



April 4, 2012

VIA ELECTRONIC SERVICE

Honorable Jaclyn A. Brilling, Secretary
New York State Public Service Commission
Three Empire State Plaza
Albany, New York 12223

Re: Case 07-M-0548 – Proceeding on Motion of the Commission Regarding an Energy Efficiency Portfolio Standard

Case 08-G-1012 – Petition of New York State Electric & Gas Corporation for Approval of an Energy Efficiency Portfolio Standard (EEPS) “Fast Track” Utility-Administered Gas Energy Efficiency Program

Case 08-G-1013 – Petition of Rochester Gas and Electric Corporation for Approval of an Energy Efficiency Portfolio Standard (EEPS) “Fast Track” Utility-Administered Gas Energy Efficiency Program

Case 08-E-1129– Petition of New York State Electric & Gas Corporation for Approval of an Energy Efficiency Portfolio Standard (EEPS) Utility-Administered Electric Energy Efficiency Program

Case 08-E-1130– Petition of Rochester Gas and Electric Corporation for Approval of an Energy Efficiency Portfolio Standard (EEPS) “Utility-Administered Electric Energy Efficiency Program

Case 09-G-0363 – Petition for Approval of Energy Efficiency Portfolio Standard (EEPS) Gas Efficiency Programs

Dear Secretary Brilling:

Pursuant to the New York State Public Service Commission’s orders in the above captioned proceedings, New York State Electric & Gas Corporation (“NYSEG”) and Rochester Gas and Electric Corporation (“RG&E”) hereby file modifications to the “2011 Annual Scorecard Reports” of EEPS programs achievements for the period January 1, 2011 to December 31, 2011.



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The purpose of this re-filing is to update the total program to-date expenditures for the NYSEG and RG&E Residential Gas HVAC Programs. In addition, the Annual narrative reflects participation for the Residential Gas HVAC Programs to be based on the number of measures rebated rather than the number of customers engaged.

Other program table modifications have been made to sum calendar year 2011 acquired plus committed savings where applicable. Total EEPS1 program achievements and commitments are not impacted and remain accurate as originally reported.

The programs addressed in these reports are as follows:

- Residential Gas HVAC Program
- Residential/Non-Residential Multifamily Program
- Residential Refrigerator Freezer Recycling Program
- Empower Utility Referred Program
- Non-Residential Small Business Direct Install Program
- Non-Residential Block Bidding Program
- Commercial and Industrial Rebate Program

Please direct any questions to me at telephone number 607-725-3936 or via email at jjfishgertz@nyseg.com.

Respectfully Submitted,



Joni Fish-Gertz
Manager, EEPS Programs

Enclosure

cc: Active Parties via ListServe

New York State Electric & Gas Corporation
and
Rochester Gas and Electric Corporation

EEPS Residential/Non-Residential Programs Report

**Savings from New York Standard Approach for Estimating
Energy Savings from Energy Efficiency Programs**

2011 Annual Program Report - Refiled

Prepared for

New York State Department of Public Service

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Program and Portfolio Summary

NYSEG and RG&E have worked diligently with Program Implementation Contractors, Customers and DPS Staff to start up additional programs and streamline and improve existing programs, all to increase program cost effectiveness and energy savings. Working together, there is much success to report; NYSEG has achieved 86% of its overall EEPS I¹ electric target and 85% of its gas target and RG&E has achieved 112% of its overall EEPS I electric target and 91% of its gas target. All of this has been done within the approved EEPS budgets for the Companies.² The following is a very high level presentation of individual program highlights and challenges as well as a summary and status of evaluation activities for the programs. It does not attempt to capture all of the important issues or events occurring over the EEPS year 2011.

Residential Gas HVAC Program

In calendar year 2011, the NYSEG Residential Gas HVAC program saved 39,597 Dth and at RG&E, 94,577 Dth of natural gas (acquired savings³); a successful year for both the Companies and their Customers. For EEPS I, the program paid rebates for a total of 10,270 measures installed by NYSEG residential gas customers and 22,308 measures installed by RG&E residential gas customers. The installation of these measures has produced cumulative savings of 163,079 Dth and 1,957 Mwh at NYSEG and 368,127 Dth and 6,423 Mwh at RG&E through 2011. (Electric savings is attributable to rebates for furnaces and boilers with ECM motors.)

The primary program accomplishments during EEPS I included the significant savings acquisition; utilization of the rebate reservation system (created at the end of 2010 to provide an accurate and timely accounting of committed rebate funds); \$5,340 donated by NYSEG customers and \$10,950 donated by RG&E customers in support of the Red Cross heating Funds; and participation of 1,355 trade allies in the program.

In 2011 the program duct sealing rebate was reduced to \$0 because of changes to the Technical Manual which now requires duct systems to be located entirely in unconditioned space to receive a rebate, and the Companies' discovery that few installations in the Companies' service areas met this requirement.

¹“EEPS I” is used in this report and elsewhere to describe the activities undertaken as authorized in the Energy Efficiency Portfolio Standard Case 07-M-0548, in the years 2009 – 2011.

² As noted within the scorecard reporting template, the costs reported here do not include portfolio costs, which are EEPS costs also recovered through the SBC and are reported elsewhere.

³ The Residential Gas HVAC program included only “acquired” savings (not “committed savings”) due to the short time frame associated with application, approval and incentive payments to customers. Most other programs will include both “acquired” and “committed” savings as explained later in this document.

Challenges addressed in the program included high volume created by the large Federal Tax Credits (which have since declined dramatically), management of changing customer demand and managing the program within available funding requirements (these two factors necessitated a temporary closing and re-opening of the NYSEG program to assure spending stayed within approved limits) and directing outreach to be both program and cost effective. Additional future challenges include: addressing reduced demand due to high saturation; changes to energy savings achievable under the program due to changing federal standards for heating appliance efficiencies; and introducing new energy savings opportunities for customers.

Residential/Non-Residential Multifamily Program

In calendar year 2011, the NYSEG Residential/Non-Residential Multifamily Program saved 1,698 Mwh and at RG&E 5,800 Mwh (acquired and committed savings⁴); a very successful year for both the Companies and their Customers. For the EEPS I program cycle, the program has engaged approximately 164 NYSEG and 188 RG&E multifamily customers and has produced cumulative savings of 2,575 Mwh at NYSEG and 8,102 Mwh at RG&E through 2011.

The primary program accomplishments during EEPS I included the significant savings acquisition; increasing outreach opportunities with building owners and managers, identification of opportunities for additional low/no cost measures which will be pursued in 2012, and identification of the potential for gas Multifamily measures which will also be pursued in 2012.

Challenges addressed in the program include reaching Multifamily customers, especially in the NYSEG service territory (which has a much greater geographic dispersion, and in general fewer and smaller multifamily buildings); managing the program within the size restriction of between 5 and 50 units; and a significantly higher customer demand for the program in the Rochester service territory, which the Companies were able to meet by transferring funds from other RG&E programs for which less customer demand existed at the time (as approved by the Director of the Office of Energy Efficiency & the Environment).

Additional future challenges include managing the changing lighting standards and resultant impact on availability and applicability of energy savings opportunities and adding low/no cost measures to the program.

⁴ The RG&E Multifamily program includes acquired and committed savings, but the NYSEG Multifamily program includes only acquired savings; there were no committed savings as of the end of 2011.

Residential Refrigerator and Freezer Recycling Program

In calendar year 2011, the NYSEG Residential Refrigerator Freezer Recycling Program saved 9,243 Mwh and at RG&E 5,732 Mwh (acquired savings). 2011 was a very successful year for both the Companies and their Customers, especially noting that this was the first program year, and it was not a full year. Because the program was initiated in 2011, the calendar and EEPS I program cycle results are the same. The program has engaged approximately 5,852 NYSEG and 3,578 RG&E residential electric customers.

The primary program accomplishments during EEPS I included the significant savings acquisition and the addition of no cost lighting measures to the program. Part of the program's success was due to joint advertising and promotion with National Grid; we share the same implementation contractor and decided to adopt a similar program theme. Challenges addressed in the program include starting up the program, and managing primary versus secondary refrigerator requirements. Additional future challenges include exploring ways to increase overall program efficacy through qualification of recently secondary refrigerators and managing eventual program saturation.

Empower Utility Referred Savings

The Companies actively refer customers to the NYSERDA Empower program and provide a weekly referral file of customer accounts to NYSERDA.⁵

In the time period January 10, 2010 to December 31, 2011, NYSEG provided 30,439 electric customer referrals and 16,102 gas customer referrals to NYSERDA, resulting in associated 15% electric savings of 266 Mwh and 430 Dth. Similarly, RG&E provided 17,853 electric customer referrals and 15,807 gas customer referrals to NYSERDA, resulting in associated 15% of savings of 50 Mwh and 286 Dth.

Non-Residential Small Business Direct Install Program

In calendar year 2011, the NYSEG Non-Residential Small Business Direct Install Program saved 44,012 Mwh; and at RG&E, 19,067 Mwh of electric savings (acquired and committed); an extremely successful year for both the Companies and their Customers. For the EEPS I program cycle the program has engaged approximately 5,206 NYSEG and 2,602 RG&E small business electric customers and has produced cumulative savings of 48,620 Mwh at NYSEG and 22,712 Mwh at RG&E through 2011.

⁵ In the Order Approving Certain Commercial And Industrial; Residential; And Low-Income Residential Customer Energy Efficiency Programs With Modifications, Cases 08-E-1127 et. al. (January 4, 2010), the Commission supported utilities' roles in the Empower Program and allowed utilities to claim 15% of the savings from measures installed in the program toward the utilities' EEPS energy savings goals.

The primary program accomplishments during EEPS I included the significant savings acquisition made possible through increasing program effectiveness after start-up; the addition of no cost lighting measures as well as additional program measures, improvements in vendor-supported customer payment processes and improvements in the accuracy of savings calculations

Challenges addressed in the program included managing workflow across the service territory, increasing customer authorizations, and managing increases in materials costs.

Additional future challenges include: operating the program within the allowed budget, managing changes to lighting standards and developing additional program measures, continuing to uncover participation in a saturating market. Note: the program is presented to customers as the “Small Business Energy Efficiency Program.”

Non-Residential Block Bidding Program

In calendar year 2011, the NYSEG Block Bidding Program saved 10,112 Mwh, and the RG&E Program 14,392 Mwh (acquired and committed savings); a successful year for both the Companies and their Customers. For the EEPS I program cycle the program has engaged approximately 19 NYSEG and 30 RG&E non-residential electric customers consisting of 41 NYSEG and 53 RG&E site specific locations.

The primary program accomplishments during EEPS I included the significant savings and engagement of third-party aggregators in the program. This results in a market-based cost per Mwh and significant outreach savings for the program.

Challenges addressed in the program included managing the high demand for funding, which resulted in funds transfers from programs which had less current demand; continuing to streamline the Request for Proposal (RFP) process and the project documentation; and attracting new bidders to the program, specifically in the NYSEG service territory.

Additional challenges to be addressed in the future include managing the program along with the CIRP programs in the most effective manner, changes to lighting standards which will effect the measures undertaken the program as well as the savings opportunities for the program; potential market saturation.

Commercial & Industrial Rebate Program (CIRP)

(Including Prescriptive Electric and Gas and Custom Electric and Gas)

For calendar year 2011, the NYSEG CIRP achieved the following savings: 12,158 Mwh for Prescriptive and Custom Electric combined; 15,353 Dth for Prescriptive and Custom Gas combined. Similarly, the RG&E CIRP achieved 3,919 Mwh for Prescriptive and Custom Electric combined, and 19,824 Dth for Prescriptive and Custom Gas combined. Reported savings numbers include both acquired and committed savings.

Program success in 2011 can be attributed to customers' ability to choose the incentives which suited their needs.

For the EEPS I program cycle the program has approved approximately 587 NYSEG and 69 RG&E non-residential electric customer rebates producing cumulative savings of 12,292 Mwh at NYSEG and 4,053 Mwh at RG&E through 2011. Similarly, the program has approved 89 NYSEG and 213 RG&E non-residential gas customer rebates, producing cumulative savings of 18,567 Dth at NYSEG and 24,079 Dth at RG&E through 2011.

The primary program accomplishments during EEPS I included increased customer engagement, introduction of complimentary engine block heater timers to attract the agricultural community and significant strides in attainment of savings. Challenges that were addressed included ongoing strengthening of outreach, education and marketing activities; enhancements to the custom project cost/benefit analysis, application processing improvements; effectively managing the high demand for funding among various program; and responsive implementation of TRC and reporting requirements.

Future program challenges include managing the program along with the Companies' Block Bidding program in the most effective manner, and changes to lighting standards which could reduce the population of measures offered by the program as well as reduce the opportunity for the program to achieve savings.

Program Evaluation Highlights

Evaluation Activities for Residential Gas HVAC Program (KEMA)

The process evaluation of the Residential Gas HVAC program focused on contractor and customer understanding of the program, satisfaction with the program, and a review of the program tracking database. In-depth phone surveys were conducted to 550 program participants, 15 contractors, and 11 distributors. Additionally, the evaluation team conducted in-depth interviews with key staff members of the implementation contractor, and the utility companies based on employee roles in program management and implementation. The Companies also provided program tracking database records at the measure level from the start of the program for analysis.

The impact evaluation and verification objective was to estimate the gross and net energy savings produced by the high efficiency measures rebated through the program. Evaluation activities contributing to the objectives include an engineering review of program savings calculations, pre and post consumption (billing) data analysis, supply chain interviews, and the participant telephone surveys.

A draft evaluation report on this program for 2009-2011 is currently being compiled. It is expected to ready for review by the Companies and DPS Staff by second quarter of 2012.

Evaluation Activities for Refrigerator & Freezer Recycling and Block Bidding Programs (CADMUS)

2011 Program evaluation milestones:

- Project kick-off meeting October 26, 2011 including Program, DPS Staff and Cadmus.
- November 18, 2011 - customer and/or site data files and supporting calculation documentation, customer marketing/promotional and other materials provided to Cadmus.
- Weekly meetings (11/11 – 12/11) held to develop EM&V plans and schedules.

Goals for the RFR EM&V plan:

- The process evaluation will examine the program theory and logic model, administrative and operational structures, program status and modifications and portfolio-level process evaluation findings.
- The impact evaluation will assess program energy savings. Information gathered will be used to: inform program administrators of progress towards energy-savings goals, provide key data used in cost-effectiveness analysis and report savings.

Goals for the BB EM&V plan:

- The process evaluation will review the Block Bidding program in context of the Companies' energy-efficiency program offerings including: How the program is achieving it's potential to contribute to the energy supply resources of customers, determining if the program is filling a key gap in the energy supply structure and will summarize key findings and provide actionable recommendations.
- The impact evaluation will assess energy savings resulting from the program. This information will be used to: Inform program administrators about progress towards energy-savings goals, update the deemed savings estimates and the savings calculation algorithms in the tech manual, track achieved savings as well as the savings expected from committed projects and assist program staff in managing savings procurement over time as many projects have a lengthy ramp up and installation timeline.

Status of draft EM&V plans:

- RFRP Plan submitted to DPS Staff on December 21, 2011.
- Block Bid Plan was submitted to DPS Staff on February 28, 2012.
- The Companies are currently waiting for feedback on the plans from DPS Staff.

Evaluation Activities for Multifamily, SBDI and CIRP Programs (ITRON)

Multifamily

The process evaluation consisted of a review of marketing materials and the analysis of findings from participant telephone surveys and in-depth staff interviews. Telephone surveys were conducted with participating tenants and property owners/manager. The evaluation team conducted in-depth interviews with key personnel among the staff of the administrative

contractor, implementation contractor, and the utility companies, heretofore collectively referred to as “*Program Team Member(s)*”.⁶ These staff were selected according to their roles in program management, implementation, and/or marketing and sales.

The impact evaluation and verification objective of the Multifamily Program was to estimate the gross and net energy and demand impacts produced by the lighting and refrigeration measures installed through the program. An engineering review was conducted to analyze program savings information and verify reported (i.e. *ex-ante*) impacts, using TRM savings algorithms, as set-forth under NYS program evaluation guidelines.

A draft evaluation report on this program for program year 2010 is currently under review by DPS Staff and the Companies.

Small Business Direct Install (SBDI)

The process evaluation consisted of a review of marketing materials and the analysis of participant phone surveys and in-depth interviews. The insights gained from these activities are presented in the process evaluation findings. In-depth interviews were conducted with key staff members at the administrative, implementation, and utility levels. Staff members were selected according to their roles in program design, implementation, marketing and sales.

The impact evaluation and verification objective of the SBDI Program was to estimate the energy and demand impacts produced by the installation of energy efficient lighting. To meet these objectives, the evaluation team examined several key parameters, including verification and installation rates, per-unit energy-savings values, baseline and post-retrofit hours of use values, baseline and post-retrofit wattage values. Also net-to-gross (NTG) ratios were calculated, using the self-reported data, and measured and verified data that were collected by on-site surveys and telephone surveys.

A draft evaluation report on this program for program year 2010 is currently under review by DPS Staff and the Companies.

Commercial and Industrial (CIRP) Rebate Programs

The process evaluation consisted of a review of marketing materials and the analysis of participant telephone surveys and in-depth staff interviews. Telephone surveys were conducted with participating customers to characterize their program experience. Another telephone survey was conducted with trade allies to determine how the program might be improved. In-depth interviews were conducted with key staff members of the administrative contractor, implementation contractor and the utility companies. These employees were selected according to their roles in program management, implementation, and marketing and sales.

⁶ Singular use of this term helps maintain the anonymity of staff that agreed to be interviewed, thus allowing for more honest and candid responses to detailed questions concerning program design and performance.

The impact evaluation and verification objective of the C&I Prescriptive Programs was to estimate the gross and net energy and demand impacts produced by the rebated measures installed through the program.⁷

A draft evaluation report on this program for program year 2010 is currently under review by DPS staff and the Companies.

⁷ References to *demand savings* impact estimation applies only to electric projects, in subsequent references cited in this report.

Program Administrator (PA) and Program ID¹	NYSEG Residential Gas HVAC Program
Program Name	NYSEG Residential Gas HVAC Program
Program Type²	Rebate
Total Acquired First-Year Impacts This year^{3,16}	
Net first-year annual kWh acquired this year ⁴	418,543.0
Annual Net kWh Goal (based on net first-year annual ⁵ kWh Goal)	N/A
Percent of Annual Net kWh Goal Acquired	N/A
Net Peak ⁶ kW acquired this year	N/A
Annual Net Peak kW Goal	N/A
Percent of Annual Peak kW Goal Acquired	N/A
Net First-year annual therms acquired this year	395,973.5
Annual Net Therm Goal for 2011	1,035,300.0
Percent of Annual Therm Goal Acquired	38.25%
Net Lifecycle kWh acquired this year	N/A
Net Lifecycle therms acquired this year	7,678,678.9
Net Other Annual Savings (MMBTUs) Acquired	
Coal	0.0
Kerosene	0.0
Oil	9,669.4
Propane	117.4
Total Acquired Net First-Year Impacts To Date^{16,19}	
Net first-year annual kWh acquired to date ²⁵	1,957,110.0
Net first-year annual kWh acquired to date as a percent of annual goal	N/A
Net first-year annual kWh acquired to date as a percent of 8-year goal	N/A
Net cumulative kWh acquired to date	N/A
Net utility peak kW reductions acquired to date	N/A
Net utility peak kW reductions acquired to date as a percent of utility annual goal	N/A
Net utility peak kW reductions acquired to date as a percent of 8-year goal	N/A
Net NYISO peak kW reductions acquired to date	N/A
Net first-year annual therms acquired to date	1,630,791.3
Net first-year annual therms acquired to date as a percent of annual goal ²²	86.64%
Net first-year annual therms acquired to date as a percent of 8-year goal	N/A
Net cumulative therms acquired to date	1,630,791.3
Total Acquired Lifecycle Impacts To Date^{7,16,19}	
Net Lifecycle kWh acquired to date	N/A
Net Lifecycle therms acquired to date	31,610,239.5
Committed⁸ Impacts (not yet acquired) This year¹⁶	
Net First-year annual kWh committed this year	N/A
Net Lifecycle kWh committed this year	N/A
Net Utility Peak kW committed this year	N/A
Net first-year annual therms committed this year ²⁴	0
Net Lifecycle therms committed this year ²⁴	0
Funds committed at this point in time	0
Overall Impacts (Acquired & Committed)¹⁶	
Net first-year annual kWh acquired & committed this year	N/A
Net utility peak kW acquired & committed this year	N/A
Net First-year annual therms acquired & committed this year	395,973.5
Costs^{9,18}	
Total program budget ^{13,22}	\$3,897,053
General Administration	Portfolio ¹⁴
Program Planning	Portfolio ¹⁴

Program Marketing ²⁰	\$17,651
Trade Ally Training ²⁰	\$0
Incentives and Services ^{15, 20}	\$468,450
Direct Program Implementation ^{20,21}	\$53,744
Program Evaluation ²⁰	\$36,212
Total expenditures to date ^{13,23}	\$3,460,560
Percent of total budget spent to date ²²	88.80%
Participation^{17,19}	
Number of program applications received to date	12,587
Number of program applications processed to date ¹⁰	11,364
Number of processed applications approved to date ¹¹	10,270
Percent of applications received to date that have been processed	90.28%
Annual Carbon Emission Reductions (in tons)¹²	
Total Acquired Net First-Year Carbon Emission Reductions To Date	9,540
Total Acquired Cumulative Net Carbon Emission Reductions To Date	9,540
DPS Staff Notes: See Attachment 1	
Utility Notes:	
¹³ Start-up costs are not included in the program budget or actual costs. These costs were deferred as specified on p. 13 of the April 9, 2009 Order Approving "Fast Track" Utility-Administered Gas Energy Efficiency Programs With Modifications in Cases 08-G-1012 et al, and as noted in the June 8, 2009 New York State Electric & Gas Corporation and Rochester Gas and Electric Corporation Residential Gas HVAC Program Implementation Plan. A summary of deferred costs to date is shown below:	
Maximum Deferral (p. 13, April 9, 2009 Order)	\$150,518
Deferred costs	
Program marketing	\$8,301
Trade ally training	\$0
Direct program implementation	\$3,745
Evaluation	\$3,150
Total deferred costs	\$15,196
Remaining deferral allowance	\$135,322
¹⁴ Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission. Although an estimate of the total Portfolio Costs was provided to the Commission on June 8, 2009 in the New York State Electric & Gas Corporation and Rochester Gas and Electric Corporation Residential Gas HVAC Program Implementation Plan, these costs were not included in the program budget, and are not included in this report of actual program expenditures. Incentives and services costs are reported based on Company payment or invoices received from program implementation contractor for incentive payments made to program participants. They will thus lag the actual issuance of incentive payments to program participants.	
¹⁶ Impacts are calculated using actual installed measures information instead of deemed savings based calculations for all measures except indirect water heaters. Impacts for indirect water heater measures will continue to be based on deemed savings until an approved formula to calculate actual installed measure based impacts is available.	
¹⁷ Since an application with multiple measures is separated into multiple rebates, participation reflects the number of installed measures. Applications that are identified as incomplete, erroneous, or ineligible during processing are considered "flawed". For participation counts, it is assumed that each flawed application has one measure. Measure data is not collected on flawed applications; rather, it is collected only from applications that have been determined to be eligible and complete.	
¹⁸ All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.	
¹⁹ Program to date information may include changes to prior period data received from the implementation contractor based on additional review and/or reconsideration of rebate eligibility decisions made in prior periods. Additional information regarding such changes is available upon request.	
²⁰ Per request from DPS Staff during December 7, 2009 conference call, the data contained in these fields reflects the most recent year's expenditures in the respective category, not "to date" information.	
²¹ Includes program true-ups due to timing.	
²² Monthly and annual goals and budgets include additional amounts authorized in the Order Approving Three New Energy Efficiency Portfolio Standard (EEPS) Programs and Enhancing Funding and Making Other Modifications for Other EEPS Programs (June 24, 2010), (the "June 24 Order").	
²³ Previous PSC Scorecard expense reporting for the residential natural gas HVAC program was based on expenditures as received on invoices from the implementation contractor. Beginning with the August 2010 scorecard, expenditures will be reported in accordance with normal accounting practice to recognize expenses in the month recorded to the general ledger.	

²⁴ Net first-year annual therms and net lifecycle therms committed this month are undetermined at this time pending actual installed equipment capacity and efficiency.

²⁵ Reported kWh savings reflect ancillary electric savings for installed ECM motors on gas furnaces per the New York Standards Approach for Estimating Energy Savings from Energy Efficiency Programs effective January 1, 2011. Ancillary savings started being reported with the June 2011 monthly scorecard. Year to date kWh savings reflect ancillary savings from rebates paid since inception of the program to date.

²⁶ Reported kWh savings reflect ancillary electric savings for installed ECM motors on gas furnaces per the New York Standards Approach for Estimating Energy Savings from Energy Efficiency Programs effective January 1, 2011. Year to date kWh savings reflect ancillary savings from rebates paid since inception of the program to date.

Table 2A – Other Fuels Conversion Information

	Number of Measures	EEPS Incentive Amounts Paid ²	Other Incentive Amounts Paid
Other Fuels Conversion Participation To Date⁴			
Conversion space heating measures approved to date ¹	537	\$318,621	\$0
Conversion water heating measures approved to date	112	\$30,090	\$0
Conversion thermostat measures approved to date	248	\$5,450	\$0
Conversion duct sealing measures approved to date	0	\$0	\$0
Total conversion measures approved to date	897	\$354,161	\$0
Estimated non-incentive expenses to date attributable to fuel conversion participants ³			\$38,827

Table 2A Notes:

¹ Includes furnaces, boilers, and boiler reset controls

² Based on invoices received from program implementation contractors for incentive payments made to program participants. Due to possible lag between receipt of invoices and Company payment, some incentives included herein may not yet be reflected in the Incentives and Services amounts reported.

³ Estimated by prorating the non-incentive expenditures to date reported in the Cost section by the ratio of fuels conversion measure incentives paid to program participants to date compared to the total of all measure incentives paid to program participants to date as invoiced by the program implementation contractors. The data was derived from information customers provided on rebate applications. No funds have been expended marketing directly to potential fuels conversion customers nor have any special incentives been offered or given to these customers.

⁴ Program to date information may include changes to prior period data received from the implementation contractor based on additional review and/or reconsideration of rebate eligibility decisions made in prior periods. Additional information regarding such changes is available upon request.

Program Administrator (PA) and Program ID¹	RG&E Residential Gas HVAC Program
Program Name	RG&E Residential Gas HVAC Program
Program Type²	Rebate
Total Acquired First-Year Impacts This year^{3,16}	
Net first-year annual kWh acquired this year ⁴	1,805,379.0
Annual Net kWh Goal (based on net first-year annual ⁵ kWh Goal)	N/A
Percent of Annual Net kWh Goal Acquired	N/A
Net Peak ⁶ kW acquired this year	N/A
Annual Net Peak kW Goal	N/A
Percent of Annual Peak kW Goal Acquired	N/A
Net First-year annual therms acquired this year	945,772.9
Annual Net Therm Goal for 2011	2,479,870.0
Percent of Annual Therm Goal Acquired	38.14%
Net Lifecycle kWh acquired this year	
Net Lifecycle therms acquired this year	17,488,184.3
Net Other Annual Savings (MMBTUs) Acquired	
Coal	0.0
Kerosene	0.0
Oil	12,864.7
Propane	99.2
Total Acquired Net First-Year Impacts To Date^{16,19}	
Net first-year annual kWh acquired to date ²⁵	6,422,546.0
Net first-year annual kWh acquired to date as a percent of annual goal	N/A
Net first-year annual kWh acquired to date as a percent of 8-year goal	N/A
Net cumulative kWh acquired to date	N/A
Net utility peak kW reductions acquired to date	N/A
Net utility peak kW reductions acquired to date as a percent of utility annual goal	N/A
Net utility peak kW reductions acquired to date as a percent of 8-year goal	N/A
Net NYISO peak kW reductions acquired to date	N/A
Net first-year annual therms acquired to date	3,681,265.5
Net first-year annual therms acquired to date as a percent of annual goal ²²	90.95%
Net first-year annual therms acquired to date as a percent of 8-year goal	
Net cumulative therms acquired to date	3,681,265.5
Total Acquired Lifecycle Impacts To Date^{7,16,19}	
Net Lifecycle kWh acquired to date	
Net Lifecycle therms acquired to date	72,171,849.6
Committed⁸ Impacts (not yet acquired) This year¹⁶	
Net First-year annual kWh committed this year	N/A
Net Lifecycle kWh committed this year	N/A
Net Utility Peak kW committed this year	N/A
Net first-year annual therms committed this year ²⁴	0
Net Lifecycle therms committed this year ²⁴	0
Funds committed at this point in time	0
Overall Impacts (Acquired & Committed)¹⁶	
Net first-year annual kWh acquired & committed this year	N/A
Net utility peak kW acquired & committed this year	N/A
Net First-year annual therms acquired & committed this year	945,772.9
Costs^{9,18}	
Total program budget ^{13,22}	\$7,708,100
General Administration	Portfolio ¹⁴
Program Planning	Portfolio ¹⁴

Program Marketing ²⁰	\$9,124
Trade Ally Training ²⁰	\$360
Incentives and Services ^{15, 20}	\$1,183,838
Direct Program Implementation ^{20,21}	\$137,877
Program Evaluation ²⁰	\$85,448
Total expenditures to date ^{13,23}	\$6,521,586
Percent of total budget spent to date ²²	84.61%
Participation^{17,19}	
Number of program applications received to date	24,419
Number of program applications processed to date ¹⁰	23,294
Number of processed applications approved to date ¹¹	22,308
Percent of applications received to date that have been processed	95.39%
Annual Carbon Emission Reductions (in tons)¹²	
Total Acquired Net First-Year Carbon Emission Reductions To Date	21,535
Total Acquired Cumulative Net Carbon Emission Reductions To Date	21,535
DPS Staff Notes: See Attachment 1	
Utility Notes:	
¹³ Start-up costs are not included in the program budget or actual costs. These costs were deferred as specified on p. 13 of the April 9, 2009 Order Approving "Fast Track" Utility-Administered Gas Energy Efficiency Programs With Modifications in Cases 08-G-1012 et al, and as noted in the June 8, 2009 New York State Electric & Gas Corporation and Rochester Gas and Electric Corporation Residential Gas HVAC Program Implementation Plan. A summary of deferred costs to date is shown below:	
Maximum Deferral (p. 13, April 9, 2009 Order)	\$155,880
Deferred costs	
Program marketing	\$8,009
Trade ally training	\$0
Direct program implementation	\$1,478
Evaluation	\$1,850
Total deferred costs	\$11,337
Remaining deferral allowance	\$144,543
¹⁴ Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission. Although an estimate of the total Portfolio Costs was provided to the Commission on June 8, 2009 in the New York State Electric & Gas Corporation and Rochester Gas and Electric Corporation Residential Gas HVAC Program Implementation Plan, these costs were not included in the program budget, and are not included in this report of actual program expenditures.	
¹⁵ Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for incentive payments made to program participants. They will thus lag the actual issuance of incentive payments to program participants.	
¹⁶ Impacts are calculated using actual installed measures information instead of deemed savings based calculations for all measures except indirect water heaters. Impacts for indirect water heater measures will continue to be based on deemed savings until an approved formula to calculate actual installed measure based impacts is available.	
¹⁷ Since an application with multiple measures is separated into multiple rebates, participation reflects the number of installed measures. Applications that are identified as incomplete, erroneous, or ineligible during processing are considered "flawed". For participation counts, it is assumed that each flawed application has one measure. Measure data is not collected on flawed applications; rather, it is collected only from applications that have been determined to be eligible and complete.	
¹⁸ All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.	
¹⁹ Program to date information may include changes to prior period data received from the implementation contractor based on additional review and/or reconsideration of rebate eligibility decisions made in prior periods. Additional information regarding such changes is available upon request.	
²⁰ Per request from DPS Staff during December 7, 2009 conference call, the data contained in these fields reflects the most recent year's expenditures in the respective category, not "to date" information.	
²¹ Includes program true-ups due to timing.	
²² Monthly and annual goals and budgets include additional amounts authorized in the Order Approving Three New Energy Efficiency Portfolio Standard (EEPS) Programs and Enhancing Funding and Making Other Modifications for Other EEPS Programs (June 24, 2010), (the "June 24 Order").	

²³ Previous PSC Scorecard expense reporting for the residential natural gas HVAC program was based on expenditures as received on invoices from the implementation contractor. Beginning with the August 2010 scorecard, expenditures will be reported in accordance with normal accounting practice to recognize expenses in the month recorded to the general ledger.

²⁴ Net first-year annual therms and net lifecycle therms committed this month are undetermined at this time pending actual installed equipment capacity and efficiency.

²⁵ Reported kWh savings reflect ancillary electric savings for installed ECM motors on gas furnaces per the New York Standards Approach for Estimating Energy Savings from Energy Efficiency Programs effective January 1, 2011. Ancillary savings started being reported with the June 2011 monthly scorecard. Year to date kWh savings reflect ancillary savings from rebates paid since inception of the program to date.

²⁶ Reported kWh savings reflect ancillary electric savings for installed ECM motors on gas furnaces per the New York Standards Approach for Estimating Energy Savings from Energy Efficiency Programs effective January 1, 2011. Year to date kWh savings reflect ancillary savings from rebates paid since inception of the program to date.

Table 2A – Other Fuels Conversion Information

	Number of Measures	EEPS Incentive Amounts Paid ²	Other Incentive Amounts Paid
Other Fuels Conversion Participation To Date⁴			
Conversion space heating measures approved to date ¹	429	\$190,550	\$0
Conversion water heating measures approved to date	11	\$3,040	\$0
Conversion thermostat measures approved to date	318	\$6,430	\$0
Conversion duct sealing measures approved to date	2	\$1,200	\$0
Total conversion measures approved to date	760	\$201,220	\$0
Estimated non-incentive expenses to date attributable to fuel conversion participants ³			\$20,298

Table 2A Notes:

¹ Includes furnaces, boilers, and boiler reset controls

² Based on invoices received from program implementation contractors for incentive payments made to program participants. Due to possible lag between receipt of invoices and Company payment, some incentives included herein may not yet be reflected in the Incentives and Services amounts reported.

³ Estimated by prorating the non-incentive expenditures to date reported in the Cost section by the ratio of fuels conversion measure incentives paid to program participants to date compared to the total of all measure incentives paid to program participants to date as invoiced by the program implementation contractors. The data was derived from information customers provided on rebate applications. No funds have been expended marketing directly to potential fuels conversion customers nor have any special incentives been offered or given to these customers.

⁴ Program to date information may include changes to prior period data received from the implementation contractor based on additional review and/or reconsideration of rebate eligibility decisions made in prior periods. Additional information regarding such changes is available upon request.

Program Administrator (PA) and Program ID¹	NYSEG Empower Utility Referred Savings
Program Name	Empower Utility Gas Referred Savings
Program Type²	Referral
Total Acquired First-Year Impacts This Year^{3,16}	
Net first-year annual kWh acquired this Year ⁴	N/A
Annual Net kWh Goal (based on net first-year annual ⁵ kWh Goal)	N/A
Percent of annual Net kWh Goal Acquired	N/A
Net Peak⁶ kW acquired this Year	N/A
Annual Net Peak kW Goal	N/A
Percent of annual Peak kW Goal Acquired	N/A
Net First-year annual therms acquired this Year	4,301.1
Annual Net Therm Goal for 2011	N/A
Percent of annual Therm Goal Acquired	N/A
Net Lifecycle kWh acquired this Year	N/A
Net Lifecycle therms acquired this Year	N/A
Net Other annual Savings (MMBTUs) Acquired	
Coal	N/A
Kerosene	N/A
Oil	N/A
Propane	N/A
Total Acquired Net First-Year Impacts To Date^{13,16}	
Net first-year annual kWh acquired to date	N/A
Net first-year annual kWh acquired to date as a percent of annual goal	N/A
Net first-year annual kWh acquired to date as a percent of 8-year goal	N/A
Net cumulative kWh acquired to date	N/A
Net utility peak kW reductions acquired to date	N/A
Net utility peak kW reductions acquired to date as a percent of utility annual goal	N/A
Net utility peak kW reductions acquired to date as a percent of 8-year goal	N/A
Net NYISO peak kW reductions acquired to date	N/A
Net first-year annual therms acquired to date	4,301.1
Net first-year annual therms acquired to date as a percent of annual goal	N/A
Net first-year annual therms acquired to date as a percent of 8-year goal	N/A
Net cumulative therms acquired to date	4,301.1
Total Acquired Lifecycle Impacts To Date	
Net Lifecycle kWh acquired to date	N/A
Net Lifecycle therms acquired to date	N/A
Committed⁸ Impacts (not yet acquired) This Year¹⁶	
Net First-year annual kWh committed this Year	N/A
Net Lifecycle kWh committed this Year	N/A
Net Utility Peak kW committed this Year	N/A
Net first-year annual therms committed this Year ²⁴	N/A
Net Lifecycle therms committed this Year ²⁴	N/A
Funds committed at this point in time	N/A
Overall Impacts (Acquired & Committed)¹⁶	
Net first-year annual kWh acquired & committed this Year	N/A
Net utility peak kW acquired & committed this Year	N/A
Net First-year annual therms acquired & committed this Year	N/A
Costs^{9,18}	

Total program budget ^{13,22}	N/A
General Administration	N/A
Program Planning	N/A
Program Marketing ²⁰	N/A
Trade Ally Training ²⁰	N/A
Incentives and Services ^{15, 20}	N/A
Direct Program Implementation ^{20,21}	N/A
Program Evaluation ²⁰	N/A
Total expenditures to date ^{13,23}	N/A
Percent of total budget spent to date ²²	N/A
Participation¹⁵	
Number of program applications received to date	16,102
Number of program applications processed to date ¹⁰	N/A
Number of processed applications approved to date ¹¹	397
Percent of applications received to date that have been processed	2.47
annual Carbon Emission Reductions (in tons)¹²	
Total Acquired Net First-Year Carbon Emission Reductions To Date	25
Total Acquired Cumulative Net Carbon Emission Reductions To Date	25
Utility Notes:	
¹³ Program Start date is January 10, 2010	
¹⁴ This is the total number of customers referred to NYSEDA within the reporting time period.	
¹⁵ This is the number of customers participating in savings projects with NYSEDA within the reporting time period.	
¹⁶ This reporting period is January 10, 2011 - December 31, 2011.	
¹⁷ The cumulative savings shown account for revision of April 2011 and May 2011 monthly savings due to NTG factor.	

Program Administrator (PA) and Program ID¹	RG&E Empower Utility Referred Savings
Program Name	Empower Utility Gas Referred Savings
Program Type²	Referral
Total Acquired First-Year Impacts This Year^{3,16}	
Net first-year annual kWh acquired this Year ⁴	N/A
Annual Net kWh Goal (based on net first-year annual ⁵ kWh Goal)	N/A
Percent of Annual Net kWh Goal Acquired	N/A
Net Peak⁶ kW acquired this Year	N/A
Annual Net Peak kW Goal	N/A
Percent of Annual Peak kW Goal Acquired	N/A
Net First-year annual therms acquired this Year	2,862.6
Annual Net Therm Goal for 2011	N/A
Percent of Annual Therm Goal Acquired	N/A
Net Lifecycle kWh acquired this Year	N/A
Net Lifecycle therms acquired this Year	N/A
Net Other Annual Savings (MMBTUs) Acquired	
Coal	N/A
Kerosene	N/A
Oil	N/A
Propane	N/A
Total Acquired Net First-Year Impacts To Date^{13,16}	
Net first-year annual kWh acquired to date	N/A
Net first-year annual kWh acquired to date as a percent of annual goal	N/A
Net first-year annual kWh acquired to date as a percent of 8-year goal	N/A
Net cumulative kWh acquired to date	N/A
Net utility peak kW reductions acquired to date	N/A
Net utility peak kW reductions acquired to date as a percent of utility annual goal	N/A
Net utility peak kW reductions acquired to date as a percent of 8-year goal	N/A
Net NYISO peak kW reductions acquired to date	N/A
Net first-year annual therms acquired to date	2,862.6
Net first-year annual therms acquired to date as a percent of annual goal	N/A
Net first-year annual therms acquired to date as a percent of 8-year goal	N/A
Net cumulative therms acquired to date	2,862.6
Total Acquired Lifecycle Impacts To Date	
Net Lifecycle kWh acquired to date	N/A
Net Lifecycle therms acquired to date	N/A
Committed⁸ Impacts (not yet acquired) This Year¹⁶	
Net First-year annual kWh committed this Year	N/A
Net Lifecycle kWh committed this Year	N/A
Net Utility Peak kW committed this Year	N/A
Net first-year annual therms committed this Year ²⁴	N/A
Net Lifecycle therms committed this Year ²⁴	N/A
Funds committed at this point in time	N/A
Overall Impacts (Acquired & Committed)¹⁶	
Net first-year annual kWh acquired & committed this Year	N/A
Net utility peak kW acquired & committed this Year	N/A
Net First-year annual therms acquired & committed this Year	N/A
Costs^{9,18}	

Total program budget ^{13,22}	N/A
General Administration	N/A
Program Planning	N/A
Program Marketing ²⁰	N/A
Trade Ally Training ²⁰	N/A
Incentives and Services ^{15, 20}	N/A
Direct Program Implementation ^{20,21}	N/A
Program Evaluation ²⁰	N/A
Total expenditures to date ^{13,23}	N/A
Percent of total budget spent to date ²²	N/A
Participation¹⁵	
Number of program applications received to date	15,807
Number of program applications processed to date ¹⁰	N/A
Number of processed applications approved to date ¹¹	167
Percent of applications received to date that have been processed	1.06
Annual Carbon Emission Reductions (in tons)¹²	
Total Acquired Net First-Year Carbon Emission Reductions To Date	17
Total Acquired Cumulative Net Carbon Emission Reductions To Date	17
Utility Notes:	
¹³ Program Start date is January 10, 2010	
¹⁴ This is the total number of customers referred to NYSEDA within the reporting time period.	
¹⁵ This is the number of customers participating in savings projects with NYSEDA within the reporting time period.	
¹⁶ This reporting period is January 10, 2011 - December 31, 2011.	
¹⁷ The cumulative savings shown account for revision of April 2011 and May 2011 monthly savings due to NTG factor.	

Program Administrator (PA) and Program ID¹	NYSEG Non-Residential Commercial & Industrial Rebate Program
Program Name	NYSEG Non-Residential Commercial & Industrial PRESCRIPTIVE Program
Program Type²	Prescriptive GAS Rebate
Total Acquired First-Year Impacts This year³	
Net first-year annual kWh acquired this year ⁴	NA
Annual Net kWh Goal (based on net first-year annual ⁵ kWh Goal)	NA
Percent of Annual Net kWh Goal Acquired	NA
Net Peak ⁶ kW acquired this year	NA
Annual Net Peak kW Goal	NA
Percent of Annual Peak kW Goal Acquired	NA
Net First-year annual therms acquired this year	125,099
Annual Net Therm Goal	130,310
Percent of Annual Therm Goal Acquired	96.00%
Net Lifecycle kWh acquired this year	NA
Net Lifecycle therms acquired this year	2,491,143
Net Other Annual Savings (MMBTUs) Acquired	
Coal	NA
Kerosene	NA
Oil	NA
Propane	NA
Total Acquired Net First-Year Impacts To Date^{15,17}	
Net first-year annual kWh acquired to date ¹⁵	NA
Net first-year annual kWh acquired to date as a percent of annual goal ⁹	NA
Net first-year annual kWh acquired to date as a percent of 8-year goal	NA
Net cumulative first-year annual kWh acquired to date	NA
Net utility kW reductions acquired to date	NA
Net utility peak kW reductions acquired to date as a percent of utility annual goal ⁹	NA
Net utility peak kW reductions acquired to date as a percent of 8-year goal	NA
Net NYISO peak kW reductions acquired to date	NA
Net first-year annual therms acquired to date	157,239
Net first-year annual therms acquired to date as a percent of annual goal ⁹	80.38%
Net first-year annual therms acquired to date as a percent of 8-year goal	NA
Net cumulative therms acquired to date	157,239
Total Acquired Lifecycle Impacts To Date^{7,15,17}	
Net Lifecycle kWh acquired to date	NA
Net Lifecycle therms acquired to date	3,133,951
Committed⁸ Impacts (not yet acquired) This Year	
Net First-year annual kWh committed this year	NA
Net Lifecycle kWh committed this year	NA
Net Utility Peak kW committed this year	NA
Net first-year annual therms committed this year	0
Net Lifecycle therms committed this year	0
Funds committed at this point in time	\$0
Overall Impacts (Acquired & Committed)	
Net first-year annual kWh acquired & committed this year	NA
Net utility peak kW acquired & committed this year	NA
Net First-year annual therms acquired & committed this year	125,099
Costs^{9,16}	
Total program budget	\$905,295
General Administration ¹³	Portfolio
Program Planning ¹³	Portfolio

Program Marketing ¹⁸	\$4,530
Trade Ally Training ¹⁸	\$0
Incentives and Services ^{14,18}	\$178,048
Direct Program Implementation ¹⁸	\$55,093
Program Evaluation ¹⁸	\$25,170
Total expenditures to date	\$371,655
Percent of total budget spent to date	41.05%
Participation	
Number of program applications received to date	94
Number of program applications <i>processed</i> to date ¹⁰	93
Number of processed applications <i>approved</i> to date ¹¹	83
Percent of applications received to date that have been processed	98.94%
Carbon Emission Reductions (in tons)	
Total Acquired Net First-Year Carbon Emission Reductions To Date ¹²	920
Total Acquired Cumulative Net Carbon Emission Reductions To Date	920
DPS Staff Notes: See Attachment 1	
Utility Notes:	
<p>¹³ Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior and subsequent energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.</p> <p>¹⁴ Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for incentive payments made to program participants. They will thus lag the actual issuance of incentive payments to program participants.</p> <p>¹⁵ Impacts are calculated using actual installed measure information for all measures.</p> <p>¹⁶ All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.</p> <p>¹⁷ Information presented here may include changes to previously filed scorecard tables based on: review of additional information, reconsideration of eligibility decisions made by the implementation contractor during prior reporting periods, or other factors.</p> <p>¹⁸ Data contained in these fields reflects the most recent year's expenditures in the respective category, as reported in the companies' accounting systems, not "to date" information.</p> <p>¹⁹ Effective February 9, 2011, Staff requested that Program Administrators report their Net Savings as a percent of the total program goal through 2011, as it is set forth in the relevant Commission Order or, for certain programs, the approved Operating Plan, whichever is appropriate. Since most programs are in their second year of implementation, comparing achievements to date against an annual goal would distort a program's achievements and would no longer provide the meaningful information that Staff and the EAG had intended when they developed the Reporting Guidelines.</p>	

Program Administrator (PA) and Program ID¹	NYSEG Non-Residential Commercial & Industrial Rebate Program
Program Name	NYSEG Non-Residential Commercial & Industrial CUSTOM Program
Program Type²	Custom GAS Rebate
Total Acquired First-Year Impacts This year^{3, 15}	
Net first-year annual kWh acquired this year ⁴	NA
Annual Net kWh Goal (based on net first-year annual ⁵ kWh Goal)	NA
Percent of Annual Net kWh Goal Acquired	NA
Net Peak ⁶ kW acquired this year	NA
Annual Net Peak kW Goal	NA
Percent of Annual Peak kW Goal Acquired	NA
Net First-year annual therms acquired this year	14,709
Annual Net Therm Goal	33,400
Percent of Annual Therm Goal Acquired	44.04%
Net Lifecycle kWh acquired this year	NA
Net Lifecycle therms acquired this year	222,845
Net Other Annual Savings (MMBTUs) Acquired	
Coal	NA
Kerosene	NA
Oil	NA
Propane	NA
Total Acquired Net First-Year Impacts To Date^{15, 17}	
Net first-year annual kWh acquired to date ¹⁵	NA
Net first-year annual kWh acquired to date as a percent of annual goal ⁹	NA
Net first-year annual kWh acquired to date as a percent of 8-year goal	NA
Net cumulative first-year annual kWh acquired to date	NA
Net utility kW reductions acquired to date	NA
Net utility peak kW reductions acquired to date as a percent of utility annual goal ⁹	NA
Net utility peak kW reductions acquired to date as a percent of 8-year goal	NA
Net NYISO peak kW reductions acquired to date	NA
Net first-year annual therms acquired to date	14,709
Net first-year annual therms acquired to date as a percent of annual goal ⁹	25.24%
Net first-year annual therms acquired to date as a percent of 8-year goal	NA
Net cumulative therms acquired to date	14,709
Total Acquired Lifecycle Impacts To Date⁷	
Net Lifecycle kWh acquired to date	NA
Net Lifecycle therms acquired to date	222,845
Committed⁸ Impacts (not yet acquired) This Year	
Net First-year annual kWh committed this year	NA
Net Lifecycle kWh committed this year	NA
Net Utility Peak kW committed this year	NA
Net first-year annual therms committed this year	13,717
Net Lifecycle therms committed this year	219,470
Funds committed at this point in time	\$65,270
Overall Impacts (Acquired & Committed)	
Net first-year annual kWh acquired & committed this year	NA
Net utility peak kW acquired & committed this year	NA
Net First-year annual therms acquired & committed this year	28,426
Costs^{9, 16}	
Total program budget	\$260,985
General Administration ¹³	Portfolio
Program Planning ¹³	Portfolio
Program Marketing ¹⁸	\$3,991

Trade Ally Training ¹⁸	\$0
Incentives and Services ^{14,18}	\$26,352
Direct Program Implementation ¹⁸	\$45,990
Program Evaluation ¹⁸	\$1,973
Total expenditures to date	\$99,054
Percent of total budget spent to date	37.95%
Participation	
Number of program applications received to date	14
Number of program applications <i>processed</i> to date ¹⁰	11
Number of processed applications <i>approved</i> to date ¹¹	6
Percent of applications received to date that have been processed	78.57%
Carbon Emission Reductions (in tons)	
Total Acquired Net First-Year Carbon Emission Reductions To Date ¹²	86
Total Acquired Cumulative Net Carbon Emission Reductions To Date	86
DPS Staff Notes: See Attachment 1	
Utility Notes:	
<p>¹³ Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior and subsequent energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.</p> <p>¹⁴ Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for incentive payments made to program participants. They will thus lag the actual issuance of incentive payments to program participants.</p> <p>¹⁵ Impacts are calculated using actual installed measure information for all measures.</p> <p>¹⁶ All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.</p> <p>¹⁷ Information presented here may include changes to previously filed scorecard tables based on: review of additional information, reconsideration of eligibility decisions made by the implementation contractor during prior reporting periods, or other factors.</p> <p>¹⁸ Data contained in these fields reflects the most recent year's expenditures in the respective category, as reported in the companies' accounting systems, not "to date" information.</p> <p>¹⁹ Effective February 9, 2011, Staff requested that Program Administrators report their Net Savings as a percent of the total program goal through 2011, as it is set forth in the relevant Commission Order or, for certain programs, the approved Operating Plan, whichever is appropriate. Since most programs are in their second year of implementation, comparing achievements to date against an annual goal would distort a program's achievement and would no longer provide the meaningful information that Staff and the EAG had intended when they developed the Reporting Guidelines.</p>	

Program Administrator (PA) and Program ID¹	RG&E Non-Residential Commercial & Industrial Rebate Program
Program Name	RG&E Non-Residential Commercial & Industrial PRESCRIPTIVE Program
Program Type²	Prescriptive GAS Rebate
Total Acquired First-Year Impacts This year^{3, 15}	
Net first-year annual kWh acquired this year ⁴	NA
Annual Net kWh Goal (based on net first-year annual ⁵ kWh Goal)	NA
Percent of Annual Net kWh Goal Acquired	NA
Net Peak ⁶ kW acquired this year	NA
Annual Net Peak kW Goal	NA
Percent of Annual Peak kW Goal Acquired	NA
Net First-year annual therms acquired this year	188,965
Annual Net Therm Goal	156,360
Percent of Annual Therm Goal Acquired	120.85%
Net Lifecycle kWh acquired this year	
Net Lifecycle therms acquired this year	3,459,401
Net Other Annual Savings (MMBTUs) Acquired	
Coal	NA
Kerosene	NA
Oil	NA
Propane	NA
Total Acquired Net First-Year Impacts To Date	
Net first-year annual kWh acquired to date	NA
Net first-year annual kWh acquired to date as a percent of annual goal ⁹	NA
Net first-year annual kWh acquired to date as a percent of 8-year goal	NA
Net cumulative first-year annual kWh acquired to date	NA
Net utility kW reductions acquired to date	NA
Net utility peak kW reductions acquired to date as a percent of utility annual goal ⁹	NA
Net utility peak kW reductions acquired to date as a percent of 8-year goal	NA
Net NYISO peak kW reductions acquired to date	NA
Net first-year annual therms acquired to date	231,507
Net first-year annual therms acquired to date as a percent of annual goal ⁹	103.48%
Net first-year annual therms acquired to date as a percent of 8-year goal	NA
Net cumulative therms acquired to date	231,507
Total Acquired Lifecycle Impacts To Date⁷	
Net Lifecycle kWh acquired to date	NA
Net Lifecycle therms acquired to date	4,310,236
Committed⁸ Impacts (not yet acquired) This Year	
Net First-year annual kWh committed this year	NA
Net Lifecycle kWh committed this year	NA
Net Utility Peak kW committed this year	NA
Net first-year annual therms committed this year	4,320
Net Lifecycle therms committed this year	86,400
Funds committed at this point in time	\$11,632
Overall Impacts (Acquired & Committed)	
Net first-year annual kWh acquired & committed this year	NA
Net utility peak kW acquired & committed this year	NA
Net First-year annual therms acquired & committed this year	193,285
Costs^{9, 16}	
Total program budget	\$1,014,596
General Administration ¹³	Portfolio
Program Planning ¹³	Portfolio
Program Marketing ¹⁸	\$3,209

Trade Ally Training ¹⁸	\$0
Incentives and Services ^{14,18}	\$264,448
Direct Program Implementation ¹⁸	\$152,765
Program Evaluation ¹⁸	\$24,846
Total expenditures to date	\$531,480
Percent of total budget spent to date	52.38%
Participation	
Number of program applications received to date	220
Number of program applications <i>processed</i> to date ¹⁰	219
Number of processed applications <i>approved</i> to date ¹¹	213
Percent of applications received to date that have been processed	99.55%
Carbon Emission Reductions (in tons)	
Total Acquired Net First-Year Carbon Emission Reductions To Date ¹²	1,354
Total Acquired Cumulative Net Carbon Emission Reductions To Date	1,354
DPS Staff Notes: See Attachment 1	
Utility Notes:	
<p>¹³ Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior and subsequent energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.</p> <p>¹⁴ Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for incentive payments made to program participants. They will thus lag the actual issuance of incentive payments to program participants.</p> <p>¹⁵ Impacts are calculated using actual installed measure information for all measures.</p> <p>¹⁶ All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.</p> <p>¹⁷ Information presented here may include changes to previously filed scorecard tables based on: review of additional information, reconsideration of eligibility decisions made by the implementation contractor during prior reporting periods, or other factors.</p> <p>¹⁸ Data contained in these fields reflects the most recent year's expenditures in the respective category, as reported in the companies' accounting systems, not "to date" information.</p> <p>¹⁹ Effective February 9, 2011, Staff requested that Program Administrators report their Net Savings as a percent of the total program goal through 2011, as it is set forth in the relevant Commission Order or, for certain programs, the approved Operating Plan, whichever is appropriate. Since most programs are in their second year of implementation, comparing achievements to date against an annual goal would distort a program's achievements and would no longer provide the meaningful information that Staff and the EAG had intended when they developed the Reporting Guidelines.</p>	

Program Administrator (PA) and Program ID¹	RG&E Non-Residential Commercial & Industrial Rebate Program
Program Name	RG&E Non-Residential Commercial & Industrial CUSTOM Program
Program Type²	Custom GAS Rebate
Total Acquired First-Year Impacts This year^{3,15}	
Net first-year annual kWh acquired this year ⁴	NA
Annual Net kWh Goal (based on net first-year annual ⁵ kWh Goal)	NA
Percent of Annual Net kWh Goal Acquired	NA
Net Peak ⁶ kW acquired this year	NA
Annual Net Peak kW Goal	NA
Percent of Annual Peak kW Goal Acquired	NA
Net First-year annual therms acquired this year	0
Annual Net Therm Goal	18,120
Percent of Annual Therm Goal Acquired	0.00%
Net Lifecycle kWh acquired this year	NA
Net Lifecycle therms acquired this year	0
Net Other Annual Savings (MMBTUs) Acquired	
Coal	NA
Kerosene	NA
Oil	NA
Propane	NA
Total Acquired Net First-Year Impacts To Date	
Net first-year annual kWh acquired to date	NA
Net first-year annual kWh acquired to date as a percent of annual goal ⁹	NA
Net first-year annual kWh acquired to date as a percent of 8-year goal	NA
Net cumulative first-year annual kWh acquired to date	NA
Net utility kW reductions acquired to date	NA
Net utility peak kW reductions acquired to date as a percent of utility annual goal ⁹	NA
Net utility peak kW reductions acquired to date as a percent of 8-year goal	NA
Net NYISO peak kW reductions acquired to date	NA
Net first-year annual therms acquired to date	0
Net first-year annual therms acquired to date as a percent of annual goal ⁹	0.00%
Net first-year annual therms acquired to date as a percent of 8-year goal	NA
Net cumulative therms acquired to date	0
Total Acquired Lifecycle Impacts To Date⁷	
Net Lifecycle kWh acquired to date	NA
Net Lifecycle therms acquired to date	0
Committed⁸ Impacts (not yet acquired) This Year	
Net First-year annual kWh committed this year	NA
Net Lifecycle kWh committed this year	NA
Net Utility Peak kW committed this year	NA
Net first-year annual therms committed this year	4,958
Net Lifecycle therms committed this year	79,334
Funds committed at this point in time	\$33,322
Overall Impacts (Acquired & Committed)	
Net first-year annual kWh acquired & committed this year	NA
Net utility peak kW acquired & committed this year	NA
Net First-year annual therms acquired & committed this year	4,958
Costs^{9,16}	
Total program budget	\$144,518
General Administration ¹³	Portfolio
Program Planning ¹³	Portfolio
Program Marketing ¹⁸	\$2,831

Trade Ally Training ¹⁸	\$0
Incentives and Services ^{14,18}	\$0
Direct Program Implementation ¹⁸	\$53,288
Program Evaluation ¹⁸	\$2,181
Total expenditures to date	\$78,079
Percent of total budget spent to date	54.03%
Participation	
Number of program applications received to date	9
Number of program applications <i>processed</i> to date ¹⁰	6
Number of processed applications <i>approved</i> to date ¹¹	0
Percent of applications received to date that have been processed	66.67%
Carbon Emission Reductions (in tons)	
Total Acquired Net First-Year Carbon Emission Reductions To Date ¹²	0
Total Acquired Cumulative Net Carbon Emission Reductions To Date	0
DPS Staff Notes: See Attachment 1	
Utility Notes:	
<p>¹³ Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior and subsequent energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.</p> <p>¹⁴ Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for incentive payments made to program participants. They will thus lag the actual issuance of incentive payments to program participants.</p> <p>¹⁵ Impacts are calculated using actual installed measure information for all measures.</p> <p>¹⁶ All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.</p> <p>¹⁷ Information presented here may include changes to previously filed scorecard tables based on: review of additional information, reconsideration of eligibility decisions made by the implementation contractor during prior reporting periods, or other factors.</p> <p>¹⁸ Data contained in these fields reflects the most recent year's expenditures in the respective category, as reported in the companies' accounting systems, not "to date" information.</p> <p>¹⁹ Effective February 9, 2011, Staff requested that Program Administrators report their Net Savings as a percent of the total program goal through 2011, as it is set forth in the relevant Commission Order or, for certain programs, the approved Operating Plan, whichever is appropriate. Since most programs are in their second year of implementation, comparing achievements to date against an annual goal would distort a program's achievement and would no longer provide the meaningful information that Staff and the EAG had intended when they developed the Reporting Guidelines.</p>	

Program Administrator(PA) and Program ID¹	NYSEG Residential/Non-Residential Electric Multifamily Program
Program Name	NYSEG Residential/Non-Residential Electric Multifamily Program
Program Type²	Direct Install
Total Acquired First-Year Impacts This Year^{3,15}	
Net first-year annual kWh acquired this Year ⁴	1,698,061
Annual Net kWh Goal (based on net first-year annual ⁵ kWh Goal)	1,872,000
Percent of Annual Net kWh Goal Acquired	91%
Net Peak ⁶ kW acquired this Year	167
Annual Net Peak kW Goal	N/A
Percent of Annual Peak kW Goal Acquired	N/A
Net First-year annual therms acquired this Year	N/A
Annual Net Therm Goal	N/A
Percent of Annual Therm Goal Acquired	N/A
Net Lifecycle kWh acquired this Year	13,257,885
Net Lifecycle therms acquired this Year	N/A
Total Acquired Net First-Year Impacts To Date¹⁵	
Net first-year annual kWh acquired to date ¹⁵	2,575,370
Net first-year annual kWh acquired to date as a percent of total program goal	94%
Net first-year annual kWh acquired to date as a percent of 8-year goal	N/A
Net cumulative kWh acquired to date	2,575,370
Net utility peak kW reductions acquired to date	244
Net utility peak kW reductions acquired to date as a percent of utility annual goal	N/A
Net utility peak kW reductions acquired to date as a percent of 8-year goal	N/A
Net NYISO peak kW reductions acquired to date	N/A
Net first-year annual therms acquired to date	N/A
Net first-year annual therms acquired to date as a percent of annual goal	N/A
Net first-year annual therms acquired to date as a percent of 8-year goal	N/A
Net cumulative therms acquired to date	N/A
Total Acquired Lifecycle Impacts To Date^{7,15}	
Net Lifecycle kWh acquired to date	20,701,636
Net Lifecycle therms acquired to date	N/A
Committed⁸ Impacts (not yet acquired) This Year¹⁵	
Net First-year annual kWh committed this year	0
Net Lifecycle kWh committed this year	0
Net Utility Peak kW committed this year	0.000
Net first-year annual therms committed this year	N/A
Net Lifecycle therms committed this year	N/A
Funds committed at this point in time	\$0
Overall Impacts (Acquired & Committed)^{15,19}	
Net first-year annual kWh acquired & committed this year	1,698,061
Net utility peak kW acquired & committed this year	167
Net First-year annual therms acquired & committed this year	N/A
Costs^{9,16,18}	
Total program budget	\$1,750,527
General Administration	Portfolio13
Program Planning	Portfolio13
Program Marketing ¹⁷	\$30,630
Trade Ally Training ¹⁷	\$0
Incentives and Services ^{14, 17}	\$303,510
Direct Program Implementation ¹⁷	\$122,954
Program Evaluation ¹⁷	\$89,560

Total expenditures to date	\$849,054
Percent of total budget spent to date	49%
Participation	
Number of program applications received to date	243
Number of program applications processed to date	155
Number of processed applications approved to date	164
Percent of applications received to date that have been processed	64%
Annual Carbon Emission Reductions (in tons)¹²	
Total Acquired Net First-year Carbon Emission Reductions To Date	1288
Total Acquired Cumulative Net Carbon Emission Reductions To Date	1288
DPS Staff Notes: See Attachment 1	
Utility Notes:	
<p>¹³Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior and subsequent energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.</p> <p>¹⁴Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for installed measures incentive payments made to program participants. They will thus lag the acquired savings actual issuance of incentive payments to program participants.</p> <p>¹⁵ Impacts are calculated using actual installed measure information for all measures.</p> <p>¹⁶ All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.</p> <p>¹⁷ Data contained in these fields reflects the most recent Year's expenditures in the respective category.</p> <p>¹⁸Effective with the June 2011 scorecard, the total program budget has been revised based on the reallocations of spending between programs approved May 27, 2011.</p>	

Program Administrator(PA) and Program ID¹	RG&E Residential/Non-Residential Electric Multifamily Program
Program Name	RG&E Residential/Non-Residential Electric Multifamily Program
Program Type²	Direct Install
Total Acquired First-Year Impacts This Year^{3,15}	
Net first-year annual kWh acquired this Year ⁴	5,376,886
Annual Net kWh Goal (based on net first-year annual ⁵ kWh Goal)	N/A
Percent of Annual Net kWh Goal Acquired	N/A
Net Peak⁶ kW acquired this Year	468
Annual Net Peak kW Goal	N/A
Percent of Annual Peak kW Goal Acquired	N/A
Net First-year annual therms acquired this Year	N/A
Annual Net Therm Goal	N/A
Percent of Annual Therm Goal Acquired	N/A
Net Lifecycle kWh acquired this Year	40,840,631
Net Lifecycle therms acquired this Year	N/A
Total Acquired Net First-Year Impacts To Date¹⁵	
Net first-year annual kWh acquired to date ¹⁵	7,679,381
Net first-year annual kWh acquired to date as a percent of total program goal	182%
Net first-year annual kWh acquired to date as a percent of 8-year goal	N/A
Net cumulative kWh acquired to date	7,679,381
Net utility peak kW reductions acquired to date	683
Net utility peak kW reductions acquired to date as a percent of utility annual goal	N/A
Net utility peak kW reductions acquired to date as a percent of 8-year goal	N/A
Net NYISO peak kW reductions acquired to date	N/A
Net first-year annual therms acquired to date	N/A
Net first-year annual therms acquired to date as a percent of annual goal	N/A
Net first-year annual therms acquired to date as a percent of 8-year goal	N/A
Net cumulative therms acquired to date	N/A
Total Acquired Lifecycle Impacts To Date^{7,15}	
Net Lifecycle kWh acquired to date	59,278,103
Net Lifecycle therms acquired to date	N/A
Committed⁸ Impacts (not yet acquired) This Year¹⁵	
Net First-year annual kWh committed this year	423,000
Net Lifecycle kWh committed this year	3,083,670
Net Utility Peak kW committed this year	35.64
Net first-year annual therms committed this year	N/A
Net Lifecycle therms committed this year	N/A
Funds committed at this point in time	\$56,781
Overall Impacts (Acquired & Committed)¹⁵	
Net first-year annual kWh acquired & committed this year	5,799,886
Net utility peak kW acquired & committed this year	504
Net First-year annual therms acquired & committed this year	N/A
Costs^{9,16}	
Total program budget	\$2,038,659
General Administration	Portfolio13
Program Planning	Portfolio13
Program Marketing ¹⁷	\$7,264
Trade Ally Training ¹⁷	\$0
Incentives and Services ^{14, 17}	\$783,876
Direct Program Implementation ¹⁷	\$155,104
Program Evaluation ¹⁷	\$80,166

Total expenditures to date	\$1,444,347
Percent of total budget spent to date	71%
Participation	
Number of program applications received to date	247
Number of program applications processed to date	201
Number of processed applications approved to date	188
Percent of applications received to date that have been processed	81%
Annual Carbon Emission Reductions (in tons)¹²	
Total Acquired Net First-year Carbon Emission Reductions To Date	3840
Total Acquired Cumulative Net Carbon Emission Reductions To Date	3840
DPS Staff Notes: See Attachment 1	
Utility Notes:	
<p>¹³Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior and subsequent energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.</p> <p>¹⁴Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for installed measures incentive payments made to program participants. They will thus lag the acquired savings actual issuance of incentive payments to program participants.</p> <p>¹⁵ Impacts are calculated using actual installed measure information for all measures.</p> <p>¹⁶ All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.</p> <p>¹⁷Data contained in these fields reflects the most recent Year's expenditures in the respective category.</p> <p>¹⁸Effective with the June 2011 scorecard, monthly savings goals have been revised based on the reallocations of savings between programs approved May 27, 2011. The RG&E total program goal has been achieved, so there is no monthly goal for the remainder of the program.</p> <p>¹⁹Effective with the June 2011 scorecard, the total program budget has been revised based on the reallocations of spending between programs approved May 27, 2011.</p>	

Program Administrator(PA) and Program ID¹	NYSEG Residential Refrigerator and Freezer Recycling Program
Program Name	NYSEG Residential Refrigerator and Freezer Recycling Program
Program Type²	Removal and Rebate
Total Acquired First-Year Impacts This Year^{3,15}	
Net first-year annual kWh acquired this Year ⁴	9,242,889
Annual Net kWh Goal (based on net first-year annual ⁵ kWh Goal)	4,361,000
Percent of Annual Net kWh Goal Acquired	212%
Net Peak ⁶ kW acquired this Year	345
Annual Net Peak kW Goal	N/A
Percent of Annual Peak kW Goal Acquired	N/A
Net First-year annual therms acquired this Year	N/A
Annual Net Therm Goal	N/A
Percent of Annual Therm Goal Acquired	N/A
Net Lifecycle kWh acquired this Year	55,457,334
Net Lifecycle therms acquired this Year	N/A
Total Acquired Net First-Year Impacts To Date^{15, 18}	
Net first-year annual kWh acquired to date ¹⁵	9,242,889
Net first-year annual kWh acquired to date as a percent of total program goal ²⁰	212%
Net first-year annual kWh acquired to date as a percent of 8-year goal	N/A
Net cumulative kWh acquired to date	9,242,889
Net utility peak kW reductions acquired to date	345
Net utility peak kW reductions acquired to date as a percent of utility annual goal	N/A
Net utility peak kW reductions acquired to date as a percent of 8-year goal	N/A
Net NYISO peak kW reductions acquired to date	N/A
Net first-year annual therms acquired to date	N/A
Net first-year annual therms acquired to date as a percent of annual goal	N/A
Net first-year annual therms acquired to date as a percent of 8-year goal	N/A
Net cumulative therms acquired to date	N/A
Total Acquired Lifecycle Impacts To Date^{7,15}	
Net Lifecycle kWh acquired to date	55,457,334
Net Lifecycle therms acquired to date	N/A
Committed⁸ Impacts (not yet acquired) This Year^{15, 19}	
Net First-year annual kWh committed this year	0
Net Lifecycle kWh committed this year	0
Net Utility Peak kW committed this year	0
Net first-year annual therms committed this year	N/A
Net Lifecycle therms committed this year	N/A
Funds committed at this point in time	0
Overall Impacts (Acquired & Committed)¹⁵	
Net first-year annual kWh acquired & committed this year	9,242,889
Net utility peak kW acquired & committed this year	345
Net First-year annual therms acquired & committed this year	N/A
Costs^{9,16}	
Total program budget	\$1,448,421
General Administration	Portfolio13
Program Planning	Portfolio13
Program Marketing ¹⁷	\$189,120
Trade Ally Training ¹⁷	\$0
Incentives and Services ^{14, 17}	\$694,822
Direct Program Implementation ¹⁷	\$33,154
Program Evaluation ¹⁷	\$9,546

Total expenditures to date	\$926,643
Percent of total budget spent to date	64%
Participation	
Number of program applications received to date	5,852
Number of program applications processed to date	5,852
Number of processed applications approved to date	5,852
Percent of applications received to date that have been processed	100%
Annual Carbon Emission Reductions (in tons)¹²	
Total Acquired Net First-year Carbon Emission Reductions To Date	4,621
Total Acquired Cumulative Net Carbon Emission Reductions To Date	4,621
DPS Staff Notes: See Attachment 1	
Utility Notes:	
<p>¹³Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior and subsequent energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.</p> <p>¹⁴Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for installed measures incentive payments made to program participants. They will thus lag the acquired savings actual issuance of incentive payments to program participants.</p> <p>¹⁵Impacts are calculated using actual installed measure information for all measures.</p> <p>¹⁶All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.</p> <p>¹⁷Data contained in these fields reflects the most recent Year's expenditures in the respective category.</p> <p>¹⁸During August 2011, distribution of CFLs was added to the program. CFL energy savings are included in reported energy savings.</p> <p>¹⁹Historically we calculated and reported commitments by reporting every refrigerator/freezer customers requested be removed which had not yet been picked up, but for this 2011 Annual Scorecard we calculated and reported commitments by reporting only those units included in the 2011 Commitment and Encumbrance Report. This change was made to be consistent with PSC Staff's guidance for reporting 2011 YE commitments.</p> <p>²⁰The savings and budgets targets were previously reported based on the 2 year program goal. The savings and budget targets on this 2011 Annual Scorecard have been modified to include the 2011 program targets only. The 2012 savings and budget targets will be reported beginning with the January 2012 scorecard. This change is representative of splitting EEPS1 from EEPS2 targets.</p>	

Program Administrator(PA) and Program ID¹	RG&E Residential Refrigerator and Freezer Recycling Program
Program Name	RG&E Residential Refrigerator and Freezer Recycling Program
Program Type²	Removal and Rebate
Total Acquired First-Year Impacts This Year^{3,15}	
Net first-year annual kWh acquired this Year ⁴	5,731,586
Annual Net kWh Goal (based on net first-year annual ⁵ kWh Goal)	4,361,000
Percent of Annual Net kWh Goal Acquired	131%
Net Peak⁶ kW acquired this Year	215
Annual Net Peak kW Goal	N/A
Percent of Annual Peak kW Goal Acquired	N/A
Net First-year annual therms acquired this Year	N/A
Annual Net Therm Goal	N/A
Percent of Annual Therm Goal Acquired	N/A
Net Lifecycle kWh acquired this Year	34,389,517
Net Lifecycle therms acquired this Year	N/A
Total Acquired Net First-Year Impacts To Date^{15, 18}	
Net first-year annual kWh acquired to date ¹⁵	5,731,586
Net first-year annual kWh acquired to date as a percent of total program goal ²⁰	131%
Net first-year annual kWh acquired to date as a percent of 8-year goal	N/A
Net cumulative kWh acquired to date	5,731,586
Net utility peak kW reductions acquired to date	184
Net utility peak kW reductions acquired to date as a percent of utility annual goal	N/A
Net utility peak kW reductions acquired to date as a percent of 8-year goal	N/A
Net NYISO peak kW reductions acquired to date	N/A
Net first-year annual therms acquired to date	N/A
Net first-year annual therms acquired to date as a percent of annual goal	N/A
Net first-year annual therms acquired to date as a percent of 8-year goal	N/A
Net cumulative therms acquired to date	N/A
Total Acquired Lifecycle Impacts To Date^{7,15}	
Net Lifecycle kWh acquired to date	34,389,517
Net Lifecycle therms acquired to date	N/A
Committed⁸ Impacts (not yet acquired) This Year^{15, 19}	
Net First-year annual kWh committed this year	0
Net Lifecycle kWh committed this year	0
Net Utility Peak kW committed this year	0
Net first-year annual therms committed this year	N/A
Net Lifecycle therms committed this year	N/A
Funds committed at this point in time	\$0
Overall Impacts (Acquired & Committed)¹⁵	
Net first-year annual kWh acquired & committed this year	5,731,586
Net utility peak kW acquired & committed this year	215
Net First-year annual therms acquired & committed this year	N/A
Costs^{9,16}	
Total program budget	\$1,448,421
General Administration	Portfolio13
Program Planning	Portfolio13
Program Marketing ¹⁷	\$109,174
Trade Ally Training ¹⁷	\$0
Incentives and Services ^{14, 17}	\$426,196
Direct Program Implementation ¹⁷	\$33,148
Program Evaluation ¹⁷	\$7,519

Total expenditures to date	\$576,037
Percent of total budget spent to date	40%
Participation	
Number of program applications received to date	3,578
Number of program applications processed to date	3,578
Number of processed applications approved to date	3,578
Percent of applications received to date that have been processed	100%
Annual Carbon Emission Reductions (in tons)¹²	
Total Acquired Net First-year Carbon Emission Reductions To Date	2,866
Total Acquired Cumulative Net Carbon Emission Reductions To Date	2,866
DPS Staff Notes: See Attachment 1	
Utility Notes:	
<p>¹³Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior and subsequent energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.</p> <p>¹⁴Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for installed measures incentive payments made to program participants. They will thus lag the acquired savings actual issuance of incentive payments to program participants.</p> <p>¹⁵ Impacts are calculated using actual installed measure information for all measures.</p> <p>¹⁶ All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.</p> <p>¹⁷Data contained in these fields reflects the most recent Year's expenditures in the respective category.</p> <p>¹⁸During August 2011, distribution of CFLs was added to the program. CFL energy savings are included in reported energy savings.</p> <p>¹⁹Historically we calculated and reported commitments by reporting every refrigerator/freezer customers requested be removed which had not yet been picked up, but for this 2011 Annual Scorecard we calculated and reported commitments by reporting only those units included in the 2011 Commitment and Encumbrance Report. This change was made to be consistent with PSC Staff's guidance for reporting 2011 YE commitments.</p> <p>²⁰The savings and budgets targets were previously reported based on the 2 year program goal. The savings and budget targets on this 2011 Annual Scorecard have been modified to include the 2011 program targets only. The 2012 savings and budget targets will be reported beginning with the January 2012 scorecard. This change is representative of splitting EEPS1 from EEPS2 targets.</p>	

Program Administrator (PA) and Program ID¹	NYSEG Empower Utility Referred Savings
Program Name	Empower Utility Electric Referred Savings
Program Type²	Referral
Total Acquired First-Year Impacts This Year^{3,16}	
Net first-year annual kWh acquired this Year ⁴	266,159
Annual Net kWh Goal (based on net first-year annual ⁵ kWh Goal)	N/A
Percent of Annual Net kWh Goal Acquired	N/A
Net Peak6 kW acquired this Year	N/A
Annual Net Peak kW Goal	N/A
Percent of Annual Peak kW Goal Acquired	N/A
Net First-year annual therms acquired this Year	N/A
Annual Net Therm Goal for 2011	N/A
Percent of Annual Therm Goal Acquired	N/A
Net Lifecycle kWh acquired this Year	N/A
Net Lifecycle therms acquired this Year	N/A
Total Acquired Net First-Year Impacts To Date^{13,16}	
Net first-year annual kWh acquired to date	266,159
Net first-year annual kWh acquired to date as a percent of annual goal	N/A
Net first-year annual kWh acquired to date as a percent of 8-year goal	N/A
Net cumulative kWh acquired to date	266,159
Net utility peak kW reductions acquired to date	N/A
Net utility peak kW reductions acquired to date as a percent of utility annual goal	N/A
Net utility peak kW reductions acquired to date as a percent of 8-year goal	N/A
Net NYISO peak kW reductions acquired to date	N/A
Net first-year annual therms acquired to date	N/A
Net first-year annual therms acquired to date as a percent of annual goal	N/A
Net first-year annual therms acquired to date as a percent of 8-year goal	N/A
Net cumulative therms acquired to date	N/A
Total Acquired Lifecycle Impacts To Date	
Net Lifecycle kWh acquired to date	N/A
Net Lifecycle therms acquired to date	N/A
Committed8 Impacts (not yet acquired) This Year¹⁶	
Net First-year annual kWh committed this Year	N/A
Net Lifecycle kWh committed this Year	N/A
Net Utility Peak kW committed this Year	N/A
Net first-year annual therms committed this Year ²⁴	N/A
Net Lifecycle therms committed this Year ²⁴	N/A
Funds committed at this point in time	N/A
Overall Impacts (Acquired & Committed)¹⁶	
Net first-year annual kWh acquired & committed this Year	N/A
Net utility peak kW acquired & committed this Year	N/A
Net First-year annual therms acquired & committed this Year	N/A
Costs^{9,18}	
Total program budget ^{13,22}	N/A
General Administration	N/A
Program Planning	N/A
Program Marketing ²⁰	N/A
Trade Ally Training ²⁰	N/A
Incentives and Services ^{15, 20}	N/A
Direct Program Implementation ^{20,21}	N/A

Program Evaluation ²⁰	N/A
Total expenditures to date ^{13,23}	N/A
Percent of total budget spent to date ²²	N/A
Participation¹⁵	
Number of program applications received to date	30,439
Number of program applications processed to date ¹⁰	N/A
Number of processed applications approved to date ¹¹	1,435
Percent of applications received to date that have been processed	4.71
Annual Carbon Emission Reductions (in tons)¹²	
Total Acquired Net First-Year Carbon Emission Reductions To Date	133
Total Acquired Cumulative Net Carbon Emission Reductions To Date	133
Utility Notes: ¹³ Program Start date is January 10, 2010 ¹⁴ This is the total number of customers referred to NYSERDA within the reporting time period. ¹⁵ This is the number of customers participating in savings projects with NYSERDA within the reporting time period. ¹⁶ This reporting period is January 10, 2011 - December 31, 2011. ¹⁷ The cumulative savings shown account for revision of April 2011 and May 2011 monthly savings due to NTG factor.	

Program Administrator (PA) and Program ID¹	RG&E Empower Utility Referred Savings
Program Name	Empower Utility Electric Referred Savings
Program Type²	Referral
Total Acquired First-Year Impacts This Year^{3,16}	
Net first-year annual kWh acquired this Year ⁴	50,333
Annual Net kWh Goal (based on net first-year annual kWh Goal) ⁵	N/A
Percent of Annual Net kWh Goal Acquired	N/A
Net Peak kW acquired this Year	N/A
Annual Net Peak kW Goal	N/A
Percent of Annual Peak kW Goal Acquired	N/A
Net First-year annual therms acquired this Year	N/A
Annual Net Therm Goal for 2011	N/A
Percent of Annual Therm Goal Acquired	N/A
Net Lifecycle kWh acquired this Year	N/A
Net Lifecycle therms acquired this Year	N/A
Total Acquired Net First-Year Impacts To Date^{13,16}	
Net first-year annual kWh acquired to date	50,333
Net first-year annual kWh acquired to date as a percent of annual goal	N/A
Net first-year annual kWh acquired to date as a percent of 8-year goal	N/A
Net cumulative kWh acquired to date	50,333
Net utility peak kW reductions acquired to date	N/A
Net utility peak kW reductions acquired to date as a percent of utility annual goal	N/A
Net utility peak kW reductions acquired to date as a percent of 8-year goal	N/A
Net NYISO peak kW reductions acquired to date	N/A
Net first-year annual therms acquired to date	N/A
Net first-year annual therms acquired to date as a percent of annual goal	N/A
Net first-year annual therms acquired to date as a percent of 8-year goal	N/A
Net cumulative therms acquired to date	N/A
Total Acquired Lifecycle Impacts To Date	
Net Lifecycle kWh acquired to date	N/A
Net Lifecycle therms acquired to date	N/A
Committed⁸ Impacts (not yet acquired) This Year¹⁶	
Net First-year annual kWh committed this Year	N/A
Net Lifecycle kWh committed this Year	N/A
Net Utility Peak kW committed this Year	N/A
Net first-year annual therms committed this Year ²⁴	N/A
Net Lifecycle therms committed this Year ²⁴	N/A
Funds committed at this point in time	N/A
Overall Impacts (Acquired & Committed)¹⁶	
Net first-year annual kWh acquired & committed this Year	N/A
Net utility peak kW acquired & committed this Year	N/A
Net First-year annual therms acquired & committed this Year	N/A
Costs^{9,18}	
Total program budget ^{13,22}	N/A
General Administration	N/A
Program Planning	N/A
Program Marketing ²⁰	N/A
Trade Ally Training ²⁰	N/A
Incentives and Services ^{15, 20}	N/A

Direct Program Implementation ^{20,21}	N/A
Program Evaluation ²⁰	N/A
Total expenditures to date ^{13,23}	N/A
Percent of total budget spent to date ²²	N/A
Participation¹⁵	
Number of program applications received to date	17,853
Number of program applications processed to date ¹⁰	N/A
Number of processed applications approved to date ¹¹	300
Percent of applications received to date that have been processed	1.68
Annual Carbon Emission Reductions (in tons)¹²	
Total Acquired Net First-Year Carbon Emission Reductions To Date	25
Total Acquired Cumulative Net Carbon Emission Reductions To Date	25
Utility Notes:	
¹³ Program Start date is January 10, 2010	
¹⁴ This is the total number of customers referred to NYSERDA within the reporting time period.	
¹⁵ This is the number of customers participating in savings projects with NYSERDA within the reporting time period.	
¹⁶ This reporting period is January 10, 2011 - December 31, 2011.	
¹⁷ The cumulative savings shown account for revision of April 2011 and May 2011 monthly savings due to NTG factor.	

Program Administrator(PA) and Program ID¹	NYSEG Non-Residential Small Business Direct Install Program
Program Name	NYSEG Non-Residential Small Business Direct Install Program
Program Type²	Direct Install
Total Acquired First-Year Impacts This Year^{3,15}	
Net first-year annual kWh acquired this Year ⁴	39,998,685
Annual Net kWh Goal (based on net first-year annual kWh Goal)	32,472,000
Percent of Annual Net kWh Goal Acquired	81%
Net Peak ⁶ kW acquired this Year	11,470
Annual Net Peak kW Goal	N/A
Percent of Annual Peak kW Goal Acquired	N/A
Net First-year annual therms acquired this Year	N/A
Annual Net Therm Goal	N/A
Percent of Annual Therm Goal Acquired	N/A
Net Lifecycle kWh acquired this Year	510,975,761
Net Lifecycle therms acquired this Year	N/A
Total Acquired Net First-Year Impacts To Date^{15,17}	
Net first-year annual kWh acquired to date ¹⁵	44,606,919
Net first-year annual kWh acquired to date as a percent of annual goal	79%
Net first-year annual kWh acquired to date as a percent of 8-year goal	N/A
Net cumulative kWh acquired to date	44,606,919
Net utility peak kW reductions acquired to date	12,702.00
Net utility peak kW reductions acquired to date as a percent of utility annual goal	N/A
Net utility peak kW reductions acquired to date as a percent of 8-year goal	N/A
Net NYISO peak kW reductions acquired to date	N/A
Net first-year annual therms acquired to date	N/A
Net first-year annual therms acquired to date as a percent of annual goal	N/A
Net first-year annual therms acquired to date as a percent of 8-year goal	N/A
Net cumulative therms acquired to date	N/A
Total Acquired Lifecycle Impacts To Date^{7,15,17}	
Net Lifecycle kWh acquired to date	567,260,226
Net Lifecycle therms acquired to date	N/A
Committed⁸ Impacts (not yet acquired) This Year¹⁵	
Net First-year annual kWh committed this year	4,013,518
Net Lifecycle kWh committed this year	48,650,953
Net Utility Peak kW committed this year	1,188.00
Net first-year annual therms committed this year	N/A
Net Lifecycle therms committed this year	N/A
Funds committed at this point in time	\$999,996
Overall Impacts (Acquired & Committed)¹⁵	
Net first-year annual kWh acquired & committed this year	44,012,203
Net utility peak kW acquired & committed this year	12,658.00
Net First-year annual therms acquired & committed this year	N/A
Costs^{9,16}	
Total program budget	\$15,229,249
General Administration	Portfolio ¹³

Program Planning	Portfolio ¹³
Program Marketing ¹⁸	\$3,472
Trade Ally Training ¹⁸	\$0
Incentives and Services ^{14, 18}	\$10,008,258
Direct Program Implementation ¹⁸	\$445,109
Program Evaluation ¹⁸	\$281,281
Total expenditures to date	\$12,314,895
Percent of total budget spent to date	80.86%
Participation¹⁹	
Number of program applications received to date	9,649
Number of program applications processed to date	5,837
Number of processed applications approved to date	5,206
Percent of applications received to date that have been processed	60.49%
Annual Carbon Emission Reductions (in tons)	
Total Acquired Net First-year Carbon Emission Reductions To Date ¹²	22,303.46
Total Acquired Cumulative Net Carbon Emission Reductions To Date ¹²	22,203.46
DPS Staff Notes: See Attachment I.	
Utility Notes:	
<p>¹³Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior and subsequent energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.</p> <p>¹⁴Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for installed measures. They will thus lag the acquired savings.</p> <p>¹⁵Impacts are calculated using actual installed measure information for all measures.</p> <p>¹⁶All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.</p> <p>¹⁷Information contained in this Annual scorecard may include cumulative changes to previously monthly scorecards based on additional review and/or reconsideration of rebate eligibility decisions made by the implementation contractor during prior reporting periods. Additional information regarding such changes is available upon request.</p> <p>¹⁸Data contained in these fields reflects the most recent year's expenditures in the respective category, not "to date" information.</p> <p>¹⁹For the purposes of this directed installation program the following definitions are used: Received applications are those for which a customer proposal is offered. The application becomes processed once the implementation contractor and customer have reviewed the proposal and the customer signs a contract to approve the direct installations. Processed applications become approved applications when the direct installations have been physically installed.</p>	

Program Administrator(PA) and Program ID¹	RG&E Non-Residential Small Business Direct Install Program
Program Name	RG&E Non-Residential Small Business Direct Install Program
Program Type²	Direct Install
Total Acquired First-Year Impacts This Year^{3,15}	
Net first-year annual kWh acquired this Year ⁴	18,352,429
Annual Net kWh Goal (based on net first-year annual kWh Goal)	14,794,000
Percent of Annual Net kWh Goal Acquired	81%
Net Peak ⁶ kW acquired this Year	5,361
Annual Net Peak kW Goal	N/A
Percent of Annual Peak kW Goal Acquired	N/A
Net First-year annual therms acquired this Year	N/A
Annual Net Therm Goal	N/A
Percent of Annual Therm Goal Acquired	N/A
Net Lifecycle kWh acquired this Year	240,864,089
Net Lifecycle therms acquired this Year	N/A
Total Acquired Net First-Year Impacts To Date^{15,17}	
Net first-year annual kWh acquired to date ¹⁵	21,996,558
Net first-year annual kWh acquired to date as a percent of annual goal	85%
Net first-year annual kWh acquired to date as a percent of 8-year goal	N/A
Net cumulative kWh acquired to date	21,996,558
Net utility peak kW reductions acquired to date	6313.71
Net utility peak kW reductions acquired to date as a percent of utility annual goal	N/A
Net utility peak kW reductions acquired to date as a percent of 8-year goal	N/A
Net NYISO peak kW reductions acquired to date	N/A
Net first-year annual therms acquired to date	N/A
Net first-year annual therms acquired to date as a percent of annual goal	N/A
Net first-year annual therms acquired to date as a percent of 8-year goal	N/A
Net cumulative therms acquired to date	N/A
Total Acquired Lifecycle Impacts To Date^{7,15,17}	
Net Lifecycle kWh acquired to date	288,905,583
Net Lifecycle therms acquired to date	N/A
Committed⁸ Impacts (not yet acquired) This Year¹⁵	
Net First-year annual kWh committed this year	715,005
Net Lifecycle kWh committed this year	8,368,891
Net Utility Peak kW committed this year	208.54
Net first-year annual therms committed this year	N/A
Net Lifecycle therms committed this year	N/A
Funds committed at this point in time	\$175,706.41
Overall Impacts (Acquired & Committed)¹⁵	
Net first-year annual kWh acquired & committed this year	19,067,434
Net utility peak kW acquired & committed this year	5,570
Net First-year annual therms acquired & committed this year	N/A
Costs^{9,16}	
Total program budget	\$6,938,751
General Administration	Portfolio ¹³

Program Planning	Portfolio ¹³
Program Marketing ¹⁸	\$2,529
Trade Ally Training ¹⁸	\$0
Incentives and Services ^{14, 18}	\$4,734,649
Direct Program Implementation ¹⁸	\$194,055
Program Evaluation ¹⁸	\$124,598
Total expenditures to date	\$6,095,954
Percent of total budget spent to date	87.85%
Participation¹⁹	
Number of program applications received to date	4,890
Number of program applications processed to date	2,755
Number of processed applications approved to date	2,602
Percent of applications received to date that have been processed	56.34%
Annual Carbon Emission Reductions (in tons)	
Total Acquired Net First-year Carbon Emission Reductions To Date ¹²	10,998
Total Acquired Cumulative Net Carbon Emission Reductions To Date ¹²	10,998
DPS Staff Notes: See Attachment I.	
Utility Notes:	
<p>¹³Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior and subsequent energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.</p> <p>¹⁴Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for installed measures. They will thus lag the acquired savings.</p> <p>¹⁵Impacts are calculated using actual installed measure information for all measures.</p> <p>¹⁶All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.</p> <p>¹⁷Information contained in this Annual scorecard may include cumulative changes to previously monthly scorecards based on additional review and/or reconsideration of rebate eligibility decisions made by the implementation contractor during prior reporting periods. Additional information regarding such changes is available upon request.</p> <p>¹⁸Data contained in these fields reflects the most recent Year's expenditures in the respective category, not "to date" information.</p> <p>¹⁹For the purposes of this directed installation program the following definitions are used: Received applications are those for which a customer proposal is offered. The application becomes processed once the implementation contractor and customer have reviewed the proposal and the customer signs a contract to approve the direct installations. Processed applications become approved applications when the direct installations have been physically installed.</p>	

Program Administrator(PA) and Program ID¹	NYSEG Non-Residential Block Bidding Program
Program Name	NYSEG Non-Residential Block Bidding Program Electric
Program Type²	Block Bidding
Total Acquired First-Year Impacts This Year^{3, 13}	
Net first-year annual kWh acquired this Year ⁴	4,610,445
Annual Net kWh Goal ¹⁷ (based on net first-year annual ⁵ kWh Goal)	N/A
Percent of Annual Net kWh Goal Acquired	N/A
Net Peak ⁶ kW acquired this Year	797
Annual Net Peak kW Goal ¹⁷	N/A
Percent of Annual Peak kW Goal Acquired	N/A
Net First-year annual therms acquired this Year	N/A
Annual Net Therm Goal	N/A
Percent of Annual Therm Goal Acquired	N/A
Net Lifecycle kWh acquired this Year	52,680,559
Net Lifecycle therms acquired this Year	N/A
Total Acquired Net First-Year Impacts To Date¹³	
Net first-year annual kWh acquired to date	4,610,445
Net first-year annual kWh acquired to date as a percent of annual goal ¹⁸	48.11%
Net first-year annual kWh acquired to date as a percent of 8-year goal	0%
Net cumulative kWh acquired to date	4,610,445
Net utility peak kW reductions acquired to date	797
Net utility peak kW reductions acquired to date as a percent of utility annual goal	N/A
Net utility peak kW reductions acquired to date as a percent of 8-year goal	N/A
Net NYISO peak kW reductions acquired to date	N/A
Net first-year annual therms acquired to date	N/A
Net first-year annual therms acquired to date as a percent of annual goal	N/A
Net first-year annual therms acquired to date as a percent of 8-year goal	N/A
Net cumulative therms acquired to date	N/A
Total Acquired Lifecycle Impacts To Date^{7, 13}	
Net Lifecycle kWh acquired to date	52,680,559
Net Lifecycle therms acquired to date	N/A
Committed^{8, 13} Impacts (not yet acquired) This Year	
Net First-year annual kWh committed this year	5,501,993
Net Lifecycle kWh committed this year	68,374,392
Net Utility Peak kW committed this year	1,130
Net first-year annual therms committed this year	N/A
Net Lifecycle therms committed this year	N/A
Funds committed at this point in time ²⁰	\$1,645,699
Overall Impacts (Acquired & Committed)	
Net first-year annual kWh acquired & committed this year	10,112,438
Net utility peak kW acquired & committed this year	1,927
Net First-year annual therms acquired & committed this year	N/A
Costs⁹	0

Total program budget ¹⁹	\$3,356,980
General Administration ¹⁴	\$0
Program Planning	\$0
Program Marketing	\$167
Trade Ally Training	\$0
Incentives and Services ¹⁵	\$1,022,581
Direct Program Implementation	\$128,473
Program Evaluation	\$9,806
Total expenditures to date ²¹	\$1,261,045
Percent of total budget spent to date	37.56%
Participation	
Number of measures ¹⁶ offered on customer proposals to date	51
Number of measures on signed customer contracts to date	33
Number of measures installed to date	14
Percent of proposed measures in signed customer contracts	64.71%
Annual Carbon Emission Reductions (in tons)	
Total Acquired Net First-year Carbon Emission Reductions To Date ¹²	2,305
Total Acquired Cumulative Net Carbon Emission Reductions To Date ¹²	2,305
DPS Staff Notes: See Attachment I.	
Utility Notes:	
<p>¹³Initially for the Block Bidding Program, Acquired savings was reported for the month that the completion of the installation of the energy savings measure was validated and approved by the Company. Based on recent conversations with DPS, beginning in the June Scorecard the Companies reported acquired energy savings for Block Bidding when the savings have been committed by a signed contract with the Supplier. The Acquired savings reported represents the balance of the projects that were contracted under RFP 1 but have not yet been installed, and therefore not Acquired in previous Scorecards.</p> <p>¹⁴Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.</p> <p>¹⁵Incentives and services costs are reported based on Company payment of invoices received from program contractors and/or Bidders/Suppliers.</p> <p>¹⁶The number of measures represents the number of customer host locations proposed by the accepted bidders .</p> <p>¹⁷Due to the non-uniform acquisition of energy savings under the Block Bidding Program, the Program does not have defined Annual goals.</p> <p>¹⁸The Block Bidding Program did not acquire energy savings in 2010. Therefore, the 2010 program goal has been added to the 2011 program goal for a combined 2011 program goal of 5676 mWhs.</p> <p>¹⁹Effective with the June 2011 scorecard, the total program budget has been revised based on the reallocations of spending between programs approved on May 27, 2011.</p> <p>²⁰These funds are required to complete committed projects. These funds are in addition to funds noted in the scorecard as "Total expenditures to date."</p> <p>²¹For program ending 2011, the Block Bidding Program acquired savings when project contracts were signed with bidders. As of year-end, funds required to complete these acquired projects are included with funds actually expended on projects completed before year-end.</p>	

Program Administrator(PA) and Program ID¹	RG&E Non-Residential Block Bidding Program
Program Name	RG&E Non-Residential Block Bidding Program Electric
Program Type²	Block Bidding
Total Acquired First-Year Impacts This Year^{3, 13}	
Net first-year annual kWh acquired this Year ⁴	13,503,717
Annual Net kWh Goal ¹⁷ (based on net first-year annual 5 kWh Goal)	N/A
Percent of Annual Net kWh Goal Acquired	N/A
Net Peak ⁶ kW acquired this Year	2,472
Annual Net Peak kW Goal ¹⁷	N/A
Percent of Annual Peak kW Goal Acquired	N/A
Net First-year annual therms acquired this Year	N/A
Annual Net Therm Goal	N/A
Percent of Annual Therm Goal Acquired	N/A
Net Lifecycle kWh acquired this Year	166,751,243
Net Lifecycle therms acquired this Year	N/A
c	
Total Acquired Net First-Year Impacts To Date¹³	
Net first-year annual kWh acquired to date	13,503,717
Net first-year annual kWh acquired to date as a percent of annual goal ¹⁸	145.44%
Net first-year annual kWh acquired to date as a percent of 8-year goal	N/A
Net cumulative kWh acquired to date	13,503,717
Net utility peak kW reductions acquired to date	2,472
Net utility peak kW reductions acquired to date as a percent of utility annual goal	0
Net utility peak kW reductions acquired to date as a percent of 8-year goal	0
Net NYISO peak kW reductions acquired to date	0
Net first-year annual therms acquired to date	N/A
Net first-year annual therms acquired to date as a percent of annual goal	N/A
Net first-year annual therms acquired to date as a percent of 8-year goal	N/A
Net cumulative therms acquired to date	N/A
Total Acquired Lifecycle Impacts To Date^{7, 13}	
Net Lifecycle kWh acquired to date	166,751,243
Net Lifecycle therms acquired to date	0
Committed^{8, 13} Impacts (not yet acquired) This Year	
Net First-year annual kWh committed this year	888,367
Net Lifecycle kWh committed this year	11,071,748
Net Utility Peak kW committed this year	203
Net first-year annual therms committed this year	N/A
Net Lifecycle therms committed this year	N/A
Funds committed at this point in time ²⁰	\$170,284
Overall Impacts (Acquired & Committed)	
Net first-year annual kWh acquired & committed this year	14,392,084
Net utility peak kW acquired & committed this year	2,675
Net First-year annual therms acquired & committed this year	N/A
Costs⁹	

Total program budget ¹⁹	\$3,364,723
General Administration ¹⁴	\$0
Program Planning	\$0
Program Marketing	\$549
Trade Ally Training	\$0
Incentives and Services ¹⁵	\$2,457,704
Direct Program Implementation	\$159,093
Program Evaluation	\$7,610
Total expenditures to date ²¹	\$2,781,102
Percent of total budget spent to date	82.65%
Participation	
Number of measures ¹⁶ offered on customer proposals to date	55
Number of measures on signed customer contracts to date	47
Number of measures installed to date	18
Percent of proposed measures in signed customer contracts	85.45%
Annual Carbon Emission Reductions (in tons)	
Total Acquired Net First-year Carbon Emission Reductions To Date ¹²	6,752
Total Acquired Cumulative Net Carbon Emission Reductions To Date ¹²	6,752
DPS Staff Notes: See Attachment I.	
Utility Notes:	
<p>¹³Initially for the Block Bidding Program, Acquired savings was reported for the month that the completion of the installation of the energy savings measure was validated and approved by the Company. Based on recent conversations with DPS, beginning in the June Scorecard the Companies reported acquired energy savings for Block Bidding when the savings have been committed by a signed contract with the Supplier. The Acquired savings reported represents the balance of the projects that were contracted under RFP 1 but have not yet been installed, and therefore not Acquired in previous Scorecards.</p> <p>¹⁴Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.</p> <p>¹⁵Incentives and services costs are reported based on Company payment of invoices received from program contractors and/or Bidders/Suppliers.</p> <p>¹⁶The number of measures represents the number of customer host locations proposed by the accepted bidders .</p> <p>¹⁷Due to the non-uniform acquisition of energy savings under the Block Bidding Program, the Program does not have defined Quarterly goals.</p> <p>¹⁸The Block Bidding Program did not acquire energy savings in 2010. Therefore, the 2011 program goal of 8,270 mWhs consists of the 2010 program goal, the 2011 program goal and the additional savings based on the the reallocations of savings between programs approved on May 27, 2011.</p> <p>¹⁹Effective with the June 2011 scorecard, the total program budget has been revised based on the reallocations of spending between programs approved on May 27, 2011.</p> <p>²⁰These funds are required to complete committed projects. These funds are in addition to funds noted in the scorecard as "Total expenditures to date."</p> <p>²¹For program ending 2011, the Block Bidding Program acquired savings when project contracts were signed with bidders. As of year-end, funds required to complete these acquired projects are included with funds actually expended on projects completed before year-end.</p>	

Program Administrator (PA) and Program ID¹	NYSEG Non-Residential Commercial & Industrial Rebate Program
Program Name	NYSEG Non-Residential Commercial & Industrial PRESCRIPTIVE Program
Program Type²	Prescriptive ELECTRIC Rebate
Total Acquired First-Year Impacts This Year^{3, 15}	
Net first-year annual kWh acquired this Year ⁴	2,398,915
Annual Net kWh Goal (based on net first-year annual ⁵ kWh Goal)	2,220,000
Percent of Annual Net kWh Goal Acquired	108.06%
Net Peak ⁶ kW acquired this Year	2,096
Annual Net Peak kW Goal	N/A
Percent of Annual Peak kW Goal Acquired	N/A
Net First-year annual therms acquired this Year	N/A
Annual Net Therm Goal	N/A
Percent of Annual Therm Goal Acquired	N/A
Net Lifecycle kWh acquired this Year	30,455,409
Net Lifecycle therms acquired this Year	N/A
Total Acquired Net First-Year Impacts To Date^{15, 17}	
Net first-year annual kWh acquired to date ¹⁵	2,533,072
Net first-year annual kWh acquired to date as a percent of annual goal ⁹	50.71%
Net first-year annual kWh acquired to date as a percent of 8-year goal	
Net cumulative first-year annual kWh acquired to date	2,533,072
Net utility kW reductions acquired to date	2,110
Net utility peak kW reductions acquired to date as a percent of utility annual goal ⁹	N/A
Net utility peak kW reductions acquired to date as a percent of 8-year goal	N/A
Net NYISO peak kW reductions acquired to date	N/A
Net first-year annual therms acquired to date	N/A
Net first-year annual therms acquired to date as a percent of annual goal ⁹	N/A
Net first-year annual therms acquired to date as a percent of 8-year goal	N/A
Net cumulative therms acquired to date	N/A
Total Acquired Lifecycle Impacts To Date^{7, 15, 17}	
Net Lifecycle kWh acquired to date	32,467,764
Net Lifecycle therms acquired to date	N/A
Committed⁸ Impacts (not yet acquired) This Year¹⁵	
Net First-year annual kWh committed this year	552,938
Net Lifecycle kWh committed this year	4,423,500
Net Utility Peak kW committed this year	462
Net first-year annual therms committed this year	N/A
Net Lifecycle therms committed this year	N/A
Funds committed at this point in time	\$58,970
Overall Impacts (Acquired & Committed)¹⁵	
Net first-year annual kWh acquired & committed this year	2,951,852
Net utility peak kW acquired & committed this year	2,559
Net First-year annual therms acquired & committed this year	N/A
Costs^{9, 16}	
Total program budget	\$2,451,464
General Administration ¹³	Portfolio
Program Planning ¹³	Portfolio
Program Marketing ¹⁸	\$68,803
Trade Ally Training ¹⁸	\$0
Incentives and Services ^{14, 18}	\$203,528
Direct Program Implementation ¹⁸	\$254,054
Program Evaluation ¹⁸	\$64,888
Total expenditures to date ¹⁷	\$726,249

Percent of total budget spent to date	29.63%
Participation^{15, 17}	
Number of program applications received to date ²⁰	571
Number of program applications <i>processed</i> to date ¹⁰	529
Number of processed applications <i>approved</i> to date ¹¹	498
Percent of applications received to date that have been processed	92.64%
Carbon Emission Reductions (in tons)	
Total Acquired Net First-Year Carbon Emission Reductions To Date ¹²	1,267
Total Acquired Cumulative Net Carbon Emission Reductions To Date	1,267
DPS Staff Notes: See Attachment 1	
Utility Notes:	
¹³ Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior and subsequent energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.	
¹⁴ Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for incentive payments made to program participants. They will thus lag the actual issuance of incentive payments to program participants.	
¹⁵ Impacts are calculated using actual installed measure information for all measures.	
¹⁶ All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.	
¹⁷ Information presented here may include changes to previously filed scorecard tables based on: review of additional information, reconsideration of eligibility decisions made by the implementation contractor during prior reporting periods, or other factors.	
¹⁸ Data contained in these fields reflects the most recent Year's expenditures in the respective category, as reported in the companies' accounting systems, not "to date" information.	
¹⁹ Effective February 9, 2011, Staff requested that Program Administrators report their Net Savings as a percent of the total program goal through 2011, as it is set forth in the relevant Commission Order or, for certain programs, the approved Operating Plan, whichever is appropriate. Since most programs are in their second year of implementation, comparing achievements to date against an annual goal would distort a program's achievement and would no longer provide the meaningful information that Staff and the EAG had intended when they developed the Reporting Guidelines.	
²⁰ Monthly savings goals have been revised based on the approved reallocations of savings between programs.	
²¹ The total program budget has been revised based on the approved reallocations of spending between programs.	

Program Administrator (PA) and Program ID¹	NYSEG Non-Residential Commercial & Industrial Rebate Program
Program Name	NYSEG Non-Residential Commercial & Industrial CUSTOM Program
Program Type²	Custom ELECTRIC Rebate
Total Acquired First-Year Impacts This Year^{3,15}	
Net first-year annual kWh acquired this Year ⁴	5,430,529
Annual Net kWh Goal (based on net first-year annual kWh Goal) ²⁰	11,281,000
Percent of Annual Net kWh Goal Acquired	48.14%
Net Peak ⁶ kW acquired this year	916
Annual Net Peak kW Goal	N/A
Percent of Annual Peak kW Goal Acquired	N/A
Net First-year annual therms acquired this year	N/A
Annual Net Therm Goal	N/A
Percent of Annual Therm Goal Acquired	N/A
Net Lifecycle kWh acquired this year	72,219,171
Net Lifecycle therms acquired this year	N/A
Total Acquired Net First-Year Impacts To Date^{15,17}	
Net first-year annual kWh acquired to date ¹⁵	5,430,529
Net first-year annual kWh acquired to date as a percent of annual goal ⁹	48.14%
Net first-year annual kWh acquired to date as a percent of 8-year goal	
Net cumulative first-year annual kWh acquired to date	5,430,529
Net utility kW reductions acquired to date	916
Net utility peak kW reductions acquired to date as a percent of utility annual goal ⁹	N/A
Net utility peak kW reductions acquired to date as a percent of 8-year goal	N/A
Net NYISO peak kW reductions acquired to date	N/A
Net first-year annual therms acquired to date	N/A
Net first-year annual therms acquired to date as a percent of annual goal ⁹	N/A
Net first-year annual therms acquired to date as a percent of 8-year goal	N/A
Net cumulative therms acquired to date	N/A
Total Acquired Lifecycle Impacts To Date^{7,15,17}	
Net Lifecycle kWh acquired to date	72,219,171
Net Lifecycle therms acquired to date	N/A
Committed⁸ Impacts (not yet acquired) This Year¹⁵	
Net First-year annual kWh committed this year	3,775,401
Net Lifecycle kWh committed this year	30,203,212
Net Utility Peak kW committed this year	640
Net first-year annual therms committed this year	N/A
Net Lifecycle therms committed this year	N/A
Funds committed at this point in time	\$973,443
Overall Impacts (Acquired & Committed)¹⁵	
Net first-year annual kWh acquired & committed this year	9,205,931
Net utility peak kW acquired & committed this year	1,556
Net First-year annual therms acquired & committed this year	N/A
Costs^{9,16}	
Total program budget ²¹	\$4,618,439
General Administration ¹³	Portfolio
Program Planning ¹³	Portfolio
Program Marketing ¹⁸	\$32,253
Trade Ally Training ¹⁸	\$0
Incentives and Services ^{14,18}	\$1,031,875
Direct Program Implementation ¹⁸	\$591,473
Program Evaluation ¹⁸	\$32,277
Total expenditures to date ¹⁷	\$1,921,574

Percent of total budget spent to date	41.61%
Participation^{15, 17}	
Number of program applications received to date	202
Number of program applications <i>processed</i> to date ¹⁰	128
Number of processed applications <i>approved</i> to date ¹¹	89
Percent of applications received to date that have been processed	63.37%
Carbon Emission Reductions (in tons)	
Total Acquired Net First-Year Carbon Emission Reductions To Date ¹²	2,715
Total Acquired Cumulative Net Carbon Emission Reductions To Date	2,715
DPS Staff Notes: See Attachment 1	
Utility Notes:	
<p>¹³ Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior and subsequent energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.</p> <p>¹⁴ Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for incentive payments made to program participants. They will thus lag the actual issuance of incentive payments to program participants.</p> <p>¹⁵ Impacts are calculated using actual installed measure information for all measures.</p> <p>¹⁶ All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.</p> <p>¹⁷ Information presented here may include changes to previously filed scorecard tables based on: review of additional information, reconsideration of eligibility decisions made by the implementation contractor during prior reporting periods, or other factors.</p> <p>¹⁸ Data contained in these fields reflects the most recent year's expenditures in the respective category, as reported in the companies' accounting systems, not "to date" information.</p> <p>¹⁹ Effective February 9, 2011, Staff requested that Program Administrators report their Net Savings as a percent of the total program goal through 2011, as it is set forth in the relevant Commission Order or, for certain programs, the approved Operating Plan, whichever is appropriate. Since most programs are in their second year of implementation, comparing achievements to date against an annual goal would distort a program's achievement and would no longer provide the meaningful information that Staff and the EAG had intended when they developed the Reporting Guidelines.</p> <p>²⁰ Monthly savings goals have been revised based on the approved reallocations of savings between programs.</p> <p>²¹ The total program budget has been revised based on the approved reallocations of spending between programs.</p>	

Program Administrator (PA) and Program ID¹	RG&E Non-Residential Commercial & Industrial Rebate Program
Program Name	RG&E Non-Residential Commercial & Industrial PRESCRIPTIVE Program
Program Type²	Prescriptive ELECTRIC Rebate
Total Acquired First-Year Impacts This Year^{3,15}	
Net first-year annual kWh acquired this year ⁴	622,455
Annual Net kWh Goal (based on net first-year annual ⁵ kWh Goal) ²⁰	1,414,000
Percent of Annual Net kWh Goal Acquired	44.02%
Net Peak ⁶ kW acquired this year	213
Annual Net Peak kW Goal	N/A
Percent of Annual Peak kW Goal Acquired	N/A
Net First-year annual therms acquired this year	N/A
Annual Net Therm Goal	N/A
Percent of Annual Therm Goal Acquired	N/A
Net Lifecycle kWh acquired this year	9,040,366
Net Lifecycle therms acquired this year	N/A
Total Acquired Net First-Year Impacts To Date^{15,17}	
Net first-year annual kWh acquired to date ¹⁵	756,540
Net first-year annual kWh acquired to date as a percent of annual goal ⁹	25.83%
Net first-year annual kWh acquired to date as a percent of 8-year goal	N/A
Net cumulative first-year annual kWh acquired to date	756,540
Net utility kW reductions acquired to date	243
Net utility peak kW reductions acquired to date as a percent of utility annual goal ⁹	N/A
Net utility peak kW reductions acquired to date as a percent of 8-year goal	N/A
Net NYISO peak kW reductions acquired to date	N/A
Net first-year annual therms acquired to date	N/A
Net first-year annual therms acquired to date as a percent of annual goal ⁹	N/A
Net first-year annual therms acquired to date as a percent of 8-year goal	N/A
Net cumulative therms acquired to date	N/A
Total Acquired Lifecycle Impacts To Date^{7,15,17}	
Net Lifecycle kWh acquired to date	10,907,722
Net Lifecycle therms acquired to date	N/A
Committed⁸ Impacts (not yet acquired) This Year¹⁵	
Net First-year annual kWh committed this year	114,750
Net Lifecycle kWh committed this year	918,000
Net Utility Peak kW committed this year	37
Net first-year annual therms committed this year	N/A
Net Lifecycle therms committed this year	N/A
Funds committed at this point in time	\$13,289
Overall Impacts (Acquired & Committed)¹⁵	
Net first-year annual kWh acquired & committed this year	737,205
Net utility peak kW acquired & committed this year	250
Net First-year annual therms acquired & committed this year	N/A
Costs^{9,16}	
Total program budget ²¹	\$1,543,353
General Administration ¹³	Portfolio
Program Planning ¹³	Portfolio
Program Marketing ¹⁸	\$10,278
Trade Ally Training ¹⁸	\$0
Incentives and Services ^{14,18}	\$72,092
Direct Program Implementation ¹⁸	\$117,343
Program Evaluation ¹⁸	\$43,599
Total expenditures to date ¹⁷	\$340,937

Percent of total budget spent to date	22.09%
Participation^{15, 17}	
Number of program applications received to date ²²	64
Number of program applications <i>processed</i> to date ¹⁰	57
Number of processed applications <i>approved</i> to date ¹¹	49
Percent of applications received to date that have been processed	89.06%
Carbon Emission Reductions (in tons)	
Total Acquired Net First-Year Carbon Emission Reductions To Date ¹²	378
Total Acquired Cumulative Net Carbon Emission Reductions To Date	378
DPS Staff Notes: See Attachment 1	
Utility Notes:	
<p>¹³ Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior and subsequent energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.</p> <p>¹⁴ Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for incentive payments made to program participants. They will thus lag the actual issuance of incentive payments to program participants.</p> <p>¹⁵ Impacts are calculated using actual installed measure information for all measures.</p> <p>¹⁶ All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.</p> <p>¹⁷ Information presented here may include changes to previously filed scorecard tables based on: review of additional information, reconsideration of eligibility decisions made by the implementation contractor during prior reporting periods, or other factors.</p> <p>¹⁸ Data contained in these fields reflects the most recent year's expenditures in the respective category, as reported in the companies' accounting systems, not "to date" information.</p> <p>¹⁹ Effective February 9, 2011, Staff requested that Program Administrators report their Net Savings as a percent of the total program goal through 2011, as it is set forth in the relevant Commission Order or, for certain programs, the approved Operating Plan, whichever is appropriate. Since most programs are in their second year of implementation, comparing achievements to date against an annual goal would distort a program's achievement and would no longer provide the meaningful information that Staff and the EAG had intended when they developed the Reporting Guidelines.</p> <p>²⁰ Monthly savings goals have been revised based on the approved reallocations of savings between programs.</p> <p>²¹ The total program budget has been revised based on the approved reallocations of spending between programs.</p> <p>²² Reflects correction of mis-assigned applications between utilities.</p>	

Program Administrator (PA) and Program ID¹	RG&E Non-Residential Commercial & Industrial Rebate Program
Program Name	RG&E Non-Residential Commercial & Industrial CUSTOM Program
Program Type²	Custom ELECTRIC Rebate
Total Acquired First-Year Impacts This Year^{3, 15}	
Net first-year annual kWh acquired this Year ⁴	982,586
Annual Net kWh Goal (based on net first-year annual ⁵ kWh Goal) ²⁰	3,965,000
Percent of Annual Net kWh Goal Acquired	24.78%
Net Peak ⁶ kW acquired this Year	101
Annual Net Peak kW Goal	N/A
Percent of Annual Peak kW Goal Acquired	N/A
Net First-year annual therms acquired this Year	N/A
Annual Net Therm Goal	N/A
Percent of Annual Therm Goal Acquired	N/A
Net Lifecycle kWh acquired this Year	12,151,667
Net Lifecycle therms acquired this Year	N/A
Total Acquired Net First-Year Impacts To Date	
Net first-year annual kWh acquired to date	982,586
Net first-year annual kWh acquired to date as a percent of annual goal ⁹	24.78%
Net first-year annual kWh acquired to date as a percent of 8-year goal	N/A
Net cumulative first-year annual kWh acquired to date	982,586
Net utility kW reductions acquired to date	101
Net utility peak kW reductions acquired to date as a percent of utility annual goal ⁹	N/A
Net utility peak kW reductions acquired to date as a percent of 8-year goal	N/A
Net NYISO peak kW reductions acquired to date	N/A
Net first-year annual therms acquired to date	N/A
Net first-year annual therms acquired to date as a percent of annual goal ⁹	N/A
Net first-year annual therms acquired to date as a percent of 8-year goal	N/A
Net cumulative therms acquired to date	N/A
Total Acquired Lifecycle Impacts To Date^{7, 15, 17}	
Net Lifecycle kWh acquired to date	12,151,667
Net Lifecycle therms acquired to date	N/A
Committed⁸ Impacts (not yet acquired) This Year¹⁵	
Net First-year annual kWh committed this year	2,199,192
Net Lifecycle kWh committed this year	17,593,539
Net Utility Peak kW committed this year	226
Net first-year annual therms committed this year	N/A
Net Lifecycle therms committed this year	N/A
Funds committed at this point in time	\$717,465
Overall Impacts (Acquired & Committed)	
Net first-year annual kWh acquired & committed this year	3,181,778
Net utility peak kW acquired & committed this year	328
Net First-year annual therms acquired & committed this year	N/A
Costs^{9, 16}	
Total program budget ²¹	\$2,117,839
General Administration ¹³	Portfolio
Program Planning ¹³	Portfolio
Program Marketing ¹⁸	\$13,212
Trade Ally Training ¹⁸	\$0
Incentives and Services ^{14, 18}	\$197,705
Direct Program Implementation ¹⁸	\$243,983
Program Evaluation ¹⁸	\$22,672
Total expenditures to date	\$615,266
Percent of total budget spent to date	29.05%

Participation	
Number of program applications received to date	62
Number of program applications <i>processed</i> to date ¹⁰	36
Number of processed applications <i>approved</i> to date ¹¹	20
Percent of applications received to date that have been processed	58.06%
Carbon Emission Reductions (in tons)	
Total Acquired Net First-Year Carbon Emission Reductions To Date ¹²	491
Total Acquired Cumulative Net Carbon Emission Reductions To Date	491
DPS Staff Notes: See Attachment 1	
Utility Notes:	
<p>¹³ Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior and subsequent energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.</p> <p>¹⁴ Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for incentive payments made to program participants. They will thus lag the actual issuance of incentive payments to program participants.</p> <p>¹⁵ Impacts are calculated using actual installed measure information for all measures.</p> <p>¹⁶ All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.</p> <p>¹⁷ Information presented here may include changes to previously filed scorecard tables based on: review of additional information, reconsideration of eligibility decisions made by the implementation contractor during prior reporting periods, or other factors.</p> <p>¹⁸ Data contained in these fields reflects the most recent Year's expenditures in the respective category, as reported in the companies' accounting systems, not "to date" information.</p> <p>¹⁹ Effective February 9, 2011, Staff requested that Program Administrators report their Net Savings as a percent of the total program goal through 2011, as it is set forth in the relevant Commission Order or, for certain programs, the approved Operating Plan, whichever is appropriate. Since most programs are in their second year of implementation, comparing achievements to date against an annual goal would distort a program's achievement and would no longer provide the meaningful information that Staff and the EAG had intended when they developed the Reporting Guidelines.</p> <p>²⁰ Monthly savings goals have been revised based on the approved reallocations of savings between programs.</p> <p>²¹ The total program budget has been revised based on the approved reallocations of spending between programs.</p>	

Attachment 1

DPS Staff Notes:

¹ DPS Staff needs to work with utilities to develop a Program ID naming convention. However, a Program ID number is not required for the first reports. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.

² There is not currently a consistent list of program types but individual categories for common use by administrators could be developed.

³ First-year savings are defined as the annual savings expected from a given measure in the first year after installation. The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. Acquired kWh savings are defined as those savings that are reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.

⁴ Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.

⁵ Program Administrators should make best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the monthly goals but does not want to mandate monthly goals, at least initially.

⁶ Peak is defined uniquely for each utility.

⁷ The lifecycle savings are tracked beginning in the year in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will be different for each month as a function of adding savings from measures installed in a given month and subtracting savings from measures installed earlier in the funding cycle that have reached the end of their useful life.

⁸ Committed savings are defined as those for which funds have been encumbered but not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's best estimate of what they have committed. There should be some assumptions on how the administrator does that. Program administrators should forecast as accurately as possible and it should get more precise with program experience, e.g., the difference between achieved and committed should get closer over time.

⁹ These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans. Companies should identify whether each cost item is to be recovered through the SBC surcharge, base rates, or other recovery mechanism (e.g., monthly adjustment charges).

¹⁰ An application is processed once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."

¹¹ The application is approved once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which an application could be received, processed, and approved all in one day, a "1" would be counted for each step in the tracking lifecycle.

¹² See CO2 Reduction Values tab in Data Reporting Template 6-26-09.Xls (quarterly and annual reports only)