

Attachment 4
Revised Joint Application Form



JOINT APPLICATION FORM

For Permits for activities affecting streams, waterways, waterbodies, wetlands, coastal areas, sources of water, and endangered and threatened species.

You must separately apply for and obtain Permits from each involved agency before starting work. Please read all instructions.

1. Applications To:
>NYS Department of Environmental Conservation [checked] Check here to confirm you sent this form to NYSDEC.
Check all permits that apply: [] Stream Disturbance, [] Dams and Impoundment Structures, [] Tidal Wetlands, [] Water Withdrawal, [] Excavation and Fill in Navigable Waters, [] 401 Water Quality Certification, [] Wild, Scenic and Recreational Rivers, [] Long Island Well, [] Docks, Moorings or Platforms, [] Freshwater Wetlands, [] Coastal Erosion Management, [] Incidental Take of Endangered / Threatened Species
>US Army Corps of Engineers [checked] Check here to confirm you sent this form to USACE.
Check all permits that apply: [checked] Section 404 Clean Water Act, [] Section 10 Rivers and Harbors Act
Is the project Federally funded? [] Yes, [checked] No
If yes, name of Federal Agency: []
General Permit Type(s), if known: NWP 57: Utility Line and Telec
Preconstruction Notification: [checked] Yes, [] No
>NYS Office of General Services [] Check here to confirm you sent this form to NYSOGS.
Check all permits that apply: [] State Owned Lands Under Water, [] Utility Easement (pipelines, conduits, cables, etc.), [] Docks, Moorings or Platforms
>NYS Department of State [] Check here to confirm you sent this form to NYSDOS.
Check if this applies: [] Coastal Consistency Concurrence

2. Name of Applicant
NY Transco LLC
Taxpayer ID (if applicant is NOT an individual)
Mailing Address: One Hudson City Centre, 3rd Floor
Post Office / City: Hudson, State: NY, Zip: 12534
Telephone: 518-444-4507, Email: james.mooney@nytransco.com
Applicant Must be (check all that apply): [checked] Owner, [] Operator, [] Lessee

3. Name of Property Owner (if different than Applicant)
Orange and Rockland Utilities, Inc.
Mailing Address: 390 West Route 59
Post Office / City: Spring Valley, State: NY, Zip: 10977
Telephone: 1-877-434-4100, Email: McVeyJ@ORU.com

For Agency Use Only Agency Application Number:

4. Name of Contact / Agent
 Heather Vaillant
 Mailing Address: 10 Maxwell Drive, Suite 200
 Post Office / City: Clifton Park
 State: NY Zip: 12065
 Telephone: (518) 527-2751 Email: HVaillant@trccompanies.com

5. Project / Facility Name
 NY Transco Rock Tavern to Sugarloaf Project
 Property Tax Map Section / Block / Lot Number:
 Project Street Address, if applicable: The Project is located on an existing right-of-way and utility owned or controlled land
 Post Office / City: State: NY Zip:
 Provide directions and distances to roads, intersections, bridges and bodies of water:
 The Project is located in the Towns of New Windsor, Hamptonburgh, Blooming Grove, and Chester in Orange County, New York.
 Town Village City County: Orange Stream/Waterbody Name: various
 Project Location Coordinates: Enter Latitude and Longitude in degrees, minutes, seconds:
 Latitude: Longitude:

6. Project Description: Provide the following information about your project. Continue each response and provide any additional information on other pages. **Attach plans on separate pages.**

a. Purpose of the proposed project:
 NY Transco Rock Tavern to Sugarloaf Project includes the replacement of an existing, 12-mile overhead 115 kV electric transmission line (the SL Line), with a new 115 kV electric transmission line (RTS Line) and rebuild of an existing substation. See cover letter for a complete narrative.

b. Description of current site conditions:
 The Project is located within an existing utility-owned right-of way (ROW) and utility-owned or controlled land. The ROW includes forested land, mowed lawns, shrub-lands, pasture, fields, and disturbed land (paved and unpaved roads and railroads). A total of 53.89 acres of wetlands and 16 streams were identified during wetland delineation.

c. Proposed site changes:
 Replacement of existing transmission line structures. Rebuilding of an existing substation. There will be approximately 9.8 acres of trees removed and approximately 0.15 acres of tree removal within wetlands.

d. Type of structures and fill materials to be installed, and quantity of materials to be used (e.g., square feet of coverage, cubic yards of fill material, structures below ordinary/mean high water, etc.):
 Construction of new transmission line structures will result in 0.014 acres of permanent wetland impacts. Approximately 3.55 acres of temporary impacts will occur from the use of matting in wetlands for work pads, pulling pads, and access roads.

e. Area of excavation or dredging, volume of material to be removed, location of dredged material placement:
 A total of approximately 0.014 acre will be impacted within jurisdictional features for the installation of structure foundations and grading at the rebuilt substation. After backfilling, excess soil will be removed and spread in appropriate upland areas within the ROW.

f. Is tree cutting or clearing proposed? Yes If Yes, explain below. No
 Timing of the proposed cutting or clearing (month/year): January 2022 - March 2022
 Number of trees to be cut: Unknown Acreage of trees to be cleared: 9.79

g. Work methods and type of equipment to be used:

The work will require a variety of equipment, including drillers, front-loaders, excavators, cranes, log skidders, concrete mixer trucks, and pickup trucks.

h. Describe the planned sequence of activities:

Erosion and sediment control (E&SC) practices will be installed prior to earth disturbance. After vegetation has been cleared, access roads will be installed. Work mats will be used for wetland work. Existing lattice structures will be removed. Then, after concrete foundations and structures are installed, the conductor is strung and clipped. Finally temporary E&SC measures are removed, and the site is stabilized.

i. Pollution control methods and other actions proposed to mitigate environmental impacts:

The work will be conducted in accordance with the Project's Environmental Management and Construction Plans (EM&CPs). A SWPPP is being prepared for the Project and work will be in accordance with SPDES GP-0-20-001.

j. Erosion and silt control methods that will be used to prevent water quality impacts:

BMPs will be used for erosion control. These techniques include stockpiling soil away from drainages, using water for dust control, installing stabilized construction entrances, installing perimeter erosion and sediment controls, adding temporary seeding and mulching, establishing permanent vegetation. Excavation spoils will be contained on site. Work will be in accordance with SPDES.

k. Alternatives considered to avoid regulated areas. If no feasible alternatives exist, explain how the project will minimize impacts:

The RTS Project completed a comprehensive evaluation of alternatives to minimize impacts. Please see Exhibit 3.

l. Proposed use: Private Public Commercial

m. Proposed Start Date: Estimated Completion Date:

n. Has work begun on project? Yes If Yes, explain below. No

N/A

o. Will project occupy Federal, State, or Municipal Land? Yes If Yes, explain below. No

N/A

p. List any previous DEC, USACE, OGS or DOS Permit / Application numbers for activities at this location:

N/A

q. Will this project require additional Federal, State, or Local authorizations, including zoning changes?

Yes If Yes, list below. No

Project is undergoing Article VII process (PSC Case No. 20-T-0549). The Project will also require SPDES GP-0-20-001 Permit for Construction Activities (SWPPP), NYSDOT highway permits, and Federal Aviation Administration (FAA) obstruction evaluation / airport airspace analysis review and approval.

7. Signatures.

Applicant and Owner (If different) must sign the application.

Append additional pages of this Signature section if there are multiple Applicants, Owners or Contact/Agents.

I hereby affirm that information provided on this form and all attachments submitted herewith is true to the best of my knowledge and belief.

Permission to Inspect - I hereby consent to Agency inspection of the project site and adjacent property areas. Agency staff may enter the property without notice between 7:00 am and 7:00 pm, Monday - Friday. Inspection may occur without the owner, applicant or agent present. If the property is posted with "keep out" signs or fenced with an unlocked gate, Agency staff may still enter the property. Agency staff may take measurements, analyze site physical characteristics, take soil and vegetation samples, sketch and photograph the site. I understand that failure to give this consent may result in denial of the permit(s) sought by this application.

False statements made herein are punishable as a Class A misdemeanor pursuant to Section 210.45 of the NYS Penal Law. Further, the applicant accepts full responsibility for all damage, direct or indirect, of whatever nature, and by whomever suffered, arising out of the project described herein and agrees to indemnify and save harmless the State from suits, actions, damages and costs of every name and description resulting from said project. In addition, Federal Law, 18 U.S.C., Section 1001 provides for a fine of not more than \$10,000 or imprisonment for not more than 5 years, or both where an applicant knowingly and willingly falsifies, conceals, or covers up a material fact; or knowingly makes or uses a false, fictitious or fraudulent statement.

Signature of Applicant



Date

7/13/2021

Applicant Must be (check all that apply): Owner Operator Lessee

Printed Name

James Mooney

Title

Director of Capital Projects

Signature of Owner (if different than Applicant)

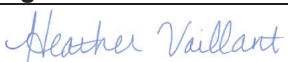
(please see next page for owner signature)

Date

Printed Name

Title

Signature of Contact / Agent



Date

7/19/2021

Printed Name

Heather Vaillant

Title

Senior Project Manager

For Agency Use Only

DETERMINATION OF NO PERMIT REQUIRED

Agency Application Number

(Agency Name) has determined that No Permit is

required from this Agency for the project described in this application.

Agency Representative:

Printed Name

Title

Signature

Date