



April 14, 2011

VIA EMAIL

Honorable Jaclyn A. Brillling, Secretary
New York State Public Service Commission
Three Empire State Plaza
Albany, New York 12223

Re: Case 07-M-0548 – Proceeding on Motion of the Commission Regarding an Energy Efficiency Portfolio Standard

Case 08-E-1129 – Petition of New York State Electric & Gas Corporation for Approval of an Energy Efficiency Portfolio Standard (EEPS) Utility-Administered Electric Energy Efficiency Program

Case 08-E-1130 – Petition of Rochester Gas and Electric Corporation for Approval of an Energy Efficiency Portfolio Standard (EEPS) Utility-Administered Electric Energy Efficiency Program

Case 09-G-0363 – Petitions for Approval of Energy Efficiency Portfolio Standard (EEPS) Gas Energy Efficiency Programs

Dear Secretary Brillling:

Pursuant to the New York State Public Service Commission's Order Approving Certain Commercial and Industrial Customer Energy Efficiency Programs with Modifications in Cases 08-E-1129, 08-E-1130 and 08-G-0363¹ and Pursuant to the New York State Public Service Commission's November 13, 2009 Order Approving Certain Commercial and Industrial Customer Energy Efficiency Programs with Modifications and Addressing Independent Program Administrator Filings in Cases 08-E-1129, 08-E-1130 and 09-G-0363², New York State Electric

¹ Cases 08-E-1129, 08-E-1130 - Petitions of New York State Electric & Gas Corporation and Rochester Gas and Electric Corporation for Approval of an Energy Efficiency Portfolio Standard Utility-Administered Electric Energy Efficiency Program, and Case 09-G-0363, Petitions for Approval of Energy Efficiency Portfolio Standard (EEPS) Gas Energy Efficiency Programs, Order Approving Certain Commercial and Industrial Customer Energy Efficiency Programs with Modifications, at 41 (October 23, 2009).

² Cases 08-E-1129, 08-E-1130 - Petitions of New York State Electric & Gas Corporation and Rochester Gas and Electric Corporation for Approval of an Energy Efficiency Portfolio Standard Utility-Administered Electric Energy Efficiency Program, Order Approving Certain Commercial and Industrial Customer Energy Efficiency Programs with Modifications and Addressing Independent Program Administrator Filings, at 35 (November 13, 2009).

Honorable Jaclyn A. Brilling
April 14, 2011

Page 2

& Gas Corporation and Rochester Gas and Electric Corporation hereby file the "Monthly Scorecard Report" of key Non-Residential Commercial and Industrial Prescriptive Rebate Programs and Non-Residential Commercial and Industrial Custom Rebate Programs achievements for the month ending March 31, 2011.

Please direct any questions to me at telephone number 607-725-3936 or via email at jjfishgertz@nyseg.com.

Respectfully Submitted,



Joni Fish-Gertz
Manager, EEPS Programs

Enclosure

**Combined Report for
New York State Electric & Gas Corporation
&
Rochester Gas and Electric Corporation**

NYSEG/RG&E Commercial & Industrial Rebate Program

Including:

Non-Residential Commercial & Industrial Prescriptive Electric Program
Non-Residential Commercial & Industrial Prescriptive Gas Program
Non-Residential Commercial & Industrial Custom Electric Program
Non-Residential Commercial & Industrial Custom Gas Program

**Savings from New York Standard Approach for Estimating
Energy Savings from Energy Efficiency Programs**

Monthly Program Report

April 14, 2011

Prepared for

New York State Department of Public Service

Table of Contents

INTRODUCTION	3
SECTION I. BASIC PROGRAM INFORMATION.....	3
SECTION II. EVALUATION SUPPORT INFORMATION	3
SECTION III. REPORTING TEMPLATE FOR MONTHLY NON-RESIDENTIAL COMMERCIAL & INDUSTRIAL REBATE PROGRAM REPORT.....	3
SECTION IV. NARRATIVE REPORT TO BE INCLUDED WITH SPREADSHEET	3

Introduction

No changes since February 2011 Scorecard.

Section I. Basic Program Information

No changes since February 2011 Scorecard

Section II. Evaluation Support Information

No changes since February 2011 Scorecard

Section III. Reporting Template for Monthly Non-Residential Commercial & Industrial Rebate Program Report

Refer to Attachment 1.

- ⇒ Table 2a, Variables Reported Monthly – NYSEG Prescriptive Electric
- ⇒ Table 2b, Variables Reported Monthly – NYSEG Prescriptive Gas
- ⇒ Table 2c, Variables Reported Monthly – NYSEG Custom Electric
- ⇒ Table 2d, Variables Reported Monthly – NYSEG Custom Gas
- ⇒ Table 2e, Variables Reported Monthly – RG&E Prescriptive Electric
- ⇒ Table 2f, Variables Reported Monthly – RG&E Prescriptive Gas
- ⇒ Table 2g, Variables Reported Monthly – RG&E Custom Electric
- ⇒ Table 2h, Variables Reported Monthly – RG&E Custom Gas

Section IV. Narrative Report to be Included with Spreadsheet

Program Administrator:	NYSEG/RG&E
Program/Project:	NYSEG/RG&E Commercial & Industrial Rebate Program Non-Residential Commercial & Industrial Prescriptive Electric Program Non-Residential Commercial & Industrial Prescriptive Gas Program Non-Residential Commercial & Industrial Custom Electric Program Non-Residential Commercial & Industrial Custom Gas Program
Reporting Period:	March 2011
Report Contact Person:	Thomas O'Connor

1. Program Status

Program Performance Goals

(a) Describe and discuss circumstances that may have an impact on the achievement of project performance goals (positive or negative).

The Companies continue to identify and mitigate potential market barriers, such as the 100 kW demand restriction for the Electric portion of the Custom Rebate Program, longer project development times and difficult economic and capital markets.

(b) Describe and discuss other key aspects of program performance goals that were not discussed in (a).

None at this time.

(c) Forecast of net energy impacts.

The Companies are striving to achieve as much as possible of the combined multi-year Annual Energy Savings goals for both the Prescriptive and Custom programs by the end of 2011. While the Companies continue to push performance toward these targets, we are not confident that the targets will be achieved due to the combination of factors described in (a) above.

2) Program Implementation Activities

(a) Marketing Activities

During March, the Companies' Marketing and Sales (M&S) field personnel continued outreach to customers and trade allies to introduce and/or reinforce the C&I Rebate Program messages. Following is a summary of community outreach events conducted during March:

Company	Organization	Number Attending
Village of Lyons	Board Meeting	9
Town of Patterson	Chamber of Commerce	20
Sullivan County Partnership		19
Macedon-Palmyra-Walworth Chamber	Chamber of Commerce	8
Town of Chenango	Board Meeting	14
Village of Lyons	Chamber of Commerce	8
Village of Johnson City	Revitalization Committee	12
Rensselaer County	Regional Chamber of Commerce	85
Horizon Solutions - Lighting & Energy		5
Southern Saratoga County	Chamber of Commerce	85
Farmer Boy Ag Systems		70
Village of Port Dickinson	Board Meeting	7
WNY Association of Energy Engineers Event	Energy Symposium	300
Center State Business Showcase	NYS Fair Grounds	350
Infotonics Event		5
City of Liberty	Chamber of Commerce	10
New York Port Producers	Annual meeting	20
Town of Kirkwood	Board Meeting	24
Liberty Chamber of Commerce	Monthly mixer	32
Wesco Distribution (Electra Supply Co)		6

(b) Evaluation Activities

No additional activities to report.

(c) Other Activities

None at this time.

3. Customer Complaints and/or Disputes

No NYS PSC complaints have been reported. Customer disputes are handled as they occur.

4. Changes to Subcontractors or Staffing

None at this time.

5. Additional Issues

None at this time.

Program Administrator (PA) and Program ID¹	NYSEG Non-Residential Commercial & Industrial Rebate Program
Program Name	NYSEG Non-Residential Commercial & Industrial PRESCRIPTIVE Program
Program Type²	Prescriptive ELECTRIC Rebate
Total Acquired First-Year Impacts This Month^{4,15}	
Net first-year annual kWh acquired this Month ⁴	229,841
Monthly Net kWh Goal (based on net first-year annual ⁵ kWh Goal)	463,000
Percent of Monthly Net kWh Goal Acquired	49.64%
Net Peak⁶ kW acquired this Month	52
Monthly Net Peak kW Goal	NA
Percent of Monthly Peak kW Goal Acquired	NA
Net First-year annual therms acquired this month	NA
Monthly Net Therm Goal	NA
Percent of Monthly Therm Goal Acquired	NA
Net Lifecycle kWh acquired this month	3,540,932
Net Lifecycle therms acquired this month	NA
Total Acquired Net First-Year Impacts To Date^{15,17}	
Net first-year annual kWh acquired to date ¹⁵	296,491
Net first-year annual kWh acquired to date as a percent of total program goal ¹⁹	5.34%
Net first-year annual kWh acquired to date as a percent of 8-year goal	NA
Net cumulative kWh acquired to date	296,491
Net utility peak kW reductions acquired to date	70
Net utility peak kW reductions acquired to date as a percent of utility total program goal ¹⁹	NA
Net utility peak kW reductions acquired to date as a percent of 8-year goal	NA
Net NYISO peak kW reductions acquired to date	NA
Net first-year annual therms acquired to date	NA
Net first-year annual therms acquired to date as a percent of total program goal ¹⁹	NA
Net first-year annual therms acquired to date as a percent of 8-year goal	NA
Net cumulative therms acquired to date	NA
Total Acquired Lifecycle Impacts To Date^{7,15,17}	
Net Lifecycle kWh acquired to date	4,540,678
Net Lifecycle therms acquired to date	NA
Committed⁸ Impacts (not yet acquired) This Month¹⁵	
Net First-year annual kWh committed this month	0
Net Lifecycle kWh committed this month	0
Net Utility Peak kW committed this month	0
Net first-year annual therms committed this month	NA
Net Lifecycle therms committed this month	NA
Funds committed at this point in time	\$0
Overall Impacts (Acquired & Committed)¹⁵	
Net first-year annual kWh acquired & committed this month	229,841
Net utility peak kW acquired & committed this month	52
Net First-year annual therms acquired & committed this month	NA

Costs^{9,16}	
Total program budget	\$2,610,016
General Administration ¹³	Portfolio
Program Planning ¹³	Portfolio
Program Marketing ¹⁸	\$341
Trade Ally Training ¹⁸	\$0
Incentives and Services ^{14,18}	\$4,960
Direct Program Implementation ¹⁸	\$24,338
Program Evaluation ¹⁸	\$3,993
Total expenditures to date ¹⁷	\$233,279
Percent of total budget spent to date	8.94%
Participation^{15,17}	
Number of program applications received to date	20
Number of program applications processed to date	18
Number of processed applications approved to date	7
Percent of applications received to date that have been processed	90.00%
DPS Staff Notes:	
<p>¹DPS Staff needs to work with utilities and NYSERDA to develop a Program ID naming convention. However, a Program ID number is not required for the first report. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.</p> <p>²There is not currently a consistent list of program type but individual categories for common use by administrators could be developed.</p> <p>³First-year savings are defined as the annual savings expected from a given measure in the first year after installation (See Definition #1 in <i>Savings Definitions</i> Tab). The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. <i>Acquired</i> kWh savings are defined as those savings that reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.</p> <p>⁴Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.</p> <p>⁵Program Administrators should make a best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the monthly goals but does not want to mandate monthly goals, at least initially.</p> <p>⁶Peak is defined uniquely for each utility.</p> <p>⁷The lifecycle savings are tracked beginning in the <i>year</i> in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will differ for each month as a function of adding savings from measures installed in a given month and savings from measures installed earlier in the funding cycle that have reached the end of thier useful life are no longer accumulated.</p> <p>⁸Committed savings are defined as those for which funds have been encumbered by not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's best <i>estimate</i> of what they have committed. Program administrators should forecast as accurately as possible and forecasts should get more precise with program experience, i.e., the difference between achieved and committed should narrow over time.</p>	

⁹These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans.

Companies should identify whether each cost item is to be recovered through the SBC surcharge, base rates, or other recovery mechanism (e.g., monthly adjustment charges).

¹⁰An application is *processed* once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."

¹¹The application is *approved* once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which there are cases in which an application could be received, processed, and approved all in one day, then a "1" would be counted for each step in the tracking lifecycle.

¹²See *CO₂ Reduction Values* tab.

Utility Notes:

¹³ Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior and subsequent energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.

¹⁴ Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for incentive payments made to program participants. They will thus lag the actual issuance of incentive payments to program participants.

¹⁵ Impacts are calculated using actual installed measure information for all measures.

¹⁶ All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.

¹⁷ Information presented here may include changes to previously filed monthly scorecard tables based on: review of additional information; reconsideration of eligibility decisions made by the implementation contractor during prior reporting periods, or other factors.

¹⁸ Data contained in these fields reflects the most recent month's expenditures in the respective category, as reported in the companies' accounting systems, not "to date" information.

¹⁹ Effective February 9, 2011, Staff requested that Program Administrators report their Net Savings as a percent of the total program goal through 2011, as it is set forth in the relevant Commission Order or, for certain programs, the approved Operating Plan, whichever is appropriate. Since most programs are in their second year of implementation, comparing achievements to date against an annual goal would distort a program's achievements and would no longer provide the meaningful information that Staff and the EAG had intended when they developed the Reporting Guidelines.

Program Administrator (PA) and Program ID¹	NYSEG Non-Residential Commercial & Industrial Rebate Program
Program Name	NYSEG Non-Residential Commercial & Industrial PRESCRIPTIVE Program
Program Type²	Prescriptive GAS Rebate
Total Acquired First-Year Impacts This Month^{4,15}	
Net first-year annual kWh acquired this Month ⁴	NA
Monthly Net kWh Goal (based on net first-year annual ⁵ kWh Goal)	NA
Percent of Monthly Net kWh Goal Acquired	NA
Net Peak ⁶ kW acquired this Month	NA
Monthly Net Peak kW Goal	NA
Percent of Monthly Peak kW Goal Acquired	NA
Net First-year annual therms acquired this month	8,428
Monthly Net Therm Goal	7,180
Percent of Monthly Therm Goal Acquired	117.38%
Net Lifecycle kWh acquired this month	NA
Net Lifecycle therms acquired this month	168,562
Total Acquired Net First-Year Impacts To Date^{15,17}	
Net first-year annual kWh acquired to date ¹⁵	NA
Net first-year annual kWh acquired to date as a percent of total program goal ¹⁹	NA
Net first-year annual kWh acquired to date as a percent of 8-year goal	NA
Net cumulative kWh acquired to date	NA
Net utility peak kW reductions acquired to date	NA
Net utility peak kW reductions acquired to date as a percent of utility total program goal ¹⁹	NA
Net utility peak kW reductions acquired to date as a percent of 8-year goal	NA
Net NYISO peak kW reductions acquired to date	NA
Net first-year annual therms acquired to date	55,823
Net first-year annual therms acquired to date as a percent of total program goal ¹⁹	42.74%
Net first-year annual therms acquired to date as a percent of 8-year goal	NA
Net cumulative therms acquired to date	55,823
Total Acquired Lifecycle Impacts To Date^{7,15,17}	
Net Lifecycle kWh acquired to date	NA
Net Lifecycle therms acquired to date	1,116,459
Committed⁸ Impacts (not yet acquired) This Month¹⁵	
Net First-year annual kWh committed this month	NA
Net Lifecycle kWh committed this month	NA
Net Utility Peak kW committed this month	NA
Net first-year annual therms committed this month	725
Net Lifecycle therms committed this month	14,508
Funds committed at this point in time	\$1,500
Overall Impacts (Acquired & Committed)¹⁵	
Net first-year annual kWh acquired & committed this month	NA
Net utility peak kW acquired & committed this month	NA
Net First-year annual therms acquired & committed this month	9,153

Costs^{9,16}	
Total program budget	\$614,218
General Administration ¹³	Portfolio
Program Planning ¹³	Portfolio
Program Marketing ¹⁸	\$113
Trade Ally Training ¹⁸	\$0
Incentives and Services ^{14,18}	\$11,800
Direct Program Implementation ¹⁸	\$8,201
Program Evaluation ¹⁸	\$2,113
Total expenditures to date ¹⁷	\$180,729
Percent of total budget spent to date	29.42%
Participation^{15,17}	
Number of program applications received to date	43
Number of program applications processed to date	34
Number of processed applications approved to date	31
Percent of applications received to date that have been processed	79.07%
DPS Staff Notes:	
<p>¹DPS Staff needs to work with utilities and NYSERDA to develop a Program ID naming convention. However, a Program ID number is not required for the first report. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.</p> <p>²There is not currently a consistent list of program type but individual categories for common use by administrators could be developed.</p> <p>³First-year savings are defined as the annual savings expected from a given measure in the first year after installation (See Definition #1 in <i>Savings Definitions</i> Tab). The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. <i>Acquired</i> kWh savings are defined as those savings that reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.</p> <p>⁴Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.</p> <p>⁵Program Administrators should make a best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the monthly goals but does not want to mandate monthly goals, at least initially.</p> <p>⁶ Peak is defined uniquely for each utility.</p> <p>⁷The lifecycle savings are tracked beginning in the <i>year</i> in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will differ for each month as a function of adding savings from measures installed in a given month and savings from measures installed earlier in the funding cycle that have reached the end of thier useful life are no longer accumulated.</p> <p>⁸ Committed savings are defined as those for which funds have been encumbered by not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's best <i>estimate</i> of what they have committed. Program administrators should forecast as accurately as possible and forecasts should get more precise with program experience, i.e., the difference between achieved and committed should narrow over time.</p>	

⁹These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans.

Companies should identify whether each cost item is to be recovered through the SBC surcharge, base rates, or other recovery mechanism (e.g., monthly adjustment charges).

¹⁰An application is *processed* once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."

¹¹The application is *approved* once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which there are cases in which an application could be received, processed, and approved all in one day, then a "1" would be counted for each step in the tracking lifecycle.

¹²See *CO₂ Reduction Values* tab.

Utility Notes:

¹³ Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior and subsequent energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.

¹⁴ Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for incentive payments made to program participants. They will thus lag the actual issuance of incentive payments to program participants.

¹⁵ Impacts are calculated using actual installed measure information for all measures.

¹⁶ All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.

¹⁷ Information presented here may include changes to previously filed monthly scorecard tables based on: review of additional information; reconsideration of eligibility decisions made by the implementation contractor during prior reporting periods, or other factors.

¹⁸ Data contained in these fields reflects the most recent month's expenditures in the respective category, as reported in the companies' accounting systems, not "to date" information.

¹⁹ Effective February 9, 2011, Staff requested that Program Administrators report their Net Savings as a percent of the total program goal through 2011, as it is set forth in the relevant Commission Order or, for certain programs, the approved Operating Plan, whichever is appropriate. Since most programs are in their second year of implementation, comparing achievements to date against an annual goal would distort a program's achievements and would no longer provide the meaningful information that Staff and the EAG had intended when they developed the Reporting Guidelines.

Program Administrator (PA) and Program ID¹	NYSEG Non-Residential Commercial & Industrial Rebate Program
Program Name	NYSEG Non-Residential Commercial & Industrial CUSTOM Program
Program Type²	Custom ELECTRIC Rebate
Total Acquired First-Year Impacts This Month^{4,15}	
Net first-year annual kWh acquired this Month ⁴	313,384
Monthly Net kWh Goal (based on net first-year annual ⁵ kWh Goal)	1,303,000
Percent of Monthly Net kWh Goal Acquired	24.05%
Net Peak⁶ kW acquired this Month	90
Monthly Net Peak kW Goal	N/A
Percent of Monthly Peak kW Goal Acquired	N/A
Net First-year annual therms acquired this month	N/A
Monthly Net Therm Goal	N/A
Percent of Monthly Therm Goal Acquired	N/A
Net Lifecycle kWh acquired this month	4,614,925
Net Lifecycle therms acquired this month	N/A
Total Acquired Net First-Year Impacts To Date^{15,17}	
Net first-year annual kWh acquired to date ¹⁵	353,971
Net first-year annual kWh acquired to date as a percent of total program goal ¹⁹	0.62%
Net first-year annual kWh acquired to date as a percent of 8-year goal	N/A
Net cumulative kWh acquired to date	353,971
Net utility peak kW reductions acquired to date	90
Net utility peak kW reductions acquired to date as a percent of utility total program goal ¹⁹	N/A
Net utility peak kW reductions acquired to date as a percent of 8-year goal	N/A
Net NYISO peak kW reductions acquired to date	N/A
Net first-year annual therms acquired to date	N/A
Net first-year annual therms acquired to date as a percent of total program goal ¹⁹	N/A
Net first-year annual therms acquired to date as a percent of 8-year goal	N/A
Net cumulative therms acquired to date	N/A
Total Acquired Lifecycle Impacts To Date^{7,15,17}	
Net Lifecycle kWh acquired to date	5,223,721
Net Lifecycle therms acquired to date	N/A
Committed⁸ Impacts (not yet acquired) This Month¹⁵	
Net First-year annual kWh committed this month	0
Net Lifecycle kWh committed this month	0
Net Utility Peak kW committed this month	0
Net first-year annual therms committed this month	N/A
Net Lifecycle therms committed this month	N/A
Funds committed at this point in time	\$0
Overall Impacts (Acquired & Committed)¹⁵	
Net first-year annual kWh acquired & committed this month	313,384
Net utility peak kW acquired & committed this month	90
Net First-year annual therms acquired & committed this month	N/A

Costs^{9,16}	
Total program budget	\$5,862,000
General Administration ¹³	Portfolio ¹³
Program Planning ¹³	Portfolio ¹³
Program Marketing ¹⁸	\$644
Trade Ally Training ¹⁸	\$0
Incentives and Services ^{14,18}	-\$5,100
Direct Program Implementation ¹⁸	\$2,656
Program Evaluation ¹⁸	\$7,137
Total expenditures to date ¹⁷	\$362,286
Percent of total budget spent to date	6.18%
Participation^{15,17}	
Number of program applications received to date	33
Number of program applications processed to date	28
Number of processed applications approved to date	14
Percent of applications received to date that have been processed	84.85%
DPS Staff Notes:	
<p>¹DPS Staff needs to work with utilities and NYSERDA to develop a Program ID naming convention. However, a Program ID number is not required for the first report. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.</p> <p>²There is not currently a consistent list of program type but individual categories for common use by administrators could be developed.</p> <p>³First-year savings are defined as the annual savings expected from a given measure in the first year after installation (See Definition #1 in <i>Savings Definitions</i> Tab). The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. <i>Acquired</i> kWh savings are defined as those savings that reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.</p> <p>⁴Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.</p> <p>⁵Program Administrators should make a best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the monthly goals but does not want to mandate monthly goals, at least initially.</p> <p>⁶ Peak is defined uniquely for each utility.</p> <p>⁷The lifecycle savings are tracked beginning in the <i>year</i> in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will differ for each month as a function of adding savings from measures installed in a given month and savings from measures installed earlier in the funding cycle that have reached the end of thier useful life are no longer accumulated.</p> <p>⁸ Committed savings are defined as those for which funds have been encumbered by not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's best <i>estimate</i> of what they have committed. Program administrators should forecast as accurately as possible and forecasts should get more precise with program experience, i.e., the difference between achieved and committed should narrow over time.</p>	

⁹These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans.

Companies should identify whether each cost item is to be recovered through the SBC surcharge, base rates, or other recovery mechanism (e.g., monthly adjustment charges).

¹⁰An application is *processed* once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."

¹¹The application is *approved* once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which there are cases in which an application could be received, processed, and approved all in one day, then a "1" would be counted for each step in the tracking lifecycle.

¹²See *CO₂ Reduction Values* tab.

Utility Notes:

¹³ Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior and subsequent energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.

¹⁴ Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for incentive payments made to program participants. They will thus lag the actual issuance of incentive payments to program participants.

¹⁵ Impacts are calculated using actual installed measure information for all measures.

¹⁶ All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.

¹⁷ Information presented here may include changes to previously filed monthly scorecard tables based on: review of additional information, reconsideration of eligibility decisions made by the implementation contractor during prior reporting periods, or other factors.

¹⁸ Data contained in these fields reflects the most recent month's expenditures in the respective category, as reported in the companies' accounting systems, not "to date" information.

¹⁹ Effective February 9, 2011, Staff requested that Program Administrators report their Net Savings as a percent of the total program goal through 2011, as it is set forth in the relevant Commission Order or, for certain programs, the approved Operating Plan, whichever is appropriate. Since most programs are in their second year of implementation, comparing achievements to date against an annual goal would distort a program's achievements and would no longer provide the meaningful information that Staff and the EAG had intended when they developed the Reporting Guidelines.

Program Administrator (PA) and Program ID¹	NYSEG Non-Residential Commercial & Industrial Rebate Program
Program Name	NYSEG Non-Residential Commercial & Industrial CUSTOM Program
Program Type²	Custom GAS Rebate
Total Acquired First-Year Impacts This Month^{4,15}	
Net first-year annual kWh acquired this Month ⁴	NA
Monthly Net kWh Goal (based on net first-year annual ⁵ kWh Goal)	NA
Percent of Monthly Net kWh Goal Acquired	NA
Net Peak ⁶ kW acquired this Month	NA
Monthly Net Peak kW Goal	NA
Percent of Monthly Peak kW Goal Acquired	NA
Net First-year annual therms acquired this month	191
Monthly Net Therm Goal	10,270
Percent of Monthly Therm Goal Acquired	1.86%
Net Lifecycle kWh acquired this month	NA
Net Lifecycle therms acquired this month	2,862
Total Acquired Net First-Year Impacts To Date^{15,17}	
Net first-year annual kWh acquired to date ¹⁵	NA
Net first-year annual kWh acquired to date as a percent of total program goal ¹⁹	NA
Net first-year annual kWh acquired to date as a percent of 8-year goal	NA
Net cumulative kWh acquired to date	NA
Net utility peak kW reductions acquired to date	NA
Net utility peak kW reductions acquired to date as a percent of utility total program goal ¹⁹	NA
Net utility peak kW reductions acquired to date as a percent of 8-year goal	NA
Net NYISO peak kW reductions acquired to date	NA
Net first-year annual therms acquired to date	2,043
Net first-year annual therms acquired to date as a percent of total program goal ¹⁹	1.66%
Net first-year annual therms acquired to date as a percent of 8-year goal	NA
Net cumulative therms acquired to date	2,043
Total Acquired Lifecycle Impacts To Date^{7,15,17}	
Net Lifecycle kWh acquired to date	NA
Net Lifecycle therms acquired to date	21,384
Committed⁸ Impacts (not yet acquired) This Month¹⁵	
Net First-year annual kWh committed this month	NA
Net Lifecycle kWh committed this month	NA
Net Utility Peak kW committed this month	NA
Net first-year annual therms committed this month	0
Net Lifecycle therms committed this month	0
Funds committed at this point in time	\$0
Overall Impacts (Acquired & Committed)¹⁵	
Net first-year annual kWh acquired & committed this month	NA
Net utility peak kW acquired & committed this month	NA
Net First-year annual therms acquired & committed this month	191

Costs^{9,16}	
Total program budget	\$552,062
General Administration ¹³	Portfolio
Program Planning ¹³	Portfolio
Program Marketing ¹⁸	\$68
Trade Ally Training ¹⁸	\$0
Incentives and Services ^{14,18}	-\$2,850
Direct Program Implementation ¹⁸	\$1,970
Program Evaluation ¹⁸	\$1,533
Total expenditures to date ¹⁷	\$35,264
Percent of total budget spent to date	6.39%
Participation^{15,17}	
Number of program applications received to date	6
Number of program applications processed to date	4
Number of processed applications approved to date	3
Percent of applications received to date that have been processed	66.67%
DPS Staff Notes:	
¹ DPS Staff needs to work with utilities and NYSERDA to develop a Program ID naming convention. However, a Program ID number is not required for the first report. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.	
² There is not currently a consistent list of program type but individual categories for common use by administrators could be developed.	
³ First-year savings are defined as the annual savings expected from a given measure in the first year after installation (See Definition #1 in <i>Savings Definitions</i> Tab). The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. Acquired kWh savings are defined as those savings that reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.	
⁴ Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.	
⁵ Program Administrators should make a best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the monthly goals but does not want to mandate monthly goals, at least initially.	
⁶ Peak is defined uniquely for each utility.	
⁷ The lifecycle savings are tracked beginning in the <i>year</i> in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will differ for each month as a function of adding savings from measures installed in a given month and savings from measures installed earlier in the funding cycle that have reached the end of thier useful life are no longer accumulated.	
⁸ Committed savings are defined as those for which funds have been encumbered by not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's best <i>estimate</i> of what they have committed. Program administrators should forecast as accurately as possible and forecasts should get more precise with program experience, i.e., the difference between achieved and committed should narrow over time.	

⁹These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans.

Companies should identify whether each cost item is to be recovered through the SBC surcharge, base rates, or other recovery mechanism (e.g., monthly adjustment charges).

¹⁰An application is *processed* once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."

¹¹The application is *approved* once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which there are cases in which an application could be received, processed, and approved all in one day, then a "1" would be counted for each step in the tracking lifecycle.

¹²See *CO₂ Reduction Values* tab.

Utility Notes:

¹³ Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior and subsequent energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.

¹⁴ Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for incentive payments made to program participants. They will thus lag the actual issuance of incentive payments to program participants.

¹⁵ Impacts are calculated using actual installed measure information for all measures.

¹⁶ All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.

¹⁷ Information presented here may include changes to previously filed monthly scorecard tables based on: review of additional information, reconsideration of eligibility decisions made by the implementation contractor during prior reporting periods, or other factors.

¹⁸ Data contained in these fields reflects the most recent month's expenditures in the respective category, as reported in the companies' accounting systems, not "to date" information.

¹⁹ Effective February 9, 2011, Staff requested that Program Administrators report their Net Savings as a percent of the total program goal through 2011, as it is set forth in the relevant Commission Order or, for certain programs, the approved Operating Plan, whichever is appropriate. Since most programs are in their second year of implementation, comparing achievements to date against an annual goal would distort a program's achievements and would no longer provide the meaningful information that Staff and the EAG had intended when they developed the Reporting Guidelines.

Program Administrator (PA) and Program ID¹	RG&E Non-Residential Commercial & Industrial Rebate Program
Program Name	RG&E Non-Residential Commercial & Industrial PRESCRIPTIVE Program
Program Type²	Prescriptive ELECTRIC Rebate
Total Acquired First-Year Impacts This Month^{4,15}	
Net first-year annual kWh acquired this Month ⁴	499
Monthly Net kWh Goal (based on net first-year annual ⁵ kWh Goal)	336,000
Percent of Monthly Net kWh Goal Acquired	0.15%
Net Peak⁶ kW acquired this Month	3
Monthly Net Peak kW Goal	NA
Percent of Monthly Peak kW Goal Acquired	NA
Net First-year annual therms acquired this month	NA
Monthly Net Therm Goal	NA
Percent of Monthly Therm Goal Acquired	NA
Net Lifecycle kWh acquired this month	7,486
Net Lifecycle therms acquired this month	NA
Total Acquired Net First-Year Impacts To Date^{15,17}	
Net first-year annual kWh acquired to date ¹⁵	134,584
Net first-year annual kWh acquired to date as a percent of total program goal ¹⁹	3.33%
Net first-year annual kWh acquired to date as a percent of 8-year goal	NA
Net cumulative kWh acquired to date	134,584
Net utility peak kW reductions acquired to date	33
Net utility peak kW reductions acquired to date as a percent of utility total program goal ¹⁹	NA
Net utility peak kW reductions acquired to date as a percent of 8-year goal	NA
Net NYISO peak kW reductions acquired to date	NA
Net first-year annual therms acquired to date	NA
Net first-year annual therms acquired to date as a percent of total program goal ¹⁹	NA
Net first-year annual therms acquired to date as a percent of 8-year goal	NA
Net cumulative therms acquired to date	NA
Total Acquired Lifecycle Impacts To Date^{7,15,17}	
Net Lifecycle kWh acquired to date	1,874,842
Net Lifecycle therms acquired to date	NA
Committed⁸ Impacts (not yet acquired) This Month¹⁵	
Net First-year annual kWh committed this month	0
Net Lifecycle kWh committed this month	0
Net Utility Peak kW committed this month	0
Net first-year annual therms committed this month	NA
Net Lifecycle therms committed this month	NA
Funds committed at this point in time	\$0
Overall Impacts (Acquired & Committed)¹⁵	
Net first-year annual kWh acquired & committed this month	499
Net utility peak kW acquired & committed this month	3
Net First-year annual therms acquired & committed this month	NA

Costs^{9,16}	
Total program budget	\$1,714,652
General Administration ¹³	Portfolio
Program Planning ¹³	Portfolio
Program Marketing ¹⁸	\$82
Trade Ally Training ¹⁸	\$0
Incentives and Services ^{14,18}	\$9,525
Direct Program Implementation ¹⁸	\$10,729
Program Evaluation ¹⁸	\$3,674
Total expenditures to date ¹⁷	\$146,846
Percent of total budget spent to date	8.56%
Participation^{15,17}	
Number of program applications received to date	7
Number of program applications processed to date	6
Number of processed applications approved to date	5
Percent of applications received to date that have been processed	85.71%
DPS Staff Notes:	
<p>¹DPS Staff needs to work with utilities and NYSERDA to develop a Program ID naming convention. However, a Program ID number is not required for the first report. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.</p> <p>²There is not currently a consistent list of program type but individual categories for common use by administrators could be developed.</p> <p>³First-year savings are defined as the annual savings expected from a given measure in the first year after installation (See Definition #1 in <i>Savings Definitions</i> Tab). The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. <i>Acquired</i> kWh savings are defined as those savings that reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.</p> <p>⁴Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.</p> <p>⁵Program Administrators should make a best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the monthly goals but does not want to mandate monthly goals, at least initially.</p> <p>⁶ Peak is defined uniquely for each utility.</p> <p>⁷The lifecycle savings are tracked beginning in the <i>year</i> in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will differ for each month as a function of adding savings from measures installed in a given month and savings from measures installed earlier in the funding cycle that have reached the end of thier useful life are no longer accumulated.</p> <p>⁸ Committed savings are defined as those for which funds have been encumbered by not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's best <i>estimate</i> of what they have committed. Program administrators should forecast as accurately as possible and forecasts should get more precise with program experience, i.e., the difference between achieved and committed should narrow over time.</p>	

⁹These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans.

Companies should identify whether each cost item is to be recovered through the SBC surcharge, base rates, or other recovery mechanism (e.g., monthly adjustment charges).

¹⁰An application is *processed* once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."

¹¹The application is *approved* once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which there are cases in which an application could be received, processed, and approved all in one day, then a "1" would be counted for each step in the tracking lifecycle.

¹²See *CO₂ Reduction Values* tab.

Utility Notes:

¹³ Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior and subsequent energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.

¹⁴ Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for incentive payments made to program participants. They will thus lag the actual issuance of incentive payments to program participants.

¹⁵ Impacts are calculated using actual installed measure information for all measures.

¹⁶ All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.

¹⁷ Information presented here may include changes to previously filed monthly scorecard tables based on: review of additional information; reconsideration of eligibility decisions made by the implementation contractor during prior reporting periods, or other factors.

¹⁸ Data contained in these fields reflects the most recent month's expenditures in the respective category, as reported in the companies' accounting systems, not "to date" information.

¹⁹ Effective February 9, 2011, Staff requested that Program Administrators report their Net Savings as a percent of the total program goal through 2011, as it is set forth in the relevant Commission Order or, for certain programs, the approved Operating Plan, whichever is appropriate. Since most programs are in their second year of implementation, comparing achievements to date against an annual goal would distort a program's achievements and would no longer provide the meaningful information that Staff and the EAG had intended when they developed the Reporting Guidelines.

Program Administrator (PA) and Program ID¹	RG&E Non-Residential Commercial & Industrial Rebate Program
Program Name	RG&E Non-Residential Commercial & Industrial PRESCRIPTIVE Program
Program Type²	Prescriptive GAS Rebate
Total Acquired First-Year Impacts This Month^{4,15}	
Net first-year annual kWh acquired this Month ⁴	NA
Monthly Net kWh Goal (based on net first-year annual ⁵ kWh Goal)	NA
Percent of Monthly Net kWh Goal Acquired	NA
Net Peak ⁶ kW acquired this Month	NA
Monthly Net Peak kW Goal	NA
Percent of Monthly Peak kW Goal Acquired	NA
Net First-year annual therms acquired this month	1,778
Monthly Net Therm Goal	7,680
Percent of Monthly Therm Goal Acquired	23.15%
Net Lifecycle kWh acquired this month	NA
Net Lifecycle therms acquired this month	35,551
Total Acquired Net First-Year Impacts To Date^{15,17}	
Net first-year annual kWh acquired to date ¹⁵	NA
Net first-year annual kWh acquired to date as a percent of total program goal ¹⁹	NA
Net first-year annual kWh acquired to date as a percent of 8-year goal	NA
Net cumulative kWh acquired to date	NA
Net utility peak kW reductions acquired to date	NA
Net utility peak kW reductions acquired to date as a percent of utility total program goal ¹⁹	NA
Net utility peak kW reductions acquired to date as a percent of 8-year goal	NA
Net NYISO peak kW reductions acquired to date	NA
Net first-year annual therms acquired to date	47,563
Net first-year annual therms acquired to date as a percent of total program goal ¹⁹	35.31%
Net first-year annual therms acquired to date as a percent of 8-year goal	NA
Net cumulative therms acquired to date	47,563
Total Acquired Lifecycle Impacts To Date^{7,15,17}	
Net Lifecycle kWh acquired to date	NA
Net Lifecycle therms acquired to date	951,267
Committed⁸ Impacts (not yet acquired) This Month¹⁵	
Net First-year annual kWh committed this month	NA
Net Lifecycle kWh committed this month	NA
Net Utility Peak kW committed this month	NA
Net first-year annual therms committed this month	0
Net Lifecycle therms committed this month	0
Funds committed at this point in time	\$0
Overall Impacts (Acquired & Committed)¹⁵	
Net first-year annual kWh acquired & committed this month	NA
Net utility peak kW acquired & committed this month	NA
Net First-year annual therms acquired & committed this month	1,778

Costs^{9,16}	
Total program budget	\$606,014
General Administration ¹³	Portfolio
Program Planning ¹³	Portfolio
Program Marketing ¹⁸	\$35
Trade Ally Training ¹⁸	\$0
Incentives and Services ^{14,18}	\$9,325
Direct Program Implementation ¹⁸	\$5,958
Program Evaluation ¹⁸	\$1,476
Total expenditures to date ¹⁷	\$146,805
Percent of total budget spent to date	24.22%
Participation^{15,17}	
Number of program applications received to date	27
Number of program applications processed to date	21
Number of processed applications approved to date	20
Percent of applications received to date that have been processed	77.78%
DPS Staff Notes:	
<p>¹DPS Staff needs to work with utilities and NYSERDA to develop a Program ID naming convention. However, a Program ID number is not required for the first report. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.</p> <p>²There is not currently a consistent list of program type but individual categories for common use by administrators could be developed.</p> <p>³First-year savings are defined as the annual savings expected from a given measure in the first year after installation (See Definition #1 in <i>Savings Definitions</i> Tab). The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. Acquired kWh savings are defined as those savings that reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.</p> <p>⁴Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.</p> <p>⁵Program Administrators should make a best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the monthly goals but does not want to mandate monthly goals, at least initially.</p> <p>⁶ Peak is defined uniquely for each utility.</p> <p>⁷The lifecycle savings are tracked beginning in the <i>year</i> in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will differ for each month as a function of adding savings from measures installed in a given month and savings from measures installed earlier in the funding cycle that have reached the end of thier useful life are no longer accumulated.</p> <p>⁸ Committed savings are defined as those for which funds have been encumbered by not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's best <i>estimate</i> of what they have committed. Program administrators should forecast as accurately as possible and forecasts should get more precise with program experience, i.e., the difference between achieved and committed should narrow over time.</p>	

⁹These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans.

Companies should identify whether each cost item is to be recovered through the SBC surcharge, base rates, or other recovery mechanism (e.g., monthly adjustment charges).

¹⁰An application is *processed* once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."

¹¹The application is *approved* once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which there are cases in which an application could be received, processed, and approved all in one day, then a "1" would be counted for each step in the tracking lifecycle.

¹²See *CO₂ Reduction Values* tab.

Utility Notes:

¹³ Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior and subsequent energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.

¹⁴ Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for incentive payments made to program participants. They will thus lag the actual issuance of incentive payments to program participants.

¹⁵ Impacts are calculated using actual installed measure information for all measures.

¹⁶ All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.

¹⁷ Information presented here may include changes to previously filed monthly scorecard tables based on: review of additional information; reconsideration of eligibility decisions made by the implementation contractor during prior reporting periods, or other factors.

¹⁸ Data contained in these fields reflects the most recent month's expenditures in the respective category, as reported in the companies' accounting systems, not "to date" information.

¹⁹ Effective February 9, 2011, Staff requested that Program Administrators report their Net Savings as a percent of the total program goal through 2011, as it is set forth in the relevant Commission Order or, for certain programs, the approved Operating Plan, whichever is appropriate. Since most programs are in their second year of implementation, comparing achievements to date against an annual goal would distort a program's achievements and would no longer provide the meaningful information that Staff and the EAG had intended when they developed the Reporting Guidelines.

Program Administrator (PA) and Program ID¹	RG&E Non-Residential Commercial & Industrial Rebate Program
Program Name	RG&E Non-Residential Commercial & Industrial CUSTOM Program
Program Type²	Custom ELECTRIC Rebate
Total Acquired First-Year Impacts This Month^{4,15}	
Net first-year annual kWh acquired this Month ⁴	0
Monthly Net kWh Goal (based on net first-year annual ⁵ kWh Goal)	799,000
Percent of Monthly Net kWh Goal Acquired	0.00%
Net Peak⁶ kW acquired this Month	0
Monthly Net Peak kW Goal	NA
Percent of Monthly Peak kW Goal Acquired	NA
Net First-year annual therms acquired this month	NA
Monthly Net Therm Goal	NA
Percent of Monthly Therm Goal Acquired	NA
Net Lifecycle kWh acquired this month	0
Net Lifecycle therms acquired this month	NA
Total Acquired Net First-Year Impacts To Date^{15,17}	
Net first-year annual kWh acquired to date ¹⁵	0
Net first-year annual kWh acquired to date as a percent of total program goal ¹⁹	0.00%
Net first-year annual kWh acquired to date as a percent of 8-year goal	NA
Net cumulative kWh acquired to date	0
Net utility peak kW reductions acquired to date	0
Net utility peak kW reductions acquired to date as a percent of utility total program goal ¹⁹	NA
Net utility peak kW reductions acquired to date as a percent of 8-year goal	NA
Net NYISO peak kW reductions acquired to date	NA
Net first-year annual therms acquired to date	NA
Net first-year annual therms acquired to date as a percent of total program goal ¹⁹	NA
Net first-year annual therms acquired to date as a percent of 8-year goal	NA
Net cumulative therms acquired to date	NA
Total Acquired Lifecycle Impacts To Date^{7,15,17}	
Net Lifecycle kWh acquired to date	0
Net Lifecycle therms acquired to date	NA
Committed⁸ Impacts (not yet acquired) This Month¹⁵	
Net First-year annual kWh committed this month	0
Net Lifecycle kWh committed this month	0
Net Utility Peak kW committed this month	0
Net first-year annual therms committed this month	NA
Net Lifecycle therms committed this month	NA
Funds committed at this point in time	\$0
Overall Impacts (Acquired & Committed)¹⁵	
Net first-year annual kWh acquired & committed this month	0
Net utility peak kW acquired & committed this month	0
Net First-year annual therms acquired & committed this month	NA

Costs^{9,16}	
Total program budget	\$3,712,000
General Administration ¹³	Portfolio
Program Planning ¹³	Portfolio
Program Marketing ¹⁸	\$172
Trade Ally Training ¹⁸	\$0
Incentives and Services ^{14,18}	\$0
Direct Program Implementation ¹⁸	\$12,070
Program Evaluation ¹⁸	\$6,231
Total expenditures to date ¹⁷	\$191,459
Percent of total budget spent to date	5.16%
Participation^{15,17}	
Number of program applications received to date	5
Number of program applications processed to date	3
Number of processed applications approved to date	0
Percent of applications received to date that have been processed	60.00%
DPS Staff Notes:	
<p>¹DPS Staff needs to work with utilities and NYSERDA to develop a Program ID naming convention. However, a Program ID number is not required for the first report. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.</p> <p>²There is not currently a consistent list of program type but individual categories for common use by administrators could be developed.</p> <p>³First-year savings are defined as the annual savings expected from a given measure in the first year after installation (See Definition #1 in <i>Savings Definitions</i> Tab). The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. Acquired kWh savings are defined as those savings that reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.</p> <p>⁴Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.</p> <p>⁵Program Administrators should make a best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the monthly goals but does not want to mandate monthly goals, at least initially.</p> <p>⁶ Peak is defined uniquely for each utility.</p> <p>⁷The lifecycle savings are tracked beginning in the <i>year</i> in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will differ for each month as a function of adding savings from measures installed in a given month and savings from measures installed earlier in the funding cycle that have reached the end of thier useful life are no longer accumulated.</p> <p>⁸ Committed savings are defined as those for which funds have been encumbered by not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's best <i>estimate</i> of what they have committed. Program administrators should forecast as accurately as possible and forecasts should get more precise with program experience, i.e., the difference between achieved and committed should narrow over time.</p>	

⁹These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans.

Companies should identify whether each cost item is to be recovered through the SBC surcharge, base rates, or other recovery mechanism (e.g., monthly adjustment charges).

¹⁰An application is *processed* once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."

¹¹The application is *approved* once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which there are cases in which an application could be received, processed, and approved all in one day, then a "1" would be counted for each step in the tracking lifecycle.

¹²See *CO₂ Reduction Values* tab.

Utility Notes:

¹³ Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior and subsequent energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.

¹⁴ Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for incentive payments made to program participants. They will thus lag the actual issuance of incentive payments to program participants.

¹⁵ Impacts are calculated using actual installed measure information for all measures.

¹⁶ All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.

¹⁷ Information presented here may include changes to previously filed monthly scorecard tables based on: review of additional information; reconsideration of eligibility decisions made by the implementation contractor during prior reporting periods, or other factors.

¹⁸ Data contained in these fields reflects the most recent month's expenditures in the respective category, as reported in the companies' accounting systems, not "to date" information.

¹⁹ Effective February 9, 2011, Staff requested that Program Administrators report their Net Savings as a percent of the total program goal through 2011, as it is set forth in the relevant Commission Order or, for certain programs, the approved Operating Plan, whichever is appropriate. Since most programs are in their second year of implementation, comparing achievements to date against an annual goal would distort a program's achievements and would no longer provide the meaningful information that Staff and the EAG had intended when they developed the Reporting Guidelines.

Program Administrator (PA) and Program ID¹	RG&E Non-Residential Commercial & Industrial Rebate Program
Program Name	RG&E Non-Residential Commercial & Industrial CUSTOM Program
Program Type²	Custom GAS Rebate
Total Acquired First-Year Impacts This Month^{4,15}	
Net first-year annual kWh acquired this Month ⁴	NA
Monthly Net kWh Goal (based on net first-year annual ⁵ kWh Goal)	NA
Percent of Monthly Net kWh Goal Acquired	NA
Net Peak ⁶ kW acquired this Month	NA
Monthly Net Peak kW Goal	NA
Percent of Monthly Peak kW Goal Acquired	NA
Net First-year annual therms acquired this month	0
Monthly Net Therm Goal	10,040
Percent of Monthly Therm Goal Acquired	0.00%
Net Lifecycle kWh acquired this month	NA
Net Lifecycle therms acquired this month	0
Total Acquired Net First-Year Impacts To Date^{15,17}	
Net first-year annual kWh acquired to date ¹⁵	NA
Net first-year annual kWh acquired to date as a percent of total program goal ¹⁹	NA
Net first-year annual kWh acquired to date as a percent of 8-year goal	NA
Net cumulative kWh acquired to date	NA
Net utility peak kW reductions acquired to date	NA
Net utility peak kW reductions acquired to date as a percent of utility total program goal ¹⁹	NA
Net utility peak kW reductions acquired to date as a percent of 8-year goal	NA
Net NYISO peak kW reductions acquired to date	NA
Net first-year annual therms acquired to date	0
Net first-year annual therms acquired to date as a percent of total program goal ¹⁹	0.00%
Net first-year annual therms acquired to date as a percent of 8-year goal	NA
Net cumulative therms acquired to date	0
Total Acquired Lifecycle Impacts To Date^{7,15,17}	
Net Lifecycle kWh acquired to date	NA
Net Lifecycle therms acquired to date	0
Committed⁸ Impacts (not yet acquired) This Month¹⁵	
Net First-year annual kWh committed this month	NA
Net Lifecycle kWh committed this month	NA
Net Utility Peak kW committed this month	NA
Net first-year annual therms committed this month	0
Net Lifecycle therms committed this month	0
Funds committed at this point in time	\$0
Overall Impacts (Acquired & Committed)¹⁵	
Net first-year annual kWh acquired & committed this month	NA
Net utility peak kW acquired & committed this month	NA
Net First-year annual therms acquired & committed this month	0

Costs^{9,16}	
Total program budget	\$553,100
General Administration ¹³	Portfolio
Program Planning ¹³	Portfolio
Program Marketing ¹⁸	\$46
Trade Ally Training ¹⁸	\$0
Incentives and Services ^{14,18}	\$0
Direct Program Implementation ¹⁸	\$1,967
Program Evaluation ¹⁸	\$1,033
Total expenditures to date ¹⁷	\$35,318
Percent of total budget spent to date	6.39%
Participation^{15,17}	
Number of program applications received to date	2
Number of program applications processed to date	2
Number of processed applications approved to date	0
Percent of applications received to date that have been processed	100.00%
DPS Staff Notes:	
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