

## Energy Broker and Energy Consultant Registration

Dear Secretary,

This filing serves as Save On Energy's Energy Broker and Energy Consultant Registration for New York State Department of Public Services.

Case Number: Case 23-M-0106.

Contact Information:

- Save On Energy, LLC
- 1423 Red Ventures Dr, Fort Mill, SC, 29707
- [SOElicensing@redventures.com](mailto:SOElicensing@redventures.com)
- 704-971-2300



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ENERGY BROKER AND ENERGY CONSULTANT  
REGISTRATION FORM

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Pursuant to the Public Service Commission's Order Adopting Energy Broker and Energy Consultant Registration Requirements in Case 23-M-0106 and the Uniform Business Practices edits adopted in that order, Energy Brokers<sup>1</sup> and Consultants<sup>2</sup> are required to submit this form. Direct Employees of an Energy Broker or Energy Consultant are not required to submit this form as long as a registered Energy Broker or Energy Consultant is responsible for ensuring compliance.

**FILL OUT AND SUBMIT THIS FORM IN MATTER 23-01227  
IN THE MATTER OF REGISTRATION FOR ENERGY  
BROKERS AND CONSULTANTS<sup>3</sup>**

(Attach additional sheets as necessary)

**1. Business Information**

Business Name: Save On Energy, LLC  
Address: 1423 Red Ventures Dr  
City: Fort Mill State: SC Zip 29707  
Telephone: 704-971-2300 Website: www.saveonenergy.com

<sup>1</sup> Energy Broker is defined within the UBP as "A non-utility entity that performs energy management or procurement functions on behalf of customers or ESCOs, and (1) that assumes the contractual and legal responsibility for the sale of electric supply service, transmission or other services to end-use retail customers, but does not take title to any of the electricity sold, and does not make retail energy sales to customers, or (2) that assumes the contractual and legal obligation to provide for the sale of natural gas supply service, transportation or other services to end-use retail customers, but does not take title to any of the natural gas sold, and does not make retail energy sales to customers."

<sup>2</sup> Energy Consultant is defined within the UBP as "any person, firm, association or corporation who acts as broker in soliciting, negotiating or advising any electric or natural gas contract, or acts as an agent in accepting any electric or natural gas contract on behalf of an ESCO."

<sup>3</sup> Instructions on registering and filing are available at <https://dps.ny.gov/dmm-help-electronic-filing-registration-instructions>

If you intend to market your services under a DBA, provide a copy of your certificate of assumed name and list the name(s) here: N/A

Type of Provider:

Energy Broker  Energy Consultant  Both

Nature of business being conducted:

Type of customers: (Check all that apply)

Residential  Large Commercial   
Industrial  Small Commercial

Provide the contact information for any affiliates conducting energy-related business (including subsidiaries and parent corporations) within New York State or elsewhere.

Business Name: Save On Energy, LLC  
Contact Name: Jane Partee  
Address: 1423 Red Ventures Dr  
City: Fort Mill State: SC Zip: 29707  
Telephone: 704-971-2300 Fax: N/A  
Email Address: soelicensing@redventures.com

Provide the contact information for any parent company or other corporate entity with an ownership interest of 10 percent or more of the registrant:

Business Name: New Imagitas, Inc.  
Contact Name: Jane Partee  
Address: 1423 Red Ventures Dr  
City: Fort Mill State: SC Zip: 29707  
Telephone: 704-971-2300 Fax: \_\_\_\_\_  
Email Address: soelicensing@redventures.com



List and describe of any security breaches associated with customer proprietary information in the last 36 months that involved the registrant, including a thorough description of the actions taken in response to any such instances:

N/A

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List all states in which the registrant has received authority to sell/broker services within the past 36 months. Indicate whether the registrant is actively providing services or not:

State: _____	Status: <u>List found</u>	Date Issued: _____
State: _____	Status: <u>on additional</u>	Date Issued: _____
State: _____	Status: <u>page attached</u>	Date Issued: _____
State: _____	Status: <u>below</u>	Date Issued: _____
State: _____	Status: _____	Date Issued: _____
State: _____	Status: _____	Date Issued: _____

List all states in which registrant or its affiliates has been denied approval and/or had authority revoked:

Illinois (Choose Energy)

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List all municipalities in which the registrant will be performing door-to-door marketing activities in New York State Further provide an attachment including all municipality permits obtained by the registrant.

N/A

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## 2. Contact Information

The contacts listed below must be direct contacts for individuals, not for a shared mailbox or general phone number.

Executive Contact (Owner, CEO, or Executive responsible for New York service)

Name and Title: Jane Partee, SVP

Address: 1423 Red Ventures Dr

City: Fort Mill State: SC Zip: 29707

Telephone: 803-956-9835 Fax: N/A

Email Address: jpartee@redventures.com (We prefer to use SOElicensing@redventures.com)

Regulatory Contact (Individual(s) Responsible for Ensuring Compliance with Regulatory Requirements)

Name and Title: Tyler Tobin, Senior Compliance Associate

Address: 1423 Red Ventures Dr

City: Fort Mill State: SC Zip: 29707

Telephone: 803-573-0471 Fax: N/A

Email Address: ttobin@redventures.com (We prefer to use SOElicensing@redventures.com)

Marketing Contact (Individual(s) Responsible for Responding to Consumer Inquiries and Complaints)

Name and Title: Tyler Tobin, Senior Compliance Associate

Address: 1423 Red Ventures Dr

City: Fort Mill State: SC Zip: 29707

Telephone: 803-573-0471 Fax: N/A

Email Address: ttobin@redventures.com (We prefer to use SOElicensing@redventures.com)

### 3. **Additional Requirements** (Required for New Registrants)

- A sample standard Agreement between the Energy Broker or Energy Consultant and the customer;
- Sample forms of the notices sent upon assignment of sales agreements, discontinuance of service, or transfer of customers to other providers;
- Procedures used to obtain customer authorization for access to a customers' historic usage or credit information;
- Information on the methods by which the applicant intends to market energy products and services; \_\_\_\_\_
- Sample copies of informational and promotional materials that the applicant uses for mass marketing purposes;
- Sample disclosures of compensation;
- Proof of registration with the New York State Department of State or proof of an assumed name certificate (DBA) filed with the county clerk;
- Proof of registration to act as a marketer in any municipality where such registration is required;
- An annual \$500 registration fee;
- Proof of an irrevocable standby letter of credit held with a reputable financial institution that identifies that Department as the beneficiary in the amount of:
  - \$100,000 for registering Energy Brokers; and
  - \$50,000 for registering Energy Consultants;
- A completed Service Provider Contact Form, which can be found here, identifying the Energy Broker or Energy Consultant's employee(s) responsible for resolving consumer complaints received by the Department and referred to the Energy Broker or Energy Consultant

### 4. **Signature**

The person signing this application attests to the following: that she or he is an owner, partner, or officer of the business named on this registration package, the answers and materials contained in this registration package are true and the registration package submitted is complete and accurate. An Energy Broker or Consultant that knowingly makes false statements in this registration package is subject to denial or revocation of eligibility.

Signature: *Andrew Serafin*  
Andrew Serafin (Oct 25, 2024 15:23 EDT) Print Name: Andrew Serafin  
Title: Vice President Date: 10/25/2024  
Company Name: Save On Energy, LLC



## Additional Requirements

### **Item 1**

Save On Energy, LLC does not own the contract between the customer and the electric REP.

### **Item 2**

Save On Energy, LLC does not send notices of assignment of sales agreements, discontinuance of service, or transfer of customers to other providers.

### **Item 3**

Save On Energy, LLC will not own or control the sales/enrollment process in the state of New York.

### **Item 4**

Save On Energy, LLC markets energy products and services using the following; Google/Internet, Email, and referrals/existing relationships (Affiliates)

### **Item 5**

Save On Energy, LLC does not run paid search, or any other mass marketing campaigns in the State of New York.

### **Item 6**

We are an independent publisher. Our reporters create honest, accurate, and objective content to help you make decisions. Our advertisers do not direct our editorial content. Any opinions, analyses, reviews, or recommendations expressed in editorial content are those of the author's alone, and have not been reviewed, approved, or otherwise endorsed by the advertiser.

To support our work, we are paid for providing advertising services. For example, some advertisers pay us to display ads, others pay us when you click on certain links posted on our site, others pay us when you submit your information to request a quote or other offer details. We don't charge you for our services. The compensation we receive and other factors, such as your location, may impact what ads and links appears on our site, and how, where, and in what order ads and links appear.

While we strive to provide a wide range of offers, our site does not include information about every product or service that may be available to you. All information is presented without any warranty or guarantee to you, and all offers may be subject to additional terms and conditions of the advertiser.

## Additional Requirements

### Item 7

Entity Name: Save On Energy, LLC  
CSC Entity ID: 3499739

Jurisdiction of Formation: Texas  
Formation Date: 10/23/2012

[Return to Entity Grid](#)

- Entity Details
  - Summary
  - Jurisdictions
  - Name History
  - DBA Names
- Audit
- Contacts
  - CSC Contacts

[Return to Jurisdiction Grid](#)

#### Statutory Representation

**Registered With:** Department Of State, Division Of Corporations, State Records And Uniform Commercial Code

<p><b>Authorization Date:</b> 07/15/2013</p> <p><b>Jurisdiction ID:</b> 4430779</p> <p><b>Registered Agent:</b> Corporation Service Company 80 State Street, Albany, NY, 12207-2543</p> <p><b>Jurisdiction Status:</b> Active</p> <p><b>Corporate Database Status:</b> Active</p> <p><b>Jurisdiction Description:</b></p> <p><b>Status Filed Date:</b></p>	<p><b>CSC Service:</b> Statutory Representation</p> <p><b>CSC Service Status:</b> Active</p> <p><b>Agent Appointed On:</b> 09/15/2015</p> <p><b>As of Date:</b> 09/15/2015</p> <p><b>Thru Date:</b> 10/03/2024</p>
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Note: The Corporate Database Status is obtained from the Secretary of State or equivalent corporation department (US only). Jurisdiction Status can be maintained separate from the status provided by the state corporation department. CSC will display the status with the most recent date on the Jurisdiction and Corporate Tracker grids.

### Item 8

Save On Energy, LLC does not conduct D2D marketing.

#### List of all states authorized to sell/broker services within the past 36 months:

- State: DE (Electric) Status: Active Date Issued: 12/01/2016
- State: IL (Electric) Status: Active Date Issued: 06/18/2013
- State: MD (Electric & Gas) Status: Active Date Issued: 08/06/2014
- State: MA (Electric) Status: Active Date Issued: 08/21/2015
- State: NJ (Electric & Gas) Status: Active Date Issued: 10/16/2013
- State: OH (Electric) Status: Active Date Issued: 10/28/2013
- State: OH (Gas) Status: Active Date Issued: 06/30/2015
- State: PA (Gas) Status: Active Date Issued: 08/29/2013
- State: TX (Electric) Status: Active Date Issued: 08/29/2019



New York State Public Service Commission  
Office of Consumer Services  
Service Provider Contact Information

Completed forms should be submitted to OCS.OPERATIONS@DPS.NY.GOV

Date 10/25/2024

Company Name Save On Energy, LLC

Service Type (Check all that apply): Gas  Elec  ESCO  Cable TV   
Water  ILEC  CLEC  Toll Only  Other \_\_\_\_\_

**President** Jane Partee  
Mailing Address 1423 Red Ventures Dr  
Fort Mill, SC, 29707  
Email Address soelicensing@redventures.com  
Phone Number 704-971-2300 Fax Number N/A

**Vice President / Director of Customer Service** Andrew Serafin  
Mailing Address 1423 Red Ventures Dr  
Fort Mill, SC, 29707  
Email Address soelicensing@redventures.com  
Phone Number 704-971-2300 Fax Number N/A

**Primary Regulatory Complaint Manager** Tyler Tobin  
Mailing Address 1423 Red Ventures Dr  
Fort Mill, SC, 29707  
Email Address jpatee@redventures.com (We prefer to use SOElicensing@redventures.com)  
Phone Number 704-971-2300 Fax Number N/A

**Secondary Regulatory Complaint Manager** Krissy Barber  
Mailing Address 1423 Red Ventures Dr  
Fort Mill, SC, 29707  
Email Address ttobin@redventures.com (We prefer to use SOElicensing@redventures.com)  
Phone Number 704-971-2300 Fax Number N/A

The PSC electronically transmits consumer complaints to service providers. You must identify a fax number and/or an email address box that is shared by a group of people. (NOTE: WE WILL NOT SEND COMPLAINTS TO PERSONAL EMAIL ADDRESSES. A SHARED EMAIL ADDRESS MUST BE IDENTIFIED OR THE TRANSMISSION WILL DEFAULT TO THE FAX NUMBER) Please identify the address/es to which we should transmit our complaints:

Email: soelicensing@redventures.com Fax: N/A