



May 30, 2013

VIA ELECTRONIC SERVICE

Honorable Jeffrey C. Cohen
Acting Secretary to the Commission
New York State Public Service Commission
Three Empire State Plaza
Albany, NY 12223-1350

Re: Case 07-M-0548 – Proceeding on Motion of the Commission Regarding an Energy Efficiency Portfolio Standard

Case 08-G-1012 – Petition of New York State Electric & Gas Corporation for Approval of an Energy Efficiency Portfolio Standard (EEPS) “Fast Track” Utility-Administered Gas Energy Efficiency Program

Case 08-G-1013 – Petition of Rochester Gas and Electric Corporation for Approval of an Energy Efficiency Portfolio Standard (EEPS) “Fast Track” Utility-Administered Gas Energy Efficiency Program

Case 08-E-1129– Petition of New York State Electric & Gas Corporation for Approval of an Energy Efficiency Portfolio Standard (EEPS) Utility-Administered Electric Energy Efficiency Program

Case 08-E-1130– Petition of Rochester Gas and Electric Corporation for Approval of an Energy Efficiency Portfolio Standard (EEPS) “Utility-Administered Electric Energy Efficiency Program

Case 09-G-0363 – Petition for Approval of Energy Efficiency Portfolio Standard (EEPS) Gas Efficiency Programs

Dear Acting Secretary Cohen:

Pursuant to the New York State Public Service Commission’s orders in the above captioned proceedings, New York State Electric & Gas Corporation and Rochester Gas and Electric Corporation hereby file the “2012 Annual Program and Evaluation Summary Status Reports”.

The programs addressed in these reports are as follows:

- Residential Gas HVAC Program
- Residential/Non-Residential Multifamily Program
- Residential Refrigerator Freezer Recycling Program
- Empower Utility Referred Program
- Non-Residential Small Business Direct Install Program
- Non-Residential Block Bidding Program
- Commercial and Industrial Rebate Program

Please direct any questions to me at telephone number 607-725-3936 or via email at jjfishgertz@nyseg.com.

Respectfully Submitted,



Joni Fish-Gertz
Manager, EEPs Programs

Enclosure

cc: Active Parties via ListServe

**New York State Electric and Gas Corporation
and
Rochester Gas and Electric Corporation**

**2012 Annual Report
for
Energy Efficiency Portfolio Standard Programs**

Updated 5/29/2013

Section 1 – EEPS Electric and Gas Portfolio Performance

Section 1A - New York State Electric and Gas Corporation, Electric Portfolio Summary

Table 1: EEPS 1 Electric Program Financial Summary ^[1]

	2008-2011 Budget (\$)	Total through December 2011			2012 Changes		Total Through 2012		
		Expenditures (\$)	Encumbrances (\$)	Unspent/ Uncommitted (\$)	Expenditures (\$)	Dis-encumbrances (\$)	Expenditures (\$)	Remaining Encumbrances (\$)	Dis-encumbrances (\$)
	(1a)	(1b)	(1c)	(1d) =(1a) - (1b) - (1c)	(1e)	(1f) =(1c) - (1e) - (1g)	(1b)+(1e)	(1g)	(1d)+(1f)
Multifamily	\$ 1,663,001	\$ 920,799	\$ -	\$ 742,202	\$ -	\$ -	\$ 920,799	\$ -	\$ 742,202
C&I Prescriptive	\$ 2,328,892	\$ 1,060,120	\$ 58,970	\$ 1,209,802	\$ 55,642	\$ 3,328	\$ 1,115,762	\$ -	\$ 1,213,130
C&I Custom	\$ 4,387,517	\$ 2,276,175	\$ 973,443	\$ 1,137,899	\$ 440,086	\$ 192,662	\$ 2,716,261	\$ 340,695	\$ 1,330,561
SBDI	\$ 14,467,787	\$ 12,226,488	\$ 999,996	\$ 1,241,304	\$ 737,470	\$ 262,526	\$ 12,963,958	\$ -	\$ 1,503,829
Block Bidding	\$ 3,189,130	\$ 395,929	\$ 2,570,226	\$ 222,975	\$ 1,466,078	\$ -	\$ 1,862,007	\$ 1,104,148	\$ 222,975
RFRP	\$ 1,376,000	\$ 1,025,808	\$ -	\$ 350,192	\$ -	\$ -	\$ 1,025,808	\$ -	\$ 350,192
Evaluation	\$ 1,370,616	\$ 552,141	\$ 602,171	N/A ^[3]	\$ 439,018	\$ -	\$ 991,159	\$ 163,153	N/A ^[3]
TOTAL	\$ 28,782,943	\$ 18,457,460	\$ 5,204,805	\$ 4,904,374	\$ 3,138,294	\$ 458,516	\$ 21,595,754	\$ 1,607,996	\$ 5,362,889

Table 2: EEPS 1 Electric Program Net Energy Savings Summary

	2008-2011 Target (MWh)	Total through December 2011				2012 Changes		Total Through 2012		
		\$/MWh	Acquired (MWh)	Committed (MWh)	Unspent/ Uncommitted Adjustment ^{[2] [4]} (MWh)	Acquired (MWh)	Dis-encumbered Adjustment (MWh) ^[2]	Acquired (MWh)	Remaining Committed (MWh)	Dis-encumbered Adjustment (MWh)
	(2a)	(2b) =(1a)/(2a)	(2c)	(2d)	(2e) =(1d)/(2b)	(2f)	(2g) =(1f)/(2b)	(2c)+(2f)	(2h)	(2e)+(2g)
Multifamily	2,744	\$ 606	2,592	-	1,225	-	-	2,592	-	1,225
C&I Prescriptive	4,995	\$ 466	2,533	553	2,595	420	7	2,953	-	2,602
C&I Custom	11,281	\$ 389	5,431	3,775	2,926	1,683	495	7,113	953	3,421
SBDI	56,587	\$ 256	45,745	4,014	4,855	3,137	1,027	48,883	-	5,882
Block Bidding	9,584	\$ 333	449	8,652	670	5,575	-	6,024	3,077	670
RFRP	4,361	\$ 316	9,243	-	1,110	-	-	9,243	-	1,110
EmPower ^[5]	N/A		266					266		
TOTAL	89,552		66,258	16,994		10,815		77,073	4,030	

Table 3: EEPS 2 Electric Program Financial Summary ^[1]

	2012 Budget	Totals through December 2012			
		Expenditures	Encumbrances	Total Expended & Encumbered	Total as % of 2012 Budget
	(3a)	(3b)	(3c)	(3d)=(3b)+(3c)	(3d)/(3a)
Multifamily	\$ 695,803	\$ 402,962	\$ 13,945	\$ 416,907	60%
SBDI	\$ 8,267,308	\$ 4,979,922	\$ 1,130,060	\$ 6,109,982	74%
RFRP	\$ 1,281,000	\$ 790,504	\$ 8,645	\$ 799,149	62%
C&I Electric	\$ 5,939,568	\$ 2,772,434	\$ 527,774	\$ 3,300,208	56%
Evaluation	\$ 851,773	\$ -	\$ -	\$ -	0%
TOTAL	\$ 17,035,451	\$ 8,945,823	\$ 1,680,424	\$ 10,626,247	62%

Table 4: EEPS 2 Electric Program Net Energy Savings Summary (MWh)

	2012 Target	Totals through December 2012			
		Acquired	Committed	Total Acquired & Committed	Total as % of 2012 Target
	(4a)	(4b)	(4c)	(4d)=(4b)+(4c)	(4d)/(4a)
Multifamily	872	3,366	235	3,600	413%
SBDI	32,326	19,147	4,379	23,525	73%
RFRP	4,361	8,022	91	8,113	186%
C&I Electric	15,998	8,635	2,414	11,049	69%
EmPower ^[5]	N/A	187	-	187	
Total	53,557	39,356	7,120	46,475	87%

Staff Notes:

^[1] Evaluation budgets, expenditures and encumbrances should be excluded from program lines and instead shown, in aggregate for the electric portfolio, in a separate line at the bottom of the table.

^[2] In the calculation below, NYSERDA should multiply 2b by a factor of 0.93 to reflect the fact that NYSERDA was authorized, in the Commission’s 10/25/11 Order, to retain its unspent/uncommitted administration budget.

Utility Notes:

^[3] As directed by DPS Staff, EEPS1 evaluation funds not currently spent or committed have been reserved for statewide studies and/or rolled forward to fund EEPS2 evaluations. Thus evaluation funds are not available for dis-encumbrance.

^[4] The unspent/uncommitted adjustment is a calculated number that reflects the ordered cost per Mwh and not the actual cost per Mwh.

^[5] EmPower savings reflect 15% of the savings from referrals to and participation in NYSERDA’s Empower program as authorized in the 01/04/2010 Order.

Section 1B - New York State Electric and Gas Corporation, Gas Portfolio Summary

Table 5: EEPS 1 Gas Program Financial Summary ^[6]

	2008-2011 Budget (\$)	Total through December 2011			2012 Changes		Total Through 2012		
		Expenditures (\$)	Encumbrances (\$)	Unspent/ Uncommitted (\$)	Expenditures (\$)	Dis-encumbrances (\$)	Expenditures (\$)	Remaining Encumbrances (\$)	Dis-encumbrances (\$)
	(5a)	(5b)	(5c)	(5d) =(5a) - (5b) - (5c)	(5e)	(5f) =(5c) - (5e) - (5g)	(5b)+(5e)	(5g)	(5d)+(5f)
Res Gas HVAC	\$ 3,702,200	\$ 3,536,537	\$ -	\$ 165,663	\$ -	\$ -	\$ 3,536,537	\$ -	\$ 165,663
C&I Prescriptive	\$ 860,031	\$ 614,944	\$ -	\$ 245,087	\$ -	\$ -	\$ 614,944	\$ -	\$ 245,087
C&I Custom	\$ 247,936	\$ 137,666	\$ 65,270	\$ 45,000	\$ 61,705	\$ -	\$ 199,371	\$ 3,565	\$ 45,000
Evaluation	\$ 240,508	\$ 106,276	\$ 100,255	N/A ^[8]	\$ 43,849	\$ 0	\$ 150,125	\$ 56,406	N/A ^[8]
TOTAL	\$ 5,050,675	\$ 4,395,423	\$ 165,525	\$ 455,750	\$ 105,554	\$ 0	\$ 4,500,977	\$ 59,971	\$ 455,750

Table 6: EEPS 1 Gas Program Net Energy Savings Summary

	2008-2011 Target (Dth)	Total through December 2011				2012 Changes		Total Through 2012		
		\$/Dth	Acquired (Dth)	Committed (Dth)	Unspent/ Uncommitted Adjustment ^[7] ^[9] (Dth)	Acquired (Dth)	Dis-encumbered Adjustment (Dth) ^[7]	Acquired (Dth)	Remaining Committed (Dth)	Dis-encumbered Adjustment (Dth)
	(6a)	(6b) =(5a)/(6a)	(6c)	(6d)	(6e) =(5d)/(6b)	(6f)	(6g) =(5f)/(6b)	(6c)+(6f)	(6h)	(6e)+(6g)
Res Gas HVAC	188,218	\$ 20	163,036	-	8,422	-	-	163,036	-	8,422
C&I Prescriptive	19,562	\$ 44	15,624	-	5,575	-	-	15,624	-	5,575
C&I Custom	5,828	\$ 43	1,471	1,372	1,058	1,371	-	2,842	-	1,058
EmPower ^[5]	N/A		430	-				430		
TOTAL	213,608		180,561	1,372		1,371		181,933	-	

Table 7: EEPS 2 Gas Program Financial Summary ^[6]

	2012 Budget	Totals through December 2012			
		Expenditures	Encumbrances	Total Expended & Encumbered	Total as % of 2012 Budget
	(7a)	(7b)	(7c)	(7d)=(7b)+(7c)	(7d)/(7a)
Res Gas HVAC	\$ 1,948,726	\$ 935,489	\$ -	\$ 935,489	48%
C&I Gas	\$ 591,444	\$ 357,884	\$ 13,075	\$ 370,959	63%
Evaluation	\$ 133,693	\$ -	\$ -	\$ -	0%
TOTAL	\$ 2,673,863	\$ 1,293,373	\$ 13,075	\$ 1,306,448	49%

Table 8: EEPS 2 Gas Program Net Energy Savings Summary (Dth)

	2012 Target	Totals through December 2012			
		Acquired	Committed	Total Acquired & Committed	Total as % of 2012 Target
	(8a)	(8b)	(8c)	(8d)=(8b)+(8c)	(8d)/(8a)
Res Gas HVAC	103,530	72,341	-	72,341	70%
C&I Gas	13,576	10,290	1,236	11,526	85%
EmPower ^[10]	N/A	115	-	115	
Total	117,106	82,746	1,236	83,982	72%

Staff Notes:

^[6] Evaluation budgets, expenditures and encumbrances should be excluded from program lines and instead shown, in aggregate for the gas portfolio, in a separate line at the bottom of the table.

^[7] In the calculation below, NYSERDA should multiply 6b by a factor of 0.93 to reflect the fact that NYSERDA was authorized, in the Commission’s 10/25/11 Order, to retain its unspent/uncommitted administration budget.

Utility Notes:

^[8] As directed by DPS Staff, EEPS1 evaluation funds not currently spent or committed have been reserved for statewide studies and/or rolled forward to fund EEPS2 evaluations. Thus evaluation funds are not available for dis-encumbrance.

^[9] The unspent/uncommitted adjustment is a calculated number that reflects the ordered cost per Dth and not the actual cost per Dth.

^[10] EmPower savings reflect 15% of the savings from referrals to and participation in NYSERDA’s Empower program as authorized in the 01/04/2010 Order.

Section 1 – EEPS Electric and Gas Portfolio Performance

Section 1A - Rochester Gas and Electric Corporation, Electric Portfolio Summary

Table 1: EEPS 1 Electric Program Financial Summary ^[1]

	2008-2011 Budget (\$)	Total through December 2011			2012 Changes		Total Through 2012		
		Expenditures (\$)	Encumbrances (\$)	Unspent/ Uncommitted (\$)	Expenditures (\$)	Dis-encumbrances (\$)	Expenditures (\$)	Remaining Encumbrances (\$)	Dis-encumbrances (\$)
	(1a)	(1b)	(1c)	(1d) =(1a) - (1b) - (1c)	(1e)	(1f) =(1c) - (1e) - (1g)	(1b)+(1e)	(1g)	(1d)+(1f)
Multifamily	\$ 1,936,727	\$ 1,564,164	\$ 56,781	\$ 315,782	\$ 36,177	\$ 20,605	\$ 1,600,341	\$ -	\$ 336,386
C&I Prescriptive	\$ 1,466,186	\$ 615,655	\$ 13,289	\$ 837,242	\$ 13,244	\$ 45	\$ 628,899	\$ -	\$ 837,287
C&I Custom	\$ 2,011,947	\$ 803,208	\$ 717,465	\$ 491,274	\$ 472,513	\$ 244,952	\$ 1,275,721	\$ -	\$ 736,226
SBDI	\$ 6,591,813	\$ 6,222,424	\$ 175,706	\$ 193,683	\$ 135,864	\$ 39,842	\$ 6,358,288	\$ -	\$ 233,525
Block Bidding	\$ 3,196,487	\$ 1,517,453	\$ 1,504,251	\$ 174,783	\$ 1,178,316	\$ 226,656	\$ 2,695,769	\$ 99,279	\$ 401,439
RFRP	\$ 1,376,000	\$ 817,512	\$ -	\$ 558,488	\$ -	\$ -	\$ 817,512	\$ -	\$ 558,488
Evaluation	\$ 828,958	\$ 317,973	\$ 372,412	N/A ^[3]	\$ 269,546	\$ -	\$ 587,519	\$ 102,866	N/A ^[3]
TOTAL	\$ 17,408,118	\$ 11,858,388	\$ 2,839,904	\$ 2,709,825	\$ 2,105,660	\$ 532,100	\$ 13,964,048	\$ 202,145	\$ 3,241,925

Table 2: EEPS 1 Electric Program Net Energy Savings Summary

	2008-2011 Target (MWh)	Total through December 2011				2012 Changes		Total Through 2012		
		\$/MWh	Acquired (MWh)	Committed (MWh)	Unspent/ Uncommitted Adjustment ^{[2] [4]} (MWh)	Acquired (MWh)	Dis-encumbered Adjustment (MWh) ^[2]	Acquired (MWh)	Remaining Committed (MWh)	Dis-encumbered Adjustment (MWh)
	(2a)	(2b) =(1a)/(2a)	(2c)	(2d)	(2e) =(1d)/(2b)	(2f)	(2g) =(1f)/(2b)	(2c)+(2f)	(2h)	(2e)+(2g)
Multifamily	4,226	\$ 458	7,773	423	689	245	45	8,018	-	734
C&I Prescriptive	2,929	\$ 501	757	115	1,673	115	0	872	-	1,673
C&I Custom	3,965	\$ 507	983	2,199	968	1,476	483	2,459	-	1,451
SBDI	25,838	\$ 255	22,475	715	759	596	156	23,071	-	915
Block Bidding	9,285	\$ 344	5,690	7,263	508	5,491	658	11,181	314	1,166
RFRP	4,361	\$ 316	5,732	-	1,770	-	-	5,732	-	1,770
EmPower ^[5]	N/A		50	-				50		
TOTAL	50,604		43,409	10,715		7,923		51,332	314	

Table 3: EEPS 2 Electric Program Financial Summary ^[1]

	2012 Budget	Totals through December 2012			
		Expenditures	Encumbrances	Total Expended & Encumbered	Total as % of 2012 Budget
	(3a)	(3b)	(3c)	(3d)=(3b)+(3c)	(3d)/(3a)
Multifamily	\$ 615,952	\$ 270,756	\$ 161,643	\$ 432,399	70%
SBDI	\$ 3,766,750	\$ 2,056,056	\$ 384,687	\$ 2,440,743	65%
RFRP	\$ 1,281,000	\$ 456,048	\$ 4,655	\$ 460,703	36%
C&I	\$ 4,294,273	\$ 1,814,427	\$ 1,919,513	\$ 3,733,941	87%
Evaluation	\$ 524,104	\$ -	\$ -	\$ -	0%
TOTAL	\$ 10,482,078	\$ 4,597,287	\$ 2,470,498	\$ 7,067,785	67%

Table 4: EEPS 2 Electric Program Net Energy Savings Summary (MWh)

	2012 Target	Totals through December 2012			
		Acquired	Committed	Total Acquired & Committed	Total as % of 2012 Target
	(4a)	(4b)	(4c)	(4d)=(4b)+(4c)	(4d)/(4a)
Multifamily	805	1,309	1,051	2,360	293%
SBDI	14,761	7,739	1,524	9,263	63%
RFRP	4,361	4,520	49	4,568	105%
C&I	11,849	4,726	8,798	13,525	114%
EmPower ^[5]	N/A	94	-	94	
Total	31,776	18,293	11,422	29,716	94%

Staff Notes:

^[1] Evaluation budgets, expenditures and encumbrances should be excluded from program lines and instead shown, in aggregate for the electric portfolio, in a separate line at the bottom of the table.

^[2] In the calculation below, NYSERDA should multiply 2b by a factor of 0.93 to reflect the fact that NYSERDA was authorized, in the Commission's 10/25/11 Order, to retain its unspent/uncommitted administration budget.

Utility Notes:

^[3] As directed by DPS Staff, EEPS1 evaluation funds not currently spent or committed have been reserved for statewide studies and/or rolled forward to fund EEPS2 evaluations. Thus evaluation funds are not available for dis-encumbrance.

^[4] The unspent/uncommitted adjustment is a calculated number that reflects the ordered cost per Mwh and not the actual cost per Mwh.

^[5] EmPower savings reflect 15% of the savings from referrals to and participation in NYSERDA's Empower program as authorized in the 01/04/2010 Order.

Section 1B - Rochester Gas and Electric Corporation, Gas Portfolio Summary

Table 5: EEPS 1 Gas Program Financial Summary ^[6]

	2008-2011	Total through December 2011			2012 Changes		Total Through 2012		
		Expenditures (\$)	Encumbrances (\$)	Unspent/Uncommitted (\$)	Expenditures (\$)	Dis-encumbrances (\$) ^[8]	Expenditures (\$)	Remaining Encumbrances (\$)	Dis-encumbrances (\$)
	(5a)	(5b)	(5c)	(5d) =(5a) - (5b) - (5c)	(5e)	(5f) =(5c) - (5e) - (5g)	(5b)+(5e)	(5g)	(5d)+(5f)
Res Gas HVAC	\$ 7,322,695	\$ 6,747,326	\$ -	\$ 575,369	\$ -	\$ -	\$ 6,747,326	\$ -	\$ 575,369
C&I Prescriptive	\$ 963,867	\$ 664,196	\$ 11,632	\$ 288,039	\$ 11,847	\$ (215)	\$ 676,043	\$ -	\$ 287,824
C&I Custom	\$ 137,292	\$ 85,919	\$ 33,322	\$ 18,051	\$ 32,352	\$ 970	\$ 118,271	\$ -	\$ 19,021
Evaluation	\$ 421,193	\$ 146,219	\$ 92,806	N/A ^[9]	\$ 92,792	\$ 0	\$ 239,011	\$ 14	N/A ^[9]
TOTAL	\$ 8,845,047	\$ 7,643,660	\$ 137,760	\$ 1,063,627	\$ 136,991	\$ 755	\$ 7,780,651	\$ 14	\$ 1,064,382

Table 6: EEPS 1 Gas Program Net Energy Savings Summary

	2008-2011 Target (Dth)	Total through December 2011				2012 Changes		Total Through 2012		
		\$/Dth	Acquired (Dth)	Committed (Dth)	Unspent/Uncommitted Adjustment ^[7] ^[10] (Dth)	Acquired (Dth)	Dis-encumbered Adjustment (Dth) ^[7]	Acquired (Dth)	Remaining Committed (Dth)	Dis-encumbered Adjustment (Dth)
	(6a)	(6b) =(5a)/(6a)	(6c)	(6d)	(6e) =(5d)/(6b)	(6f)	(6g) =(5f)/(6b)	(6c)+(6f)	(6h)	(6e)+(6g)
Res Gas HVAC	404,738	\$ 18	368,002	-	31,802	-	-	368,002	-	31,802
C&I Prescriptive	22,372	\$ 43	23,250	432	6,686	609	(5)	23,859	-	6,681
C&I Custom	3,148	\$ 44	-	496	414	496	22	496	-	436
EmPower	N/A		286	-				286		
TOTAL	430,258		391,252	928		1,105		392,357	-	

[Table 7: EEPS 2 Gas Program Financial Summary](#) ^[6]

	2012 Budget	Totals through December 2012			
		Expenditures	Encumbrances	Total Expended & Encumbered	Total as % of 2012 Budget
	(7a)	(7b)	(7c)	(7d)=(7b)+(7c)	(7d)/(7a)
Res Gas HVAC	\$ 4,362,389	\$ 1,416,309	\$ -	\$ 1,416,309	32%
C&I Gas	\$ 588,111	\$ 557,101	\$ 124,022	\$ 681,123	116%
Evaluation	\$ 260,553	\$ -	\$ -	\$ -	0%
TOTAL	\$ 5,211,052	\$ 1,973,410	\$ 124,022	\$ 2,097,432	40%

[Table 8: EEPS 2 Gas Program Net Energy Savings Summary \(Dth\)](#)

	2012 Target	Totals through December 2012			
		Acquired	Committed	Total Acquired & Committed	Total as % of 2012 Target
	(8a)	(8b)	(8c)	(8d)=(8b)+(8c)	(8d)/(8a)
Res Gas HVAC	247,987	112,619	-	112,619	45%
C&I Gas	13,621	16,193	2,606	18,799	138%
EmPower ^[11]	N/A	131	-	131	
Total	261,608	128,812	2,606	131,418	50%

Staff Notes:

^[6] Evaluation budgets, expenditures and encumbrances should be excluded from program lines and instead shown, in aggregate for the gas portfolio, in a separate line at the bottom of the table.

^[7] In the calculation below, NYSERDA should multiply 6b by a factor of 0.93 to reflect the fact that NYSERDA was authorized, in the Commission's 10/25/11 Order, to retain its unspent/uncommitted administration budget.

Utility Notes:

^[8] Negative dis-encumbrances represent the difference of amounts encumbered for project commitments and actual costs at project completion.

^[9] As directed by DPS Staff, EEPS1 evaluation funds not currently spent or committed have been reserved for statewide studies and/or rolled forward to fund EEPS2 evaluations. Thus evaluation funds are not available for dis-encumbrance.

^[10] The unspent/uncommitted adjustment is a calculated number that reflects the ordered cost per Dth and not the actual cost per Dth.

^[11] EmPower savings reflect 15% of the savings from referrals to and participation in NYSERDA's Empower program as authorized in the 01/04/2010 Order.

Section 2 – EEPS Evaluation Studies Summary

Table 9: Completed EEPS Evaluation Studies

Program Name (or Statewide Study Name)	Evaluation Type	Study Completion Date	Hyperlink or DMM Number	Appendix Page Reference

Table 10: Planned EEPS Evaluation Studies

Program Name (or Statewide Study Name)	Evaluation Type	Anticipated Completion Date
NYSEG and RG&E EEPS1 2009-2011 Residential Gas - HVAC Rebate	Process & Impact	2Q2013
NYSEG and RG&E EEPS1 2010 Res/Non-Res Multi Family Electric - Direct Install	Process & Impact	2Q2013
NYSEG and RG&E EEPS1 2010 Small Business Electric - Direct Install	Process & Impact	2Q2013
NYSEG and RG&E EEPS1 2010 C&I Electric and Gas Prescriptive - Rebate	Process & Impact	2Q2013
NYSEG and RG&E EEPS1 2010 C&I Electric and Gas Custom - Rebate	Process & Impact	2Q2013
NYSEG and RG&E EEPS1 2011 Res/Non-Res Multi Family Electric - Direct Install	Process & Impact	2Q2013
NYSEG and RG&E EEPS1 2011 Small Business Electric - Direct Install	Process & Impact	2Q2013
NYSEG and RG&E EEPS1 2011 C&I Electric and Gas Prescriptive - Rebate	Process & Impact	2Q2013
NYSEG and RG&E EEPS1 2011 C&I Electric and Gas Custom - Rebate	Process & Impact	2Q2013
NYSEG and RG&E Block Bid 2011-2012 Electric	Process & Impact	3Q2013
NYSEG and RG&E Refrigerator Recycling 2011-2012 Electric - Rebate	Process & Impact	<i>On hold by DPS staff</i>
NYSEG and RG&E Home Energy Reports 2012 Electric and Gas	Impact Only	3Q2014

Table 11: Electric Program Evaluation Factors, as applied in filed EEPS I & II reports

(Any program for which the PA has a pending or final impact evaluation that recommends a different net-to-gross ratio and/or realization rate than what had been applied to the reported savings are noted and explained in a footnote in the final row of the table.)

Electric Program Name	Applicable Time Period	Realization Rate	Net-to-Gross
Footnotes:			

Table 12: Gas Program Evaluation Factors, as applied in filed EEPS I & EEPS II reports

(Any program for which the PA has a pending or final impact evaluation that recommends a different net-to-gross ratio and/or realization rate than what had been applied to the

Gas Program Name	Applicable Time Period	Realization Rate	Net-to-Gross
Footnotes:			

**2012 EEPS Program Administrator Annual Report
NYSEG and RG&E Residential Programs**

Appendices

Appendix A – Program History Description Summaries

Brief (1-2 Page) description of each program and program history, including the following information:

- Program Name
- One paragraph summary of program design and target sector
- List of Orders and OEEE Director Letters modifying program (funds, targets, measures, etc.)
- Hyperlink or DMM File number for Current Implementation Plan

MULTIFAMILY PROGRAM

- Program Name: Residential/Nonresidential Multi-family Program
- The NYSEG/RG&E Multifamily program is a direct install program for eligible apartment and condominium buildings with 5-50 units. Eligible measures include Compact Fluorescent Light bulbs (CFLs); low flow showerheads, low flow aerators, and water heater pipe wrap; and Common Area Lighting (CAL).
- List of Orders and OEEE Director Letters modifying program:
 - ORDER APPROVING MULTIFAMILY ENERGY EFFICIENCY PROGRAMS WITH MODIFICATIONS (Issued and Effective July 27, 2009)
 - ORDER AUTHORIZING EFFICIENCY PROGRAMS, REVISING INCENTIVE MECHANISM, AND ESTABLISHING A SURCHARGE SCHEDULE (Issued and Effective October 25, 2011)
 - ORDER APPROVING UTILITY TARGET ADJUSTMENTS (Issued and Effective February 17, 2012)
 - ORDER APPROVING RECOVERY OF PORTFOLIO COSTS (Issued and Effective July 18, 2012)
 - December 16, 2011 letter to Director Barwig re: addition of low flow showerheads, low flow aerators, and water heater pipe wrap measures
 - January 14, 2013 letter from Director Barwig re: addition of dwelling unit fluorescent fixture relamp/reballast measures
 - March 11, 2013 letter to Director Barwig re: addition of common area lighting measures
- Hyperlink or DMM File number for Current Implementation Plan:
<http://documents.dps.ny.gov/public/Common/ViewDoc.aspx?DocRefId=%7B5956940F-0429-4A51-B5A3-E4B400ECADDE%7D>

REFRIGERATOR AND FREEZER RECYCLING PROGRAM

- Program Name: Refrigerator and Freezer Recycling Program
- The purpose of the NYSEG and RG&E Refrigerator and Freezer Recycling Program is to remove customers' working second refrigerators and freezers. The program will include pick-up, environmentally sound disposal and recycling of these units, and an incentive to induce customers to participate in the program. The program also provides participants with six CFLs. The target customer market for this program is all individually metered residential electric customers of the Companies, including both owners and renters, who have operational second refrigerators/freezers. A limit of two refrigerators/freezers per customer address/account number applies.
- List of Orders and OEEE Director Letters modifying program:
 - ORDER APPROVING RESIDENTIAL GAS AND ELECTRIC ENERGY EFFICIENCY PROGRAMS WITH MODIFICATIONS Issued and Effective January 25, 2011)
 - ORDER AUTHORIZING EFFICIENCY PROGRAMS, REVISING INCENTIVE MECHANISM, AND ESTABLISHING A SURCHARGE SCHEDULE (Issued and Effective October 25, 2011)
 - ORDER APPROVING RECOVERY OF PORTFOLIO COSTS (Issued and Effective July 18, 2012)
 - July 29, 2011 letter to Director Barwig, re: addition of CFLs to the program
- Hyperlink or DMM File number for Current Implementation Plan:
<http://documents.dps.ny.gov/public/Common/ViewDoc.aspx?DocRefId=%7B66CDDBC0-11D1-45A3-BE06-5975DE5DFE60%7D>

RESIDENTIAL NATURAL GAS EQUIPMENT REBATE PROGRAM

- Program Name: Residential Natural Gas Equipment Rebate Program
- The purpose of the NYSEG and RG&E Residential Natural Gas Equipment Rebate Program is to provide customers with incentives to install high-efficiency natural gas heating equipment and related control equipment. All rebate requests must be reserved online at www.nyseg.com or www.rge.com. The target customer market for this program is all individually metered residential natural gas customers of the Companies, including both owners and renters. The rebate applies to qualifying high efficiency natural gas equipment installed in new or existing dwellings.
- List of Orders and OEEE Director Letters modifying program:
 - ORDER APPROVING "FAST TRACK" UTILITY-ADMINISTERED GAS ENERGY EFFICIENCY PROGRAMS WITH MODIFICATIONS (Issued and Effective April 7, 2009)
 - ORDER APPROVING THREE NEW ENERGY EFFICIENCY PORTFOLIO STANDARD (EEPS) PROGRAMS AND ENHANCING FUNDING AND MAKING OTHER MODIFICATIONS FOR OTHER EEPS PROGRAMS (Issued and Effective June 24, 2010)
 - ORDER AUTHORIZING EFFICIENCY PROGRAMS, REVISING INCENTIVE MECHANISM, AND ESTABLISHING A SURCHARGE SCHEDULE (Issued and Effective October 25, 2011)

- ORDER APPROVING APPLICATION OF ADDITIONAL GAS RESIDENTIAL HVAC PROGRAM FUNDING TO PREVIOUS BUDGET OVERAGES (Issued and Effective March 20, 2012)
 - ORDER APPROVING RECOVERY OF PORTFOLIO COSTS (Issued and Effective July 18, 2012)
 - August 23, 2010 letter to Director Barwig re: reductions to rebate levels.
 - July 11, 2011 letter to Director Barwig re: Lowering Incentive Level for Duct Sealing Measure in Residential Gas HVAC Rebate Program
 - April 20, 2012 letter to Director Barwig re: Addition of Faucet Aerators and Low Flow Shower Heads as “Extremely Low Cost Measures” to Program Classification Group 5 and the Companies’ Residential Natural Gas HVAC Rebate Program
 - July 16, 2012 letter to Director Barwig re: increase to rebate levels.
- Hyperlink or DMM File number for Current Implementation Plan:
<http://documents.dps.ny.gov/public/Common/ViewDoc.aspx?DocRefId={C6CB98ED-2630-45F8-A987-40FA730A5CE8}>

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Appendix A – Program History Description Summaries

Brief (1-2 Page) description of each program and program history, including the following information:

- Program Name
- One paragraph summary of program design and target sector
- List of Orders and OEEE Director Letters modifying program (funds, targets, measures, etc.)
- Hyperlink or DMM File number for Current Implementation Plan

COMMERCIAL AND INDUSTRIAL PROGRAM

- Program Name: Commercial and Industrial (C&I) Program
- The C&I Program delivers energy savings in three ways: Prescriptive rebates where a customer receives a rebate for installation of energy efficient equipment from a pre-determined measures list; Custom rebates where a customer receives a rebate for the installation of site-specific energy efficiency equipment and Block Bidding where a customer or third party aggregator provides a sealed-bid, pay-as-bid auction for electric energy savings. All non-residential NYSEG and RG&E electric and natural gas customers that pay into the SBC are eligible to participate in the C&I Program. Eligible customers must have active NYSEG or RG&E non-residential electric and/or natural gas account(s). Electric customers are eligible for electric rebates (and for participation in the electric Block Bidding portion of the program) and gas customers are eligible for gas rebates. Customers who receive both electric and gas services from NYSEG or RG&E are eligible for both electric and gas rebates.
- List of Orders and OEEE Director Letters modifying program:
 - ORDER APPROVING CERTAIN COMMERCIAL AND INDUSTRIAL CUSTOMER ENERGY EFFICIENCY PROGRAMS WITH MODIFICATIONS (Issued and Effective October 23, 2009)
 - ORDER APPROVING CERTAIN COMMERCIAL AND INDUSTRIAL CUSTOMER ENERGY EFFICIENCY PROGRAMS WITH MODIFICATIONS AND ADDRESSING INDEPENDENT PROGRAM ADMINISTRATOR FILINGS (Issued and Effective November 13, 2009)
 - ORDER APPROVING CERTAIN COMMERCIAL AND INDUSTRIAL RESIDENTIAL; AND LOW-INCOME RESIDENTIAL CUSTOMER ENERGY EFFICIENCY PROGRAMS WITH MODIFICATIONS (Issued and Effective January 4, 2010)
 - ORDER AUTHORIZING EFFICIENCY PROGRAMS, REVISING INCENTIVE MECHANISM, AND ESTABLISHING A SURCHARGE SCHEDULE (Issued and Effective October 25, 2011)
 - ORDER APPROVING UTILITY TARGET ADJUSTMENTS (Issued and Effective February 17, 2012)

- ORDER APPROVING RECOVERY OF PORTFOLIO COSTS (Issued and Effective July 18, 2012)
 - ORDER ADDRESSING BLOCK BIDDING AND OTHER UTILITY ENERGY EFFICIENCY PORTFOLIO STANDARD PROPOSALS (Issued and Effective February 19, 2013) May 27, 2011 Letter from Director Barwig re: Reallocate portions of the approved EEPS program budgets and energy savings targets among four of each company's electric EEPS programs for multifamily and business customers
 - August 19, 2011 Letter to Director Barwig re: Addition of High Efficiency T-8 Lamps and Electronic Ballasts
 - November 1, 2011 Letter from Director Barwig re: Case 07-M-0548 – Proceeding on Motion of the Commission Regarding an Energy Efficiency Portfolio Standard (Engine Block Heater Timers)
 - November 8, 2011 Letter from Director Barwig re: Transfer from C&I Custom Gas Programs to C&I Prescriptive Gas Programs
 - December 20, 2011 Letter from Director Barwig re: Approval of Thermal Equalizer Measure
 - December 21, 2011 Letter from Director Barwig re: Funds Transfer between Energy Efficiency programs
 - January 30, 2012 Letter from Director Barwig re: Request for Funds Transfer between NYSEG Energy Efficiency Commercial and Industrial programs
 - August 17, 2012 Letter from Director Barwig re: Request for Funds Transfer between NYSEG Energy Efficiency Commercial and Industrial Gas Programs
 - September 12, 2012 Letter from Director Barwig re: Request for Funds Transfer between RG&E Energy Efficiency Commercial and Industrial Electric Programs
- Hyperlink or DMM File number for Current Implementation Plan: Filed with Acting Secretary Cohen on 3/15/2013 but not yet added to DMM.

SMALL BUSINESS DIRECT INSTALL PROGRAM

- Program Name: Small Business Direct Install Program
- The program is designed to identify cost-effective efficiency improvement opportunities and encourage customers to implement energy-efficiency improvements by providing a free assessment of a customer's facilities and direct installation of the measures selected by the customer on a cost-shared basis. The direct installation of selected measures is subject to 70%/30% cost-sharing where the utility pays for up to 70% of the total installed measure costs. The program is targeted to small nonresidential electric customers with an average electric demand of less than 100 kW / month.
- List of Orders and OEEE Director Letters modifying program:
 - ORDER APPROVING CERTAIN COMMERCIAL AND INDUSTRIAL CUSTOMER ENERGY EFFICIENCY PROGRAMS WITH

MODIFICATIONS AND ADDRESSING INDEPENDENT PROGRAM
ADMINISTRATOR FILINGS (Issued and Effective November 13, 2009)

- ORDER AUTHORIZING EFFICIENCY PROGRAMS, REVISING INCENTIVE MECHANISM, AND ESTABLISHING A SURCHARGE SCHEDULE (Issued and Effective October 25, 2011)
 - ORDER APPROVING UTILITY TARGET ADJUSTMENTS (Issued and Effective February 17, 2012)
 - ORDER APPROVING RECOVERY OF PORTFOLIO COSTS (Issued and Effective July 18, 2012)
 - August 19, 2011 Letter to Director Barwig: re: addition of LEDs and Dimmer Measures
 - September 19, 2011 Letter to Director Barwig: re: addition of free measures to SBDI program
 - September 9, 2012 Letter to Director Barwig: re: addition of Pre-Qualified Refrigeration Measures
 - October 22, 2012 Letter to Director Barwig: re: addition of (additional) Refrigeration Measures
- Hyperlink or DMM File number for Current Implementation Plan:
<http://documents.dps.ny.gov/public/Common/ViewDoc.aspx?DocRefId={CD7ADAFC-188A-4087-8604-FD89744A4BB0}>

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Appendices

Appendix B – Evaluation Study Summaries

1-2 page summary of each evaluation completed during 2012

- There were no completed program evaluations during 2012.