



DISTRIBUTED ENERGY RESOURCE SUPPLIER (DERS) REGISTRATION FORM

Pursuant to the Public Service Commission's October 19, 2017 Order Establishing Oversight Framework and Uniform Business Practices for Distributed Energy Resource Suppliers in Case 15-M-0180 and to the Uniform Business Practices for DER Suppliers (UBP-DERS) adopted in that order, CDG Providers¹ and On-Site Mass Market DG Providers² are required to submit this form. Subsidiaries and partners, including contractors, subcontractors, special purpose entities, and tax equity investors, are not required to submit this form as long as a registered CDG Provider is part of and responsible for ensuring compliance with respect to each project.

FILL OUT AND SUBMIT THIS FORM IN MATTER 17-02273: IN THE MATTER OF REGISTRATION FOR DER SUPPLIERS³ (Attach additional sheets as necessary)

1. Business Information

Business Name: Monolith Solar Associates, LLC
Address: 16 Corporate Woods Blvd., Suite 1
City: Albany State: NY Zip: 12211
Telephone: (518) 444-2044 Fax: (518) 621-7061

¹ Defined as "an entity that is acting or planning to act as a CDG Sponsor for one or more CDG projects, or that is otherwise engaged in soliciting customers, members, or subscribers for a CDG project or CDG projects, through its own employees or agents, on its own behalf. A CDG Sponsor is the entity that organizes, owns, and/or operates a CDG project."

² Defined as "an entity that is engaged in soliciting mass market customers for a project or service that involves the installation of distributed generation equipment, such as solar panels, on the property of those mass market customers, through its own employees or contractors, on its own behalf rather than as a contractor."

³ Instructions on registering and filing are available at

<http://www3.dps.ny.gov/W/PSCWeb.nsf/All/4BDF59B70BABE01585257687006F3A57?OpenDocument>

If you intend to market your services under a DBA, provide a copy of your certificate of assumed name and list the name(s) here:

Not Applicable

Type of Provider: CDG and Mass Market On-Site DG

Energy Source: (i.e. solar, wind, etc.) PV Solar

CDG Provider _____ Mass Market On-Site DG Provider _____ Both X

Provide the contact information for any affiliates conducting energy-related business (including subsidiaries and parent corporations) within New York State or elsewhere.

Business Name: See Attached List

Contact Name: Mark Fobare, Secretary

Address: 16 Corporate Woods Blvd., Suite 1

City: Albany State: NY Zip: 12211

Telephone: (518) 444-2044 Fax: (518) 621-7061

Email Address: info@monolithsolar.com

Provide the contact information for any parent company or other corporate entity with an ownership interest of 10 percent or more of the registrant:

Business Name: Not Applicable

Contact Name: _____

Address: _____

City: _____ State: _____ Zip: _____

Telephone: _____ Fax: _____

Email Address: _____

During the previous 24 months, have any criminal or regulatory sanctions been imposed on the registrant, any senior officer of the registrant, any corporate entity with corporate entity with an ownership interest of 10 percent or any energy affiliates listed above?

Yes _____ No X

If yes, identify the entities or individuals subject to sanctions and provide a detailed explanation of the sanctions:

Disclose any decisions or pending escalated regulatory actions in other states that affect the registrant's ability to operate in that state, such as suspension, revocation, or limitation of operating authority:

None

List and describe any current formal investigations involving the registrant being conducted by law enforcement or regulatory entities:

None

List and explain any acquisitions, mergers, dissolutions, or bankruptcy involving the registrant that occurred in the previous 24 months:

None

List and describe of any security breaches associated with customer proprietary information in the last 24 months that involved the registrant, including a thorough description of the actions taken in response to any such instances:

None

2. Contact Information

Executive Contact (Owner, CEO, or Executive responsible for New York service)

Name and Title: **Steven Erby, COO**

Address: **16 Corporate Woods Blvd., Suite 1**

City: **Albany** State: **NY** Zip: **12211**

Telephone: **(518) 444-2044** Fax: **(518) 621-7061**

Email Address: **info@monolithsolar.com**

Regulatory Contact (Individual(s) Responsible for Ensuring Compliance with Regulatory Requirements)

Name and Title: **Mark Fobare, Secretary**

Address: **16 Corporate Woods Blvd., Suite 1**

City: **Albany** State: **NY** Zip: **12211**

Telephone: **(518) 444-2044** Fax: **(518) 621-7061**

Email Address: **info@monolithsolar.com**

Marketing Contact (Individual(s) Responsible for Responding to Consumer Inquiries and Complaints)

Name and Title: **Jennifer Amerling, Sales Director**

Address: **16 Corporate Woods Blvd., Suite 1**

City: **Albany** State: **NY** Zip: **12211**

Telephone: **(518) 444-2044** Fax: **(518) 621-7061**

Email Address: **info@monolithsolar.com**

3. **Additional Requirements**

(Required for New Registrants and Triennial Filings)

- Copy and proof of acceptance of your registration with the NYS Department of State and a copy of your certificate of assumed name (if applicable);
- Sample sales agreements, including customer disclosure statement, and sample bills for each customer class for each material category of the CDG or On-Site Mass Market products or services that will be offered;
- Copies of information and promotional materials used for mass marketing purposes for each product offering;
- A list of entities, including contractors and sub-contractors, that market on behalf of your company;
- [NYS DPS Office of Consumer Services Service Provider Form](#).

4. **Signature**

The person signing this application attests to the following: that she or he is an owner, partner, or officer of the business named on this registration package, the answers and materials contained in this registration package are true and the registration package submitted is complete and accurate. A DER Supplier that knowingly makes false statements in this registration package is subject to denial or revocation of eligibility.

Signature: 

Print Name: Steven Erby

Title: COO

Date: 6/29/2018

Company Name: Monolith Solar Associates LLC