain:		
17	Tax rate	17. _____	
18	Gain or (loss) after provision for income tax: 16 x [100% - (17)]		18. _____ 0
<p>Explain the basis of allocation used to derive the amount reported on line 16 from that reported on line 15:</p> <p>For the amount reported on line 16 specify:</p> <p>a. the amount recorded as income for the current year _____</p> <p>b. the amount deferred on the balance sheet _____</p> <p>c. amortization period for the deferred amount (specify beginning and ending dates). _____</p> <p>Briefly describe the event (e.g., settlement, curtailment or termination with short description of the change) and the date of its occurrence.</p> <p>See attachment for a description of events during 2012.</p> <p>The effect of these curtailments with respect to the Plan and the Reporting Company are shown on the attached exhibits.</p> <p>If the event involves the purchase of an annuity contract(s), state whether they are participating or nonparticipating contracts. If they are participating, explain the terms and state the cost difference between the contract(s) purchased and identical contracts without the participating feature.</p> <p>If the event qualified as a "small settlement" under SFAS 88, and the company elected not to recognize the gain or loss, state:</p> <p>a. number of employees affected _____</p> <p>b. the cost of the settlement _____</p> <p>c. the amount of PBO settled _____</p>			

United Water Resources
Description of Pension Curtailments

Effective May 1, 2012 the UWR Plan implemented a 40 year cap on credited service and final average pay for all New Rochelle Bargained employees as of the later of May 1, 2012 or the 40th anniversary of the date of Plan participation. New Rochelle Bargained employees hired on or before December 31, 2009 who reach age 60 and complete 30 years of vesting service will be eligible to receive a fully-subsidized retirement or death benefit. This curtailment decreased the UWR Plan Projected Benefit Obligation and Unrecognized Net Loss by \$32,913 and resulted in a curtailment charge of \$952. This curtailment had no impact on the Reporting Company.

Effective July 1, 2012 the UWR Plan implemented a 40 year cap on credited service and final average pay for all Harrisburg, Pennsylvania Bargained employees as of the later of July 1, 2012 or the 40th anniversary of the date of Plan participation. Harrisburg, Pennsylvania Bargained employees hired on or after April 11, 2012 will not be eligible to participate in the UWR Pension Plan. This curtailment decreased the UWR Plan Projected Benefit Obligation and Unrecognized Net Loss by \$159,374 and resulted in a curtailment charge of \$519 representing accelerated recognition of Unrecognized Prior Service Cost. This curtailment had no impact on the Reporting Company.

On September 4, 2012, United Water Connecticut was spun-off, resulting in the transfer of non-bargained Pension Plan liabilities and Pension Trust assets for 8 active participants. The sale resulted in a curtailment that decreased the UWR Plan Projected Benefit Obligation and Unrecognized Net Loss by \$126,271 and resulted in a curtailment charge of \$575. The sale also resulted in a settlement that decreased the UWR Plan Projected Benefit Obligation by \$291,263, and decreased the Unrecognized Net Loss by \$113,196 and resulted in a settlement gain of \$8,906. Plan assets of \$169,161 were transferred. The sale had no impact on the Reporting Company.

Effective September 7, 2012 the UWR Plan implemented a 40 year cap on credited service and final average pay for all New York Bargained employees as of the later of December 1, 2012 or the 40th anniversary of the date of Plan participation. New York Bargained employees hired on or after September 7, 2012 will not be eligible to participate in the UWR Pension Plan. Effective October 1, 2012 New York Bargained employees hired on or before August 27, 2007 who reach age 60 and complete 30 years of vesting service will be eligible to receive a fully-subsidized retirement or death benefit. This curtailment decreased the UWR Plan Projected Benefit Obligation and Unrecognized Net Loss by \$296,429 and resulted in a curtailment charge of \$5,293.

Reporting Company :	United Water New York Bargaining as of December 31, 2012		
	<u>Prior to Curtailment</u>	<u>Effect of Curtailment</u>	<u>After Curtailment</u>
Projected Benefit Obligation (PBO)	\$(18,664,936)	\$ 296,429	\$ (18,368,507)
Plan Assets	14,268,356	0	14,268,356
Unfunded PBO	(4,396,580)	296,429	(4,100,151)
Unrecognized Initial Net Obligation/(Asset)	0	0	0
Unrecognized Prior Service Cost	169,643	(5,293)	164,350
Unrecognized Net (Gain)/Loss	6,646,061	(296,429)	6,349,632
Accrued/(Prepaid) Pension Expense	2,419,124	(5,293)	2,413,831

United Water Resources
Description of OPEB Plan Amendment

Effective with the first of the month following 60 days from ratification of the bargaining agreement signed on August 30, 2012, New York Bargained employees limited by the 40 year service cap for pension will become eligible for PEBOP benefits, notwithstanding the 60 years of age with 10 years of service eligibility requirement. Upon retirement AD&D is discontinued and employees will be eligible for \$25,000 in life insurance benefits. This amendment reduced the UWR Plan Accumulated Postretirement Benefit Obligation by \$155,884, and decreased the 2012 expense by \$13,350.

Reporting Company :	United Water New York Bargaining		
	as of September 30, 2012		
	Prior to Amendment	Effect of Amendment	After Amendment
Accumulated Postretirement Benefit Obligation (APBO)	(8,306,349)	155,884	(8,150,465)
Plan Assets	6,226,529	-	6,226,529
Unfunded APBO	(2,079,820)	155,884	(1,923,936)
Unrecognized Initial Net Obligation/(Asset)	34,803	(34,803)	-
Unrecognized Prior Service Cost	(180,554)	(121,081)	(301,635)
Unrecognized Net (Gain)/Loss	1,011,753	-	1,011,753
Accrued/(Prepaid) Postretirement Benefit Expense	(1,213,818)	-	(1,213,818)

PLAN: United Water New York Inc. Employees' Retirement Plan - Non Bargaining Unit**ANALYSIS OF OPEB COSTS, FUNDING AND DEFERRALS**

1. Report the requested data concerning Postretirement Benefits Other than Pensions (OPEB). For these schedules, the measurement date, calculation of the data requested, and separate reporting for different types of OPEB plans shall be consistent with the disclosure requirements specified in SFAS-106 (Paragraphs 72-89). If the reporting company's OPEB benefits are provided through a joint plan with its parent company or holding company, report under the columnar heading "Total Company" the data applicable to the total plan (i.e., that of the parent or holding company). The columnar heading "New York State Jurisdiction" refers to the New York State jurisdictional operations of the reporting company, exclusive of amounts applicable to subsidiary companies which are subject to the Commission's jurisdiction but are separately reported.
2. The quantification of amounts reported on Lines 1 - 12 shall be as of the date reported on Line 13.
3. Report on Lines 1 - 3 the actuarial present value of benefits attributed employees' service rendered to the date reported on Line 13.
4. Report on Line 4 the amount the OPEB plan(s) could expect to receive for investments in a sale between a willing buyer and a willing seller, other than in a forced or liquidation sale.
5. Report on Lines 5 and 6, the amounts applicable to OPEB that are recorded in internal reserves, net of their related deferred income tax effect. For New York State Jurisdictional Operations, creation of an internal reserve was required by the Commission's "Statement of Policy and Order Concerning the Accounting and Ratemaking Treatment for Pensions and OPEB" (issued September 7, 1993).
6. Report on Line 10 the amount of unrecognized net gain or loss (including plan asset gains and losses not yet reflected in the market-related value of the plan assets).
7. Report on Line 11 the amount of unrecognized net asset gain or loss not yet reflected in the market-related value of plan assets.
8. In certain instances, a portion of the New York State Jurisdiction OPEB internal reserve may not be subject to the accrual of interest (e.g. in the company's last rate case a portion of the reserve may have been used to reduce rate base). Report on Line 12 the balance of the reserve, net of its related deferred income tax effect, which is subject to the accrual of interest.
9. Report on Line 14 the discount rate which was used to calculate the obligations reported on Lines 1-3.
10. Report on Line 15 the expected long-term return on plan assets reported on Line 4.
11. Report on Line 21 the net asset gain or loss deferred during the reporting year for later recognition. Do not include in this amount amortization of previously deferred gains or losses as these amounts are to be reported on Line 24.
12. The amount reported on Line 24 is to include the amortization of gains and losses arising from changes in assumptions.

For each plan, specify and explain in the space below any accounting changes or changes in assumptions or elected options made during the reporting year. Quantify the effects of each revision on each of the amounts reported on Page **. Use a separate insert sheet if more space is necessary.

The mortality assumption for the New York Non Bargaining Plan was changed from the RP-2000 Combined Healthy table projected with Scale AA to 2020 to RP-2000 Combined Healthy table projected with Scale AA to 2021 effective December 31, 2012. This change increased the Accumulated Postretirement Benefit Obligation for this reporting company by \$7,734.

The return on asset assumption for the New York Non Bargaining Plan was changed from 5.10% to 4.65% (post-tax). This change decreased the Expected Return on Plan Assets by \$2,152.

PLAN: United Water New York Inc. Employees' Retirement Plan - Bargaining Unit**ANALYSIS OF OPEB COSTS, FUNDING AND DEFERRALS**

1. Report the requested data concerning Postretirement Benefits Other than Pensions (OPEB). For these schedules, the measurement date, calculation of the data requested, and separate reporting for different types of OPEB plans shall be consistent with the disclosure requirements specified in SFAS-106 (Paragraphs 72-89). If the reporting company's OPEB benefits are provided through a joint plan with its parent company or holding company, report under the columnar heading "Total Company" the data applicable to the total plan (i.e., that of the parent or holding company). The columnar heading "New York State Jurisdiction" refers to the New York State jurisdictional operations of the reporting company, exclusive of amounts applicable to subsidiary companies which are subject to the Commission's jurisdiction but are separately reported.
2. The quantification of amounts reported on Lines 1 - 12 shall be as of the date reported on Line 13.
3. Report on Lines 1 - 3 the actuarial present value of benefits attributed employees' service rendered to the date reported on Line 13.
4. Report on Line 4 the amount the OPEB plan(s) could expect to receive for investments in a sale between a willing buyer and a willing seller, other than in a forced or liquidation sale.
5. Report on Lines 5 and 6, the amounts applicable to OPEB that are recorded in internal reserves, net of their related deferred income tax effect. For New York State Jurisdictional Operations, creation of an internal reserve was required by the Commission's "Statement of Policy and Order Concerning the Accounting and Ratemaking Treatment for Pensions and OPEB" (issued September 7, 1993).
6. Report on Line 10 the amount of unrecognized net gain or loss (including plan asset gains and losses not yet reflected in the market-related value of the plan assets).
7. Report on Line 11 the amount of unrecognized net asset gain or loss not yet reflected in the market-related value of plan assets.
8. In certain instances, a portion of the New York State Jurisdiction OPEB internal reserve may not be subject to the accrual of interest (e.g. in the company's last rate case a portion of the reserve may have been used to reduce rate base). Report on Line 12 the balance of the reserve, net of its related deferred income tax effect, which is subject to the accrual of interest.
9. Report on Line 14 the discount rate which was used to calculate the obligations reported on Lines 1-3.
10. Report on Line 15 the expected long-term return on plan assets reported on Line 4.
11. Report on Line 21 the net asset gain or loss deferred during the reporting year for later recognition. Do not include in this amount amortization of previously deferred gains or losses as these amounts are to be reported on Line 24.
12. The amount reported on Line 24 is to include the amortization of gains and losses arising from changes in assumptions.

For each plan, specify and explain in the space below any accounting changes or changes in assumptions or elected options made during the reporting year. Quantify the effects of each revision on each of the amounts reported on Page **. Use a separate insert sheet if more space is necessary.

The mortality assumption for the New York Bargaining Plan was changed from the RP-2000 Combined Healthy table projected with Scale AA to 2020 to RP-2000 Combined Healthy table projected with Scale AA to 2021 effective September 30, 2012. This change increased the Accumulated Postretirement Benefit Obligation for this reporting company by \$17,579.

The return on asset assumption for the New York Bargaining Plan was changed from 8.50% to 7.75%. This change decreased the Expected Return on Plan Assets by \$42,448.

Effective with the first of the month following 60 days from ratification of the bargaining agreement signed on August 30, 2012, New York Bargained employees limited by the 40 year service cap for pension became eligible for PEBOP benefits, notwithstanding the 60 years of age with 10 years of service eligibility requirement. Upon retirement AD&D is discontinued and employees will be eligible for \$25,000 in life insurance benefits.

PLAN: United Water New York Inc. Employees' Retirement Plan - Non Bargaining Unit**ANALYSIS OF OPEB COSTS, FUNDING AND DEFERRALS (Continued)**

Line No.	Item (a)	Total Company (b)
ANALYSIS OF OPEB COSTS		
	Accumulated Benefit Obligation Attributable to:	
1	Retirees Covered by the Plan	\$ (2,013,386)
2	Other Fully Eligible Plan Participants	\$ (715,523)
3	Other Active Plan Participants	\$ (1,332,292)
4	Fair Value of Plan Assets Held in an Exterior Fund or Trust	\$ 515,480
	Plan Assets Held in an Internal Reserve (net of tax):	
5	New York State Jurisdiction	\$ 0
6	Other	\$ 0
7	Other Plan Assets (Specify)	\$ 0
8	Unrecognized Transition Obligation	\$ 230
9	Unrecognized Prior Service Costs	\$ 5,036
10	Unrecognized Gains or (Losses)	\$ 577,879
11	Gains or (Losses) Unrecognized in Market Related Value of Assets	\$ 0
12	NYS Jurisdiction Internal Reserve Balance Subject to Accrual of Interest (net of tax)	\$ 0
13	Date of Valuation for Amounts Reported on Lines 1 - 12.	12/31/12
14	Discount Rate	4.480%
15	Expected Long-Term Rate of Return on Assets (Exterior Fund)	4.65%
16	Interest Rate Applied to NYS Jurisdiction Internal Reserve Balance	
17	Salary Progression Rate (if applicable)	3.00%
NET PERIODIC OPEB COST		
18	Service Cost	\$ 92,031
19	Interest Cost	172,367
20	Actual Return on Plan Assets [(Gain) or Loss]	(37,272)
21	Deferral of Asset Gain or (Loss)	15,035
22	Amortization of Transition Amount	29,544
23	Amortization of Unrecognized Prior Service Cost	(20,455)
24	Amortization of (Gains) or Losses from Earlier Periods	(112,375)
25	(Gain) or Loss Due to a Temporary Deviation From a Substantive Plan (Enhanced Severance Program)	0
26	Net Periodic OPEB Cost	\$ 138,875

PLAN: United Water New York Inc. Employees' Retirement Plan - Bargaining Unit**ANALYSIS OF OPEB COSTS, FUNDING AND DEFERRALS (Continued)**

Line No.	Item (a)	Total Company (b)
<u>ANALYSIS OF OPEB COSTS</u>		
	Accumulated Benefit Obligation Attributable to:	
1	Retirees Covered by the Plan	\$ (3,152,849)
2	Other Fully Eligible Plan Participants	\$ (2,121,083)
3	Other Active Plan Participants	\$ (3,582,154)
4	Fair Value of Plan Assets Held in an Exterior Fund or Trust	\$ 6,254,010
	Plan Assets Held in an Internal Reserve (net of tax):	
5	New York State Jurisdiction	\$ 0
6	Other	\$ 0
7	Other Plan Assets (Specify)	\$ 0
8	Unrecognized Transition Obligation	\$ 0
9	Unrecognized Prior Service Costs	\$ (290,577)
10	Unrecognized Gains or (Losses)	\$ (1,538,783)
11	Gains or (Losses) Unrecognized in Market Related Value of Assets	\$ 0
12	NYS Jurisdiction Internal Reserve Balance Subject to Accrual of Interest (net of tax)	\$ 0
13	Date of Valuation for Amounts Reported on Lines 1 - 12.	12/31/12
14	Discount Rate	4.480%
15	Expected Long-Term Rate of Return on Assets (Exterior Fund)	7.75%
16	Interest Rate Applied to NYS Jurisdiction Internal Reserve Balance	
17	Salary Progression Rate (if applicable)	3.00%
<u>NET PERIODIC OPEB COST</u>		
18	Service Cost	\$ 311,779
19	Interest Cost	354,616
20	Actual Return on Plan Assets [(Gain) or Loss]	(566,302)
21	Deferral of Asset Gain or (Loss)	127,674
22	Amortization of Transition Amount	104,405
23	Amortization of Unrecognized Prior Service Cost	(35,770)
24	Amortization of (Gains) or Losses from Earlier Periods	56,160
25	(Gain) or Loss Due to a Temporary Deviation From a Substantive Plan (Early Retirement Window)	0
26	Net Periodic OPEB Cost	\$ 352,562

PLAN: United Water New York Inc. Employees' Retirement Plan - Non Bargaining Unit**ANALYSIS OF OPEB COSTS, FUNDING AND DEFERRALS (Continued)**

1. Report on Line 3 items such as transfers of excess pension funds from the company's pension trust fund to an account set up under Section 401(h) of the Internal Revenue Code.
2. Report on Line 5 items of income (e.g., dividends and interest).
3. The amount reported on Line 9 should be the same amount as that reported on Line 4 on Page 364.

Line No.	Item (a)	Total Company (b)
EXTERNALLY HELD OPEB DEDICATED FUNDS OR TRUSTS		
1	Fair Value of Plan Assets at Beginning of Period	\$478,208
Contributions to the Fund:		
2	Deposits of Company Funds	69,227
3	Transfers from Pension Related Funds	0
4	Other *	0
5	Income or (Loss) Earned on Fund Assets	37,272
6	Capital Appreciation or (Depreciation) of Fund Assets	0
7	Cost Benefits Paid from the Fund To or For Plan Participants	69,227
8	Other Expenses Paid By the Fund **	0
9	Fair Value of Plan Assets at End of the Period	\$515,480

* Specify the source of any amount reported on Line 4.

** Specify the type and amount of any expenses reported on Line 8.

PLAN: United Water New York Inc. Employees' Retirement Plan - Bargaining Unit**ANALYSIS OF OPEB COSTS, FUNDING AND DEFERRALS (Continued)**

1. Report on Line 3 items such as transfers of excess pension funds from the company's pension trust fund to an account set up under Section 401(h) of the Internal Revenue Code.
2. Report on Line 5 items of income (e.g., dividends and interest).
3. The amount reported on Line 9 should be the same amount as that reported on Line 4 on Page 364.

Line No.	Item (a)	Total Company (b)
EXTERNALLY HELD OPEB DEDICATED FUNDS OR TRUSTS		
1	Fair Value of Plan Assets at Beginning of Period	\$5,659,719
Contributions to the Fund:		
2	Deposits of Company Funds	73,633
3	Transfers from Pension Related Funds	0
4	Other *	0
5	Income or (Loss) Earned on Fund Assets	566,302
6	Capital Appreciation or (Depreciation) of Fund Assets	0
7	Cost Benefits Paid from the Fund To or For Plan Participants	45,644
8	Other Expenses Paid By the Fund **	0
9	Fair Value of Plan Assets at End of the Period	\$6,254,010

* Specify the source of any amount reported on Line 4.

** Specify the type and amount of any expenses reported on Line 8.

ANALYSIS OF OPEB COSTS, FUNDING AND DEFERRALS (Continued)

1. The data requested on Lines 1 through 12 are for the internal reserve, the establishment of which is required by the Commission's "Statement of Policy and Order Concerning the Accounting and Ratemaking Treatment for Pensions and Postretirement Benefits Other Than Pensions" (Case 91-M-0890, issued and effective September 7, 1993). The amounts reported below are to be consistent with the definitions and intent contained in that Statement.
2. The "rate allowance" to be reported on Line 2 is the amount which was projected to be charged to expense accounts (i.e., not charged to construction, depreciation, nor the rate base allowance related to capitalized OPEB costs) in the company's latest rate proceeding, adjusted to actual applicable sales as per the above Policy Statement.
3. The amount reported on Line 9 less the amount on Line 10 should total the amount reported on Line 5 of Page 364.
4. In certain instances, a portion of the OPEB internal reserve may not be subject to the accrual of interest (e.g., in the company's last rate case, a portion of the reserve may have been used as a rate base reduction). Report on Line 12 the balance of the reserve, net of its related deferred income tax effect, which is subject to the accrual of interest.
5. The Commission's September 7, 1993 Policy Statement on pensions and OPEB stated that, except under certain circumstances, the difference between 1) the rate allowance for OPEB expense, plus any pension related or other funds or credits the company is directed to use for OPEB purposes, and 2) OPEB expense determined as required therein, are to be deferred for future recovery. Report on Lines 13 through 17 the amounts relating to this requirement.

Line No.	Item (a)	New York State Jurisdiction (b)
	OPEB RELATED ASSETS RECORDED IN AN INTERNAL RESERVE	
1	Balance in Internal Reserve at Beginning of the Period - [(Debit) / Credit]	
2	Amount of the Company's Latest Rate Allowance for OPEB Expense	
3	Amount of OPEB costs actually charged to Construction	
4	Pension Related or Other Funds or Credits this Commission Directed the Company to Use for OPEB Purposes	
5	Interest Accrued on Fund Balance	
6	Cost Benefits Paid to or for Plan Participants	
7	Amount Transferred to an External OPEB Dedicated Fund	
8	Other Debits or Credits to the Internal Reserve *	
9	Balance in Internal Reserve at End of the Period	
10	Balance of Deferred Income Tax Applicable to the Internal Reserve	
11	Interest Rate Applied to Internal Reserve Balances	
12	Internal Reserve Balance Subject to Accrual of Interest (net of tax)	
	ACCUMULATED DEFERRED OPEB EXPENSE	
13	Accumulated Deferred Balance Beginning of Period - [Debit / (Credit)]	
14	Deferral Applicable to Current Year Variation	
15	Amortization of Previous Deferrals	
16	Accumulated Deferred Balance at End of Period	
17	Balance of Deferred Income Tax Applicable to Deferred OPEB Expense at the End of Period	
	* Briefly explain any amounts reported on Line 8.	

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MISCELLANEOUS TAX REFUNDS

- 1 Report below particulars concerning all tax refunds received or used as a reduction of taxes payable during the year which are not more than \$1,500,000 and do not exceed \$1,000 or 0.2% of the utility's operating revenues. This information is requested in compliance with Section 89.3, Notification Concerning Tax Refunds, of 16NYCRR. This report shall be inapplicable to ordinary operating refunds negotiation or to new legislation, adjudication, or rulemaking (such as refunds for overpayment of estimated taxes, and carrybacks of net operating losses and investment tax credits).
- 2 In determining whether a refund exceeds 0.2% of operating revenues for purposes of this report, in the case of a gas, electric, steam, or combination utility, operating revenues shall be reduced by the amounts properly chargeable to the functional group of Production Operation and Maintenance expense accounts; in the case of a combination utility the refund shall be deemed to exceed 0.2% of operating revenues if, after the refund is allocated among the gas, electric and steam departments in a manner reflecting insofar as possible the extent to which the refund is related to each department's activities, one or more of the portions thus allocated exceeds 0.2% of the operating revenues of the department to which it is allocated.
- 3 In determining whether a refund meets the criteria stated in Instruction 1 above, multiple refunds shall be treated as a single refund if they share a common cause such as a common act of negotiation legislation, adjudication or rulemaking.
- 4 In this report, the utility also shall either propose a method of distributing to its customers the entire amount refunded, or show why it should not make such a distribution.

LINE NO.	Description of Item (a)	Amount (b)
1		
2		
3		
4		
5		
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15		
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28		
29		
30		
31		
32		
33		
34	Total	\$0

NYSPSC 347-97

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WATER PRODUCTION AND CONSUMPTION

1. Show quantities of water produced and purchased and the quantities delivered to consumers and lost or unaccounted for during the year. Where estimates are used, the bases thereof should be set forth in a footnote.

schedule separately for each plant.

2. If respondent has two or more major plants, show the information called for in this

3. Insert in the column headings preceding the abbreviation "gals." the initial letter of Thousand, Million or Billion to indicate the unit in which the quantities are expressed.

C o n s u m p t i o n											Losses Accounted for					Losses Un- accounted for gals. (o)
LINE NO.	Month (a)	Water Produced			General		Public*		Respondent		Pump Slip gals. (k)	Trans mission gals. (l)	Distri bution gals. (m)	Other gals. (n)		
		Gravity gals. (b)	Pumped gals. (c)	Water Purchased gals. (d)	Metered gals. (e)	Un- Metered gals. (f)	Metered gals. (g)	Un- Metered gals. (h)	Metered gals. (i)	Un- Metered gals. (j)						
1	Jan		818,231		641,714				0					37,353	139,164	
2	Feb		760,061		535,489				0					31,229	193,343	
3	Mar		798,674		605,527				0					26,238	166,909	
4	Apr		833,102		641,671				0					38,416	153,015	
5	May		883,045		578,746				0					30,009	274,290	
6	Jun		943,275		703,684				0					23,846	215,745	
7	Jul		1,073,325		836,340				0					22,617	214,368	
8	Aug		994,859		755,349				0					41,919	197,591	
9	Sep		878,391		822,407				0					12,319	43,665	
10	Oct		796,622		772,564				0					16,792	7,266	
11	Nov		779,488		610,915				0					20,658	147,915	
12	Dec		789,792		637,541			43,117	825	2,670				2,065	103,574	
13	Totals	0	10,348,865	0	8,141,947	0	0	43,117	825	2,670	0	0	0	303,461	1,856,845	

*Includes all sales to public authorities except those made under service classifications having general consumer application.

SOURCES OF WATER SUPPLY

1. Show the requested information concerning surface water supply. In the lower section of the schedule insert in "Designation" column some letter or other symbol which will identify the reservoir with related water shed and structures described in the upper section.

state that fact in a footnote, and give full particulars concerning respondent's title.

2. If any property was held at the end of the year under any title other than full ownership,

3. Insert in the headings of columns (q) and (a) to (w) and column (y) the initial letter of Thousand, Million or Billion to indicate the unit in which the quantities are expressed.

LINE NO.	Designation of water shed (a)	D A M S						I N T A K E S					
		Area of water shed sq. miles (b)	Location (village or town) (c)	Year of construction (d)	Type and material (e)	Length ft. (f)	Maximum height ft. (g)	Number (h)	Kind (i)	Location (j)	Diameter in. (k)	Depth below surface ft. (l)	Length ft. (m)
1	DeForest	26.6	Town of Clarkstown	1956	Earthfill	412		3	Sluice Gate	Intake tower at dam	36	75.0	Elev.
2					Dam Steel sheet piling & concrete core well			1	C.I. Pipe		36	67.5	Elev.
3												60.0	
4													
5													
6													
7							35.0	1	C.I Pipe	Lower dam	10	16.0	45
8					Concrete spilling section	100					10	16.0	79
9													
10													
11	Stony Point	15.0	Town of Stony Point	1902	Concrete	100	16.5						
12													
13													
14	Indian Kill		Tuxedo	1959	Earthfill	1,200	20.0	1	C.I Pipe				
15													
16													

LINE NO.	Designation (n)	Impounding Reservoirs					Draft during year			Draft during reservoir service			
		Year of Construction (o)	Natural or Artificial (p)	Capacity M gals (q)	Spillway elevation ft. (r)	Est daily yield dry year M gals (s)	Avg. daily M gals (t)	Maximum Daily M gals (u)	Minimum Daily M gals (v)	Maximum Daily		Minimum Daily	
										M gals (w)	Year (x)	M gals (y)	Year (z)
17	DeForest	1956	Artificial	5,671.0	85.00	20.0	10.322	18.962	4.156	20.67	1977	0.096	1963
18													
19													
20													
21													
22													
23	Stony Point	1902	Artificial	4.6	67.03	1.5	0.000	0.000	0.000	2.103	1974	0.052	1965
24													
25													
26	Letchworth *					1	0.397	2.279	0	2.843	2008	0	2010
27	1st	1916	Artificial	22	680.00								
28	2nd	1927	Artificial	64.5	948.00								
29	3rd	1945	Artificial	87	1076.00								
30													
31	Indian Kill	1959	Artificial	200									
32	Blue Lake **	1959	Artificial	400									
33	Sterling Lake **	1960	Artificial	700									
34													
35													
36	* Owned and maintained by Palisades Interstate Park Commission												
37	** United Water has water rights for these impoundments.												

SOURCES OF WATER SUPPLY

1. Show the requested information concerning surface water supply. In column (b) indicate whether supply is from springs, wells, or infiltration galleries. Columns (f) to (l) relate to wells only, but other columns should also be filled out in respect of this source of supply.

2. If any property was held at the end of the year under any title other than full ownership, state that fact in a footnote, and give full particulars concerning respondent's title.

3. In column (l) indicate whether Natural flow, Suction, Air lift, or Deep well pump.

LINE NO.	Location (city, village or town) and designation of system (a)	Type of develop- ment (b)	Year of Construc- ion (c)	Number of each type (d)	Elevation (ground surface) ft.)* (e)	W E L L S							Average daily yield, thousand gals. (m)
						Type (driven, dug etc.) (f)	Depth ft. (g)	Minimum diameter of well in. (h)	Depth water below surface not. operating - ft. (i)	Draw down		Method of Operation (l)	
										Below static ft. (j)	Pumping at G.P.M. (k)		
1													
2													
3													
4													
5													
6													
7													
8													
9													
10													
11													
12													

* Above Sea Level

See pages 402a - 402b

Unit NO.	Location (city, village or town) and designation of system (a)	Type of develop- ment (b)	Year of Construc- ion (c)	Number of each type (d)	Elevation (ground surface) ft.)* (e)	W E L L S							Average Daily Yield Thousand Gallons (m)
						Type (driven, dug etc.) (f)	Depth ft. (g)	Minimum diameter of well in. (h)	Depth water below surface not. operating - ft. (i)	Draw down		Method of Operation (l)	
										Below static ft. (j)	Pumping at G.P.M. (k)		
	Village of Spring Valley (C)												
1	Spring Valley (D)	Well	1911	1	454.6	Drilled	500	8 & 6	See Note A		O/S	Pump Pulled	Not Used
1A	Spring Valley	Well	1984	1	453.9	Drilled	520	16 & 12 & 10			600	Submersible	436
2	Spring Valley (D)	Well	1911	1	447.2	Drilled	446	8			O/S	Pump Pulled	Not Used
3	Spring Valley	Well	1924	1	444.5	Drilled	500	16 & 12 & 10			400	Deep Well Turbine	12
4	Spring Valley	Well	1924	1	451.2	Drilled	500	16 & 12 & 8			400	Deep Well Turbine	108
6	Spring Valley	Well	1927	1	441.8	Drilled	502	16 & 12 & 8			530	Deep Well Turbine	232
17	Spring Valley	Well	1950	1	446.8	Drilled	506	16 & 12 & 8			400	Deep Well Turbine	340
	Town of Orangetown												
8	Sparkill	Well	1931	1	59.3	Drilled	481	12 & 10 & 8 & 6			300	Submersible	
11	Sparkhill	Well	1941	1		Drilled	458	16 & 12			100	Submersible	Not Used
12	Sparkill (D)	Well	1941	1	58.0	Drilled	328	14 & 12 & 10			o/s	Deep Well Turbine	Not Used
15	Blauvelt	Well	1948	1	175.0	Drilled	395	18 & 12			375	Deep Well Turbine	59
16	Tappan	Well	1948	1	203.0	Drilled	500	8 & 6			225	Deep Well Turbine	214
20	Tappan	Well	1954	1	164.3	Drilled	555	16 & 10			150	Deep Well Turbine	Not Used
22	Pearl River	Well	1954	1	223.5	Drilled	655	16 & 10			150	Deep Well Turbine	Not Used
	Town of Clarkstown												
19	Bardonia	Well	1954	1	278.7	Drilled	477	20 & 14			165	Submersible	Not Used
21	Germonds	Well	1954	1	294.3	Drilled	601	8			150	Submersible	74
13	Nanuet	Well	1943	1	262.0	Drilled	325	16 & 10			440	Deep Well Turbine	132
14	Nanuet	Well	1943	1	275.0	Drilled	375	16 & 12 & 10			440	Deep Well Turbine	118
32	Wesel	Well	1964	1	307.5	Drilled	308	14 & 12			300	Deep Well Turbine	89
23	New City	Well	1954	1	206.7	Drilled	430	20 & 14			300	Deep Well Turbine	Not Used
64	Norge	Well	1971	1	296.0	Drilled	352	16 & 10			400	Submersible	144
65	Pascack Road	Well	1972	1	379.5	Drilled	404	20 & 14			650	Deep Well Turbine	189
66	Elmwood	Well	1972	1	140.0	Drilled	401	18 & 12			350	Deep Well Turbine	27
70	Birchwood	Well	1971	1	346.5	Drilled	450	8 & 6			200	Submersible	43
73	Lake Shore	Well	1972	1	302.0	Drilled	363	16 & 10			700	Deep Well Turbine	203
79	Westgate	Well	1976	1	131.0	Drilled	400	16 & 10			150	Submersible	51
	Town of Ramapo												
30	Monsey	Well	1929	1	619.6	Drilled	420	10 & 8 & 6			250	Submersible	187
31	Monsey (D)	Well	1946	1	509.5	Drilled	357	8&6			200	Deep Well Turbine	not used
31A	Monsey	Well	1996	1	510.0	Drilled	250	16 & 10			225	Submersible	63
37	Pomona (Camp Hill)	Well	1965	1	410.0	Drilled	411	8			250	Submersible	not used
38	Pomona (Camp Hill)	Well	1965	1	410.0	Drilled	399	8			500	Deep Well Turbine	308
53	Saddle River	Well	1969	1	296.0	Drilled	351	18 & 12			500	Deep Well Turbine	216
26	Tallman	Well	1960	1	434.0	Drilled	437	20 & 14			400	Submersible	143
28	Viola	Well	1928	1	594.0	Drilled	215	14 & 10			700	Deep Well Turbine	232
106	Viola	Well	1995	1	594.0	Drilled	440	20 & 16			700	Submersible	156
42A	Catamount	Well	1985	1	398.0	Drilled	66.5	12			150	Submersible	74
54A	Catamount	Well	1985	1	398.0	Drilled	107	18 & 12			350	Submersible	180
68	Cherry Lane	Well	1972	1	378.0	Drilled	455	18 & 12			350	Submersible	50
71	Eckerson #1	Well	1972	1	447.5	Drilled	406	18 & 12 & 10			300	Deep Well Turbine	185
72	Rustic	Well	1972	1	418.0	Drilled	401	18 & 12 & 10			560	Deep Well Turbine	227

Notes: (A) Water depths while operating and not operating are affected by many variables such as other pumps in use, duration of operation, climatological conditions, etc. Well yields are also affected by these conditions.

(B) Approximate ground surface elevations.

(C) Well units #2 and #44 converted to observation wells.

(D) Pump removed, well out of service.

(*) Above sea level (floor of pump) U.S.G.S. Datum.

(**) Suction - Thiells station vacuum system.

Unit NO.	Location (city, village or town) and designation of system (a)	Type of develop- ment (b)	Year of Construc- ion (c)	Number of each type (d)	Elevation (ground surface) ft.)* (e)	W E L L S						Average Daily Yield Thousand Gallons (m)	
						Type (driven, dug etc.) (f)	Depth ft. (g)	Minimum diameter of well in. (h)	Depth water below surface not. operating - ft. (i)	Draw down			Method of Operation (l)
										Below static ft. (j)	Pumping at G.P.M. (k)		
	Village of Hillburn												
84	Ramapo Valley TPW-1	Well	1971	1	289.0	Drilled	89	18 & 12	See Note A		1,400	Deep Well Turbine	821
85	Ramapo Valley TPW-3	Well	1974	1	290.0	Drilled	74	18 & 12			1,000	Deep Well Turbine	474
93	Ramapo Valley Well A	Well	1978	1	304.75	Drilled	94.5	20 & 14			600	Deep Well Turbine	685
94	Ramapo Valley Well AB	Well	1978	1	302.75	Drilled	99.5	20 & 14			650	Deep Well Turbine	729
95	Ramapo Valley Well B	Well	1978	1	300.75	Drilled	93.5	20 & 14			250	Deep Well Turbine	641
96	Ramapo Valley Well C	Well	1978	1	299.75	Drilled	86	20 & 14			700	Deep Well Turbine	865
97	Ramapo Valley Well D	Well	1978	1	297.25	Drilled	72.5	20 & 14			800	Deep Well Turbine	352
98	Ramapo Valley Well E	Well	1978	1	297.75	Drilled	99	20 & 14			1,200	Deep Well Turbine	875
99	Ramapo Valley Well F	Well	1980	1	276.03	Drilled	107	20 & 14			1,000	Deep Well Turbine	454
100	Ramapo Valley Well G	Well	1979	1	276.25	Drilled	125	20 & 14			1,200	Deep Well Turbine	366
	Village of Piermont												
25	Piermont (C,D)	Well	1955	1	29.5	Drilled	72	36&20			o/s	No Pump	Not Used
	Town of Haverstraw												
S-1	Thiells (D)	Spring	1901	1	290.0 (B)	Drilled	20	5			o/s	(**)	Not Used
S-2	Thiells (D)	Spring	1901	1	290.0 (B)	Drilled	20	5			o/s	(**)	Not Used
S-3	Thiells (D)	Spring	1896	1	290.0 (B)	Drilled	20	5			o/s	(**)	Not Used
S-4	Thiells (D)	Spring	1900	1	290.0 (B)	Drilled	15	8			o/s	(**)	Not Used
48	Thiells (D)	Well	1941	1	290.0 (B)	Drilled	72	8			o/s	(**)	Not Used
49	Thiells (D)	Well	1964	1	296.0 (B)	Drilled	23	8			o/s	Submersible(**)	Not Used
50	Thiells	Well	1965	1	296.0 (B)	Drilled	75	12			80	Submersible	35
51	Thiells	Well	1965	1	310.0 (B)	Drilled	403	12 & 8			275	Submersible	156
46	Garnerville	Well	1957	1	305.0.0 (B)	Drilled	320	10			140	Submersible	Not Used
	Village of Haverstraw												
44	Fairmont (C)	Well	1925	1	30.0 (B)	Drilled	450	8			o/s	Not used	Not Used
	Village of Chestnut Ridge												
69	Pinebrook	Well	1972	1	360.0	Drilled	402	20 & 14			440	Deep Well Turbine	265
83	Grotke	Well	1980	1	284.0	Drilled	500	16 & 10			148	Deep Well Turbine	not used
	Village of Montebello												
27	Ramapo (Lake Street)	Well	1959	1	309.5	Drilled	119	20 & 10			1000	Deep Well Turbine	688
29	Ramapo (River Road) (D)	Well	1966	1	310.0	Drilled	82.5	20			o/s		not used
29A	Ramapo (River Road)	Well	1994	1	310.0	Drilled	88.5	24 & 16			1200	Deep Well Turbine	496
55	Nottingham	Well	1970	1	321.3	Drilled	354	18 & 12			500	Deep Well Turbine	99
67	Grandview	Well	1971	1	388.5 (B)	Drilled	435	8			200	Submersible	not used
78	Grandview	Well	1978	1	397.5	Drilled	452	14 & 10			200	Submersible	not used
	Village of Wesley Hills												
56	Willow Tree	Well	1970	1	477.5	Drilled	350	20 & 16			850	Deep Well Turbine	250
	Village of New Hempstead												
18	New Hempstead (Summit Park)	Well	1951	1	482.5	Drilled	300	20 & 14 & 12			700	Deep Well Turbine	233
24	New Hempstead (Summit Park)	Well	1954	1	470.5	Drilled	407	20 & 14			1000	Deep Well Turbine	596
82	Eckerson #2	Well	1977	1	471.0	Drilled	454	16 & 10			251	Deep Well Turbine	190
	Town of Tuxedo (Orange Co)												
	Maplebrook (fka #4)	Well	1959	1		Drilled	360	6			o/s	Submersible	Not Used
	NYU Well	Well	1963	1		Drilled	225	6			25	Submersible	25

Notes: (A) Water depths while operating and not operating are affected by many variables such as other pumps in use, duration of operation, climatological conditions, etc. Well yields are also affected by these conditions.

(B) Approximate ground surface elevations.

(C) Well units, #2, #25 and #44 converted to observation wells.

(D) Pump removed, well out of service.

(*) Above sea level (floor of pump) U.S.G.S. Datum.

(**) Suction - Thiells station vacuum system.

PUMPING STATION & FIRE SERVICE

1. Show the requested information concerning each pumping station in operation at any time during the year. expressed.

2. Where quantities of water are requested, insert in col. (a) preceding the abbreviation "gals." the initial letter of Thousand, Million, or Billion to indicate the unit in which entries

3. Utilize the blank spaces,. column (a), for inserting designations against which are to be entered data separately for each pump, kind of fuel etc.

LINE NO.	Designation of Station**	(b)	(c)	(d)	(e)	(f)	(g)
1	Location of station (city, village or town)						
2	Elevation above sea level (ft.)						
3	Number of Pumps						
4	Water pumps with slip (....gals.)						
5	Water pumps without slip (....gals.)						
6	Water pumps by sta. mass (....gals.)						
7	How measured						
8	Average daily for each pump (gals.....)						
9							
10							
11							
12							
13							
14	Maximum daily output of station (....gals)						
15	Number of Pumps Used						
16	Date on which the above maximum occurred						
17	Max daily output of entire system						
18	Date on which the above maximum occurred						
19	Av. head against which each pump works						
20							
21							
22	Kind of power (if system operates by gravity, so state)						
23	Kinds of fuel used						
24							
25	Unit of fuel						
26							
27	Fuel on hand at beginning of year						
28							
29	Quantity received during year						
30							
31	Average cost per unit						
32							
33	Quantity used for pumping						
34							
35	Quantity used for other than pumping						
36	Quantity on hand at end of year						
37	Avg. fuel or pwr. cost pergals. pumped						
38							
39	FIRE DATA						
40	Date of largest fire damage during year						
41	Number of 250 g.p.m. fire streams used						
42	Duration of maximum fire draft (hrs.)						
43	Number of hydrants used.						
44	Total head required for max. fire draft (ft.)						

* If electric is used, give name of the company from which purchased. Where station is serving two zones designate according to zones.

PUMPING STATION & FIRE SERVICE (Continued)

4. In column (i), assign a designation no. or letter to each pump, which designation must be used to indicate performance of the particular unit under the captions on lines 8 and 19.

6. If pumpage data are not available for individual pumps, give the information per station.

5. If electricity was used, specify on lines 23 to 38 the requested quantities (kwh) and averages.

LINE NO.									Total (p)
	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	
1									
2									
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LINE NO.	Designation of Station (C)	Spring Valley Well Field	Spring Valley 6	Tappan 16/20	Sparkhill Low 8	Norge 64	Pinebrook 69	Elmwood 66
	I t e m (a)	(b)	(c)	(d)	(e)	(f)	(f)	(g)
1	Location of station (city, village or town)	Spring Valley	Spring Valley	Tappan	Sparkhill	New City	New City	Clarkstown
2	Elevation above sea level (ft.) (A)	(F)	(F)	(F)	(F)	(F)	(F)	(D)
3	Number of Pumps	4	1	1	1	1	1	1
4	Water pumps with slip (.....gals.)	None	None	None	None	None	None	None
5	Water pumps without slip (.....gals.)							
6	Water pumps by sta. mass (T gals.)	558,179	206,093	97,978	21,953	102,182	140,400	34,817
7	How measured	Metered	Metered	Metered	Metered	Metered	Metered	Metered
8	Average daily for each pump (T gals)	1,525	563	268	59	279	384	95
9	Maximum daily output of station (Tgals)	2,608	908	317	396	647	645	501
10	Number of Pumps Used	4	1	2	1	1	1	1
11	Date on which the above maximum occurred	23-Dec	3-Jan	16-Mar	41114	20-Jan	29-Feb	27-Jun
12	Max daily output of entire system	2,608	908	317	396	647	645	501
13	Date on which the above maximum occurred	23-Dec	3-Jan	16-Mar	41114	20-Jan	29-Feb	27-Jun
14	Av. head against which each pump works	(D)	(D)	(D)	(D)	(D)	(D)	(D)
15	Kind of power (if system operates by gravity, so state)	Electric	Electric	Electric	Electric	Electric	Electric	Electric
16								
17	Kinds of fuel used	None	None	None	None	None	None	None
18	Unit of fuel	None	None	None	None	None	None	None
19	Fuel on hand at beginning of year	None	None	None	None	None	None	None
20	Quantity received during year -KWH	1,017,600	251,320	248,760	161300	182,208	369,600	117,468
21	Average cost per unit	\$0.13	\$0.12	\$0.10	0	\$0.13	\$0.07	\$0.14
22	Quantity used for pumping	1,017,600	251,320	248,760	161300	182,208	369,600	117,468
23	Quantity used for other than pumping	None	None	None	N/A	None	None	None
24	Quantity on hand at end of year	None	None	None	None	None	None	None
25	Avg. fuel or pwr. cost per T gals. pumped	\$0.25	\$0.15	\$0.26	1	\$0.23	\$0.18	\$0.48

LINE NO.	Designation of Station (C)	Lake Shore 73	Pearl River 22	Piermont 25	Nanuet 13	Nanuet 14	Wesel 32	Bardonia 19	New City 23	Germonds 21	Valley Cottage Booster
	I t e m	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)
1	Location of station (city, village or town)	Clarkstown	Pearl River	Piermont	Clarkstown	Clarkstown	Clarkstown	Clarkstown	Clarkstown	Clarkstown	Clarkstown
2	Elevation above sea level (ft.) (A)	(F)	(F)	(F)	(F)	(F)	(F)	(F)	(F)	(F)	(F)
3	Number of Pumps	1	1	1	1	1	1	1	1	1	1
4	Water pumps with slip (.....gals.)	None	None	None	None	None	None	None	None	None	None
5	Water pumps without slip (.....gals.)										
6	Water pumps by sta. mass (T gals.)	148,096	1,919	N/A	35,000	64,233	44,744	N/A	6,620	N/A	54,545
7	How measured	Metered	Metered	Metered	Metered	Metered	Metered	Metered	Metered	Metered	Metered
8	Average daily for each pump (T gals)	405	5	N/A	96	176	122	N/A	18	N/A	149
9	Maximum daily output of station (Tgals)	847	194	N/A	576	590	382	N/A	354	N/A	262
10	Number of Pumps Used	1	1	1	1	1	1	1	1	1	1
11	Date on which the above maximum occurred	10-Jan	41,258	N/A	15-Sep	5-Nov	21-Jun	N/A	41,170	N/A	27-Apr
12	Max daily output of entire system	847	194	N/A	576	590	382	N/A	354	N/A	262
13	Date on which the above maximum occurred	10-Jan	41,258	N/A	15-Sep	5-Nov	21-Jun	N/A	41,170	N/A	27-Apr
14	Av. head against which each pump works	(D)	(D)	(D)	(D)	(D)	(D)	(D)	(D)	(D)	(D)
15	Kind of power (if system operates by gravity, so state)	Electric	Electric	Electric	Electric	Electric	Electric	Electric	Electric	Electric	Electric
16	Kinds of fuel used	None	None	None	None	None	None	None	None	None	None
17	Unit of fuel	None	None	None	None	None	None	None	None	None	None
18	Fuel on hand at beginning of year	None	None	None	None	None	None	None	None	None	None
19	Quantity received during year -KWH	274,572	21,290	N/A	40,640	209,100	98,460	N/A	29,633	N/A	112,460
20	Average cost per unit	\$0.12	0	N/A	\$0.16	\$0.13	\$0.14	N/A	\$0.21	N/A	\$0.13
21	Quantity used for pumping	274,572	21,290	N/A	40,640	209,100	98,460	N/A	29,633	N/A	112,460
22	Quantity used for other than pumping	None	None	None	None	None	None	None	None	None	None
23	Quantity on hand at end of year	None	None	None	None	None	None	None	None	None	None
24	Avg. fuel or pwr. cost per T gals. pumped	\$0.23	\$2.23	N/A	\$0.19	\$0.43	\$0.30	N/A	\$0.96	N/A	\$0.27

LINE NO.	Designation of Station (C)	Lake DeForest Filtration Plant	Blauvelt Low 15	West Gate 79	Grotke Road 83	Grandview Booster 10	Catamount 42A/54	Monsey Rt 59	Tallman 26
	I t e m	(r)	(s)	(t)	(u)	(v)	(w)	(x)	(y)
1	Location of station (city, village or town)	Clarkstown	Orangetown	Clarkstown	Chestnut Ridge	Ramapo	Ramapo	Ramapo	Ramapo
2	Elevation above sea level (ft.) (A)	(F)	(F)	(F)	(F)	(F)	(F)	(F)	(F)
3	Number of Pumps	4	1	1	1	1	1	1	1
4	Water pumps with slip (.....gals.)	None	None	None	None	None	None	None	None
5	Water pumps without slip (.....gals.)								
6	Water pumps by sta. mass (T gals.)	3,361,161	10,643	9,665	N/A	N/A	96,394	N/A	62,326
7	How measured	Metered	Metered	Metered	Metered	Metered	Metered	Metered	Metered
8	Average daily for each pump (T gals)	9,209	29	26	N/A	N/A	263	N/A	170
9	Maximum daily output of station (Tgals)	15,267	504	231	N/A	N/A	591	N/A	431
10	Number of Pumps Used	4	1	1	1	1	2	1	1
11	Date on which the above maximum occurred	13-Jul	27-Jun	21-Jun	N/A	N/A	30-Dec	N/A	31-Oct
12	Max daily output of entire system	15,267	504	231	N/A	N/A	591	N/A	431
13	Date on which the above maximum occurred	13-Jul	27-Jun	21-Jun	N/A	N/A	30-Dec	N/A	31-Oct
14	Av. head against which each pump works	(D)	(D)	(D)	(D)	(D)	(D)	(D)	(D)
15	Kind of power (if system operates by	Electric	Electric	Electric	Electric	Electric	Electric	Electric	Electric
16	gravity, so state)								
17	Kinds of fuel used	None	None	None	None	None	None	None	None
18	Unit of fuel	None	None	None	None	None	None	None	None
19	Fuel on hand at beginning of year	None	None	None	None	None	None	None	None
20	Quantity received during year -KWH	7,642,317	49,068	39,983	N/A	N/A	326,550	N/A	150,880
21	Average cost per unit	\$0.10	\$0.20	\$0.17	N/A	N/A	\$0.12	N/A	\$0.13
22	Quantity used for pumping	7,642,317	49,068	39,983	N/A	N/A	326,550	N/A	150,880
23	Quantity used for other than pumping	None	None	None	None	None	None	None	None
24	Quantity on hand at end of year	None	None	None	None	None	None	None	None
25	Avg. fuel or pwr. cost per T gals. pumped	\$0.23	\$0.91	\$0.71	N/A	N/A	\$0.40	N/A	\$0.31

LINE NO.	Designation of Station (C)	New Hempstead High 18/24	Pomona Camp Hill Wells 37/38	Grandview 67/78	Eckerson #1 71	Rustic 72	Viola 28
	I t e m	(z)	(aa)	(bb)	(cc)	(dd)	(ee)
1	Location of station (city, village or town)	Ramapo	Pomona	Montebello	Spring Valley	Airmont	Viola
2	Elevation above sea level (ft.) (A)	(F)	(F)	(F)	(F)	(F)	(F)
3	Number of Pumps	1	1	2	1	1	1
4	Water pumps with slip (.....gals.)	None	None	None	None	None	None
5	Water pumps without slip (.....gals.)						
6	Water pumps by sta. mass (T gals.)	459,452	156,779	N/A	77,710	95,497	130,961
7	How measured	Metered	Metered	Metered	Metered	Metered	Metered
8	Average daily for each pump (T gals)	1,255	428	N/A	212	261	358
9	Maximum daily output of station (Tgals)	2,742	628	N/A	511	709	1,010
10	Number of Pumps Used	2	2	2	1	1	1
11	Date on which the above maximum occurred	31-Oct	31-Jul	N/A	29-May	18-Apr	24-Mar
12	Max daily output of entire system	2,742	628	N/A	511	709	1,010
13	Date on which the above maximum occurred	31-Oct	31-Jul	N/A	29-May	18-Apr	24-Mar
14	Av. head against which each pump works	(D)	(D)	(D)	(D)	(D)	(D)
15	Kind of power (if system operates by gravity, so state)	Electric	Electric	Electric	Electric	Electric	Electric
16							
17	Kinds of fuel used	None	None	None	None	None	None
18	Unit of fuel	None	None	None	None	None	None
19	Fuel on hand at beginning of year	None	None	None	None	None	None
20	Quantity received during year -KWH	1,274,700	441,420	N/A	158,742	346,780	410,800
21	Average cost per unit	\$0.12	\$0.12	N/A	\$0.12	\$0.12	\$0.12
22	Quantity used for pumping	1,274,700	441,420	N/A	158,742	346,780	410,800
23	Quantity used for other than pumping	None	None	None	None	None	None
24	Quantity on hand at end of year	None	None	None	None	None	None
25	Avg. fuel or pwr. cost per T gals. pumped	\$0.32	\$0.33	N/A	\$0.25	\$0.45	\$0.38

LINE NO.	Designation of Station (C)	Willow Tree 56	Nottingham 55	Cherry Lane 68	Ramapo 27	Ramapo 29	Piermont Booster 33	Monsey 30	Monsey 31A
	I t e m	(ff)	(gg)	(hh)	(ii)	(jj)	(kk)	(ll)	(mm)
1	Location of station (city, village or town)	Ramapo	Ramapo	Airmont	Ramapo	Ramapo	Piermont	Ramapo	Ramapo
2	Elevation above sea level (ft.) (A)	(F)	(F)	(F)	(F)	(F)	(F)	(F)	(F)
3	Number of Pumps	1	1	1	1	1	1	1	1
4	Water pumps with slip (.....gals.)	None	None	None	None	None	None	None	None
5	Water pumps without slip (.....gals.)								
6	Water pumps by sta. mass (T gals.)	274,574	42,913	72,698	388,089	37,098	39,286	54,114	17,344
7	How measured	Metered	Metered	Metered	Metered	Metered	Metered	Metered	Metered
8	Average daily for each pump (T gals)	750	117	199	1,060	101	107	197	47
9	Maximum daily output of station (Tgals)	1,154	658	554	1,368	1,173	166	485	329
10	Number of Pumps Used	1	1	1	1	1	1	1	1
11	Date on which the above maximum occurred	5-Jan	25-Nov	15-Dec	16-Jan	5-Apr	8-Jul	25-Apr	27-Nov
12	Max daily output of entire system	1,154	658	554	1,368	1,173	166	485	329
13	Date on which the above maximum occurred	5-Jan	25-Nov	15-Dec	16-Jan	5-Apr	8-Jul	25-Apr	27-Nov
14	Av. head against which each pump works	(D)	(D)	(D)	(D)	(D)	(D)	(D)	(D)
15	Kind of power (if system operates by gravity, so state)	Electric	Electric	Electric	Electric	Electric	Electric	Electric	Electric
16	Kinds of fuel used	None	None	None	None	None	None	None	None
17	Unit of fuel	None	None	None	None	None	None	None	None
18	Fuel on hand at beginning of year	None	None	None	None	None	None	None	None
19	Quantity received during year -KWH	604,380	145,460	205,536	958,400	146,160	50,997	121,373	59,868
20	Average cost per unit (KWH)	\$0.11	\$0.15	\$0.12	\$0.10	\$0.19	\$0.14	\$0.13	\$0.16
21	Quantity used for pumping	604,380	145,460	205,536	958,400	146,160	50,997	121,373	59,868
22	Quantity used for other than pumping	None	None	None	None	None	None	None	None
23	Quantity on hand at end of year	None	None	None	None	None	None	None	None
24	Avg. fuel or pwr. cost per T gals. pumped	\$0.24	\$0.51	\$0.35	\$0.25	\$0.76	\$0.18	\$0.29	\$0.55

LINE NO.	Designation of Station (C)	Thiells 49	Thiells 50/51	Garnerville 46	Fairmont 44	Thiells 48	Thiells 77	Orchard Street Booster
	I t e m	(nn)	(oo)	(pp)	(qq)	(rr)	(ss)	(vv)
1	Location of station (city, village or town)	Town of Haverstraw	Town of Haverstraw	West Haverstraw	Town of Haverstraw	Town of Haverstraw	Town of Haverstraw	Town of Stony Point
2	Elevation above sea level (ft.) (A)	(F)	(F)	(F)	(F)	(F)	(F)	(F)
3	Number of Pumps	1	2	1	1	1	1	1
4	Water pumps with slip (.....gals.)	None	None	None	None	None	None	None
5	Water pumps without slip (.....gals.)							
6	Water pumps by sta. mass (T gals.)	o/s	95,942	o/s	o/s	o/s	o/s	o/s
7	How measured	Metered	Metered	Metered	Metered	Metered	Metered	Metered
8	Average daily for each pump (T gals)	N/A	262	N/A	N/A	N/A	N/A	N/A
9	Maximum daily output of station (Tgals)	N/A	458	N/A	N/A	N/A	N/A	N/A
10	Number of Pumps Used	1	2	1	1	1	1	1
11	Date on which the above maximum occurred	N/A	7-Jan	N/A	N/A	N/A	N/A	N/A
12	Max daily output of entire system	N/A	458	N/A	N/A	N/A	N/A	N/A
13	Date on which the above maximum occurred	N/A	7-Jan	N/A	N/A	N/A	N/A	N/A
14	Av. head against which each pump works	(D)	(D)	(D)	(D)	(D)	(D)	(D)
15	Kind of power (if system operates by gravity, so state)	Electric	Electric	Electric	Electric	Electric	Electric	Electric
16								
17	Kinds of fuel used	None	None	None	None	None	None	None
18	Unit of fuel	None	None	None	None	None	None	None
19	Fuel on hand at beginning of year	None	None	None	None	None	None	None
20	Quantity received during year -KWH	N/A	216,672	N/A	N/A	N/A	N/A	N/A
21	Average cost per unit	N/A	\$0.12	N/A	N/A	N/A	N/A	N/A
22	Quantity used for pumping	N/A	216,672	N/A	N/A	N/A	N/A	N/A
23	Quantity used for other than pumping	None	None	None	None	None	None	None
24	Quantity on hand at end of year	None	None	None	None	None	None	None
25	Avg. fuel or pwr. cost per T gals. pumped	N/A	\$0.27	N/A	N/A	N/A	N/A	N/A

LINE NO.	Designation of Station (C)	Stony Point Booster Station (74 & 75)	Pascack Road 65	Saddle River 53	Birchwood 70	Eckerson #2 82	Ramapo Valley Well Field (B) 84-90,93-100	Eckerson Lane Booster
	I t e m	(ww)	(xx)	(yy)	(zz)	(aaa)	(bbb)	(ddd)
1	Location of station (city, village or town)	Stony Point	Clarkstown	Ramapo	Clarkstown	Spring Valley	Hillburn	Ramapo
2	Elevation above sea level (ft.) (A)	(F)	(F)	(F)	(F)	(F)	(F)	(F)
3	Number of Pumps	1	1	1	1	1	1	1
4	Water pumps with slip (.....gals.)	None	None	None	None	None	None	None
5	Water pumps without slip (.....gals.)							
6	Water pumps by sta. mass (T gals.)	33,514	34,733	104,165	43,568	129,528	2,868,482	90,546
7	How measured	Metered	Metered	Metered	Metered	Metered	Metered	Metered
8	Average daily for each pump (T gals)	92	95	285	119	354	7,837	247
9	Maximum daily output of station (Tgals)	197	473	491	256	461	6,627	757
10	Number of Pumps Used	3	1	1	1	1	10	1
11	Date on which the above maximum occurred	3-Aug	19-Jul	29-Feb	26-Jun	14-Mar	29-Jan	27-Dec
12	Max daily output of entire system	197	473	491	256	461	6,627	757
13	Date on which the above maximum occurred	3-Aug	19-Jul	29-Feb	26-Jun	14-Mar	29-Jan	27-Dec
14	Av. head against which each pump works	(D)	(D)	(D)	(D)	(D)	(D)	(D)
15	Kind of power (if system operates by gravity, so state)	Electric	Electric	Electric	Electric	Electric	Electric	Electric
16								
17	Kinds of fuel used	None	None	None	None	None	None	None
18	Unit of fuel	None	None	None	None	None	None	None
19	Fuel on hand at beginning of year	None	None	None	None	None	None	None
20	Quantity received during year -KWH	104,925	129,780	341,250	109,620	251,000	6,280,718	61,447
21	Average cost per unit	\$0.13	\$0.15	\$0.12	\$0.13	\$0.12	\$0.11	\$0.15
22	Quantity used for pumping	104,925	129,780	341,250	109,620	251,000	6,280,718	61,447
23	Quantity used for other than pumping	None	None	None	None	None	None	None
24	Quantity on hand at end of year	None	None	None	None	None	None	None
25	Avg. fuel or pwr. cost per T gals. pumped	\$0.40	\$0.55	\$0.38	\$0.32	\$0.23	\$0.24	\$0.10

LINE	Designation of Station (C) I t e m	Airmont Road Booster 92	Hemion Road Booster 91	Viola 106	Gate Hill Booster 48	Cheesecote Mtn Booster 48	Highview Booster	Laurel Road Booster 46
		(ccc)	(eee)	(fff)	(ggg)	(hhh)	(iii)	(jjj)
1	Location of station (city, village or town)	Montebello	Ramapo	Viola	Ramapo	Pomona Haverstraw	Garnerville Haverstraw	Sloatsburg
2	Elevation above sea level (ft.) (A)	(F)	(F)	(F)		(F)	(F)	(F)
3	Number of Pumps	1	1	1	1	1	1	2
4	Water pumps with slip (.....gals.)	None	None	None	None	None	None	None
5	Water pumps without slip (.....gals.)							
6	Water pumps by sta. mass (T gals.)	218,018	540,349	285,151	734	21,082	o/s	7,349
7	How measured	Metered	Metered	Metered	Metered	Metered	Metered	(E)
8	Average daily for each pump (T gals)	596	1,476	779	2	58	N/A	20
9	Maximum daily output of station (Tgals)	1,921	2,524	1,153	7	228	N/A	58
10	Number of Pumps Used	1	1	1	1	1	1	1
11	Date on which the above maximum occurred	27-Sep	27-Feb	20-May	2-Sep	2-Aug	N/A	20-Jul
12	Max daily output of entire system	1,921	2,524	1,153	7	228	N/A	58
13	Date on which the above maximum occurred	27-Sep	27-Feb	20-May	2-Sep	2-Aug	N/A	20-Jul
14	Av. head against which each pump works	(D)	(D)	(D)	(D)	(D)	(D)	(D)
15	Kind of power (if system operates by gravity, so state)	Electric	Electric	Electric	Electric	Electric	Electric	Electric
16								
17	Kinds of fuel used	None	None	None	None	None	None	None
18	Unit of fuel	None	None	None	None	None	None	None
19	Fuel on hand at beginning of year	None	None	None	None	None	None	None
20	Quantity received during year -KWH	286,596	388,548	185,007	45,155	105,660	N/A	27,288
21	Average cost per unit	\$0.12	\$0.12	\$0.12	\$0.13	\$0.15	N/A	\$0.18
22	Quantity used for pumping	286,596	388,548	185,007	45,155	105,660	N/A	27,288
23	Quantity used for other than pumping	None	None	204,948	None	None	None	None
24	Quantity on hand at end of year	None	None	None	None	None	None	None
25	Avg. fuel or pwr. cost per T gals. pumped	\$0.16	\$0.09	\$0.08	\$7.74	\$0.73	N/A	\$0.65

LINE	Designation of Station (C)	Sterling Lake Booster 92	NYU Booster 91					
	I t e m	(kkk)	(III)					
1	Location of station (city, village or town)	Tuxedo	Tuxedo					
2	Elevation above sea level (ft.) (A)	(F)	(F)					
3	Number of Pumps	1	1					
4	Water pumps with slip (.....gals.)	None	None					
5	Water pumps without slip (.....gals.)							
6	Water pumps by sta. mass (T gals.)	N/A	N/A					
7	How measured	Metered	Metered					
8	Average daily for each pump (T gals)	N/A	N/A					
9	Maximum daily output of station (Tgals)	N/A	N/A					
10	Number of Pumps Used	1	1					
11	Date on which the above maximum occurred	N/A	N/A					
12	Max daily output of entire system	N/A	N/A					
13	Date on which the above maximum occurred	N/A	N/A					
14	Av. head against which each pump works	(D)	(D)					
15	Kind of power (if system operates by	Electric	Electric					
16	gravity, so state)							
17	Kinds of fuel used	None	None					
18	Unit of fuel	None	None					
19	Fuel on hand at beginning of year	None	None					
20	Quantity received during year -KWH	N/A	N/A					
21	Average cost per unit	N/A	N/A					
22	Quantity used for pumping	N/A	N/A					
23	Quantity used for other than pumping	None	None					
24	Quantity on hand at end of year	None	None					
25	Avg. fuel or pwr. cost per T gals. pumped	N/A	N/A					

Notes:

- (A) U.S.G.S. Datun
- (B) The total water pumped from the sources of supply is the sum of the pumpage from all pumping stations other than booster pumping stations.
- (C) Operate automatically and by remote control , as required
- (D) Average head is variable. It is affected by many factors such as the number of well pumps in service at one time, duration of operation, well drawdown, and elevation of water level in reservoir.
- (E) Not metered
- (F) Elevations shown on Schedule Page 402a and 402b.

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WATER TREATMENT SYSTEM

1. Show the requested information concerning the treatment system which was owned or operated at any time during the year covered by the report. If not in continuous operation, state in column (h), the beginning and end of the period of actual use within the year.

LINE NO.	Location (city, village or town) and designation of system (a)	Type (b)	Year of construction or installation (c)	Number of units, basins or beds (d)	Area of basins or beds sq. ft. (e)	Depth of basins or beds ft. (f)	Normal Daily capacitygals* (g)	Method of Operation (h)
1								
2								
3								
4								
5								
6								
7								
8								
9								
10	Maximum safe daily capacity							

*Prefix the initial letter of thousands, million or billion to indicate in which entries are expressed.

TREATMENT PROCESS

1. Show the requested information concerning Water Treatment Processes, the quantities treated by each process, and the chemicals used in connection therewith.

2. If respondent has two or more treatment plants, show the information separately for each plant.

3. Insert the heading of column (b) preceding the abbreviation "gals." the initial

letter of Thousand, Million or Billion to indicate the unit in which quantities are expressed.

4. If certain quantities of water are subject to more than one method of treatment, make suitable deduction on line 33.

5. In column (d) specify short tons gallons, or other unit in which quantities of chemicals in column (c) are expressed..

LINE NO.	Process (a)gals. purified (b)	Kind (c)	Chemicals used		Total Cost (f)	Remarks (g)
				Units (d)	Number of Units (e)		
23	Sedimentation						
24	Coagulation						
25	Softening						
26	Iron Removal						
27	Filtration (Specify method)						
28	Aeration						
29	Chlorine						
30	Copper sulphate						
31	Other:						
32	Totals	0					
33	Less duplications:						
34	Quantity purified						
35	Quantity not purified						
36	Total available for distribution	0					

WATER TREATMENT SYSTEM

Location (city, village or town) and designation of system (a)	Type (b)	Year of construction or installation (c)	Number of units, basins or beds (d)	Area of basins or beds sq. ft. (e)	Depth of basins or beds ft. (f)	Normal Daily capacitygals* (g)	Method of Operation (h)
Village of Spring Valley							
Spring Valley Well Field Which Covers the Following Wells: #1A, #2, #3, #4, #6, #17	Air Stripper	1998					
	Hypo Chlorinator	1994					(A), (B), (C)
	Poly-ortho-Phosphate	1995					(B)
Village of Piermont							
Piermont 25 (Out Of Service)	Hypo Chlorinator	1956					(B), (C)
Town of Ramapo							
Tallman 26	Air Stripper	2002					
	Hypo Chlorinator	1994					(B), (C)
	Poly-ortho-Phosphate	1997					(B)
Catamount 42A & 54A	Hypo Chlorinator	1994					(B), (C)
	Poly-ortho-Phosphate	1995					(B)
Camp Hill 37 & 38	Hypo Chlorinator	1994					(B), (C)
	Poly-ortho-Phosphate	1997					(B)
Viola 28	Clearwell for entrained air	2001					
	Hypo Chlorinator	1994					(B), (C)
	Poly-ortho-Phosphate	1997					(B)
Viola 106	Clearwell for entrained air	2001					
	Hypo Chlorinator	2001					(B), (C)
	Poly-ortho-Phosphate	2001					(B)
Monsey 30	Hypo Chlorinator	1994					(B), (C)
	Poly-ortho-Phosphate	1997					(B)
Monsey 31A	Carbon Filter	2000					
	Hypo Chlorinator	1994					(B), (C)
	Poly-ortho-Phosphate	1997					(B)
Saddle River 53	Hypo Chlorinator	1994					(B), (C)
	Poly-ortho-Phosphate	1996					(B)
Cherry Lane 68	Hypo Chlorinator	1994					(B), (C)
	Poly-ortho-Phosphate	1997					(B)
Eckerson 71	Hypo Chlorinator	1994					(B), (C)
	Poly-ortho-Phosphate	1996					(B)
Rustic 72	Hypo Chlorinator	1994					(B), (C)
	Poly-ortho-Phosphate	1997					(B)
Village of Hillburn							
Ramapo Valley	Hypo Chlorinator	1994					
86, 87, 88, 89, 90	Poly-ortho-Phosphate	1996					(B)
Town of Orangetown							
Blauvelt 15	UV & Carbon Filter	2000					
	Hypo Chlorinator	1994					
	Poly-ortho-Phosphate	1999					
Pearl River 22	Hypo Chlorinator	1994					(B), (C)
	Poly-ortho-Phosphate	1996					(B), (C)
Tappan 16&20	Hypo Chlorinator	1994					
	Poly-ortho-Phosphate	1996					(B), (C)
Sparkill 8 & 11	Hypo Chlorinator	2007					
	Poly-ortho-Phosphate	2007					(B), (C)
	Air Stripper	2007					
Town of Clarkstown							
Bardonia 19	Hypo Chlorinator	1994					(B), (C)
	Poly-ortho-Phosphate	1999					(B)
New City 23	Hypo Chlorinator	1994					(B), (C)
	Poly-ortho-Phosphate	1996					(B)
	Caustic	1994					
DeForest Treatment Plant	DAF	2003	2	576 ea	14.5	10 MGD	
	Coagulation & Sedimentation Basin	1963	2	21085	12		(D)
	Hypo Chlorinator	1994	4				(D)
	Sand & Gravel Filter Units	1963 & 1969	4	900 ea	8.5	2,600 ea	(D)
	Clear Water Storage	1963	1	8160	9.5		(D)
	Poly-ortho-Phosphate	1996	1				(D)
Norge 64	Hypo Chlorinator	1994					(B), (C)
	Poly-ortho-Phosphate	1996					(B)
Pascack 65	Hypo Chlorinator	1994					(B), (C)
	Poly-ortho-Phosphate	1996					(B)
Elmwood 66	Air Stripper	1997					
	Hypo Chlorinator	1994					(B)
	Poly-ortho-Phosphate	1996					(B)
	MSDBA	1995					OTHER
Birchwood 70	Hypo Chlorinator	1994					(B), (C)
	Poly-ortho-Phosphate	1996					(B)
Lake Shore 73	Hypo Chlorinator	1994					(B), (C)
	Poly-ortho-Phosphate	1996					(B)
Nanuet 13 & 14	Air Stripper	2002					
	Hypo Chlorinator	1994					(B), (C)
	Poly-ortho-Phosphate	1997					(B)

WATER TREATMENT SYSTEM

Location (city, village or town) and designation of system (a)	Type (b)	Year of construction or installation (c)	Number of units, basins or beds (d)	Area of basins or beds sq. ft. (e)	Depth of basins or beds ft. (f)	Normal Daily capacitygals* (g)	Method of Operation (h)
Town of Clarkstown							
Germonds 21	Hypo Chlorinator	1994					(B), (C)
	Poly-ortho-Phosphate	1996					(B)
West Gate 79	Hypo Chlorinator	1994					(B), (C)
	Poly-ortho-Phosphate	1996					(B)
							(B), (C)
Village of New Hempstead							
New Hempstead 18 & 24	UV Disinfection	2002					
	Hypo Chlorinator	1994					(B), (C)
	Poly-ortho-Phosphate	1997					(C)
	deaeration membrane	2009					
Town of Haverstraw							
Letchworth	Sedimentation Basin	1927	2	543 ea.	14	1.0 MGD	
	Dual Media Filters	1927	4	130 ea.	11.3	1.0 MGD	
	Clearwell	1927	2	6000	12	1.0 MGD	
	Polyaluminum Chlorid	2006					
	Caustic	2006					
	Hypo Chlorinator	2006					
	Potassium Permanganate	2006					
	Poly-ortho-Phosphate	2006					
Thiells Well Field Which Includes Wells 50, 51 & all others	Hypo Chlorinator	1964					(B), (C)
Out Of Service (48, 49, 77)	Poly-ortho-Phosphate	1997					(C)
Garnerville 46	Hypo Chlorinator	1994					(B), (C)
	Poly-ortho-Phosphate	1997					(B)
Town of Stony Point							
Stony Point Treatment Plant (Out of Service)	Pressure Filters	1919	2	320	6	500 ea	Pressure
	Hypo Chlorinator	1994	3				Pre/Post Filter & Raw
	Lime Feder	1958	1				Pre Highlift Pumps
	Liquid Alum Feeder	1976	1				Raw Water
	Diatomite Filters	1964	1	360		500	Vacuum Filters
Village of Haverstraw							
Fairmont 44 (Out Of Service)	Hypo Chlorinator	1994	1				(B)
Village of Chestnut Ridge							
Pine Brook 69	Hypo Chlorinator	1994					(B), (C)
	Poly-ortho-Phosphate	1996					(B)
Grotke 83	Hypo Chlorinator	1994					(B), (C)
	Poly-ortho-Phosphate	1996					(B)
Village of Montebello							
Ramapo 27	Hypo Chlorinator	1994					(B), (C)
	Poly-ortho-Phosphate	1997					(B)
Ramapo 29	Hypo Chlorinator	1994					(B), (C)
	Poly-ortho-Phosphate	1997					(B)
Nottingham 55	Hypo Chlorinator	1994					(B), (C)
	Poly-ortho-Phosphate	1997					(B)
Grandview 67 & 78	Hypo Chlorinator	1994					(B), (C)
	Poly-ortho-Phosphate	1997					(B)
	Arsenic Treatment	2007					
Village of Wesley Hills							
Willow Tree 56	Hypo Chlorinator	1994					(B), (C)
	Poly-ortho-Phosphate	1997					(B)
	Deaeration Membrane	2007					
Tuxedo (Orange Co)							
MapleBrook Well	Hypo Chlorinator	1998					(B)
	Soda Ash	2002					
NYU Well	Hypo Chlorinator	1973					(B)
Indian Kill	Sodium Hypochlorite	1991					(B)
	Polyphosphates	1994					
Blue Lake	Sedimentation Basin	1959					
	Sodium Hypochlorite	2002					(B)
	Polyphosphates						
	Sedimentation Basin	1964					
	Filter media	1999					
Sterling Lake	Sodium Hypochlorite	2002					(B)
	Polyphosphates	1996					
	Membrane Filter	2005					

(A) When required, chlorine is applied to reservoir main. Rate of chlorine application is controlled based on known rate of flow into reservoir.

(B) Chemical is applied when pump is operating. Rate of application is controlled by rate of flow discharged into system.

(C) Chlorination and Poly-ortho-phosphate are the only process of purification used.

(D) Raw water treated with prima Sand & Gravel Filter Units

thence to the coagulation and sedimentation basins where alum and carbon are applied. The clarification basin effluent then flows to and through two filter units. The effluent discharges into the clear water storage basin where it receives sulfur dioxide treatment to control the plant effluent chlorine residual and poly-ortho-phosphate to eliminate the corrosive characteristics. From

	T. Purified Gals (b)	Kind of Chemicals (c)	Unit (d)	Number of Units (e)	Accounting Total Cost (\$) (f)
Town of Ramapo					
Monsey 31A	17,344	Sodium Hypochlorite	Gal	196	\$ 152
		Polyphosphates	Gal	50	\$ 500
Pomona 37 & 38	156,779	Sodium Hypochlorite	Gal	1,887	\$ 1,462
		Polyphosphates	Gal	114	\$ 1,140
Catamount 42A & 54A	96,394	Sodium Hypochlorite	Gal	1,647	\$ 1,276
		Polyphosphates	Gal	119	\$ 1,190
Saddle River 53	104,165	Sodium Hypochlorite	Gal	1,432	\$ 1,110
		Polyphosphates	Gal	115	\$ 1,150
Nottingham 55	42,913	Sodium Hypochlorite	Gal	611	\$ 474
		Polyphosphates	Gal	40	\$ 400
Willow Tree 56	274,574	Sodium Hypochlorite	Gal	2,569	\$ 1,991
		Polyphosphates	Gal	50	\$ 500
Grandview 67 & 78	not used	Sodium Hypochlorite	Gal	0	N/A
		Polyphosphates	Gal	0	N/A
Cherry Lane 68	72,698	Sodium Hypochlorite	Gal	708	\$ 549
		Polyphosphates	Gal	25	\$ 250
Pine Brook 69	140,400	Sodium Hypochlorite	Gal	1,659	\$ 1,286
		Polyphosphates	Gal	80	\$ 800
Eckerson 71	77,710	Sodium Hypochlorite	Gal	840	\$ 651
		Polyphosphates	Gal	53	\$ 530
Rustic 72	95,497	Sodium Hypochlorite	Gal	898	\$ 696
		Polyphosphates	Gal	55	\$ 550
Eckerson 82	129,528	Sodium Hypochlorite	Gal	1,390	\$ 1,077
		Polyphosphates	Gal	120	\$ 1,200
Grotke 83	not used	Sodium Hypochlorite	Gal	N/A	N/A
		Polyphosphates	Gal	N/A	N/A
Hillburn Pump Station	2,868,482	Sodium Hypochlorite	Gal	33,952	\$ 26,313
		Polyphosphates	Gal	1,862	\$ 18,620
Town of Clarkstown					
Nanuet 13 & 14	99,233	Sodium Hypochlorite	Gal	1,029	\$ 797
		Polyphosphates	Gal	88	\$ 880
Germonds 21	not used	Sodium Hypochlorite	Gal	NA	NA
		Polyphosphates	Gal	NA	NA
New City 23	6,620	Sodium Hypochlorite	Gal	93	\$ 72
		Polyphosphates	Gal	0	\$ -
Wesel 32	44,744	Sodium Hypochlorite	Gal	569	\$ 441
		Polyphosphates	Gal	37	\$ 370
Norge 64	102,182	Sodium Hypochlorite	Gal	967	\$ 749
		Polyphosphates	Gal	125	\$ 1,250
Pascack 65	34,733	Sodium Hypochlorite	Gal	418	\$ 324
		Polyphosphates	Gal	33	\$ 330
Elmwood 66	34,817	Sodium Hypochlorite	Gal	373	\$ 289
		Polyphosphates	Gal	0	\$ -
Birchwood 70	43,568	Sodium Hypochlorite	Gal	613	\$ 475
		Polyphosphates	Gal	32	\$ 320
Lake Shore 73	148,096	Sodium Hypochlorite	Gal	1,335	\$ 1,035
		Polyphosphates	Gal	77	\$ 770
West Gate 79	9,665	Sodium Hypochlorite	Gal	151	\$ 117
		Polyphosphates	Gal	55	\$ 550
Valley Cottage Ground Tank	N/A	Sodium Hypochlorite	Gal	N/A	N/A
DeForest WTP		Liquid Alum	Tons	355	\$ 116,973
		Sodium Hypochlorite	Gal	160,426	\$ 124,330
		Potassium Permanganate	Lb	3,845	\$ 8,882
DeForest Reservoir	N/A	Polymer	Lb	56,950	\$ 75,174
		CO2	Lb	940,072	\$ 101,058
		Caustic	Tons	256	\$ 104,960
		Polyphosphates	Gal	5,558	\$ 55,580
		Copper Sulfate	Lb	14,670	\$ 21,565

	T. Purified Gals (b)	Kind of Chemicals (c)	Unit (d)	Number of Units (e)	Accounting Total Cost (\$)
Town of Orangetown					
Sparkill 8	21,953	Sodium Hypochlorite	Gal	205	\$ 159
		Polyphosphates	Gal	0	\$ -
Blauvelt 15	10,643	Sodium Hypochlorite	Gal	118	\$ 91
		Polyphosphates	Gal	0	\$ -
Tappan 16 & 20	97,978	Sodium Hypochlorite	Gal	1,332	\$ 1,032
		Polyphosphates	Gal	133	\$ 1,330
Bardonia 19	not used	Sodium Hypochlorite	Gal	N/A	N/A
		Polyphosphates	Gal	N/A	N/A
Pearl River 22	1,919	Sodium Hypochlorite	Gal	56	\$ 43
		Polyphosphates	Gal	0	\$ -
Piermont 25	o/s	N/A	N/A	N/A	N/A
Piermont Booster Station	NA	Sodium Hypochlorite	Gal	N/A	N/A
		Polyphosphates	Gal	N/A	N/A
Clausland Tank	N/A	Sodium Hypochlorite	Gal	N/A	N/A
		Polyphosphates	Gal	N/A	N/A
Town of Stony Point					
Stony Point Filtration Plant	o/s	N/A	N/A	N/A	N/A
Stony Point Reservoir	N/A	Sodium Hypochlorite	Gal	N/A	N/A
Cartwright Interconnection	N/A	Sodium Hypochlorite	Gal	N/A	N/A
Town of Haverstraw					
Fairmont 44	o/s	Sodium Hypochlorite	Gal	N/A	N/A
Garnerville 46	o/s	Sodium Hypochlorite	Gal	N/A	N/A
		Polyphosphates	Gal	N/A	N/A
Thiells 48 & 49	o/s	N/A	N/A	N/A	N/A
Thiells 50 & 51	95,942	Sodium Hypochlorite	Gal	931	\$ 722
		Polyphosphates	Gal	45	\$ 450
Town of Ramapo					
Spring Valley 1A, 3, 4, 17,6	764,272	Sodium Hypochlorite	Gal	7,162	\$ 5,551
		Polyphosphates	Gal	285	\$ 2,850
New Hempstead 18 & 24	459,452	Sodium Hypochlorite	Gal	4,871	\$ 3,775
		Polyphosphates	Gal	150	\$ 1,500
Tallman 26	62,326	Sodium Hypochlorite	Gal	598	\$ 463
		Polyphosphates	Gal	42	\$ 420
Ramapo 27	388,089	Sodium Hypochlorite	Gal	3,948	\$ 3,060
		Polyphosphates	Gal	65	\$ 650
Viola 28 & 106	416,112	Sodium Hypochlorite	Gal	3,383	\$ 2,622
		Polyphosphates	Gal	153	\$ 1,530
Ramapo 29	37,098	Sodium Hypochlorite	Gal	498	\$ 386
		Polyphosphates	Gal	55	\$ 550
Monsey 30	72,152	Sodium Hypochlorite	Gal	618	\$ 479
		Polyphosphates	Gal	122	\$ 1,220

	T. Purified Gals (b)	Kind of Chemicals (c)	Unit (d)	Number of Units (e)	Accounting Total Cost (\$)
Town of Tuxedo					
Indian Kill Water Treatment Plant	16,443	Sodium Hypochlorite	Gal	535	\$ 415
		Soda Ash	Lb.	346	\$ 181
		PACL 900S	Gal	411	\$ 211
		OrthoPhosphate	Lb.	147	\$ 56
		KMNO4	Lb.	7	\$ 16
Blue Lake Water Treatment Plant	42,547	Sodium Hypochlorite	Gal	851	\$ 660
		Soda Ash	Lb.	1574	\$ 824
		PACL 900S	Gal	1063	\$ 546
		Carbon	Lb.	149	\$ 155
		OrthoPhosphate	Lb.	126	\$ 48
Sterling Lake Water Treatment Plant	8,458	Sodium Hypochlorite	Gal	340	\$ 264
		Soda Ash	Lb.	170	\$ 89
		OrthoPhosphate	Lb.	68	\$ 26
		PACL 900S	Gal	0	\$ -
Maple Brook Well	O/S	Sodium Hypochlorite	Gal	0	\$ -
		Soda Ash	Lb.	0	\$ -
New York University Well	1,249	Sodium Hypochlorite	Gal	15	\$ 12
Totals	2,474,702				\$ 713,542

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DISTRIBUTION RESERVOIRS AND STANDPIPES

1. Show the requested information concerning structures employed for storage of water in connection with the distribution system.

2. In column (i) indicate whether some is high pressure, low pressure, or other characteristics.

3. If any property was held at the end of the year under any title other than full owner-ship, state the fact in a footnote, and give full particulars concerning respondent's title.

LINE NO.	Location (city, village or town) and designation of system (a)	Elevation of overflow ft. (b)	Classification (c)	Year of construction (d)	Material (e)	Open or closed (f)	Dimensions ft.. (g)	Capacitygals. (h)	Service zone supplied (i)
1	Village of Spring Valley		Westerly						
2	Village of Spring Valley	470.50	Distribution Reserv	1946	Reinforced Con.	Closed	139' x 152' x 18'	2,500,000	5
3			Easterly						
4	Village of Spring Valley	470.50	Distribution Reserv	1971	Reinforced Con.	Closed	196'-10" x 121'-7" x 18.3'	3,300,000	5
5	Village of Spring Valley	644.00	Standpipe	1968	Steel	Closed	55'-9" Dia. x 110' High	2,000,000	2B
6	Town of Orangetown	501.50	Standpipe	1988	Steel	Closed	19.56' Dia. x 33.56' High	75,000	2A
7	(Grandview)								
8	Town of Orangetown	460.00	Ground Tank	1987	Steel	Closed		5,000,000	
9	(Clausland)								
10	Town of Ramapo (Monsey)	750.00	Standpipe	1927-55-58	Steel	Closed	20' Dia. x 120' High	282,000	1
11	Town of Clarkstown (Valley Cottage)	466.00	Ground Tank	1960	Steel	Closed	75' Dia. x 46' High	1,500,000	4
12	Town of Clarkstown (Valley Cottage)	630.50	Elevated Tank - Sp	1973	Steel	Closed	43.67' Dia. x 31.25' High	250,000	4
13	Village of Pomona (Haverstraw)	780.00	Ground Tank	1965	Steel	Closed	164'-6" Dia. x 31'-5" High	5,000,000	1
14	Town of Haverstraw (Thiells -Rosman Road)	365.00	Ground Tank	1998	Steel	Closed	130'-6" Dia. x 40'-0" High	4,000,000	
15	Town of Haverstraw (Thiells)	365.00	Standpipe	1963	Steel	Closed	42' Dia. x 48' High	500,000	6
16	Town of Haverstraw (Garnerville) (Out of Serv	350.00	Standpipe	Demolished 1999	Steel	Closed	25' Dia. x 42' High	147,000	6
17	Town of Stony Point		Westerly						
18	Town of Stony Point (Out of Service)	292.91	Distribution Reserv	1893-1950	Reinforced Con.	Open	114' Dia. x 92' x 8'	500,000	7
19	Town of Stony Point		Easterly						
20	Town of Stony Point (Out of Service)	292.91	Distribution Reserv	1893-1935	Reinforced Con.	Open	120' Dia. x 64' x 15'	750,000	7
21	Town of Haverstraw (Park Avenue)	249.00	Standpipe	1980	Steel	Closed	35' Dia. x 70' High	500,000	7
22	Village of Pomona (Cheesecote Mountain)	1026.00	Standpipe	1985	Steel	Closed	30' Dia. x 40' High	200,000	1
23	Village of Sloatsburg								
24	(Liberty Rock - Post Road)	595.00	Standpipe	1968	Steel	Closed	38' Dia. x 60' High	500,000	
25	(Pine Grove - Council Crest)	740.00	Standpipe	1968	Steel	Closed	30' Dia. x 30' High	150,000	
26	Village of New Hempstead	720.00	Elevated Tank - Sp	2008	Steel	Closed	35' High x 30' Dia	400,000	4
27	Tuxedo (Indian Kill)		Standpipe	1958	Steel	Closed		500,000	
28	Tuxedo (Blue Lake)		Standpipe	1964	Steel	Closed		500,000	
29	Tuxedo (Sterling Lake)		Standpipe	1968	Steel	Closed		200,000	
30	Tuxedo (MapleBrook) - (Out of Service)		Standpipe	1964	Steel	Closed		200,000	
31									
32									

MAINS

1. Show the requested information concerning mains in service of the respondent at the end of the year
 2. If any portion of the mains was held by respondent under any title other than full ownership, state that fact in a footnote and give the particulars concerning respondent's title.

LINE NO.	Municipality and designation of system (a)	Diameter of pipe in. (b)	Length of Pipe to the nearest foot							Total Length of Pipes	
			Cast Iron (c)	Wrought Iron (d)	(e)	(f)	(g)	(h)	(i)	Private right of way ft. (j)	Public Streets ft. (k)
1	Transmission and Distribution Mains See pages 408a-408e										
2											
3											
4											
5											
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31											
32											

MAINS (Continued)

1. Show the requested information concerning mains in service of the respondent at the end of the year
 2. If any portion of the mains was held by respondent under any title other than full ownership, state that fact in a footnote and give the particulars concerning respondent's title.

LINE NO.	Municipality and designation of system (a)	Diameter of pipe in. (b)	Length of Pipe to the nearest foot							Total Length of Pipes	
			Cast Iron (c)	Wrought Iron (d)	(e)	(f)	(g)	(h)	(i)	Private right of way ft. (j)	Public Streets ft. (k)
1	Fire Mains										
2											
3											
4											
5											
6											
7											
8											
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32											

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Municipality and designation of system (a)	Diameter of pipe in. (b)	Length of Pipe		As of 12/31/2012 (e)	Private Right of Way (f)	Public Street (g)
		Total Additions For 2012	As of 12/31/2011 (e)			
Transmission and Distribution Mains						
Town of Clarkstown (Outside Villages)	1 1/2"		350	350		350
	2"		2,697	2,697		2,697
	3"		192	192		192
	4"		2,630	2,630		2,630
	6"	124	698,033	698,157	6,000	692,157
	8"	603	619,992	620,595	17,699	602,896
	10"		94	94		94
	12"	(1,404)	328,826	327,422	5,037	322,385
	16"	2,362	47,179	49,541	263	49,278
	20"		45,407	45,407	212	45,195
	24"		29,250	29,250	8,186	21,064
Total Town of Clarkstown		1,685	1,774,650	1,776,335	37,397	1,738,938
Town of Haverstraw	1"		144	144		144
	4"		143	143		143
	6"		33,151	33,151	1,342	31,809
	8"		68,787	68,787	3,491	65,296
	12"		32,208	32,208	2,470	29,738
	16"		18,793	18,793		18,793
	20"		17,068	17,068		17,068
Total Town of Haverstraw		0	170,294	170,294	7,303	162,991
Town of Orangetown	6"		454,159	454,159	2,817	451,342
	8"		196,040	196,040	2,314	193,726
	10"		2,034	2,034		2,034
	12"	(1,075)	143,429	142,354	240	142,114
	16"	1,075	64,552	65,627	6,943	58,684
	20"		15,269	15,269		15,269
	24"		10,475	10,475	1,994	8,481
Total Town of Orangetown		0	885,958	885,958	14,308	871,650

Municipality and designation of system (a)	Diameter of pipe in. (b)	Length of Pipe		As of 12/31/2012 (e)	Private Right of Way (f)	Public Street (g)
		Total Additions For 2012	As of 12/31/2011 (e)			
Transmission and Distribution Mains						
Town of Ramapo (Outside Villages)	2"		0	0		0
	4"		1,609	1,609		1,609
	6"		210,243	210,243	5,372	204,871
	8"		213,639	213,639	2,643	210,996
	10"		7,073	7,073		7,073
	12"		108,920	108,920	4,243	104,677
	16"		27,030	27,030		27,030
	20"		13,184	13,184		13,184
	24"		3,943	3,943		3,943
	30"		23,302	23,302		23,302
Total Town of Ramapo		0	608,943	608,943	12,258	596,685
Town of Stony Point	2"		400	400	198	202
	4"	(581)	59,461	58,880	400	58,480
	6"	1,293	195,387	196,680	2,003	194,677
	8"	581	151,347	151,928	4,865	147,063
	10"		28,525	28,525	0	28,525
	12"		38,361	38,361	5,801	32,560
	16"		12,505	12,505		12,505
	20"		8,374	8,374		8,374
	24"		5,017	5,017		5,017
Total Town of Stony Point		1,293	499,377	500,670	13,267	487,403
Village of Chestnut Ridge	6"		4,472	4,472	1,086	3,386
	8"		12,242	12,242	2,243	9,999
	12"		4,774	4,774	541	4,233
Total Village of Chestnut Ridge		0	21,488	21,488	3,870	17,618
Village of Grandview	8"		533	533		533
	12"		8,508	8,508		8,508
Total Village of Grandview		0	9,041	9,041	0	9,041
Village of Kaser	6"		6,156	6,156		6,156
	8"		7,821	7,821		7,821
	10"		1,250	1,250		1,250
	12"		570	570		570
Total Village of Kaser		0	15,797	15,797	0	15,797

Municipality and designation of system (a)	Diameter of pipe in. (b)	Length of Pipe		As of 12/31/2012 (e)	Private Right of Way (f)	Public Street (g)
		Total Additions For 2012	As of 12/31/2011 (e)			
Transmission and Distribution Mains						
Village of Haverstraw	1/2"	21,541	411	411		411
	2"		1,006	1,006		1,006
	4"		(16,342)	5,199		5,199
	6"		5,453	5,453	964	4,489
	8"		32,174	32,174	6,623	25,551
	10"		80	80		80
	12"		12,188	12,188		12,188
	16"		4,051	4,051		4,051
Total Village of Haverstraw		21,541	39,021	60,562	7,587	52,975
Village of West Haverstraw	3/4"		325	325		325
	4"		18	18		18
	6"		15,411	15,411	1,250	14,161
	8"		30,540	30,540	4,559	25,981
	10"		30	30		30
	12"		3,574	3,574		3,574
	16"		2,064	2,064		2,064
Village of West Haverstraw		0	51,962	51,962	5,809	46,153
Village of Hillburn (Ramapo)	6"		1,862	1,862		1,862
	8"		635	635		635
	12"		2,960	2,960		2,960
Total Village of Hillburn		0	5,457	5,457	0	5,457
Village of Montebello (Ramapo)	6"		3,154	3,154	741	2,413
	8"		24,883	24,883	4,434	20,449
	12"		13,455	13,455	1,739	11,716
	16"		860	860		860
	20"		2	2		2
Total Village of Montebello		0	42,354	42,354	6,914	35,440
Village of New Hempstead (Ramapo)	6"		135,873	135,873	296	135,577
	8"		106,616	106,616	908	105,708
	12"		91,791	91,791	616	91,175
	16"		7,471	7,471	120	7,351
	20"		15,787	15,787		15,787
Total Village of New Hempstead		0	357,538	357,538	1,940	355,598
Village of Sloatsburg (Ramapo)	4"		10,023	10,023		10,023
	6"		38,672	38,672		38,672
	8"		37,342	37,368	440	36,928
	10"		4,350	4,350		4,350
	12"		13,760	14,050	450	13,600
Total Village of Sloatsburg		316	104,147	104,463	890	103,573
Village of Airmont (Ramapo)	2"		545	545		545
	6"		74,247	74,247	657	73,590
	8"		101,506	101,506	1,632	99,874
	12"		47,090	47,090	1,491	45,599
Total Village of Airmont		0	223,388	223,388	3,780	219,608

Municipality and designation of system (a)	Diameter of pipe in. (b)	Length of Pipe		As of 12/31/2012 (e)	Private Right of Way (f)	Public Street (g)
		Total Additions For 2012	As of 12/31/2011 (e)			
Transmission and Distribution Mains						
Village of New Square (Ramapo)	6"		2,416	2,416	167	2,249
	8"		18,623	18,623		18,623
Total Village of New Square		0	21,039	21,039	167	20,872
Village of Piermont (Orangetown)	3"		166	166	166	0
	4"		410	410		410
	6"	7	14,262	14,269		14,269
	8"		10,915	10,915	336	10,579
	12"	282	16,712	16,994		16,994
	16"		279	279	276	3
Village of Piermont		289	42,744	43,033	778	42,255
Village of Pomona (Haverstraw)	6"		7,380	7,380	786	6,594
	8"		32,747	32,747	290	32,457
	12"		9,998	9,998		9,998
	20"		3,740	3,740		3,740
Total Village of Pomona Haverstraw		0	53,865	53,865	1,076	52,789
Village of Pomona (Ramapo)	6"		10,946	10,946		10,946
	8"		17,312	17,312		17,312
	12"		3,171	3,171		3,171
	16"		14,539	14,539		14,539
	20"		3,279	3,279		3,279
Total Village of Pomona Ramapo		0	49,247	49,247	0	49,247
Village of Spring Valley (Clarkstown)	6"		10,666	10,666		10,666
	8"		7,976	7,976		7,976
	12"		6,478	6,478		6,478
	20"		212	212	212	0
	24"		66	66		66
Total Village of Spring Valley-Clarkstown		0	25,398	25,398	212	25,186
Village of Spring Valley (Ramapo)	4"		4,257	4,257		4,257
	6"		110,743	110,743	1,457	109,286
	8"		41,507	41,507	6,247	35,260
	12"		33,255	33,255	936	32,319
	16"		5,844	5,844		5,844
	20"		5,827	5,827		5,827
	24"		2,218	2,218		2,218
	30"		500	500		500
Total Village of Spring Valley-Ramapo		0	204,151	204,151	8,640	195,511
Village of Suffern (Ramapo)	6"		2,761	2,761		2,761
	8"		528	528		528
	12"		890	890		890
	30"		9,340	9,340		9,340
Total Village of Suffern		0	13,519	13,519	0	13,519

Year Ended December 31, 2012

Municipality and designation of system (a)	Diameter of pipe in. (b)	Length of Pipe		As of 12/31/2012 (e)	Private Right of Way (f)	Public Street (g)
		Total Additions For 2012	As of 12/31/2011 (e)			
Transmission and Distribution Mains						
Village of Upper Nyack (Clarkstown)	3/4"	(370)	370	0		0
	1"	(23)	23	0		0
	1 1/2"		1,290	1,290		1,290
	2"		1,720	1,720	1,035	685
	3"	(1,288)	1,950	662	612	50
	4"	(2,845)	3,041	196	196	(0)
	6"	239	34,343	34,582		34,582
	8"	2,999	18,813	21,812		21,812
	12"		1,624	1,624		1,624
Total Village of Upper Nyack		(1,289)	63,174	61,885	1,843	60,042
Village of South Nyack	6"		0	0		0
Total Village of South Nyack		0	0	0	0	0
Village of Wesley Hills (Ramapo)	6"		49,913	49,913		49,913
	8"		49,498	49,498		49,498
	12"		51,122	51,122		51,122
	16"		8,607	8,607		8,607
	20"		12,968	12,968		12,968
Village of Wesley Hills		0	172,108	172,108	0	172,108
Village of Warkick (Orange County)	10"		652	652	652	0
Total Town of Warwick		0	652	652	652	0
Town of Tuxedo (Orange County)	1 1/2"		225	225	225	0
	2"		890	890	890	0
	4"		661	661	553	108
	6"		17,520	17,520	4,407	13,113
	8"		29,221	29,221	14,950	14,271
	12"		27,347	27,347	6,376	20,971
	16"		4,929	4,929	2,956	1,973
Total Town of Tuxedo		0	80,793	80,793	30,357	50,436

Grand Total		23,835	5,536,105	5,559,940	159,048	5,400,892
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SERVICE PIPES

1. Show the requested information concerning the service pipes used in the delivery of water from the distribution mains. If the respondent has two or more separate distribution systems, the particulars for each should be separately stated.

2. State in a footnote upon what basis, if any, customers are charged for the installations of services.

3. If the respondent owns the services from the mains to the curb or property line, classify such services as "owned by respondent," and restrict the average length in column (g) to the portion of the service owned.

Line No.	Diameter In. (a)	Number at end of year				Material (f)	Average length feet (g)
		Total (b)	In Use (c)	Temporarily Inactive (d)	Advance connections, not yet used (e)		
1	<u>Owned by Respondent</u>						
2	3/4 "	53,931	53,931			Copper Tubing	
3	1"	3,290	3,290			Copper Tubing	
4	1 1/4"	1	1			Copper Tubing	
5	1 1/2 "	1,067	1,067			Cast Iron & Copper Tubing	
6	2"	759	759			Cast Iron & Copper Tubing	
7	2 1/2"	2	2			Cast Iron & Copper Tubing	
8	3"	166	166			Cast Iron & Copper Tubing	
9	4"	625	625			CAST Iron & Ductile Pipe	
10	6"	466	466			CAST Iron & Ductile Pipe	
11	8"	170	170			CAST Iron & Ductile Pipe	
12	10"	13	13			CAST Iron & Ductile Pipe	
13	12"	4	4			CAST Iron & Ductile Pipe	
14							
15							
16	TOTALS	60,494	60,494	0	0		
17	<u>Owned by Customers</u>						
18	1/2"	383	383				
19	3/4"	2,785	2,785				
20	1"	55	55				
21	1 1/4"	4	4				
22	1 1/2"	7	7				
23	2"	56	56				
24	3"	13	13				
25	4"	13	13				
26	6"	14	14				
27							
28							
29							
30							
31							
32							
33							
34	TOTALS	3,330	3,330	0	0		

CUSTOMERS' METERS

1. Show the requested information concerning customers' meters in service or in stock during the year, and also the information called for concerning meters owned by customers.

3. If the respondent has two or more distribution systems, the entries hereunder should be identified with the system of which the meters form a part.

2. Under type, classify them as Displacement, Current, Compound, and Fire service.

Line No.	Type (a)	Size In. (b)	Owned by Company					Number In stock at end of year (h)	Owned by Customers	
			Annual		Seasonal				Beginning of Year (i)	End of Year (j)
			Beginning of Year (c)	End of Year (d)	Beginning of Year (e)	Mid-season maximum (f)	End of Year (g)			
1	Displacement	5/8"	67,150	68,705				429		
2		3/4"	513	547				65		
3		1"	2,470	2,541				247		
4		1.5"	735	760				15		
5		2"	622	662				110		
6	Compound	3"	171	171				10		
7		4"	109	99				8		
8		6"	96	87				15		
9		8"	3	2						
10										
11	Detector Check	1.5"	233	263						
12	Fire Protection	2"	174	179						
13		3"	23	28						
14		4"	545	552						
15		6"	394	394						
16		8"	146	146						
17		10"	10	10						
18										
19										
20										
21										
22										
23										
24										
25										
26										
27										
28										
29										
30										
31										
32										
33										
34	TOTALS		73,394	75,146	0		0	899	0	0

FIRE HYDRANTS

1. Show the requested information concerning fire hydrants used in furnishing water for public and private fire protection.

2. If respondent gives fire protection without direct charge, the hydrants used for such purpose should be so designated by appropriate footnotes.

3. If any unit listed hereunder was held at the end of the year under any title other than full ownership, give, in a footnote, particulars concerning respondent's title.

Line No.	Location (city, village or town) (a)	Size Hydrant (valve opening), in. (b)	Hose Connections		Steamer Connections		Diameter of pipe to main in. (g)	Number of Hydrants in Service			
			Number per Hydrant (c)	Size in. (d)	Number per Hydrant (e)	Size in. (f)		At beginning of year		At end of year	
								Owned by Company (h)	Not owned by company (i)	Owned by Company (j)	Not owned by company (k)
1	<u>Public Fire Protection</u>										
2											
3											
4											
5											
6											
7		See Pages 411-412 and 412a - 412d									
8											
9											
10											
11											
12											
13											
14											
15											
16											
17											
18											
19											
20											
21											
22											
23											
24											
25											
26											
27											
28											
29											
30											
31											
32											
33											
34							TOTALS	\$0	\$0	\$0	\$0

FIRE HYDRANTS (Continued)

1. Show the requested information concerning fire hydrants used in furnishing water for public and private fire protection.

2. If respondent gives fire protection without direct charge, the hydrants used for such purpose should be so designated by appropriate footnotes.

3. If any unit listed hereunder was held at the end of the year under any title other than full ownership, give, in a footnote, particulars concerning respondent's title.

Line No.	Location (city, village or town) (a)	Size Hydrant (valve opening), in. (b)	Hose Connections		Steamer Connections		Diameter of pipe to main in. (g)	Number of Hydrants in Service			
			Number per Hydrant (c)	Size in. (d)	Number per Hydrant (e)	Size in. (f)		At beginning of year		At end of year	
								Owned by Company (h)	Not owned by company (i)	Owned by Company (j)	Not owned by company (k)
1	Private Fire Protection										
2											
3	Town of Clarkstown	4	2	2 1/2	1	4 1/2	4	3		3	
4		4	2	2 1/2	1	4 1/2	6	2		2	
5		4 1/2	2	2 1/2	1	4 1/2	6	29		29	
6		5 1/2	2	2 1/2	1	4 1/2	6	10		10	
7								44		44	
8											
9	Town of Stony Point	5	2	2 1/2	1	4 1/2	6	3		3	
10		5 1/2	2	2 1/2	1	4 1/2	6	2		2	
11								5		5	
12											
13											
14	Town of Orangetown	4	2	2 1/2		4 1/2	4	6		6	
15		4	2	2 1/2	1	4 1/2	4	1		1	
16		4	2	2 1/2	1	4 1/2	6	2		2	
17		4 1/2	2	2 1/2	1	4 1/2	6	57		57	
18		5 1/2	2	2 1/2	1	4 1/2	6	2		2	
19								68		68	
20											
21	Town of Haverstraw	4 1/2	2	2 1/2	1	4 1/2	6	12		12	
22		5 1/2	2	2 1/2	1	4 1/2	6	3		3	
23								15		15	
24											
25	Town of Ramapo	4 1/2	2	2 1/2	1	4 1/2	6	35		35	
26		5 1/2	2	2 1/2	1	4 1/2	6	18		18	
27								53		53	
28											
29											
30											
31											
32											
33											
34											

Public Fire Protection								
Location (city, village or town)	Size Hydrant (valve opening), in.	Hose Connections		Steamer Connections		Diameter of pipe to main in.	Number of Hydrants in Service	
		Number per Hydrant	Size in.	Number per Hydrant	Size in.		Year Start	As of 12/31/2012
Village of Spring Valley Ramapo	4	1	2 1/2	1	4 1/2	4	1	1
	4	2	2 1/2	1	4 1/2	6	159	162
	4 1/2			1	4 1/2	6	2	2
	4 1/2	2	2 1/2	1	4 1/2	6	63	63
Total							225	228
Village of Spring Valley Clarkstown	4	2	2 1/2	1	4 1/2	6	38	38
Total							38	38
Town of Ramapo	4	1	2 1/2	1	4 1/2	4	0	0
	5	2	2 1/2	1	4 1/2	6	44	44
	4	2	2 1/2	1	4 1/2	6	411	415
	4 1/2	2	2 1/2	1	4 1/2	6	133	133
	5 1/2	2	2 1/2	1	4 1/2	6	2	2
Total							590	594
Village of New Square	4	2	2 1/2	1	4 1/2	6	34	35
Total							34	35
Village of Pomona Ramapo	4	2	2 1/2	1	4 1/2	6	18	18
	4 1/2	2	2 1/2	1	4 1/2	6	23	23
Total							41	41
Village of Pomona Haverstraw	5	2	2 1/2	1	4 1/2	6	15	15
	4	2	2 1/2	1	4 1/2	6	43	43
	4 1/2	2	2 1/2	1	4 1/2	6	10	10
	5 1/2	2	2 1/2	1	4 1/2	6	7	7
Total							75	75
						Subtotal	1003	1011

Public Fire Protection								
Location (city, village or town)	Size Hydrant (valve opening), in.	Hose Connections		Steamer Connections		Diameter of pipe to main in.	Number of Hydrants in Service	
		Number per Hydrant	Size in.	Number per Hydrant	Size in.		Year Start	As of 12/31/2012
Town of Clarkstown	4	1	2 1/2	1	4 1/2	4	1	1
	4	2	2 1/2	1	4 1/2	4	1	1
	5	2	2 1/2	1	4 1/2	6	29	29
	4	2	2 1/2	1	4 1/2	6	1,721	1,730
	4 1/2	2	2 1/2	1	4 1/2	6	254	254
	5 1/2	2	2 1/2	1	4 1/2	6	31	31
Total							2,037	2,046
Village of Upper Nyack Clarkstown	4	2	2 1/2	1	4 1/2	6	60	60
	4 1/2	2	2 1/2	1	4 1/2	6	12	12
Total							72	72
Town of Orangetown	4	1	2 1/2	1	4 1/2	4	78	78
	4	2	2 1/2	1	4 1/2	4	5	5
	4	2	2 1/2	1	4 1/2	6	736	737
	4 1/2	2	2 1/2	1	4 1/2	6	160	160
	5	2	2 1/2	1	4 1/2	6	53	53
	5 1/2	2	2 1/2	1	4 1/2	6	12	12
Total							1,044	1,045
Village of Grandview Orangetown	4	2	2 1/2	1	4 1/2	4	1	1
	4	2	2 1/2	1	4 1/2	6	20	20
Total							21	21
Village of Piermont Orangetown	4	2	2 1/2	1	4 1/2	4	28	28
	4	2	2 1/2	1	4 1/2	6	64	64
	4	2	2 1/2	1	4 1/2	6	3	3
	5	2	2 1/2	1	4 1/2	6	14	14
Total							109	109
Town of Haverstraw	4	2	2 1/2	1	4 1/2	6	141	141
	5	2	2 1/2	1	4 1/2	6	4	4
	4 1/2	2	2 1/2	1	4 1/2	6	63	63
	5 1/2	2	2 1/2	1	4 1/2	6	5	5
Total							213	213
						Subtotal	4,499	4,517

Public Fire Protection								
Location (city, village or town)	Size Hydrant (valve opening), in.	Hose Connections		Steamer Connections		Diameter of pipe to main in.	Number of Hydrants in Service	
		Number per Hydrant	Size in.	Number per Hydrant	Size in.		Year Start	As of 12/31/2012
Village of Haverstraw	4	2	2 1/2			4	4	4
	5	2	2 1/2	1	4 1/2	4	1	1
	4	2	2 1/2	1	4 1/2	6	61	61
	5	2	2 1/2	1	4 1/2	6	46	46
	4 1/2	2	2 1/2	1	4 1/2	6	16	16
Total							128	128
Village of West Haverstraw	4	2	2 1/2	1	4 1/2	6	71	71
	4	2	2 1/2	1	4 1/2	6	1	1
	5	2	2 1/2	1	4 1/2	6	47	47
	4 1/2	2	2 1/2	1	4 1/2	6	22	22
Total							141	141
Town of Stony Point	4	2	2 1/2	1	4 1/2	6	117	117
	5	2	2 1/2	1	4 1/2	4	3	3
	5	2	2 1/2	1		6	1	1
	5	2	2 1/2	1	4 1/2	6	72	72
	4 1/2	2	2 1/2	1	4 1/2	6	89	89
	5 1/2	2	2 1/2	1	4 1/2	6	11	11
Total							293	293
Village of Wesley Hills								
	4	2	2 1/2	1	4 1/2	4	150	150
	4	2	2 1/2	1	4 1/2	6	22	22
Total							172	172
						Subtotal	5,233	5,251

Public Fire Protection								
Location (city, village or town)	Size Hydrant (valve opening), in.	Hose Connections		Steamer Connections		Diameter of pipe to main in.	Number of Hydrants in Service	
		Number per Hydrant	Size in.	Number per Hydrant	Size in.		Year Start	As of 12/31/2012
Village of Chestnut Ridge	4 5	2 2	2 1/2 2 1/2	1 1	4 1/2 4 1/2	4 6	15 230	15 230
Total							245	245
Village of New Hempstead	4 5	2 2	2 1/2 2 1/2	1 1	4 1/2 4 1/2	6 6	135 5	136 5
Total							140	141
Village of Montebello	4 5 5 1/2	2 2 2	2 1/2 2 1/2 2 1/2	1 1 1	4 1/2 4 1/2 4 1/2	6 6 6	131 18 14	131 18 14
Total							163	163
Village of Kaser	4	2	2 1/2	1	4 1/2	6	11	11
Total							11	11
Village of Sloatsburg	4 5 1/2	2 2	2 1/2 2 1/2	1 1	4 4 1/2	6 6	141	141
Total							141	141
Village of Airmont	4 5 1/2	2 2	2 1/2 2 1/2	1 1	4 1/2 4 1/2	6 6	254 7	254 7
Total							261	261
Town of Warwick (Orange Co)	5	2	2 1/2	1	4 1/2	6	16	16
Total							16	16
Town of Tuxedo (Orange Co)	4 5 1/2	2 2	2 1/2 2 1/2	1 1	4 1/2 4 1/2	6 6	11 72	11 72
Total							83	83
						Grand Total	6,293	6,312

STATE OF NEW YORK
Public Service Commission
5 Year Book Data - From FERC Form 1

United Water New York, Inc.

COMPARATIVE BALANCE SHEET

Annual Report Source
Page, Line (Column)

December 31, 2012

UTILITY PLANT		
Net Utility Plant	Pg 114, L 4 (d)	\$338,555,170
OTHER PROPERTY AND INVESTMENTS		
Nonutility Property (Net)	Pg 114, L 6 (d) - L 7 (d)	0
Other Investments	Pg 114, L 8, 9, 10 (d)	0
Sinking Funds and Other Special Funds	Pg 114, L 11, 12, 13 (d)	0
Total Other Property and Investments	Formula	0
CURRENT AND ACCRUED ASSETS		
Cash and Cash Equivalents	Pg 114, L 16=>21 (d)	1,528,247
Notes and Accounts Receivables - Net	Pg 114, L 22=>24 (d) - L 25 (d)	6,069,745
Receivables from Associated Companies	Pg 114, L 26, 27 (d)	0
Materials and Supplies	Pg 114, L 28 (d)	974,056
Prepayments	Pg 114, L 29 (d)	5,937,191
Accrued Utility Revenue	Pg 114, L 32 (d)	6,145,000
Misc Current and Accrued Assets	Pg 114, L 30, 31, 33 (d)	0
Total Current and Accrued Assets	Formula	20,654,239
DEFERRED DEBITS		
Unamort. Debt Expense	Pg 114, L 36 (d)	2,236,498
Other Deferred Debits	Pg 114, L 37=>43 (d)	38,576,799
Accumulated Deferred Income Taxes	Pg 114, L 44 (d)	239,015
Total Deferred Debits	Formula	41,052,312
Total Assets and Other Debits	Formula	400261721
PROPRIETARY CAPITAL		
Common Stock Issued	Pg 115, L 2, (d)	13,856,490
Preferred Stock Issued	Pg 115, L 3, (d)	0
Other Paid-in Capital	Pg 115, L 4=>8 - L 9=>10 (d)	99,278,053
Retained Earnings	Pg 115, L 11 (d)	77,455,653
Reacquired Capital Stock	Pg 115, L 12 (d) (-)	0
Total Proprietary Capital	Formula	190,590,196
LONG-TERM DEBT		
Long-Term Debt	Pg 115, L 21 (d)	82,000,000
CURRENT AND ACCRUED LIABILITIES		
Notes Payable	Pg 115, L 30 (d)	35,000,000
Accounts Payable	Pg 115, L 31 (d)	9,224,833
Payables to Associated Companies	Pg 115, L 32, 33 (d)	248,096
Customer Deposits	Pg 115, L 34 (d)	252,130
Taxes Accrued	Pg 115, L 35 (d)	3,845,987
Interest Accrued	Pg 115, L 36 (d)	4,163,088
Matured Long-Term Debt	Pg 115, L 38 (d)	0
Misc Current and Accrued Liabilities	Pg 115, L 37, 39=>42 (d)	2,261,756
Total Current and Accrued Liabilities	Formula	54995890
DEFERRED CREDITS		
Customer Advances for Construction	Pg 115, L 45 (d)	2,013,747
Other Deferred Credits	Pg 115, L 46 (d)	8,046,771
Accumulated Deferred Investment Tax Credits	Pg 115, L 47 (d)	599,158
Accumulated Deferred Income Taxes	Pg 115, L 50 (d)	53,465,002
Total Deferred Credits	Formula	64,124,678
OPERATING RESERVES		
Operating Reserves	Pg 115, L 28 (d)	8,550,957
Total Liabilities and Other Credits	Formula	\$400,261,721

**COMPARATIVE INCOME AND RETAINED EARNINGS STATEMENT
TOTAL UTILITY OPERATING INCOME**

	Annual Report Source Page, Line (Column)	December 31, 2012
UTILITY OPERATING INCOME		
Operating Revenues	Pg 117, L 2 (e)	\$75,378,293
Operating Expense:		
Operation Expense	Pg 117, L 4 (e)	22,982,600
Maintenance Expense	Pg 117, L 5 (e)	5,777,017
Depreciation and Amortization Expense	Pg 117, L 6=>11 - L 12 (e)	8,426,197
Taxes Other than Income Taxes	Pg 117, L 13 (e)	16,749,044
Income Taxes	Pg 117, L 14=>16, 18, 19, - L 17 (e)	8,884,672
Total Operating Expenses	Formula	62,819,530
Net Operating Revenues	Formula	12,558,763
Other Utility Operating Income	Pg 117, L 23 (g), (i)	0
Total Utility Operating Income	Formula	12,558,763
OTHER INCOME		
Interest and Dividend Income	Pg 118, L 34 (c)	248,249
Allowance for Funds Used During Construction	Pg 118, L 35 (c)	4,925,349
Miscellaneous Nonoperating Income	Pg 118, L 36 (c)	76,472
Other Income	Pg 118, L 28, 30, 32, 33, 37 - L 29, 31	(52,956)
Total Other Income	Formula	5,197,114
Other Income Deductions	Pg 118, L 43 (c)	304,433
Taxes-Other Income and Deductions	Pg 118, L 52 (c)	0
Income Available	Formula	17,451,444
INTEREST CHARGES		
Interest on Long-Term Debt	Pg 118, L 55=>57 - L 58, 59 (c)	4,335,070
Interest on Debt to Associated Co.	Pg 118, L 60 (c)	0
Other Interest Expense	Pg 118, L 61 - L 62 (c)	3,407,907
Total Interest Charges	Formula	7,742,977
Income Before Extraordinary Items	Formula	9,708,467
Extraordinary Items	Pg 118, L 70 (c)	0
Net Income	Formula	\$9,708,467
<hr/>		
RETAINED EARNINGS		
Unappropriated (BOP)	Pg 120, L 1 (c)	\$72,581,315
Balance Transferred from Income	Pg 120, L 16 (c)	10874338
Appropriated	Pg 120, L 22 (c) (-)	0
Dividends Declared-Preferred Stock	Pg 120, L 29 (c) (-)	0
Dividends Declared-Common Stock	Pg 120, L 36 (c) (-)	6,000,000
Adjustments to Retained Earnings	Pg 120, L -9, 15, -37 (c)	0
Net Change	Formula	4,874,338
Unappropriated (EOP)	Formula	77,455,653
Appropriated (EOP)	Pg 121, L 45 (b)	0
Total Retained Earnings	Formula	\$77,455,653

CASH FLOW STATEMENT

	Annual Report Source Page, Line (Column)	December 31, 2012
Cash Flows From Operating Activities		
Net Income	Pg 122, L 2 (b)	\$10,874,338
Adjustments to reconcile net income to net cash provided by operating activities:		
Depreciation, Depletion & Amortization	Pg 122, L 4=>7 (b)	8,380,617
Deferred Taxes & ITCs	Pg 122, L 8, 9 (b)	2,795,013
Receivables and Inventory	Pg 122, L 10=>11 (b)	968,511
Payables and Accrued Expenses	Pg 122, L 12 (b)	626,920
Capitalized AFUDC - Equity Funds	Pg 122, L 15 (b) (-)	(4,925,349)
Equity In Loss (Earnings) Of Affiliates	Pg 122, L 16 (b) (-)	0
Other Adjustments	Pg 122, L 17 (b)	(6,352,646)
	Pg 122, L 18 (b)	728,243
	Pg 122, L 19=>20 (b)	4,735,611
Net Cash From Operating Activities	Formula	<u>17,831,258</u>
Cash Flows From Investing Activities		
Cash Outflows For Construction	Pg 122, L 32 (b)	(26,739,064)
Acquisition Of Other Non-Current Assets	Pg 122, L 34=>36 (b)	0
Investments In & Advances to Affiliates.	Pg 122, L 37 (b)	0
Contributions & Advances from Affiliates	Pg 122, L 38 (b)	0
Net Proceeds - Sale Or Disposition Of:		
Property, Plant & Equipment	No Entry	
Investments in Affiliated Companies	Pg 122, L 40, 41 (b)	0
Other Debt & Equity Investments	Pg 122, L 42, 43 (b)	0
Other Non-Current Assets	Pg 123, L 44=>46 (b)	0
Other Cash Flows - Investing Activities	Pg 123, L 47=>49 (b)	0
	Pg 123, L 50=>52 (b)	0
Net Cash From Investing Activities	Formula	<u>(26,739,064)</u>
Cash Flows From Financing Activities		
Net Proceeds (Payments) - Issuing & Retiring:		
Long-Term Debt	Pg 123, L 58, 61, 62, 70, 73, 74 (b)	13,790,997
Common Stock	Pg 123, L 60+72 (b)	0
Preferred Stock	Pg 123, L 59+71 (b)	1,000,000
Short-Term Debt	Pg 123, L 63, 75 (b)	0
Dividends Paid	Pg 123, L 77, 78 (b)	(6,000,000)
Other Cash Flows - Financing Activities	Pg 123, L 64=>66, 76 (b)	(290,022)
Net Cash From Financing Activities	Formula	<u>8,500,975</u>
Net Increase/(Decrease) In Cash Equivalents	Formula	(406,831)
Cash & Cash Equivalents At Beginning Of Year	Pg 123, L 85 (b)	1,935,078
Cash & Cash Equivalents At End Of Year	Formula	\$1,528,247

STATEMENT OF REVENUE AND OPERATION AND MAINTENANCE

	Annual Report Source Page, Line (Column)	December 31, 2012
REVENUES		
Residential Sales	Pg 300, L 2 (d)	\$45,256,526
Commercial and Industrial Sales	Pg 300, L 3, 4 (d)	12,096,312
Fire Protection Service	Pg 300, L 5, 6 (d)	8,277,449
Sales for Resale	Pg 300, L 9 (d)	223,605
Other Sales	Pg 300, L 7, 8, 10 (d)	1,424,687
Other Operating Revenues	Pg 300, L 19 (d)	8,099,714
Total Water Operating Revenues	Formula	\$75,378,293
WATER SALES (Thousands of Gallons)		
Residential Sales	Pg 300, L 2 (f)	6,014,149
Commercial and Industrial Sales	Pg 300, L 3, 4 (f)	2,054,229
Fire Protection Service	Pg 300, L 5, 6 (f)	0
Sales for Resale	Pg 300, L 9 (f)	73,569
Other Sales	Pg 300, L 7, 8, 10 (f)	0
Total Sales of Water	Formula	8,141,947
AVERAGE CUSTOMERS PER MONTH		
Residential Sales	Pg 300, L 2 (h)	67,471
Commercial and Industrial Sales	Pg 300, L 3, 4 (h)	5,013
Fire Protection Service	Pg 300, L 5, 6 (h)	1,715
Sales for Resale	Pg 300, L 9 (h)	2
Other Sales	Pg 300, L 7, 8, 10 (h)	1
Total Customers	Formula	74,202
OPERATING REVENUE RELATIONSHIP		
RESIDENTIAL SALES		
Average Annual Bill per Customer	Formula	\$670.76
Average Consumption per Customer (T.Gal)	Formula	89
Average Revenue per T Gallons Sold	Formula	7.53
COMMERCIAL/INDUSTRIAL SALES		
Average Annual Bill per Customer	Formula	\$2,412.99
Average Consumption per Customer (T.Gal)	Formula	410
Average Revenue per T Gallons Sold	Formula	5.89
OPERATION AND MAINTENANCE EXPENSES		
Source of Supply	Pg 307, L 19 (b)	\$647,393
Pumping	Pg 307, L 37 (b)	5,756,024
Water Treatment	Pg 308, L 51 (b)	4,692,561
Transmission and Distribution	Pg 308, L 74 (b)	6,295,870
Customer Accounting and Collecting	Pg 308, L 87 (b)	3,795,793
Sales	Pg 309, L 90 (b)	0
Administrative and General	Pg 309, L 113 (b)	7,571,977
Total O & M Expense	Formula	\$28,759,617

DISTRIBUTION OF WATER REVENUES

	Annual Report Source Page, Line (Column)	December 31, 2012
Revenues	Formula	\$75,378,293
Sales (Thousands of Gallons)	Formula	8,141,947
DOLLAR AMOUNTS		
Purchased Water, Fuel or Power for Pumping, and Chemicals	Formula	\$4,781,257
Wages and Benefits	Formula	10,946,704
Other Operation and Maintenance Expense	Formula	13,031,656
Depreciation and Amortization Expense	Formula	8,426,197
Income Taxes-Operating	Formula	8,884,672
Other Taxes-Operating	Formula	16,749,044
Capital Costs	Formula	12,558,763
Total	Formula	\$75,378,293
PERCENT OF REVENUES		
Purchased Water, Fuel or Power for Pumping, and Chemicals	Formula	6.3
Wages and Benefits	Formula	14.5
Other Operation and Maintenance Expense	Formula	17.3
Depreciation and Amortization Expense	Formula	11.2
Income Taxes-Operating	Formula	11.8
Other Taxes-Operating	Formula	22.2
Capital Costs	Formula	16.7
Total	Formula	100.0
DOLLARS PER THOUSAND GALLONS SOLD		
Purchased Water, Fuel or Power for Pumping, and Chemicals	Formula	0.59
Wages and Benefits	Formula	1.34
Other Operation and Maintenance Expense	Formula	1.60
Depreciation and Amortization Expense	Formula	1.03
Income Taxes-Operating	Formula	1.09
Other Taxes-Operating	Formula	2.06
Capital Costs	Formula	1.54
Total	Formula	9.26

**Purchased Water, Fuel or Power for Pumping
and Chemicals**

Purchased Water	Pg 307, L 5 (b)	172,784
Fuel for Pumping	Pg 307, L 25 (b)	3,472,388
Chemicals	Pg 307, L 41 (b)	1,136,085

Total PW, Fuel and Chemicals	Formula	4,781,257
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Wages and Benefits

Salaries	Pg 354, L 26 (d)	7,108,469
Pensions and Benefits	Pg 309, L 100 (b)	3,838,234
Total Wages and Benefits	Formula	10,946,704

Other Expenses

Total O&M Expenses	Formula	28,759,617
-Total PW, Fuel and Chemicals	Formula	4,781,257
-Wages and Benefits	Formula	10946703.73

Other Expenses	Formula	13,031,656
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Depreciation and Amortization

Depreciation Exp	Formula	8,426,197
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Total Depre and Amort	Formula	8,426,197
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Income Taxes-Operating

Income Taxes- Operating	Formula	8,884,672
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Other Taxes-Operating

Other Taxes-Operating	Formula	16,749,044
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COMPARATIVE STATEMENT OF UTILITY PLANT AND SELECTED RATIOS

	Annual Report Source Page, Line (Column)	December 31, 2012
Plant in Service		
Intangible	Pg 203, L 5 (g)	\$93,725
Source of Supply	Pg 203, L 15 (g)	30,508,711
Pumping	Pg 203, L 26 (g)	33,781,262
Water Treatment	Pg 203, L 31 (g)	46,981,623
Transmission and Distribution	Pg 203, L 43 (g)	229,615,301
General	Pg 205, L 56 (g)	26,425,726
Purchased or Sold	Pg 200, L 5=>7 (c)	0
Total Plant in Service	Formula	367,406,347
Plant Leased To Others	Pg 200, L 9 (c)	0
Construction Work In Progress	Pg 200, L 11 (c)	56,493,817
Plant Held For Future Use	Pg 200, L 10 (c)	8,794,537
Plant Acquisition Adjustments	Pg 200, L 12, 13 (c)	0
Accum. Gains & Losses - Utility Land & Land Rights	Pg 200, L 14 (c)	(1,179,412)
Total Plant	Formula	431,515,289
Accum. Prov. - Depr. & Amort.	Pg 200, L 16 (c)	92,960,119
Net Plant	Formula	\$338,555,170

SELECTED RATIOS AND STATISTICS

Current Assets / Current Liabilities	Formula	0.38
Total Capitalization	Formula	\$307,590,196
<u>Percent Of Capitalization (incl S-T Debt)</u>		
Long-Term Debt	Formula	26.7%
Preferred Stock	Formula	0.0%
Common Stock & Retained Earnings	Formula	62.0%
Short-Term Debt	Formula	11.4%
Pretax Coverage of Interest Expense	Formula	3.4
Com. Stock Dividends as a % of Earnings	Formula	61.8%
Return on Common Equity	Formula	10.2%
Internal Cash Generated as a % of Cash Outflows for Construction	Formula	-66.7%
CWIP as a % of Plant	Formula	13.1%
Number of Employees	Formula	119

5 Year Book Source

Current Assets	Pg 1, L 13	20,654,239
Current Liabilities	Pg 1, L 34	54,995,890
Total Capitalization	Formula	307,590,196
Long-Term Debt	Pg 1, L 25	82,000,000
Preferred Stock	Pg 1, L 20	0
Common Stock and Retained Earnings (Excl. Preferred Stock)	Pg 1, L 24 - 20	190,590,196
Short-Term Debt	Pg 1, L 26, 32	35,000,000
Pretax Income	Pg 2, L 6, 10, 15 - L 16	26,640,549
Interest Expense	Pg 2, L 22	7,742,977
Dividends Paid	Pg 3, (L 28)	6,000,000
Net Income (Excl. Preferred Stock Dividends)	Pg 3, L 25 - 29	9,708,467
Internal Cash	Pg 3, L 11	17,831,258
Cash Outflows for Construction	Pg 3, (L 12)	26,739,064
CWIP	Pg 6, L 10	56,493,817
Total Plant	Pg 6, L 14	431,515,289
Number of Employees	A/R - Pg 309, L 4	119

VERIFICATION

The Public Service Law requires that "... it shall be the duty of every such person and corporation to file with the Commission an annual report, verified by oath of the president, vice-president, treasurer, secretary, general manager, or receiver, if any, thereof, or by the person required to file the same. The verification shall be made by said official holding office at the time of the filing of said report, and if not made upon the knowledge of the person verifying the same shall set forth the sources of his information and the grounds of his belief as to any matters not stated to be verified upon his knowledge."

State of New York.....)

) ss. :

County of Rockland.....)

Michael J. Pointing.....makes oath and

says: I am the Vice President and General Manager of United Water New York, Inc.

(Here insert the official title of the deponent) (Here insert exact name of the reporting company)

I am familiar with the preparation of the foregoing report know generally the contents thereof. The said report which

consists of Pages 101 - 412d.....

(Here insert exact identification of the sections and pages comprising this report)

is true and correct to the best of my knowledge and belief. As to matters not actually stated upon my knowledge,

the sources of my information and the grounds for my belief are as follows:

Signature

Subscribed and sworn to before me a

.....

this day of

[use an im-

L. S. .

pression seal]

(Signature of officer authorized to administer oaths)

(This space for use of the Public Service Commission)

Computed

Examined

Reviewed

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