



June 10, 2016

VIA ON-LINE DMM SYSTEM FILING

New York Public Service Commission
Office of Consumer Policy – Retail Access
3 Empire State Plaza
Albany, NY 12223

RE: Update to Retail Access Application Form (“RAAF”) – Matter No. 14-02554

NOTICE OF COMPANY NAME CHANGE – ENGIE Resources Inc. (f/k/a GDF SUEZ Suez Energy Resources NA, Inc.)

In accordance with the New York Public Service Commission’s Uniform Business Practices, Section 2(D)(4)a: *ESCO’s must submit a description of any major change in the information filed in its RAAF or, at the ESCO’s option, a copy of the revised portions identifying the revisions by highlighting or other means.*

Please be advised that the company name of GDF SUEZ Energy Resources NA, Inc. is now **ENGIE Resources Inc.** This is a **name change only** and has no impact on the operations or ownership of the company. Company information including employee contacts, physical addresses, and phone numbers remain the same. Emails will change to the following format: firstname.lastname@na.engie.com.

ENGIE Resources Inc. hereby wishes to inform the Commission of the following updates within its current form of RAAF submitted via the online DMM System on January 19, 2016 (“RAAF 2016 License Renewal”) with highlighted changes noted for reference on the attached RAAF form.

Also attached is an updated OCS Service Provider Contact Information form, together with ESCO Update for the Commission’s DMM Database form.

All other information provided in ENGIE Resources Inc.’s RAAF 2016 License Renewal, as updated herein, remains accurate and current.

If you have any questions regarding this filing or require additional information, please contact via email either Randy Johnson @ randy.johnson@na.engie.com or myself at marsha.griffin@na.engie.com.

Regards,

Marsha Griffin
Paralegal
1990 Post Oak Blvd., Suite 1900
Houston, TX 77056
www.gdfsuezenergyresources.com



**Department of
Public Service**

ENERGY SERVICE COMPANY (ESCO) RETAIL ACCESS APPLICATION FORM

1. Business Information

Business Name: ENGIE Resources Inc. (f/k/a GDF SUEZ Energy Resources NA, Inc.)

Address: 1990 Post Oak Blvd., Suite 1900

City: Houston

State: TX

Zip: 77056

Telephone: (713) 636-0000

Fax: (713) 636-1601

If you intend to market your services under a DBA list name(s) here:
(Copy of your certificate of assumed name is required)

Not Applicable

Do you currently have any energy affiliates (including subsidiaries) located or
operating within New York State?

Yes ☒ No ☐

If yes, provide the contact information for any entity with an ownership interest of 10
percent or more in the company listed above:

Business Name: ENGIE North America, Inc. (f/k/a GDF SUEZ Energy North America Inc.)

Contact Name:

Address: 1990 Post Oak Blvd., Suite 1900

City: Houston

State: TX

Zip: 77056

Telephone: (7113) 636-0000

Fax: (713) 636-1601

Email Address: sales@na.engie.com

During the previous 36 months, have any criminal or regulatory sanctions been imposed for any senior officer of the ESCO applicant, its subsidiaries or its energy affiliates listed above?

Yes ☐ No ☒

If yes, provide the following information:

Name:

Title:

Name:

Title:

2. Contact Information

Executive Contact

Name: Sayun Sukduang, President & CEO

Address: 1990 Post Oak Blvd., Suite 1900

City: Houston State: TX Zip: 77056

Telephone: (713) 636-000 Fax: (713) 636-1601

Email Address: sayun.sukduang@na.engie.com

Regulatory Contact

Name: T. Ray Cunningham, Vice President & Assistant General Counsel

Address: 1990 Post Oak Blvd., Suite 1900

City: Houston State: TX Zip: 77056

Telephone: (713) 636-1980 Fax: (713) 636-1601

Email Address: ray.cunningham@na.engie.com

Marketing Contact

Name: J.D. Burrows, Vice President, Marketing

Address: 1990 Post Oak Blvd., Suite 1900

City: Houston

State: TX Zip: 77056

Telephone: (713) 636-1390

Fax: (713) 636-1601

Email Address: jd.burrows@na.engie.com

Power to Choose Website Information

Website Address: Current: www.gdfsuezenergyresources.com New: www.engieresources.com

Customer Service Email Address: custserv@na.engie.com

Toll Free Number: (888) 232-6206

Vendor Contact (e.g. EDI Vendor)

Vendor Name: PPL Solutions, Inc. (Billing, Customer Service/Customer Care)

Address: Two North Ninth Street

City: Allentown

State: PA

Zip: 18101

Contact Name: Kevin O'Neill - Manager of Sales & Marketing

Telephone: (610) 774-5509

Fax: (610) 774-5151

Email Address: koneill@pplweb.com

3. Additional Requirements

(Required for New ESCO Applications and Triennial Filings)

- Copy and proof of acceptance of your registration with the NYS Dept of State and a copy of your certificate of assumed name (if applicable); See Exhibit 1 attached hereto.
- Comprehensive copy of your standard sales agreement(s), including presentation of the customer disclosure statement;
- Marketing representative ID badge;
- Marketing standards quality assurance plan;
- Sample forms of notices for assignment, discontinuance and transfer of 5000 or more customers to other providers;
- Sample(s) of your billing format(s);
- Procedures you will use to obtain customer's authorization for historic usage and credit information;
- Copies of information and promotional materials used for mass marketing purposes;
- HEFPA documents, if providing energy supply to residential customers;
- Internal procedures for the prevention of slamming or cramming;
- A list of entities, including contracts and sub-contractors, that will market on behalf of your ESCO;
- Attestation that you will comply with the requirements of the New York State's Environmental Disclosure Program, if you intend to serve electric customers;
- NYS DPS Office of Consumer Services Service Provider Form.
- Letter from a utility that you have successfully completed EDI Phase I Testing.

4. Identify the Types and Locations of Markets

Place an "✓" in the applicable cells of the table to below to 1) designate the individual utility retail access programs in which you participate, or intend to participate, and the customer market(s) in each program you serve, or intend to serve; 2) indicate the commodities you offer, or intend to offer, in each service territory, and 3) indicate the billing options you offer, or intend to offer, in each territory.

Utility	Customer Markets		Commodity		Billing Options		
	Res	Non Res	Nat Gas	Electric	Utility Rate Ready	Utility Bill Ready	Single Retailer
Central Hudson	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	n/a	n/a
Con Edison	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	n/a	n/a
Corning Natural Gas	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	n/a	n/a	n/a	n/a
LIPA	<input type="checkbox"/>	<input type="checkbox"/>	n/a	<input type="checkbox"/>	n/a	n/a	n/a
National Grid (Downstate)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	n/a	n/a	<input type="checkbox"/>	n/a
National Grid (Upstate)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	n/a	n/a
National Fuel Gas	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	n/a	<input type="checkbox"/>	n/a	<input type="checkbox"/>
NYSEG	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	n/a	<input checked="" type="checkbox"/>	n/a
Orange & Rockland	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	n/a	n/a
Rochester Gas & Electric	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	n/a	<input checked="" type="checkbox"/>	n/a
St. Lawrence	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	n/a	n/a	n/a	n/a

The designation "N/A" indicates that either a commodity or billing option is not available in a specific service territory. Note that dual billing capability is required for all ESCOs and utilities.

5. Signature

The person signing this application attests to the following: that she or he is an owner, partner, or officer of the business named on this application, the answers and materials contained in this application package are true and the application package submitted is complete and accurate. An ESCO that knowingly makes false statements in this application package is subject to denial or revocation of eligibility.



Signature:

Print Name: T. Ray Cunningham

Title: VP & Assistant General Counsel

Date:

Company Name:



**ENGIE Resources Inc. (f/k/a GDF SUEZ Energy Resources NA, Inc.) – Matter No. 14-02554
UPDATE TO RETAIL ACCESS APPLICATION FORM – NOTICE OF COMPANY NAME CHANGE**

Attachment 1

BUSINESS NAME

- 1) DE Certificate of Amendment dated 05/05/2016; and**
- 2) State of NY Department of State Certificate of Amendment dated 05/10/2016.**

Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "GDF SUEZ ENERGY RESOURCES NA, INC.", CHANGING ITS NAME FROM "GDF SUEZ ENERGY RESOURCES NA, INC." TO "ENGIE RESOURCES INC.", FILED IN THIS OFFICE ON THE FOURTH DAY OF MAY, A.D. 2016, AT 5:52 O'CLOCK P.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE KENT COUNTY RECORDER OF DEEDS.



3402518 8100
SR# 20162841936

You may verify this certificate online at corp.delaware.gov/authver.shtml

A handwritten signature in black ink, appearing to read "JB", is written over a horizontal line. Below the line, the text "Jeffrey W. Bullock Secretary of State" is printed.

Authentication: 202264963
Date: 05-05-16

**STATE OF DELAWARE
CERTIFICATE OF AMENDMENT
OF CERTIFICATE OF INCORPORATION**

The corporation organized and existing under and by virtue of the General Corporation Law of the State of Delaware does hereby certify:

FIRST: That at a meeting of the Board of Directors of
GDF SUEZ Energy Resources NA, Inc.

resolutions were duly adopted setting forth a proposed amendment of the Certificate of Incorporation of said corporation, declaring said amendment to be advisable and calling a meeting of the stockholders of said corporation for consideration thereof. The resolution setting forth the proposed amendment is as follows:

RESOLVED, that the Certificate of Incorporation of this corporation be amended by changing the Article thereof numbered "One" so that, as amended, said Article shall be and read as follows:

The name of the corporation is ENGIE Resources Inc.

SECOND: That thereafter, pursuant to resolution of its Board of Directors, a special meeting of the stockholders of said corporation was duly called and held upon notice in accordance with Section 222 of the General Corporation Law of the State of Delaware at which meeting the necessary number of shares as required by statute were voted in favor of the amendment.

THIRD: That said amendment was duly adopted in accordance with the provisions of Section 242 of the General Corporation Law of the State of Delaware.

IN WITNESS WHEREOF, said corporation has caused this certificate to be signed this 4th day of May, 2016.

By: Rachel W. Kilpatrick
Authorized Officer
Title: Vice President

Name: Rachel W. Kilpatrick
Print or Type

Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THAT THE SAID "GDF SUEZ ENERGY RESOURCES NA, INC.", FILED A CERTIFICATE OF AMENDMENT, CHANGING ITS NAME TO "ENGIE RESOURCES INC." ON THE FOURTH DAY OF MAY, A.D. 2016, AT 5:52 O'CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE AFORESAID CORPORATION IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE NOT HAVING BEEN CANCELLED OR DISSOLVED SO FAR AS THE RECORDS OF THIS OFFICE SHOW AND IS DULY AUTHORIZED TO TRANSACT BUSINESS.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "ENGIE RESOURCES INC." WAS INCORPORATED ON THE TWELFTH DAY OF JUNE, A.D. 2001.



3402518 8320
SR# 20162865463

You may verify this certificate online at corp.delaware.gov/authver.shtml

A handwritten signature in black ink, appearing to read "JBullock", is written over a horizontal line. Below the line, the text "Jeffrey W. Bullock, Secretary of State" is printed in a small font.

Authentication: 202266202
Date: 05-05-16

Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "ENGIE RESOURCES INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE FIFTH DAY OF MAY, A.D. 2016.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE BEEN FILED TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "ENGIE RESOURCES INC." WAS INCORPORATED ON THE TWELFTH DAY OF JUNE, A.D. 2001.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.



3402518 8300

SR# 20162865420

You may verify this certificate online at corp.delaware.gov/authver.shtml

A handwritten signature in black ink, appearing to read "JBullock", is written over a horizontal line. Below the line, the text "Jeffrey W. Bullock Secretary of State" is printed.

Authentication: 202266187

Date: 05-05-16

N. Y. S. DEPARTMENT OF STATE
DIVISION OF CORPORATIONS AND STATE RECORDS

ALBANY, NY 12231-0001

FILING RECEIPT

=====

ENTITY NAME: ENGIE RESOURCES INC.

DOCUMENT TYPE: AMENDMENT (FOR. BUS)
NAME

COUNTY: NEWY

=====

FILED:05/10/2016 DURATION:***** CASH#:160510000386 FILM #:160510000362

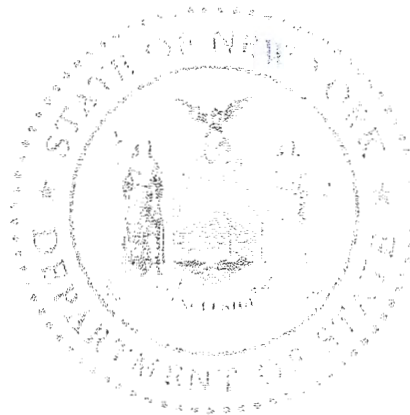
FILER:

CAPITOL SERVICES INC
1218 CENTRAL AVENUE SUITE 100

ALBANY, NY 12205

ADDRESS FOR PROCESS:

REGISTERED AGENT:



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SERVICE COMPANY: CAPITOL SERVICES, INC. - 01

SERVICE CODE: 01

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FEES	95.00

FILING	60.00
TAX	0.00
CERT	0.00
COPIES	10.00
HANDLING	25.00

PAYMENTS	95.00

CASH	0.00
CHECK	0.00
CHARGE	0.00
DRAWDOWN	95.00
OPAL	0.00
REFUND	0.00

=====

DOS-1025 (04/2007)

STATE OF NEW YORK

DEPARTMENT OF STATE

I hereby certify that the annexed copy has been compared with the original document in the custody of the Secretary of State and that the same is a true copy of said original.



WITNESS my hand and official seal of the
Department of State, at the City of Albany,
on May 11, 2016.

Anthony Giardina

Anthony Giardina
Executive Deputy Secretary of State

DRAWDOWN
ACCT. # 01

160510000362

New York State Department of State
Division of Corporations, State Records and Uniform Commercial Code
One Commerce Plaza, 99 Washington Avenue
Albany, NY 12231
www.dos.ny.gov

CERTIFICATE OF AMENDMENT
OF

GDF SUEZ Energy Resources NA, Inc.

(Insert Name of Foreign Corporation)

Under Section 1309 of the Business Corporation Law

FIRST: The name of the corporation as it appears on the index of names in the Department of State is: GDF SUEZ Energy Resources NA, Inc.

(Complete this paragraph only if the corporation has agreed to use a fictitious name in New York State.) The fictitious name the corporation has agreed to use in New York State is:

SECOND: The jurisdiction of incorporation of the corporation is:
Delaware

THIRD: The date on which the corporation was authorized to do business in New York State is:
August 28, 2001

FOURTH: The Application for Authority is amended as follows:

(If the true name of the foreign corporation has been changed, set forth a statement that the change of name has been effected under the laws of the jurisdiction of incorporation and the date the change was so effected.)

Paragraph 1 of the Application for Authority is amended to read in its entirety as follows:

The name of the corporation is: ENGIE Resources Inc.

The change of name has been effected under the laws of the jurisdiction of its formation on May 5, 2016.

CSI-01

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Paragraph _____ of the Application for Authority is amended to read in its entirety as follows:

Rachel W Kilpatrick
(Signature)

Rachel W. Kilpatrick
(Name of Signer)

Vice President
(Title of Signer)

CERTIFICATE OF AMENDMENT
OF
GDF SUEZ Energy Resources NA, Inc.

(Insert Name of Foreign Corporation)

Under Section 1309 of the Business Corporation Law

Filer's Name: Capitol Services, Inc.

Address: 1218 Central Ave, Ste 100

City, State and Zip Code: Albany, NY 12205

NOTE: This form was prepared by the New York State Department of State. It does not contain all optional provisions under the law. You are not required to use this form. You may draft your own form or use forms available at legal stationery stores. The Department of State recommends that all documents be prepared under the guidance of an attorney. The certificate must be submitted with a \$60 filing fee.

For Office Use Only

CSI-01

DRAWDOWN ACCOUNT

RECEIVED

2016 MAY 10 AM 11:41

STATE OF NEW YORK
DEPARTMENT OF STATE

FILED MAY 10 2016

TAX \$ _____

BY: [Signature]

386



New York State Public Service Commission
Service Provider Contact Information

Completed forms should be submitted by fax to 518-472-8501

Date 06/10/2016

Company Name ENGIE Resources Inc. (f/k/a GDF SUEZ Energy Resources NA, Inc.)

President Sayun Sukduang

Mailing Address 1990 Post Oak Blvd., Suite 1900
Houston, TX 77056

E-mail Address sayun.sukduang@na.engie.com

Phone Number (713) 636-0000 **Fax Number** (713) 636-1601

Vice President / Director of Customer Service Joon Chun, VP - Operations

Mailing Address 1990 Post Oak Blvd., Suite 1900
Houston, TX 77056

E-mail Address joon.chun@na.engie.com

Phone Number (713) 636-1269 **Fax Number** (713) 636-1601

Primary Regulatory Complaint Manager T. Ray Cunningham, VP & Assistant General Counsel

Mailing Address 1990 Post Oak Blvd., Suite 1900
Houston, TX 77056

E-mail Address ray.cunningham@na.engie.com

Phone Number (713) 636-1980 **Fax Number** (713) 636-1601

Secondary Regulatory Complaint Manager Randy Johnson, Counsel

Mailing Address 1990 Post Oak Blvd., Suite 1900
Houston, TX 77056

E-mail Address randy.johnson@na.engie.com

Phone Number (713) 636-1607 **Fax Number** (713) 636-1601

The e-mail Address or Fax Number to be used by PSC when sending consumer complaints is:

randy.johnson@na.engie.com

Fax: (713) 636-1601

ESCO Update Form for the NYS Public Service Commission's DMM Database

<u>ESCO Topics</u>	<u>ESCO Information</u>
ESCO Code (if you know it)	
Company Name	ENGIE Resources Inc. (f/k/a GDF SUEZ Energy Resources NA, Inc.)
ESCO Name (if different from company name)	N/A
Address/City/State/Zip	1990 Post Oak Blvd, Suite 1900, Houston, TX 77056
General Phone Number	713-636-0000
General Fax Number	713-636-1601
General Email	sales@na.engie.com
Toll-Free Number	1-877-321-4GDF (4433)
Website	Current: www.gdfsuezenergyresources.com New: www.engieresources.com
Marketing Contact Name	Cindy Wishert
Marketing Contact Title	Manager, Marketing Development
Marketing Contact Address	1990 Post Oak Blvd, Suite 1900, Houston, TX 77056
Marketing Contact Phone Number	713-636-1357
Marketing Contact Fax Number	713-636-1601
Marketing Contact Email Address	Cindy.wishert@na.engie.com
Regulatory Contact Name	Ray Cunningham
Regulatory Contact Title	Vice President & Assistant General Counsel
Regulatory Contact Address	1990 Post Oak Blvd, Suite 1900, Houston, TX 77056
Regulatory Contact Phone Number	713-636-1980
Regulatory Contact Fax Number	713-636-1601

ESCO Update Form for the NYS Public Service Commission's DMM Database

<u>ESCO Topics</u>	<u>ESCO Information</u>
Regulatory Contact Email Address	Ray.cunningham@na.engie.com
Executive Contact Name	T. Ray Cunningham
Executive Contact Title	Vice President & Assistant General Counsel
Executive Contact Address	1990 Post Oak Blvd, Suite 1900, Houston, TX 77056
Executive Contact Phone Number	713-636-1980
Executive Contact Fax Number	713-636-1601
Executive Contact Email Address	Ray.cunningham@na.engie.com

ESCO Update Form for the NYS Public Service Commission's DMM Database

In the table below, please check the utility areas where you are currently serving customers.

Utility	Service Class			Commodity		
	R ¹	NR ²	Both	Gas	Electric	Both
Central Hudson Gas & Electric Corp.		X			X	
Consolidated Edison Company of NY, Inc.		X			X	
Corning Natural Gas Corp.						
KeySpan Gas East Corp. (dba Brooklyn Union of L.I.)						
Long Island Power Authority						
National Fuel Gas Distribution Corp.		X			X	
New York State Electric & Gas Corp.		X			X	
Niagara Mohawk Power Corp.						
Orange & Rockland Utilities, Inc.		X			X	
Rochester Gas & Electric Corp.		X			X	
St. Lawrence Gas Company						
The Brooklyn Union Gas Company						

¹ R = Residential

² NR = Non-Residential