

October 30, 2013

## VIA ELECTRONIC DELIVERY

Honorable Kathleen H. Burgess, Secretary New York State Public Service Commission Three Empire State Plaza Albany, New York 12223-1350

Re: Case 07-M-0548 - Proceeding on Motion of the Commission Regarding an Energy Efficiency Portfolio Standard

Case 08-E-1014 – Petition of Niagara Mohawk Power Corporation d/b/a National Grid for Approval of an Energy Efficiency Portfolio Standard (EEPS) "Fast Track" Utility-Administered Electric Energy Efficiency Program

Case 08-E-1133 – Petition of Niagara Mohawk Power Corporation for Approval of an Energy Efficiency Portfolio Standard (EEPS) Utility-Administered Electric Energy Efficiency Program

## SEPTEMBER 2013 SCORECARD REPORTS

## Dear Secretary Burgess:

Pursuant to the Commission's orders in the above captioned proceedings and the Department of Public Service Staff guidelines issued September 13, 2010, attached please find the September 2013 scorecard reports for Niagara Mohawk Power Corporation d/b/a National Grid electric energy efficiency programs. Please note that the Energy Initiative – Large Industrial Electric Program and the Energy Initiative – Mid-Sized Electric Program have been combined and are now being reported under "Energy Initiative - Commercial & Industrial Electric Program". The programs addressed in these reports are as follows:

- Energy *Wise* Electric Program;
- Electric Enhanced Home Sealing Incentives Program;
- Residential ENERGY STAR® Electric Products and Recycling Program;
- Residential Building Practices and Demonstration Program;

Honorable Kathleen Burgess September 2013 Electric EEPS Scorecard Reports Page 2 October 30, 2013

- Small Business Services Energy Efficiency Program; and
- Energy Initiative Commercial & Industrial Electric Program.

Respectfully submitted,

/s/ Janet M. Audunson
Janet M. Audunson, P.E., Esq.
Senior Counsel

Enc.

cc: Colleen Gerwitz, DPS Staff (via electronic mail)
Debra LaBelle, DPS Staff (via electronic mail)
William Wade, DPS Staff (via electronic mail)
Lisa Rosi, DPS Staff (via electronic mail)
John D'Aloia, DPS Staff (via electronic mail)
Denise Gerbsch, DPS Staff (via electronic mail)
Edward White (via electronic mail)
Philip Austen (via electronic mail)
Cathy Hughto-Delzer (via electronic mail)
Ian Springsteel (via electronic mail)
Lisa Tallet (via electronic mail)

Program Administrator (PA):	Niagara Mohawk Power Corporation d/b/a National Grid
Program Name:	EnergyWise Electric Program
Program Funding Fuel:	ELECTRIC
Date of Authorizing PSC Order:	10/25/11
Date of Most Recent Operating/Implementation Plan:	12/27/11
Date Applications Initially Accepted:	1/1/12
Reporting Period:	September 2013

First-Year Savings Acquired this Month	
Net First-year Annual MWh Acquired this Month	302
Net Peak MW Reductions Acquired this Month	0.074
Ancillary Net First-year Annual Dth Acquired this Month	0.074
Electric Savings Impacts this Year	-
Current Annual MWh Target:	1,303
To Date Portion of Current Annual MWh Target:	977
Net First-Year Annual MWh Acquired this Year	1,626
Net First-Year Annual MWh Committed at this Point in Time	-
Total Net First-Year Annual MWh Acquired & Committed	1,626
Percent of Current Annual MWh Target Acquired	124.8%
Percent of Current Annual MWh Target Acquired & Committed	124.8%
Percent of To Date Portion of Current Annual MWh Target Acquired	166.4%
Electric Peak Demand Savings Impacts this Year	
Net Peak MW Reductions Acquired this Year	0.371
Net Peak MW Reductions Committed at this Point in Time	-
Total Net Peak MW Reductions Acquired & Committed	0.371
Ancillary Gas Savings Impacts this Year	
Net First-Year Annual Dth Acquired this Year	-
Net First-Year Annual Dth Committed at this Point in Time	-
Total Net First-Year Annual Dth Acquired & Committed	
Financial Expenditures this Month	
General Administration Expenditures this Month	\$ 21,718
Program Planning Expenditures this Month	\$ 638
Program Marketing Expenditures this Month	\$ 6,183
Trade Ally Training Expenditures this Month	\$
Incentives and Services Expenditures this Month	\$ 81,216
Direct Program Implementation Expenditures this Month	\$ 9,837
Evaluation Expenditures this Month	\$ 7,439
Cost Recovery Fee Expenditures this Month (NYSERDA, only)	\$
Total Expenditures this Month	\$ 127,031
Financial Encumbrances at this Point in Time	
General Administration Funds Currently Encumbered	\$
Program Planning Funds Currently Encumbered	-
Program Marketing Funds Currently Encumbered	-
Trade Ally Training Funds Currently Encumbered	-
Incentives and Services Funds Currently Encumbered	-
Direct Program Implementation Funds Currently Encumbered	-
Evaluation Funds Currently Encumbered	\$ -
Cost Recovery Fee Funds Currently Encumbered	-
Financial Activity This Year	
Current Annual Budget:	\$ 1,015,346
To Date Portion of Current Annual Budget:	\$ 761,510
Total Expenditures this Year	\$ 944,801
Funds Encumbered at this Point in Time	\$ -
Total Expenditures this year and Encumbrances	\$ 944,801
Percent of Current Annual Budget Spent	93.1%
Percent of Current Annual Budget Spent and Encumbered	93.1%
Percent of To Date Portion of Current Annual Budget Spent	124.1%
Financial Activity To Date	4041.204
Total 2012-2015 Budget:	\$ 4,061,384
Total Expenditures to Date	\$ 2,808,584
Total Expenditures to Date and Encumbrances	\$ 2,808,584
Percent of Total 2012-2015 Budget Spent to Date	69.2%
Percent of Total 2012-2015 Budget Spent and Encumbered  Evaluation Factors	69.2%
Realization Rate	-
Free Ridership	
Spill Over	- 0.00
Net-to-Gross Ratio	0.90
Participation  Number of program applications received to date	1 (10
Number of program applications received to date  Number of program applications approved to receive funds	1,619
Current Forecast (updated quarterly)	1,019
Total Expected Net First-year Annual MWh Acquired in 2013	1,303
Expected Net First-year Annual MWn Acquired in 2013  Expected Net First-year Annual MWh Committed at year end 2013	1,303
Emperica First year Filman 1417 ii Committed at year chd 2015	1

PROGRAM NARRATIVE
Exceptions
0
Achievements
0
Changes Anticipated in the Next 6 Months
0
Corrections to Previous Reports
0

Program Administrator (PA):	Niagara Mohawk Power Corporation d/b/a National Grid
Program Name:	Electric Enhanced Home Sealing Incentives Program
Program Funding Fuel:	ELECTRIC
Date of Authorizing PSC Order:	10/25/11
Date of Most Recent Operating/Implementation Plan:	12/27/11
Date Applications Initially Accepted:	
Reporting Period:	September 2013

First-Year Savings Acquired this Month	
Net First-year Annual MWh Acquired this Month	-
Net Peak MW Reductions Acquired this Month	-
Ancillary Net First-year Annual Dth Acquired this Month	-
Electric Savings Impacts this Year	
Current Annual MWh Target: To Date Portion of Current Annual MWh Target:	<u> </u>
Net First-Year Annual MWh Acquired this Year	-
Net First-Year Annual MWh Committed at this Point in Time	
Total Net First-Year Annual MWh Acquired & Committed	
Percent of Current Annual MWh Target Acquired	
Percent of Current Annual MWh Target Acquired & Committed	-
Percent of To Date Portion of Current Annual MWh Target Acquired	-
Electric Peak Demand Savings Impacts this Year	
Net Peak MW Reductions Acquired this Year	-
Net Peak MW Reductions Committed at this Point in Time	-
Total Net Peak MW Reductions Acquired & Committed	-
Ancillary Gas Savings Impacts this Year	
Net First-Year Annual Dth Acquired this Year	-
Net First-Year Annual Dth Committed at this Point in Time	-
Total Net First-Year Annual Dth Acquired & Committed	-
Financial Expenditures this Month	
General Administration Expenditures this Month	-
Program Planning Expenditures this Month	-
Program Marketing Expenditures this Month	-
Trade Ally Training Expenditures this Month	-
Incentives and Services Expenditures this Month	-
Direct Program Implementation Expenditures this Month	-
Evaluation Expenditures this Month	\$ - \$
Cost Recovery Fee Expenditures this Month (NYSERDA, only)  Total Expenditures this Month	\$ -
Financial Encumbrances at this Point in Time	φ -
General Administration Funds Currently Encumbered	\$ -
Program Planning Funds Currently Encumbered	\$ -
Program Marketing Funds Currently Encumbered	\$ -
Trade Ally Training Funds Currently Encumbered	\$ -
Incentives and Services Funds Currently Encumbered	\$ -
Direct Program Implementation Funds Currently Encumbered	\$
Evaluation Funds Currently Encumbered	-
Cost Recovery Fee Funds Currently Encumbered	-
Financial Activity This Year	
Current Annual Budget:	-
To Date Portion of Current Annual Budget:	-
Total Expenditures this Year	-
Funds Encumbered at this Point in Time	-
Total Expenditures this year and Encumbrances	-
Percent of Current Annual Budget Spent Percent of Current Annual Budget Spent and Encumbered	-
Percent of To Date Portion of Current Annual Budget Spent	-
Financial Activity To Date	-
Total 2012-2015 Budget:	\$ 2,556,600
Total Expenditures to Date	\$ -
Total Expenditures to Date and Encumbrances	\$ -
Percent of Total 2012-2015 Budget Spent to Date	0.0%
Percent of Total 2012-2015 Budget Spent and Encumbered	0.0%
Evaluation Factors	
Realization Rate	-
Free Ridership	-
Spill Over	-
Net-to-Gross Ratio	0.90
Participation	
Number of program applications received to date	-
Number of program applications approved to receive funds	-
Current Forecast (updated quarterly)	
T-t-1 Et-1 N-t Et A1 NVII A	
Total Expected Net First-year Annual MWh Acquired in 2013  Expected Net First-year Annual MWh Committed at year end 2013	-

PROGRAM NARRATIVE	
Exceptions	
0	
Achievements	
0	
Changes Anticipated in the Next 6 Months	
0	
Corrections to Previous Reports	
0	

Program Administrator (PA):	Niagara Mohawk Power Corporation d/b/a National Grid
	Residential ENERGY STAR® Electric Products and
Program Name:	Recycling Program
Program Funding Fuel:	ELECTRIC
Date of Authorizing PSC Order:	10/25/11
Date of Most Recent Operating/Implementation Plan:	12/27/11
Date Applications Initially Accepted:	1/1/12
Reporting Period:	September 2013

First Voor Savings Assuired this Month	
First-Year Savings Acquired this Month  Net First-year Annual MWh Acquired this Month	
Net First-year Annual Mwn Acquired this Month  Net Peak MW Reductions Acquired this Month	-
Ancillary Net First-year Annual Dth Acquired this Month	
Electric Savings Impacts this Year	-
Current Annual MWh Target:	
To Date Portion of Current Annual MWh Target:	-
Net First-Year Annual MWh Acquired this Year	389
Net First-Year Annual MWh Committed at this Point in Time	-
Total Net First-Year Annual MWh Acquired & Committed	389
Percent of Current Annual MWh Target Acquired	-
Percent of Current Annual MWh Target Acquired & Committed	-
Percent of To Date Portion of Current Annual MWh Target Acquired	-
Electric Peak Demand Savings Impacts this Year	
Net Peak MW Reductions Acquired this Year	0.011
Net Peak MW Reductions Committed at this Point in Time	-
Total Net Peak MW Reductions Acquired & Committed	0.011
Ancillary Gas Savings Impacts this Year	
Net First-Year Annual Dth Acquired this Year	-
Net First-Year Annual Dth Committed at this Point in Time	-
Total Net First-Year Annual Dth Acquired & Committed	-
Financial Expenditures this Month	6
General Administration Expenditures this Month	<u>-</u>
Program Planning Expenditures this Month	\$ -
Program Marketing Expenditures this Month Trade Ally Training Expenditures this Month	<u> </u>
Incentives and Services Expenditures this Month	\$ -
Direct Program Implementation Expenditures this Month	\$ -
Evaluation Expenditures this Month	\$ -
Cost Recovery Fee Expenditures this Month (NYSERDA, only)	\$ -
Total Expenditures this Month	\$ -
Financial Encumbrances at this Point in Time	
General Administration Funds Currently Encumbered	\$ -
Program Planning Funds Currently Encumbered	\$
Program Marketing Funds Currently Encumbered	-
Trade Ally Training Funds Currently Encumbered	\$
Incentives and Services Funds Currently Encumbered	\$ -
Direct Program Implementation Funds Currently Encumbered	\$ -
Evaluation Funds Currently Encumbered	-
Cost Recovery Fee Funds Currently Encumbered	-
Financial Activity This Year	¢
Current Annual Budget:	\$ - \$
To Date Portion of Current Annual Budget:  Total Expenditures this Year	\$ 56,126
Funds Encumbered at this Point in Time	\$ 50,120
Total Expenditures this year and Encumbrances	\$ 56.126
Percent of Current Annual Budget Spent	50,120
Percent of Current Annual Budget Spent and Encumbered	-
Percent of To Date Portion of Current Annual Budget Spent	-
Financial Activity To Date	
Total 2012-2015 Budget:	\$ 5,632,500
Total Expenditures to Date	\$ 2,865,238
Total Expenditures to Date and Encumbrances	\$ 2,865,238
Percent of Total 2012-2015 Budget Spent to Date	50.9%
Percent of Total 2012-2015 Budget Spent and Encumbered	50.9%
Evaluation Factors	
Realization Rate	-
Free Ridership	-
Spill Over	-
Net-to-Gross Ratio	0.90
Participation  Number of program applications received to date	10.204
Number of program applications received to date  Number of program applications approved to receive funds	10,304 10,304
Current Forecast (updated quarterly)	10,304
Total Expected Net First-year Annual MWh Acquired in 2013	-
Expected Net First-year Annual MWh Committed at year end 2013	-
Committee at your conditions and committee at your one 2015	I

PROGRAM NARRATIVE	
Exceptions	
0	
Achievements	
0	
Changes Anticipated in the Next 6 Months	
0	
Corrections to Previous Reports	
0	

Program Administrator (PA):	Niagara Mohawk Power Corporation d/b/a National Grid
Program Name:	Residential Building Practices and Demonstration Program
Program Funding Fuel:	ELECTRIC
Date of Authorizing PSC Order:	11/18/10
Date of Most Recent Operating/Implementation Plan:	12/27/11
Date Applications Initially Accepted:	1/1/12
Reporting Period:	September 2013

First-Year Savings Acquired this Month	
Net First-year Annual MWh Acquired this Month	-
Net Peak MW Reductions Acquired this Month	-
Ancillary Net First-year Annual Dth Acquired this Month	-
Electric Savings Impacts this Year  Current Annual MWh Target:	14.580
To Date Portion of Current Annual MWh Target:	10,935
Net First-Year Annual MWh Acquired this Year	10,935
Net First-Year Annual MWh Committed at this Point in Time	-
Total Net First-Year Annual MWh Acquired & Committed	
Percent of Current Annual MWh Target Acquired	0.0%
Percent of Current Annual MWh Target Acquired & Committed	0.0%
Percent of To Date Portion of Current Annual MWh Target Acquired	0.0%
Electric Peak Demand Savings Impacts this Year	0.070
Net Peak MW Reductions Acquired this Year	
Net Peak MW Reductions Committed at this Point in Time	
Total Net Peak MW Reductions Acquired & Committed	
Ancillary Gas Savings Impacts this Year	
Net First-Year Annual Dth Acquired this Year	_
Net First-Year Annual Dth Committed at this Point in Time	
Total Net First-Year Annual Dth Acquired & Committed	
Financial Expenditures this Month	
General Administration Expenditures this Month	\$ 12,198
Program Planning Expenditures this Month	\$ -
Program Marketing Expenditures this Month	\$ 41
Trade Ally Training Expenditures this Month	\$ -
Incentives and Services Expenditures this Month	\$ -
Direct Program Implementation Expenditures this Month	\$ 1,109
Evaluation Expenditures this Month	\$ 6,297
Cost Recovery Fee Expenditures this Month (NYSERDA, only)	\$ -
Total Expenditures this Month	\$ 19,646
Financial Encumbrances at this Point in Time	
General Administration Funds Currently Encumbered	-
Program Planning Funds Currently Encumbered	\$ -
Program Marketing Funds Currently Encumbered	\$ -
Trade Ally Training Funds Currently Encumbered	\$ -
Incentives and Services Funds Currently Encumbered	\$ -
Direct Program Implementation Funds Currently Encumbered	\$ -
Evaluation Funds Currently Encumbered	\$ -
Cost Recovery Fee Funds Currently Encumbered	\$ -
Financial Activity This Year	
Current Annual Budget:	\$ 788,864
To Date Portion of Current Annual Budget:	\$ 591,648
Total Expenditures this Year	\$ 658,406
Funds Encumbered at this Point in Time	\$ -
Total Expenditures this year and Encumbrances	\$ 658,406
Percent of Current Annual Budget Spent	83.5%
Percent of Current Annual Budget Spent and Encumbered	83.5%
Percent of To Date Portion of Current Annual Budget Spent	111.3%
Financial Activity To Date	
Total 2012-2015 Budget:	\$ 3,155,456
Total Expenditures to Date	\$ 1,523,307
Total Expenditures to Date and Encumbrances	\$ 1,523,307
Percent of Total 2012-2015 Budget Spent to Date	48.3%
Percent of Total 2012-2015 Budget Spent and Encumbered	48.3%
Evaluation Factors	
Realization Rate	-
Free Ridership	-
Spill Over	-
Net-to-Gross Ratio	1.00
Darticipation	
Participation	
Number of program applications received to date	130,000
	130,000 130,000
Number of program applications received to date  Number of program applications approved to receive funds  Current Forecast (updated quarterly)	
Number of program applications received to date Number of program applications approved to receive funds	

PROGRAM NARRATIVE
Exceptions
0
Achievements
0
Changes Anticipated in the Next 6 Months
0
Corrections to Previous Reports
0

Program Administrator (PA):	Niagara Mohawk Power Corporation d/b/a National Grid
Program Name:	Small Business Services Energy Efficiency Program
Program Funding Fuel:	ELECTRIC
Date of Authorizing PSC Order:	10/25/11
Date of Most Recent Operating/Implementation Plan:	12/27/11
Date Applications Initially Accepted:	1/1/12
Reporting Period:	September 2013

Net First-year Annual NWh Acquired this Month   1,108	D' of W. C. ' A ' Id' Mr. d	
Not Pask MW Reductions Acquired this Month  1.368 Ancillary Net First-year Annual DM Acquired this Month  1.568 To Date Portion of Current Annual MWh Target: 9.1,869 To Date Portion of Current Annual MWh Target: 9.1,869 Not First-Year Annual MWh Committed at this Point in Time 1.572 Not First-Year Annual MWh Captered & Committed 1.575 Person of Current Annual MWh Captered & Committed 1.575 Person of Current Annual MWh Target Acquired & Committed 1.575 Person of Turent Annual MWh Target Acquired & Committed 1.575 Person of Turent Annual MWh Target Acquired & Committed 1.575 Person of Turent Annual MWh Target Acquired & Committed 1.575 Person of Turent Annual MWh Target Acquired & Committed 1.575 Person of Turent Annual MWh Target Acquired & Committed 1.575 Person of Turent Annual MWh Target Acquired & Committed 1.575 Person of Turent Annual MWh Target Acquired & Committed 1.575 Person of Turent Annual MWh Target Acquired & Committed 1.575 Person of Turent Annual MWh Target Acquired & Committed 1.575 Person of Turent Annual MWh Target Acquired & Committed 1.586 Note Pask MW Reductions Acquired this Year Note Pask MW Reductions Acquired this Year Note Pask MW Reductions Acquired & Committed 1.586 Person of Turent Annual MWh Target Acquired & Committed 1.586 Person of Turent Annual MWh Target Acquired & Committed 1.587 Person of Turent Annual MWh Target Acquired & Committed 1.587 Person Marketing Expenditures this Month 1.588 Person Person Annual MWh Carment & Committed 1.588 Person Person Annual Did Acquired & Committed 1.588 Person Person Person Annual Did Acquired & Committed 1.588 Person Person Person Annual Did Acquired & Committed 1.589 Person Person Person Annual Did Acquired & Committed 1.589 Person Person Person Annual Did Acquired & Committed 1.589 Person Person Person Annual Did Acquired & Committed 1.589 Person Person Person Annual Did Acquired & Committed 1.589 Person Person Person Annual Did Acquired & Committed 1.589 Person Person Person Annual Did Person Person Person Person Person Person Person Per	First-Year Savings Acquired this Month	0.160
Electric Savings Impacts this Verr   Current Annual MWh Target:   91,869	1	
Electric Savings Impacts this Year  Net First-Year Annual MWh Committed at this Point in Time	-	1.308
To Date Portion of Current Annual MWh Target:  (S. S.)  Net First Vear Annual MWh Acquired this Year Net First Vear Annual MWh Acquired the Year in Time Total Net First Sear Annual AWh Acquired & Committed Persent of Current Annual MWh Target Acquired & Committed Persent of Current Annual MWh Target Acquired & Committed Persent of Current Annual MWh Target Acquired & Committed Persent of Current Annual MWh Target Acquired & Committed Persent of Current Annual MWh Target Acquired & Committed Persent of Current Annual MWh Target Acquired & Committed Persent of Current Annual MWh Target Acquired & Committed Persent of Current Annual MWh Target Acquired & Committed Net Peak MW Reductions Acquired & Committed Net Peak MW Reductions Acquired & Committed Part Peak MW Reductions Acquired & Committed Net Peak MW Reductions Acquired & Committed Net Peak MW Reductions Acquired & Committed Net First Vear Annual Dh Acquired & Committed Part Vear Annual Dh Acquired & Committed Part Peak Pirst Vear Annual Dh Acquired & Committed Part Pirst Vear Annual Dh Acquired & Sommitted Pirst Vear Annual Dh Acquired Bits Vear Annual Dh Acquired Bi		-
Net First Year Annual MVN Committed at this Point in Time		01 960
Net First Year Annual MVB Acquired this Point in Time Total Net First Sear Annual MVB Acquired & Committed Foreit Net First Sear Annual MVB Acquired & Committed Percent of Current Annual MVB Target Acquired & 75.7% Percent of Current Annual MVB Target Acquired & 75.7% Percent of To Date Percine of Current Annual MVB Target Acquired & 75.7% Percent of To Date Percine of Current Annual MVB Target Acquired & 75.7% Percent of To Date Percine of Current Annual MVB Target Acquired & 75.7% Percent of To Date Percine of Current Annual MVB Target Acquired & 75.7% Net Peak MVB Reductions Acquired & Committed & 75.7% Net Peak MVB Reductions Acquired & Committed & 75.7% Net Peak MVB Reductions Acquired & Committed & 75.7% Net Peak MVB Reductions Acquired & Committed & 75.7% Net Peak Annual DD Acquired & Committed & 75.7% Net Peak Annual DD Acquired & Committed & 75.7% Net Peak Annual DD Acquired & Committed & 75.7% Net Peak Annual DD Acquired & Committed & 75.7% Net Peak Annual DD Acquired & Committed & 75.7% Net Peak Annual DD Acquired & Committed & 75.7% Pilmical Expenditures this Month & 75.7% Committee & 75.7% Committe		
Net First Vear Annual MVN Committed at this Point in Time   1.000		
Total Net First-Pear Annual DN Acquired & Committed   75.7%	•	09,517
Percent of Current Annual MWh Target Acquired Percent of Current Annual MWh Target Acquired Percent of To Date Portion of Current Annual MWh Target Acquired Percent of To Date Portion of Current Annual MWh Target Acquired Percent of To Date Portion of Current Annual MWh Target Acquired Percent of To Date Portion of Current Annual MWh Target Acquired Percent of To Date Portion of Current Annual MWh Target Acquired Percent of To Date Portion of Current Annual MWh Target Acquired Current Percent Of Control Net Peach Will Reductions Acquired & Committed Percent Of Control Percent Percent Of Control		60 517
Percent of Current Annual MWh Target Acquired & Committed Percent of Cir Date Portion of Current Annual MWh Target Acquired Portion of Date Portion of Current Annual MWh Target Acquired Portion of Date Portion of Current Annual MWh Target Acquired Portion of Park MW Reductions Acquired this Year Net Peak MW Reductions Acquired at this Point in Time Port Net Peak MW Reductions Acquired at Committed Port Net Peak MW Reductions Acquired by Sear Net First-Year Annual Dift Acquired his Year Net First-Year Annual Dift Acquired his Year Net First-Year Annual Dift Acquired by Sear Month Port Net First-Year Annual Dift Acquired by Sear Month General Administration Expenditures this Month Program Manketing Expenditures this Month Sear Sear Marketing Expenditures this Month Sear Sear Marketing Expenditures this Month Sear Sear Marketing Expenditures this Month Sear Sear Sear Sear Sear Sear Sear Sear	•	
Percent of To Date Portion of Current Annual MVh Target Acquired		
Recertice Peak Demand Savings Impacts this Year	v i	
Net Peak MW Reductions Acquired this Year  Net Peak MW Reductions Acquired & Committed  Total Net Peak MW Reductions Acquired & Committed  Auctility Gas Savings Impacts this Year  Net First-Year Annual Dis Acquired & Committed  Net First-Year Annual Dis Committed at this Point in Time  1. Total Net First-Year Annual Dis Acquired & Committed  Prize Acquired & Committed  1. Total Net First-Year Annual Dis Acquired & Committed  1. Total Net First-Year Annual Dis Acquired & Committed  1. Total Net First-Year Annual Dis Acquired & Committed  1. Total Net First-Year Annual Dis Acquired & Committed  1. Total Net First-Year Annual Dis Acquired & Committed  1. Total Net First-Year Annual Dis Acquired & Committed  1. Total Net First-Year Annual Dis Acquired & Committed  1. Total Net First-Year Annual Dis Acquired & Committed  1. Total Net First-Year Annual Dis Acquired & Committed  1. Total Net First-Year Annual Dis Acquired & Committed  1. Total Net First-Year Annual Dis Acquired & Committed  1. Total Net First-Year Annual Dis Acquired & Savings Annual Net North  1. Total Net First-Year Annual Dis Acquired & Savings Annual Net North  1. Total Net First-Year Annual Dis Acquired & Savings Annual Net North  1. Total Net First-Year Annual Dis Acquired & Savings Annual Net North  1. Total Net First-Year Annual Dis Acquired & Savings Annual Net North  1. Total Net First-Year Annual Dis Acquired & Savings Annual Net North  1. Total Net First-Year Annual Distal Net North  1. Total Net First-Year Annual Distal Net North  1. Total Net Nort	· .	100.576
Net Peak MW Reductions Committed at this Point in Time Total Not Peak MW Reductions Acquired & Committed  Ancillary Gas Savings Impacts this Year Net First-Year Annual Dth Acquired this Year Net First-Year Annual Dth Acquired this Year Net First-Year Annual Dth Acquired & Committed Total Not First-Year Annual Dth Acquired & Committed General Administration Expenditures this Month General Administration Expenditures this Month S Toggard Banaing Expenditures this Month S Toggard Banaing Expenditures this Month S Trade Ally Training Expenditures this Month S Incentives and Services Septenditures this Month S Incentives and Ser		14 896
Total Ner Peuk MW Reductions Acquired & Committed Net First-Year Annual Dh Acquired this Year Net First-Year Annual Dh Acquired this Year Net First-Year Annual Dh Acquired & Committed Total Net First-Year Annual Dh Acquired & Semential Septembers of the Semential Seme	•	-
Incelliary Gas Savinas Impacts this Year   Net First-Year Annual Dth Acquired this Year   Net First-Year Annual Dth Acquired & Committed		14.896
Net First-Year Annual Dh Acquired this Year  Net First-Year Annual Dh Acquired & Committed  Financial Expenditures this Month  General Administration Expenditures this Month  \$ 25,425 Program Planning Expenditures this Month  \$ 15,309 Trade Ally Training Expenditures this Month  \$ 16,309 Trade Ally Training Expenditures this Month  \$ 16,509 Trade Ally Training Expenditures this Month  \$ 1,495 Direct Program Implementation Expenditures this Month  \$ 14,995 Direct Program Implementation Expenditures this Month  \$ 14,995 Direct Program Implementation Expenditures this Month  \$ 14,995 Trade Ally Training Funds Currently Encumbered  \$ 1,000 Trade Ally Training Funds Currently Encumbered  \$ 1,000 Trade Expenditures This Plants Currently Encumbered  \$ 2,000 Trade Expenditures This Plants Currently Encumbered  \$ 3,000 Trade Expenditures This Plants Currently Encumbered  \$ 1,000 Trade Expenditures This Plants Currently Encumbered  \$ 2,000 Trade Expenditures This Plants Traines  Trade Expenditures	•	
Net First-Year Annual Dth Committed at this Point in Time		-
Total Ner First-Year Annual Dith Acquired & Committed Financial Expenditures this Month General Administration Expenditures this Month S General Administration Expenditures this Month S Program Planning Expenditures this Month S 16,309 Trade Ally Training Expenditures this Month S Incentives and Services Expenditures this Month S Incentives and Services Expenditures this Month S Incentives and Services Expenditures this Month S Evaluation Funds Currently Encumbered S Evaluation	•	-
Financial Expenditures this Month   \$ 25,425		-
Program Planning Expenditures this Month	•	
Program Planning Expenditures this Month	General Administration Expenditures this Month	\$ 25,425
Program Marketing Expenditures this Month		\$ 3
Trade Ally Training Expenditures this Month   \$   3,356,925		\$ 16,309
Direct Program Implementation Expenditures this Month \$ 80,170 Cost Recovery Fee Expenditures this Month (NYSERDA, only) \$ - Total Expenditures this Month \$ \$ 3,493,828 Financial Encumbrances at this Point in Time General Administration Funds Currently Encumbered \$ - Program Planning Funds Currently Encumbered \$ - Program Implementation Funds Currently Encumbered \$ - Program Marketing Funds Currently Encumbered \$ - Program Marketing Funds Currently Encumbered \$ - Program Marketing Funds Currently Encumbered \$ - Program Implementation Funds Currently Encumbered \$ - Incentives and Services Funds Currently Encumbered \$ - Incentives Tunds Expenditures this Year \$ - Incentives Tunds Expenditures this Year and Encumbrances \$ 2,185,064 Funds Encumbered at this Point in Time \$ - Incentive Tunds Expenditures this Year and Encumbrances \$ 2,185,064 Funds Expenditures to Date \$ - Incentive Tunds Expenditures to Date and Encumbrance \$ - Incentive Tunds Expenditures to Date and Encumbrance \$ - Incentive Tunds Expenditures to Date and Encumbrance \$ - Incentive Tunds Expenditures to Date and Encumbrance \$ - Incentive Tunds Expenditures to Date and Encumbrance \$ - Incentive Tunds Expenditures to Date and Encumbrance \$ - Incentive Tunds Expenditures to Date and Encumbrance \$ - Incentive Tunds Expenditures to Date and Encumbr		\$ -
Evaluation Expenditures this Month Cost Recovery Fee Expenditures this Month (NYSERDA, only) S Jay 3, 828 Financial Encumbrances at this Point in Time General Administration Funds Currently Encumbered S Program Planning Funds Currently Encumbered S Program Marketing Funds Currently Encumbered S Program Marketing Funds Currently Encumbered S Trade Ally Training Funds Currently Encumbered S Trade Ally Training Funds Currently Encumbered S Trade Ally Training Funds Currently Encumbered S Trace Ally Training Funds Currently Encumbered S Trace Ally Training Funds Currently Encumbered S Tock Recovery Fee Funds Currently Encumbered S To Date Portion of Currently Encumbered S To Date Portion of Current Annual Budget: S To Date Portion of Current Annual Budget: S Total Expenditures this Year and Encumbrances S Percent of Current Annual Budget Spent Percent of Current Annual Budget Spent Percent of Current Annual Budget Spent Total Expenditures to Date and Encumbrances S Realization Rate Freenent of Total 2012-2015 Budget Spent to Date Percent of Total 2012-2015 Budget Spent and Encumbrance Realization Rate Free Ridership Spill Over Spill Ov	Incentives and Services Expenditures this Month	\$ 3,356,925
Cost Recovery Fee Expenditures this Month (NYSERDA, only)   S   3,493,828	Direct Program Implementation Expenditures this Month	\$ 14,995
Total Expenditures this Month General Administration Punds Currently Encumbered S-Program Planning Funds Currently Encumbered S-Program Marketing Funds Currently Encumbered S-Program Implementation Funds Currently Encumbered S-Program Funds Encumb	Evaluation Expenditures this Month	\$ 80,170
Financial Encumbrances at this Point in Time  General Administration Funds Currently Encumbered \$	Cost Recovery Fee Expenditures this Month (NYSERDA, only)	\$
General Administration Funds Currently Encumbered \$	Total Expenditures this Month	\$ 3,493,828
Program Planning Funds Currently Encumbered \$	Financial Encumbrances at this Point in Time	
Program Marketing Funds Currently Encumbered   \$   -	General Administration Funds Currently Encumbered	\$
Trade Ally Training Funds Currently Encumbered   \$   -     Incentives and Services Funds Currently Encumbered   \$   -     Direct Program Implementation Funds Currently Encumbered   \$   -     Evaluation Funds Currently Encumbered   \$   -     Cost Recovery Fee Funds Currently Encumbered   \$   -     Cost Recovery Fee Funds Currently Encumbered   \$   -     Cost Recovery Fee Funds Currently Encumbered   \$   -     Financial Activity This Year   \$   24,610,688     To Date Portion of Current Annual Budget: \$   24,610,688     Total Expenditures this Year   \$   21,851,064     Funds Encumbered at this Point in Time   \$   21,851,064     Funds Encumbered at this Point in Time   \$   21,851,064     Funds Encumbered at this Point in Time   \$   21,851,064     Percent of Current Annual Budget Spent   88.8%     Percent of Current Annual Budget Spent   88.8%     Percent of Current Annual Budget Spent   88.8%     Percent of To Date Portion of Current Annual Budget Spent   118.4%     Financial Activity To Date   \$   98,442,752     Total Expenditures to Date   \$   98,442,752     Total Expenditures to Date and Encumbrances   \$   98,442,752     Percent of Total 2012-2015 Budget Spent to Date   \$   90,1%     Percent of Total 2012-2015 Budget Spent and Encumbered   \$   90,1%     Percent of Total 2012-2015 Budget Spent and Encumbered   \$   90,1%     Percent of Total 2012-2015 Budget Spent and Encumbered   \$   90,1%     Percent of Total 2012-2015 Budget Spent and Encumbered   \$   90,1%     Percent of Total 2012-2015 Budget Spent and Encumbered   \$   90,1%     Percent of Total 2012-2015 Budget Spent and Encumbered   \$   90,1%     Percent of Total 2012-2015 Budget Spent and Encumbered   \$   90,1%     Percent of Total 2012-2015 Budget Spent and Encumbered   \$   90,1%     Percent of Total 2012-2015 Budget Spent and Encumbered   \$   90,1%     Percent	Program Planning Funds Currently Encumbered	\$
Incentives and Services Funds Currently Encumbered \$	Program Marketing Funds Currently Encumbered	\$
Direct Program Implementation Funds Currently Encumbered \$	Trade Ally Training Funds Currently Encumbered	
Evaluation Funds Currently Encumbered \$	Incentives and Services Funds Currently Encumbered	
Cost Recovery Fee Funds Currently Encumbered Financial Activity This Year  Current Annual Budget: To Date Portion of Current Annual Budget: \$ 24,610,688  To Date Portion of Current Annual Budget: \$ 18,458,016  Total Expenditures this Year \$ 21,851,064  Funds Encumbered at this Point in Time \$ -  Total Expenditures this year and Encumbrances \$ 21,851,064  Percent of Current Annual Budget Spent Percent of Current Annual Budget Spent 88.8% Percent of To Date Portion of Current Annual Budget Spent 118.4%  Financial Activity To Date  Total Expenditures to Date Total Expenditures to Date and Encumbrances \$ 98,442,752  Total Expenditures to Date and Encumbrances \$ 49,295,537  Percent of Total 2012-2015 Budget Spent to Date \$ 50,1%  Percent of Total 2012-2015 Budget Spent and Encumbered \$ 50,1%  Evaluation Factors  Realization Rate - Free Ridership Spill Over - Net-to-Gross Ratio 0,90  Participation Number of program applications received to date Number of program applications received to date Number of program applications received to receive funds 0,918  Current Forecast (updated quarterly) Total Expected Net First-year Annual MWh Acquired in 2013 91,869	• •	
Current Annual Budget: \$ 24,610,688	Evaluation Funds Currently Encumbered	
Current Annual Budget: \$         24,610,688           To Date Portion of Current Annual Budget: \$         18,458,016           Total Expenditures this Year         \$         21,851,064           Funds Encumbered at this Point in Time         \$            Total Expenditures this year and Encumbrances         \$         21,851,064           Percent of Current Annual Budget Spent         88.8%           Percent of Current Annual Budget Spent and Encumbered         88.8%           Percent of To Date Portion of Current Annual Budget Spent         118.4%           Financial Activity To Date         *           Total Expenditures to Date         \$         98,442,752           Total Expenditures to Date         \$         98,442,752           Total Expenditures to Date and Encumbrances         \$         98,4295,537           Percent of Total 2012-2015 Budget Spent to Date         \$         90,295,537           Percent of Total 2012-2015 Budget Spent and Encumbered         \$         50.1%           Evaluation Factors         *         49,295,537           Realization Rate         -         -           Free Ridership         -         -           Spill Over         -         -           Net-to-Gross Ratio         0.90		-
To Date Portion of Current Annual Budget: \$ 18,458,016		
Total Expenditures this Year   \$   21,851,064		
Funds Encumbered at this Point in Time  Total Expenditures this year and Encumbrances  \$ 21,851,064  Percent of Current Annual Budget Spent  Percent of Current Annual Budget Spent and Encumbered  Percent of To Date Portion of Current Annual Budget Spent  Total 2012-2015 Budget:  Total Expenditures to Date  Total Expenditures to Date  Total Expenditures to Date and Encumbrances  \$ 98,442,752  Total Expenditures to Date and Encumbrances  \$ 49,295,537  Percent of Total 2012-2015 Budget Spent to Date  Percent of Total 2012-2015 Budget Spent to Date  Evaluation Factors  Realization Rate  Free Ridership  Spill Over  Net-to-Gross Ratio  Participation  Number of program applications received to date  Number of program applications approved to receive funds  Current Forecast (updated quarterly)  Total Expected Net First-year Annual MWh Acquired in 2013  91,869		
Total Expenditures this year and Encumbrances  Percent of Current Annual Budget Spent  Percent of Current Annual Budget Spent and Encumbered  Percent of To Date Portion of Current Annual Budget Spent  Total Expenditures to Date  Total 2012-2015 Budget:  Total Expenditures to Date and Encumbrances  Total Expenditures to Date and Encumbrances  Percent of Total 2012-2015 Budget Spent to Date  Percent of Total 2012-2015 Budget Spent to Date  Percent of Total 2012-2015 Budget Spent to Date  Evaluation Factors  Realization Rate  Free Ridership  Spill Over  Net-to-Gross Ratio  Participation  Number of program applications received to date  Number of program applications approved to receive funds  Current Forecast (updated quarterly)  Total Expenditures this year and Encumbrance  8.8.8%  Percent of Current Annual MWh Acquired in 2013  91,869	•	
Percent of Current Annual Budget Spent   88.8%		
Percent of Current Annual Budget Spent and Encumbered         88.8%           Percent of To Date Portion of Current Annual Budget Spent         118.4%           Financial Activity To Date           Total Expenditures to Date         \$ 98,442,752           Total Expenditures to Date         \$ 49,295,537           Total Expenditures to Date and Encumbrances         \$ 49,295,537           Percent of Total 2012-2015 Budget Spent to Date         50.1%           Percent of Total 2012-2015 Budget Spent and Encumbered         50.1%           Evaluation Factors           Realization Rate         -           Free Ridership         -           Spill Over         -           Net-to-Gross Ratio         0.90           Participation           Number of program applications received to date         8,914           Number of program applications approved to receive funds         8,914           Current Forecast (updated quarterly)         Total Expected Net First-year Annual MWh Acquired in 2013         91,869		, , , , , , , , , , , , , , , , , , , ,
Percent of To Date Portion of Current Annual Budget Spent   118.4%		
Total Activity To Date   Total 2012-2015 Budget: \$ 98,442,752		
Total 2012-2015 Budget: \$ 98,442,752		118.4%
Total Expenditures to Date		00.442.552
Total Expenditures to Date and Encumbrances   \$ 49,295,537		
Percent of Total 2012-2015 Budget Spent to Date         50.1%           Percent of Total 2012-2015 Budget Spent and Encumbered         50.1%           Evaluation Factors         -           Realization Rate         -           Free Ridership         -           Spill Over         -           Net-to-Gross Ratio         0.90           Participation         -           Number of program applications received to date         8,914           Number of program applications approved to receive funds         8,914           Current Forecast (updated quarterly)         -           Total Expected Net First-year Annual MWh Acquired in 2013         91,869		
Percent of Total 2012-2015 Budget Spent and Encumbered         50.1%           Evaluation Factors         Realization Rate         -           Free Ridership         -         -           Spill Over         -         -           Net-to-Gross Ratio         0.90         -           Participation         Number of program applications received to date         8,914           Number of program applications approved to receive funds         8,914           Current Forecast (updated quarterly)         -           Total Expected Net First-year Annual MWh Acquired in 2013         91,869		, , , , , , , , , , , , , , , , , , , ,
Evaluation Factors           Realization Rate         -           Free Ridership         -           Spill Over         -           Net-to-Gross Ratio         0.90           Participation         Number of program applications received to date         8,914           Number of program applications approved to receive funds         8,914           Current Forecast (updated quarterly)         -           Total Expected Net First-year Annual MWh Acquired in 2013         91,869		
Realization Rate         -           Free Ridership         -           Spill Over         -           Net-to-Gross Ratio         0.90           Participation         -           Number of program applications received to date         8,914           Number of program applications approved to receive funds         8,914           Current Forecast (updated quarterly)         -           Total Expected Net First-year Annual MWh Acquired in 2013         91,869		30.1%
Free Ridership		
Spill Over - Compared to the C		-
Net-to-Gross Ratio 0.90  Participation  Number of program applications received to date 8,914  Number of program applications approved to receive funds 8,914  Current Forecast (updated quarterly)  Total Expected Net First-year Annual MWh Acquired in 2013 91,869		
Participation  Number of program applications received to date 8,914  Number of program applications approved to receive funds 8,914  Current Forecast (updated quarterly)  Total Expected Net First-year Annual MWh Acquired in 2013 91,869	<u> </u>	
Number of program applications received to date 8,914  Number of program applications approved to receive funds 8,914  Current Forecast (updated quarterly)  Total Expected Net First-year Annual MWh Acquired in 2013 91,869		0.50
Number of program applications approved to receive funds 8,914  Current Forecast (updated quarterly)  Total Expected Net First-year Annual MWh Acquired in 2013 91,869		R 914
Current Forecast (updated quarterly) Total Expected Net First-year Annual MWh Acquired in 2013 91,869		
Total Expected Net First-year Annual MWh Acquired in 2013 91,869	1 0 11 11	0,514
		91 869
	Expected Net First-year Annual MWh Committed at year end 2013	-

PROGRAM NARRATIVE	
Exceptions	
0	
Achievements	
0	
Changes Anticipated in the Next 6 Months	
0	
Corrections to Previous Reports	
0	

Program Administrator (PA):	Niagara Mohawk Power Corporation d/b/a National Grid
Program Name:	<b>Energy Initiative - Commercial &amp; Industrial Electric Program</b>
Program Funding Fuel:	ELECTRIC
Date of Authorizing PSC Order:	10/25/2011; 02/19/2013
Date of Most Recent Operating/Implementation Plan:	3/19/13
Date Applications Initially Accepted:	1/1/12
Reporting Period:	September 2013

P! 4 X7 C ! A ! 141 M 41	
First-Year Savings Acquired this Month  Net First-year Annual MWh Acquired this Month	3,456
*	0.747
Net Peak MW Reductions Acquired this Month	0.747
Ancillary Net First-year Annual Dth Acquired this Month	
Electric Savings Impacts this Year  Current Annual MWh Target:	118,671
To Date Portion of Current Annual MWh Target:	89,003
Net First-Year Annual MWh Acquired this Year	47,445
Net First-Year Annual MWh Committed at this Point in Time	53,050
Total Net First-Year Annual MWh Acquired & Committed	100,495
Percent of Current Annual MWh Target Acquired	40.0%
Percent of Current Annual MWh Target Acquired & Committed	84.7%
Percent of To Date Portion of Current Annual MWh Target Acquired	53.3%
Electric Peak Demand Savings Impacts this Year	23.370
Net Peak MW Reductions Acquired this Year	10.648
Net Peak MW Reductions Committed at this Point in Time	
Total Net Peak MW Reductions Acquired & Committed	10.648
Ancillary Gas Savings Impacts this Year	
Net First-Year Annual Dth Acquired this Year	-
Net First-Year Annual Dth Committed at this Point in Time	-
Total Net First-Year Annual Dth Acquired & Committed	-
Financial Expenditures this Month	
General Administration Expenditures this Month	\$ 141,978
Program Planning Expenditures this Month	\$ 29
Program Marketing Expenditures this Month	\$ 24,234
Trade Ally Training Expenditures this Month	\$ 15,612
Incentives and Services Expenditures this Month	\$ 765,554
Direct Program Implementation Expenditures this Month	\$ 133,309
Evaluation Expenditures this Month	\$ 365
Cost Recovery Fee Expenditures this Month (NYSERDA, only)	\$ -
Total Expenditures this Month	\$ 1,081,081
Financial Encumbrances at this Point in Time	
General Administration Funds Currently Encumbered	\$ -
Program Planning Funds Currently Encumbered	\$ -
Program Marketing Funds Currently Encumbered	\$ -
Trade Ally Training Funds Currently Encumbered	\$ -
Incentives and Services Funds Currently Encumbered	\$ 8,491,742
Direct Program Implementation Funds Currently Encumbered	\$ -
Evaluation Funds Currently Encumbered	\$ -
Cost Recovery Fee Funds Currently Encumbered	\$ -
Financial Activity This Year	
Current Annual Budget:	\$ 23,242,996
To Date Portion of Current Annual Budget:	\$ 17,432,247
Total Expenditures this Year	\$ 11,207,403
Funds Encumbered at this Point in Time	\$ 8,491,742
Total Expenditures this year and Encumbrances	\$ 19,699,145
Percent of Current Annual Budget Spent	48.2%
Percent of Current Annual Budget Spent and Encumbered	84.8%
Percent of To Date Portion of Current Annual Budget Spent	64.3%
Financial Activity To Date	
Total 2012-2015 Budget:	\$ 92,971,984
Total Expenditures to Date	\$ 23,586,686
Total Expenditures to Date and Encumbrances	\$ 32,078,428
Percent of Total 2012-2015 Budget Spent to Date	25.4%
Percent of Total 2012-2015 Budget Spent and Encumbered	34.5%
Evaluation Factors	
Realization Rate	
Free Ridership	-
Spill Over	- 0.00
Net-to-Gross Ratio	0.90
Participation	1.700
Number of program applications received to date  Number of program applications approved to receive funds	1,739
I INDITION OF DEOPERIT ADDITIONS ADDITIONED TO FECULVE HINGS	1,290
Current Forecast (updated quarterly)	90.606
	80,696

PROGRAM NARRATIVE
Exceptions
0
Achievements
0
Changes Anticipated in the Next 6 Months
0
Corrections to Previous Reports
0

Reporting	Statewide & Joint Studies Expenditures	
Period	Electric Portfolio	Total
Jan-13	\$ -	\$ -
Feb-13	\$ -	\$ -
Mar-13	\$ -	\$ -
Apr-13	\$ -	\$ -
May-13	\$ -	\$ -
Jun-13	\$ -	\$ -
Jul-13	\$ -	\$ -
Aug-13	\$ -	\$ -
Sep-13	\$ -	\$ -
Oct-13		\$ -
Nov-13		\$ -
Dec-13		\$ -
Cumulative	\$ -	\$ -