May 20, 2013

Mr. Len Walker
Manager of Special Projects
New York Power Authority
123 Main Street
White Plains, New York 10601

Dear Mr. Walker,

CCI Roseton LLC ("CCI Roseton") is pleased to respond to the request for proposal No. Q13-5441LW (the "RFP"), issued by the New York Power Authority ("NYPA"). CCI Roseton is interested in serving as a resource to address the needs indicated by the RFP. On May 1, 2013, Castleton Commodities International LLC ("CCI") completed the purchase of the Roseton facility from Dynegy Inc. The acquired site is well suited for development of a new generation facility which would provide for capacity that is incremental to the existing Roseton facility. The envisioned project (the "Roseton Project" or the "Project") would meet key requirements of the RFP including exceeding 75 MW of incremental capacity, being interconnected to NYISO Zones G-K and participating in the NYISO voltage support service program.

The Project is a natural gas fired power generating facility in Newburgh, New York, to be constructed on the existing Roseton Generating Station site. The new facility would provide a targeted 600 MW of incremental generation capacity with a target operation date in early 2018. The Project would be designed to accompany the 1,200 MW of capacity provided by the existing Roseton Generation Station, potentially replacing one of its two units.

As CCI just recently completed its purchase of Roseton and development plans are in an early stage, CCI is limited in its ability to address all aspects of the RFP in the level of detail sought, however, CCI Roseton is committed to this process and believes it can serve as a resource to meet NYPA's needs. We believe a redeveloped Roseton site could be of significant benefit for the State of New York and an important resource in developing a contingency plan for the retirement of Indian Point. As such, CCI is submitting this response indicating its strong desire to be part of the solution and to provide a preliminary response to developing this resource.

The envisioned Project provides the following key elements:

- Location in the New York Independent System Operator ("NYISO") Zone G market with direct transmission interconnection access to NYISO
- A targeted 600 MW of incremental capacity
- Utilizing an existing generation site, leveraging existing resources and limiting impact on the community
- Providing reliable, efficient and low emission power
- Creating temporary construction jobs and permanent high skilled jobs

CCI offers it resources and experience in helping NYPA to explore a generation solution at Roseton to meet its needs, should NYPA choose to do so. We appreciate your time and efforts in considering our proposal.

Regards,

Herbert Quan

CCI

CCI Roseton LLC

New York Power Authority

RFP RESPONSE

May 20, 2012

CCI Roseton LLC
2200 Atlantic Street, Suite 800
Stamford, CT 06902

Table of Contents

Section 1: Executive Summary

CCI Roseton LLC ("CCI Roseton") is pleased to present the following in response to the request for proposal No. Q13-5441LW (the "RFP"), issued by the New York Power Authority ("NYPA"). CCI Roseton is interested in serving as a resource to address the needs indicated by the RFP. On May 1, 2013, Castleton Commodities International LLC ("CCI") completed the purchase of the Roseton facility from Dynegy Inc. The acquired site is well suited for the development of a new generation facility which would provide for capacity incremental to the existing Roseton facility. While development plans are in an early stage, thereby limiting the ability to address all aspects of the RFP in the level of detail sought, CCI Roseton is committed to this process and believes it can serve as a resource to meet NYPA's needs. The envisioned project (the "Roseton Project" or the "Project") would meet the requirements of the RFP including exceeding 75MW of incremental capacity, being interconnected to NYISO Zones G-K and participating in the NYISO voltage support service program.

The Project is a natural gas fired combined cycle power generating facility located in Newburgh, New York, to be constructed on the existing Roseton Generating Station site. The Project would target providing up to 600 MW of new generation capacity with a target operation date in early 2018. The Project would be designed to accompany/enhance the 1,200 MW of capacity provided by the existing Roseton Generation Station, and could potentially replace one of the existing units. The Project offers the following key elements:

- Located in the New York Independent System Operator ("NYISO") Zone G market with close proximity to the constrained New York metropolitan area and direct transmission interconnection access to NYISO
- Targeting up to 600 MW of new generation capacity which could include replacing one of the existing and less efficient 600 MW Roseton units
- Utilizes an existing generation site leveraging existing infrastructure, resources and limiting impact on the community
- Provides reliable, efficient and low emission power
- Creates permanent jobs as opposed to a transmission only solution

The Project will be located on the current property of the Roseton Generating Station and takes full advantage of the existing high voltage bulk 345 kilovolt (kV) electric transmission line and interconnection infrastructure through three existing transmission lines. The Project seeks to make the best use of the total capacity of the site. Preliminary evaluations suggest that up to 1,800 MW total generation output can be accommodated through the existing Roseton ring bus connections. Studies are being initiated to confirm that

the site can support at least 1,800 MWs of generating capacity as opposed to the current 1,200 MW provided by the existing Roseton Generation Station. Further, all options are being explored to address required enhancements to the natural gas infrastructure to support the year round demands of a combined cycle facility at the site.

Project development and construction, inclusive of gas pipeline infrastructure expansion will provide significant direct and indirect economic benefits to the local community and New York State at large.

- The Project will create construction jobs related to the facility build
- The Project will create a significant number of permanent jobs throughout the operating life of the project
- The new lower emitting natural gas energy facility may replace one of the existing 600 MW natural gas/fuel oil units yielding air quality benefits and production cost savings

Section 2: Project Description

Project

The Project will be a highly efficient state-of-the-art natural gas fired electric generating facility, utilizing combined cycle generation technology. The Project's current development timeline will allow consideration of new advanced class, higher efficiency combustion turbine combined cycle offerings as compared to other combustion turbine combined cycle plants currently operating in New York. During the technology selection phase of the Project, the following combustion turbine offerings will be evaluated for combined cycle ("CC") configuration:

- General Electric 7F 5 and 7 Series in 2x1 CC configurations (ranges from 650 MW to 750 MW)
- Siemens SGT 6 Series in 2x1 and 1x1 CC configurations (ranges from 400 MW to 800 MW)
- Mitsubishi 501GAC and 501J Series in 2x1 and 1x1 CC configurations (ranges from 400 MW to 950 MW)

As shown above, a wide range of capacity options are currently available at very high efficiencies that can be tailored to meet NYPA's and Con Edison's needs while considering capacity, efficiency, and reliability. Because the site is 195 acres in size with existing infrastructure that can support a new facility, adequate space is available to accommodate any of these options which would typically require 25 to 30 acres.

The new facility would serve as a key baseload resource supporting reliable operations of the bulk power grid. Planning and development work is currently focused on adding new capacity to the existing Roseton site. As

such the new combined cycle will utilize the latest major equipment components. The Project would be an integral resource over its approximate 40 year useful life.

Site

The existing Roseton station is located on a 195 acre site of which a substantial portion is open and available for development. The site is primarily isolated from its surrounding community, allowing for an expansion and enhancement of the site's current generation capabilities. The site is located in NYISO Load Zone G on the west side of the Hudson River near the city of Newburgh, New York and is forty-three miles north of New York City.

Interconnection

The Project would utilize the existing switchyard for electric interconnection. The existing Roseton units are connected to a switchyard served by three 345 KV electric transmission lines. From the switchyard, Central Hudson's 311 line connects to the Rock Tavern substation, which is located to the south and Central Hudson's 303/301 lines connect to the Rock Tavern substation to the north. Additionally, Central Hudson's 303 and 301 lines connect to the East Fishkill substation on the east side of the Hudson River. Early analysis indicates that there is development availability on the site. It is believed that this robust interconnection point can handle an additional 600 MW from the site; however, a feasibility study has not yet been performed. Studies are being initiated to confirm the full capacity of the existing infrastructure. It is believed that the existing interconnection infrastructure will not require significant enhancements providing the opportunity for a more cost effective generation solution.

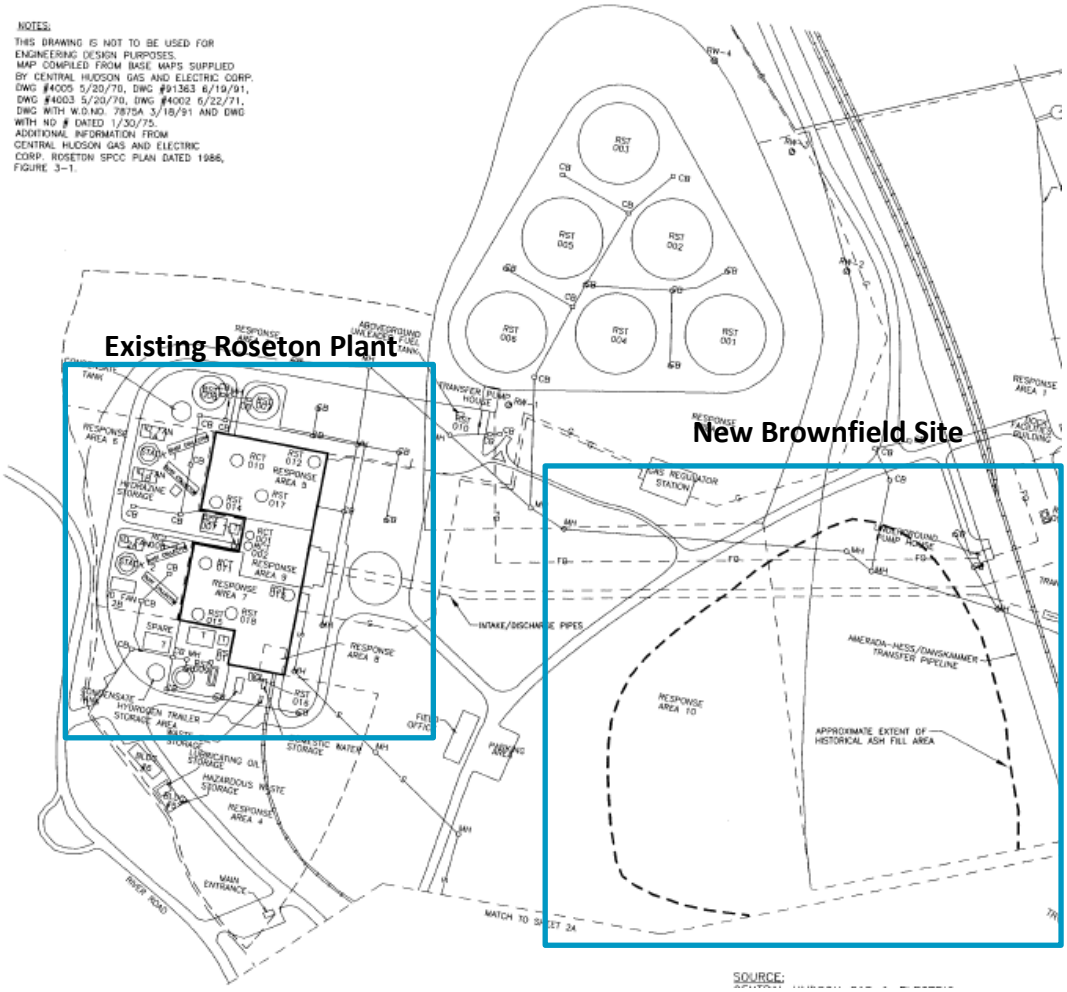
Fuel Supply

Natural gas service to the facility is provided by Central Hudson Gas & Electric via 16 inch and 10 inch pipelines. The capacity of the lines will vary depending upon agreements with Central Hudson. CCI Roseton continues to work with Central Hudson on a long term solution to expand the gas supply to the site. It is envisioned that the Roseton Project will secure a combination of firm and interruptible natural gas transportation contracts and that the existing infrastructure will be expanded or enhanced to support the Project. CCI Roseton is also exploring all avenues to expand its natural gas infrastructure with Central Hudson as well as other natural gas providers. The Roseton facility could access the Iroquois Gas Transmission, Algonquin Gas Transmission, Millennium Pipeline Co and Columbia Gas Transmission Corp transmission systems if a lateral connection were constructed. Costs studies are to be performed to assess the most cost effective manner to expand the gas supply to the site.

Site Overview

The Roseton plant is located on 195 tree-covered acres, 10 miles south of Poughkeepsie, NY and 43 miles north of New York City. As shown below, the site has substantial open acreage for expansion. Additionally, the properties surrounding the site provide natural buffers for the generation facilities and limit the impact of the Project on the local community.

The site provides a number of options for constructing a new facility either as a stand-alone plant or as an enhancement to the current facility. The diagram below details the potential brownfield site. Development will not be constrained by the site's footprint.



Environmental & Community Impacts

As discussed, the site is relatively isolated from its neighbors allowing for expansion with minimal disruption to the environment or local community. Utilizing an active generation site, the expansion takes advantage of available and properly zoned land with no impact on adjacent properties. The facility is able to benefit from existing water access, ties into existing municipal water supply and preexisting processes for water treatment. The facility will be equipped with state-of-the-art emissions control technology.

Project Description

The existing Roseton facility is a dual-fuel two unit 1,200 MW power plant. The plant entered commercial operation in 1974. The steam generators are capable of firing natural gas or natural gas in combination with No. 6 fuel oil. The design superheat outlet conditions are 2,600 PSIG, 1005°F. The units were designed and built by Burns and Roe and have historically operated in a load-following manner but are capable of operating to address daily needs in peaking operating mode. The units are capable of startup from a cold status to online in 10 hours and can synchronize in 4 hours from a hot status.

The envisioned project would be a new highly efficient state-of-the-art natural gas fired combined cycle electric generating facility, utilizing the latest in turbine technology, steam turbines and generators. If one of the existing and less efficient 600 MW Roseton units is replaced, up to 1,200 MW of new highly efficient state-of-the-art natural gas fired combined cycle electric generating capacity could be added to the site. The facility would serve as a key baseload resource supporting reliable operations of the bulk power grid. The Project would be an integral resource over its approximate 40 year useful life.

The new facility would have a much lower emissions profile than the existing facility and would yield air quality benefits. Regardless of the technology configuration selected, air emission limits for the new facility will be comparable to new combined cycle projects utilizing low NOx combustors, selective catalytic reduction ("SCR"), and oxidation or CO catalyst.

Section 3: Proposer Experience

The proposal team in made up of three highly capable partners, the Roseton management and operating team, CCI and Black & Veatch. For over 22 years, the Roseton management and operations team has been successfully operating and maintaining the Roseton station and managing capital projects at the site. Black & Veatch has been involved in the construction of over 66,000 MW of natural gas-fired combined cycle power plants, including more than 55,000 MW of engineering, procurement and construction work ("EPC"). Black & Veatch has over 100 offices United States and across the world employing over 10,000 professionals globally. In addition, Black & Veatch has participated in the preliminary engineering and permitting of several large combined cycle facilities in New York including the Independence Station.

CCI

CCI (formerly Louis Dreyfus Highbridge Energy) is a global commodities merchant with an integrated set of operations consisting of the marketing and merchandising of commodities and the ownership, operations,

and development of commodities-related upstream and infrastructure assets. CCI benefits from a rich history in commodities investing as well as a distinct culture of excellence. The Company markets a broad range of physical commodities including natural gas, natural gas liquids, refined products, crude oil, fuel oil, freight, petrochemicals, electric power and coal and financial instruments related to commodities. CCI is headquartered in Stamford, Connecticut, with offices in Houston, Texas; Calgary, Canada; Lausanne, Switzerland; Shanghai, China; Singapore; and Uruguay.

CCI announced the close of its purchase of the 1,200 MW Roseton facility on May 1, 2013 and has been operating the plant post-close through one of its subsidiaries, CCI Roseton LLC. Including Roseton, over the past two years CCI has acquired three generation facilities serving as the owner and operator. In total, CCI owns and operates eight energy related assets which include, coal and natural gas blending facilities, oil and petro terminals, oil and gas wells and the noted generation facilities. CCI has operated the 80 MW Rensselaer Cogeneration Plant located in Rensselaer, NY since February 2012. Additionally, CCI has owned and operated the 77 MW Wichita Falls Cogeneration Plant located in Wichita County, Texas, northeast of the Dallas/Fort Worth area since January 2012.

Black & Veatch

Black & Veatch is a leading global management consulting, engineering and construction company specializing in infrastructure development in the fields of energy, water and information. Founded in 1915, Black & Veatch is world renowned for providing its clients with conceptual and preliminary engineering services, engineering design, procurement, construction, financial management, asset management, information technology, environmental, security design and consulting and management consulting services.

Roseton Personnel

The Roseton management and operations team has over 22 years of experience operating the existing facility. The facility has had an excellent reliability record averaging an overall In-Market-Availability of 96% from 2009 to 2011.

Section 4: Project Information

The Roseton Project would be owned and operated by CCI Roseton LLC with corporate offices at 2200 Atlantic Street, Suite 800, Stamford, CT 06902. The Project's main contact person is Herbert Quan, Vice President, Castleton Commodities International, LLC ("CCI") who can be reached at (203) 564-8375 or via email at herbert.quan@cci.com. From a legal perspective, CCI Roseton LLC was formed on December 12, 2012 (DUNS number 07-878-2388). It acquired the Roseton Generating Facility and related assets from Dynegy Inc. on May 1, 2013. CCI Roseton is a wholly-owned subsidiary of Castleton Commodities International, LLC. Both CCI Roseton and CCI are privately held.

Section 5: Disclosure Statements

No officer, director, partner or affiliate of CCI has defaulted on or was deemed to be in noncompliance with any obligation related to the sale or purchase of power (capacity, energy and/or ancillary services), transmission, or natural gas, or was the subject of a civil proceeding for conversion, theft, fraud, business fraud, misrepresentation, false omissions, or collusive bidding or other procurement or sale-related irregularities.

Further, no officer, director, partner or affiliate of CCI was convicted of (i) any felony, or (ii) any crime related to the sale or purchase of electric power (capacity, energy, and/or ancillary services), transmission, or natural gas, conversion, theft, fraud, misrepresentation, false statements, unfair or deceptive business practices, anti-competitive acts or omissions, or collusive bidding or other procurement or sale-related irregularities.

Section 6: Financial Capacity to Compete and Operate the Proposed Project

The Roseton Project benefits from the sponsorship of CCI, a highly experienced merchant commodities company that deploys capital in financial and physical commodities and underlying assets on a global basis. This capable team support offers the expertise and track record required to achieve a commercial operation of the Project. As the Project is in its early stages, it is too preliminary to provide much of the detailed information sought by the RFP at this time. Should additional information be required and requested, CCI Roseton and CCI will provide such information as soon it is able to do so.

Since 2000, CCI (and its predecessor entities) have invested over $750 million in energy-related infrastructure projects, combining its expertise in development, construction, operations, commercialization and financing. In 2009, in a challenging debt financing environment, CCI completed a $250 million term loan issuance and $100 million revolver for its midstream asset portfolio. CCI has existing credit facilities that it

can utilize to finance the proposed Project. CCI has not defaulted on any of its financings over the past 10 years.

Section 7: Environmental Benefits of Project

The Project would dramatically generate cleaner energy and improve air quality by reducing major emissions as it displaces less efficient and higher emitting generation that currently meets New York's electricity demands. The Project is relatively isolated from its neighbors allowing for expansion with minimal disruption to the environment or local community. Building on an active generation site, the expansion utilizes available and properly zoned land with no impact on adjacent properties. The Project is able to take advantage of existing water access, ties into existing municipal water supply and preexisting processes for water treatment on site. However, CCI Roseton may need to obtain certain additional water access and use rights should the additional 600 MW of generation at the site require it. Additionally, no significant additional enhancements are expected to be required to address interconnection needs.

Section 8: Development Plans and Schedule

Project Management Plan

A detailed Project management plan will be crafted and implemented to guide and organize the execution of the Project. Development of the final plan will involve all key members of the Project team, the Roseton management and operating staff, CCI Roseton, the as yet to be selected Project manager/developer and ultimately the EPC contractor. The Project management plan will be a key part of the final agreement with any EPC contractor who will bring forward a sophisticated and robust implementation plan to ensure the Project is successfully developed. Key components of the Project management plan will be as follows.

Overview/Scope

This Project would be undertaken to successfully address the generation resource need outlined in the NYPA RFP. A new natural gas fired combined cycle power plant will be developed, constructed and operational by early 2018. Key milestones will include those indicated in the schedule section.

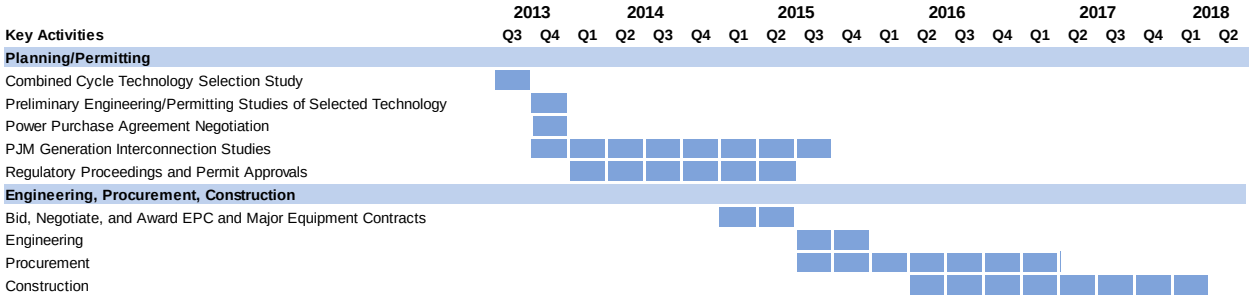
Key roles for the Project team include:

- CCI Roseton executing all key commercial and financing agreements
- Project manager/developer managing process of obtaining all key permits and project approvals. The parties will manage coordinating the pipeline construction process to ensure the Project stays on schedule. Additionally, the developer will manage the communications plan and the on-going relationship with the EPC contractor.
- EPC contractor providing engineering services, engineering design, procurement, construction, and environmental services

- Roseton management and operations team developing and implementing operations, training, safety, environmental management plans

Schedule

The primary Project milestones and target delivery date are expected to be as follows. CCI Roseton targets the following schedule from today through the target commercial operation date of March, 2018.



Key dates include:

- May 2013 — Initiate combined cycle technology study
- August 2013 — Initiate preliminary engineering, permitting, and studies for selected combined cycle configuration.
- October 2013 — Initiate generation interconnection studies
- December 2013 — Agree to key contracts with NYPA, including PPA
- January 2014 — Finalize permit applications and file permits
- June 2015 — Regulatory proceedings, and permit approvals
- Jan-June 2015 — Bid, negotiate and award EPC and major equipment contracts
- July 2015 — Limited NTP to Start Engineering and Order Major Equipment
- September 2015 — Complete generation interconnection process
- December 2015 — Full NTP to EPC Contractor
- April 2016 — Start plant, gas pipeline construction
- January 2018 — Pipeline and plant construction completed, start-up/testing
- March 2018 — Project commercial operations date

This schedule will be expanded in significant detail capturing all key commercial and technical segments of the project presented once developed.

Costs

Full details of cash in-flows and out-flows required for the Project will accompany the Project plan. The Project plan will include established key milestones triggering payment and at what amount for both suppliers and contractors. The milestones established will be based upon the Project milestone payments which will be negotiated into all applicable contracts. The form and structure of financing will be determined as the Project progresses conceptually. CCI has a well-established track record of serving as an owner/operator for energy infrastructure projects. The end financing structure will ensure available funding to support the Project along the timelines outlined above. CCI can finance the Project through a combination of existing equity capital and credit facilities as well as new credit facilities as necessary.

Equity sponsorship will come from CCI and/or other major institutional sources. Debt will be raised through institutional debt providers and/or commercial investment banks. A project of this size, with the support of the NYPA will be financeable at a reasonable cost of debt, providing sufficient return for the equity sponsor(s), enabling the Project to meet its debt obligations.

Quality

A detailed quality management plan will be finalized which will cover the steps to be taken to ensure the Project is meeting all expectations before confirming completion. Quality assurance and control engineers will be utilized at the requisite stages of construction, fabrication and testing to ensure all specifications are being met and the resulting Project meets its intended purpose. As needed, factory witness testing will occur on key components.

Procurement

Design and engineering schedules will set the Project specifications. The EPC contractor will develop a detailed procurement schedule based on these designs and specifications. This schedule will include timing for bid solicitation, award decisions and delivery dates to support the construction schedule.

Safety

Individual contractors and subcontractors will be required to operate their owner safety plans. These plans must be consistent with applicable provisions in the safety plan in place at Roseton and the CCI facilities. A Project/site specific safety plan will be required and submitted for approval before the contractor work begins. Subcontractors not administering the contractor's safety plan will need to have its safety plan approved before starting on the Project.

Communication

A robust communication plan will be developed and implemented. Elements will include format, frequency, procedure and channels of distribution. The communication plan will include all stakeholders including the local community. Through a periodically updated website, Project fact sheets will be distributed, meetings with local community leaders will be announced and the Project's status and progress will be communicated to the local community. The public will be encouraged to provide comments through a variety of communication channels including community meetings, the Project website, by mail or phone.

Environment

Roseton currently has an environmental management plan in place. Each contractor or subcontractor will be responsible for administering an environmental management plan that is consistent in meeting all requirements under Roseton's current plan. Site specific considerations and the impact of the new Project will be incorporated into Roseton's existing plan. All contractors and subcontractors will submit and have approval on their plan prior to commencing any construction activities.

Construction

The Project plans to mitigate construction cost and schedule risk through execution of a definitive, fixed price, date certain, performance based EPC contract with a reputable EPC firm. A competitive bidding process will likely be pursued for potential EPC contractors at the appropriate time.

Start up & Commissioning

It is planned that the EPC contractor will be given responsibility for startup and commissioning. Roseton staff will be utilized for operations during the commissioning phase of the Project for operations. The EPC contractor will also provide appropriate plant staff training as part of its work scope.

Closeout

The management team and plant staff will work with the EPC contractor to closeout construction including finalization of the punchlist, warranty, and final transition to operations.

Operations Plan

The Project would be operated and maintained in accordance with Good Utility Practices. A Maintenance Spending plan will be developed based upon a variable component, driven by generation hours and a fixed component occurring when major maintenance work needs to be performed. The Maintenance Plan will include when major maintenance is to be performed and when annual outages will occur each year.

Environmental Justice

An analysis will be prepared to incorporate Environmental Justice concerns as part of the New York State Department of Environmental Conservation ("NYSDEC") permitting process. The Project will provide environmental improvements to the emission profile of the facility by replacing generation reliant upon fuel oil with natural gas. As such, the Project is not expected to create an additional environmental burden on the local environmental justice area.

Community Benefits – Direct / Indirect benefits

The development and construction of Project Roseton will require a significant investment which will in turn provide material benefits to the local, regional and state economies. In the near term, construction jobs will be created through the construction period. Once completed, the Project will support a number of permanent professional jobs for the local area. Indirectly, the community would benefit once the higher wages are spent in the regional economic areas.

The Roseton Project makes best use of an existing resource which is already integrated into the electric transmission grid as part of a key NYISO Load Zone. This enables the Project to offer a cost-effective

generation solution to meet the state's reliability needs when compared to other greenfield development projects. Further, the existing site has excess capacity therefore, little additional transmission infrastructure investment is required. By limiting redundant infrastructure investment, the rate payer impact from this Project can be minimized.

The local community will benefit from both the direct project related activity and the in-direct economic impacts resulting from the Project.

Section 9: Environmental Review

Preliminary engineering and permitting plans are in the process of being initiated for the new combined cycle Project. Permit plans and schedules will be developed including permit and study matrices. The Project benefits from being located at an existing operating facility which already has operating permits, reporting, and management plans in place.

Section 10: Pricing

Project pricing details are in the preliminary stages and will be developed as the Project Plan develops. However the following cost savings are expected to be realized for the Project in comparison to greenfield or other brown-field sites:

- Reduced total capital cost as a result of abundant space on existing site land, robust electrical interconnection, proximity to gas supply, water access, security, warehouse, and other infrastructure in place.
- Reduced fuel cost during operation as a result of expected use of advance class combustion turbine technologies recently made available.
- Reduced operating costs by leveraging existing staff.
- EPC Contractors will likely realize cost savings as a result of the adequate space, Project location, and ease of site access.

Section 11: Contract Exceptions

As this point in time, CCI Roseton is not in a position to provide price indications or to respond with comments to the Pro Forma PPA.

Section 12: Halting Cost Caps

We understand from the RFP there are maximum development costs for the Project that will be subject to reimbursement in the event of a Halting Order. Due to the preliminary development stage of the Project Plan, we are unable to provide details related to Halting Cost Caps and Cancellation Clauses for Implementation of a Halting Mechanism. It is important to note that due to the existing Roseton facility provisions that would allow for the counterparty to control the Project if cost reimbursements are paid could prove problematic as the Project and the existing facility will share the same land.

Section 13: Other Requirements

CCI Roseton will be in a position to respond at a later date regarding Easements and Right of Way requirements needed, Large Generating Facility Data associated with NYISO's Generator Interconnection requests, the Generation or Transmission Data Sheet, and the NYPA Appendices, as applicable.

Section 14: Compliance Statement

All products or services provided by the Roseton Project will be in compliance with all applicable legal and regulatory requirements.

IV. DEVIATIONS AND EXCEPTIONS

Each Proposer shall submit a statement entitled "Deviations and Exceptions" showing the deviations and/or exceptions, if any, from the RFP, including the Pro Forma PPA, if applicable. Each exception or deviation shall be listed, together with sufficient data to fully explain the scope and the reason therefore. No material submitted which amends or modifies any part of the RFP shall bind the Authority unless contained in a "Deviations and Exceptions" list and expressly agreed to in writing by the Authority.

Incorporation by reference of Proposer's standard terms and conditions, and the transmittal of such terms and conditions in a manner other than as part of the "Deviations and Exceptions" list, shall not be deemed in compliance with this paragraph and shall be of no effect.

V. WITHDRAWAL OF PROPOSAL

Permission will not be given to modify or explain any proposal after it has been deposited with the Authority.

No proposal shall be withdrawn or cancelled before the time designated in the RFP for opening such proposals except upon such conditions as the Authority may deem to be necessary.

VI. OTHER REQUIREMENTS

A. The proposal and all supplemental data shall be legible and in the English language. Proposals that do not meet these requirements or that contain omissions, erasures, alterations, additions or items not called for in the RFP or that contain irregularities of any kind, may be rejected as informal.

B. Complete P.O. Address of Proposer form - The names of the president, secretary and treasurer, the date and state of incorporation and principal place of business shall be given on Page B-1 of this RFP further below.

C. Complete Payee Identification Numbers. Under the provisions of Chapter 295 of the Laws of 1987, the New York Power Authority is required to report the following information to the New York State Department of Taxation and Finance in connection with your proposal for, or the award of, a contract for these Services.

Your Business Name: CCI Roseton LLC

Your Business Address: 2200 Atlantic St, Stamford, CT 06902

Your Federal Social Security Number: _____

Your Federal Employer Identification Number: 46-1548934

If you do not have the above numbers, please state the reason or reasons why: ___

_____

_____

Such numbers shall only be made available to the public as provided by law.

Questions regarding the requirement for payee identification numbers and the maintenance system for such records should be addressed to:

Mr. Len Walker
Manager of Special Projects
New York Power Authority
123 Main Street
White Plains, New York 10601
nyparfq@nypa.gov
Tel: (914) 681-6627

D. Complete DUNS No. Information form further below.

E. Appendix "C" – See Request For Proposal Invitation Letter above and, Paragraph 9.14 of the Attachment entitled "Request For Proposals – Contingency Procurement of Generation and Transmission."

F. Appendix "E" - Omnibus Procurement Act of 1992 Requirements.

G. Appendix "G" – See Request For Proposal Invitation Letter.

H. Appendix "H" - Tax Law Requirements.

I. Appendix "J" – Nondisclosure Agreement. Return all Forms completed.

J. Compliance with the Immigration Reform and Control Act (IRCA): It is the Proposer's responsibility to properly complete and retain Form I-9 for all of its employees assigned to perform work as independent contractors at any one of the Authority's facilities, projects, and properties. The Proposer understands, and is in compliance with, its obligations under IRCA. The Proposer warrants that it is not knowingly employing any workers assigned to the Authority who are not authorized to work for the Proposer in the United States. The Proposer agrees to defend and indemnify the Authority for any liability, including attorney's fees, arising out of any claims that the Proposer's employees are not authorized to work

NON-COLLUSIVE PROPOSAL CERTIFICATION

Proposer shall submit one signed non-collusive proposal certificate with each copy of its proposal submitted.

A. By submission of this proposal, each Proposer and each person signing on behalf of any Proposer certifies as to its own organization, under penalty of perjury, that to the best of his /her knowledge and belief:

1. The prices in this proposal have been arrived at independently without collusion, consultation, communication, or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other Proposer or with any competitor;

2. Unless otherwise required by law, the prices which have been quoted in this proposal have not been knowingly disclosed by the Proposer and will not knowingly be disclosed by the Proposer prior to opening, directly or indirectly, to any other or to any competitor; and

3. No attempt has been made or will be made by the Proposer to include any other person, partnership, or corporation to submit or not to submit a proposal for the purpose of restricting competition.

B. A proposal shall not be considered for selection nor shall any proposal be selected where A. 1, 2 and 3, above have not been complied with; provided however, that if in any case the Proposer cannot make the foregoing certification, the Proposer shall so state and shall furnish with the proposal a signed statement which sets forth in detail the reasons therefore. Where A.1, 2 and 3, above have not been complied with, the proposal shall not be considered for selection nor shall any selection be made unless the head of the purchasing unit of the state, public department or agency to which the proposal is made, or his designee, determines that such disclosure was not made for the purpose of restricting competition.

C. Each proposal made by one or more corporations individually or as members or a joint venture or partnership shall be deemed to have been authorized by Proposer's respective board or boards of directors as the case may be, and such authorization shall be deemed to include the signing and submission of the proposal and the inclusion therein of this non-collusive proposal certification as the act and deed of such respective corporations.

FIRM NAME: CCI Roseton LLC

BY: _____
(Name-Signed)

BY: _____
(Name-Signed)

TITLE: Vice President

DATE: 5-17-2013

DUNS Number Information

Bidder/Contractor Name: CCI Roseton LLC

Dun and Bradstreet Universal Numbering System ("DUNS") Number: 07-878-2388

Central Contractor Registration Name:

Principal place of business:

Address: 2200 Atlantic Street
Suite 800

City: Stamford

State: CT

Zip Code: 06902

Person submitting this form:

Name: Herbert Quan
(Name - Printed)

Name: Herbert M Quan
(Name - Signed)

Title: Vice President

Telephone No.: 203-564-8375

P.O. ADDRESS OF THE BIDDER

CCI Roseton LLC — Company Name/D/B/A

2200 Atlantic Street — Street Address

Stamford, CT 06902 — City, State/Province and Zip Code

Country USA Email herbert.quan@cci.com

Telephone No. 203-564-8375 Ext.: Fax No. Fed ID No. 46-1548934

E Mail Address

ADDRESS FOR CHECK PAYMENTS (if different from above)

Street

City, State/Province Country and Zip Code

If a Corporation

Name		Address
Michael G. Dowling	President	
Joseph E. Rothbauer	~~Secretary~~ Senior Vice President	
Herbert Quan	~~Treasurer~~ Vice President	

Date and State or Province of Incorporation December 12, 2012 Delaware

If a Partnership

Name of General Partners	Address

If an Individual

Name of Individual	Address

If a Joint Venture

Name of Organizations	Addresses