

PENDING PETITION MEMO

Date: 7/18/2007

TO : OT  
OGC

FROM: CENTRAL OPERATIONS

UTILITY: WINDSTREAM NEW YORK, INC.

SUBJECT: 07-C-0851

Petition of Windstream New York, Inc., for Approval of an  
Interconnection Agreement With Sprint Communications Company L.P.

Windstream Communications  
21 East State Street  
Suite 1900  
Columbus, Ohio 43215  
(614) 228-9484

Kathy E. Hobbs  
VP- State Government Affairs



July 17, 2007

Honorable Jaclyn A. Brilling  
Secretary  
State of New York  
Public Service Commission  
Three Empire State Plaza  
Albany, New York 12223



**Re: Interconnection Agreement Between Windstream New York, Inc. and Sprint Communications Company L.P.**

Dear Secretary Brilling:

Enclosed please find an Original and five (5) copies of an interconnection agreement between Windstream New York, Inc. and Sprint Communications Company L.P. This agreement is being filed pursuant to the Telecommunications Act of 1996.

Please time-stamp a copy of the enclosed and return to me in the postage-paid envelope included with this filing.

Should you have any questions regarding this request, please do not hesitate to give me a call.

Thank you for your assistance.

Respectfully submitted.



**INTERCONNECTION AGREEMENT**

**BETWEEN**

**WINDSTREAM NEW YORK, INC.**

**&**

**SPRINT COMMUNICATIONS COMPANY L.P.**

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## **GENERAL TERMS AND CONDITIONS**

This Agreement ("Agreement") is between, SPRINT Communications Company L. P. ("SPRINT") a Delaware limited partnership, and Windstream New York, Inc. ("Windstream") a New York corporation (collectively the "Parties").

**WHEREAS**, pursuant to the Telecommunications Act of 1996 (the "Act"), the Parties wish to establish terms for the provision of certain services and Ancillary Functions as designated in the Attachments hereto for the purpose of determining the rates, terms, and conditions for the interconnection of the Parties' Telecommunications Networks within the State of New York.

**NOW, THEREFORE**, in consideration of the premises and the mutual covenants of this Agreement, the Parties hereby agree as follows:

### **1.0 Introduction**

- 1.1 This Agreement, in accordance with §251 and 252 of the Act, sets forth the terms, conditions and prices under which Windstream may provide (a) services for interconnection, and (b) Ancillary Functions to SPRINT. The specific services, functions, or facilities that Windstream agrees to provide are those specifically identified in appendixes attached to this Agreement, and executed simultaneously with this general terms and conditions. Further this Agreement sets forth the terms, conditions, and prices under which SPRINT will provide services to Windstream, where applicable.
- 1.2 This Agreement includes and incorporates herein the Attachments of this Agreement, and all accompanying Appendices, Addenda and Exhibits.
- 1.3 The Parties acknowledge and agree that by entering into and performing in accordance with this Agreement, the Parties have not waived or relinquished any applicable exemptions that are provided by or available under the Act, including but not limited to those described in §251(f) of the Act, or under state law.
- 1.4 SPRINT agrees to comply with Commission requirements related to certification as a local exchange carrier in the State of New York.

### **2.0 Effective Date**

- 2.1 The effective date of this Agreement will be the last signature date that both Parties have executed the Agreement. If this Agreement is not approved by the relevant state Commission the parties agree to work cooperatively to resolve all issues identified by the Commission. Furthermore, in this situation, the Agreement will become effective upon Commission approval instead of the last signature date.

negotiations fail, disputes between the Parties concerning the interpretation of the actions required or provisions affected by such governmental actions may be resolved pursuant to any process available to the Parties under law, provided that the Parties may mutually agree to use the dispute resolution process provided for in this Agreement.

#### 4.0 Term of Agreement

- 4.1 The Parties agree to the provisions of this Agreement for an initial term of one (1) year from the Effective Date of this Agreement, and thereafter on a month to month basis, unless terminated or modified pursuant to the terms and conditions of this Agreement.
- 4.2 Either Party may request for this Agreement to be renegotiated upon the expiration of the initial one (1) year term or upon any termination of this Agreement. The Party desiring renegotiation shall provide written notice to the other Party. Not later than thirty (30) days from receipt of said notice, the receiving Party will acknowledge receipt of the written notice and the Parties will commence negotiation, which shall be conducted in good faith. Except in cases in which this Agreement has been terminated for Default pursuant to Section 4.5 or has been terminated for any reason not prohibited by law pursuant to Section 4.4.
- 4.3 If, within one hundred and thirty-five (135) days of commencing the negotiation referred to in Section 4.2 above, the Parties are unable to negotiate new terms, conditions and prices for a Subsequent Agreement, either Party may petition the Commission to establish appropriate terms, conditions and prices for the Subsequent Agreement pursuant to 47 U.S.C. 252. Should the PUC decline jurisdiction, either Party may petition the FCC under the Act.
- 4.4 After completion of the initial one (1) year term, this Agreement may be terminated by either Party for any reason not prohibited by law upon sixty (60) days written notice to the other Party. By mutual agreement, the Parties may amend this Agreement in writing to modify its terms.
- 4.5 In the event of Default, as defined in this §4.5, the non-defaulting Party may terminate this Agreement provided that the non-defaulting Party so advises the defaulting Party in writing ("Default Notice") of the event of the alleged Default and the defaulting Party does not cure the alleged Default with sixty (60) after receipt of the Default Notice thereof. Default is defined as:
  - 4.5.1 Either Party's insolvency or initiation of bankruptcy or receivership proceedings by or against the Party;
  - 4.5.2 A final non-appealable decision under §9.0, Dispute Resolution that a Party has materially breached any of the material terms or conditions hereof, including the failure to make any undisputed payment when due; or
  - 4.5.3 A Party has notified the other Party in writing of the other Party's material breach of any of the material terms hereof, and the default remains uncured for sixty (60) days from receipt of such notice, and neither Party has commenced Formal Dispute Resolution as

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**5.0 Assignment**

- 5.1 Any assignment by either Party to any non-affiliated entity of any right, obligation or duty, or of any other interest hereunder, in whole or in part, without the prior written consent of the other Party shall be void. A Party may assign this Agreement in its entirety to an Affiliate of the Party without the consent of the other Party; provided, however, that the assigning Party shall notify the other Party in writing of such assignment thirty (30) days prior to the Effective Date thereof and, provided further, if the assignee is an assignee of SPRINT, the assignee must provide evidence of Commission requirements related to certification as a local exchange carrier. The Parties shall amend this Agreement to reflect such assignments and shall work cooperatively to implement any changes required due to such assignment. All obligations and duties of any Party under this Agreement shall be binding on all successors in interest and assigns of such Party. No assignment or delegation hereof shall relieve the assignor of its obligations under this Agreement in the event that the assignee fails to perform such obligations. Notwithstanding anything to the contrary in this Section, neither Party shall assign this Agreement to any Affiliate or non-affiliated entity unless either (1) the assignor pays all undisputed bills, past due and current, under this Agreement, or (2) the assignee expressly assumes liability for payment of such bills.
- 5.2 In the event that SPRINT makes any corporate name change (whether it involves a merger, consolidation, assignment or transfer, and including addition or deletion of a d/b/a), change in OCN/AECN, or makes or accepts a transfer or assignment of interconnection trunks or facilities (including leased facilities), or a change in any other CLEC identifier (collectively, a "CLEC Change"), SPRINT shall submit written notice to Windstream within thirty (30) days of the first action taken to implement such CLEC Change.
- 5.3 In the event of an assignment as described in Section 5.1 above, the Parties shall negotiate an implementation plan to effectuate any changes. In addition, SPRINT shall compensate Windstream for any service order charges as specified in Windstream's General Subscriber/Local or Access tariffs, associated with such CLEC Change.

**6.0 Confidential and Proprietary Information**

- 6.1 For the purposes of this Agreement, confidential information means confidential or proprietary technical, customer, end user, network, or business information disclosed by one Party (the "Discloser") to the other Party (the "Recipient"), which is disclosed by one Party to the other in connection with this Agreement, during negotiations or the term of this Agreement ("Confidential Information"). Such Confidential Information shall automatically be deemed proprietary to the Discloser and subject to this §6.0, unless otherwise confirmed in writing by the Discloser. All other information which is indicated and marked, as Confidential Information at the time of disclosure shall also be treated as Confidential Information under §6.0 of this Agreement. The Recipient agrees (i) to use Confidential Information only for the purpose of performing under this Agreement, (ii) to hold it in confidence and disclose it to no one other than its employees or agents having a need to know for the purpose of performing under this Agreement, and (iii) to safeguard it from unauthorized use or disclosure using at least the same degree of care with which the Recipient

- 6.3 The Recipient agrees to return all Confidential Information to the Discloser in tangible form received from the Discloser, including any copies made by the Recipient within thirty (30) days after a written request is delivered to the Recipient, or to destroy all such Confidential Information if directed to do so by Discloser except for Confidential Information that the Recipient reasonably requires to perform its obligations under this Agreement. If either Party loses or makes an unauthorized disclosure of the other Party's Confidential Information, it will notify such other Party immediately and use reasonable efforts to retrieve the lost or wrongfully disclosed information.
- 6.4 The Recipient will have no obligation to safeguard Confidential Information: (i) which was in the possession of the Recipient free of restriction prior to its receipt from the Discloser; (ii) after it becomes publicly known or available through no breach of this Agreement by the Recipient, (iii) after it is rightfully acquired by the Recipient free of restrictions on its disclosure, or (iv) after it is independently developed by personnel of the Recipient to whom the Discloser's Confidential Information had not been previously disclosed. In addition, either Party will have the right to disclose Confidential Information to any mediator, arbitrator, state or federal regulatory body, or a court in the conduct of any mediation, arbitration or approval of this Agreement, as long as, in the absence of an applicable protective order, the Discloser has been previously notified by the Recipient in time sufficient for the Recipient to undertake lawful measures to avoid disclosing such information and for Discloser to have reasonable time to seek or negotiate a protective order before or with any applicable mediator, arbitrator, state or regulatory body or a court.
- 6.5 The Parties recognize that an individual end user may simultaneously seek to become or be a customer of both Parties. Nothing in this Agreement is intended to limit the ability of either Party to use customer specific information lawfully obtained from end users or sources other than the Discloser, subject to applicable rules governing use of Customer Propriety Network Information (CPNI).
- 6.6 Each Party's obligations to safeguard Confidential Information disclosed prior to expiration or termination of this Agreement will survive such expiration or termination.
- 6.7 Except as otherwise expressly provided elsewhere in this Agreement, no license is hereby granted with respect to any patent, trademark, or copyright, nor is any such license implied solely by virtue of the disclosure of any Confidential Information.
- 6.8 Each Party agrees that the Discloser may be irreparably injured by a disclosure in breach of this Agreement by the Recipient or its representatives and the Discloser will be entitled to seek equitable relief, including injunctive relief and specific performance, in the event of any breach or threatened breach of the confidentiality provisions of this Agreement. Such remedies will not be deemed to be the exclusive remedies for a breach of this Agreement, but will be in addition to all other remedies available at law or in equity.

provided, however, that any such mistakes, omissions, defects in transmission, interruptions, failures, delays, or errors which are caused by the gross negligence or willful, wrongful act or omission of the complaining Party or which arise from the use of the complaining Party's facilities or equipment shall not result in the imposition of any liability whatsoever upon the other Party furnishing service.

## **7.2 No Consequential Damages**

**EXCEPT AS SPECIFICALLY PROVIDED IN THIS AGREEMENT, NEITHER PARTY WILL BE LIABLE TO THE OTHER PARTY FOR ANY INDIRECT, INCIDENTAL, CONSEQUENTIAL, OR SPECIAL DAMAGES SUFFERED BY SUCH OTHER PARTY (INCLUDING WITHOUT LIMITATION DAMAGES FOR HARM TO BUSINESS, LOST REVENUES, LOST SAVINGS, OR LOST PROFITS SUFFERED BY SUCH OTHER PARTY), REGARDLESS OF THE FORM OF ACTION, WHETHER IN CONTRACT, WARRANTY, STRICT LIABILITY, OR TORT, INCLUDING WITHOUT LIMITATION NEGLIGENCE OF ANY KIND WHETHER ACTIVE OR PASSIVE, AND REGARDLESS OF WHETHER THE PARTIES KNEW OF THE POSSIBILITY THAT SUCH DAMAGES COULD RESULT. EACH PARTY HEREBY RELEASES THE OTHER PARTY (AND SUCH OTHER PARTY'S SUBSIDIARIES AND AFFILIATES, AND THEIR RESPECTIVE OFFICERS, DIRECTORS, EMPLOYEES, AND AGENTS) FROM ANY SUCH CLAIM. NOTHING CONTAINED IN THIS SECTION WILL LIMIT EITHER PARTY'S LIABILITY TO THE OTHER PARTY FOR (i) WILLFUL OR INTENTIONAL MISCONDUCT (INCLUDING GROSS NEGLIGENCE) OR (ii) BODILY INJURY, DEATH, OR DAMAGE TO TANGIBLE REAL OR TANGIBLE PERSONAL PROPERTY.**

## **7.3 Obligation to Indemnify**

**7.3.1 Each Party shall be indemnified and held harmless by the other Party against claims, losses, suits, demands, damages, costs, expenses, including reasonable attorneys' fees ("Claims"), asserted, suffered, or made by third parties arising from (i) any act or omission of the indemnifying Party in connection with its performance or non-performance under his Agreement; and (ii) provision of the indemnifying Party's services or equipment, including but not limited to claims arising from the provision of the indemnifying Party's services to its end users (e.g., claims for interruption of service, quality of service or billing disputes) unless such act or omission was caused by the negligence or willful misconduct of the indemnified Party. Each Party shall also be indemnified and held harmless by the other Party against claims and damages of persons for services furnished by the indemnifying Party or by any of its subcontractors, under worker's compensation laws or similar statutes.**

**7.3.2 Each Party, as an Indemnifying Party agrees to release, defend, indemnify, and hold harmless the other Party from any claims, demands or suits that asserts any infringement or invasion of privacy or confidentiality of any person or persons caused or claimed to be caused, directly or indirectly, by the Indemnifying Party's employees and equipment associated with the provision of any service herein. This provision includes but is not limited to suits arising from unauthorized disclosure of the end user's name, address or**

7.3.4 When the lines or services of other companies and carriers are used in establishing connections to and/or from points not reached by a Party's lines, neither Party shall be liable for any act or omission of the other companies or carriers.

**7.4 Obligation to Defend; Notice; Cooperation**

Whenever a claim arises for indemnification under this Section (the "Claim"), the relevant Indemnitee, as appropriate, will promptly notify the Indemnifying Party and request the Indemnifying Party to defend the same. Failure to so notify the Indemnifying Party will not relieve the Indemnifying Party of any liability that the Indemnifying Party might have, except to the extent that such failure prejudices the Indemnifying Party's ability to defend such Claim. The Indemnifying Party will have the right to defend against such Claim in which event the Indemnifying Party will give written notice to the Indemnitee of acceptance of the defense of such Claim and the identity of counsel selected by the Indemnifying Party. Except as set forth below, such notice to the relevant Indemnitee will give the Indemnifying Party full authority to defend, adjust, compromise, or settle such Claim with respect to which such notice has been given, except to the extent that any compromise or settlement might prejudice the Intellectual Property Rights of the relevant Indemnities. The Indemnifying Party will consult with the relevant Indemnitee prior to any compromise or settlement that would affect the Intellectual Property Rights or other rights of any Indemnitee, and the relevant Indemnitee will have the right to refuse such compromise or settlement and, at such Indemnitee's sole cost, to take over such defense of such Claim. Provided, however, that in such event the Indemnifying Party will not be responsible for, nor will it be obligated to indemnify the relevant Indemnitee against any damages, costs, expenses, or liabilities, including without limitation, attorneys' fees, in excess of such refused compromise or settlement. With respect to any defense accepted by the Indemnifying Party, the relevant Indemnitee will be entitled to participate with the Indemnifying Party in such defense if the Claim requests equitable relief or other relief that could affect the rights of the Indemnitee and also will be entitled to employ separate counsel for such defense at such Indemnitee's expense. In the event the Indemnifying Party does not accept the defense of any indemnified Claim as provided above, the relevant Indemnitee will have the right to employ counsel for such defense at the expense of the Indemnifying Party, and the Indemnifying Party shall be liable for all costs associated with Indemnitee's defense of such Claim including court costs, and any settlement or damages awarded the third party. Each Party agrees to cooperate and to cause its employees and agents to cooperate with the other Party in the defense of any such Claim.

**8.0 Payment of Rates and Late Payment Charges**

8.1 Windstream, at its discretion may require SPRINT to provide Windstream a security deposit to ensure payment of SPRINT's account. The security deposit must be an amount equal to three (3) months anticipated charges (including, but not limited to, recurring, non-recurring, termination charges and advance payments), as reasonably determined by Windstream, for the interconnection, resale services, network elements, collocation or any other functions, facilities, products or services to be furnished by Windstream under this Agreement.

payment of bills on presentation nor does it constitute a waiver or modification of the regular practices of Windstream to limit services, refuse new services or discontinue existing service under this Agreement for non payment of any sums due Windstream.

- 8.1.4 Windstream reserves the right to increase the security deposit requirements when, in Windstream's reasonable judgement or changes in SPRINT's financial status so warrant and/or gross monthly billing has increased beyond the level initially used to determine the security deposit.
- 8.1.5 In the event that SPRINT is in breach of this Agreement, service to SPRINT may be terminated by Windstream; any security deposits applied to its account and Windstream may pursue any other remedies available at law or equity.
- 8.1.6 In the case of a cash deposit, interest at a rate as set forth in the appropriate Windstream tariff shall be paid to SPRINT during the possession of the security deposit by Windstream. Interest on a security deposit shall accrue annually and, if requested, shall be annually credited to SPRINT by the accrual date.
- 8.2 Windstream may, but is not obligated to, draw on the cash deposit, as applicable, upon the occurrence of any one of the following events.
  - 8.2.1 SPRINT owes Windstream undisputed charges under this Agreement that are more than thirty (30) calendar days past due; or
  - 8.2.2 SPRINT admits its inability to pay its debts as such debts become due, has commenced a voluntary case (or has had an involuntary case commenced against it) under the U.S. Bankruptcy Code or any other law relating to insolvency, reorganization, wind-up, composition or adjustment of debts or the like, has made an assignment for the benefit of creditors or, is subject to a receivership or similar proceeding; or
  - 8.2.3 The expiration or termination of this Agreement.
- 8.3 Except as otherwise specifically provided elsewhere in this Agreement, the Parties will pay all rates and charges due and owing under this Agreement within thirty (30) days of the invoice date in immediately available funds. The Parties represent and covenant to each other that all invoices will be promptly processed and mailed in accordance with the Parties' regular procedures and billing systems.
  - 8.3.1 If the payment due date falls on a Sunday or on a Holiday which is observed on a Monday, the payment due date shall be the first non-Holiday following such Sunday or Holiday. If the payment due date falls on a Saturday or on a Holiday which is observed on Tuesday, Wednesday, Thursday, or Friday, the payment due date shall be the last non-Holiday preceding such Saturday or Holiday. If payment is not received by the payment due date, a late penalty, as set forth in §8.3 below, will be assessed.

**9.0 Dispute Resolution**

**9.1 Notice of Disputes**

Notice of a valid contractual dispute must be in writing, specifically documenting the nature of the dispute, and must include a detailed description of the underlying dispute (the "Dispute Notice").

**9.1.1 Billing Disputes**

The disputing Party must submit billing disputes ("Billing Disputes") to the billing Party prior to the due date on the disputed bill. The disputing Party will submit billing disputes on either the Billing Dispute Form contained in Appendix 1 or provide the same information required in Appendix 1 for the billable element in dispute to not delay the processing of the dispute. The billing dispute must be complete, with all the required information for the billable element in dispute. If the billing dispute is not complete with all information, the dispute will be rejected by the billing Party. After receipt of a completed dispute, the billing Party will review to determine the accuracy of the billing dispute. If the billing Party determines the dispute is valid, the billing Party will credit the disputing Party's bill by the next bill date. If the billing Party determines the billing dispute is not valid, the disputing Party may escalate the dispute as outlined in section 9.1.1.1. If escalation of the billing dispute does not occur within the 60 days as outlined below, the disputing Party must remit payment for the disputed charge, including late payment charges, to the billing Party by the next bill date. The Parties will endeavor to resolve all Billing Disputes within sixty (60) calendar days from receipt of the Dispute Form.

9.1.1.1 Resolution of the dispute is expected to occur at the first level of management, resulting in a recommendation for settlement of the dispute and closure of a specific billing period. If the issues are not resolved within the allotted time frame, the following resolution procedure will be implemented:

9.1.1.1.1 If the dispute is not resolved within thirty (30) calendar days of receipt of the Dispute Notice, the dispute will be escalated to the second level of management for each of the respective Parties for resolution. If the dispute is not resolved within sixty (60) calendar days of the notification date, the dispute will be escalated to the third level of management for each of the respective Parties for resolution.

9.1.1.1.2 If the dispute is not resolved within ninety (90) calendar days of the receipt of the Dispute Form, the dispute will be escalated to the fourth level of management for each of the respective Parties for resolution.

9.1.1.1.3 Each Party will provide to the other Party an escalation list, for resolving billing disputes. The escalation list will contain the name, title, phone number, fax number and email address for each escalation point identified in this section 9.1.1.1.

the bill of the disputing Party for the amount of the disputed charges, along with any late payment charges assessed, by the next billing cycle after the resolution of the dispute. Accordingly, if the disputing Party disputes charges and the dispute is resolved in favor of the billing Party, the disputing Party shall pay the billing Party the amount of the disputed charges and any associated late payment charges, by the next billing due date after the resolution of the dispute.

9.1.1.3 For purposes of this subsection 9.1.1, a billing dispute shall not include the refusal to pay other amounts owed to a Party pending resolution of the dispute. Claims by the disputing Party for damages of any kind will not be considered a Bona Fide Dispute for purposes of this subsection 9.1.1.

9.1.1.4 Once the billing dispute has been processed in accordance with this subsection 9.1.1, the disputing Party will make immediate payment on any of the disputed amount owed to the billing Party, or the billing Party shall have the right to pursue normal treatment procedures. Any credits due to the disputing Party resulting from the Dispute process will be applied to the disputing Party's account by the billing Party immediately upon resolution of the dispute.

9.1.1.5 Neither Party shall bill the other Party for charges incurred more than twelve (12) months after the service is provided to the non-billing Party.

**9.1.2 All Other Disputes**

All other disputes (*i.e.*, contractual disputes) shall be valid only if reasonable within the scope of this Agreement, and the applicable statute of limitations shall govern such disputes

**9.2 Alternative to Litigation**

9.2.1 The Parties desire to resolve disputes arising out of this Agreement without litigation. Accordingly, except for action seeking a temporary restraining order, an injunction, or similar relief from the PUC related to the purposes of this Agreement, or suit to compel compliance with this Dispute Resolution process, the Parties agree to use the following Dispute Resolution procedure with respect to any controversy or claim arising out of or relating to this Agreement or its breach.

9.2.2 Each Party agrees to promptly notify the other Party in writing of a dispute and may in the Dispute Notice invoke the informal dispute resolution process described in §9.4. The Parties will endeavor to resolve the dispute within thirty (30) days after the date of the Dispute Notice.

**9.3 Informal Resolution of Disputes**

In the case of any dispute and upon receipt of the Dispute Notice each Party will appoint a duly

otherwise provided herein, or upon the Parties' agreement, either Party may invoke formal dispute resolution procedures including arbitration or other procedures as appropriate, not earlier than thirty (30) days after the date of the Dispute Notice, provided the Party invoking the formal dispute resolution process has in good faith negotiated, or attempted to negotiate, with the other Party.

**9.4 Formal Dispute Resolution**

- 9.4.1 The Parties agree that all unresolved disputes arising under this Agreement, including without limitation, whether the dispute in question is subject to arbitration, may be submitted to PUC for resolution in accordance with its dispute resolution process and the outcome of such process will be binding on the Parties, subject to any right to appeal a decision reached by the PUC under applicable law.
- 9.4.2 If the PUC does not have or declines to accept jurisdiction over any dispute arising under this Agreement, the dispute may be submitted to binding arbitration by a single arbitrator pursuant to the Commercial Arbitration Rules of the American Arbitration Association. A Party may demand such arbitration in accordance with the procedures set out in those rules. Discovery shall be controlled by the arbitrator and shall be permitted to the extent set out in this section or upon approval or order of the arbitrator. Each Party may submit in writing to a Party, and that Party shall so respond, to a maximum of any combination of thirty-five (35) (none of which may have subparts) of the following: interrogatories; demands to produce documents; requests for admission. Additional discovery may be permitted upon mutual agreement of the Parties. The arbitration hearing shall be commenced within ninety (90) days of the demand for arbitration. The arbitration shall be held in New York, unless otherwise agreed to by the Parties or required by the FCC. The arbitrator shall control the scheduling so as to process the matter expeditiously. The Parties shall submit written briefs five days before the hearing. The arbitrator shall rule on the dispute by issuing a written opinion within thirty (30) days after the close of hearings. The arbitrator has no authority to order punitive or consequential damages. The times specified in this section may be extended upon mutual agreement of the Parties or by the arbitrator upon a showing of good cause. Judgment upon the award rendered by the arbitrator may be entered in any court having jurisdiction.
- 9.4.3 Each Party shall bear its own costs of these procedures unless the New York PUC or other presiding arbitrator, if any, rules otherwise. A Party seeking discovery shall reimburse the responding Party for the costs of production of documents (including search time and reproduction costs).

**9.5 Conflicts**

- 9.5.1 The Parties agree that the Dispute Resolution procedures set forth in this Agreement are not intended to conflict with applicable requirements of the Act or the state commission with regard to procedures for the resolution of disputes arising out of this Agreement and do not preclude a Party from seeking relief under applicable rules or procedures of the PUC.

personal delivery or by overnight courier. All notices will be effective upon receipt, and should be directed to the following:

**If to SPRINT**

SPRINT Communications Company L.P.  
Legal and Regulatory  
Mailstop: KSOPHT0101-Z2060  
6391 SPRINT Parkway  
Overland Park, KS 66251

**Copy to:**

SPRINT Communications Company L. P.  
Wholesale & Interconnection Management  
6450 SPRINT Parkway  
Overland Park, KS 66251

**If to Windstream:**

Staff Manager – Wholesale Services  
4001 Rodney Parham Road, 1170-B3F03-84A  
Little Rock, Arkansas 72212

- 11.2 Either Party may unilaterally change its designated representative and/or address, telephone contact number or facsimile number for the receipt of notices by giving seven (7) days' prior written notice to the other Party in compliance with this Section.

**12.0 Taxes**

- 12.1 Each Party purchasing services hereunder shall pay or otherwise be responsible for all federal, state, or local sales, use, excise, gross receipts, transaction or similar taxes, fees or surcharges (hereinafter "Tax") levied against or upon such purchasing Party (or the providing Party when such providing Party is permitted to pass along to the purchasing Party such taxes, fees or surcharges), except for any tax on either Party's corporate existence, status or income. Whenever possible, these amounts shall be billed as a separate item on the invoice. Purchasing Party may be exempted from certain taxes if purchasing Party provides proper documentation, e.g., reseller certificate, from the appropriate taxing authority. Failure to timely provide said resale tax exemption certificate will result in no exemption being available to the purchasing Party until such time as the purchasing Party presents a valid certification.
- 12.2 With respect to any purchase of services, facilities or other arrangements, if any Tax is required or permitted by applicable law to be collected from the purchasing Party by the providing Party, then (i) the providing Party shall bill the purchasing Party for such Tax, (ii) the purchasing Party shall remit such Tax to the providing Party and (iii) the providing Party shall remit such collected Tax to the applicable taxing authority, except as otherwise indicated below.
- 12.3 The Parties agree that each Party shall generally be responsible for collecting and remitting to the

The purchasing Party agrees to indemnify and hold harmless the providing Party on an after-tax basis for any costs incurred by the providing Party as a result of actions taken by the applicable taxing authority to collect the Tax from the providing Party due to the failure of the purchasing Party to pay or collect and remit such tax to such authority.

- 12.5 If the providing Party fails to collect any Tax as required herein, then, as between the providing Party and the purchasing Party, (i) the purchasing Party shall remain liable for such uncollected Tax and (ii) the providing Party shall be liable for any penalty and interest assessed with respect to such uncollected Tax by such authority. However, if the purchasing Party fails to pay any taxes properly billed, then, as between the providing Party and the purchasing Party, the purchasing Party will be solely responsible for payment of the taxes, penalty and interest.
- 12.6 If the purchasing Party fails to impose and/or collect any Tax from end users as required herein, then, as between the providing Party and the purchasing Party, the purchasing Party shall remain liable for such uncollected Tax and any interest and penalty assessed thereon with respect to the uncollected Tax by the applicable taxing authority. With respect to any Tax that the purchasing Party has agreed to pay or impose on and/or collect from end users, the purchasing Party agrees to indemnify and hold harmless the providing Party on an after-tax basis for any costs incurred by the providing Party as a result of actions taken by the applicable taxing authority to collect the Tax from the providing Party due to the failure of the purchasing Party to pay or collect and remit such Tax to such authority.
- 12.7 All notices, affidavits, exemption certificates or other communications required or permitted to be given by either Party to the other Party under this §12.0, shall be made in writing and sent postage prepaid by registered mail return receipt requested. All notices shall be effective upon receipt. All notices sent pursuant to this Section shall be directed to the following:

**To Windstream:**

Director State and Local Taxes  
Windstream Communications, Inc.  
4001 Rodney Parham Road  
1170-B1F02-83B  
Little Rock, AR 72212

**Copy to:**

Staff Manager – Wholesale Services  
4001 Rodney Parham Road  
1170-B3F03-84A  
Little Rock, Arkansas 72212

**To SPRINT**

SPRINT Communications Company L.P.  
Legal and Regulatory  
Mailstop: KSOPHT0101-72060

- 12.8 Either Party may unilaterally change its designated representative and/or address, telephone contact number or facsimile number for the receipt of notices by giving seven (7) days' prior written notice to the other Party in compliance with this Section.

**13.0 Force Majeure**

- 13.1 Except as otherwise specifically provided in this Agreement, neither Party shall be liable for delays or failures in performance resulting from acts or occurrences beyond the reasonable control of such Party, regardless of whether such delays or failures in performance were foreseen or foreseeable as of the date of this Agreement, including, without limitation: fire, explosion, power failure, acts of God, war, revolution, civil commotion, or acts of public enemies; or labor unrest, including, without limitation strikes, slowdowns, picketing or boycotts or delays caused by the other Party or by other service or equipment vendors; or any other similar circumstances beyond the Party's reasonable control. In such event, the Party affected shall, upon giving prompt notice to the other Party, be excused from such performance on a day-to-day basis to the extent of such interference (and the other Party shall likewise be excused from performance of its obligations on a day-for-day basis to the extent such Party's obligations relate to the performance so interfered with). The affected Party shall use its reasonable commercial efforts to avoid or remove the cause of nonperformance and both Parties shall proceed to perform with dispatch once the causes are removed or cease.

**14.0 Publicity**

- 14.1 The Parties agree not to use in any advertising or sales promotion, press releases or other publicity matters, any endorsements, direct or indirect quotes or pictures implying endorsement by the other Party or any of its employees without such Party's prior written approval. The Parties will submit to each other for written approval, prior to publication, all such publicity endorsement matters that mention or display the other's name and/or marks or contain language from which a connection to said name and/or marks may be inferred or implied.
- 14.2 Neither Party will offer any services using the trademarks, service marks, trade names, brand names, logos, insignia, symbols or decorative designs of the other Party or its affiliates without the other Party's written authorization.

**15.0 Network Maintenance and Management**

- 15.1 The Parties will work cooperatively to implement this Agreement. The Parties will exchange appropriate information (e.g., maintenance contact numbers, network information, information required to comply with law enforcement and other security agencies of the Government, etc.) to achieve this desired reliability, subject to the confidentiality provisions herein.
- 15.2 Each Party will provide a 24-hour contact number for Network Traffic Management issues to the

**Contact Number:** 330-650-7900

**Facsimile Number:** 330-650-7918

**For SPRINT:**

**Contact Number:** 888-230-4404

**Facsimile Number:** N/A

- 15.3 Neither Party will use any service provided under this Agreement in a manner that impairs the quality of service to other carriers or to either Party's subscribers. Either Party will provide the other Party notice of said impairment at the earliest practicable time.

**16.0 Law Enforcement and Civil Process**

**16.1 Intercept Devices**

Local and federal law enforcement agencies periodically request information or assistance from local telephone service providers. When either Party receives a request associated with a customer of the other Party, the receiving Party will refer such request to the appropriate Party, unless the request directs the receiving Party to attach a pen register, trap-and-trace or form of intercept on the Party's own facilities, in which case that Party will comply with any valid requirement, to the extent the receiving Party is able to do so; if such compliance requires the assistance of the other Party such assistance will be provided.

**16.2 Subpoenas**

If a Party receives a subpoena for information concerning an end user the Party knows to be an end user of the other Party, the receiving Party will refer the subpoena to the requesting entity with an indication that the other Party is the responsible company.

**16.3 Law Enforcement Emergencies**

If a Party receives a request from a law enforcement agency to implement at its switch a temporary number change, temporary disconnect, or one-way denial of outbound calls for an end user of the other Party, the receiving Party will comply so long as it is a valid emergency request. Neither Party will be held liable for any claims or damages arising from compliance with such requests, and the Party serving the end user agrees to indemnify and hold the other Party harmless against any and all such claims.

- 16.4 The Parties will provide five (5) day a week 8:00 a.m. to 5:00 p.m. installation and information retrieval pertaining to lawful, manual traps and information retrieval on customer invoked CLASS services pertaining to non-emergency calls such as annoyance calls. The Parties will provide

Windstream. SPRINT and Windstream agree that PLC and PIC change orders will be supported with appropriate documentation and verification as required by FCC and Commission rules. In the event of a subscriber complaint of an unauthorized PLC record change where the Party that ordered such change is unable to produce appropriate documentation and verification as required by FCC and Commission rules (or, if there are no rules applicable to PLC record changes, then such rules as are applicable to changes in long distance carriers of record), such Party shall be liable to pay and shall pay all nonrecurring and/or other charges associated with reestablishing the subscriber's local service with the original local carrier.

- 17.2 It is the responsibility of SPRINT to provide Windstream with a LOA (Letter of Authorization) when another party is involved and is working on their behalf.
- 17.3 For any SPRINT subscriber Windstream shall provide, subject to applicable rules, orders, and decisions, SPRINT with access CPNI without requiring SPRINT to produce a signed LOA, based on SPRINT's blanket representation that subscriber has authorized SPRINT to obtain such CPNI.
- 17.4 Windstream Express includes the provisioning of CPNI from Windstream to SPRINT. The Parties agree to execute a LOA agreement with the Windstream end user prior to requesting CPNI for that Windstream end user, and to request end user CPNI only when the end user has specifically given permission to receive CPNI. The Parties agree that they will conform to FCC and/or state regulations regarding the provisioning of CPNI between the parties, and regarding the use of that information by the requesting party.
- 17.5 The requesting Party will document end user permission obtained to receive CPNI, whether or not the end user has agreed to change local service providers. For end users changing service from one party to the other, specific end user LOAs may be requested by the Party receiving CPNI requests to investigate possible slamming incidents, and for other reasons agreed to by the Parties.
- 17.6 The receiving Party may also request documentation of an LOA if CPNI is requested and a subsequent service order for the change of local service is not received. On a schedule to be determined by Windstream, Windstream will perform a comparison of requests for CPNI to service orders received for the change of Local Service to SPRINT. Windstream will produce a report of unmatched requests for CPNI, and may require an LOA from SPRINT for each unmatched request. SPRINT agrees to provide evidence of end user permission for receipt of CPNI for all end users in the request by Windstream within three (3) Business Days of receipt of a request from Windstream. Should Windstream determine that there has been a substantial percentage of unmatched LOA requests, Windstream reserves the right to immediately disconnect SPRINT's access to Windstream Express.
- 17.7 If SPRINT is not able to provide the LOA for ninety-five percent (95%) of the end users requested by Windstream, or if Windstream determines that an LOA is inadequate, SPRINT will be considered in breach of the agreement. SPRINT can cure the breach by submitting to Windstream evidence of an LOA for each inadequate or omitted LOA within three (3) Business Days of notification of the breach.

that Windstream has erred in not accepting proof of an LOA, the Parties may immediately request dispute resolution in accordance with Section 9 of this Agreement. Windstream will not disconnect Windstream Express during the Dispute Resolution process.

**18.0 Amendments or Waivers**

- 18.1 Execution of this Agreement by either Party does not confirm or imply that the executing Party agrees with any decision(s) issued pursuant to the Telecommunications Act of 1996 and the consequences of those decisions on specific language in this Agreement. Neither Party waives its rights to appeal or otherwise challenge any such decision(s) and each Party reserves all of its rights to pursue any and all legal and/or equitable remedies, including appeals of any such decision(s).
- 18.2 Windstream certifies it is a 2% Rural Telephone Company and is entitled to all rights afforded 2% Rural Telephone Companies under the Act including, but not limited to, exemptions, suspensions, and modifications under 47 USC § 251(f). This Agreement does not affect, and Windstream does not waive, any rights including, but not limited to, the rights afforded Windstream under 47 USC § 251(f).
- 18.3 The Parties may mutually agree to amend this Agreement in writing. The Parties agree to work cooperatively and promptly to amend this Agreement and to implement any additions, changes, and/or corrections to this Agreement addressed by the mutually executed amendment

**19.0 Authority**

- 19.1 Each person whose signature appears below represents and warrants that they have the authority to bind the Party on whose behalf they executed this Agreement.

**20.0 Binding Effect**

- 20.1 This Agreement will be binding on and inure to the benefit of the respective successors and permitted assigns of the Parties.

**21.0 Consent**

- 21.1 Where consent, approval, or mutual agreement is required of a Party, it will not be unreasonably withheld or delayed.

**22.0 Expenses**

- 22.1 Except as specifically set out in this Agreement, each Party will be solely responsible for its own expenses involved in all activities related to the subject of this Agreement.

**24.0 Relationship of Parties**

- 24.1 This Agreement will not establish, be interpreted as establishing, or be used by either Party to establish or to represent their relationship as any form of agency, partnership or joint venture. Neither Party will have any authority to bind the other Party, nor to act as an agent for the other Party unless written authority, separate from this Agreement, is provided. Nothing in the Agreement will be construed as providing for the sharing of profits or losses arising out of the efforts of either or both of the Parties. Nothing herein will be construed as making either Party responsible or liable for the obligations and undertakings of the other Party.

**25.0 Conflict of Interest**

- 25.1 The Parties represent that no employee or agent of either Party has been or will be employed, retained, paid a fee, or otherwise received or will receive any personal compensation or consideration from the other Party, or any of the other Party's employees or agents in connection with the arranging or negotiation of this Agreement or associated documents.

**26.0 Multiple Counterparts**

- 26.1 This Agreement may be executed in multiple counterparts, each of which will be deemed an original but all of which will together constitute but one, and the same document.

**27.0 Third Party Beneficiaries**

- 27.1 Except as may be specifically set forth in this Agreement, this Agreement does not provide and will not be construed to provide third parties with any remedy, claim, liability, reimbursement, cause of action, or other privilege.

**28.0 Regulatory Approval**

- 28.1 Each Party agrees to cooperate with the other Party and with any regulatory agency to obtain regulatory approval. During the term of this Agreement, each Party agrees to continue to cooperate with the other Party and any regulatory agency so that the benefits of this Agreement may be achieved.
- 28.2 Upon execution of this Agreement, it shall be filed with the appropriate state regulatory agency pursuant to the requirements of §252 of the Act. If the state regulatory agency imposes any filing(s) or public interest notice(s) regarding the filing or approval of the Agreement, the Parties shall mutually decide as to the responsibility in making such filings or notices and any costs associated with the aforementioned filing(s) or notice(s). SPRINT agrees to comply with

- 29.2 Except as specifically set out in this Agreement, nothing in this Agreement will grant, suggest, or imply any authority for one Party to use the name, trademarks, service marks, or trade names of the other Party for any purpose whatsoever, absent written consent of the other Party.

**30.0 Regulatory Authority**

- 30.1 Each Party will be responsible for obtaining and keeping in effect all Federal Communications Commission, state regulatory commission, franchise authority and other regulatory approvals that may be required in connection with the performance of its obligations under this Agreement. Each Party will reasonably cooperate with the other Party in obtaining and maintaining any required approvals necessary for fulfilling its obligations under this Agreement.

**31.0 Verification Reviews**

- 31.1 Subject to each Party's reasonable security requirements and except as may be otherwise specifically provided in this Agreement, either Party may audit the other Party's relevant books, records and other documents pertaining to services provided under this Agreement once in each Contract Year solely for the purpose of evaluating the accuracy of the other Party's billing and invoicing. Such audit will take place at a time and place agreed on by the Parties no later than sixty (60) days after notice thereof.
- 31.2 The review will consist of an examination and verification of data involving records, systems, procedures and other information related to the services performed by either Party as related to settlement charges or payments made in connection with this Agreement as determined by either Party to be reasonably required. Each Party shall maintain reasonable records for a minimum of twelve (12) months and provide the other Party with reasonable access to such information as is necessary to determine amounts receivable or payable under this Agreement.
- 31.3 Adjustments, credits, or payments shall be made and any corrective action shall commence within thirty (30) days from the Requesting Party's receipt of the final audit report to compensate for any errors or omissions which are disclosed by such audit and are agreed to by the Parties. Audit findings may be applied retroactively for no more than twelve (12) months from the date the audit began. Interest shall not exceed one and one-half (1 ½%) of the highest interest rate allowable by law for commercial transactions shall be assessed and shall be computed by compounding daily from the time of the overcharge, not to exceed twelve (12) months from the date the audit began to the day of payment or credit. Any disputes concerning audit results will be resolved pursuant to the Dispute Resolution procedures described in §9.0 of this Agreement.
- 31.4 Each Party will cooperate fully in any such audit, providing reasonable access to any and all appropriate employees and books, records and other documents reasonably necessary to assess the accuracy of the Party's bills.
- 31.5 Verification reviews will be limited in frequency to once per twelve (12) month period, with

may, at its option, decline to comply with such request or may bill actual costs incurred in complying subsequent to the concurrence of the reviewing Party.

31.7 For purposes of conducting an audit pursuant to this Agreement, the Parties may employ other persons or firms for this purpose (so long as said Parties are bound by this Agreement). The Parties will bear their own reasonable expenses associated with the audit.

31.8 Information obtained or received by either Party in conducting the audit described in §31.0 shall be subject to the confidentiality provisions of §6.0 of this Agreement, whether or not marked as confidential.

**32.0 Complete Terms**

32.1 This Agreement sets forth the entire understanding and supersedes prior agreements between the Parties relating to the subject matter contained herein and merges all prior discussions between them, and neither Party shall be bound by any definition, condition, provision, representation, warranty, covenant or promise other than as expressly stated in this Agreement or as is contemporaneously or subsequently set forth in writing and executed by a duly authorized officer or representative of the Party to be bound thereby.

**33.0 Cooperation on Preventing End User Fraud**

33.1 The Parties agree to cooperate with one another to investigate, minimize, and take corrective action in cases of fraud. The Parties' fraud minimization procedures are to be cost-effective and implemented so as not to unduly burden or harm one Party as compared to the other Party.

33.2 In cases of suspected fraudulent activity by an end user, at a minimum, the cooperation referenced in the above paragraph will include providing to the other Party, upon request, information concerning end users who terminate services to that Party without paying all outstanding charges. The Party seeking such information is responsible for securing the end user's permission to obtain such information.

**34.0 Notice of Network Changes**

34.1 The Parties agree to provide each other with reasonable notice consistent with applicable FCC rules of changes in the information necessary for the transmission and routing of services using the other Party's facilities or networks, as well as other changes that affect the interoperability of those respective facilities and networks. Nothing in this Agreement is intended to limit either Party's ability to upgrade or modify its network, including without limitation, the incorporation of new equipment, new software or otherwise so long as such upgrades are not inconsistent with the Parties' obligations under this Agreement.

- 36.1 Each Party is an independent contractor, and has and hereby retains the right to exercise full control of and supervision over its own performance of its obligations under this Agreement and retains full control over the employment, direction, compensation and discharge of its employees assisting in the performance of such obligations. Each Party will be solely responsible for all matters relating to payment of such employees, including compliance with social security taxes, withholding taxes and all other regulations governing such matters. Each Party will be solely responsible for proper handling, storage, transport and disposal at its own expense of all (i) substances or materials that it or its contractors or agents bring to, create or assume control over at Work Locations or, (ii) waste resulting there from or otherwise generated in connection with its or its contractors' or agents' activities at the Work Locations. Subject to the limitations on liability and except as otherwise provided in this Agreement, each Party will be responsible for (i) its own acts and performance of all obligations imposed by applicable law in connection with its activities, legal status and property, real or personal and, (ii) the acts of its own affiliates, employees, agents and contractors during the performance of the Party's obligations hereunder.

37.0 **INTENTIONALLY LEFT BLANK**

38.0 **Governmental Compliance**

- 38.1 Each Party will comply at its own expense with all applicable law that relates to i) its obligations under or activities in connection with this Agreement; of ii) its activities undertaken at, in connection with or relating to Work Locations. The Parties agree to indemnify, defend, (at the other Party's request) and save harmless the other Party, each of its officers, directors and employees from and against any losses, damages, claims, demands, suits, liabilities, fines, penalties, and expenses (including reasonable attorneys' fees) that arise out of or result from i) its failure or the failure of its contractors or agents to so comply or ii) any activity, duty or status of it or its contractors or agents that triggers any legal obligation to investigate or remediate environmental contamination.

39.0 **Responsibility for Environmental Contamination**

- 39.1 SPRINT will in no event be liable to Windstream for any costs whatsoever resulting from the presence or release of any Environmental Hazard that SPRINT did not introduce to the affected work location. Windstream will indemnify, defend (at SPRINT's request) and hold harmless SPRINT, each of its officers, directors and employees from and against any losses, damages, claims, demands, suits, liabilities, fines, penalties and expenses (including reasonable attorneys' fees) that arise out of or result from (i) any Environmental Hazard that Windstream, its contractors or agents introduce to the Work Locations or (ii) the presence or release of any Environmental Hazard for which Windstream is responsible under applicable law.
- 39.2 Windstream will in no event be liable to SPRINT for any costs whatsoever resulting from the presence or release of any Environmental Hazard that Windstream did not introduce to the affected

**40.0     Subcontracting**

- 40.1     If a Party through a subcontractor performs any obligation under this Agreement, such Party will remain fully responsible for the performance of this Agreement in accordance with its terms, including any obligations either Party performs through subcontractors, and each Party will be solely responsible for payments due the Party's subcontractors. No subcontractor will be deemed a third party beneficiary for any purposes under this Agreement. Any subcontractor who gains access to Confidential Information covered by this Agreement will be required by the subcontracting Party to protect such Confidential Information to the same extent the subcontracting Party is required to protect the same under the terms of this Agreement.

**41.0     Referenced Documents**

- 41.1     Whenever any provision of this Agreement refers to a technical reference, technical publication, any publication of telecommunications industry administrative or technical standards, Windstream handbooks and manuals, or any other document specifically incorporated into this Agreement, it will be deemed to be a reference to the most recent version or edition (including any amendments, supplements, addenda, or successors) of each document that is in effect, and will include the most recent version or edition (including any amendments, supplements, addenda, or successors) of each document incorporated by reference in such a technical reference, technical publication, or publication of industry standards. However, if such reference material is substantially altered in a more recent version to significantly change the obligations of either Party as of the Effective Date of this Agreement and the Parties are not in agreement concerning such modifications, the Parties agree to negotiate in good faith to determine how such changes will impact performance of the Parties under this Agreement, if at all. Until such time as the Parties agree, the provisions of the last accepted and unchallenged version will remain in force.

**42.0     Severability**

- 42.1     If any term, condition or provision of this Agreement is held to be invalid or unenforceable for any reason, such invalidity or unenforceability will not invalidate the entire Agreement, unless such construction would be unreasonable. The Agreement will be construed as if it did not contain the invalid or unenforceable provision or provisions, and the rights and obligations of each Party will be construed and enforced accordingly; provided, however, that in the event such invalid or unenforceable provision or provisions are essential elements of this Agreement and substantially impair the rights or obligations of either Party, the Parties will promptly negotiate a replacement provision or provisions. If impasse is reached, the Parties will resolve said impasse under §9.0, Dispute Resolution.

**43.0     Survival of Obligations**

- 44.1 This Agreement shall be governed by and construed in accordance with federal law, the Act, and the FCC's Rules and Regulations, except insofar as state law may control any aspect of this Agreement, in which case the domestic laws of New York, without regard to its conflicts of laws principles, shall govern. The Parties submit to personal jurisdiction in New York.

**45.0 Other Obligations of SPRINT**

- 45.1 To establish service and provide efficient and consolidated billing to SPRINT, SPRINT is required to provide a CLEC Profile, which includes its authorized and nationally recognized Operating Company Number ("OCN"), to establish SPRINT's billing account. SPRINT will be provided with a billing account number ("BAN") for each CLEC Profile submitted.
- 45.2 SPRINT shall use Windstream's electronic operations support system access platform (Windstream Express) to submit orders and requests for maintenance and repair of services, and to engage in other pre-ordering, ordering, provisioning, maintenance and repair transactions. If Windstream has not deployed an electronic capability, SPRINT shall use such other processes as Windstream has made available for performing such transaction (including, but not limited, to submission of orders by telephonic facsimile transmission and placing trouble reports by voice telephone transmission). If SPRINT chooses to submit orders manually, when Windstream's electronic operations support system access platform (Windstream Express) is available, SPRINT will pay a manual order charge as reflected in the applicable Windstream tariff.
- 45.3 SPRINT represents and covenants that it will only use Windstream Express pursuant to this Agreement for services covered by this Agreement, for which this Agreement contains explicit terms, conditions and rates.

**46.0 Customer Inquiries**

- 46.1 Each Party will refer all questions regarding the other Party's services or products directly to the other Party at a telephone number specified by that Party.
- 46.2 Each Party will ensure that all of their representatives who receive inquiries regarding the other Party's services or products: (i) provide the numbers described in §47.1; and (ii) do not in any way disparage or discriminate against the other Party or its services or products.

**47.0 Disclaimer of Warranties**

- 47.1 EXCEPT AS OTHERWISE SPECIFICALLY PROVIDED IN THIS AGREEMENT, NEITHER PARTY MAKES ANY REPRESENTATIONS OR WARRANTIES, EXPRESS OR IMPLIED, INCLUDING BUT NOT LIMITED TO ANY WARRANTY AS TO MERCHANTABILITY OR FITNESS FOR INTENDED OR PARTICULAR PURPOSE WITH RESPECT TO SERVICES PROVIDED HEREUNDER. ADDITIONALLY,

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53.0 **Definitions and Acronyms**

**53.1 Definitions**

For purposes of this Agreement, certain terms have been defined in Attachment 20: Definitions and elsewhere in this Agreement to encompass meanings that may differ from, or be in addition to, the normal connotation of the defined word. Unless the context clearly indicates otherwise, any term defined or used in the singular will include the plural. The words "will" and "shall" are used interchangeably throughout this Agreement and the use of either connotes a mandatory requirement. The use of one or the other will not mean a different degree of right or obligation for either Party. A defined word intended to convey its special meaning is capitalized when used.

**53.2 Acronyms**

Other terms that are capitalized and not defined in this Agreement will have the meaning in the Act. For convenience of reference only, Attachment 21: Acronyms provides a list of acronyms used throughout this Agreement.

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58.0 **Other Requirements and Attachments**

58.1 This Agreement incorporates a number of listed Attachments, which, together with their associated

services or activities listed in that particular Attachment. In particular, if an Attachment contains a term length that differs from the term length in the main body of this Agreement, the term length of that Attachment will control the length of time that services or activities are to occur under the Attachment, but will not affect the term length of other attachments.

Attachment 4: Network Interconnection Architecture  
Attachment 9: Directories  
Attachment 12: Compensation  
Attachment 13: Numbering  
Attachment 14: Number Portability  
Attachment 18: Performance Measures  
Attachment 19: Bona Fide Request (BFR) Process  
Attachment 20: Definitions  
Attachment 21: Acronyms

**THIS AGREEMENT CONTAINS A BINDING ARBITRATION PROVISION, WHICH MAY BE ENFORCED BY THE PARTIES.**

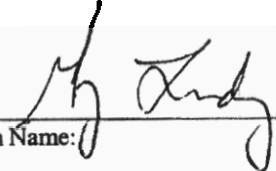
IN WITNESS WHEREOF, the Parties hereto have caused this Agreement and Attachments to be executed as of the latter of the dates that the Parties executed the agreement.

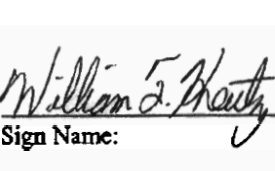
SPRINT Communications Company L.P.

Windstream New York, Inc.

Gary Lindsey  
Print Name

William F. Kreutz  
Print Name

 6/26/07  
Sign Name: Date

 07/12/07  
Sign Name: Date

Director – Network Access  
Position/Title  
SPRINT Communications Company L.P.

Vice President – Regulatory Strategy  
Position/Title  
Windstream New York, Inc.

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**ATTACHMENT 2: INTENTIONALLY LEFT BLANK**

ATTACHMENT 3: INTENTIONALLY LEFT BLANK

## **ATTACHMENT 4: NETWORK INTERCONNECTION ARCHITECTURE**

### **1.0 Scope**

- 1.1 This Attachment describes the arrangements that may be utilized by the Parties for interconnection of their respective networks for the transmission and routing of Telephone Exchange Service and Exchange Access Service pursuant to §251 of the Act. Direct Network Interconnection will be provided by the Parties at any technically feasible point within Windstream's interconnected network within a LATA. It is SPRINT's responsibility to establish a single point of interconnection within Windstream's interconnected network within each LATA where the Parties interconnect their networks. The Parties will utilize the interconnection methods as specified in Section 2 below unless otherwise mutually agreed to in writing by the Parties.
- 1.2 Each Party is responsible for the appropriate sizing, operation, and maintenance of the facilities on its side of each IP. Each IP must be located within Windstream's interconnected network in the LATA in which traffic is originating. An IP determines the point up to which the originating Party shall be responsible for providing at its own expense, the call transport with respect to its local traffic and intraLATA toll traffic.
- 1.3 An Interconnection Point ("IP"), as defined in §2.0 of this Attachment will be designated for each interconnection arrangement established pursuant to this Agreement.
- 1.4 This Attachment is based on the network configuration and capabilities of the Parties as they exist on the date of this Agreement. If those factors change (i.e., Windstream deploys a new tandem office or becomes an E-911 provider), the Parties will negotiate in good faith to modify this Agreement in order to accommodate the changes and to provide the services made possible by such additional capabilities to SPRINT.

### **2.0 Interconnection**

There are two methods of interconnection available; direct interconnection and indirect interconnection.

- 2.1 Direct interconnection provides for network interconnection between the Parties at a technically feasible point on Windstream's interconnected network within a LATA as described in Section 2.1.1 and subject to Section 2.2. Direct interconnection shall be accomplished by, including but not limited to, one or more of the following methods: 1) lease arrangements, 2) jointly provisioned facilities arrangements 3) third party.
  - 2.1.1 In order to gain direct connectivity, the IP is required at one of the following locations:
    - a) IP at the Windstream Access Tandem Office where available, or ;
    - b) IP at the Windstream End Office. Connectivity to this End Office may also provide access to a Windstream remote central office. ;or
    - c) Exchange boundary.

- 2.1.4 If SPRINT uses a third party to reach the IP, SPRINT will bear 100% of all third party charges for facilities to reach the IP and associated traffic.
- 2.2 The Parties shall utilize direct end office trunk groups under any one of the following conditions:
  - 2.2.1 Tandem Exhaust - If a tandem through which the Parties are interconnected is unable to, or is forecasted to be unable to support additional traffic loads for any period of time, the Parties will mutually agree on an end office trunking plan that will alleviate the tandem capacity shortage and ensure completion of traffic between SPRINT and Windstream.
  - 2.2.2 Traffic Volume - To the extent either Party has the capability to measure the amount of traffic between SPRINT's switch and a Windstream end office and where such traffic exceeds or is forecasted to exceed a single DS1 of traffic per month, then the Parties shall install and retain direct end office trunking sufficient to handle such traffic volumes. Either Party will install additional capacity between such points when overflow traffic exceeds or is forecasted to exceed a single DS1 of traffic per month. In the case of one-way trunking, additional trunking shall only be required by the Party whose trunking has achieved the preceding usage threshold.
  - 2.2.3 Mutual Agreement - The Parties may install direct end office trunking upon mutual agreement in the absence of conditions (2.2.1) or (2.2.2) above.
- 2.3 Except as set forth in Section 2.5 below, both Parties agree only to deliver traffic to the other pursuant to and consistent with the terms of this Agreement. Neither Party shall utilize a third party for the delivery of traffic to the other pursuant to this Agreement without the consent of all Parties and without the establishment of mutually agreeable terms and conditions among all Parties. This Agreement does not obligate either Party to utilize any intermediary or transit traffic functions of the other Party. Notwithstanding the foregoing, SPRINT may provide intermediary functions to its customers whose end user's traffic is local traffic.
- 2.4 Neither Party shall deliver: (i) traffic destined to terminate at the other Party's end office via another LEC's end office, or (ii) traffic destined to terminate at an end office subtending the other Party's access tandem via another LEC's access tandem.
- 2.5 Notwithstanding Section 2.3 above, indirect interconnection provides for network interconnection between the Parties through a third party tandem provider performing a transit function. If the traffic volumes between SPRINT and a Windstream End Office delivered by the third party tandem provider meet the Centum Call Seconds (CCS) equivalent of one DS-1 (i.e. 500 busy hour CCS), for three (3) consecutive months, SPRINT shall within forty-five (45) days establish direct End Office trunk groups.

### **3.0 Signaling Requirements**

- 3.1 Signaling protocol. The Parties will interconnect their networks using SS7 signaling where technically feasible and available as defined in ETSI 300 305 Bellcore Standards including ISDN user

- 3.3 The following list of publications describe the practices, procedures and specifications generally utilized by the industry for signaling purposes and are listed herein to assist the Parties in meeting their respective interconnection responsibilities related to signaling:

GR-000246-CORE, Bell Communications Research Specifications of Signaling System 7 ("SS7")

GR-000317-CORE, Switching System Requirements for Call Control Using the Integrated Services Digital Network User Part

GR-000394-CORE, Switching System Requirements for Interexchange Carrier Interconnection Using the Integrated Services Digital Network User Part

GR-000606-CORE, LATA Switching Systems Generic Requirements-Common Channel Signaling-§6.5

GR-000905-CORE, Common Channel Signaling Network Interface Specification Supporting Network Interconnection Message Transfer Part ("MTP") and Integrated Digital Services Network User Part ("ISDNUP")

- 3.4 The Parties will cooperate on the exchange of Transactional Capabilities Application Part (TCAP) messages to facilitate interoperability of CCS-based features between their respective networks, including all CLASS features and functions, to the extent each Party offers such features and functions to its end users. All CCS signaling parameters will be provided including, without limitation, Calling Party Number (CPN), Originating Line Information ("OLI"), calling party category and charge number.
- 3.5 Where available each Party shall cooperate to ensure that all of its trunk groups are configured utilizing the B8ZS ESF protocol for 64 kbps clear channel transmission to allow for ISDN interoperability between the Parties' respective networks.
- 3.6 The Parties shall jointly develop a grooming plan (the "Joint Grooming Plan") which shall define and detail, inter alia,
- 3.6.1 disaster recovery provisions and escalations;
  - 3.6.2 direct/high usage trunk engineering guidelines; and
  - 3.6.3 such other matters as the Parties may agree.
- 3.7 If a Party makes a change in its network, which it believes will materially affect the interoperability of its network with the other Party, the Party making the change shall provide thirty- (30) days advance written notice of such change to the other Party.

- 4.1.1.1 Where technically feasible, the Parties shall make available to each other two-way trunks for the reciprocal exchange of combined Local Traffic and IntraLATA toll traffic. In such case, each Party will provide to each other its Percentage of Local Use (PLU) for billing purposes. If either Party questions the accuracy of the other's PLU, that issue may be included in a verification review as provided in §32.0 of the General Terms and Conditions. If at any time during the term of this Agreement, the average monthly number of minutes of use (combined Local Traffic and IntraLATA toll traffic) terminated by either Party on the network of the other exceeds the generally accepted engineering practices as mutually agreed to by the Parties, the Party on whose network those minutes have been terminated may elect to require jurisdictionally separate trunks for Local Traffic and IntraLATA toll traffic.
- 4.1.1.2 Each Party's operator bureau shall accept BLV and BLVI inquiries from the operator bureau of the other Party in order to allow transparent provisioning of BLV/BLVI traffic between the Parties' networks. Each Party shall route BLV/BLVI inquiries between the Parties respective operator bureaus.

#### **4.2 Trunking**

- 4.2.1 Trunking will be established at the DS-1 level or DS-0 level, and facilities will be established at the DS-1 level, or higher, as agreed upon by the Parties. All trunking will be jointly engineered to an objective P.01 grade of service. The Parties may utilize additional end office trunking depending upon traffic volume.
- 4.2.2 Where Windstream is a 911 provider, separate trunks connecting SPRINT's switch to Windstream's E911 routers will be established by SPRINT. If SPRINT purchases such services from Windstream, they will be provided at applicable tariff rates. For all 911/E911 traffic originating from SPRINT, it is the responsibility of SPRINT and the appropriate state or local public safety-answering agency to negotiate the manner in which 911/E911 traffic from SPRINT will be processed.
- 4.2.3 SPRINT will not route traffic to Windstream's local end office switches to act as a tandem on SPRINT's behalf nor will Windstream route traffic to SPRINT's local end office switches to act as a tandem on Windstream's behalf.
- 4.2.4 This Agreement is applicable only to Windstream's serving areas. Windstream will not be responsible for interconnections or contracts relating to any of SPRINT's interconnection with any other Carrier.

### **5.0 Network Management**

#### **5.1 Protective Protocols**

Where the capability exists, originating or terminating traffic reroutes may be implemented by either Party to temporarily relieve network congestion due to facility failures or abnormal calling patterns. Reroutes will not be used to circumvent normal trunk servicing. Expansive controls will only be used when mutually agreed to by the Parties.

### **5.3 Mass Calling**

The Parties shall cooperate and share pre-planning information, where available, regarding cross-network call-ins expected to generate large or focused temporary increases in call volumes, to prevent or mitigate the impact of these events on the public switched network.

## **6.0 Forecasting/Service Responsibilities**

- 6.1 Both Parties agree to provide an initial forecast for establishing the initial interconnection facilities. Subsequent forecasts will be provided on a semi-annual basis.
- 6.2 Windstream shall be responsible for forecasting and servicing the trunk groups terminating to SPRINT. SPRINT shall be responsible for forecasting and servicing the trunk groups terminating to Windstream end users. Standard trunk traffic engineering methods will be used as described in Bell Communications Research, Inc. (Bellcore) document SR-TAP-000191, Trunk Traffic Engineering Concepts and Applications.
- 6.3 The Parties shall both be responsible for efficient planning and utilization of the network and employ all reasonable means of forecasting, monitoring and correcting for inefficient use of the network. The Parties will conduct facility planning meetings to determine initial and subsequent utilization standards subsequent to execution of this Agreement but prior to direct interconnection in accordance with §3.5 of this Appendix preceding.
- 6.4 Each Party shall provide a specified point of contact for planning, forecasting and trunk servicing purposes.

## **7.0 Trunk Servicing**

- 7.1 Orders between the Parties to establish, add, change or disconnect trunks shall be processed by use of an Access Service Request ("ASR") or another industry standard method subsequently adopted by the Parties to replace the ASR for local trunk ordering.
- 7.2 The Parties shall jointly manage the capacity of local Interconnection Trunk Groups. Either Party may send the other Party an ASR to initiate changes to the Local Interconnection Trunk Groups that the ordering Party desires based on the ordering Party's capacity assessment.
- 7.3 Orders that comprise a major project (i.e., new switch deployment) shall be submitted in a timely fashion, and their implementation shall be jointly planned and coordinated.

- 7.7 Each Party will perform sectionalization to determine if a trouble is located in its facility or its portion of the interconnection trunks prior to referring the trouble to each other.
- 7.8 The Parties will advise each other's Control Office if there is an equipment failure, which may affect the interconnection trunks.
- 7.9 Each Party will provide to each other test-line numbers and access to test lines.
- 7.10 The Parties will cooperatively plan and implement coordinated repair procedures for the local interconnection trunks to ensure trouble reports are resolved in a timely and appropriate manner.
- 7.11 A blocking standard of one-half of one percent (.005) during the average busy hour for final trunk groups between an SPRINT end office and Windstream access tandem carrying meet point traffic shall be maintained. All other final trunk groups are to be engineered with a blocking standard of one percent (.01). Windstream will engineer all interconnection trunks between the Parties to a 6 db of digital pad configuration.

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## **ATTACHMENT 9: DIRECTORIES**

This Attachment 9: Directories sets forth terms and conditions with respect to the printing and distribution of White Pages directory in addition to the General Terms and Conditions.

### **1.0 Introduction**

- 1.1 Windstream obtains the publication of White Pages and Yellow Pages directories (Windstream Directories) for geographic areas in which SPRINT may also provide local exchange telephone service, and SPRINT wishes to include listing information for its customers in the appropriate Windstream Directories.
- 1.2 Windstream will include SPRINT's customer listings in the appropriate Windstream White Pages directory in accordance with § 2.0 Resale and § 3.0 Other, as specified in this Attachment. The Parties agree that § 2.0 Resale shall be applicable to customers which SPRINT serves through a Resale Agreement, and § 3.0 Other relates to all other customers served by SPRINT.
- 1.3 Any references in this Attachment to Windstream procedures, practices, requirements, or words of similar meaning, shall also be construed to include those of Windstream's contractors that produce directories on its behalf.

### **2.0 Service Provided - Resale**

- 2.1 Windstream will include in appropriate White Pages directories the primary alphabetical listings of the Sprint customers Sprint chooses to have included that are located in the local directory scope.
- 2.2 SPRINT will furnish to Windstream subscriber listing information pertaining to SPRINT customers located within the Windstream local directory area, along with such additional information as Windstream may require to prepare and print the alphabetical listings of said directory.
- 2.3 Windstream will include the listing information for SPRINT's customer for Resale Services in Windstream's White Pages directory database in the same manner as it includes listing information for Windstream's end user customers.
- 2.4 Windstream will provide SPRINT with format requirements and procedures for submitting directory listings and directory updates.
- 2.5 SPRINT may purchase Enhanced White Pages listings for residential customers on a per listing basis, and will pay Windstream amounts attributable to such Enhanced Listings used by its customers.
- 2.6 SPRINT's subscriber listings will be inter-filed (interspersed) with Windstream's and other local

- 2.8 Windstream will distribute any subsequent directories in accordance with the same practices and procedures used by Windstream.
- 2.9 At its option, SPRINT may purchase information pages (Customer Guide Pages) in the informational section of the Windstream White Pages directory covering the geographic area(s) it is serving. These pages will be in alphabetical order with other local service providers and will be no different in style, size, color and format than Windstream information pages. Sixty (60) days prior to the directory close date, SPRINT will provide to Windstream the information page(s) in camera ready format. Windstream will have the right to approve or reject the format and content of such information page(s) and, with SPRINT's agreement, Windstream may, but is not required to, revise the format and content of such information page(s).
- 2.10 Windstream will include SPRINT specific information (i.e., business office, residence office, repair bureau, etc.) in the White Pages directory on an "index-type" information page, in alphabetical order along with other local service providers, at no charge. The space available to SPRINT on such page will be 1/8<sup>th</sup> page in size. In order to have such information published, SPRINT will provide Windstream, sixty (60) days prior to the directory close date, with its logo and information in the form of a camera ready copy, sized at 1/8<sup>th</sup> of a page. SPRINT will be limited to a maximum of 1/8<sup>th</sup> of a page in any single edition of a Windstream White Pages directory.
- 2.11 The Parties shall cooperate so that Yellow Page advertisements purchased by customers who switch to SPRINT as their local service provider (including customers utilizing SPRINT-assigned telephone numbers and SPRINT customers utilizing local number portability (LNP)) are provided in accordance with standard Windstream practices. Yellow Page services will be offered to SPRINT's customers on the same basis that they are offered to Windstream's customers. Such services will be provided through Windstream's yellow pages affiliate, its agent or assignee.

### **3.0 Service Provided - Other**

- 3.1 Windstream will include in appropriate White Pages directories the primary alphabetical listings of the Sprint customers Sprint chooses to have included that are located in the local directory scope.
- 3.2 At no charge to SPRINT, Windstream agrees to include one basic White Pages listing for each SPRINT customer located within the geographic scope of its White Page Directories, and a courtesy Yellow Page listing for each SPRINT business customer located within the geographical scope of its Yellow Page directories.
  - 3.2.1 A basic White Page listing is defined as a customer name, address, and either the SPRINT assigned number for a customer or the number for which number portability is provided, but not both numbers. Basic White Pages listings of SPRINT customers will be inter-filed with listings of Windstream and other LEC customers.

- 3.5 SPRINT will provide its subscriber listing information to Windstream, in a manner and format prescribed by Windstream, via FAX.
- 3.6 SPRINT will provide to Windstream a forecasted amount of the number of directories, which SPRINT will need, for its customers prior to directory publication.
- 3.7 Windstream makes no guarantee as to the availability of directories beyond the forecasted amount provided by SPRINT.
- 3.8 SPRINT agrees to pay Windstream per book, as indicated in Exhibit A: Directories Price List, after an Initial Book order.
- 3.9 If SPRINT desires subsequent directories after the initial distribution, Windstream, subject to the availability of such directories, agrees to provide subsequent directories at the YPPA rates in Exhibit A: Directories Price List.
- 3.10 Windstream will deliver White Pages directories to SPRINT customers. The timing of delivery and the determination of which White Pages directories will be delivered (by customer address, NPA/NXX or other criteria), and the number of White Pages directories to be provided per customer, will be provided under the same terms that Windstream delivers White Pages directories to its own end users.
- 3.11 Windstream will distribute any subsequent directories in accordance with the same practices and procedures used by Windstream.
- 3.12 At its option, SPRINT may purchase information pages (Customer Guide Pages) in the informational section of the Windstream White Pages directory covering the geographic area(s) it is serving. These pages will be in alphabetical order with other local service providers and will be no different in style, size, color and format than Windstream information pages. Sixty (60) days prior to the directory close date, SPRINT will provide to Windstream the information page(s) in camera ready format. Windstream will have the right to approve or reject the format and content of such information page(s), and, with SPRINT's agreement, Windstream may, but is not required to, revise the format and content of such information page(s).
- 3.13 Windstream will include SPRINT specific information (i.e., business office, residence office, repair bureau, etc.) in the White Pages directory on an "index-type" information page, in alphabetical order along with other local service providers, at no charge. The space available to SPRINT on such page will be 1/8<sup>th</sup> page in size. In order to have such information published, SPRINT will provide Windstream with its logo and information in the form of a camera ready copy, sized at 1/8<sup>th</sup> of a page. SPRINT will be limited to a maximum of 1/8<sup>th</sup> of a page in any single edition of a Windstream White Pages directory.
- 3.14 The Parties shall cooperate so that Yellow Page advertisements purchased by customers who switch to SPRINT as their local service provider (including customers utilizing SPRINT-assigned telephone numbers and SPRINT customers utilizing LNP) are provided in accordance with

and all associated equipment and data processing systems, unless said losses or damages result from Windstream's gross negligence or willful or wanton or intentional misconduct. Any losses or damages for which Windstream is held liable under this Agreement to SPRINT, shall in no event exceed the amount of the charges billed to SPRINT for White Pages services with respect to the period beginning at the time notice of the error, interruption, defect, failure, or malfunction is received by Windstream to the time Service is restored.

- 4.2 SPRINT agrees to defend, indemnify, and hold harmless Windstream from any and all losses, damages, or other liability that Windstream may incur as a result of claims, demands, wrongful death actions, or other claims by any Party that arise out of SPRINT's end user customers' use of the White Pages services, or the negligence or wrongful act of SPRINT except to the extent any such losses, damages or other liability solely from Windstream's gross negligence or willful misconduct. SPRINT will defend Windstream against all customer claims just as if SPRINT had provided such service to its customer with SPRINT's own employees and will assert its contractual or tariff limitation of liability, if any, for the benefit of both Windstream and SPRINT.
- 4.3 SPRINT agrees to release, defend, indemnify, and hold harmless Windstream from any claims, demands, or suits with respect to any infringement or invasion of privacy or confidentiality of any person or persons caused or claimed to be caused, directly, or indirectly, by Windstream employees or equipment associated with provision of the White Pages services, except to the extent any such losses, damages or other liability is based on or results from Windstream's gross negligence or willful misconduct. This provision includes but is not limited to suits arising from disclosure of the telephone number, address, or name associated with the telephone called or the telephone used in connection with White Pages services.

## 5.0 Pricing

- 5.1 Prices for White Pages services are as contained on Exhibit A: Directories Price List, attached hereto and incorporated herein.

**EXHIBIT A: DIRECTORIES PRICE LIST**

**Price Per Additional White Page listing: \$3.00**

**Price Per Single Sided Informational Page:**

**6x9**

1 additional information page	\$475.00
2 additional information pages	\$750.00

**9x11**

1 additional information page	\$1,225.00
2 additional information pages	\$1,440.00

**Price Per Book Copy Ordered after Initial Order: (See Below)**

DIR ST CODE	DIRECTORY NAME	WHOLESALE PRICE	RETAIL PRICE	SUBCODE
AL 1364	Eclectic	10.95	16.43	623
AL 1634	Leeds	10.85	16.28	625
AR 4195	Crossett	9.25	13.88	101
AR 4267	Elaine	9.25	13.88	102
AR 4270	Elkins	12.65	18.98	103
AR 4313	Fordyce	9.25	13.88	104
AR 4221	Glenwood Regional	14.10	21.15	105
AR 4360	Greenbrier	10.70	16.05	106
AR 4382	Harrison Regional	14.25	21.38	107
AR 4658	Mulberry	9.25	13.88	108
AR 4725	Perryville	7.35	11.03	495
AR 4897	Tuckerman	9.25	13.88	109
FL 12365	Alachua	13.80	20.70	614
FL 12113	Callahan	11.00	16.50	611
FL 12142	Citra	12.70	19.05	612
FL 12342	Hastings	11.65	17.48	613
FL 12526	Live Oak	11.00	16.50	615
GA 13030	Adel	6.95	10.43	629
GA 13580	Canton	10.30	15.45	630
GA 14010	Dalton	9.70	14.55	632
GA 14070	Douglas	6.55	9.83	634
GA 14230	Fitzgerald	7.45	11.18	635
GA 14250	Folkston	6.40	9.60	713
GA 14320	Glennville	6.70	10.05	714

GA	13350	Lower Chattahoochee Valley	18.45	27.68	480
GA	14880	Manchester	5.80	8.70	637
GA	14940	McRae	5.70	8.55	638
GA	14980	Milledgeville	6.70	10.05	639
GA	15030	Monroe	6.60	9.90	640
GA	15070	Moultrie	6.25	9.38	641
GA	14680	Northwest	7.75	11.63	717
GA	13510	Perry Regional	15.85	23.78	616
GA	15150	Quitman	5.90	8.85	643
GA	13940	Southwest Georgia	10.90	16.35	631
GA	15390	Springfield	6.85	10.28	720
GA	15430	Summerville	5.90	8.85	644
GA	15470	Sylvania	8.70	13.05	721
GA	15520	Thomas County	6.50	9.75	645
GA	15530	Thomaston	6.50	9.75	722
GA	15850	Winder	7.90	11.85	647
KY	29886	Shepherdsville	12.55	18.83	110
MS	39302	Florence	13.25	19.88	619
MO	40012	Albany/Gallatin	11.50	17.25	129
MO	40136	Bolivar	18.20	27.30	128
MO	40522	Dixon	8.95	13.43	111
MO	40538	Doniphan	9.25	13.88	112
MO	41055	Liberal	9.25	13.88	113
MO	41145	Madison	9.25	13.88	114
MO	41243	Milan/Mendon	9.25	13.88	115
MO	41564	Purdy	9.03	13.55	117
MO	41802	Silex/Vandalia	10.52	15.78	127
MO	41852	Stover	9.25	13.88	118
NY	52371	Fulton/Surburban Syracuse	15.15	22.73	701
NY	50734	Jamestown/Warren	11.65	17.48	702
NY	51282	Munnsville	12.15	18.23	703
NY	52140	Shortsville	11.45	17.18	704
NY	52652	West Winfield	13.10	19.65	705
NC	53002	Aberdeen/Pinebluff	10.20	15.30	607
NC	54746	Anson & Union Counties	10.10	15.15	609
NC	53315	Denton	14.65	21.98	601
NC	53526	Granite Quarry	12.95	19.43	602
NC	53802	Laurel Hill	12.95	19.43	603
NC	54024	Matthews	16.85	25.28	604
NC	54098	Mooreville	13.20	19.80	605
NC	54265	Norwood	11.60	17.40	606
NC	54945	Old Town	16.90	25.35	610

OH	57394	Hopedale	11.75	17.63	411
OH	57485	Kenton	8.35	12.53	403
OH	58044	Newark	14.55	21.83	404
OH	58265	Paulding	10.55	15.83	405
OH	58370	Quaker City	12.15	18.23	413
OH	58435	St. Paris	12.60	18.90	406
OH	57403	Western Reserve Area	20.30	30.45	412
OK	60481	Burns Flat	9.40	14.10	120
OK	59866	Kiowa	9.40	14.10	121
OK	60281	LeFlore County	10.35	15.53	125
OK	60514	Stilwell	9.40	14.10	126
OK	60646	Velma	9.40	14.10	122
PA	62010	Albion	10.15	15.23	801
PA	63335	Apollo/Leechburg	12.55	18.83	304
PA	62388	Brookville & Vicinity	9.35	14.03	802
PA	64120	Cameron & Elk	12.90	19.35	308
PA	62605	Coalport/Glasgow	10.15	15.23	302
PA	62926	Enon Valley	10.65	15.98	804
PA	63265	Jamestown /Westford	11.75	17.63	303
PA	62047	Kittanning	12.55	18.83	301
PA	63359	Knox & Vicinity	9.95	14.93	805
PA	63417	Lansford	10.25	15.38	305
PA	63620	Meadville	13.25	19.88	306
PA	63721	Muncy	13.00	19.50	307
PA	62981	Murrysville	13.80	20.70	818
PA	63777	New Bethlehem-Sligo	6.90	10.35	131
PA	64138	Rimersburg/Callensburg	9.90	14.85	808
PA	64267	Sheffield	10.15	15.23	809
PA	64591	Warriors Mark	11.85	17.78	810
PA	64637	Waynesburg	13.25	19.88	309
SC	66445	Inman	11.90	17.85	622
SC	66454	Kershaw	10.85	16.28	621
SC	66538	Lexington	15.55	23.33	620
SC	66730	St. Matthews	12.95	19.43	627
TX	69118	Anahuac	9.35	14.03	201
TX	69980	Coolidge	7.45	11.18	490
TX	70662	Garrison	6.65	9.98	868
TX	70820	Grandview	9.15	13.73	207
TX	72142	Nocona	9.35	14.03	124
TX	72436	Plains	9.35	14.03	209
TX	73192	Sweeny	6.20	9.30	864
TX	73164	West Suburban Houston	13.60	20.40	862

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## **ATTACHMENT 12: COMPENSATION**

### **1.0 Introduction**

- 1.1 For purposes of compensation under this Agreement, the telecommunications traffic exchanged between the Parties will be classified as Local Traffic, IntraLATA Interexchange Traffic, or InterLATA Interexchange Traffic. The Parties agree that, notwithstanding the classification of traffic by SPRINT with respect to its end users, the classification of traffic provided in this Agreement shall control with respect to compensation between the Parties under the terms of this Agreement. The provisions of this Attachment shall not apply to services provisioned by Windstream to SPRINT as local Resale Services.
- 1.2 Calls originated by SPRINT end user's and terminated to Windstream end user's (or vice versa) will be classified as "Local Traffic" under this Agreement if: (i) the call originates and terminates in the same Windstream Exchange; or (ii) originates and terminates within different Windstream Exchanges that share a common mandatory local calling area, e.g., mandatory Extended Area Service (EAS), mandatory Extended Local Calling Service (ELCS), or other like types of mandatory expanded local calling scopes as specified or defined by Windstream tariffs. ISP Bound Traffic is not included in the compensation of local traffic.
- 1.3 The Parties agree to exchange ISP Bound Traffic in accordance with the Order on Remand by the Federal Communications Commission ("FCC") in CC Docket No. 96-98 on April 27, 2001. Specifically, Windstream has not offered or adopted the FCC's rate caps as set forth in that Order; pursuant to paragraph 81 of that Order, Windstream is required to pay interCarrier compensation for ISP Bound Traffic on a bill and keep basis. Further, the Parties acknowledge that because they did not exchange any ISP Bound Traffic pursuant to an interconnection agreement prior to the date of the above-referenced Order, all minutes of ISP Bound traffic are to be exchanged on a bill and keep basis between the Parties in accordance with paragraph 81 of the Order, such that neither Party owes the other Party any compensation for the origination, transport or termination of such traffic.
- 1.4 Traffic, other than ISP Bound Traffic and Local Traffic, shall be terminated to a Party subject to that Party's tariffed access charges.
- 1.5 A Party will notify the other of the date when its first commercial call is terminated to the other Party pursuant to this Attachment.

### **2.0 Responsibilities of the Parties**

- 2.1 Each Party will be responsible for the accuracy and quality of the data it submits to the other Party.
- 2.2 Each Party will provide the other Party the originating Calling Party Number (CPN) with respect to each call terminated on the other Party's network to enable each Party to issue bills in a complete and timely fashion. All CCS signaling parameters will be provided including CPN.

- 2.5 All calls exchanged without CPN will be billed as IntraLATA Interexchange Traffic, if the failure to transmit CPN is not caused by technical malfunctions. In the event that technical malfunctions result in lack of transmission of CPN, the Parties will cooperate in attempting to resolve such technical malfunctions and the Parties will develop and utilize mutually agreeable surrogate methods for determining compensation that shall be utilized until the technical malfunctions are resolved.

**3.0 Reciprocal Compensation for Termination of Local Traffic**

- 3.1 Each Party will be compensated for the exchange of Local Traffic, as defined in §1.2 of this Attachment, in accordance with the provisions of §3.0.
- 3.2 The Parties agree to reciprocally exchange Local Traffic between their networks. Each Party shall bill its end-users for such traffic and will be entitled to retain all revenues from such traffic without payment of further compensation to the other Party.
- 3.3 Upon data submitted by one of the Parties, and agreed to by the other Party, supporting the level of traffic exchanged between the Parties is out of balance using a ratio of 60%/40% for three (3) consecutive months (one Party originates 60% or more of the traffic exchanged), the parties agree to a reciprocal compensation minute of use rate of \$0.01.
- 3.4 Any interexchange telecommunications traffic utilizing the Public Switched Telephone Network, regardless of transport protocol method, where the originating and terminating points, end-to-end points, are in different LATAs, or in different local calling areas as defined by the originating Party and delivered to the terminating Party using switched access services shall be considered Switched Access Traffic. The traffic described herein shall not be considered local traffic. Irrespective of transport protocol method used, a call that originates in one LATA and terminates in another LATA (i.e. the end-to-end points of the call) shall not be compensated as local.

**4.0 Reciprocal Compensation for Termination of IntraLATA Interexchange Traffic**

- 4.1 Compensation for termination of intrastate intraLATA interexchange service traffic will be at the applicable terminating access rates for Message Telephone Service (MTS) and originating access rates for 800 Service, including the Carrier Common Line (CCL) charge, as set forth in the relevant Party's intrastate access service tariff or price list. Compensation for termination of interstate intraLATA traffic will be at the applicable terminating access rates for MTS and originating access rates for 800 Service including the CCL charge, as set forth in the relevant Party's interstate access service tariff.
- 4.2 In the event that SPRINT does not have a filed intraLATA Interexchange tariff for access service, SPRINT agrees to utilize rates that do not exceed Windstream's tariffed access rates.

- 5.3 The Parties will each establish their respective MPB arrangements applicable to its provision of switched access services to Interexchange Carriers via its access tandem switch and such arrangements will be in accordance with the MPB guidelines adopted by and contained in the Ordering and Billing Forum's MECOD and MECAB documents. Except as modified herein, MPB arrangements will be determined during joint network planning.
- 5.4 Each Party will maintain provisions in its federal and state access tariffs, or provisions within the National Exchange Carrier Association (NECA) Tariff No. 4, or any successor tariff, sufficient to reflect the MPB arrangements, including MPB percentages, developed in accordance with this Agreement.
- 5.5 As detailed in the MECAB document, the Parties will exchange all information necessary to accurately, reliably and promptly bill third parties for Switched Access Services jointly handled by the Parties via the MPB arrangement. The Parties will exchange the information in Exchange Message Interface (EMI) format, on magnetic tape or via a mutually acceptable electronic file transfer protocol. The initial billing company (IBC) will provide the information to the subsequent billing company within ten (10) days of the IBC bill date. A Party that fails to deliver the billing data will be liable to the other for the amount of associated unbillable charges, if any.
- 5.6 If MPB data is not submitted to the other within ten (10) days of the IBC bill date or is not in the standard EMI format, and if as a result the other Party is delayed in billing the IXC for the appropriate charges it incurs, the delaying Party shall pay the other Party a late MPB data delivery charge which will be the total amount of the delayed charges times the highest interest rate (in decimal value) which may be levied by law for commercial transactions, compounded daily for the number of days from the date the MPB charges should have been received, to and including the date the MPB charge information is actually received. When the receiving Party has requested a delay in transmission of the records, a MPB data delivery charge will not be assessed.
- 5.7 Windstream and SPRINT will coordinate and exchange the billing account reference ("BAR") and billing account cross reference ("BACR") numbers for the MPB arrangements described in this Agreement. Each Party will notify the other if the level of billing or other BAR/BACR elements change and results in a new BAR/BACR number.
- 5.8 Billing to interexchange carriers for the switched access services jointly provided by the Parties via the MPB arrangement will be according to the multiple bill multiple tariff method. As described in the MECAB document, each Party will render a bill in accordance with its tariff for its portion of the service. Each Party will bill its own network access service rates to the IXC. The Party that provides the end office switching will be entitled to bill any residual interconnection charges ("RIC") and common carrier line ("CCL") charges associated with the traffic. In those MPB situations where one Party sub-tends the other Party's access tandem, only the Party providing the access tandem is entitled to bill the access tandem fee and any associated local transport charges. The Party that provides the end office switching is entitled to bill end office switching fees, local transport charges, RIC and CCL charges, as applicable.
- 5.9 MPB will also apply to all jointly provided traffic bearing the 900, 800 and 888 NPAs or any other non-geographical NPAs which may likewise be designated for such traffic where the responsible

- 6.2 With respect to those Exchanges where SPRINT intends to provide Local Exchange Service, SPRINT will, at a minimum, obtain a separate NPA-NXX-X code for each Exchange or group of Exchanges that share a common Mandatory Local Calling Scope. At such time as both Parties have implemented billing and routing capabilities to determine traffic jurisdiction on a basis other than NXX-X codes separate NXX-X codes as specified in this paragraph will not be required. At such time as SPRINT requests Windstream to establish interconnection to enable SPRINT to provide Exchange Services, SPRINT will follow all industry standards.
- 6.3 Bills rendered by either Party to the other will be due and payable as specified in the General Terms and Conditions, §8.0.

**7.0 Alternate Billed Traffic**

- 7.1 All call types routed between the networks must be accounted for, and revenues settled among the Parties. Certain types of calls will require exchange of billing records between the Parties including intraLATA alternate billed calls (e.g. calling card, bill-to-third party, and collect records and LEC/CTU-provided Toll Free Service records). The Parties will utilize, where possible existing accounting and settlement systems to bill, exchange records and settle revenue.
- 7.1.1 The exchange of billing records for alternate billed calls (e.g., calling card, bill-to-third, and collect) will be through the existing CMDS processes, unless otherwise agreed to by the Parties in writing.
- 7.1.2 Inter-Company Settlements ("ICS") revenues will be settled through the Calling Card and Third Number Settlement System ("CATS"). Each Party will make its own arrangements with respect to participation in the CATS processes, through direct participation or a hosting arrangement with a direct participant.
- 7.1.3 Non-ICS revenue is defined as revenues associated with collect calls, calling card calls, and billed to third number calls which originate, terminate and are billed within the same Bellcore Client Company Territory. The Parties will negotiate and execute an agreement within 30 days of the execution of this Agreement for settlement of non-ICS revenue. This separate arrangement is necessary since existing CATS processes do not permit the use of CATS for non-ICS revenue. The Parties agree that the CMDS system can be used to transport the call records for this traffic.
- 7.1.4 Each Party will provide the appropriate call records to the other for toll free IntraLATA Interexchange Traffic, thus permitting the to bill its subscribers for the inbound Toll Free Service. Each Party may charge its tariffed rate for such record provision. No adjustments to data contained in tapes, disks or Network Data Mover will be made by a Party without the mutual agreement of the Parties.

### **ATTACHMENT 13: NUMBERING**

#### **1.0     Numbering**

- 1.1     Nothing in this Section will be construed to limit or otherwise adversely impact in any manner either Party's right to employ or to request and be assigned any NANP numbers including, but not limited to, central office (NXX) codes pursuant to the Central Office Code Assignment Guidelines, or to establish, by tariff or otherwise, Exchanges and Rating Points corresponding to such NXX codes. Each Party is responsible for administering the NXX codes assigned to it.
- 1.2     Each Party agrees to make available to the other, up-to-date listings of its own assigned NPA-NXX codes, along with associated Rating Points and Exchanges.
- 1.3     It will be the responsibility of each Party to program and update its own switches and network systems to recognize and route traffic to the other Party's assigned NXX codes at all times. Neither Party will impose fees or charges on the other Party for such required programming and updating activities.
- 1.4     It will be the responsibility of each Party to input required data into the Routing Data Base Systems (RDBS) and into the Bellcore Rating Administrative Data Systems (BRADS) or other appropriate system(s) necessary to update the Local Exchange Routing Guide (LERG).
- 1.5     Neither Party is responsible for notifying the other Parties' end users of any changes in dialing arrangements, including those due to NPA exhaust, unless otherwise ordered by the Commission, the FCC, or a court.

#### **2.0     NXX Migration**

- 2.1     Where a Party (first Party) has activated, dedicated or reserved an entire NXX for a single end user, if such end user chooses to receive service from the other Party (second Party), the first Party shall cooperate with the second Party to have the entire NXX reassigned in the LERG (and associated industry databases, routing tables, etc.) to an end office operated by the second Party. Such transfer will require development of a transition process to minimize impact on the network and on the end user(s) service and will be subject to appropriate industry lead-times for movements of NXXs from one switch to another.

## **ATTACHMENT 14: NUMBER PORTABILITY**

### **1.0 Service Provider Number Portability (SPNP)**

- 1.1 The FCC First Report and Order in CC Docket 95-116 requires "...all LECs to implement a long term service provider portability solution that meets our performance criteria in the 100 large Metropolitan Statistical Areas (MSA) no later than October 1, 1997, and to complete deployment in those MSAs by December 31, 1998." While the FCC declined "...to choose a particular technology for providing number portability", they did establish performance criteria for permanent number portability and aligned expectations with the statutory definition of the Telecommunication Act of 1996 ordering Service Provider Number Portability (SPNP). In a follow-up First Memorandum Opinion and Order on Reconsideration, the commission determined that the technology that meets the performance criteria is Location Routing Number (LRN). LRN is being used by the telecommunications industry to provide SPNP.

### **2.0 Terms, Conditions Under Which Windstream Will Provide SPNP**

- 2.1 Windstream will not offer SPNP services for NXX codes 555, 976, 950.
- 2.2 Prior to commencement of any service porting or LRN query service, the Parties must have an approved interconnection agreement along with a conforming, functional network interconnection, pursuant to Attachment 4 *Network Interconnection Architecture*, between and among involved switches and exchanges.
- 2.3 Windstream will only provide SPNP services and facilities where technically feasible, subject to the availability of facilities, and only from properly equipped central offices. SPNP applies only when a customer with an active account wishes to change local Carriers while retaining the telephone number or numbers associated with the account.
- 2.4 An SPNP telephone number may be assigned by SPRINT only to SPRINT's customers located within Windstream's rate center, which is associated with the NXX of the ported number.
- 2.5 Windstream will deploy SPNP at a location within six (6) months after receipt of a Bona Fide Request from SPRINT as provided in §6.0, subject to the execution of an Interconnection Agreement by the Parties and completion of the network preparation specified herein.
- 2.6 SPRINT shall be charged a Service Order charge, pursuant to the Local Exchange Tariff, for each LSR submitted under this Attachment.

### **3.0 Obligations**

- 3.1 Each Party must offer proof of its certification with applicable regional Number Portability

- 3.4 SPRINT will conform to NANC guidelines and LERG administration rules in requesting Windstream to open an NPA-NXX for portability in an LRN capable switch.
- 3.5 SPRINT is responsible to coordinate with the local E911 and Public Services Answering Point (PSAP) coordinators to insure a seamless transfer of end user emergency services.
- 3.6 SPRINT is required to conform to industry standard Local Service Request (LSR) format and guidelines in ordering and administration of individual service/number ports.
- 3.7 A service order processing charge (Service Order Charge) will be applied to each service order issued by Windstream to process a request for installation, disconnection, rearrangement, changes to or record orders pursuant to this section.

**4.0 Obligations of Both Parties**

- 4.1 When a ported telephone number becomes vacant, e.g., the telephone number is no longer in service by the original end user; the ported telephone number will be released back to the Local Service Provider owning the switch in which the telephone number's NXX is native.
- 4.2 Either Party may block default routed calls from entering the public switched network when necessary to prevent network overload, congestion, or failure.
- 4.3 The Parties will conform to industry guidelines referenced herein in preparing their networks for SPNP and in porting numbers from one network to another.
- 4.4 The Parties will perform all standard SPNP certification and intra-company testing prior to scheduling intercompany testing between the Parties' interconnected networks.
- 4.5 Each Party will designate a single point of contact (SPOC) to schedule and perform required test. These tests will be performed during a mutually agreed time frame and must conform to industry portability testing and implementation criteria in force in the NPAC region.

**5.0 Limitations of Service**

- 5.1 Telephone numbers will be ported only within State Commission approved Windstream rate centers.
- 5.2 Windstream and SPRINT porting rate center areas must comprise identical geographic locations and have common boundaries.
- 5.3 Telephone numbers associated with Windstream Official Communications Services (OCS) NXXs will not be ported.
- 5.4 Telephone numbers in NXXs dedicated to choke networks will not be ported.

6.2 SPRINT may request that SPNP be deployed by Windstream in its switches located in the MSAs. Windstream will enable SPNP in the requested switches within six (6) months of receipt of BFR, based on the beginning dates for each MSA and subject to the execution of an Interconnection Agreement by the Parties.

6.3 A BFR with respect to opening a Windstream switch for SPNP must be made in the form of a letter from SPRINT to:

Windstream  
Attn: Interconnection Services  
1 Allied Drive  
Little Rock, AR 72202

6.4 The BFR must specify the following:

- 6.4.1 The MSA in which requested switch(es) are located.
- 6.4.2 Windstream switch(es), by CLLI codes, which are being requested to become SPNP capable.
- 6.4.3 Specific, resident NXX codes requested to open in each Windstream switch on the BFR.
- 6.4.4 The date when SPNP capability is requested for each Windstream switch on the BFR; however, the requested date must fall within the governing FCC schedules and interval guidelines. .
- 6.4.5 CLLI and NXXs of SPRINT switches serving the exchanges associated with the relevant Windstream switches.

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## **ATTACHMENT 18: PERFORMANCE MEASURES**

### **1.0     General**

- 1.1     Windstream will use its best efforts to satisfy all service standards, intervals, measurements, specifications, performance requirements, technical requirements, and performance standards that are specified in this Agreement or are required by law or regulation. In addition, Windstream's performance under this Agreement shall be provided to SPRINT at parity with the performance Windstream provides itself for like service(s).

### **2.0     Interconnection**

#### **2.1     Trunk Provisioning Intervals**

##### **2.1.1     Access Service Request (ASR)**

Positive acknowledgment of receipt of a non-valid ASR will be made within two business days, provided the ASR is received before 3PM Eastern Standard Time (1PM Mountain Standard Time.) The start time for determining the FOC interval will commence with receipt of a valid ASR. A non-valid ASR will not start the FOC interval.

##### **2.1.2     Firm Order Confirmation (FOC)**

An FOC confirming the due date will be sent within 2 business days (16 business hours) after receipt of a valid ASR subject to facility availability. Subject to availability of facilities service will be implemented (trunks in service) within 20 business days of receipt of a valid ASR.

##### **2.1.3     Performance Expectation**

Provided the conditions are met under 2.1.1 and 2.1.2 proceeding, Windstream's performance expectation is to provide 100% due dates met within reporting month. If service levels fall below 95% of the performance expectation within a reporting month, root cause analysis and joint problem resolution will be implemented within thirty (30) days.

#### **2.2     Trunking Grade of Service**

##### **2.2.1     Exchange Access (IXC Toll Traffic)**

For exchange access traffic routed via an access tandem blocking on each leg will be held to .005 (1/2% blockage).

below the performance expectation within a reporting month, root cause analysis and joint problem resolution will be implemented within thirty (30) days.

### **2.3 Trunk Service Restoration**

#### **2.3.1 Service Affecting**

Service affecting trunk service trouble will be responded to within one hour (1) of trouble notification. Service affecting trouble is defined as a condition or event affecting 20% or more of the total trunk group and overflows are experienced.

#### **2.3.2 Non Service Affecting**

Non service affecting trouble will be responded to within one hour (1) of trouble notification, and best efforts will be made to restore service within twenty-four (24) hours.

#### **2.3.3 Performance Expectation**

Zero loss of service due to downtime. If service levels fall below the Performance Expectation within a reporting month, root cause analysis and joint problem resolution will be implemented within thirty (30) days. Specific time-frames will be listed relative to performance.

### **3.0 Maintenance Intervals**

#### **3.1. Service Affecting**

Service affecting maintenance trouble will be responded to within one hour (1) of trouble notification.

#### **3.2 Non Service Affecting**

Non service affecting trouble will be responded to within one hour (1) of trouble notification, and best efforts will be made to restore service within twenty-four (24) hours.

#### **3.3 Performance Expectation**

Zero loss of service due to downtime. If service levels fall below the Performance Expectation within a reporting month, root cause analysis and joint problem resolution will be implemented within thirty (30) days. Specific time-frames will be listed relative to performance.

### **4.0 Local Service Provisioning Intervals**

An LSCN confirming the due date will be sent within 2 business days (16 business hours) after receipt of a valid LSR subject to facility availability.

**4.3 Performance Expectation**

Provided the conditions are met under 4.1.1 and 4.1.2 proceeding, Windstream's performance expectation is to provide 100% due dates within the reporting month. If service levels fall below 95% of the performance expectation within a reporting month, root cause analysis and joint problem resolution will be implemented within thirty (30) days.

**ATTACHMENT 19: BONA FIDE REQUEST (BFR) PROCESS**

A Bona Fide Request (BFR) must be used when SPRINT requests a change to any Services and/or Elements provided hereunder, including features, capabilities, or functionality.

A BFR shall be submitted in writing by SPRINT and shall specifically identify the required service date, technical requirements, space requirements and/or such specifications that clearly define the request such that Windstream has sufficient information to analyze and prepare a response. Such a request also shall include SPRINT's designation of the request as being (i) pursuant to the Telecommunications Act of 1996 or (ii) pursuant to the needs of the business.

Although not expected to do so, SPRINT may cancel, without penalty, a BFR in writing at any time. Windstream will then cease analysis of the request.

Within two (2) business days of its receipt, Windstream shall acknowledge in writing, the receipt of the BFR and identify a single point of contact and any additional information needed to process the request.

Except under extraordinary circumstances, within twenty (20) days of its receipt of a BFR, Windstream shall provide to SPRINT a preliminary analysis of the BFR. The preliminary analysis will include Windstream's proposed price (plus or minus 25 percent) and state whether Windstream can meet SPRINT's requirements, the requested availability date, or, if Windstream cannot meet such date, provide an alternative proposed date together with a detailed explanation as to why Windstream is not able to meet SPRINT's requested availability date. Windstream also shall indicate in this analysis its agreement or disagreement with SPRINT's designation of the request as being pursuant to the Act or pursuant to the needs of the business. If Windstream does not agree with SPRINT's designation, it may utilize the Dispute Resolution Process described in the General Terms and Conditions §9.0. In no event, however, shall any such dispute delay Windstream's process of the request. If Windstream determines that it is not able to provide SPRINT with a preliminary analysis within twenty (20) days of Windstream's receipt of a Bona Fide Need request, Windstream will inform SPRINT as soon as practicable. The Parties will then determine a mutually agreeable date for receipt of the preliminary analysis.

As soon as possible, but in no event more than forty-five (45) days after receipt of the request, Windstream shall provide SPRINT with a BFR quote which will include, at a minimum, the firm availability date, the applicable rates and the installation intervals, and a price quote.

Unless SPRINT agrees otherwise, all proposed prices shall be the pricing principles of this Agreement, in accordance with the Act, and any applicable FCC and Commission rules and regulations. Payments for services purchased under a BFR will be made as specified in this Agreement, unless otherwise agreed to by SPRINT.

Within thirty (30) days after receiving the firm BFR quote from Windstream, SPRINT will notify Windstream in writing of its acceptance or rejection of Windstream's proposal. If at any time an agreement cannot be reached as to the terms and conditions or price of the request, or if Windstream responds that it cannot or will not offer the requested item in the BFR and SPRINT deems the item essential to its business operations, and deems Windstream's position to be inconsistent with the Act, FCC, or Commission regulations and/or the requirements of this Agreement, the Dispute Resolution Process set for in the General

**ATTACHMENT 20: DEFINITIONS**

Definitions of the terms used in this Agreement are listed below. The Parties agree that certain terms may be defined elsewhere in this Agreement, as well as terms not defined shall be construed in accordance with their customary meaning in the telecommunications industry as of the Effective Date of this Agreement.

**"Access Service Request" or "ASR"** means the industry standard forms and supporting documentation used for ordering Access Services. The ASR may be used to order trunking and facilities between Windstream and SPRINT for local interconnection.

**"Act"** means the Communications Act of 1934 (47 U.S.C. §151 et seq.), as amended by the Telecommunications Act of 1996, as may be subsequently amended or, as from time to time interpreted in the duly authorized rules and regulations of the FCC or the Commission having authority to interpret the Act within its state of jurisdiction.

**"Windstream"** has the meaning set forth in the preamble.

**"Ancillary Services"** are services which support, but, are not required for interconnection of telecommunications networks between two or more parties, e.g., 911 (if applicable) and Directory Services.

**"Automatic Location Identification" or "ALI"** is a feature developed for E911 systems that provides for a visual display of the caller's telephone number, address, and the means of the emergency response agencies that are responsible for that address. The Competitive Local Exchange Company will provide ALI record information in the National Number Association (NENA) version #2 format.

**"Automatic Location Identification/Data Management System" or "ALI/DMS"** means the emergency service (E911/911) database containing subscriber location information (including name, address, telephone number, and sometimes special information from the local service provider) used to determine to which Public Safety Answering Point (PSAP) to route the call.

**"Calling Party Number" or "CPN"** is a feature of Signaling System 7 ("SS7") protocol whereby the 10-digit number of the calling party is forwarded from the end office.

**"CLASS (Custom Local Area Signaling Service) and Custom Features"** means a grouping of optional enhancements to basic local exchange service that offers special call handling features to residential and single-line business customers (e.g., call waiting, call forwarding and automatic redial).

**"Commission" or "PUC" or "PSC"** means the state administrative agency to which the United States Congress or state legislature has delegated authority to regulate the operations of Local Exchange Carriers ("LECs") as defined in the Act.

**"Common Channel Signaling" or "CCS"** means a special network, fully separate from the transmission path of the public switched network that digitally transmits call setup and network control data.

**"Confidential Information"** has the meaning set forth in §6.0 of the General Terms and Conditions.

**"Contract Year"** means a twelve (12) month period during the term of the contract commencing on the Effective

customer of a Telecommunications Carrier, and that is made available to the carrier by the customer solely by virtue of the carrier customer relationship; and information contained in the bills pertaining to telephone exchange service or telephone toll service received by a customer of a carrier.

**"Discloser"** means that Party to this Agreement which has disclosed Confidential Information to the other Party.

**"E911 Service"** is a method of routing 911 calls to a PSAP that uses customer location data in the ALI/DMS to determine the PSAP to which a call should be routed.

**"Effective Date"** is the date indicated in the Preface on which the Agreement shall become effective.

**"End Office"** means a local Windstream switching point where Windstream end user customer station loops are terminated for purposes of interconnection to each other and to the network.

**"End User"** means, whether or not capitalized, any business, residential or governmental customer of services covered by the Agreement and includes the term "Customer". More specific meanings of either of such terms are dependent upon the context in which they appear in the Agreement and the provisions of the Act.

**"Enhanced White Pages Listings"** means optional features available for residential White Pages Directory Listings (e.g., bold, italics, lines of distinction).

**"Exchange"** is the geographic territory delineated as an exchange area for Windstream by official commission boundary maps.

**"Exchange Access"** is defined in the Act.

**"Exchange Services"** are two-way switched voice-grade telecommunications services with access to the public switched network with originate and terminate within an exchange.

**"FCC"** means the Federal Communications Commission.

**"ICB"** means individual case basis.

**"Incumbent Local Exchange Carrier" or "ILEC"** has the meaning given the term in the Act.

**"Interconnection"** has the meaning given the term in the Act and refers to the connection of separate pieces of equipment, facilities, or platforms between or within networks for the purpose of transmission and routing of Telephone Exchange Service traffic and Exchange Access traffic.

**"Interconnection Agreement"** means the agreement between the Parties entitled "Interconnection Agreement Under §§251 and 252 of the Telecommunications Act of 1996," dated July 16, 1996.

**"Interexchange Carrier" or "IXC"** means a telecommunications provider that provides long distance communications services between LATAs and authorized by the Commission to provide long distance communications servi

**"ISP Bound Traffic"** means traffic that is originated on the network of either of the Parties and is transmitted to, or returned from, the Internet at any point during the duration of the transmission.

**"Local Access and Transport Area" or "LATA"** has the meaning given to the term in the Act.

**"Local Exchange Carrier" or "LEC"** means the incumbent carrier that provides facility-based Exchange Services, which has universal-service and carrier-of-last-resort obligations.

**"Local Service Provider" or "SPRINT"** means a non-incumbent carrier licensed by the Commission with the appropriate certification (e.g., a Certificate of Authorization or Service Provider Certificate of Authorization) and authority necessary to provide Exchange Services.

**"Local Service Request" or "LSR"** means an industry standard form used by the Parties to add, establish, change or disconnect trunks, circuits and/or facilities associated with unbundled Network Elements.

**"911 Service"** means a universal telephone number, which gives the public direct access to the PSAP. Basic 911 service collects 911 calls from one or more local exchange switches that serve a geographic area. The calls are then sent to the correct authority designated to receive such calls.

**"Operating Company Number" or "OCN"** means nationally recognized company codes set forth in Bellcore's LERG that will be used as the official identification code for each company that provides local exchange telephone service.

**"Parties,"** means Windstream and SPRINT collectively.

**"Party"** means either Windstream or SPRINT as applicable.

**"P.01 Transmission Grade of Service"** means a trunk facility provisioning standard with the statistical probability of no more than one call in 100 blocked on initial attempt during the average busy hour.

**"Percent Interstate Local Usage" or "PLU"** is a calculation which represents the ratio of the local minutes to the sum of local intraLATA toll minutes between exchange carriers sent over Local Interconnection Trunks. Directory assistance, BLV/BLVI, 900, 976, transiting calls from other exchange carriers and switched access calls are not included in the calculation of the PLU.

**"Public Safety Answering Point" or "PSAP"** is the public safety communications center where 911 calls placed by the public for a specific geographic area will be answered.

**"Recipient"** means the Party to this Agreement, which has received Confidential Information from the other Party.

**"Signaling System 7" or "SS7"** means a signaling protocol used by the CCS network.

**"Telephone Exchange Service"** means wireline exchange connections amongst LEC end users.

**"Telecommunications"** has the meanings given in the Act.

**“Work Locations”** means any real estate that Windstream owns, leases or licenses or in which it holds easements or other rights to use, or does use, in connection with this Agreement.

**ATTACHMENT 21: ACRONYMS**

Windstream	Windstream
AMA	Automated Message Accounting
ASR	Access Service Request
BAN	Billing Account Number
BFR	Bona Fide Request
BRADS	Bellcore Rating Administrative Data Systems
CAP	Competitive Access Provider
CATS	Calling Card and Third Number Settlement System
CCL	Carrier Common Line
CCS	Common Channel Signaling
CLASS	Custom Local Area Signaling Service
CMDS	Centralized Message Distribution System
CPN	Calling Party Number
CPNI	Customer Propriety Network Information
EAS	Extended Area Service
ELCS	Extended Local Calling Service
EMI	Exchange Message Interface
EUCL	End User Common Line
FCC	Federal Communications Commission
FOC	Firm Order Commitment
ILEC	Incumbent Local Exchange Carrier
IP	Interconnection Point
ISDN	Integrated Digital Services Network
ISDNUP	Integrated Digital Services Network User Part
IXC	Interexchange Carrier
LATA	Local Access and Transport Area
LEC	Local Exchange Carrier
LERG	Local Exchange Routing Guide
LOA	Letter of Authority
LRN	Local Routing Number
LSCN	Local Service Request Confirmation
SPRINT	Local Service Provider
LSR	Local Service Request
MSA	Metropolitan Statistical Area
MTP	Message Transfer Part
MTS	Message Telephone Service
NEBS	Network Equipment Building System
NECA	National Exchange Carrier Association
NIIF	Network Interoperability Interface Forum
NPA	Numbering Plan Area
NPAC	Number Portability Administration Center

<b>SONET</b>	<b>Synchronous Optical Network</b>
<b>SPNP</b>	<b>Service Number Portability</b>
<b>SS7</b>	<b>Signaling System 7</b>
<b>STP</b>	<b>Signaling Transfer Point</b>
<b>TCAP</b>	<b>Transaction Capabilities Application Part</b>

**APPENDIX A – Billing Dispute Form**

<b>Billing Company Contact Information Section:</b>				
1. Billing Company Name:		2. Billing Contact Name:		
3. Billing Contact Address:		4. Billing Contact Phone:		
		5. Billing Contact Fax #:		
		6. Billing Contact Email:		
<b>Disputing Company Contact Information Section:</b>				
7. Disputing Company Name:		8. Disputing Contact Name:		
9. Disputing Contact Address:		10. Disputing Contact Phone:		
		11. Disputing Contact Fax #:		
		12. Disputing Contact Email:		
<b>General Dispute Section:</b>				
13. Date of Claim: (yyyy-mm-dd):		14. Status:	15. Claim/Audit Number:	
16. Service Type:				
17. ACNA:	18. OCN:	19. CIC:	20. BAN:	21. Invoice Number(s):
22. Bill Date:		24. Dispute Reason Code:		25. Dispute Desc:
23. Billed Amount: \$ _____				
26. Disputed Amount: \$			29. Dispute Bill Date From:	
27. Disputed Amount Withheld: \$			Dispute Bill Date Thru:	
28. Disputed Amount Paid: \$				
<b>Dispute Information Section:</b>				
30. Rate Element/USOC:		31. Rate:      Billed      Correct		
<b>Factor Information:</b> 32. PIU: Billed      Correct 33. PLU: Billed      Correct 34. BIP: Billed      Correct 35. Other Factors: Billed      Correct		36: Jurisdiction <input type="checkbox"/> Non Jurisdictional <input type="checkbox"/> Inter/Interstate <input type="checkbox"/> Intra/Interstate <input type="checkbox"/> Intra/Intrastate <input type="checkbox"/> Inter/Intrastate <input type="checkbox"/> Local		
		37. Mileage: Billed      Correct 38. Contract Name/##: 39. Business/Residence Indicator: 40: State: 41: LATA:		
<b>Facilities/Dedicated Circuit Dispute Information Section:</b>				
42. PON:		43. TN/AN:		

<b>Usage Dispute Information Section:</b>		
53. End Office CLI:		54. TN/All:
55. Usage Billed Units/Quantity:		56. Usage Billed Units/Quantity Disputed:
57. Directionality: <input type="checkbox"/> N/A <input type="checkbox"/> Orig. <input type="checkbox"/> Term. <input type="checkbox"/> Combination		58. Query:      59. Query Type:
60. OC&C SON:		61. OC&C PON:
62. Usage From Date:      Thru Date:		
<b>Information Section:</b>		
63. Tax Dispute Amount:		64. Tax exemption form attached : <input type="checkbox"/>
65. Invoice(s) LPC billed:		
66. LPC paid, date of payment:		
<b>OTHER</b>		
67. Other remarks		
<b>Resolution Information Section:</b>		
68. Resolution Date:		
69. Resolution Amount: \$		70. Resolution Reason:
71. Adjustment Bill Date:		72. Adjustment Invoice Number:
73. Adjustment Phrase Code(s):	74. Adjustment BAN/	75. Adjustment SON:
76. Disputed Amount: \$		77. Amount Credited: \$
78. Bill Section Adjustment will appear on: OC&C_____ Adjustment_____		
79. Resolution remarks:		