



December 2, 2013

VIA ELECTRONIC SERVICE

Honorable Kathleen H. Burgess
Secretary to the Commission
New York State Public Service Commission
Three Empire State Plaza
Albany, NY 12223-1350

Re: Case 07-M-0548 – Proceeding on Motion of the Commission Regarding an Energy Efficiency Portfolio Standard

Case 08-G-1012 – Petition of New York State Electric & Gas Corporation for Approval of an Energy Efficiency Portfolio Standard (EEPS) “Fast Track” Utility-Administered Gas Energy Efficiency Program

Case 08-G-1013 – Petition of Rochester Gas and Electric Corporation for Approval of an Energy Efficiency Portfolio Standard (EEPS) “Fast Track” Utility-Administered Gas Energy Efficiency Program

Case 08-E-1129– Petition of New York State Electric & Gas Corporation for Approval of an Energy Efficiency Portfolio Standard (EEPS) Utility-Administered Electric Energy Efficiency Program

Case 08-E-1130– Petition of Rochester Gas and Electric Corporation for Approval of an Energy Efficiency Portfolio Standard (EEPS) “Utility-Administered Electric Energy Efficiency Program

Case 09-G-0363 – Petition for Approval of Energy Efficiency Portfolio Standard (EEPS) Gas Efficiency Programs

Dear Secretary Burgess:

Pursuant to the New York State Public Service Commission’s orders in the above captioned proceedings, New York State Electric & Gas Corporation and Rochester Gas and Electric Corporation hereby file the “Monthly Scorecard Reports” of EEPS program achievements for the month ending November 30, 2013.



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The programs addressed in these reports are as follows:

- Residential Gas HVAC Program
- Residential Energy Saver Program
- Residential/Non-Residential Multifamily Program
- Residential Refrigerator Freezer Recycling Program
- Non-Residential Small Business Direct Install Program
- Commercial and Industrial Rebate Program (Prescriptive, Custom and Block Bidding Programs combined per February 19, 2013 Consolidation Order)

Please direct any questions to me at telephone number 607-725-3936 or via email at jjfishgertz@nyseg.com.

Respectfully Submitted,



Joni Fish-Gertz
Manager, EEPS Programs

Enclosure

Program Administrator (PA):	NYSEG
Program Name:	Residential Gas HVAC
Program Funding Fuel:	GAS
Date of Authorizing PSC Order:	4/9/2009, 6/24/2010, 10/25/2011, 6/19/2013
Date of Most Recent Operating/Implementation Plan:	8/23/2010
Date Applications Initially Accepted:	1/1/2012
Reporting Period:	October 2013

First-Year Savings Acquired this Month	
Net First-year Annual Dth Acquired this Month	5,175
Ancillary Net First-year Annual MWh Acquired this Month	95
Ancillary Net Peak MW Reductions Acquired this Month	-
Gas Savings Impacts this Year	
Current Annual Dth Target:	71,461
To Date Portion of Current Annual Dth Target:	59,551
Net First-Year Annual Dth Acquired this Year	50,275
Net First-Year Annual Dth Committed at this Point in Time	12,665
<i>Total Net First-Year Annual Dth Acquired & Committed</i>	<i>62,940</i>
Percent of Current Annual Dth Target Acquired	70.4%
Percent of Current Annual Dth Target Acquired & Committed	88.1%
Percent of To Date Portion of Current Annual Dth Target Acquired	84.4%
Ancillary Electric Savings Impacts this Year	
Net First-Year Annual MWh Acquired this Year	799
Net First-Year Annual MWh Committed at this Point in Time	-
<i>Total Net First-Year Annual MWh Acquired & Committed</i>	<i>799</i>
Ancillary Electric Peak Demand Savings Impacts this Year	
Net Peak MW Reductions Acquired this Year	-
Net Peak MW Reductions Committed at this Point in Time	-
<i>Total Net Peak MW Reductions Acquired & Committed</i>	<i>-</i>
Financial Expenditures this Month	
General Administration Expenditures this Month	\$ 383
Program Planning Expenditures this Month	\$ -
Program Marketing Expenditures this Month	\$ 8,445
Trade Ally Training Expenditures this Month	\$ -
Incentives and Services Expenditures this Month	\$ 113,869
Direct Program Implementation Expenditures this Month	\$ 6,541
Evaluation Expenditures this Month	\$ -
Cost Recovery Fee Expenditures this Month (NYSERDA, only)	\$ -
<i>Total Expenditures this Month</i>	<i>\$ 129,238</i>
Financial Encumbrances at this Point in Time	
General Administration Funds Currently Encumbered	\$ -
Program Planning Funds Currently Encumbered	\$ -
Program Marketing Funds Currently Encumbered	\$ -
Trade Ally Training Funds Currently Encumbered	\$ -
Incentives and Services Funds Currently Encumbered	\$ 18,000
Direct Program Implementation Funds Currently Encumbered	\$ -
Evaluation Funds Currently Encumbered	\$ -
Cost Recovery Fee Funds Currently Encumbered	\$ -
Financial Activity this Year	
Current Annual Budget:	\$ 1,415,642
To Date Portion of Current Annual Budget:	\$ 1,179,702
Total Expenditures this Year	\$ 1,105,663
Total Funds Encumbered at this Point in Time	\$ 18,000
<i>Total Expenditures this year and Encumbrances</i>	<i>\$ 1,123,663</i>
Percent of Current Annual Budget Spent	78.1%
Percent of Current Annual Budget Spent and Encumbered	79.4%
Percent of To Date Portion of Current Annual Budget Spent	93.7%
Financial Activity to Date	
Total 2012-2015 Budget:	\$ 5,662,568
Total Expenditures to Date	\$ 2,041,152
<i>Total Expenditures to Date and Encumbrances</i>	<i>\$ 2,059,152</i>
Percent of Total 2012-2015 Budget Spent to Date	36.0%
Percent of Total 2012-2015 Budget Spent and Encumbered	36.4%
Evaluation Factors	
Realization Rate	-
Free Ridership	-
Spill Over	-
Net-to-Gross Ratio	0.90
Participation	
Number of program applications received to date	4,172
Number of program applications approved to receive funds	3,746
Current Forecast (updated quarterly)	
Total Expected Net First-year Annual Dth Acquired in 2013	71,461
Expected Net First-year Annual Dth Committed at Year End 2013	600

PROGRAM NARRATIVE	
Exceptions	0
Achievements	0
Changes Anticipated in the Next 6 Months	0
Corrections to Previous Reports	0

Program Administrator (PA):	RG&E
Program Name:	Residential Gas HVAC
Program Funding Fuel:	GAS
Date of Authorizing PSC Order:	4/9/2009, 6/24/2010, 10/25/2011, 6/19/2013
Date of Most Recent Operating/Implementation Plan:	8/23/2010
Date Applications Initially Accepted:	1/1/2012
Reporting Period:	October 2013

First-Year Savings Acquired this Month	
Net First-year Annual Dth Acquired this Month	6,445
Ancillary Net First-year Annual MWh Acquired this Month	160
Ancillary Net Peak MW Reductions Acquired this Month	-
Gas Savings Impacts this Year	
Current Annual Dth Target:	113,500
To Date Portion of Current Annual Dth Target:	94,583
Net First-Year Annual Dth Acquired this Year	73,822
Net First-Year Annual Dth Committed at this Point in Time	21,736
<i>Total Net First-Year Annual Dth Acquired & Committed</i>	<i>95,558</i>
Percent of Current Annual Dth Target Acquired	65.0%
Percent of Current Annual Dth Target Acquired & Committed	84.2%
Percent of To Date Portion of Current Annual Dth Target Acquired	78.0%
Ancillary Electric Savings Impacts this Year	
Net First-Year Annual MWh Acquired this Year	1,657
Net First-Year Annual MWh Committed at this Point in Time	-
<i>Total Net First-Year Annual MWh Acquired & Committed</i>	<i>1,657</i>
Ancillary Electric Peak Demand Savings Impacts this Year	
Net Peak MW Reductions Acquired this Year	-
Net Peak MW Reductions Committed at this Point in Time	-
<i>Total Net Peak MW Reductions Acquired & Committed</i>	<i>-</i>
Financial Expenditures this Month	
General Administration Expenditures this Month	\$ 206
Program Planning Expenditures this Month	\$ -
Program Marketing Expenditures this Month	\$ 3,952
Trade Ally Training Expenditures this Month	\$ -
Incentives and Services Expenditures this Month	\$ 141,042
Direct Program Implementation Expenditures this Month	\$ 9,156
Evaluation Expenditures this Month	\$ -
Cost Recovery Fee Expenditures this Month (NYSERDA, only)	\$ -
<i>Total Expenditures this Month</i>	<i>\$ 154,356</i>
Financial Encumbrances at this Point in Time	
General Administration Funds Currently Encumbered	\$ -
Program Planning Funds Currently Encumbered	\$ -
Program Marketing Funds Currently Encumbered	\$ -
Trade Ally Training Funds Currently Encumbered	\$ -
Incentives and Services Funds Currently Encumbered	\$ 31,000
Direct Program Implementation Funds Currently Encumbered	\$ -
Evaluation Funds Currently Encumbered	\$ -
Cost Recovery Fee Funds Currently Encumbered	\$ -
Financial Activity this Year	
Current Annual Budget:	\$ 2,101,685
To Date Portion of Current Annual Budget:	\$ 1,751,404
Total Expenditures this Year	\$ 1,660,219
Total Funds Encumbered at this Point in Time	\$ 31,000
<i>Total Expenditures this year and Encumbrances</i>	<i>\$ 1,691,219</i>
Percent of Current Annual Budget Spent	79.0%
Percent of Current Annual Budget Spent and Encumbered	80.5%
Percent of To Date Portion of Current Annual Budget Spent	94.8%
Financial Activity to Date	
Total 2012-2015 Budget:	\$ 8,406,740
Total Expenditures to Date	\$ 3,076,528
<i>Total Expenditures to Date and Encumbrances</i>	<i>\$ 3,107,528</i>
Percent of Total 2012-2015 Budget Spent to Date	36.6%
Percent of Total 2012-2015 Budget Spent and Encumbered	37.0%
Evaluation Factors	
Realization Rate	-
Free Ridership	-
Spill Over	-
Net-to-Gross Ratio	0.90
Participation	
Number of program applications received to date	6,047
Number of program applications approved to receive funds	5,782
Current Forecast (updated quarterly)	
Total Expected Net First-year Annual Dth Acquired in 2013	112,447
Expected Net First-year Annual Dth Committed at Year End 2013	1,423

PROGRAM NARRATIVE	
Exceptions	0
Achievements	0
Changes Anticipated in the Next 6 Months	0
Corrections to Previous Reports	0

Program Administrator (PA):	NYSEG
Program Name:	Energy Saver Program
Program Funding Fuel:	GAS
Date of Authorizing PSC Order:	3/20/2012, 7/24/2013
Date of Most Recent Operating/Implementation Plan:	
Date Applications Initially Accepted:	3/1/13
Reporting Period:	October 2013

First-Year Savings Acquired this Month	
Net First-year Annual Dth Acquired this Month	-
Ancillary Net First-year Annual MWh Acquired this Month	-
Ancillary Net Peak MW Reductions Acquired this Month	-
Gas Savings Impacts this Year	
Current Annual Dth Target:	4,203
To Date Portion of Current Annual Dth Target:	3,503
Net First-Year Annual Dth Acquired this Year	-
Net First-Year Annual Dth Committed at this Point in Time	-
Total Net First-Year Annual Dth Acquired & Committed	-
Percent of Current Annual Dth Target Acquired	0.0%
Percent of Current Annual Dth Target Acquired & Committed	0.0%
Percent of To Date Portion of Current Annual Dth Target Acquired	0.0%
Ancillary Electric Savings Impacts this Year	
Net First-Year Annual MWh Acquired this Year	-
Net First-Year Annual MWh Committed at this Point in Time	-
Total Net First-Year Annual MWh Acquired & Committed	-
Ancillary Electric Peak Demand Savings Impacts this Year	
Net Peak MW Reductions Acquired this Year	-
Net Peak MW Reductions Committed at this Point in Time	-
Total Net Peak MW Reductions Acquired & Committed	-
Financial Expenditures this Month	
General Administration Expenditures this Month	\$ 5
Program Planning Expenditures this Month	\$ -
Program Marketing Expenditures this Month	\$ 0
Trade Ally Training Expenditures this Month	\$ -
Incentives and Services Expenditures this Month	\$ -
Direct Program Implementation Expenditures this Month	\$ 91
Evaluation Expenditures this Month	\$ -
Cost Recovery Fee Expenditures this Month (NYSERDA, only)	\$ -
Total Expenditures this Month	\$ 96
Financial Encumbrances at this Point in Time	
General Administration Funds Currently Encumbered	\$ -
Program Planning Funds Currently Encumbered	\$ -
Program Marketing Funds Currently Encumbered	\$ -
Trade Ally Training Funds Currently Encumbered	\$ -
Incentives and Services Funds Currently Encumbered	\$ -
Direct Program Implementation Funds Currently Encumbered	\$ -
Evaluation Funds Currently Encumbered	\$ -
Cost Recovery Fee Funds Currently Encumbered	\$ -
Financial Activity this Year	
Current Annual Budget:	\$ 6,713
To Date Portion of Current Annual Budget:	\$ 5,594
Total Expenditures this Year	\$ 3,815
Total Funds Encumbered at this Point in Time	\$ -
Total Expenditures this year and Encumbrances	\$ 3,815
Percent of Current Annual Budget Spent	56.8%
Percent of Current Annual Budget Spent and Encumbered	56.8%
Percent of To Date Portion of Current Annual Budget Spent	68.2%
Financial Activity to Date	
Total 2012-2015 Budget:	\$ 22,298
Total Expenditures to Date	\$ 9,683
Total Expenditures to Date and Encumbrances	\$ 9,683
Percent of Total 2012-2015 Budget Spent to Date	43.4%
Percent of Total 2012-2015 Budget Spent and Encumbered	43.4%
Evaluation Factors	
Realization Rate	-
Free Ridership	-
Spill Over	-
Net-to-Gross Ratio	-
Participation	
Number of program applications received to date	-
Number of program applications approved to receive funds	-
Current Forecast (updated quarterly)	
Total Expected Net First-year Annual Dth Acquired in 2013	4,000
Expected Net First-year Annual Dth Committed at Year End 2013	-

PROGRAM NARRATIVE	
Exceptions	
0	
Achievements	
0	
Changes Anticipated in the Next 6 Months	
0	
Corrections to Previous Reports	
0	

Program Administrator (PA):	RG&E
Program Name:	Energy Saver Program
Program Funding Fuel:	GAS
Date of Authorizing PSC Order:	3/20/2012, 7/24/2013
Date of Most Recent Operating/Implementation Plan:	
Date Applications Initially Accepted:	3/1/13
Reporting Period:	October 2013

First-Year Savings Acquired this Month	
Net First-year Annual Dth Acquired this Month	-
Ancillary Net First-year Annual MWh Acquired this Month	-
Ancillary Net Peak MW Reductions Acquired this Month	-
Gas Savings Impacts this Year	
Current Annual Dth Target:	19,797
To Date Portion of Current Annual Dth Target:	16,498
Net First-Year Annual Dth Acquired this Year	-
Net First-Year Annual Dth Committed at this Point in Time	-
Total Net First-Year Annual Dth Acquired & Committed	-
Percent of Current Annual Dth Target Acquired	0.0%
Percent of Current Annual Dth Target Acquired & Committed	0.0%
Percent of To Date Portion of Current Annual Dth Target Acquired	0.0%
Ancillary Electric Savings Impacts this Year	
Net First-Year Annual MWh Acquired this Year	-
Net First-Year Annual MWh Committed at this Point in Time	-
Total Net First-Year Annual MWh Acquired & Committed	-
Ancillary Electric Peak Demand Savings Impacts this Year	
Net Peak MW Reductions Acquired this Year	-
Net Peak MW Reductions Committed at this Point in Time	-
Total Net Peak MW Reductions Acquired & Committed	-
Financial Expenditures this Month	
General Administration Expenditures this Month	\$ 23
Program Planning Expenditures this Month	\$ -
Program Marketing Expenditures this Month	\$ 1
Trade Ally Training Expenditures this Month	\$ -
Incentives and Services Expenditures this Month	\$ -
Direct Program Implementation Expenditures this Month	\$ 438
Evaluation Expenditures this Month	\$ -
Cost Recovery Fee Expenditures this Month (NYSERDA, only)	\$ -
Total Expenditures this Month	\$ 461
Financial Encumbrances at this Point in Time	
General Administration Funds Currently Encumbered	\$ -
Program Planning Funds Currently Encumbered	\$ -
Program Marketing Funds Currently Encumbered	\$ -
Trade Ally Training Funds Currently Encumbered	\$ -
Incentives and Services Funds Currently Encumbered	\$ -
Direct Program Implementation Funds Currently Encumbered	\$ -
Evaluation Funds Currently Encumbered	\$ -
Cost Recovery Fee Funds Currently Encumbered	\$ -
Financial Activity this Year	
Current Annual Budget:	\$ 33,908
To Date Portion of Current Annual Budget:	\$ 28,257
Total Expenditures this Year	\$ 17,773
Total Funds Encumbered at this Point in Time	\$ -
Total Expenditures this year and Encumbrances	\$ 17,773
Percent of Current Annual Budget Spent	52.4%
Percent of Current Annual Budget Spent and Encumbered	52.4%
Percent of To Date Portion of Current Annual Budget Spent	62.9%
Financial Activity to Date	
Total 2012-2015 Budget:	\$ 112,631
Total Expenditures to Date	\$ 45,008
Total Expenditures to Date and Encumbrances	\$ 45,008
Percent of Total 2012-2015 Budget Spent to Date	40.0%
Percent of Total 2012-2015 Budget Spent and Encumbered	40.0%
Evaluation Factors	
Realization Rate	-
Free Ridership	-
Spill Over	-
Net-to-Gross Ratio	-
Participation	
Number of program applications received to date	-
Number of program applications approved to receive funds	-
Current Forecast (updated quarterly)	
Total Expected Net First-year Annual Dth Acquired in 2013	15,000
Expected Net First-year Annual Dth Committed at Year End 2013	-

PROGRAM NARRATIVE	
Exceptions	
0	
Achievements	
0	
Changes Anticipated in the Next 6 Months	
0	
Corrections to Previous Reports	
0	

Program Administrator (PA):	NYSEG
Program Name:	Commercial & Industrial Rebate Program
Program Funding Fuel:	GAS
Date of Authorizing PSC Order:	11/13/2009, 10/25/2011, 2/19/2013
Date of Most Recent Operating/Implementation Plan:	3/15/2013
Date Applications Initially Accepted:	1/1/2012
Reporting Period:	October 2013

First-Year Savings Acquired this Month	
Net First-year Annual Dth Acquired this Month	1,950
Ancillary Net First-year Annual MWh Acquired this Month	-
Ancillary Net Peak MW Reductions Acquired this Month	-
Gas Savings Impacts this Year	
Current Annual Dth Target:	13,576
To Date Portion of Current Annual Dth Target:	11,313
Net First-Year Annual Dth Acquired this Year	8,443
Net First-Year Annual Dth Committed at this Point in Time	5,065
<i>Total Net First-Year Annual Dth Acquired & Committed</i>	<i>13,508</i>
Percent of Current Annual Dth Target Acquired	62.2%
Percent of Current Annual Dth Target Acquired & Committed	99.5%
Percent of To Date Portion of Current Annual Dth Target Acquired	74.6%
Ancillary Electric Savings Impacts this Year	
Net First-Year Annual MWh Acquired this Year	-
Net First-Year Annual MWh Committed at this Point in Time	-
<i>Total Net First-Year Annual MWh Acquired & Committed</i>	<i>-</i>
Ancillary Electric Peak Demand Savings Impacts this Year	
Net Peak MW Reductions Acquired this Year	-
Net Peak MW Reductions Committed at this Point in Time	-
<i>Total Net Peak MW Reductions Acquired & Committed</i>	<i>-</i>
Financial Expenditures this Month	
General Administration Expenditures this Month	\$ 260
Program Planning Expenditures this Month	\$ -
Program Marketing Expenditures this Month	\$ 8
Trade Ally Training Expenditures this Month	\$ -
Incentives and Services Expenditures this Month	\$ 57,000
Direct Program Implementation Expenditures this Month	\$ 14,067
Evaluation Expenditures this Month	\$ -
Cost Recovery Fee Expenditures this Month (NYSERDA, only)	\$ -
<i>Total Expenditures this Month</i>	<i>\$ 71,335</i>
Financial Encumbrances at this Point in Time	
General Administration Funds Currently Encumbered	\$ -
Program Planning Funds Currently Encumbered	\$ -
Program Marketing Funds Currently Encumbered	\$ -
Trade Ally Training Funds Currently Encumbered	\$ -
Incentives and Services Funds Currently Encumbered	\$ 121,406
Direct Program Implementation Funds Currently Encumbered	\$ -
Evaluation Funds Currently Encumbered	\$ -
Cost Recovery Fee Funds Currently Encumbered	\$ -
Financial Activity this Year	
Current Annual Budget:	\$ 622,573
To Date Portion of Current Annual Budget:	\$ 518,811
Total Expenditures this Year	\$ 285,910
Total Funds Encumbered at this Point in Time	\$ 121,406
<i>Total Expenditures this year and Encumbrances</i>	<i>\$ 407,316</i>
Percent of Current Annual Budget Spent	45.9%
Percent of Current Annual Budget Spent and Encumbered	65.4%
Percent of To Date Portion of Current Annual Budget Spent	55.1%
Financial Activity to Date	
Total 2012-2015 Budget:	\$ 2,490,292
Total Expenditures to Date	\$ 643,794
<i>Total Expenditures to Date and Encumbrances</i>	<i>\$ 765,200</i>
Percent of Total 2012-2015 Budget Spent to Date	25.9%
Percent of Total 2012-2015 Budget Spent and Encumbered	30.7%
Evaluation Factors	
Realization Rate	-
Free Ridership	-
Spill Over	-
Net-to-Gross Ratio	0.90
Participation	
Number of program applications received to date	135
Number of program applications approved to receive funds	90
Current Forecast (updated quarterly)	
Total Expected Net First-year Annual Dth Acquired in 2013	13,576
Expected Net First-year Annual Dth Committed at Year End 2013	500

PROGRAM NARRATIVE	
Exceptions	
0	
Achievements	
0	
Changes Anticipated in the Next 6 Months	
0	
Corrections to Previous Reports	
0	

Program Administrator (PA):	RG&E
Program Name:	Commercial & Industrial Rebate Program
Program Funding Fuel:	GAS
Date of Authorizing PSC Order:	11/13/2009, 10/25/2011, 2/19/2013
Date of Most Recent Operating/Implementation Plan:	3/15/2013
Date Applications Initially Accepted:	1/1/2012
Reporting Period:	October 2013

First-Year Savings Acquired this Month	
Net First-year Annual Dth Acquired this Month	178
Ancillary Net First-year Annual MWh Acquired this Month	-
Ancillary Net Peak MW Reductions Acquired this Month	-
Gas Savings Impacts this Year	
Current Annual Dth Target:	13,621
To Date Portion of Current Annual Dth Target:	11,351
Net First-Year Annual Dth Acquired this Year	9,023
Net First-Year Annual Dth Committed at this Point in Time	16,793
<i>Total Net First-Year Annual Dth Acquired & Committed</i>	<i>25,816</i>
Percent of Current Annual Dth Target Acquired	66.2%
Percent of Current Annual Dth Target Acquired & Committed	189.5%
Percent of To Date Portion of Current Annual Dth Target Acquired	79.5%
Ancillary Electric Savings Impacts this Year	
Net First-Year Annual MWh Acquired this Year	-
Net First-Year Annual MWh Committed at this Point in Time	-
<i>Total Net First-Year Annual MWh Acquired & Committed</i>	<i>-</i>
Ancillary Electric Peak Demand Savings Impacts this Year	
Net Peak MW Reductions Acquired this Year	-
Net Peak MW Reductions Committed at this Point in Time	-
<i>Total Net Peak MW Reductions Acquired & Committed</i>	<i>-</i>
Financial Expenditures this Month	
General Administration Expenditures this Month	\$ 172
Program Planning Expenditures this Month	\$ -
Program Marketing Expenditures this Month	\$ 8
Trade Ally Training Expenditures this Month	\$ -
Incentives and Services Expenditures this Month	\$ 8,120
Direct Program Implementation Expenditures this Month	\$ 4,639
Evaluation Expenditures this Month	\$ -
Cost Recovery Fee Expenditures this Month (NYSERDA, only)	\$ -
<i>Total Expenditures this Month</i>	<i>\$ 12,939</i>
Financial Encumbrances at this Point in Time	
General Administration Funds Currently Encumbered	\$ -
Program Planning Funds Currently Encumbered	\$ -
Program Marketing Funds Currently Encumbered	\$ -
Trade Ally Training Funds Currently Encumbered	\$ -
Incentives and Services Funds Currently Encumbered	\$ 282,160
Direct Program Implementation Funds Currently Encumbered	\$ -
Evaluation Funds Currently Encumbered	\$ -
Cost Recovery Fee Funds Currently Encumbered	\$ -
Financial Activity this Year	
Current Annual Budget:	\$ 619,064
To Date Portion of Current Annual Budget:	\$ 515,887
Total Expenditures this Year	\$ 252,206
Total Funds Encumbered at this Point in Time	\$ 282,160
<i>Total Expenditures this year and Encumbrances</i>	<i>\$ 534,366</i>
Percent of Current Annual Budget Spent	40.7%
Percent of Current Annual Budget Spent and Encumbered	86.3%
Percent of To Date Portion of Current Annual Budget Spent	48.9%
Financial Activity to Date	
Total 2012-2015 Budget:	\$ 2,476,256
Total Expenditures to Date	\$ 809,307
<i>Total Expenditures to Date and Encumbrances</i>	<i>\$ 1,091,467</i>
Percent of Total 2012-2015 Budget Spent to Date	32.7%
Percent of Total 2012-2015 Budget Spent and Encumbered	44.1%
Evaluation Factors	
Realization Rate	-
Free Ridership	-
Spill Over	-
Net-to-Gross Ratio	0.90
Participation	
Number of program applications received to date	158
Number of program applications approved to receive funds	132
Current Forecast (updated quarterly)	
Total Expected Net First-year Annual Dth Acquired in 2013	13,621
Expected Net First-year Annual Dth Committed at Year End 2013	1,050

PROGRAM NARRATIVE	
Exceptions	
0	
Achievements	
0	
Changes Anticipated in the Next 6 Months	
0	
Corrections to Previous Reports	
0	

Program Administrator (PA):	NYSEG
Program Name:	Multi-Family
Program Funding Fuel:	ELECTRIC
Date of Authorizing PSC Order:	7/27/2009, 10/25/2011
Date of Most Recent Operating/Implementation Plan:	12/22/2011
Date Applications Initially Accepted:	1/1/2012
Reporting Period:	October 2013

First-Year Savings Acquired this Month	
Net First-year Annual MWh Acquired this Month	5
Net Peak MW Reductions Acquired this Month	0.000
Ancillary Net First-year Annual Dth Acquired this Month	-
Electric Savings Impacts this Year	
Current Annual MWh Target:	872
To Date Portion of Current Annual MWh Target:	727
Net First-Year Annual MWh Acquired this Year	1,306
Net First-Year Annual MWh Committed at this Point in Time	1,230
Total Net First-Year Annual MWh Acquired & Committed	2,537
Percent of Current Annual MWh Target Acquired	149.8%
Percent of Current Annual MWh Target Acquired & Committed	290.9%
Percent of To Date Portion of Current Annual MWh Target Acquired	179.7%
Electric Peak Demand Savings Impacts this Year	
Net Peak MW Reductions Acquired this Year	0.101
Net Peak MW Reductions Committed at this Point in Time	0.123
Total Net Peak MW Reductions Acquired & Committed	0.224
Ancillary Gas Savings Impacts this Year	
Net First-Year Annual Dth Acquired this Year	-
Net First-Year Annual Dth Committed at this Point in Time	-
Total Net First-Year Annual Dth Acquired & Committed	-
Financial Expenditures this Month	
General Administration Expenditures this Month	\$ 202
Program Planning Expenditures this Month	\$ -
Program Marketing Expenditures this Month	\$ 2,334
Trade Ally Training Expenditures this Month	\$ -
Incentives and Services Expenditures this Month	\$ 1,899
Direct Program Implementation Expenditures this Month	\$ 8,839
Evaluation Expenditures this Month	\$ -
Cost Recovery Fee Expenditures this Month (NYSERDA, only)	\$ -
Total Expenditures this Month	\$ 13,274
Financial Encumbrances at this Point in Time	
General Administration Funds Currently Encumbered	\$ -
Program Planning Funds Currently Encumbered	\$ -
Program Marketing Funds Currently Encumbered	\$ -
Trade Ally Training Funds Currently Encumbered	\$ -
Incentives and Services Funds Currently Encumbered	\$ 158,365
Direct Program Implementation Funds Currently Encumbered	\$ -
Evaluation Funds Currently Encumbered	\$ -
Cost Recovery Fee Funds Currently Encumbered	\$ -
Financial Activity This Year	
Current Annual Budget:	\$ 732,424
To Date Portion of Current Annual Budget:	\$ 610,353
Total Expenditures this Year	\$ 281,079
Funds Encumbered at this Point in Time	\$ 158,365
Total Expenditures this year and Encumbrances	\$ 439,444
Percent of Current Annual Budget Spent	38.4%
Percent of Current Annual Budget Spent and Encumbered	60.0%
Percent of To Date Portion of Current Annual Budget Spent	46.1%
Financial Activity To Date	
Total 2012-2015 Budget:	\$ 2,929,696
Total Expenditures to Date	\$ 684,041
Total Expenditures to Date and Encumbrances	\$ 842,406
Percent of Total 2012-2015 Budget Spent to Date	23.3%
Percent of Total 2012-2015 Budget Spent and Encumbered	28.8%
Evaluation Factors	
Realization Rate	-
Free Ridership	-
Spill Over	-
Net-to-Gross Ratio	0.90
Participation	
Number of program applications received to date	229
Number of program applications approved to receive funds	104
Current Forecast (updated quarterly)	
Total Expected Net First-year Annual MWh Acquired in 2013	872
Expected Net First-year Annual MWh Committed at year end 2013	50

PROGRAM NARRATIVE	
Exceptions	
0	
Achievements	
0	
Changes Anticipated in the Next 6 Months	
0	
Corrections to Previous Reports	
0	

Program Administrator (PA):	RG&E
Program Name:	Multi-Family
Program Funding Fuel:	ELECTRIC
Date of Authorizing PSC Order:	7/27/2009, 10/25/2011
Date of Most Recent Operating/Implementation Plan:	12/22/2011
Date Applications Initially Accepted:	1/1/2012
Reporting Period:	October 2013

First-Year Savings Acquired this Month	
Net First-year Annual MWh Acquired this Month	173
Net Peak MW Reductions Acquired this Month	0.013
Ancillary Net First-year Annual Dth Acquired this Month	-
Electric Savings Impacts this Year	
Current Annual MWh Target:	805
To Date Portion of Current Annual MWh Target:	671
Net First-Year Annual MWh Acquired this Year	1,682
Net First-Year Annual MWh Committed at this Point in Time	922
<i>Total Net First-Year Annual MWh Acquired & Committed</i>	<i>2,604</i>
Percent of Current Annual MWh Target Acquired	209.0%
Percent of Current Annual MWh Target Acquired & Committed	323.5%
Percent of To Date Portion of Current Annual MWh Target Acquired	250.8%
Electric Peak Demand Savings Impacts this Year	
Net Peak MW Reductions Acquired this Year	0.110
Net Peak MW Reductions Committed at this Point in Time	0.048
<i>Total Net Peak MW Reductions Acquired & Committed</i>	<i>0.158</i>
Ancillary Gas Savings Impacts this Year	
Net First-Year Annual Dth Acquired this Year	-
Net First-Year Annual Dth Committed at this Point in Time	-
<i>Total Net First-Year Annual Dth Acquired & Committed</i>	<i>-</i>
Financial Expenditures this Month	
General Administration Expenditures this Month	\$ 177
Program Planning Expenditures this Month	\$ -
Program Marketing Expenditures this Month	\$ 2,304
Trade Ally Training Expenditures this Month	\$ -
Incentives and Services Expenditures this Month	\$ 41,142
Direct Program Implementation Expenditures this Month	\$ 10,921
Evaluation Expenditures this Month	\$ -
Cost Recovery Fee Expenditures this Month (NYSERDA, only)	\$ -
<i>Total Expenditures this Month</i>	<i>\$ 54,544</i>
Financial Encumbrances at this Point in Time	
General Administration Funds Currently Encumbered	\$ -
Program Planning Funds Currently Encumbered	\$ -
Program Marketing Funds Currently Encumbered	\$ -
Trade Ally Training Funds Currently Encumbered	\$ -
Incentives and Services Funds Currently Encumbered	\$ 170,257
Direct Program Implementation Funds Currently Encumbered	\$ -
Evaluation Funds Currently Encumbered	\$ -
Cost Recovery Fee Funds Currently Encumbered	\$ -
Financial Activity This Year	
Current Annual Budget:	\$ 648,370
To Date Portion of Current Annual Budget:	\$ 540,308
Total Expenditures this Year	\$ 357,226
Funds Encumbered at this Point in Time	\$ 170,257
<i>Total Expenditures this year and Encumbrances</i>	<i>\$ 527,482</i>
Percent of Current Annual Budget Spent	55.1%
Percent of Current Annual Budget Spent and Encumbered	81.4%
Percent of To Date Portion of Current Annual Budget Spent	66.1%
Financial Activity To Date	
Total 2012-2015 Budget:	\$ 2,593,480
Total Expenditures to Date	\$ 627,981
<i>Total Expenditures to Date and Encumbrances</i>	<i>\$ 798,238</i>
Percent of Total 2012-2015 Budget Spent to Date	24.2%
Percent of Total 2012-2015 Budget Spent and Encumbered	30.8%
Evaluation Factors	
Realization Rate	-
Free Ridership	-
Spill Over	-
Net-to-Gross Ratio	0.90
Participation	
Number of program applications received to date	208
Number of program applications approved to receive funds	92
Current Forecast (updated quarterly)	
Total Expected Net First-year Annual MWh Acquired in 2013	805
Expected Net First-year Annual MWh Committed at year end 2013	50

PROGRAM NARRATIVE	
Exceptions	0
Achievements	0
Changes Anticipated in the Next 6 Months	0
Corrections to Previous Reports	0

Program Administrator (PA):	NYSEG
Program Name:	Refrigerator and Freezer Recycling
Program Funding Fuel:	ELECTRIC
Date of Authorizing PSC Order:	1/25/2011, 10/25/2011
Date of Most Recent Operating/Implementation Plan:	3/25/2011
Date Applications Initially Accepted:	1/1/2012
Reporting Period:	October 2013

First-Year Savings Acquired this Month	
Net First-year Annual MWh Acquired this Month	1,081
Net Peak MW Reductions Acquired this Month	0.085
Ancillary Net First-year Annual Dth Acquired this Month	-
Electric Savings Impacts this Year	
Current Annual MWh Target:	4,361
To Date Portion of Current Annual MWh Target:	3,634
Net First-Year Annual MWh Acquired this Year	5,971
Net First-Year Annual MWh Committed at this Point in Time	750
Total Net First-Year Annual MWh Acquired & Committed	6,720
Percent of Current Annual MWh Target Acquired	136.9%
Percent of Current Annual MWh Target Acquired & Committed	154.1%
Percent of To Date Portion of Current Annual MWh Target Acquired	164.3%
Electric Peak Demand Savings Impacts this Year	
Net Peak MW Reductions Acquired this Year	0.385
Net Peak MW Reductions Committed at this Point in Time	0.059
Total Net Peak MW Reductions Acquired & Committed	0.444
Ancillary Gas Savings Impacts this Year	
Net First-Year Annual Dth Acquired this Year	-
Net First-Year Annual Dth Committed at this Point in Time	-
Total Net First-Year Annual Dth Acquired & Committed	-
Financial Expenditures this Month	
General Administration Expenditures this Month	\$ 409
Program Planning Expenditures this Month	\$ -
Program Marketing Expenditures this Month	\$ 23,678
Trade Ally Training Expenditures this Month	\$ -
Incentives and Services Expenditures this Month	\$ 90,977
Direct Program Implementation Expenditures this Month	\$ 2,264
Evaluation Expenditures this Month	\$ -
Cost Recovery Fee Expenditures this Month (NYSERDA, only)	\$ -
Total Expenditures this Month	\$ 117,327
Financial Encumbrances at this Point in Time	
General Administration Funds Currently Encumbered	\$ -
Program Planning Funds Currently Encumbered	\$ -
Program Marketing Funds Currently Encumbered	\$ -
Trade Ally Training Funds Currently Encumbered	\$ -
Incentives and Services Funds Currently Encumbered	\$ 64,938
Direct Program Implementation Funds Currently Encumbered	\$ -
Evaluation Funds Currently Encumbered	\$ -
Cost Recovery Fee Funds Currently Encumbered	\$ -
Financial Activity This Year	
Current Annual Budget:	\$ 1,348,421
To Date Portion of Current Annual Budget:	\$ 1,123,684
Total Expenditures this Year	\$ 622,909
Funds Encumbered at this Point in Time	\$ 64,938
Total Expenditures this year and Encumbrances	\$ 687,847
Percent of Current Annual Budget Spent	46.2%
Percent of Current Annual Budget Spent and Encumbered	51.0%
Percent of To Date Portion of Current Annual Budget Spent	55.4%
Financial Activity To Date	
Total 2012-2015 Budget:	\$ 5,393,684
Total Expenditures to Date	\$ 1,413,413
Total Expenditures to Date and Encumbrances	\$ 1,478,351
Percent of Total 2012-2015 Budget Spent to Date	26.2%
Percent of Total 2012-2015 Budget Spent and Encumbered	27.4%
Evaluation Factors	
Realization Rate	-
Free Ridership	-
Spill Over	-
Net-to-Gross Ratio	0.90
Participation	
Number of program applications received to date	9,131
Number of program applications approved to receive funds	8,375
Current Forecast (updated quarterly)	
Total Expected Net First-year Annual MWh Acquired in 2013	4,361
Expected Net First-year Annual MWh Committed at year end 2013	-

PROGRAM NARRATIVE	
Exceptions	
0	
Achievements	
0	
Changes Anticipated in the Next 6 Months	
0	
Corrections to Previous Reports	
0	

Program Administrator (PA):	RG&E
Program Name:	Refrigerator and Freezer Recycling
Program Funding Fuel:	ELECTRIC
Date of Authorizing PSC Order:	1/25/2011, 10/25/2011
Date of Most Recent Operating/Implementation Plan:	3/25/2011
Date Applications Initially Accepted:	1/1/2012
Reporting Period:	October 2013

First-Year Savings Acquired this Month	
Net First-year Annual MWh Acquired this Month	653
Net Peak MW Reductions Acquired this Month	0.051
Ancillary Net First-year Annual Dth Acquired this Month	-
Electric Savings Impacts this Year	
Current Annual MWh Target:	4,361
To Date Portion of Current Annual MWh Target:	3,634
Net First-Year Annual MWh Acquired this Year	3,693
Net First-Year Annual MWh Committed at this Point in Time	554
<i>Total Net First-Year Annual MWh Acquired & Committed</i>	<i>4,247</i>
Percent of Current Annual MWh Target Acquired	84.7%
Percent of Current Annual MWh Target Acquired & Committed	97.4%
Percent of To Date Portion of Current Annual MWh Target Acquired	101.6%
Electric Peak Demand Savings Impacts this Year	
Net Peak MW Reductions Acquired this Year	0.231
Net Peak MW Reductions Committed at this Point in Time	0.043
<i>Total Net Peak MW Reductions Acquired & Committed</i>	<i>0.275</i>
Ancillary Gas Savings Impacts this Year	
Net First-Year Annual Dth Acquired this Year	-
Net First-Year Annual Dth Committed at this Point in Time	-
<i>Total Net First-Year Annual Dth Acquired & Committed</i>	<i>-</i>
Financial Expenditures this Month	
General Administration Expenditures this Month	\$ 757
Program Planning Expenditures this Month	\$ -
Program Marketing Expenditures this Month	\$ 12,384
Trade Ally Training Expenditures this Month	\$ -
Incentives and Services Expenditures this Month	\$ 54,567
Direct Program Implementation Expenditures this Month	\$ 2,264
Evaluation Expenditures this Month	\$ -
Cost Recovery Fee Expenditures this Month (NYSERDA, only)	\$ -
<i>Total Expenditures this Month</i>	<i>\$ 69,971</i>
Financial Encumbrances at this Point in Time	
General Administration Funds Currently Encumbered	\$ -
Program Planning Funds Currently Encumbered	\$ -
Program Marketing Funds Currently Encumbered	\$ -
Trade Ally Training Funds Currently Encumbered	\$ -
Incentives and Services Funds Currently Encumbered	\$ 47,611
Direct Program Implementation Funds Currently Encumbered	\$ -
Evaluation Funds Currently Encumbered	\$ -
Cost Recovery Fee Funds Currently Encumbered	\$ -
Financial Activity This Year	
Current Annual Budget:	\$ 1,348,421
To Date Portion of Current Annual Budget:	\$ 1,123,684
Total Expenditures this Year	\$ 377,876
Funds Encumbered at this Point in Time	\$ 47,611
<i>Total Expenditures this year and Encumbrances</i>	<i>\$ 425,487</i>
Percent of Current Annual Budget Spent	28.0%
Percent of Current Annual Budget Spent and Encumbered	31.6%
Percent of To Date Portion of Current Annual Budget Spent	33.6%
Financial Activity To Date	
Total 2012-2015 Budget:	\$ 5,393,684
Total Expenditures to Date	\$ 833,924
<i>Total Expenditures to Date and Encumbrances</i>	<i>\$ 881,535</i>
Percent of Total 2012-2015 Budget Spent to Date	15.5%
Percent of Total 2012-2015 Budget Spent and Encumbered	16.3%
Evaluation Factors	
Realization Rate	-
Free Ridership	-
Spill Over	-
Net-to-Gross Ratio	0.90
Participation	
Number of program applications received to date	5,398
Number of program applications approved to receive funds	4,888
Current Forecast (updated quarterly)	
Total Expected Net First-year Annual MWh Acquired in 2013	4,361
Expected Net First-year Annual MWh Committed at year end 2013	-

PROGRAM NARRATIVE	
Exceptions	
0	
Achievements	
0	
Changes Anticipated in the Next 6 Months	
0	
Corrections to Previous Reports	
0	

Program Administrator (PA):	NYSEG
Program Name:	Energy Saver Program
Program Funding Fuel:	ELECTRIC
Date of Authorizing PSC Order:	3/20/2012, 7/24/2013
Date of Most Recent Operating/Implementation Plan:	
Date Applications Initially Accepted:	3/1/13
Reporting Period:	October 2013

First-Year Savings Acquired this Month	
Net First-year Annual MWh Acquired this Month	-
Net Peak MW Reductions Acquired this Month	-
Ancillary Net First-year Annual Dth Acquired this Month	-
Electric Savings Impacts this Year	
Current Annual MWh Target:	9,631
To Date Portion of Current Annual MWh Target:	8,026
Net First-Year Annual MWh Acquired this Year	732
Net First-Year Annual MWh Committed at this Point in Time	-
Total Net First-Year Annual MWh Acquired & Committed	732
Percent of Current Annual MWh Target Acquired	7.6%
Percent of Current Annual MWh Target Acquired & Committed	7.6%
Percent of To Date Portion of Current Annual MWh Target Acquired	9.1%
Electric Peak Demand Savings Impacts this Year	
Net Peak MW Reductions Acquired this Year	-
Net Peak MW Reductions Committed at this Point in Time	-
Total Net Peak MW Reductions Acquired & Committed	-
Ancillary Gas Savings Impacts this Year	
Net First-Year Annual Dth Acquired this Year	-
Net First-Year Annual Dth Committed at this Point in Time	-
Total Net First-Year Annual Dth Acquired & Committed	-
Financial Expenditures this Month	
General Administration Expenditures this Month	\$ 151
Program Planning Expenditures this Month	\$ -
Program Marketing Expenditures this Month	\$ 7
Trade Ally Training Expenditures this Month	\$ -
Incentives and Services Expenditures this Month	\$ -
Direct Program Implementation Expenditures this Month	\$ 3,084
Evaluation Expenditures this Month	\$ -
Cost Recovery Fee Expenditures this Month (NYSERDA, only)	\$ -
Total Expenditures this Month	\$ 3,241
Financial Encumbrances at this Point in Time	
General Administration Funds Currently Encumbered	\$ -
Program Planning Funds Currently Encumbered	\$ -
Program Marketing Funds Currently Encumbered	\$ -
Trade Ally Training Funds Currently Encumbered	\$ -
Incentives and Services Funds Currently Encumbered	\$ -
Direct Program Implementation Funds Currently Encumbered	\$ -
Evaluation Funds Currently Encumbered	\$ -
Cost Recovery Fee Funds Currently Encumbered	\$ -
Financial Activity This Year	
Current Annual Budget:	\$ 237,619
To Date Portion of Current Annual Budget:	\$ 198,016
Total Expenditures this Year	\$ 123,916
Funds Encumbered at this Point in Time	\$ -
Total Expenditures this year and Encumbrances	\$ 123,916
Percent of Current Annual Budget Spent	52.1%
Percent of Current Annual Budget Spent and Encumbered	52.1%
Percent of To Date Portion of Current Annual Budget Spent	62.6%
Financial Activity To Date	
Total 2012-2015 Budget:	\$ 789,280
Total Expenditures to Date	\$ 315,415
Total Expenditures to Date and Encumbrances	\$ 315,415
Percent of Total 2012-2015 Budget Spent to Date	40.0%
Percent of Total 2012-2015 Budget Spent and Encumbered	40.0%
Evaluation Factors	
Realization Rate	-
Free Ridership	-
Spill Over	-
Net-to-Gross Ratio	-
Participation	
Number of program applications received to date	-
Number of program applications approved to receive funds	-
Current Forecast (updated quarterly)	
Total Expected Net First-year Annual MWh Acquired in 2013	5,000
Expected Net First-year Annual MWh Committed at year end 2013	-

PROGRAM NARRATIVE	
Exceptions	
0	
Achievements	
0	
Changes Anticipated in the Next 6 Months	
0	
Corrections to Previous Reports	
0	

Program Administrator (PA):	RG&E
Program Name:	Energy Saver Program
Program Funding Fuel:	ELECTRIC
Date of Authorizing PSC Order:	3/20/2012, 7/24/2013
Date of Most Recent Operating/Implementation Plan:	
Date Applications Initially Accepted:	3/1/13
Reporting Period:	October 2013

First-Year Savings Acquired this Month	
Net First-year Annual MWh Acquired this Month	-
Net Peak MW Reductions Acquired this Month	-
Ancillary Net First-year Annual Dth Acquired this Month	-
Electric Savings Impacts this Year	
Current Annual MWh Target:	8,369
To Date Portion of Current Annual MWh Target:	6,974
Net First-Year Annual MWh Acquired this Year	527
Net First-Year Annual MWh Committed at this Point in Time	-
Total Net First-Year Annual MWh Acquired & Committed	527
Percent of Current Annual MWh Target Acquired	6.3%
Percent of Current Annual MWh Target Acquired & Committed	6.3%
Percent of To Date Portion of Current Annual MWh Target Acquired	7.6%
Electric Peak Demand Savings Impacts this Year	
Net Peak MW Reductions Acquired this Year	-
Net Peak MW Reductions Committed at this Point in Time	-
Total Net Peak MW Reductions Acquired & Committed	-
Ancillary Gas Savings Impacts this Year	
Net First-Year Annual Dth Acquired this Year	-
Net First-Year Annual Dth Committed at this Point in Time	-
Total Net First-Year Annual Dth Acquired & Committed	-
Financial Expenditures this Month	
General Administration Expenditures this Month	\$ 135
Program Planning Expenditures this Month	\$ -
Program Marketing Expenditures this Month	\$ 6
Trade Ally Training Expenditures this Month	\$ -
Incentives and Services Expenditures this Month	\$ -
Direct Program Implementation Expenditures this Month	\$ 2,735
Evaluation Expenditures this Month	\$ -
Cost Recovery Fee Expenditures this Month (NYSERDA, only)	\$ -
Total Expenditures this Month	\$ 2,877
Financial Encumbrances at this Point in Time	
General Administration Funds Currently Encumbered	\$ -
Program Planning Funds Currently Encumbered	\$ -
Program Marketing Funds Currently Encumbered	\$ -
Trade Ally Training Funds Currently Encumbered	\$ -
Incentives and Services Funds Currently Encumbered	\$ -
Direct Program Implementation Funds Currently Encumbered	\$ -
Evaluation Funds Currently Encumbered	\$ -
Cost Recovery Fee Funds Currently Encumbered	\$ -
Financial Activity This Year	
Current Annual Budget:	\$ 210,424
To Date Portion of Current Annual Budget:	\$ 175,353
Total Expenditures this Year	\$ 109,603
Funds Encumbered at this Point in Time	\$ -
Total Expenditures this year and Encumbrances	\$ 109,603
Percent of Current Annual Budget Spent	52.1%
Percent of Current Annual Budget Spent and Encumbered	52.1%
Percent of To Date Portion of Current Annual Budget Spent	62.5%
Financial Activity To Date	
Total 2012-2015 Budget:	\$ 698,948
Total Expenditures to Date	\$ 279,726
Total Expenditures to Date and Encumbrances	\$ 279,726
Percent of Total 2012-2015 Budget Spent to Date	40.0%
Percent of Total 2012-2015 Budget Spent and Encumbered	40.0%
Evaluation Factors	
Realization Rate	-
Free Ridership	-
Spill Over	-
Net-to-Gross Ratio	-
Participation	
Number of program applications received to date	-
Number of program applications approved to receive funds	-
Current Forecast (updated quarterly)	
Total Expected Net First-year Annual MWh Acquired in 2013	5,000
Expected Net First-year Annual MWh Committed at year end 2013	-

PROGRAM NARRATIVE	
Exceptions	
0	
Achievements	
0	
Changes Anticipated in the Next 6 Months	
0	
Corrections to Previous Reports	
0	

Program Administrator (PA):	NYSEG
Program Name:	Small Business Direct Install
Program Funding Fuel:	ELECTRIC
Date of Authorizing PSC Order:	11/12/2009, 10/25/2011
Date of Most Recent Operating/Implementation Plan:	12/22/2011
Date Applications Initially Accepted:	1/1/2012
Reporting Period:	October 2013

First-Year Savings Acquired this Month	
Net First-year Annual MWh Acquired this Month	2,371
Net Peak MW Reductions Acquired this Month	0.559
Ancillary Net First-year Annual Dth Acquired this Month	-
Electric Savings Impacts this Year	
Current Annual MWh Target:	32,326
To Date Portion of Current Annual MWh Target:	26,938
Net First-Year Annual MWh Acquired this Year	23,162
Net First-Year Annual MWh Committed at this Point in Time	5,112
Total Net First-Year Annual MWh Acquired & Committed	28,274
Percent of Current Annual MWh Target Acquired	71.7%
Percent of Current Annual MWh Target Acquired & Committed	87.5%
Percent of To Date Portion of Current Annual MWh Target Acquired	86.0%
Electric Peak Demand Savings Impacts this Year	
Net Peak MW Reductions Acquired this Year	6.688
Net Peak MW Reductions Committed at this Point in Time	1.375
Total Net Peak MW Reductions Acquired & Committed	8.063
Ancillary Gas Savings Impacts this Year	
Net First-Year Annual Dth Acquired this Year	-
Net First-Year Annual Dth Committed at this Point in Time	-
Total Net First-Year Annual Dth Acquired & Committed	-
Financial Expenditures this Month	
General Administration Expenditures this Month	\$ 2,418
Program Planning Expenditures this Month	\$ -
Program Marketing Expenditures this Month	\$ 7,070
Trade Ally Training Expenditures this Month	\$ -
Incentives and Services Expenditures this Month	\$ 724,847
Direct Program Implementation Expenditures this Month	\$ 21,303
Evaluation Expenditures this Month	\$ -
Cost Recovery Fee Expenditures this Month (NYSEDA, only)	\$ -
Total Expenditures this Month	\$ 755,638
Financial Encumbrances at this Point in Time	
General Administration Funds Currently Encumbered	\$ -
Program Planning Funds Currently Encumbered	\$ -
Program Marketing Funds Currently Encumbered	\$ -
Trade Ally Training Funds Currently Encumbered	\$ -
Incentives and Services Funds Currently Encumbered	\$ 1,416,006
Direct Program Implementation Funds Currently Encumbered	\$ -
Evaluation Funds Currently Encumbered	\$ -
Cost Recovery Fee Funds Currently Encumbered	\$ -
Financial Activity This Year	
Current Annual Budget:	\$ 8,702,429
To Date Portion of Current Annual Budget:	\$ 7,252,024
Total Expenditures this Year	\$ 6,983,376
Funds Encumbered at this Point in Time	\$ 1,416,006
Total Expenditures this year and Encumbrances	\$ 8,399,382
Percent of Current Annual Budget Spent	80.2%
Percent of Current Annual Budget Spent and Encumbered	96.5%
Percent of To Date Portion of Current Annual Budget Spent	96.3%
Financial Activity To Date	
Total 2012-2015 Budget:	\$ 34,809,716
Total Expenditures to Date	\$ 11,963,298
Total Expenditures to Date and Encumbrances	\$ 13,379,305
Percent of Total 2012-2015 Budget Spent to Date	34.4%
Percent of Total 2012-2015 Budget Spent and Encumbered	38.4%
Evaluation Factors	
Realization Rate	-
Free Ridership	-
Spill Over	-
Net-to-Gross Ratio	0.90
Participation	
Number of program applications received to date	7,957
Number of program applications approved to receive funds	4,656
Current Forecast (updated quarterly)	
Total Expected Net First-year Annual MWh Acquired in 2013	27,500
Expected Net First-year Annual MWh Committed at year end 2013	1,500

PROGRAM NARRATIVE	
Exceptions	
0	
Achievements	
0	
Changes Anticipated in the Next 6 Months	
0	
Corrections to Previous Reports	
0	

Program Administrator (PA):	RG&E
Program Name:	Small Business Direct Install
Program Funding Fuel:	ELECTRIC
Date of Authorizing PSC Order:	11/12/2009, 10/25/2011
Date of Most Recent Operating/Implementation Plan:	12/22/2011
Date Applications Initially Accepted:	1/1/2012
Reporting Period:	October 2013

First-Year Savings Acquired this Month	
Net First-year Annual MWh Acquired this Month	1,153
Net Peak MW Reductions Acquired this Month	0.333
Ancillary Net First-year Annual Dth Acquired this Month	-
Electric Savings Impacts this Year	
Current Annual MWh Target:	14,761
To Date Portion of Current Annual MWh Target:	12,301
Net First-Year Annual MWh Acquired this Year	9,740
Net First-Year Annual MWh Committed at this Point in Time	1,648
Total Net First-Year Annual MWh Acquired & Committed	11,387
Percent of Current Annual MWh Target Acquired	66.0%
Percent of Current Annual MWh Target Acquired & Committed	77.1%
Percent of To Date Portion of Current Annual MWh Target Acquired	79.2%
Electric Peak Demand Savings Impacts this Year	
Net Peak MW Reductions Acquired this Year	2.986
Net Peak MW Reductions Committed at this Point in Time	0.457
Total Net Peak MW Reductions Acquired & Committed	3.443
Ancillary Gas Savings Impacts this Year	
Net First-Year Annual Dth Acquired this Year	-
Net First-Year Annual Dth Committed at this Point in Time	-
Total Net First-Year Annual Dth Acquired & Committed	-
Financial Expenditures this Month	
General Administration Expenditures this Month	\$ 1,083
Program Planning Expenditures this Month	\$ -
Program Marketing Expenditures this Month	\$ 3,090
Trade Ally Training Expenditures this Month	\$ -
Incentives and Services Expenditures this Month	\$ 442,961
Direct Program Implementation Expenditures this Month	\$ 9,381
Evaluation Expenditures this Month	\$ -
Cost Recovery Fee Expenditures this Month (NYSERDA, only)	\$ -
Total Expenditures this Month	\$ 456,514
Financial Encumbrances at this Point in Time	
General Administration Funds Currently Encumbered	\$ -
Program Planning Funds Currently Encumbered	\$ -
Program Marketing Funds Currently Encumbered	\$ -
Trade Ally Training Funds Currently Encumbered	\$ -
Incentives and Services Funds Currently Encumbered	\$ 514,118
Direct Program Implementation Funds Currently Encumbered	\$ -
Evaluation Funds Currently Encumbered	\$ -
Cost Recovery Fee Funds Currently Encumbered	\$ -
Financial Activity This Year	
Current Annual Budget:	\$ 3,965,000
To Date Portion of Current Annual Budget:	\$ 3,304,167
Total Expenditures this Year	\$ 3,005,386
Funds Encumbered at this Point in Time	\$ 514,118
Total Expenditures this year and Encumbrances	\$ 3,519,504
Percent of Current Annual Budget Spent	75.8%
Percent of Current Annual Budget Spent and Encumbered	88.8%
Percent of To Date Portion of Current Annual Budget Spent	91.0%
Financial Activity To Date	
Total 2012-2015 Budget:	\$ 15,860,000
Total Expenditures to Date	\$ 5,061,442
Total Expenditures to Date and Encumbrances	\$ 5,575,560
Percent of Total 2012-2015 Budget Spent to Date	31.9%
Percent of Total 2012-2015 Budget Spent and Encumbered	35.2%
Evaluation Factors	
Realization Rate	-
Free Ridership	-
Spill Over	-
Net-to-Gross Ratio	0.90
Participation	
Number of program applications received to date	2,351
Number of program applications approved to receive funds	1,451
Current Forecast (updated quarterly)	
Total Expected Net First-year Annual MWh Acquired in 2013	12,600
Expected Net First-year Annual MWh Committed at year end 2013	1,000

PROGRAM NARRATIVE	
Exceptions	
0	
Achievements	
0	
Changes Anticipated in the Next 6 Months	
0	
Corrections to Previous Reports	
0	

Program Administrator (PA):	NYSEG
Program Name:	Commercial & Industrial Rebate Program
Program Funding Fuel:	ELECTRIC
Date of Authorizing PSC Order:	11/13/2009, 10/25/2011, 2/19/2013
Date of Most Recent Operating/Implementation Plan:	3/15/2013
Date Applications Initially Accepted:	1/1/2012
Reporting Period:	October 2013

First-Year Savings Acquired this Month	
Net First-year Annual MWh Acquired this Month	375
Net Peak MW Reductions Acquired this Month	0.080
Ancillary Net First-year Annual Dth Acquired this Month	-
Electric Savings Impacts this Year	
Current Annual MWh Target:	15,998
To Date Portion of Current Annual MWh Target:	13,332
Net First-Year Annual MWh Acquired this Year	8,147
Net First-Year Annual MWh Committed at this Point in Time	17,158
<i>Total Net First-Year Annual MWh Acquired & Committed</i>	<i>25,304</i>
Percent of Current Annual MWh Target Acquired	50.9%
Percent of Current Annual MWh Target Acquired & Committed	158.2%
Percent of To Date Portion of Current Annual MWh Target Acquired	61.1%
Electric Peak Demand Savings Impacts this Year	
Net Peak MW Reductions Acquired this Year	1.590
Net Peak MW Reductions Committed at this Point in Time	5.805
<i>Total Net Peak MW Reductions Acquired & Committed</i>	<i>7.395</i>
Ancillary Gas Savings Impacts this Year	
Net First-Year Annual Dth Acquired this Year	-
Net First-Year Annual Dth Committed at this Point in Time	-
<i>Total Net First-Year Annual Dth Acquired & Committed</i>	<i>-</i>
Financial Expenditures this Month	
General Administration Expenditures this Month	\$ 2,463
Program Planning Expenditures this Month	\$ -
Program Marketing Expenditures this Month	\$ 79
Trade Ally Training Expenditures this Month	\$ -
Incentives and Services Expenditures this Month	\$ 64,704
Direct Program Implementation Expenditures this Month	\$ 75,892
Evaluation Expenditures this Month	\$ -
Cost Recovery Fee Expenditures this Month (NYSERDA, only)	\$ -
<i>Total Expenditures this Month</i>	<i>\$ 143,137</i>
Financial Encumbrances at this Point in Time	
General Administration Funds Currently Encumbered	\$ -
Program Planning Funds Currently Encumbered	\$ -
Program Marketing Funds Currently Encumbered	\$ -
Trade Ally Training Funds Currently Encumbered	\$ -
Incentives and Services Funds Currently Encumbered	\$ 4,812,952
Direct Program Implementation Funds Currently Encumbered	\$ -
Evaluation Funds Currently Encumbered	\$ -
Cost Recovery Fee Funds Currently Encumbered	\$ -
Financial Activity This Year	
Current Annual Budget:	\$ 6,252,177
To Date Portion of Current Annual Budget:	\$ 5,210,148
Total Expenditures this Year	\$ 2,562,022
Funds Encumbered at this Point in Time	\$ 4,812,952
<i>Total Expenditures this year and Encumbrances</i>	<i>\$ 7,374,974</i>
Percent of Current Annual Budget Spent	41.0%
Percent of Current Annual Budget Spent and Encumbered	118.0%
Percent of To Date Portion of Current Annual Budget Spent	49.2%
Financial Activity To Date	
Total 2012-2015 Budget:	\$ 25,008,708
Total Expenditures to Date	\$ 5,334,456
<i>Total Expenditures to Date and Encumbrances</i>	<i>\$ 10,147,408</i>
Percent of Total 2012-2015 Budget Spent to Date	21.3%
Percent of Total 2012-2015 Budget Spent and Encumbered	40.6%
Evaluation Factors	
Realization Rate	-
Free Ridership	-
Spill Over	-
Net-to-Gross Ratio	0.90
Participation	
Number of program applications received to date	943
Number of program applications approved to receive funds	847
Current Forecast (updated quarterly)	
Total Expected Net First-year Annual MWh Acquired in 2013	19,193
Expected Net First-year Annual MWh Committed at year end 2013	600

PROGRAM NARRATIVE	
Exceptions	
0	
Achievements	
0	
Changes Anticipated in the Next 6 Months	
0	
Corrections to Previous Reports	
0	

Program Administrator (PA):	RG&E
Program Name:	Commercial & Industrial Rebate Program
Program Funding Fuel:	ELECTRIC
Date of Authorizing PSC Order:	11/13/2009, 10/25/2011, 2/19/2013
Date of Most Recent Operating/Implementation Plan:	3/15/2013
Date Applications Initially Accepted:	1/1/2012
Reporting Period:	October 2013

First-Year Savings Acquired this Month	
Net First-year Annual MWh Acquired this Month	396
Net Peak MW Reductions Acquired this Month	0.100
Ancillary Net First-year Annual Dth Acquired this Month	-
Electric Savings Impacts this Year	
Current Annual MWh Target:	11,849
To Date Portion of Current Annual MWh Target:	9,874
Net First-Year Annual MWh Acquired this Year	10,696
Net First-Year Annual MWh Committed at this Point in Time	12,230
<i>Total Net First-Year Annual MWh Acquired & Committed</i>	<i>22,926</i>
Percent of Current Annual MWh Target Acquired	90.3%
Percent of Current Annual MWh Target Acquired & Committed	193.5%
Percent of To Date Portion of Current Annual MWh Target Acquired	108.3%
Electric Peak Demand Savings Impacts this Year	
Net Peak MW Reductions Acquired this Year	1.852
Net Peak MW Reductions Committed at this Point in Time	2.041
<i>Total Net Peak MW Reductions Acquired & Committed</i>	<i>3.893</i>
Ancillary Gas Savings Impacts this Year	
Net First-Year Annual Dth Acquired this Year	-
Net First-Year Annual Dth Committed at this Point in Time	-
<i>Total Net First-Year Annual Dth Acquired & Committed</i>	<i>-</i>
Financial Expenditures this Month	
General Administration Expenditures this Month	\$ 1,331
Program Planning Expenditures this Month	\$ -
Program Marketing Expenditures this Month	\$ 57
Trade Ally Training Expenditures this Month	\$ -
Incentives and Services Expenditures this Month	\$ 84,080
Direct Program Implementation Expenditures this Month	\$ 43,090
Evaluation Expenditures this Month	\$ -
Cost Recovery Fee Expenditures this Month (NYSERDA, only)	\$ -
<i>Total Expenditures this Month</i>	<i>\$ 128,559</i>
Financial Encumbrances at this Point in Time	
General Administration Funds Currently Encumbered	\$ -
Program Planning Funds Currently Encumbered	\$ -
Program Marketing Funds Currently Encumbered	\$ -
Trade Ally Training Funds Currently Encumbered	\$ -
Incentives and Services Funds Currently Encumbered	\$ 2,770,080
Direct Program Implementation Funds Currently Encumbered	\$ -
Evaluation Funds Currently Encumbered	\$ -
Cost Recovery Fee Funds Currently Encumbered	\$ -
Financial Activity This Year	
Current Annual Budget:	\$ 4,520,287
To Date Portion of Current Annual Budget:	\$ 3,766,906
Total Expenditures this Year	\$ 2,980,999
Funds Encumbered at this Point in Time	\$ 2,770,080
<i>Total Expenditures this year and Encumbrances</i>	<i>\$ 5,751,079</i>
Percent of Current Annual Budget Spent	65.9%
Percent of Current Annual Budget Spent and Encumbered	127.2%
Percent of To Date Portion of Current Annual Budget Spent	79.1%
Financial Activity To Date	
Total 2012-2015 Budget:	\$ 18,081,148
Total Expenditures to Date	\$ 4,795,427
<i>Total Expenditures to Date and Encumbrances</i>	<i>\$ 7,565,506</i>
Percent of Total 2012-2015 Budget Spent to Date	26.5%
Percent of Total 2012-2015 Budget Spent and Encumbered	41.8%
Evaluation Factors	
Realization Rate	-
Free Ridership	-
Spill Over	-
Net-to-Gross Ratio	0.90
Participation	
Number of program applications received to date	477
Number of program applications approved to receive funds	346
Current Forecast (updated quarterly)	
Total Expected Net First-year Annual MWh Acquired in 2013	13,325
Expected Net First-year Annual MWh Committed at year end 2013	700

PROGRAM NARRATIVE	
Exceptions	0
Achievements	0
Changes Anticipated in the Next 6 Months	0
Corrections to Previous Reports	0

<i>Reporting Period</i>	Statewide & Joint Studies Expenditures		
	<i>Electric Portfolio</i>	<i>Gas Portfolio</i>	Total
Jan-13			\$ -
Feb-13			\$ -
Mar-13			\$ -
Apr-13			\$ -
May-13			\$ -
Jun-13			\$ -
Jul-13			\$ -
Aug-13			\$ -
Sep-13			\$ -
Oct-13			\$ -
Nov-13			\$ -
Dec-13			\$ -
Cumulative	\$ -	\$ -	\$ -