

ENERGY BROKER AND ENERGY CONSULTANT REGISTRATION FORM 23-01227

Pursuant to the Public Service Commission's Order Adopting Energy Broker and Energy Consultant Registration Requirements in Case 23-M-0106 and the Uniform Business Practices edits adopted in that order, Energy Brokers1 and Consultants2 are required to submit this form.

COMPLETE THIS FORM AND SUBMIT IN MATTER 23-01227 IN THE MATTER OF REGISTRATION FOR ENERGY BROKERS AND CONSULTANTS

E-FILING INSTRUCTIONS CAN BE FOUND AT:

https://dps.ny.gov/filing-documents-secretary

1. <u>BUSINESS INFORMATION</u>

Business Name: PRIME TIME MARI	KETIN	IG LLC		
Address: 6538 BAPTIST WAY				
	State:	NY	Zip Code: 13057	
Company Phone Number: 315-506-0185		Website Address:	www.ptmsolar.com	
Email Address: hr@ptmsales.com				

¹ Energy broker is defined within the UBP as "a non-utility entity that performs energy management or procurement functions on behalf of customers or ESCOs, and (1) that assumes the contractual and legal responsibility for the sale of electric supply service, transmission or other services to end-use retail customers, but does not take title to any of the electricity sold, and does not make retail energy sales to customers, or (2) that assumes the contractual and legal obligation to provide for the sale of natural gas supply service, transportation or other services to end-use retail customers, but does not take title to any of the natural gas sold, and does not make retail energy sales to customers."

² Energy consultant is defined within the UBP as "any person, firm, association or corporation who acts as a broker in soliciting, negotiating or advising any electric or natural gas contract, or acts as an agent in accepting any electric or natural gas contract on behalf of an ESCO."

(BUSINESS INFORMATION CONTINUED)

If you intend to market your service name and list the name(s) here: NA	es under a DI	BA, provide	a copy of yo	our certifi	cate of assum	ied
Type of Provider: Energy Broker _		Energy Cons	sultant		Both	
Nature of business being conducted	:					
Type of customers: (check all that a	pply)					
Residential Small Comm	ercial	Large	Commercia	al/Industri	al	
Provide the contact information for subsidiaries and parent corporations Business Name: PRIME TIME MA	s) within Nev	w York State			ess (includin	g
Contact Name: RYAN MCMANU						
Address: 6538 BAPTIST WAY						
City: E SYRACUSE	State: NY		Zip Code: 1	3057		
Telephone: 315-529-6836	Fax: _					
Email Address: rmcmanus@ptm	sales.com					
Provide the contact information for ownership interest of 10 percent or	• •		other corpora	ate entity	with an	
Business Name:						
Contact Name:						
Address:						
City:	State:		Zip Code: _			
Telephone:	Fax: _					
Email Address:						
During the previous 36 months, have registrant, any senior officer of the 10 percent, or any energy affiliates. Yes No	ve any crimin registrant, an	nal or regulat ny corporate	tory sanction	ns been in	nposed on the	

(BUSINESS INFORMATION CONTINUED)

•	dentify the entities anctions:	or individuals subject to sanctions and provide a detailed explanation
registra	•	bending escalated regulatory actions in other states that affect the te in that state, such as suspension, revocation, or limitation of
	l describe any curro orcement or regula	nt formal investigations involving the registrant being conducted by ory entities:
	l explain any acqui urred in the previo	sitions, mergers, dissolutions, or bankruptcy involving the registrant as 36 months:
last 36 1	•	ity breaches associated with customer proprietary information in the d the registrant, including a thorough description of the actions taken tances:
		gistrant has received authority to sell/broker services within the past 36 registrant is actively providing services or not:
State: _	Status:	Date Issued:
State: _	Status:	Date Issued:
State: _	Status:	Date Issued:
State:	Status:	Date Issued:

(BUSINESS INFORMATION CONTINUED) List all states in which registrant or its affiliates has been denied approval and/or had authority revoked: NONE List all municipalities in which the registrant will be performing door to door marketing activities in New York State. Further, provide an attachment including all municipality permits obtained by the registrant. If you do not market door to door, please indicate so in your response. AT THIS TIME WE HAVE NOT OBTAINED ANY PERMITS 2. <u>CONTACT INFORMATION</u> THE CONTACTS LISTED BELOW MUST BE DIRECT CONTACTS FOR INDIVIDUALS, NOT FOR A SHARED MAILBOX OR GENERAL PHONE NUMBER. EXECUTIVE CONTACT (OWNER, CEO, OR EXECUTIVE FOR NEW YORK SERVICE) Name and Title: RYAN MCMANUS, CEO Address: 6538 BAPTIST WAY $_{\mathrm{City:}}$ E SYRACUSE _____ Zip Code: 13057 Direct Telephone: 315-529-6836 Fax: Direct Email Address: RMCMANUS@PTMSALES.COM REGULATORY CONTACT (Individual(s) responsible for ensuring compliance with regulatory requirements, use additional sheets if necessary) Name and Title: NOAH BRADISH Address: 6538 BAPTIST WAY City: E SYRACUSE State: NY Zip Code: 13057 Direct Telephone: 315-455-2395 Fax: Direct Email Address: NOAH@PTMSALES.COM MARKETING CONTACT (Individual responsible for responding to consumer inquiries and complaints)

3. <u>ADDITIONAL REQUIREMENTS</u>

A separate cover letter (addressed to Hon. Michelle L. Phillips, Secretary to the Commission, New York State Public Service Commission, Agency Building 3, Albany, NY 12223-1350) with a reference to matter 23-01227 should be submitted along with;

- A. A sample standard Agreement between the Energy Broker or Energy Consultant and the customer (if the supplier handles on your behalf, please indicate so in your response);
- B. Sample forms of the notices sent upon assignment of sales agreements, discontinuance of service, or transfer of customers to other providers (if the supplier handles on your behalf, please indicate so in your response);
- C. Procedures used to obtain customer authorization for access to a customer's historic usage or credit information (if the supplier handles on your behalf, please indicate so in your response);
- D. Sample disclosures of compensation (if the supplier handles on your behalf, please indicate so in your response);
- E. Information on the methods by which the applicant intends to market energy products and services;
- F. Sample copies of informational and promotional materials that the applicant uses for mass marketing purposes (if none, please indicate so in your response);
- G. Proof of registration with the New York State Department of State or proof of an assumed name certificate (DBA) filed with the county clerk;
- H. Proof of registration to act as a marketer in any municipality where such registration is required (if none, please indicate so in your response);
- I. \$500 non-refundable check made payable to the Department of Public Service and mailed to the following address: Department of Public Service, Attn: Finance and Budget Section, New York State Department of Public Service, 3 Empire State Plaza, 16th Floor, Albany, NY 12223-1350 (Please include your contact information (Company Name, Contact Name, Address and Phone Number) with your check so the Department may contact you if there is an issue.)
- J. A completed Service Provider Contact Form, identifying the Energy Broker or Energy Consultant's employee(s) responsible for resolving consumer complaints received by the Department and referred to the Energy Broker or Energy Consultant (form is found here: https://dps.ny.gov/energy-broker-and-energy-consultant-registration);

- K. **Proof of an irrevocable standby letter of credit held with a reputable financial institution that identifies the Department of Public Service as the beneficiary in the amount of: \$100,000 for Energy Brokers, \$50,000 for Energy Consultants.
- **A demonstration of financial accountability is not required at this time due to ongoing litigation

4. **SIGNATURE**

The person signing this application attests to the following:

That he/she is an owner, partner, or officer of the business name on this registration package;

The answers and materials contained in this registration package are true; and,

The registration package is complete and accurate.

An Energy Broker and/or Consultant that knowingly makes false statements in this registration package is subject to denial or revocation of eligibility.

Print Name: RYAN MCMANUS
Title: CEO
Company Name: PRIME TIME MARKETING LLC
Signature: Date: 10/9/25