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Three Empire State Plaza, Albany, NY 12223-1350

www.dps.ny.gov

September 23, 2024

Cara Madden
200 Harborside Drive Suite 200
Shenectady, NY 12305

RE: 2023 Annual Compliance – 1436 Route 9G LLC

Dear Cara Madden:

Thank you for your recent submittal pursuant to Section 3F of the New York State DPS's Uniform Business Practices for Distributed Energy Resource Suppliers (UBP-DERS). This letter is to inform you that the documentation submitted has been reviewed by DPS Staff and determined to be in compliance with the UBP-DERS.

Be advised that to maintain your DERS eligibility, and per the UBP-DERS, you must notify Staff of any contact changes, material, legal, financial or business-related changes to your company as they occur. If your business plan changes or you decide to expand your service offerings, you must immediately submit the appropriate documentation for Staff review and determination of how it affects your current eligibility.

If you are using third-party vendors to provide DER related services (e.g., EDI, marketing), the DER Supplier remains fully responsible for any activities the vendors perform. If you have not already done so, or if you have changed vendors since last reporting them to us, please provide Staff a list with contact information for all vendors that you are now using.

Please do not hesitate to contact me with any further questions or concerns at 518-486-2861 or john.sheevers@dps.ny.gov.

Sincerely,

A handwritten signature in black ink, appearing to read "John Sheevers".

John Sheevers
Office of Consumer Services