



**Lori A. Cole**  
Manager – Regulatory & Tariffs

December 29, 2016

**VIA ELECTRONIC SERVICE**

Honorable Kathleen H. Burgess  
Secretary  
State of New York  
Public Service Commission  
Three Empire State Plaza  
Albany, NY 12223

Re: Case 12-G-0499 – Compliance Filing

Dear Secretary Burgess:

New York State Electric & Gas Corporation (“NYSEG”) hereby submits this filing in compliance with Ordering Clause No. 16 of the New York State Public Service Commission’s Order Amending A Certificate of Public Convenience and Necessity and Requiring System Improvements, issued and effective July 29, 2014 (the “Order”).

Ordering Clause No. 16 directs NYSEG to provide all necessary permits and file with the Secretary copies of the permits obtained. Attachment #1 shows a listing of the permits and the authority having jurisdiction and Attachment #2 all of the permits obtained; which the Company inadvertently did not submit these permits in 2015.

Any questions related to this project should be directed to Alfonso Marcello at (607) 762-4645.

Respectfully submitted,

Lori A Cole  
Manager – Regulatory & Tariffs  
Rates and Regulatory Economics

cc: Claude Semexant, PSC Staff (via email) Claude.Semexant@dps.ny.gov  
cc: Aric Rider, PSC Staff (via email) Aric.Rider@dps.ny.gov  
cc: Jim Austin, PSC Staff (via email) James.Austin@dps.ny.gov  
cc: Dan Wheeler PSC Staff (via email) Daniel.Wheeler@dps.ny.gov

| Authority Having Jurisdiction  | Type of Permit                                       | Permit Number                | Permit / Approval   | Date of Permit | Anticipated Date to Obtain Permit |
|--|--|------------------------------|---|----------------|-----------------------------------|
| Town of Plattsburgh Highway Department   | Highway Work Permit                                  | 15-006                       | Cumberland Head Road South (Job 1)  | 3/5/2015       | -                                 |
| Town of Plattsburgh Highway Department   | Highway Work Permit                                  | 15-005                       | Cumberland Head Road North (Job 2)  | 3/5/2015       | -                                 |
| Town of Plattsburgh Highway Department   | Highway Work Permit                                  | 15-002                       | Allen Road  | 3/5/2015       | -                                 |
| Town of Plattsburgh Highway Department   | Highway Work Permit                                  | 15-004                       | Barton / Bay / Goose / Colligan   | 3/5/2015       | -                                 |
| Town of Plattsburgh Highway Department   | Highway Work Permit                                  | 15-019                       | Blair / Lakeview / Latin / Spearman / Hardy / Quarterhorse  | 4/17/2015      | -                                 |
| Town of Plattsburgh Highway Department   | Highway Work Permit                                  | 15-010                       | Brandell / Fjord  | 3/10/2015      | -                                 |
| Town of Plattsburgh Highway Department   | Highway Work Permit                                  | 15-009                       | Lake Breeze / Gravelly Pt Drive   | 3/10/2015      | -                                 |
| Town of Plattsburgh Highway Department   | Highway Work Permit                                  | 15-013                       | Fiske / Firehouse Lane  | 3/10/2015      | -                                 |
| Town of Plattsburgh Highway Department   | Highway Work Permit                                  | 15-012                       | Pristine Dr   | 3/10/2015      | -                                 |
| Town of Plattsburgh Highway Department   | Highway Work Permit                                  | 15-011                       | Rocky Pt / Kensington / Jefferson / Adams   | 3/10/2015      | -                                 |
| Town of Plattsburgh Highway Department   | Highway Work Permit                                  | 15-003                       | Smith Rd  | 3/5/2015       | -                                 |
| Town of Plattsburgh Highway Department   | Highway Work Permit                                  | 15-018                       | Algonquin Park / Bristol / Quinn / Mansfield  | 4/6/2015       | -                                 |
| Town of Plattsburgh Highway Department   | Highway Work Permit                                  | 15-014                       | Tioga / Chenango / Siwanoy [Champlain Park]   | 3/10/2015      | -                                 |
| Town of Plattsburgh Highway Department   | Highway Work Permit                                  | 15-015                       | Seneca / Mohican / Cayuga [Champlain Park]  | 3/11/2015      | -                                 |
| Town of Plattsburgh Highway Department   | Highway Work Permit                                  | 15-016                       | Seneca / Genesee / Valhalla / Oswego / Mohawk [Champlain Park]  | 3/12/2015      | -                                 |
| Clinton County Highway Department  | Utility Work Permit                                  | 15-04-USP                    | Cumberland Head Road South (Job 1)  | 4/16/2015      | -                                 |
| Clinton County Highway Department  | Utility Work Permit                                  | 15-05-USP                    | Cumberland Head Road North (Job 2)  | 4/16/2015      | -                                 |
| New York State Department of Transportation (NYSDOT)   | Highway Work Permit                                  | 20150750728                  | Highway Work Permit   | 6/24/2015      | -                                 |
| United States Army Corps of Engineers  | Nationwide Permit                                    | Appl. No. NAN-2015-00184-UCO | Joint Permit Application, Nationwide Permit No. 12 (NWP)  | 4/7/2015       | -                                 |
| New York State Department of Environmental Conservation (DEC)  | Nationwide Permit No. 12 (NWP)                       | 5-0942-00493/00001           | Article 24 Freshwater Wetlands Permit   | 5/1/2015       | -                                 |
| New York State Department of Environmental Conservation (DEC)  | Wetlands   | 5-0942-00493/00002           | Section 401 Water Quality Certification   | 5/1/2015       | -                                 |
| New York State Department of Environmental Conservation (DEC)  | SPDES  | GP-0-15-002                  | NOI (Notice of Intent) for coverage under SPDES General Permit for Storm Water Discharges from construction activity                    | 2/17/2015      | -                                 |
| United States Fish & Wildlife Service  | Pursuant to the Endangered Species Act of 1973       | 2015-TA-0049                 | 2015 - "No effect" and/or no impact determination   | 10/29/2014     | -                                 |
| New York State Department of Environmental Conservation - Natural Heritage Program   | Natural Heritage Program database                    |                              | 2015 Database was reviewed and report provided showing list of rare or state-listed animals, plants and significant natural communities | 10/31/2014     | -                                 |
| New York State Office of Parks, Recreation and Historic Preservation (OPRHP) - Division of Historic Preservation, related to Historic/Cultural resources | In accordance with the NYS Historic Preservation Act | 96PR01054                    | "No impact on cultural resources in or eligible for inclusion in the State & National Register of Historic Places"                      | 12/9/2013      | -                                 |
| City of Plattsburgh  | Street Access Permit                                 |                              | Street Access Permit  |                | 8/21/2015                         |

Allen Rd. Plattsburgh

Gas main ext.

801 000 33639

TOWN OF PLATTSBURGH, NEW YORK  
HIGHWAY DEPARTMENTHIGHWAY WORK PERMIT

HIGHWAY WORK PERMIT FEE - \$25.00

DATE PAID Billed to acctPERMIT NO. 15-003DATE ISSUED 3/5/15

Application is hereby made by the undersigned whose principal place of business is:

New York State Electric & Gas Corporation4125 State Route 22Plattsburgh, New York 12901518-566-9846

(include address/phone number)

to the Town of Plattsburgh Highway Department for permission under the Highway Law to perform certain work within the highway limits described in detail as follows:

Install ~ 3929' of 4" PE along Allen rd.

on or across a Town Road or within a Town right-of-way situated in the Town of Plattsburgh, Clinton County, New York, known as \_\_\_\_\_ in accordance with map and plan hereto attached and forming a part hereof. The above named applicant hereby certifies that he/she has secured compensation for the benefit of and will keep insured throughout the performance of above described work and such employees as are required to be insured by the provisions of the law and acts amendatory thereof, know as Workers' Compensation Law and New York State Disability.

This Permit is not valid unless signed by the Town Highway Superintendent and shall expire on date indicated.

Dated: 3-6-15Dated: 3/4/15TOWN OF PLATTSBURGH, NEW YORK  
HIGHWAY DEPARTMENTBy: [Signature]  
Highway SuperintendentBy: [Signature]  
Applicant

THIS PERMIT IS VALID THROUGH \_\_\_\_\_

AMT. OF SECURITY: \$ \_\_\_\_\_

TYPE: \_\_\_\_\_

Business Phone: \_\_\_\_\_

In Case of Emergency: \_\_\_\_\_

3/10/15  
RECD

Smith Drive Plattsburgh  
Gas main ext.

TOWN OF PLATTSBURGH, NEW YORK  
HIGHWAY DEPARTMENT

801000033554

HIGHWAY WORK PERMIT

HIGHWAY WORK PERMIT FEE - \$ 25.00

DATE PAID Billed to Acct

PERMIT NO. 15003

DATE ISSUED 3/5/15

Application is hereby made by the undersigned whose principal place of business is:

New York State Electric & Gas Corporation

4125 State Route 22

Plattsburgh, New York 12901

518-566-9848

(include address/phone number)

to the Town of Plattsburgh Highway Department for permission under the Highway Law to perform certain work within the highway limits described in detail as follows:

Install 1475' of 2" PE gas main along Smith Drive.

No open cuts anticipated.

on or across a Town Road or within a Town right-of-way situated in the Town of Plattsburgh, Clinton County, New York, known as \_\_\_\_\_ in accordance with map and plan hereto attached and forming a part hereof. The above named applicant hereby certifies that he/she has secured compensation for the benefit of and will keep insured throughout the performance of above described work and such employees as are required to be insured by the provisions of the law and acts amendatory thereof, know as Workers' Compensation Law and New York State Disability.

This Permit is not valid unless signed by the Town Highway Superintendent and shall expire on date indicated.

Dated: 3-6-15

Dated: 3/2/15

TOWN OF PLATTSBURGH, NEW YORK  
HIGHWAY DEPARTMENT

By: [Signature]  
Highway Superintendent

By: [Signature]  
Applicant

THIS PERMIT IS VALID THROUGH \_\_\_\_\_

AMT. OF SECURITY: \$ \_\_\_\_\_

TYPE: \_\_\_\_\_

Business Phone: \_\_\_\_\_

In Case of Emergency: \_\_\_\_\_

Barton Bay Goose

Colligan

Gas main ext.

**TOWN OF PLATTSBURGH, NEW YORK  
HIGHWAY DEPARTMENT**

801000033681

**HIGHWAY WORK PERMIT**

HIGHWAY WORK PERMIT FEE - \$ 25.00

PERMIT NO. 15004

DATE PAID Billed to Acc

DATE ISSUED 3/5/15

Application is hereby made by the undersigned whose principal place of business is:

New York State Electric & Gas Corporation

4125 State Route 22

Plattsburgh, New York 12901

518-568-9848

(include address/phone number)

to the Town of Plattsburgh Highway Department for permission under the Highway Law to perform certain work within the highway limits described in detail as follows:

Install  $\approx$  6580' of 2" PE gas main along Barton rd, Bay rd,  
Goose Ln, and Colligan Point.

on or across a Town Road or within a Town right-of-way situated in the Town of Plattsburgh, Clinton County, New York, known as \_\_\_\_\_  
in accordance with map and plan hereto attached and forming a part hereof. The above named applicant hereby certifies that he/she has secured compensation for the benefit of and will keep insured throughout the performance of above described work and such employees as are required to be insured by the provisions of the law and acts amendatory thereof, know as Workers' Compensation Law and New York State Disability.

This Permit is not valid unless signed by the Town Highway Superintendent and shall expire on date indicated.

Dated: 3-6-15

**TOWN OF PLATTSBURGH, NEW YORK  
HIGHWAY DEPARTMENT**

By: [Signature]  
Highway Superintendent

Dated: 3/3/15

By: [Signature]  
Applicant

THIS PERMIT IS VALID THROUGH \_\_\_\_\_

AMT. OF SECURITY: \$ \_\_\_\_\_

TYPE: \_\_\_\_\_

Business Phone: \_\_\_\_\_

In Case of Emergency: \_\_\_\_\_

3/10/15 REV

Cumberland Head Rd  
Gas main ext. Job 2  
801000032868

TOWN OF PLATTSBURGH, NEW YORK  
HIGHWAY DEPARTMENT

HIGHWAY WORK PERMIT

HIGHWAY WORK PERMIT FEE - \$ 25.00

PERMIT NO. 15-005

DATE PAID Billed to Acct

DATE ISSUED 3/5/15

Application is hereby made by the undersigned whose principal place of business is:

New York State Electric & Gas Corporation

4125 State Route 22

Plattsburgh, New York 12901

518-568-9848

(include address/phone number)

to the Town of Plattsburgh Highway Department for permission under the Highway Law to perform certain work within the highway limits described in detail as follows:

Install Approx 13,900' of 6" 4 inch PE Gas main along Cumberland  
Head rd from the store to ± 500' Past Smith Dr. 3' off edge of Pavement  
on same side of road as water line.

on or across a Town Road or within a Town right-of-way situated in the Town of Plattsburgh, Clinton County, New York, known as \_\_\_\_\_  
in accordance with map and plan hereto attached and forming a part hereof. The above named applicant hereby certifies that he/she has secured compensation for the benefit of and will keep insured throughout the performance of above described work and such employees as are required to be insured by the provisions of the law and acts amendatory thereof, know as Workers' Compensation Law and New York State Disability.

This Permit is not valid unless signed by the Town Highway Superintendent and shall expire on date indicated.

TOWN OF PLATTSBURGH, NEW YORK  
HIGHWAY DEPARTMENT

Dated: 3-6-15

By: [Signature]  
Highway Superintendent

Dated: \_\_\_\_\_

By: [Signature]  
Applicant

THIS PERMIT IS VALID THROUGH \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

AMT. OF SECURITY: \$ \_\_\_\_\_

TYPE: \_\_\_\_\_

Business Phone: \_\_\_\_\_

In Case of Emergency: \_\_\_\_\_  
\_\_\_\_\_

Rec'd  
3/10/15

Cumberland Head Rd South

(Sub 1)

Gas main Ext.

W/O # 801000031708

TOWN OF PLATTSBURGH, NEW YORK  
HIGHWAY DEPARTMENT

HIGHWAY WORK PERMIT

HIGHWAY WORK PERMIT FEE - \$ 25.00

DATE PAID Billed to Acct

PERMIT NO. 15-000

DATE ISSUED 3/5/15

Application is hereby made by the undersigned whose principal place of business is:

New York State Electric & Gas Corporation

4125 State Route 22

Plattsburgh, New York 12901

518-588-0848

(include address/phone number)

to the Town of Plattsburgh Highway Department for permission under the Highway Law to perform certain work within the highway limits described in detail as follows:

Install x 7780' of 6" PE GAS main Along Cumberland Head Road from  
County Rt 314 to ~ 3200' Past Cayuga rd. 3' off Edge of Pavement  
on same side of road as Water. All road restoration will be with "in Kind" Material

on or across a Town Road or within a Town right-of-way situated in the Town of Plattsburgh, Clinton County, New York, known as \_\_\_\_\_ in accordance with map and plan hereto attached and forming a part hereof. The above named applicant hereby certifies that he/she has secured compensation for the benefit of and will keep insured throughout the performance of above described work and such employees as are required to be insured by the provisions of the law and acts amendatory thereof, know as Workers' Compensation Law and New York State Disability.

This Permit is not valid unless signed by the Town Highway Superintendent and shall expire on date indicated.

TOWN OF PLATTSBURGH, NEW YORK  
HIGHWAY DEPARTMENT

Dated: 3-6-15

By: [Signature]  
Highway Superintendent

Dated: 3/28/15

By: [Signature]  
Applicant

THIS PERMIT IS VALID THROUGH \_\_\_\_\_

AMT. OF SECURITY: \$ \_\_\_\_\_

TYPE: \_\_\_\_\_

Business Phone: \_\_\_\_\_

In Case of Emergency: \_\_\_\_\_

Lake Breeze + Gravelly Point  
Plattsburgh  
Gas main ext.  
801000033809

3/12/15  
RCVD

TOWN OF PLATTSBURGH, NEW YORK  
HIGHWAY DEPARTMENT

HIGHWAY WORK PERMIT

HIGHWAY WORK PERMIT FEE - \$ 25.00

PERMIT NO. 15-009

DATE PAID Billed to Acct

DATE ISSUED 3/10/15

Application is hereby made by the undersigned whose principal place of business is:  
New York State Electric & Gas Corporation  
4125 State Route 22  
Plattsburgh, New York 12901 518-566-9848  
(include address/phone number)

to the Town of Plattsburgh Highway Department for permission under the Highway Law to  
perform certain work within the highway limits described in detail as follows:  
Install ≈ 3159' of 2" PE gas main along Lake Breeze  
And Gravelly Point rd.

on or across a Town Road or within a Town right-of-way situated in the Town of Plattsburgh,  
Clinton County, New York, known as \_\_\_\_\_  
in accordance with map and plan hereto attached and forming a part hereof. The above named  
applicant hereby certifies that he/she has secured compensation for the benefit of and will keep  
insured throughout the performance of above described work and such employees as are  
required to be insured by the provisions of the law and acts amendatory thereof, know as  
Workers' Compensation Law and New York State Disability.

This Permit is not valid unless signed by the Town Highway Superintendent and shall  
expire on date indicated.

Dated: \_\_\_\_\_

TOWN OF PLATTSBURGH, NEW YORK  
HIGHWAY DEPARTMENT

By: James Woods  
Highway Superintendent

Dated: 3/12/15

By: [Signature]  
Applicant

THIS PERMIT IS VALID THROUGH \_\_\_\_\_

AMT. OF SECURITY: \$ \_\_\_\_\_

TYPE: \_\_\_\_\_

Business Phone: \_\_\_\_\_

In Case of Emergency: \_\_\_\_\_



3/12/15  
RCUN

Brandell + Fjord Rd  
Plattsburgh  
gas main ext.

TOWN OF PLATTSBURGH, NEW YORK  
HIGHWAY DEPARTMENT

801000033791

HIGHWAY WORK PERMIT

HIGHWAY WORK PERMIT FEE - \$ 25.00

DATE PAID Billed to Acct

PERMIT NO. 15-010

DATE ISSUED 3/10/15

Application is hereby made by the undersigned whose principal place of business is:

New York State Electric & Gas Corporation

4125 State Route 22

Plattsburgh, New York 12901

518-566-9846

(include address/phone number)

to the Town of Plattsburgh Highway Department for permission under the Highway Law to perform certain work within the highway limits described in detail as follows:

Install 4725' of 2" PE Gas main Along Brandell and Fjord.  
rd.

on or across a Town Road or within a Town right-of-way situated in the Town of Plattsburgh, Clinton County, New York, known as \_\_\_\_\_ in accordance with map and plan hereto attached and forming a part hereof. The above named applicant hereby certifies that he/she has secured compensation for the benefit of and will keep insured throughout the performance of above described work and such employees as are required to be insured by the provisions of the law and acts amendatory thereof, know as Workers' Compensation Law and New York State Disability.

This Permit is not valid unless signed by the Town Highway Superintendent and shall expire on date indicated.

TOWN OF PLATTSBURGH, NEW YORK  
HIGHWAY DEPARTMENT

Dated: \_\_\_\_\_

By: James Woods  
Highway Superintendent

Dated: 3/3/15

By: L. Li  
Applicant

THIS PERMIT IS VALID THROUGH \_\_\_\_\_

AMT. OF SECURITY: \$ \_\_\_\_\_

TYPE: \_\_\_\_\_

Business Phone: \_\_\_\_\_

In Case of Emergency: \_\_\_\_\_

3/10/15  
RCUD

Rocky, Kensington, Adams  
Plattsburgh  
Gas main ext.

TOWN OF PLATTSBURGH, NEW YORK  
HIGHWAY DEPARTMENT

801000033825

HIGHWAY WORK PERMIT

HIGHWAY WORK PERMIT FEE - \$ 25.00

PERMIT NO. 15011

DATE PAID Billed to Acct

DATE ISSUED 3/10/15

Application is hereby made by the undersigned whose principal place of business is:

New York State Electric & Gas Corporation

4125 State Route 22

Plattsburgh, New York 12901

518-568-9848

(include address/phone number)

to the Town of Plattsburgh Highway Department for permission under the Highway Law to perform certain work within the highway limits described in detail as follows:

Install 2820' of 2" PE Gas main Along Rocky Point, Kensington,  
Jefferson, and Adams.

on or across a Town Road or within a Town right-of-way situated in the Town of Plattsburgh, Clinton County, New York, known as \_\_\_\_\_  
in accordance with map and plan hereto attached and forming a part hereof. The above named applicant hereby certifies that he/she has secured compensation for the benefit of and will keep insured throughout the performance of above described work and such employees as are required to be insured by the provisions of the law and acts amendatory thereof, know as Workers' Compensation Law and New York State Disability.

This Permit is not valid unless signed by the Town Highway Superintendent and shall expire on date indicated.

TOWN OF PLATTSBURGH, NEW YORK  
HIGHWAY DEPARTMENT

Dated: \_\_\_\_\_

By: James Woods  
Highway Superintendent

Dated: 3/3/15

By: [Signature]  
Applicant

THIS PERMIT IS VALID THROUGH \_\_\_\_\_

AMT. OF SECURITY: \$ \_\_\_\_\_

TYPE: \_\_\_\_\_

Business Phone: \_\_\_\_\_

In Case of Emergency: \_\_\_\_\_

3/12/15  
ROAD

Pristine Drive Plattsburgh

Gas main Ext.

801000033821

**TOWN OF PLATTSBURGH, NEW YORK  
HIGHWAY DEPARTMENT**

**HIGHWAY WORK PERMIT**

HIGHWAY WORK PERMIT FEE - \$ 25.00

PERMIT NO. 15-012

DATE PAID Billed to Acct

DATE ISSUED 3/10/15

Application is hereby made by the undersigned whose principal place of business is:

New York State Electric & Gas Corporation

4125 State Route 22

Plattsburgh, New York 12901

518-568-9848

(include address/phone number)

to the Town of Plattsburgh Highway Department for permission under the Highway Law to perform certain work within the highway limits described in detail as follows:

Install 927' of 2" PE Along Pristine Dr.

on or across a Town Road or within a Town right-of-way situated in the Town of Plattsburgh, Clinton County, New York, known as \_\_\_\_\_ in accordance with map and plan hereto attached and forming a part hereof. The above named applicant hereby certifies that he/she has secured compensation for the benefit of and will keep insured throughout the performance of above described work and such employees as are required to be insured by the provisions of the law and acts amendatory thereof, know as Workers' Compensation Law and New York State Disability.

This Permit is not valid unless signed by the Town Highway Superintendent and shall expire on date indicated.

**TOWN OF PLATTSBURGH, NEW YORK  
HIGHWAY DEPARTMENT**

Dated: \_\_\_\_\_

By: James Woods  
Highway Superintendent

Dated: 3/3/15

By: [Signature]  
Applicant

THIS PERMIT IS VALID THROUGH \_\_\_\_\_

AMT. OF SECURITY: \$ \_\_\_\_\_

TYPE: \_\_\_\_\_

Business Phone: \_\_\_\_\_

In Case of Emergency: \_\_\_\_\_

3/10/15  
RCLD

Fiske + Firehouse Lane  
Plattsburgh

Gas main ext.

801000033817

TOWN OF PLATTSBURGH, NEW YORK  
HIGHWAY DEPARTMENT

HIGHWAY WORK PERMIT

HIGHWAY WORK PERMIT FEE - \$ 25.00

PERMIT NO. 15 013

DATE PAID Billed to Acct

DATE ISSUED 3/10/15

Application is hereby made by the undersigned whose principal place of business is:

New York State Electric & Gas Corporation

4125 State Route 22

Plattsburgh, New York 12901

518-568-9848

(include address/phone number)

to the Town of Plattsburgh Highway Department for permission under the Highway Law to perform certain work within the highway limits described in detail as follows:

Install 870' of 2" PE GAS main along Fiske And Firehouse  
Lane.

on or across a Town Road or within a Town right-of-way situated in the Town of Plattsburgh, Clinton County, New York, known as \_\_\_\_\_ in accordance with map and plan hereto attached and forming a part hereof. The above named applicant hereby certifies that he/she has secured compensation for the benefit of and will keep insured throughout the performance of above described work and such employees as are required to be insured by the provisions of the law and acts amendatory thereof, know as Workers' Compensation Law and New York State Disability.

This Permit is not valid unless signed by the Town Highway Superintendent and shall expire on date indicated.

TOWN OF PLATTSBURGH, NEW YORK  
HIGHWAY DEPARTMENT

Dated: \_\_\_\_\_

By: James Woods  
Highway Superintendent

Dated: 3/10/15

By: [Signature]  
Applicant

THIS PERMIT IS VALID THROUGH \_\_\_\_\_

AMT. OF SECURITY: \$ \_\_\_\_\_

TYPE: \_\_\_\_\_

Business Phone: \_\_\_\_\_

In Case of Emergency: \_\_\_\_\_

3/10/15  
Road

Tioga, Chenango + Siwanoy  
Plattsburgh  
Gas main Street

TOWN OF PLATTSBURGH, NEW YORK 801000033887  
HIGHWAY DEPARTMENT

HIGHWAY WORK PERMIT

HIGHWAY WORK PERMIT FEE - \$ 25.00

DATE PAID Billed to ACD

PERMIT NO. 15-014

DATE ISSUED 3/10/15

Application is hereby made by the undersigned whose principal place of business is:

New York State Electric & Gas Corporation

4125 State Route 22

Plattsburgh, New York 12901

518-568-9848

(include address/phone number)

to the Town of Plattsburgh Highway Department for permission under the Highway Law to perform certain work within the highway limits described in detail as follows:

Install 2790' of 2" PE Gas main Along Tioga, Chenango, and  
Siwanoy.

on or across a Town Road or within a Town right-of-way situated in the Town of Plattsburgh, Clinton County, New York, known as \_\_\_\_\_ in accordance with map and plan hereto attached and forming a part hereof. The above named applicant hereby certifies that he/she has secured compensation for the benefit of and will keep insured throughout the performance of above described work and such employees as are required to be insured by the provisions of the law and acts amendatory thereof, know as Workers' Compensation Law and New York State Disability.

This Permit is not valid unless signed by the Town Highway Superintendent and shall expire on date indicated.

Dated: \_\_\_\_\_

Dated: 3/4/15

THIS PERMIT IS VALID THROUGH \_\_\_\_\_

AMT. OF SECURITY: \$ \_\_\_\_\_

TYPE: \_\_\_\_\_

TOWN OF PLATTSBURGH, NEW YORK  
HIGHWAY DEPARTMENT

By: James Woods  
Highway Superintendent

By: [Signature]  
Applicant

Business Phone: \_\_\_\_\_

In Case of Emergency: \_\_\_\_\_

Seneca, Mohican, and Cayuga

Plattsburgh

Gas main Ext.

3/12/15  
RECD

**TOWN OF PLATTSBURGH, NEW YORK  
HIGHWAY DEPARTMENT**

801000033859

**HIGHWAY WORK PERMIT**

HIGHWAY WORK PERMIT FEE - \$ 25.00

PERMIT NO. 15015

DATE PAID Billed to Acc

DATE ISSUED 3/10/15

Application is hereby made by the undersigned whose principal place of business is:

New York State Electric & Gas Corporation

4125 State Route 22

Plattsburgh, New York 12901

518-568-9848

(include address/phone number)

to the Town of Plattsburgh Highway Department for permission under the Highway Law to perform certain work within the highway limits described in detail as follows:

Install a 2" PE gas main 4012' along Seneca, Cayuga, and  
Mohican Dr.

on or across a Town Road or within a Town right-of-way situated in the Town of Plattsburgh, Clinton County, New York, known as \_\_\_\_\_ in accordance with map and plan hereto attached and forming a part hereof. The above named applicant hereby certifies that he/she has secured compensation for the benefit of and will keep insured throughout the performance of above described work and such employees as are required to be insured by the provisions of the law and acts amendatory thereof, know as Workers' Compensation Law and New York State Disability.

This Permit is not valid unless signed by the Town Highway Superintendent and shall expire on date indicated.

**TOWN OF PLATTSBURGH, NEW YORK  
HIGHWAY DEPARTMENT**

Dated: \_\_\_\_\_

By: James Woods  
Highway Superintendent

Dated: 3/4/15

By: L.T. M  
Applicant

THIS PERMIT IS VALID THROUGH \_\_\_\_\_

AMT. OF SECURITY: \$ \_\_\_\_\_

TYPE: \_\_\_\_\_

Business Phone: \_\_\_\_\_

In Case of Emergency: \_\_\_\_\_

3/12/15  
REVD

Seneca, Genesee, Oswego,

Mohawk

Plattsburgh

Gas main ext.

801000033869

**TOWN OF PLATTSBURGH, NEW YORK  
HIGHWAY DEPARTMENT**

**HIGHWAY WORK PERMIT**

HIGHWAY WORK PERMIT FEE - \$ 25.00

PERMIT NO. 15-016

DATE PAID Billed to Dept

DATE ISSUED 3/10/15

Application is hereby made by the undersigned whose principal place of business is:

New York State Electric & Gas Corporation

4125 State Route 22

Plattsburgh, New York 12901

518-568-9848

(include address/phone number)

to the Town of Plattsburgh Highway Department for permission under the Highway Law to perform certain work within the highway limits described in detail as follows:

Install 3817' of 2" PE Gas main Along Seneca, Genesee,  
Val Halla, Oswego, And Mohawk.

on or across a Town Road or within a Town right-of-way situated in the Town of Plattsburgh, Clinton County, New York, known as \_\_\_\_\_  
in accordance with map and plan hereto attached and forming a part hereof. The above named applicant hereby certifies that he/she has secured compensation for the benefit of and will keep insured throughout the performance of above described work and such employees as are required to be insured by the provisions of the law and acts amendatory thereof, know as Workers' Compensation Law and New York State Disability.

This Permit is not valid unless signed by the Town Highway Superintendent and shall expire on date indicated.

**TOWN OF PLATTSBURGH, NEW YORK  
HIGHWAY DEPARTMENT**

Dated: \_\_\_\_\_

By: James Woods  
Highway Superintendent

Dated: 3/4/15

By: W.T.N.  
Applicant

THIS PERMIT IS VALID THROUGH \_\_\_\_\_

AMT. OF SECURITY: \$ \_\_\_\_\_

TYPE: \_\_\_\_\_

Business Phone: \_\_\_\_\_

In Case of Emergency: \_\_\_\_\_

4/22/15 RCUD

Cut# 801000033754

Gas main Ext.

Blair, Lakeview and

TOWN OF PLATTSBURGH, NEW YORK Latinville Rd  
HIGHWAY DEPARTMENT Plattsburgh

**HIGHWAY WORK PERMIT**

HIGHWAY WORK PERMIT FEE - \$25.00

PERMIT NO. 15-019

DATE PAID Billed to Acct

DATE ISSUED 4/17/15

Application is hereby made by the undersigned whose principal place of business is:

New York State Electric & Gas Corporation

4125 State Route 22

Plattsburgh, New York 12901

518-566-9848

(include address/phone number)

to the Town of Plattsburgh Highway Department for permission under the Highway Law to perform certain work within the highway limits described in detail as follows:

Install 7314' of 2" PE gas main along Blair, Lakeview,  
Latinville, Spearman, and Hardy.

on or across a Town Road or within a Town right-of-way situated in the Town of Plattsburgh, Clinton County, New York, known as \_\_\_\_\_  
in accordance with map and plan hereto attached and forming a part hereof. The above named applicant hereby certifies that he/she has secured compensation for the benefit of and will keep insured throughout the performance of above described work and such employees as are required to be insured by the provisions of the law and acts amendatory thereof, know as Workers' Compensation Law and New York State Disability.

This Permit is not valid unless signed by the Town Highway Superintendent and shall expire on date indicated.

Dated: 4/20/15

TOWN OF PLATTSBURGH, NEW YORK  
HIGHWAY DEPARTMENT

By: [Signature]  
Highway Superintendent

Dated: 4/14/15

By: [Signature]  
Applicant

THIS PERMIT IS VALID THROUGH \_\_\_\_\_

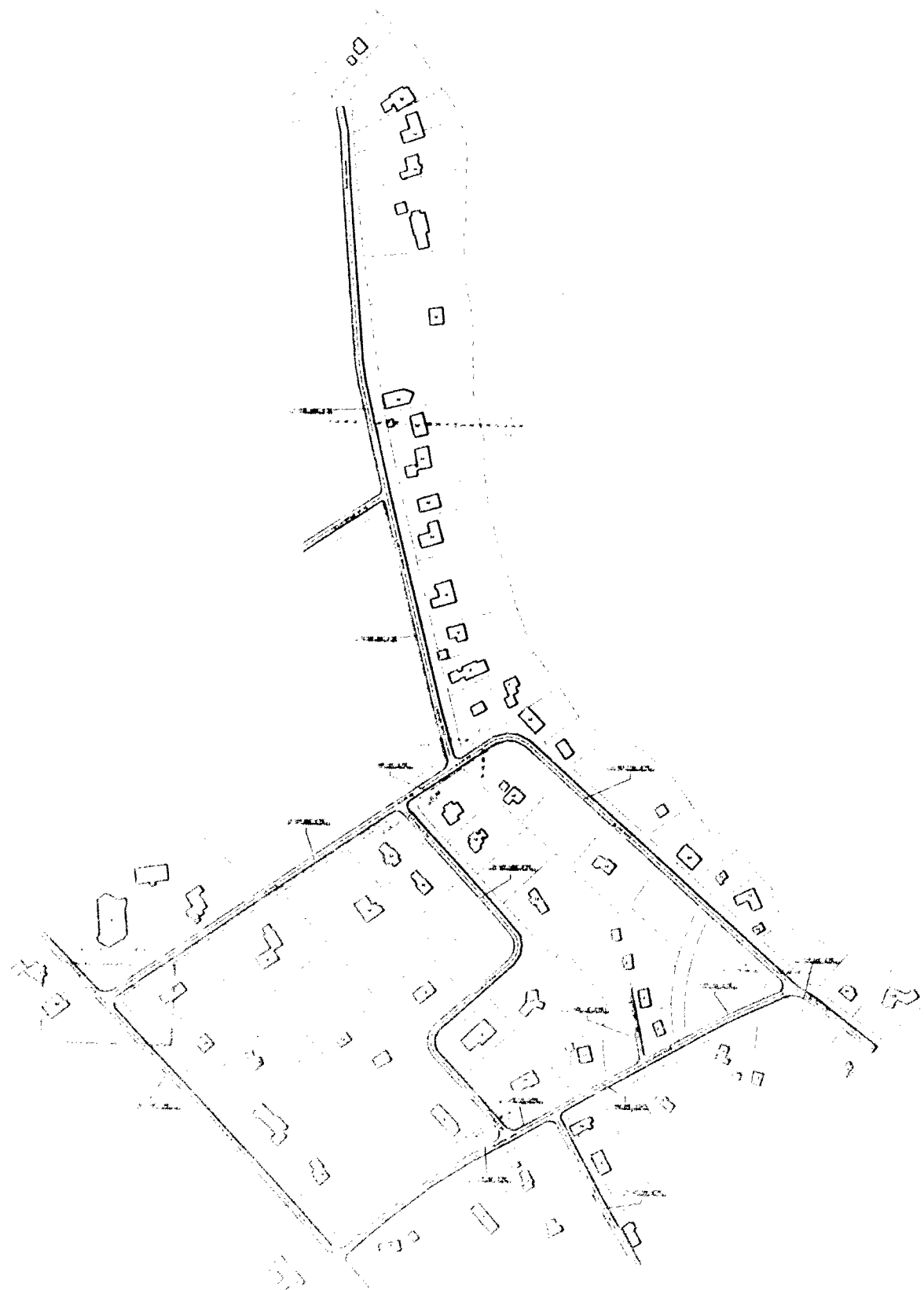
AMT. OF SECURITY: \$ \_\_\_\_\_

TYPE: \_\_\_\_\_

Business Phone: \_\_\_\_\_

In Case of Emergency: \_\_\_\_\_





| VALVE INFORMATION |       |
|-------------------|-------|
| NUMBER            | _____ |
| MANUFACTURER      | _____ |
| SIZE              | _____ |
| MATERIAL          | _____ |
| MODEL/TYPE        | _____ |
| CLASS/SDR         | _____ |
| NUMBER OF TURNS   | _____ |

| PRESSURE TEST INFORMATION         |                      |
|-----------------------------------|----------------------|
| DATE                              | _____                |
| AIR TEMP.                         | _____ DEG. F.        |
| GAUGE S/N                         | _____                |
| CHART RECORDER S/N                | _____                |
| MEDIUM: AIR NITROGEN (CIRCLE ONE) | _____                |
| START DATE                        | _____ TIME _____ PSI |
| END DATE                          | _____ TIME _____ PSI |
| TOTAL FOOTAGE                     | _____                |
| TEST PERFORMED BY                 | _____                |
| TESTERS SIGNATURE                 | _____                |
| COMPANY NAME                      | _____                |

| MAJOR MATERIAL |  |
|----------------|--|
| PIPE           | LENGTH _____ SIZE _____ PE/STEEL _____ |
|                | LENGTH _____ SIZE _____ PE/STEEL _____ |
|                | LENGTH _____ SIZE _____ PE/STEEL _____ |
| FITTINGS       | QUANTITY _____ SIZE _____ TYPE _____   |
|                | QUANTITY _____ SIZE _____ TYPE _____   |
|                | QUANTITY _____ SIZE _____ TYPE _____   |
| VALVES         | QUANTITY _____ SIZE _____ PSI _____    |
|                | QUANTITY _____ SIZE _____ PSI _____    |
|                | QUANTITY _____ SIZE _____ PSI _____    |
| HYDRANT        | QUANTITY _____ TYPE _____              |
| MANHOLE        | QUANTITY _____ TYPE _____              |
| TEST STATION   | QUANTITY _____ TYPE _____              |

| TEST RESULTS     |       |
|------------------|-------|
| TEST DATE        | _____ |
| TEST TIME        | _____ |
| TEST LOCATION    | _____ |
| TEST RESULTS     | _____ |
| TESTER SIGNATURE | _____ |
| TESTER NAME      | _____ |
| TESTER TITLE     | _____ |
| TESTER COMPANY   | _____ |

4/11/15 RCUD

Cu #801000033939

Gas main ext.

Algonquin, Quin and Bristol

**TOWN OF PLATTSBURGH, NEW YORK  
HIGHWAY DEPARTMENT**

**HIGHWAY WORK PERMIT**

HIGHWAY WORK PERMIT FEE - \$ 25.00

DATE PAID Billed to Acct

PERMIT NO. 15-018

DATE ISSUED 4/16/15

Application is hereby made by the undersigned whose principal place of business is:

New York State Electric & Gas Corporation

4125 State Route 22

Plattsburgh, New York 12901

518-566-9846

(include address/phone number)

to the Town of Plattsburgh Highway Department for permission under the Highway Law to perform certain work within the highway limits described in detail as follows:

Install 5579' of 2" PE Gas main along Algonquin, Quin, and  
Bristol rd.

on or across a Town Road or within a Town right-of-way situated in the Town of Plattsburgh, Clinton County, New York, known as \_\_\_\_\_ in accordance with map and plan hereto attached and forming a part hereof. The above named applicant hereby certifies that he/she has secured compensation for the benefit of and will keep insured throughout the performance of above described work and such employees as are required to be insured by the provisions of the law and acts amendatory thereof, know as Workers' Compensation Law and New York State Disability.

This Permit is not valid unless signed by the Town Highway Superintendent and shall expire on date indicated.

Dated: 4/16/15

Dated: 3/19/15

**TOWN OF PLATTSBURGH, NEW YORK  
HIGHWAY DEPARTMENT**

By: [Signature]  
Highway Superintendent

By: [Signature]  
Applicant

THIS PERMIT IS VALID THROUGH \_\_\_\_\_

AMT. OF SECURITY: \$ \_\_\_\_\_

TYPE: \_\_\_\_\_

Business Phone: \_\_\_\_\_

In Case of Emergency: \_\_\_\_\_

CLINTON COUNTY HIGHWAY DEPARTMENT  
736 ROUTE 3, PLATTSBURGH, NEW YORK 12901  
TEL. (518) 565-4040 - FAX (518) 563-8068

Highway Superintendent  
Albert H. Rascoe, P.E.

Deputy Highway Superintendent  
Karl T. Weiss, P.E.

Administration  
Lisa Garrand



Engineering  
David Langlois  
William Sweet

Accounting  
Sheri Pavone  
Lori Evangelisto

April 16, 2015

Mr. Bill Horner  
New York State Electric & Gas  
4125 Route 22  
Plattsburgh, NY 12901

Re: Utility Work Permit No. 15-04-USP and 15-05-USP  
Cmdr. Thomas Macdonough Highway fka Cumberland Head Road

Dear Mr. Horner:

Please find enclosed your approved Utility Work Permit Nos. 15-04-USP and 15-05-USP to install gas mains along County owned Cmdr. Thomas Macdonough Highway f/k/a Cumberland Head Road in the Town of Plattsburgh.

Once the work is complete, and on the reverse side of the permits, please sign and indicate the date the work was completed and return the permits to this department for final inspection and close out of the permit.

Thank you for your assistance in this matter. If you have any questions, please contact me at 565-4040.

Sincerely,

A handwritten signature in black ink, appearing to read "William J. Sweet".

WILLIAM J. SWEET  
Highway Project Technician

WJS:lmig  
Enclosures

CLINTON COUNTY HIGHWAY DEPARTMENT  
736 Route 3  
Plattsburgh, NY 12901

Cumberland Head Rd North  
Gas main Ext.  
(Job #2)  
801000032868

HIGHWAY WORK PERMIT APPLICATION FOR UTILITY WORK

Application is hereby made for a highway work permit

Name New York State Electric & Gas  
Address 4125 State Route 22  
City Plattsburgh State: NY Zip 12901  
Phone No. (518) 566-9846 Contact \_\_\_\_\_

FOR OFFICIAL USE ONLY

Highway Work Permit No. 15-05-05P  
Effective Date 4-16-2015  
Requested Duration \_\_\_\_\_

Make Checks Payable to: Clinton County Treasurer

| CHECK TYPE OF OPERATION  | BASE FEE | PERMIT FEE*                |                    | SUBTOTAL | GUARANTEE<br>DEPOSIT<br>CHECK/BOND<br>AMOUNT | CHECK OR BOND<br>NUMBER |
|--|----------|----------------------------|--------------------|----------|--|-------------------------|
|  |          | Indicate no.<br>feet/poles | Times<br>Unit Rate |          |  |                         |
| 1. Original installation   |          |                            |                    |          |  |                         |
| a. Underground   |          |                            |                    |          |  |                         |
| (1) Excavating, tunneling,<br>boring, installing, etc.   | \$ 50    | 670                        | \$ .30/ft.         | \$251.00 |  |                         |
| (2) Commercial service<br>sub-surface connection   | \$ 50    |                            | \$ .30/ft.         |          |  |                         |
| (3) Residential service<br>sub-surface connection  | \$ 50    |                            | \$ .30/ft.         |          |  |                         |
| b. Overhead  |          |                            |                    |          |  |                         |
| (1) Erecting poles, towers, etc.   | \$ 50    |                            | \$2.50/Unit        |          |  |                         |
| (2) Running new lines  | \$ 50    |                            |                    |          |  |                         |
| (3) Commercial svc. Connection   | \$ 50    |                            |                    |          |  |                         |
| (4) Residential svc. Connection  | \$ 50    |                            |                    |          |  |                         |
| c. On Bridges and Culverts   |          |                            |                    |          |  |                         |
| (1) Regular installation   | \$ 50    |                            |                    |          |  |                         |
| (2) Requiring structural changes<br>(Existing procedure will<br>continue for other aspects)  | \$500    |                            |                    |          |  |                         |
| d. Telephone Booths  | \$ 50    |                            |                    |          |  |                         |
| 2. Maintenance   |          |                            |                    |          |  |                         |
| a. Single job  | \$ 50    |                            |                    |          |  |                         |
| Repairing, making replacements,<br>relocation performing herbicide work,<br>etc. (indicate footage or poles<br>although no additional fee) |          |                            |                    |          |  |                         |
| b. Annual  | \$500    |                            |                    |          |  |                         |
| Include tree work and other work<br>permitted as single jobs.<br>(Department must be notified each<br>time work is to be done)             |          |                            |                    |          |  |                         |
| c. Repair of water or sewer lines  | \$ 50    |                            |                    |          |  |                         |
| d. County requested maintenance  | N/C      |                            |                    |          |  |                         |
| 3. After original construction   |          |                            |                    |          |  |                         |
| a. Annual-includes overhead and<br>underground svc. Connections  | \$500    |                            |                    |          |  |                         |
| b. Relocation-County requested   | N/C      |                            |                    |          |  |                         |
| c. Commercial service  |          |                            |                    |          |  |                         |
| Sub-service connection   | \$ 50    |                            | \$ .30/ft.         |          |  |                         |
| d. Commercial service  |          |                            |                    |          |  |                         |
| Overhead connection  | \$ 50    |                            |                    |          |  |                         |
| e. Residential service   |          |                            |                    |          |  |                         |
| Sub-service connection   | \$ 50    |                            | \$ .30/ft.         |          |  |                         |
| f. Residential service   |          |                            |                    |          |  |                         |
| Overhead connection  | \$ 50    |                            |                    |          |  |                         |
| 4. Miscellaneous   | \$ 50    |                            |                    |          |  |                         |
| TOTALS:  | \$       | \$                         |                    | \$251.00 | \$   |                         |

Work may be described briefly as follows: 250' Directional Drill of 6" PE Gas Main across Route 314 at the Cumberland  
Head Road Intersection plus 420' of 6" PE along Cumberland Head Road (from Fiske Lane to County Route 314).

Location \_\_\_\_\_

- \*Deposits Required:
- Underground Work Parallel to Highway \$ 1.00 per lineal foot
  - Pushes or Boring Beneath Pavement \$ 500.00 each
  - Open Cuts (if authorized) \$ 1,500.00 each
  - Other work may require a deposit, which will be determined on a case by case basis.
  - All deposits on open cuts will be held for one year after acceptance of finished job.

As the applicant, I fully understand all the terms, conditions and responsibilities of the Permittee as set forth in this application. I further understand that additional restrictions, regulations and obligations may be required in the final permit issued for this project and agree to adhere and comply with any additional requirements.

Applicant's Signature [Signature] Date 3/17/15

Under the provisions of the Highway Law of Vehicle & Traffic Law permission is hereby granted to the Permittee to perform the work as set forth and represented in this application at the particular location or area, or over the routes as stated herein, if required; and pursuant to the conditions and regulations whether, general or special, and methods of performing work, if any; all of which are set forth in the application and form of this permit.

The permittee is responsible for the maintenance and protection of traffic. In addition, anyone working in the right-of-way is required to wear a hard hat and a reflective safety vest.

4/16/15  
Date

[Signature]  
County Superintendent of Highways

Important

This permit, with application & drawing (or copies thereof) attached shall be placed in the hands of the contractor before any work begins.  
\*PERMIT FEES ARE NON-REFUNDABLE  
WHITE - FILE, CANARY - ROAD HISTORY, PINK - APPLICANT

RESPONSIBILITIES OF PERMITTEE

1. INSURANCE COVERAGE

Minimum insurance requirements are set out in the attached Schedule A titled "General Insurance Requirements, Clinton County, dated December, 2008." Additional insurance requirements may be mandated at the time of application review. The Permittee is responsible for insuring that all insurance requirements as directed by the County Superintendent of Highways are complied with.

Expiration of, or lack of, liability insurance automatically terminates the permit.

2. INDEMNIFICATION

To the fullest extent permitted by law, Contractor and any subcontractor shall indemnify and hold harmless the Owner, its Legislators, representatives, agents and employees from and against any and all claims, suits, liens, judgements, damages, losses and expenses, including legal fees and all court costs and liability (including statutory liability) arising in whole or in part and in any manner from injury and/or death of any person or damage to or loss of any property in connection with the performance of any work or any other act or omission by or for Contractor or Subcontractor, pursuant to any contract Purchase Order and/or related Proceed Order. For any such claims, suits, liens, judgements, damages, losses and expenses Contractor and any Subcontractor shall defend and bear all costs of defending any actions or proceedings brought against the Contractor or Subcontractor and/or Owner, its Legislators, representatives, agents and employees, arising in whole or in part out of any such acts, omission, breach or default. The foregoing indemnity shall include injury or death of any employee of the Contractor or Subcontractor and shall not be limited in any way by an amount or type of damage, compensation or benefits payable under any applicable workers compensation, disability benefits or other similar employees benefit act.

The Contractor and Subcontractor hereby expressly permits the General Contractor to pursue and assert claims against the Subcontractor for indemnity, contribution and common law negligence arising out of claims for damages for death and personal injury.

3. NOTIFICATIONS

- Notify the County Superintendent of Highways, one week prior to commencing work, except emergency work by public service utilities, which should be reported the next workday.
- Work must start within 30 days from the date of permit.
- Notify area gas distributors 72 hours prior to any blasting.
- Notify utility companies with facilities in work areas (permission must be obtained before doing work affecting utilities' facilities) before starting work in accordance with Industrial Code 53.
- Notify Clinton County Highway Department at conclusion of work.

4. SITE CARE AND RESTORATION

An Undertaking, a bond or certified check in an amount designated by the Clinton County Highway Department may be required by the Superintendent of Highways, before a permit is issued, to guarantee restoration of the site to its original condition. If the Department is obliged to restore the site to its original condition, the costs to the Department will be deducted from the amount of the Permittee's guarantee deposit at the conclusion of the work.

Anyone working within the right-of-way shall wear an approved safety reflective vest and hard hat.

5. SUBMITTING WORK PLANS

- The applicant will submit work plans and/or a map as required by the Department. This shall include, but not limited to, such details as positions of guys supporting poles and a schedule of the number of poles and feet of excavation necessary for completion of the work on the County right-of-way. A description of the proposed method of construction will be included.
- Plan work with future adjustments in mind, as any relocation, replacement or removal of the installation authorized by this permit and made necessary by future highway maintenance, reconstruction or new construction, will be the responsibility of the Permittee.
- Plans should be prepared in accordance with the "REQUIREMENTS FOR THE DESIGN AND CONSTRUCTION OF UNDERGROUND UTILITY INSTALLATIONS WITHIN THE COUNTY RIGHT-OF-WAY." The Permittee must coordinate his work with any County construction being conducted.

6. TRAFFIC MAINTENANCE

A plan detailing how the Permittee intends to maintain and protect traffic shall be submitted with work plans. Traffic shall be maintained on the highway in a safe manner during working and non-working hours until construction is completed. The Permittee is responsible for traffic protection and maintenance, including adequate use of signs, barriers, and flag persons during working and non-working hours until construction is completed.

All sketches will be stamped with "MAINTENANCE OF TRAFFIC SHALL BE IN CONFORMANCE WITH THE NEW YORK STATE MANUAL OF UNIFORM TRAFFIC CONTROL DEVICES."

7. COST OF INSPECTION AND SUPERVISION

Permits issued by the Department are subject to inspection and supervision fees as follows:

- A. Incidental inspections by the hour (portal to portal) at a rate of \$31.25 per hour.
- B. Major project inspections will be based on workdays consisting of a minimum of 4 hours and a maximum of 8 hours charged at \$250.00 per workday. However, inspections less than 4 hours will be charged at the hourly rate as stated in item "A" above.

8. SCOPE

A. Areas Covered

Permits issued are for highways, bridges and culverts over which the Clinton County Highway Department has jurisdiction. Local and State governments issue permits for their own jurisdiction.

B. Legal

The privilege granted by the permit does not authorize any infringement of federal, state or local laws or regulations, is limited to the extent of the authority of this Department in the premises and is transferable and assignable only with the written consent of the Superintendent of Highways.

C. Superintendent of Highways' Reservation

The Superintendent of Highways reserves the right to modify fees and to revoke or annul the permit at any time, at his discretion without a hearing or the necessity of showing cause.

D. Locations

Work locations must be approved by the Department.

9. COMPLETION OF PROJECT

Upon completion of the work within the County highway right-of-way authorized by the work permit, the Permittee, and his/her or its successors in interest, shall be responsible for any future maintenance, repair or replacement for any such work authorized under this permit.

NOTICE: Before work is started and upon its completion, the Permittee must notify the County Superintendent of Highways.

Upon completion of work authorized, the following will be completed and signed by the Permittee and delivered to the Clinton County Highway Department.

Work authorized by this Permit was completed on \_\_\_\_\_ Signature of Permittee

Work authorized by this Permit has been satisfactorily completed and is accepted.

\_\_\_\_\_ Date

\_\_\_\_\_ County Superintendent of Highways





CLINTON COUNTY HIGHWAY DEPARTMENT  
736 Route 3  
Plattsburgh, NY 12901

Cumberland Head Road South  
Gas main ext.  
(Job #1)

801000031708

MAR 19 2015

HIGHWAY WORK PERMIT APPLICATION FOR UTILITY WORK

Application is hereby made for a highway work permit

FOR OFFICIAL USE ONLY

Name New York State Electric & Gas  
Address 4125 State Route 22  
City Plattsburgh State: NY Zip 12901  
Phone No. (518) 566-9846 Contact \_\_\_\_\_

Highway Work Permit No. 15-04-06P  
Effective Date \_\_\_\_\_  
Requested Duration \_\_\_\_\_

Make Checks Payable to: Clinton County Treasurer

| CHECK TYPE OF OPERATION   | BASE FEE | PERMIT FEE*             |                 | SUBTOTAL  | GUARANTEE DEPOSIT CHECK/BOND AMOUNT | CHECK OR BOND NUMBER |
|---|----------|-------------------------|-----------------|-----------|-------------------------------------|----------------------|
|   |          | Indicate no. feet/poles | Times Unit Rate |           |                                     |                      |
| 1. Original installation  |          |                         |                 |           |                                     |                      |
| a. Underground  |          |                         |                 |           |                                     |                      |
| (1) Excavating, tunneling, boring, installing, etc.   | \$ 50    | 6100                    | \$ .30/ft.      | \$1880.00 |                                     |                      |
| (2) Commercial service sub-surface connection   | \$ 50    |                         | \$ .30/ft.      |           |                                     |                      |
| (3) Residential service sub-surface connection  | \$ 50    |                         | \$ .30/ft.      |           |                                     |                      |
| b. Overhead   |          |                         |                 |           |                                     |                      |
| (1) Erecting poles, towers, etc.  | \$ 50    |                         | \$2.50/Unit     |           |                                     |                      |
| (2) Running new lines   | \$ 50    |                         |                 |           |                                     |                      |
| (3) Commercial svc. Connection  | \$ 50    |                         |                 |           |                                     |                      |
| (4) Residential svc. Connection   | \$ 50    |                         |                 |           |                                     |                      |
| c. On Bridges and Culverts  |          |                         |                 |           |                                     |                      |
| (1) Regular installation  | \$ 50    |                         |                 |           |                                     |                      |
| (2) Requiring structural changes (Existing procedure will continue for other aspects)   | \$500    |                         |                 |           |                                     |                      |
| d. Telephone Booths   | \$ 50    |                         |                 |           |                                     |                      |
| 2. Maintenance  |          |                         |                 |           |                                     |                      |
| a. Single job   | \$ 50    |                         |                 |           |                                     |                      |
| Repairing, making replacements, relocation performing herbicide work, etc. (indicate footage or poles although no additional fee) |          |                         |                 |           |                                     |                      |
| b. Annual   | \$500    |                         |                 |           |                                     |                      |
| Include tree work and other work permitted as single jobs. (Department must be notified each time work is to be done)             |          |                         |                 |           |                                     |                      |
| c. Repair of water or sewer lines   | \$ 50    |                         |                 |           |                                     |                      |
| d. County requested maintenance   | N/C      |                         |                 |           |                                     |                      |
| 3. Alter original construction  |          |                         |                 |           |                                     |                      |
| a. Annual includes overhead and underground svc. Connections  | \$500    |                         |                 |           |                                     |                      |
| b. Relocation-County requested  | N/C      |                         |                 |           |                                     |                      |
| c. Commercial service Sub-service connection  | \$ 50    |                         | \$ .30/ft.      |           |                                     |                      |
| d. Commercial service Overhead connection   | \$ 50    |                         |                 |           |                                     |                      |
| e. Residential service Sub-service connection   | \$ 50    |                         | \$ .30/ft.      |           |                                     |                      |
| f. Residential service Overhead connection  | \$ 50    |                         |                 |           |                                     |                      |
| 4. Miscellaneous  | \$ 50    |                         |                 |           |                                     |                      |
| TOTALS:   | \$       |                         | \$              | \$1880.00 | \$                                  |                      |

Work may be described briefly as follows: Install approximately 5730 feet along County Route 314 and first 370 feet on Cumberland Head Road. Crossings to be drilled. No open cuts.

Location \_\_\_\_\_

- \*Deposits Required:
- Underground Work Parallel to Highway \$ 1.00 per lineal foot
  - Pushes or Boring Beneath Pavement \$ 500.00 each
  - Open Cuts (if authorized) \$ 1,500.00 each
  - Other work may require a deposit, which will be determined on a case by case basis.
  - All deposits on open cuts will be held for one year after acceptance of finished job.

As the applicant, I fully understand all the terms, conditions and responsibilities of the Permittee as set forth in this application. I further understand that additional restrictions, regulations and obligations may be required in the final permit issued for this project and agree to adhere and comply with any additional requirements.

Applicant's Signature \_\_\_\_\_ Date 3/17/15

Under the provisions of the Highway Law of Vehicle & Traffic Law permission is hereby granted to the Permittee to perform the work as set forth and represented in this application at the particular location or area, or over the routes as stated herein, if required; and pursuant to the conditions and regulations whether, general or special, and methods of performing work, if any; all of which are set forth in the application and form of this permit.

The permittee is responsible for the maintenance and protection of traffic. In addition, anyone working in the right-of-way is required to wear a hard hat and a reflective safety vest.

4/16/15 Date \_\_\_\_\_  
County Superintendent of Highways

Important

This permit, with application & drawing (or copies thereof) attached shall be placed in the hands of the contractor before any work begins.

\*PERMIT FEES ARE NON-REFUNDABLE

WHITE - FILE, CANARY - ROAD HISTORY, PINK - APPLICANT

RESPONSIBILITIES OF PERMITTEE

1. INSURANCE COVERAGE

Minimum insurance requirements are set out in the attached Schedule A titled "General Insurance Requirements, Clinton County, dated December, 2008." Additional insurance requirements may be mandated at the time of application review. The Permittee is responsible for insuring that all insurance requirements as directed by the County Superintendent of Highways are complied with.

Expiration of, or lack of, liability insurance automatically terminates the permit.

2. INDEMNIFICATION

To the fullest extent permitted by law, Contractor and any subcontractor shall indemnify and hold harmless the Owner, its Legislators, representatives, agents and employees from and against any and all claims, suits, liens, judgements, damages, losses and expenses, including legal fees and all court costs and liability (including statutory liability) arising in whole or in part and in any manner from injury and/or death of any person or damage to or loss of any property in connection with the performance of any work or any other act or omission by or for Contractor or Subcontractor, pursuant to any contract Purchase Order and/or related Proceed Order. For any such claims, suits, liens, judgements, damages, losses and expenses Contractor and any Subcontractor shall defend and bear all costs of defending any actions or proceedings brought against the Contractor or Subcontractor and/or Owner, its Legislators, representatives, agents and employees, arising in whole or in part out of any such acts, omission, breach or default. The foregoing indemnity shall include injury or death of any employee of the Contractor or Subcontractor and shall not be limited in any way by an amount or type of damage, compensation or benefits payable under any applicable workers compensation, disability benefits or other similar employees benefit act.

The Contractor and Subcontractor hereby expressly permits the General Contractor to pursue and assert claims against the Subcontractor for indemnity, contribution and common law negligence arising out of claims for damages for death and personal injury.

3. NOTIFICATIONS

- Notify the County Superintendent of Highways, one week prior to commencing work, except emergency work by public service utilities, which should be reported the next workday.
- Work must start within 30 days from the date of permit.
- Notify area gas distributors 72 hours prior to any blasting.
- Notify utility companies with facilities in work areas (permission must be obtained before doing work affecting utilities' facilities) before starting work in accordance with Industrial Code 53.
- Notify Clinton County Highway Department at conclusion of work.

4. SITE CARE AND RESTORATION

An Undertaking, a bond or certified check in an amount designated by the Clinton County Highway Department may be required by the Superintendent of Highways, before a permit is issued, to guarantee restoration of the site to its original condition. If the Department is obliged to restore the site to its original condition, the costs to the Department will be deducted from the amount of the Permittee's guarantee deposit at the conclusion of the work.

Anyone working within the right-of-way shall wear an approved safety reflective vest and hard hat.

5. SUBMITTING WORK PLANS

- The applicant will submit work plans and/or a map as required by the Department. This shall include, but not limited to, such details as positions of guys supporting poles and a schedule of the number of poles and feet of excavation necessary for completion of the work on the County right-of-way. A description of the proposed method of construction will be included.
- Plan work with future adjustments in mind, as any relocation, replacement or removal of the installation authorized by this permit and made necessary by future highway maintenance, reconstruction or new construction, will be the responsibility of the Permittee.
- Plans should be prepared in accordance with the "REQUIREMENTS FOR THE DESIGN AND CONSTRUCTION OF UNDERGROUND UTILITY INSTALLATIONS WITHIN THE COUNTY RIGHT-OF-WAY." The Permittee must coordinate his work with any County construction being conducted.

6. TRAFFIC MAINTENANCE

A plan detailing how the Permittee intends to maintain and protect traffic shall be submitted with work plans. Traffic shall be maintained on the highway in a safe manner during working and non-working hours until construction is completed. The Permittee is responsible for traffic protection and maintenance, including adequate use of signs, barriers, and flag persons during working and non-working hours until construction is completed.

All sketches will be stamped with "MAINTENANCE OF TRAFFIC SHALL BE IN CONFORMANCE WITH THE NEW YORK STATE MANUAL OF UNIFORM TRAFFIC CONTROL DEVICES."

7. COST OF INSPECTION AND SUPERVISION

- Permits issued by the Department are subject to inspection and supervision fees as follows:
- A. Incidental inspections by the hour (portal to portal) at a rate of \$31.25 per hour.
  - B. Major project inspections will be based on workdays consisting of a minimum of 4 hours and a maximum of 8 hours charged at \$250.00 per workday. However, inspections less than 4 hours will be charged at the hourly rate as stated in item "A" above.

8. SCOPE

- A. **Areas Covered**  
Permits issued are for highways, bridges and culverts over which the Clinton County Highway Department has jurisdiction. Local and State governments issue permits for their own jurisdiction.
- B. **Legal**  
The privilege granted by the permit does not authorize any infringement of federal, state or local laws or regulations, is limited to the extent of the authority of this Department in the premises and is transferable and assignable only with the written consent of the Superintendent of Highways.
- C. **Superintendent of Highways' Reservation**  
The Superintendent of Highways reserves the right to modify fees and to revoke or annul the permit at any time, at his discretion without a hearing or the necessity of showing cause.
- D. **Locations**  
Work locations must be approved by the Department.

9. COMPLETION OF PROJECT

Upon completion of the work within the County highway right-of-way authorized by the work permit, the Permittee, and his/her or its successors in interest, shall be responsible for any future maintenance, repair or replacement for any such work authorized under this permit.

NOTICE: Before work is started and upon its completion, the Permittee must notify the County Superintendent of Highways.

Upon completion of work authorized, the following will be completed and signed by the Permittee and delivered to the Clinton County Highway Department.

Work authorized by this Permit was completed on \_\_\_\_\_  
Signature of Permittee

Work authorized by this Permit has been satisfactorily completed and is accepted.

\_\_\_\_\_  
Date  
County Superintendent of Highways



Scale  
1" = 380'  
0 380 760 1140  
Feet  
SCALE REFERENCE

NYSEG

RELEASE APPROVAL:

REVISION:

ENGINEERING:

DESIGN:

CHECKED BY:

DRAWN BY: LAL

SCALE

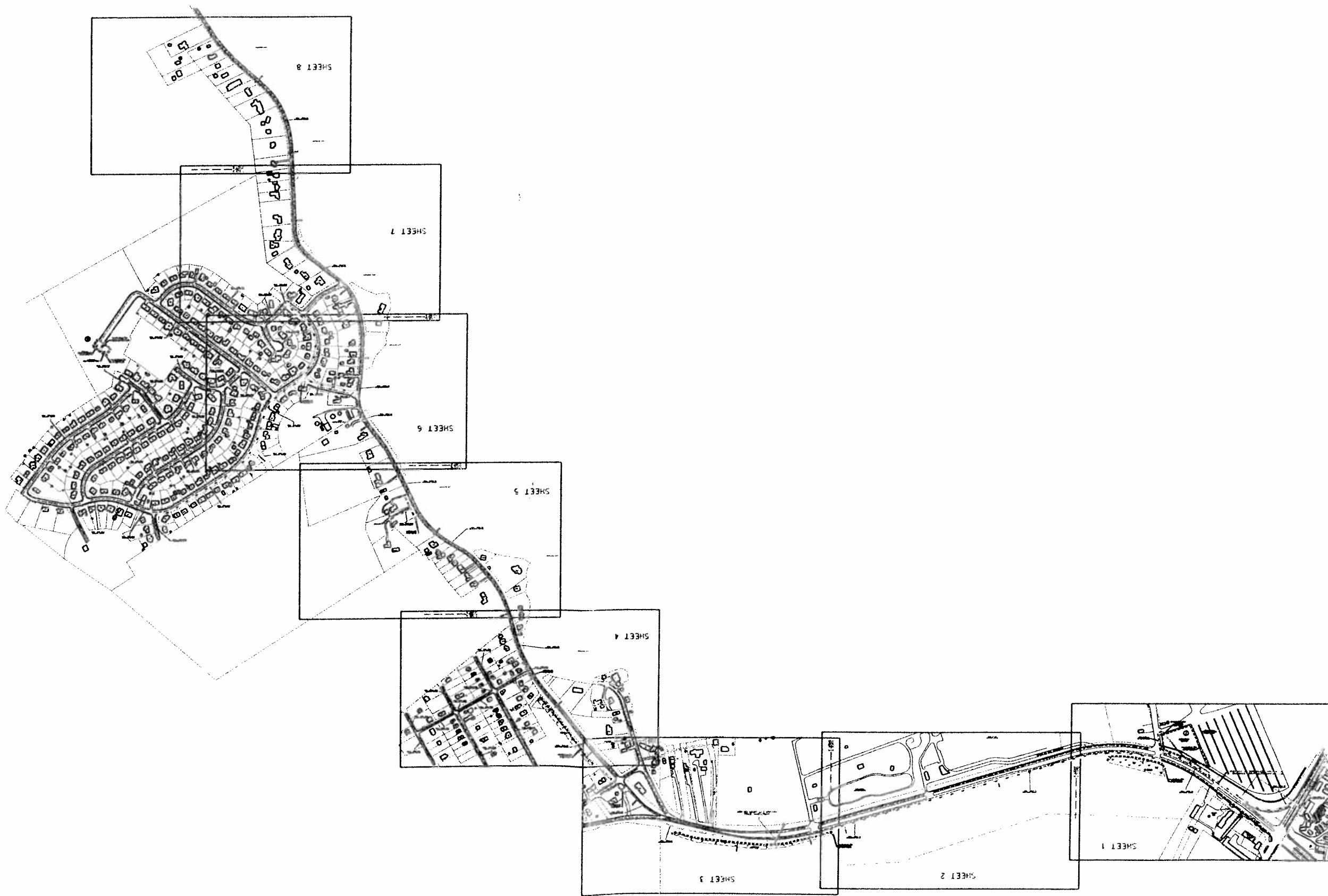
1"=380'

DWG NO

301000031708

11/05/14  
RTE 314/CUMBERLAND HEAD RD SOUTH

DGN



PERM 42 (09/09)

State of New York  
Department of Transportation

6/29/15  
RCUD



Highway Work Permit

Permit No.: 20150750728

Date Issued: 06/24/2015

Project ID No.:

Expiration Date: 12/24/2015

Permitter 1:  
NEW YORK STATE ELECTRIC & GAS  
4125 STATE ROUTE 22

PLATTSBURGH, NY 12901

Emergency Contact: NONE GIVEN

Emergency Number: 800-572-1121

Under the provisions of the Highway Law or Vehicle & Traffic Law, permission is hereby granted to the permittee to:

DIRECTIONAL DRILL A 6" GAS MAIN ACROSS STATE ROUTE 9 AT A MINIMUM BURIAL DEPTH OF 5'. NO OPEN CUTS. ALL WORK TO BE PERFORMED ACCORDING TO THE APPROVED PLANS, ATTACHMENTS & THE NYSDOT REQUIREMENTS FOR THE DESIGN AND CONSTRUCTION OF UNDERGROUND UTILITIES WITHIN THE STATE HIGHWAY RIGHT OF WAY.

THE PERMITTEE IS RESPONSIBLE FOR TEMPORARY TRAFFIC CONTROL, IN ACCORDANCE WITH THE NATIONAL MANUAL OF UNIFORM TRAFFIC CONTROL DEVICES AND THE NYS SUPPLEMENT; ANYONE WORKING WITHIN THE HIGHWAY RIGHT-OF-WAY SHALL WEAR HIGH-VISIBILITY APPAREL MEETING THE ANSI 107-2004 CLASS II STANDARDS AND A HARD HAT.

| County  | Municipality | State Hwy | State Route | Bag Ref    | End Ref    |
|---------|--------------|-----------|-------------|------------|------------|
| CLINTON | PLATTSBURGH  | 5197      | 9           | 9 71143005 | 9 71143006 |

As set forth and represented in the attached application at the particular location or areas, or over the routes as stated therein, if required; and pursuant to the conditions and regulations general or special, and methods of performing work, if any; all of which are set forth in the application and form of this permit. See additional conditions on PAGE 2.  
THIS PERMIT IS ISSUED BASED ON ALL LOCAL, STATE, AND FEDERAL REQUIREMENTS BEING SATISFIED.

Dated at: Watertown Date Signed: 06/24/2015 Commissioner of Transportation By: Michael Graham

**IMPORTANT:**

THIS PERMIT, WITH APPLICATION AND DRAWING (OR COPIES THEREOF) ATTACHED, SHALL BE PLACED IN THE HANDS OF THE CONTRACTOR BEFORE ANY WORK BEGINS. THIS HIGHWAY WORK PERMIT SHALL BE AVAILABLE AT THE SITE DURING CONSTRUCTION.

BEFORE WORK IS STARTED AND UPON ITS COMPLETION, THE PERMITTEE ABSOLUTELY MUST NOTIFY:

Vai Beeth, Resident Engineer 518-563-2020

"UPON COMPLETION OF WORK", SECOND TO LAST PAGE, MUST BE COMPLETED, SIGNED BY THE PERMITTEE, AND DELIVERED TO THE RESIDENT ENGINEER.

PERM 42 (0909)

State of New York  
Department of Transportation

Highway Work Permit

Permit No.: 20150750728

Date Issued: 06/24/2015

Project ID No.:

Expiration Date: 12/24/2015



Return this page to:

Vel Basil, Resident Engineer

81 S. Peru Street  
Plattsburgh, NY 12901

Permittee 1: NEW YORK STATE ELECTRIC & GAS  
4125 STATE ROUTE 22  
PLATTSBURGH, NY 12901

UPON COMPLETION OF WORK AUTHORIZED, THIS PAGE OF THE PERMIT MUST BE COMPLETED, SIGNED BY THE PERMITTEE, AND DELIVERED TO THE RESIDENT ENGINEER.  
Work authorized by this permit has been completed. Refund of deposit or return/release of bond is requested.

| DATE | PERMITTEE | AUTHORIZED AGENT (if any) |
|------|-----------|---------------------------|
|------|-----------|---------------------------|

TO BE COMPLETED BY NYSDOT:

Work authorized by this permit has been satisfactorily completed and is accepted. Inspection Report must be completed.

- ☐ Refund of Deposit is authorized
- ☐ Return of Bond is authorized
- ☐ Unable to meet schedule as specified in bid proposal
- ☐ Amount charged against Bond may be released.
- ☐ Retain bond for future permits
- ☐ Forfeit of Guarantee Deposit is authorized
- ☐ Other:

| DATE | RESIDENT ENGINEER |
|------|-------------------|
|------|-------------------|

- ☐ Mailing address of refund has been verified.
- If different, list new address:

The Regional Office will forward this form to the Main Office with the appropriate box checked.

- ☐ Permit closed
- ☐ Bond returned/released
- ☐ Refund of Guarantee Deposit on this permit is authorized
- ☐ Forfeit Guarantee Deposit to NYSDOT
- ☐ Other

| DATE | REGIONAL TRAFFIC ENGINEER |
|------|---------------------------|
|------|---------------------------|



## Department of Transportation

### REGION 7

#### Underground Utility - Highway Work Permit # 20150750728

##### Permit Conditions

All operations subject to NYSDOT publication, "Requirements for the Design and Construction of Underground Utility Installations within the State Highway Right-Of-Way", NYSDOT "Standard Specifications, Construction and Materials", as amended and all applicable Engineering Instructions.

All pertinent OSHA Standards for Construction shall apply.

Contact the NYSDOT Resident Engineer 1 week prior to working with in State right-of-way.

Contractor is responsible for contacting Dig Safely New York and for verifying the location of all existing utilities (public and private) prior to beginning work, for maintaining the integrity of existing utilities, and for repairing any existing utility damaged by the permittee or his agents during the course of work operations authorized by this permit.

Highway crossings will be accomplished by approved underground methods only. For purposes of pipe placement beneath the highway by means of the boring and jacking method, the boring tool, or auger, shall be mechanically restrained from preceding the pipe in order to prevent unsupported excavation ahead of the pipe. Tests shall be conducted immediately subsequent to pipe placement to detect subsurface voids. Any and all detected voids must be promptly grouted according to NYSDOT specifications.

Contractor needs to submit a Void Monitoring Detection Proposal and Corrective Action Plan prior to beginning work.

Pre-bore survey is required at boring location to verify no pavement disturbance during utility installation. Permittee shall monitor the worksite for indications of settlement or displacement of highway embankment, pavement, shoulder or other facilities. Elevations should be taken prior to and at the conclusion of the installation in an effort to monitor and/or detect any settlement. Repair or replacement shall be at permittee's expense and with NYSDOT approval.

No open cutting will be allowed within ten ft. of the edge of paved shoulder. (Exceptions must be approved by NYSDOT prior to excavation).

No excavated material to be stored or piled on the shoulder or travel lanes of the highway.

Traffic offset shall be maintained at a minimum of ten feet from any open excavation to avoid unwanted surcharge loads. If necessary, flagger controlled one lane - two way traffic control is required. (recommended detail enclosed).



PERM 32m (2/00)

STATE OF NEW YORK DEPARTMENT OF TRANSPORTATION  
HIGHWAY WORK PERMIT APPLICATION FOR UTILITY WORK

(Job 1)  
Gas main ext.  
PREPARE 3 COPIES  
801000031708

Application is hereby made for a highway work permit:

For joint application, name and address of Second Applicant below:

New York State Electric & Gas

Name

Address 4125 State Route 22

City Plattsburgh

State NY Zip 12901

Charge Account Code 702U

Federal I.D. No. or Social Security No. 15 039 8550

Applicant Telephone # 518-686-9846

Contact person in case of emergency

(include telephone number) 1-800-672-1121

RETURN PERMIT TO: or supervisor above

Name

Address

City

State Zip

Name

Address

City

State Zip

Project Identification No.

Highway Work Permit No. 20160780728

RETURN OF DEPOSIT BOND TO: COMPLETE ONLY IF DIFFERENT FROM PERMITTEE

Name

Address

City

State Zip

- Estimated cost of work being performed in state highway right-of-way \$
- Anticipated duration of work: From 00:00 to 00:00 to apply to the operation(s) checked below:
- Proactive Liability Insurance covered by Policy No. : expires on 00
- A \$50.00 fee will be charged for checks returned by bank.

| CHECK TYPE OF OPERATION   | PERMIT FEE  |                           |                   |               | TOTAL | State PERM 17<br>or Underlying<br>on file | Indicate<br>Amount<br>Number 2<br>Permit Fee<br>Charged | Generate<br>Deposit<br>Check/Amount | Check or<br>Bond<br>Number |
|---|-------------|---------------------------|-------------------|---------------|-------|---|---|-------------------------------------|----------------------------|
|   | Base<br>Fee | Indicate<br>No.<br>Permit | Base<br>Unit Rate | Sub-<br>Total |       |   |   |                                     |                            |
| 1. <input checked="" type="checkbox"/> Original Installation (SEE CLUTY)  |             |                           |                   |               |       |   |   |                                     |                            |
| a. <input checked="" type="checkbox"/> Underground  |             |                           |                   |               |       |   |   |                                     |                            |
| 1. <input checked="" type="checkbox"/> Excavating, tunneling, boring, installing, etc.  | 32          | 120                       | \$ .28%           | \$ 70.40      |       |   |   |                                     |                            |
| 2. <input type="checkbox"/> Commercial service sub-surface connection   | 32          |                           | .28%              |               |       |   |   |                                     |                            |
| 3. <input type="checkbox"/> Residential service sub-surface connection  | 32          |                           | .28%              |               |       |   |   |                                     |                            |
| b. <input type="checkbox"/> Overhead  |             |                           |                   |               |       |   |   |                                     |                            |
| 1. <input type="checkbox"/> Splicing poles, towers, etc.  | 80          |                           | 2.800/hr          |               |       |   |   |                                     |                            |
| 2. <input type="checkbox"/> Running new lines   | 80          |                           |                   |               |       |   |   |                                     |                            |
| 3. <input type="checkbox"/> Commercial service connections  | 18          |                           |                   |               |       |   |   |                                     |                            |
| 4. <input type="checkbox"/> Residential service connection  | 18          |                           |                   |               |       |   |   |                                     |                            |
| c. <input type="checkbox"/> On Bridges and Culverts   |             |                           |                   |               |       |   |   |                                     |                            |
| 1. <input type="checkbox"/> Regular Installation  | 63          |                           |                   |               |       |   |   |                                     |                            |
| 2. <input type="checkbox"/> Replacing structural changes  | 635         |                           |                   |               |       |   |   |                                     |                            |
| d. <input type="checkbox"/> Telephone Booths  |             |                           |                   |               |       |   |   |                                     |                            |
| 1. <input type="checkbox"/> Along Interstate Highways   | 63          |                           |                   |               |       |   |   |                                     |                            |
| 2. <input type="checkbox"/> Along State Highways  | 63          |                           |                   |               |       |   |   |                                     |                            |
| 2. <input type="checkbox"/> Maintenance   |             |                           |                   |               |       |   |   |                                     |                            |
| a. <input type="checkbox"/> Single job  | 32          |                           |                   |               |       |   |   |                                     |                            |
| Regulating, meeting replacement, relocation, performing hazardous work, etc. (Indicate Scope or permit through no additional fee) |             |                           |                   |               |       |   |   |                                     |                            |
| b. <input type="checkbox"/> Annual Per Region Per County  | 6500        |                           |                   |               |       |   |   |                                     |                            |
| Includes line work and other work permitted as single jobs. (Department must be notified each time work is to be performed)       | 655         |                           |                   |               |       |   |   |                                     |                            |
| c. <input type="checkbox"/> Repair of water or sewer lines  | 32          |                           |                   |               |       |   |   |                                     |                            |
| d. <input type="checkbox"/> D.D.T. requested maintenance  | N/C         |                           |                   |               |       |   |   |                                     |                            |
| 3. <input type="checkbox"/> Alter original construction   |             |                           |                   |               |       |   |   |                                     |                            |
| a. <input type="checkbox"/> Annual - Installation overhead connections Per Region Per County                                      | 2800        |                           |                   |               |       |   |   |                                     |                            |
| b. <input type="checkbox"/> Relocation - D.D.T. requested   | N/C         |                           |                   |               |       |   |   |                                     |                            |
| c. <input type="checkbox"/> Commercial service sub-surface connection   | 32          |                           | .28%              |               |       |   |   |                                     |                            |
| d. <input type="checkbox"/> Commercial service overhead connection  | 18          |                           |                   |               |       |   |   |                                     |                            |
| e. <input type="checkbox"/> Residential service sub-surface connection  | 32          |                           | .28%              |               |       |   |   |                                     |                            |
| f. <input type="checkbox"/> Residential service overhead connection   | 18          |                           |                   |               |       |   |   |                                     |                            |
| 4. <input type="checkbox"/> Miscellaneous   | 32          |                           |                   |               |       |   |   |                                     |                            |

PROPOSED WORK (BRIEF DESCRIPTION): Directional drill a 6" Gas Main across State Route 9 at a 5' Min burial depth. No open cuts. NYSEG to conform to all NYSDOT rules and regulations.

ATTACHED: Plans 2 Specifications LOCATION: State Route 9 PLattsburgh, State Highway 5192  
between Reference Marker 7114 3605 and Reference Marker 9714 3806  
Town of Plattsburgh County of Clinton

SEOR REQUIREMENTS: (Check appropriate box)

☐ Exempt ☐ Minimal ☐ Type II ☐ EIS or DEIS Lead Agency

If project is identified to be minimal, exempt, or TYPE II, no further action is required.

If project is determined to be other than minimal, exempt, or TYPE II, refer to M.A.P. 7.12.2, Appendix A SEOR REQUIREMENTS FOR HIGHWAY WORK PERMITS.

Acceptance of the requested permit by the permittee, regulations and obligations issued on this application and on the permit.

Applicant Signature [Signature] Date 3/2

Second Applicant Signature [Signature] Date 3/2

Approval recommended [Signature] 30 15 By Resident Engineer [Signature] Residency No. 7-1

Approved [Signature] 30 16 By Regional Traffic Engineer [Signature] Region No. 7

PERMIT IS ISSUED CONTINGENT UPON LOCAL REQUIREMENTS BEING SATISFIED.

# METHOD OF PERFORMING WORK WITHIN THE STATE HIGHWAY RIGHT-OF-WAY

## I. GENERAL CONDITIONS

These conditions and regulations apply to Highway Work Permits authorizing work within the State highway right-of-way for water mains, gas mains, sewer lines and miscellaneous structures. General conditions apply to telephone and telegraph installations as well as specific conditions on the setting and jacking of poles. These conditions, and any special conditions which are added to this form, are enforceable by the Department of Transportation.

### A. TIME

1. Work under the permit shall be commenced within thirty (30) days from the date of permit issuance unless a later starting date is approved by the Regional Traffic Engineer.

### B. REQUIREMENTS

All the current requirements of the following shall apply: Occupational Safety and Health Administration, Federal Department of Labor, Safety and Health Standards (29 CFR 1926/1910); Part 131, Title 17, New York Code of Rules and Regulations, Accommodation of Utilities Within State Right-of-Way; New York State Department of Labor, Industrial Code Rule 23, Protection of Persons Employed in Construction and Demolition Work; Industrial Code Rule 53, Construction, Extension and Demolition Operations At Or Near Underground Facilities.

Temporary soil erosion and water pollution controls shall be used as required. The final decision on the method of underground installation will be made by the Regional Director or his representative.

### 1. Work Within Pavement and Shoulder Areas

- a. Installations that cross the pavement and shoulder area. Whenever practical, all underground installations shall be placed beneath the pavement and shoulder areas without disturbance to these paved surfaces.

#### 1) Boring, Jacking, and Tunneling Methods

##### DESIGN

- a) The location of all excavations (boring pits, etc.) shall be shown in plan and profile.
- b) The soil profile and groundwater conditions shall be determined by adequate subsurface exploration.
- c) The location of all other existing utilities shall be shown.
- d) The construction equipment and procedures to be used shall be described in the permit application.
- e) The design of all excavations, including ground and surface water control where necessary, shall be made available for review by the Department.
- f) The underground installation shall be described in detail, i.e. size, length, depth, material, provisions for grouting, etc.
- g) Pipes shall generally be encased in sleeves or larger pipes. Small diameter services (2 inch I.D. or smaller) may be placed without sleeving at the discretion of N.Y.S.D.O.T.
- h) The limits of an open excavation shall not be closer than 10 feet to the edge of the pavement unless approved by the Department. Open excavations shall be protected with the required controls for safety and for the maintenance and protection of traffic in accordance with the New York State Department of Transportation, Manual of Uniform Traffic Control Devices.

##### CONSTRUCTION

- a) Grouting operations may be required if surface settlement, loss of soil or voids around the pipe develop. When grout is required, it shall consist of 1 part cement to 2 parts sand, by volume, and sufficient water to produce a consistency suitable for placing the grout.
- b) Backfill of open excavations shall be as required under 2.1 f) Open Excavation Method.

#### 2) Open Excavation Method

##### DESIGN

- a) The location of all excavations crossing by the open excavation method shall be shown in plan and profile.
- b) The soil profile and groundwater conditions shall be determined by adequate subsurface exploration.
- c) The location of all other existing utilities shall be shown.
- d) The design of all excavations, including ground and surface water control where necessary, shall be made available for review by the Department.
- e) When requested, the construction equipment and procedures to be used shall be described in the permit application.
- f) Pipe installation shall be done according to the requirements of the appropriate New York State Department of Transportation's Standard Sheets. The required grouting material shall meet the material requirements for Select Granular Fill in the current New York State Department of Transportation's Standard Specifications, including addenda. Exceptions will only be allowed if prior approval is granted by the Regional Traffic Engineer.
- g) Pavement shall be saw cut at termination points of pavement replacement.

##### CONSTRUCTION

- a) Pavement and shoulder removal shall be done in a manner that provides for proper restoration of the replacement section. Straight, vertical cuts of the pavement will be required. Pavement surfaces that become undermined shall be cut back and removed. Alternative repair methods may be used if prior approval is granted.
- b) The backfill material shall be placed and compacted according to the requirements for backfilling structures, culverts, pipes, conduits and direct burial cable described in Section 200, Earthwork, New York State Department of Transportation's Specifications, including addenda.
- c) Generally, cuts shall be filled at the end of each working day. With prior approval, steel cover plates may be used. Resurfacing of these plates may be required.
- d) Temporary pavements and shoulders shall be placed as soon as a crossover installation is completed.

### b. Installations that are longitudinal to the pavement.

#### 1) Open Excavation Method

##### DESIGN

- a) The location of all open excavations shall be shown in plan and profile.
- b) The soil profile and groundwater conditions shall be determined by adequate subsurface exploration.
- c) The design of all excavations, including ground and surface water control where necessary, shall be made available for review by the Department.
- d) The location of all other existing utilities shall be shown.
- e) Pipe installation shall be done according to the requirements of the appropriate New York State Department of Transportation's Standard Sheets. The required grouting material shall meet the material requirements for Select Granular Fill in the current New York State Department of Transportation's Standard Specifications, including addenda. Exceptions will only be allowed if prior approval is granted by the Regional Traffic Engineer.

##### CONSTRUCTION

- a) Pavement and shoulder removal shall be done in a manner that provides for proper restoration of the replacement section. Straight, vertical cuts of the pavement will be required. Pavement surfaces that become undermined shall be cut back and removed. Alternative repair methods may be used if prior approval is granted.
- b) The backfill material shall be placed and compacted according to the requirements for backfilling structures, culverts, pipes, conduits and direct burial cable described in Section 200, Earthwork, New York State Department of Transportation's Specifications, including addenda.
- c) Generally, cuts shall be filled at the end of each working day. With prior approval, steel cover plates may be used. Resurfacing of these plates may be required.
- d) Permanent or temporary pavement shall be placed immediately as sections of the total installation are completed to subbase elevation. Gravel surfaces in shoulder areas may be used if prior approval is granted.

#### 2) Boring, Jacking, and Tunneling Methods

##### DESIGN

- a) All the requirements of B.1. a. 1) DESIGN a) through g) shall apply.

##### CONSTRUCTION

- a) All the requirements of B.1. a. 1) CONSTRUCTION a) and b) shall apply.
- b) Open excavations shall be protected with the required controls for safety and for the maintenance and protection of traffic in accordance with the New York State Department of Transportation, Manual of Uniform Traffic Control Devices.
- c) The requirements of B.1. b. 1) CONSTRUCTION d) shall apply.

### 2. Work Outside the Pavement and Shoulder Areas

#### a. Open Excavation Method

##### DESIGN

- a) All the requirements of B.1. b. 1) DESIGN shall apply.
- b) Open excavations shall be protected with the required controls for safety and for the maintenance and protection of traffic in accordance with the New York State Department of Transportation, Manual of Uniform Traffic Control Devices.

##### CONSTRUCTION

- a) The backfill material shall be placed and compacted according to the requirements for backfilling structures, culverts, pipes, conduits and direct burial cable described in Section 200, Earthwork, New York State Department of Transportation's Specifications, including addenda.

STATE OF NEW YORK  
DEPARTMENT OF TRANSPORTATION  
TRAFFIC CONTROL SIGNAL

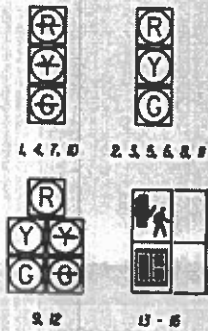
SIGNAL # 7 CLINTON COUNTY

LOOP 15 AND PULL BOX  
320' FROM THE STOP BAR

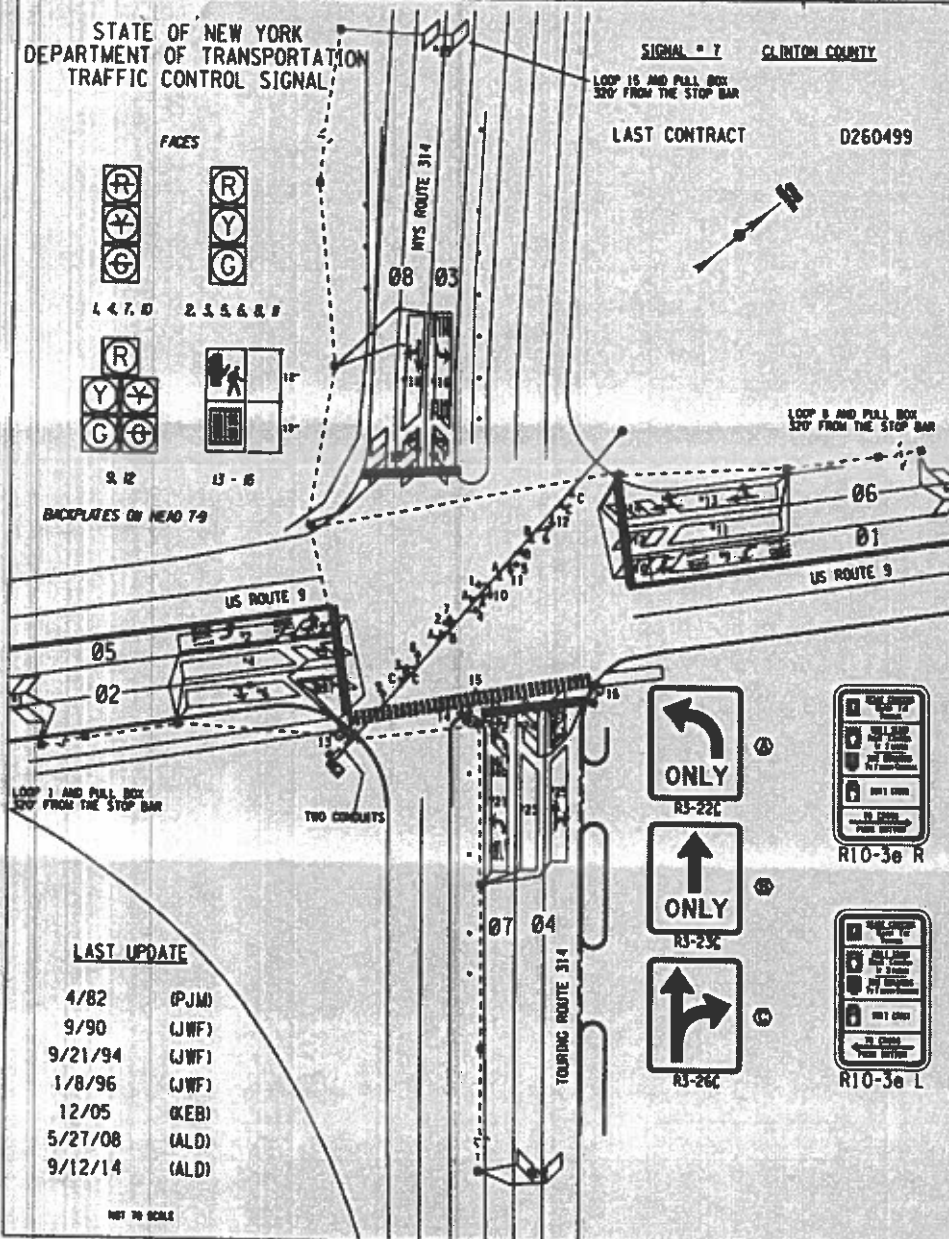
LAST CONTRACT

D260499

FACES



BACKPLATES ON HEAD 7-9

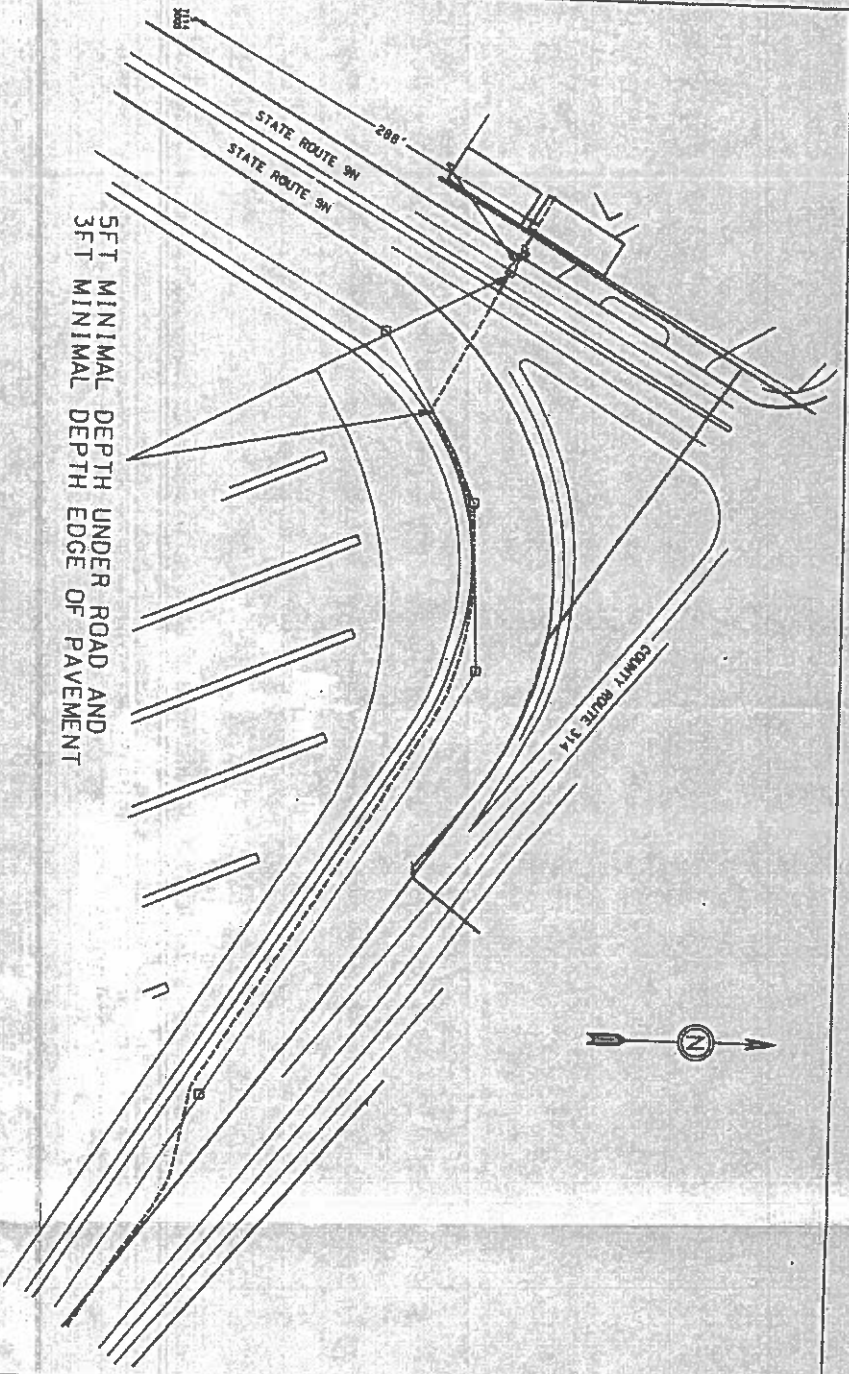


LAST UPDATE

|         |       |
|---------|-------|
| 4/82    | (PJM) |
| 9/90    | (JWF) |
| 9/21/94 | (JWF) |
| 1/8/96  | (JWF) |
| 12/05   | (KEB) |
| 5/27/08 | (ALD) |
| 9/12/14 | (ALD) |

NOT TO SCALE





5FT MINIMAL DEPTH UNDER ROAD AND  
3FT MINIMAL DEPTH EDGE OF PAVEMENT

NOTE TO DOT

- PERPENDICULAR CROSSING OF ROUTE 9 ONLY
- PIPE TO NOW PROCEED ON CITY PROPERTY

NOTE TO CITY OF PLATTSBURGH

- 6" PE GAS MAIN TO EXTEND ALONG-GITY PROPERTY LINE
- SMALL SECTIONS WILL BE UNDER THE BIKE PATH
- INSTALLATION WILL BE DIRECTIONAL DRILLED WITH NO CUTTING OF THE BLACKTOP
- APPROXIMATELY 365FT ON CITY PROPERTY UNTIL EXITING ONTO COUNTY PROPERTY



## Thompson, Kimberly

---

**From:** Howland, Carol <CAHowland@nyseg.com>  
**Sent:** Wednesday, April 29, 2015 4:45 PM  
**To:** Thompson, Kimberly; Witt, Cindy  
**Cc:** Henderson, Kristina  
**Subject:** FW: Cumberland Head Wetland Permit (Appl. No. NAN-2015-00184-UCO) (UNCLASSIFIED)  
**Attachments:** NWP 12.pdf

By the following email, the USACE acknowledged receipt of all information and NYSEG's intent to work under NWP NO. 12. It is noted that they are closing their files on the matter.

Carol

-----Original Message-----

From: Connell, John R NAN02 [mailto:John.R.Connell@usace.army.mil]  
Sent: Tuesday, April 07, 2015 12:10 PM  
To: Howland, Carol  
Cc: Trembath, William; McCormick, Sean  
Subject: RE: Cumberland Head Wetland Permit (Appl. No. NAN-2015-00184-UCO) (UNCLASSIFIED)

Classification: UNCLASSIFIED  
Caveats: NONE

Carol,

On February 5, 2015, this office received your Joint Application for Permit (JAF) , which indicated that the applicant intends on accomplishing the project under the terms and conditions of Department of the Army Nationwide Permit (NWP) No. 12. On March 20, 2015, the NYSDEC forwarded to this office additional documentation prepared by you, including information indicating that the project would not affect any endangered or threatened species, or sites eligible for listing in the National Register of Historic Places.

More than 45 days have passed since our receipt of your JAF, and we are prepared to close our files in this matter. Please note that authorization from the NYSDEC does not substitute for a permit from this office, however many NWPs allow for applicants to proceed with projects without written approval from this office. If you require a written authorization, please let us know.

Sincerely,  
John

John R. Connell  
Senior Project Manager, Upstate New York Section DEPARTMENT OF THE ARMY US Army Corps of Engineers, ATTN:  
CENAN-OP-RU  
1 Buffington St., Bldg. 10, 3rd Fl. North Watervliet, NY 12189 office (518) 266-6357 mobile (518) 487-0423

PLEASE USE THE ABOVE 18-CHARACTER FILE NUMBER ON ALL CORRESPONDENCE WITH THIS OFFICE

\*\*\*CORPS ENVIRONMENTAL PRINCIPLES\*\*\*

--Strive to achieve environmental sustainability.  
--Recognize the interdependence of life and the physical environment.

- Seek balance and synergy among human development activities and natural systems.
- Continue to accept corporate responsibility and accountability under the law.
- Seek ways and means to assess and mitigate cumulative impacts to the environment.
- Build and share an integrated scientific, economic, and social knowledge base.
- Respect the views of individuals and groups interested in Corps activities.

In order for us to better serve you, please complete our Customer Service Survey located at:

[http://corpsmapu.usace.army.mil/cm\\_apex/f?p=regulatory\\_survey](http://corpsmapu.usace.army.mil/cm_apex/f?p=regulatory_survey)

-----Original Message-----

From: McCormick, Sean [mailto:sean.mccormick@aecom.com]

Sent: Tuesday, April 07, 2015 9:18 AM

To: Connell, John R NAN02

Cc: CAHowland@nyseg.com; Trembath, William

Subject: [EXTERNAL] Cumberland Head Wetland Permit

Good morning John,

I'm contacting you on behalf of NYSEG. On February 25, 2015 we received the attached letter regarding the Cumberland Head project in Plattsburgh, NY. I've been working with Erin Donhauser from the DEC to address a few of her requests before the DEC issues the wetland permit. Your notice indicates that no work is to be done on the project until Army Corps authorization is received. I was hoping to clarify whether or not addressing Erin's requests and issuance of the wetland permit from the DEC would represent authorization from the Army Corps.

I can be reached at 716-923-1361 or by email. If you could get back to me when you have a moment, I'd appreciate it.

Thanks,

Sean McCormick

Graduate Chemical Engineer

AECOM

257 W. Genesee Street, Suite 400

Buffalo, NY 14202

Tel: 716.856.5636

Office: 716.923.1361

Cell: 716.912.4126

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Classification: UNCLASSIFIED

Caveats: NONE

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# NEW YORK STATE DEPARTMENT OF ENVIRONMENTAL CONSERVATION

Division of Environmental Permits, Region 5

1115 State Route 86, PO Box 296, Ray Brook, NY 12977-0296

P: (518) 897-1234 | F: (518) 897-1394

[www.dec.ny.gov](http://www.dec.ny.gov)

May 1, 2015

NYS Electric & Gas Corporation  
Attn: Carol Howland  
P.O. Box 5224  
Binghamton, NY 13902

**Re: DEC #5-0942-00493/00001  
Cumberland Head Gas Ext.  
Town of Plattsburgh  
County of Clinton**

Dear Ms. Howland :

Enclosed is your permit which was issued in accordance with the applicable provisions of the Environmental Conservation Law. Questions regarding the terms of the permit should be directed to John O'Connor at (518) 897-1291. Should your plans change, please contact this office to determine whether modifications of the permit are required.

Please note that it is the responsibility of the permittee and his agents to read and comply with all permit conditions. Carefully review the project sketches attached to this permit. Minor changes may have been made in the location or dimensions of your project, or additional materials (i.e. filter fabric or rock rip-rap) may have been included in the plans. Further, the permit is valid only for the activity expressly authorized. Work beyond the scope of the permit shall be considered as work without a permit. Any failure to comply with these terms may be treated as a violation of the Environmental Conservation Law. Work done under this permit is subject to inspection by Forest Rangers and Environmental Conservation Officers.

This permit does not eliminate the need to obtain approvals from other local or state agencies, or from the U.S. Army Corps of Engineers (USACOE). If your project is located within the Adirondack Park or Lake George Park, approvals may be required from the Adirondack Park Agency (APA), or the Lake George Park Commission

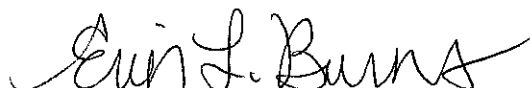


Department of  
Environmental  
Conservation

**NYS Electric & Gas**  
**Re: Cumberland Head Gas Ext.**  
**May 1, 2015**  
**Page Two**

(LGPC). For additional information on APA requirements, contact the APA at (518) 891-4050. For LGPC requirements, call (518) 668-9347. For USACOE requirements call (518) 270-0588.

Sincerely,

A handwritten signature in black ink, appearing to read "Erin L. Burns". The signature is fluid and cursive, with the first name "Erin" and last name "Burns" clearly distinguishable.

Erin L. Burns  
Deputy Regional Permit Administrator

ELB:EMD:ppm

Enclosures

ec: John O'Connor, Natural Resources  
John Connell, ACOE  
Sean McCormick, AECOM  
Kristina Henderson, NYSEG  
Joan Ducharme, OPP  
ECO Lt. Harold Barber



**PERMIT**  
**Under the Environmental Conservation Law (ECL)**

**Permittee and Facility Information**

**Permit Issued To:**

NEW YORK STATE ELECTRIC & GAS  
CORPORATION  
PO BOX 5224  
BINGHAMTON, NY 13902

**Facility:**

CUMBERLAND HEAD GAS EXTENSION  
  
IN ROW OF EXISTING ROADWAYS  
PLATTSBURGH, NY

**Facility Location:** in PLATTSBURGH in CLINTON COUNTY

**Facility Principal Reference Point:** NYTM-E: 625.061      NYTM-N: 4953.685  
Latitude: 44°43'32.3" Longitude: 73°25'14.7"

**Authorized Activity:** Install and extend natural gas lines within the right-of-way of existing roadways in Cumberland Head. Portions of the gas line will be located within the adjacent area of NYSDEC Regulated Wetlands PB-2 and PB-11. Horizontal directional drilling will be used to install the pipe in the wetland areas. Drill pits will be utilized in the adjacent area causing approximately 200 square feet of disturbance. All work shall be done in accordance to approved plans attached to and made part of this permit.

**Permit Authorizations**

**Freshwater Wetlands - Under Article 24**

Permit ID 5-0942-00493/00001

New Permit

Effective Date: 5/1/2015

Expiration Date: 5/1/2018

**Water Quality Certification - Under Section 401 - Clean Water Act**

Permit ID 5-0942-00493/00002

New Permit

Effective Date: 5/1/2015

Expiration Date: 5/1/2018

**NYSDEC Approval**

**By acceptance of this permit, the permittee agrees that the permit is contingent upon strict compliance with the ECL, all applicable regulations, and all conditions included as part of this permit.**

Permit Administrator: ERIN L BURNS, Deputy Regional Permit Administrator

Address:               NYSDEC REGION 5 HEADQUARTERS  
1115 NYS ROUTE 86  
PO BOX 296  
RAY BROOK, NY 12977 -0296

Authorized Signature: \_\_\_\_\_

*Erin L. Burns*

Date

5/1/15



## Permit Components

NATURAL RESOURCE PERMIT CONDITIONS

WATER QUALITY CERTIFICATION SPECIFIC CONDITION

GENERAL CONDITIONS, APPLY TO ALL AUTHORIZED PERMITS

NOTIFICATION OF OTHER PERMITTEE OBLIGATIONS

### **NATURAL RESOURCE PERMIT CONDITIONS - Apply to the Following Permits: FRESHWATER WETLANDS; WATER QUALITY CERTIFICATION**

**1. Conformance With Plans** All activities authorized by this permit must be in strict conformance with the approved plans submitted by the applicant or applicant's agent as part of the permit application. Such approved plans were prepared by NYSEG, received by the DEC on February 5, 2015 and March 20, 2015.

#### **PRE-CONSTRUCTION REQUIREMENTS**

**2. Leave Delineation Markers in Place** Markers used by this Department to delineate/define the boundary of the wetland or the extent of the permitted structures shall be left in place and remain undisturbed for one year following completion of the project.

**3. Precautions Against Contamination of Waters** All necessary precautions shall be taken to preclude contamination of any wetland or waterway by suspended solids, sediments, fuels, solvents, lubricants, epoxy coatings, paints, concrete, leachate or any other environmentally deleterious materials associated with the project.

**4. Notice of Intent to Commence Work** The permittee shall submit a Notice of Intent to Commence Work to The NYS DEC Bureau of Wildlife at least 48 hours in advance of the time of commencement and shall also notify him/her promptly in writing of the completion of work.

**5. Post Permit Sign** The permit sign enclosed with this permit shall be posted in a conspicuous location on the worksite and adequately protected from the weather.

**6. Conditions Prevail Over Plans** If any condition of this permit conflicts with the approved plans, the permit condition shall prevail over the plans.

**7. Erosion and Siltation Prevention Measures** Erosion and siltation prevention measures such as silt fencing, straw bales or filter fabric curtains (weighted at bottom and suspended on floats), shall be installed directly downslope or downstream of the work site prior to commencing work and properly maintained during the project until all disturbed areas are successfully stabilized.

**8. No Construction Debris in Wetland or Adjacent Area** Any debris or excess material from construction of this project shall be completely removed from the adjacent area (upland) and removed to an approved upland area for disposal. No debris is permitted in wetlands and/or protected buffer areas.



**9. No Brush or Debris Disposal in Wetland** The placement of fill, trees, brush and construction debris within any portion of the wetland (outside the project area identified in the plans) is strictly prohibited.

#### CONSTRUCTION REQUIREMENTS

**10. Concrete Leachate** During construction, no wet or fresh concrete or leachate shall be allowed to escape into any wetlands or waters of New York State, nor shall washings from ready-mixed concrete trucks, mixers, or other devices be allowed to enter any wetland or waters. Only watertight or waterproof forms shall be used. Wet concrete shall not be poured to displace water within the forms.

**11. Human or Archaeological Remains** If any human remains or archaeological remains are encountered during excavation, the permittee must immediately cease, or cause to cease, all work in the area of the remains and notify

Regional Permit Administrator  
NYSDEC REGION 5 HEADQUARTERS  
1115 NYS ROUTE 86  
PO BOX 296  
RAY BROOK, NY 12977 -0296

Work shall not resume until written permission to do so has been received from the Department.

**12. Wetland Work Limited to Area Shown on Work Plan** Disturbance to the wetland and its regulated adjacent area shall be strictly limited to the work area identified in the work plan.

**13. Work Within Project Site or Upland Areas** The storage of construction equipment and materials shall be confined to within the project work site and/or upland areas greater than 100 linear feet distant from the wetland boundary.

#### POST-CONSTRUCTION REQUIREMENTS

**14. State May Order Removal or Alteration of Work** If future operations by the State of New York require an alteration in the position of the structure or work herein authorized, or if, in the opinion of the Department of Environmental Conservation it shall cause unreasonable obstruction to the free navigation of said waters or flood flows or endanger the health, safety or welfare of the people of the State, or cause loss or destruction of the natural resources of the State, the owner may be ordered by the Department to remove or alter the structural work, obstructions, or hazards caused thereby without expense to the State, and if, upon the expiration or revocation of this permit, the structure, fill, excavation, or other modification of the watercourse hereby authorized shall not be completed, the owners, shall, without expense to the State, and to such extent and in such time and manner as the Department of Environmental Conservation may require, remove all or any portion of the uncompleted structure or fill and restore to its former condition the navigable and flood capacity of the watercourse. No claim shall be made against the State of New York on account of any such removal or alteration.

**15. Materials Disposed at Upland Site** Any demolition debris, excess construction materials, and/or excess excavated materials shall be immediately and completely disposed of on an approved upland site more than 100 feet from any regulated freshwater wetland. These materials shall be suitably stabilized so as not to re-enter any water body, wetland, or wetland adjacent area.





**16. Complete Site Stabilization Before End of Season** All erosion control measures intended to stabilize the work site shall be completed by October 1 of any calendar year. If work authorized by this permit cannot be completed within the date specified above, or if seeding is impracticable due to the time of year, interim stabilization measures consisting of erosion control matting or mulching shall be installed by October 1 and maintained in an effective condition until such time as authorized work resumes in the spring or final seeding is performed (when weather conditions favor germination and growth).

**17. Seed, Mulch Disturbed Areas** All areas of soil disturbance resulting from this project shall be seeded with an appropriate perennial grass, and mulched with straw immediately upon completion of the project, within two days of final grading, or by the expiration of the permit, whichever is first. Mulch shall be maintained until suitable vegetative cover is established to the department's satisfaction.

#### GENERAL REQUIREMENTS

**18. State Not Liable for Damage** The State of New York shall in no case be liable for any damage or injury to the structure or work herein authorized which may be caused by or result from future operations undertaken by the State for the conservation or improvement of navigation, or for other purposes, and no claim or right to compensation shall accrue from any such damage.

**19. State May Require Site Restoration** If upon the expiration or revocation of this permit, the project hereby authorized has not been completed, the applicant shall, without expense to the State, and to such extent and in such time and manner as the Department of Environmental Conservation may lawfully require, remove all or any portion of the uncompleted structure or fill and restore the site to its former condition. No claim shall be made against the State of New York on account of any such removal or alteration.

#### WATER QUALITY CERTIFICATION SPECIFIC CONDITIONS

**1. Water Quality Certification** The NYS Department of Environmental Conservation hereby certifies that the subject project will not contravene effluent limitations or other limitations or standards under Sections 301, 302, 303, 306 and 307 of the Clean Water Act of 1977 (PL 95-217) provided that all of the conditions listed herein are met.

#### GENERAL CONDITIONS - Apply to ALL Authorized Permits:

**1. Facility Inspection by The Department** The permitted site or facility, including relevant records, is subject to inspection at reasonable hours and intervals by an authorized representative of the Department of Environmental Conservation (the Department) to determine whether the permittee is complying with this permit and the ECL. Such representative may order the work suspended pursuant to ECL 71- 0301 and SAPA 401(3).

The permittee shall provide a person to accompany the Department's representative during an inspection to the permit area when requested by the Department.



A copy of this permit, including all referenced maps, drawings and special conditions, must be available for inspection by the Department at all times at the project site or facility. Failure to produce a copy of the permit upon request by a Department representative is a violation of this permit.

**2. Relationship of this Permit to Other Department Orders and Determinations** Unless expressly provided for by the Department, issuance of this permit does not modify, supersede or rescind any order or determination previously issued by the Department or any of the terms, conditions or requirements contained in such order or determination.

**3. Applications For Permit Renewals, Modifications or Transfers** The permittee must submit a separate written application to the Department for permit renewal, modification or transfer of this permit. Such application must include any forms or supplemental information the Department requires. Any renewal, modification or transfer granted by the Department must be in writing. Submission of applications for permit renewal, modification or transfer are to be submitted to:

Regional Permit Administrator  
NYSDEC REGION 5 HEADQUARTERS  
1115 NYS ROUTE 86  
PO BOX 296  
RAY BROOK, NY 12977 -0296

**4. Submission of Renewal Application** The permittee must submit a renewal application at least 30 days before permit expiration for the following permit authorizations: Freshwater Wetlands, Water Quality Certification.

**5. Permit Modifications, Suspensions and Revocations by the Department** The Department reserves the right to exercise all available authority to modify, suspend or revoke this permit. The grounds for modification, suspension or revocation include:

- a. materially false or inaccurate statements in the permit application or supporting papers;
- b. failure by the permittee to comply with any terms or conditions of the permit;
- c. exceeding the scope of the project as described in the permit application;
- d. newly discovered material information or a material change in environmental conditions, relevant technology or applicable law or regulations since the issuance of the existing permit;
- e. noncompliance with previously issued permit conditions, orders of the commissioner, any provisions of the Environmental Conservation Law or regulations of the Department related to the permitted activity.

**6. Permit Transfer** Permits are transferrable unless specifically prohibited by statute, regulation or another permit condition. Applications for permit transfer should be submitted prior to actual transfer of ownership.

A handwritten signature in black ink, appearing to be 'EJB'.



## NOTIFICATION OF OTHER PERMITTEE OBLIGATIONS

### **Item A: Permittee Accepts Legal Responsibility and Agrees to Indemnification**

The permittee, excepting state or federal agencies, expressly agrees to indemnify and hold harmless the Department of Environmental Conservation of the State of New York, its representatives, employees, and agents ("DEC") for all claims, suits, actions, and damages, to the extent attributable to the permittee's acts or omissions in connection with the permittee's undertaking of activities in connection with, or operation and maintenance of, the facility or facilities authorized by the permit whether in compliance or not in compliance with the terms and conditions of the permit. This indemnification does not extend to any claims, suits, actions, or damages to the extent attributable to DEC's own negligent or intentional acts or omissions, or to any claims, suits, or actions naming the DEC and arising under Article 78 of the New York Civil Practice Laws and Rules or any citizen suit or civil rights provision under federal or state laws.

### **Item B: Permittee's Contractors to Comply with Permit**

The permittee is responsible for informing its independent contractors, employees, agents and assigns of their responsibility to comply with this permit, including all special conditions while acting as the permittee's agent with respect to the permitted activities, and such persons shall be subject to the same sanctions for violations of the Environmental Conservation Law as those prescribed for the permittee.

### **Item C: Permittee Responsible for Obtaining Other Required Permits**

The permittee is responsible for obtaining any other permits, approvals, lands, easements and rights-of-way that may be required to carry out the activities that are authorized by this permit.

### **Item D: No Right to Trespass or Interfere with Riparian Rights**

This permit does not convey to the permittee any right to trespass upon the lands or interfere with the riparian rights of others in order to perform the permitted work nor does it authorize the impairment of any rights, title, or interest in real or personal property held or vested in a person not a party to the permit.

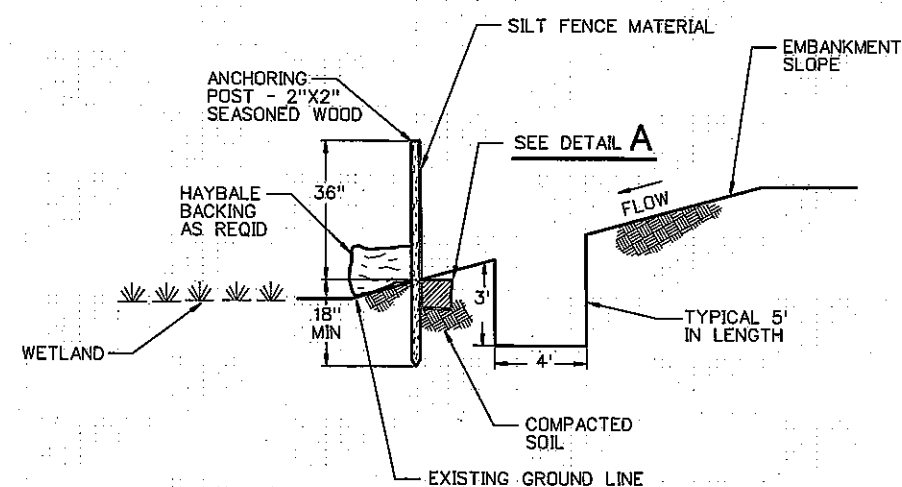
A handwritten signature, likely of the permittee, in black ink.

- CONTROLS: CURBSIDE
- GAUGES: ANALOG
- WARNING LIGHTS

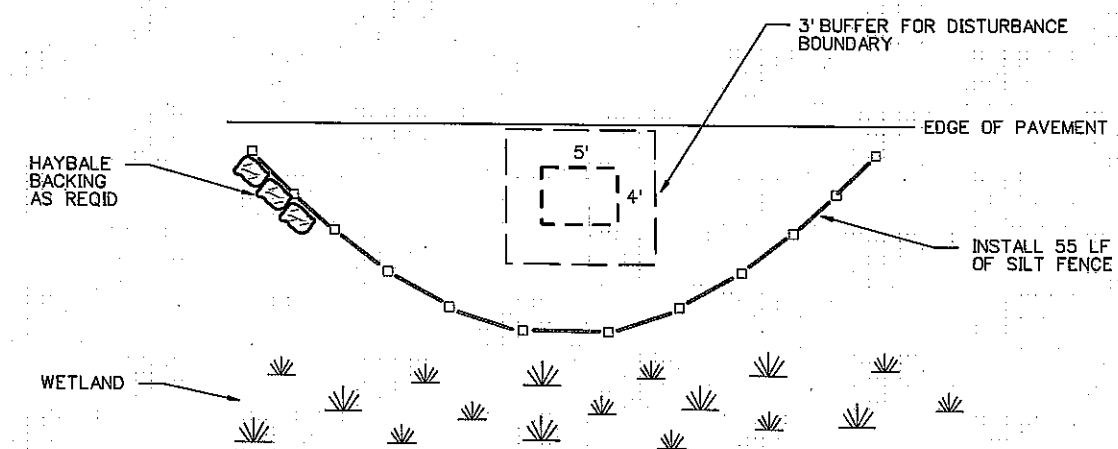
**NYSDEC - REGION 5  
ENVIRONMENTAL PERMITS**



TYPICAL SENDING PIT  
TOE OF SLOPE CONDITION



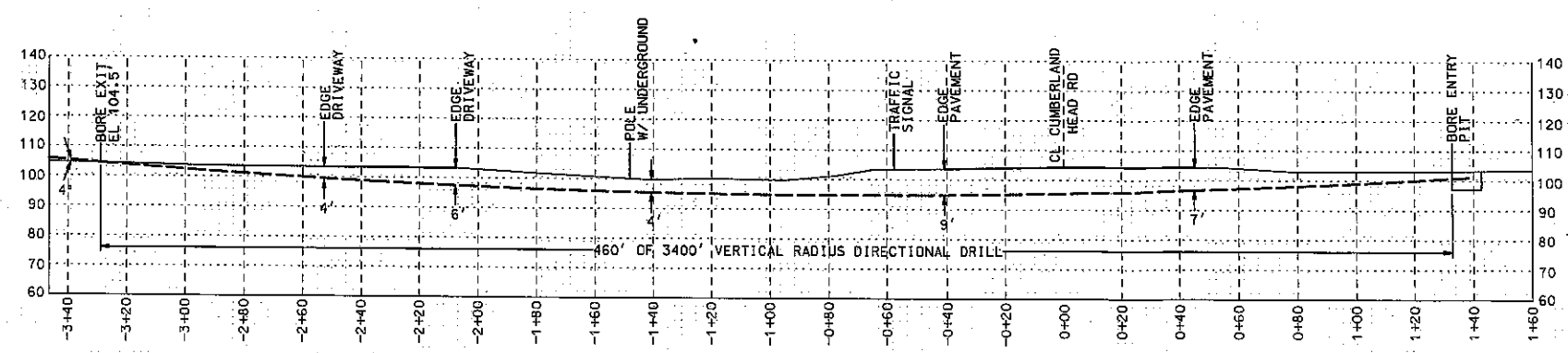
TYPICAL RECEIVING PIT  
TOE OF SLOPE CONDITION



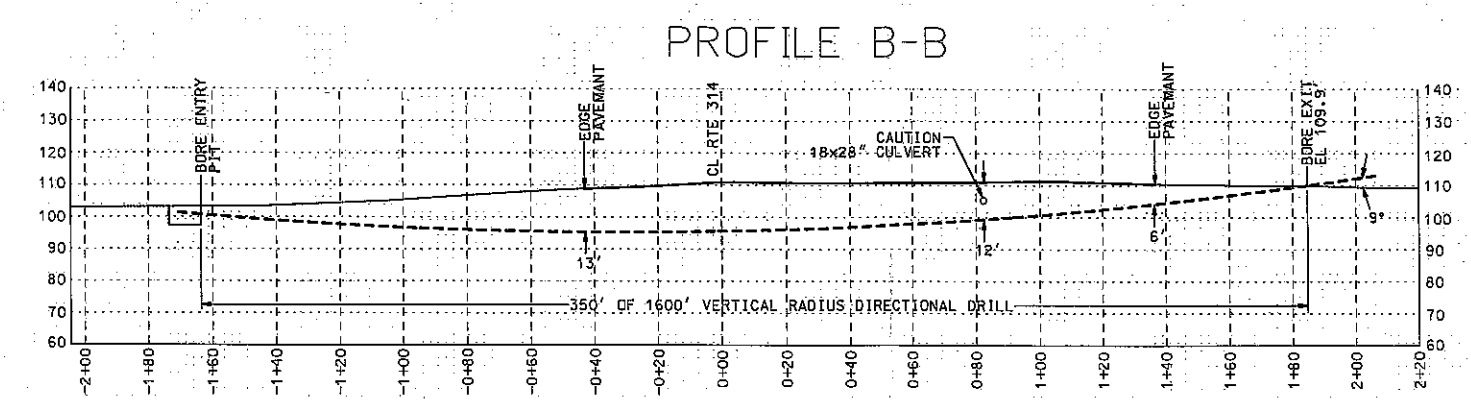
TYPICAL SEND/RECEIVING PIT  
PLAN VIEW

HORIZONTAL DIRECTIONAL  
BORE INSTALLATION  
MAP 3 OF 3

- LEGEND**
- Power Pole
  - Light Pole
  - Manhole
  - Fire Hydrant
  - Catch Basin
  - Guardrail
  - Stream/River
  - Swamp/Wetland
  - Mail Box
  - Post/Sign
  - Cemetery
  - Tree
  - Bush
  - Tree Line
  - Hedge Line
  - Railroad
  - Fence
  - Stone Wall
  - Underground Facility
  - Property Line
  - Retired Pipe
  - Proposed Pipe

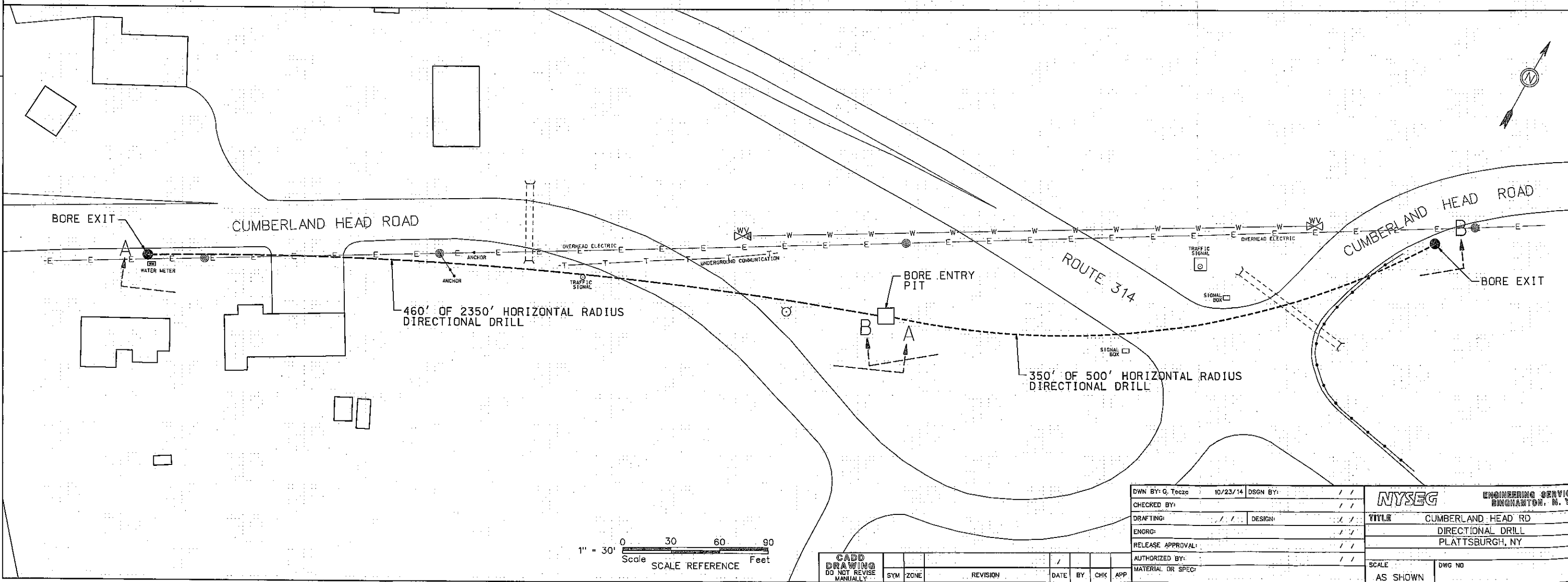


PROFILE A-A



PROFILE B-B

0 10 20 30  
Scale Feet  
PROFILE SCALE



1" = 30'  
0 30 60 90  
Scale SCALE REFERENCE Feet

|                   |  |          |          |  |     |                 |                      |     |
|-------------------|--|----------|----------|--|-----|-----------------|----------------------|-----|
| DWN BY: G. Teczo  |  | 10/23/14 | DSGN BY: |  | / / | <b>NYSEG</b>    | ENGINEERING SERVICES |     |
| CHECKED BY:       |  |          |          |  | / / |                 | BINGHAMTON, N. Y.    |     |
| DRAFTING:         |  | / /      | DESIGN:  |  | / / |                 | TITLE                |     |
| ENGR:             |  |          |          |  | / / |                 | CUMBERLAND HEAD RD   |     |
| RELEASE APPROVAL: |  |          |          |  | / / |                 | DIRECTIONAL DRILL    |     |
| AUTHORIZED BY:    |  |          |          |  | / / | PLATTSBURGH, NY |                      |     |
| MATERIAL OR SPEC: |  |          |          |  | / / | SCALE           | DWG NO               | REV |
| APP               |  |          |          |  |     | AS SHOWN        |                      |     |

Date/Time Plotted:  
10/23/2014



2/17/2015

NEW YORK STATE ELECTRIC & GAS CORP.  
CAROL HOWLAND  
PO BOX 5224  
BINGHAMTON, NY 13902-

**Re: ACKNOWLEDGMENT of NOTICE of INTENT for  
Coverage Under SPDES General Permit for Storm  
Water Discharges from CONSTRUCTION  
ACTIVITY General Permit No. GP-0-15-002**

Dear Prospective Permittee:

This is to acknowledge that the New York State Department of Environmental Conservation (Department) has received a complete Notice of Intent (NOI) for coverage under General Permit No. GP-0-15-002 for the construction activities located at:

**CUMBERLAND HEAD GAS MAIN EXTENSION  
CUMBERLAND HEAD ROAD  
PLATTSBURGH, NY 12901-**

**County: CLINTON**

Pursuant to Environmental Conservation Law (ECL) Article 17, Titles 7 and 8, ECL Article 70, discharges in accordance with GP-0-15-002 from the above construction site will be authorized **10** business days from **02/03/2015** which is the date we received your final NOI, unless notified differently by the Department.

The permit identification number for this site is: **NYR10Y995** . Be sure to include this permit identification number on any forms or correspondence you send us. When coverage under the permit is no longer needed, you must submit a Notice of Termination to the Department.

This authorization is conditioned upon the following:

1. The information submitted in the NOI received by the Department on **02/03/2015** is accurate and complete.
2. You have developed a Storm Water Pollution Prevention Plan (SWPPP) that complies with GP-0-15-002 which must be implemented as the first element of construction at the above-noted construction site.
3. Activities related to the above construction site comply with all other requirements of GP-0-15-002.

4. Payment of the annual \$100 regulatory fee, which is billed separately by the Department in the late fall. The regulatory fee covers a period of one calendar year. In addition, since September 1, 2004, construction stormwater permittees have been assessed an initial authorization fee which is now \$100 per acre of land disturbed and \$600 per acre of future impervious area. The initial authorization fee covers the duration of the authorized disturbance.

5. When applicable, project review pursuant to the State Environmental Quality Review Act (SEQRA) has been satisfied.

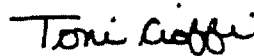
6. You have obtained all necessary Department permits subject to the Uniform Procedures Act (UPA). You should check with your Regional Permit Administrator for further information.

**\*Note: Construction activities cannot commence until project review pursuant to SEQRA has been satisfied, when SEQRA is applicable; and, where required, all necessary Department permits subject to the UPA have been obtained.**

Please be advised that the Department may request a copy of your SWPPP for review.

Should you have any questions regarding any aspect of the requirements specified in GP-0-15-002, please contact Dave Gasper at (518) 402-8114 or the undersigned at (518) 402-8109.

Sincerely,



Toni Cioffi

Environmental Program Specialist 1

cc: RWE -5  
SWPPP Preparer

URS CORPORATION  
BURROUGHS, JEFF  
257 WEST GENESEE STREET, SUITE 400  
BUFFALO, NY 14202-



## United States Department of the Interior

### FISH AND WILDLIFE SERVICE

New York Field Office

3817 Luker Road

Cortland, NY 13045

Phone: (607) 753-9334 Fax: (607) 753-9699

<http://www.fws.gov/northeast/nyfo>



To: Gary Palumbo, URS Corporation

Date: Oct 29, 2014

USFWS File No: 2015-TA-0049

Regarding your: ☒ Letter ☐ Fax ☐ Email

Dated: 10/8/2014

For project: Proposed gas main extension project

Located: along various roads on Cumberland Head

In Town/County: Town of Prattsburgh/Clinton

Pursuant to the Endangered Species Act of 1973 (ESA) (87 Stat. 884, as amended; 16 U.S.C. 1531 *et seq.*), the U.S. Fish and Wildlife Service:

☒ Acknowledges receipt of your "no effect" and/or no impact determination. No further ESA coordination or consultation is required.

☐ Acknowledges receipt of your determination. Please provide a copy of your determination and supporting materials to any involved Federal agency for their final ESA determination.

☐ Is taking no action pursuant to ESA or any legislation at this time, but would like to be kept informed of project developments.

As a reminder, until the proposed project is complete, we recommend that you check our website (<http://www.fws.gov/northeast/nyfo/es/section7.htm>) every 90 days from the date of this letter to ensure that listed species presence/absence information for the proposed project is current. Should project plans change or if additional information on listed or proposed species or critical habitat becomes available, this determination may be reconsidered.

USFWS Contact(s):  10/29/14

Supervisor: Patricia Cole Date: 10/29/14



**NEW YORK STATE DEPARTMENT OF ENVIRONMENTAL CONSERVATION**  
**Division of Fish, Wildlife & Marine Resources**  
**New York Natural Heritage Program**  
625 Broadway, 5<sup>th</sup> Floor, Albany, New York 12233-4757  
**Phone:** (518) 402-8935 • **Fax:** (518) 402-8925  
**Website:** [www.dec.ny.gov](http://www.dec.ny.gov)



**Joe Martens**  
**Commissioner**

October 31, 2014

Gary Palumbo  
URS Corporation  
257 West Genesee Street, Suite 400  
Buffalo, NY 14202

Re: Proposed gas main extension project - Cumberland Head  
Town/City: Plattsburgh. County: Clinton.

Dear Gary Palumbo :

In response to your recent request, we have reviewed the New York Natural Heritage Program database with respect to the above project.

Enclosed is a report of rare or state-listed animals and plants, and significant natural communities, which our databases indicate occur, or may occur, on your site or in the immediate vicinity of your site.

For most sites, comprehensive field surveys have not been conducted; the enclosed report only includes records from our databases. We cannot provide a definitive statement as to the presence or absence of all rare or state-listed species or significant natural communities. Depending on the nature of the project and the conditions at the project site, further information from on-site surveys or other sources may be required to fully assess impacts on biological resources.

Our databases are continually growing as records are added and updated. If this proposed project is still under development one year from now, we recommend that you contact us again so that we may update this response with the most current information.

The presence of the plants and animals identified in the enclosed report may result in this project requiring additional review or permit conditions. For further guidance, and for information regarding other permits that may be required under state law for regulated areas or activities (e.g., regulated wetlands), please contact the appropriate NYS DEC Regional Office, Division of Environmental Permits, as listed at [www.dec.ny.gov/about/39381.html](http://www.dec.ny.gov/about/39381.html).

Sincerely,

Andrea Chaloux  
Environmental Review Specialist  
New York Natural Heritage Program



**The following state-listed animals have been documented  
at your project site, or in its vicinity.**

The following list includes animals that are listed by NYS as Endangered, Threatened, or Special Concern; and/or that are federally listed or are candidates for federal listing. The list may also include significant natural communities that can serve as habitat for Endangered or Threatened animals, and/or other rare animals and rare plants found at these habitats.

**For information about potential impacts of your project on these populations, how to avoid, minimize, or mitigate any impacts, and any permit considerations, contact the Wildlife Manager or the Fisheries Manager at the NYSDEC Regional Office for the region where the project is located. A listing of Regional Offices is at <http://www.dec.ny.gov/about/558.html>.**

**The following species and habitats have been documented at or near the project site, generally within 0.1 mile. Potential onsite and offsite impacts from the project may need to be addressed.**

| COMMON NAME                                 | SCIENTIFIC NAME            | NY STATE LISTING | FEDERAL LISTING |
|---|----------------------------|------------------|-----------------|
| <b>Birds</b>                                |                            |                  |                 |
| <b>Northern Harrier</b><br><i>Breeding</i>  | <i>Circus cyaneus</i>      | Threatened       | 177             |
| <b>Pied-billed Grebe</b><br><i>Breeding</i> | <i>Podilymbus podiceps</i> | Threatened       | 10674           |
| <b>Short-eared Owl</b><br><i>Breeding</i>   | <i>Asio flammeus</i>       | Endangered       | 10634           |

This report only includes records from the NY Natural Heritage databases. For most sites, comprehensive field surveys have not been conducted, and we cannot provide a definitive statement as to the presence or absence of all rare or state-listed species. Depending on the nature of the project and the conditions at the project site, further information from on-site surveys or other sources may be required to fully assess impacts on biological resources.

If any rare plants or animals are documented during site visits, we request that information on the observations be provided to the New York Natural Heritage Program so that we may update our database.

Information about many of the listed animals in New York, including habitat, biology, identification, conservation, and management, are available online in Natural Heritage's Conservation Guides at [www.guides.nynhp.org](http://www.guides.nynhp.org), and from NYSDEC at [www.dec.ny.gov/animals/7494.html](http://www.dec.ny.gov/animals/7494.html).



**The following rare plants, rare animals, and significant natural communities  
have been documented at your project site, or in its vicinity.**

We recommend that potential onsite and offsite impacts of the proposed project on these species or communities be addressed as part of any environmental assessment or review conducted as part of the planning, permitting and approval process, such as reviews conducted under SEQRA. Field surveys of the project site may be necessary to determine the status of a species at the site, particularly for sites that are currently undeveloped and may still contain suitable habitat. Final requirements of the project to avoid, minimize, or mitigate potential impacts are determined by the lead permitting agency or the government body approving the project.

**The following animals, while not listed by New York State as Endangered or Threatened, are of conservation concern to the state, and are considered rare by the New York Natural Heritage Program.**

| COMMON NAME   | SCIENTIFIC NAME    | NY STATE LISTING | HERITAGE CONSERVATION STATUS |
|---|--------------------|------------------|------------------------------|
| <b>Birds</b>  |                    |                  |                              |
| <b>Barn Owl</b><br><i>Breeding</i>  | <i>Tyto alba</i>   | Protected Bird   | Critically Imperiled in NYS  |
| Colligan Point, 2001-07-17: The owl was heard near the barn of an idle farm. A large former pasture that has not been hayed or grazed for several years is bordered by hayed fields, wooded wetlands, a lake, and lakeside homes. |                    |                  | 11302                        |
| <b>Common Loon</b><br><i>Breeding</i>   | <i>Gavia immer</i> | Special Concern  |                              |
| Lake Champlain Plattsburgh, 1994: The loons were observed on a lake.  |                    |                  | 12178                        |

**The following significant natural communities are considered significant from a statewide perspective by the NY Natural Heritage Program. They are either occurrences of a community type that is rare in the state, or a high quality example of a more common community type. By meeting specific, documented criteria, the NY Natural Heritage Program considers these community occurrences to have high ecological and conservation value.**

| COMMON NAME  | SCIENTIFIC NAME | NY STATE LISTING                                   | HERITAGE CONSERVATION STATUS |
|--|-----------------|--|------------------------------|
| <b>Wetland/Aquatic Communities</b>   |                 |  |                              |
| <b>Silver Maple-Ash Swamp</b>  |                 | High Quality Occurrence of Uncommon Community Type |                              |
| Woodruff Pond and Swamp: The swamp is of moderate size, with excellent diversity, few exotic species, and little evidence of disturbance or threats.   |                 |  | 7660                         |
| <b>Upland/Terrestrial Communities</b>  |                 |  |                              |
| <b>Great Lakes Dunes</b>   |                 | Rare Community Type                                |                              |
| Plattsburgh Municipal Beach: Very small, disturbed, somewhat protected remnant of larger occurrence with good representation of plant associations and one core area in good condition. Restorable to "BC" or "C" rank upon improvement of condition of extant acreage or restoration to historical acreage. |                 |  | 9032                         |

The following plants are listed as Endangered or Threatened by New York State, and/or are considered rare by the New York Natural Heritage Program, and so are a vulnerable natural resource of conservation concern.

| COMMON NAME  | SCIENTIFIC NAME   | NY STATE LISTING | HERITAGE CONSERVATION STATUS                  |
|--|---|------------------|---|
| <b>Vascular Plants</b>   |   |                  |   |
| <b>Champlain Beachgrass</b>  | <i>Ammophila breviligulata</i> ssp. <i>champlainensis</i> | Endangered       | Critically Imperiled in NYS and Globally Rare |
| Plattsburgh Municipal Beach, 2005-10-05: This is a small remnant of open dunes backed by woods and thickets. Heights of the dunes vary from 2-5 m. Interdunal swales are dry to slightly moist. These are clearly remnant of a larger dune system which extended to the north and east and is now partially forested and developed. A boardwalk was recently constructed on the outer edge of the dunes. |   |                  |   |
| <b>Handsome Sedge</b>  | <i>Carex formosa</i>                                      | Threatened       | Imperiled in NYS                              |
| Cumberland Head, 2006-07-26: This is a wet powerline that was cut through a swamp. The open wet calcareous powerline supports many species of herbaceous plants and shrubs. The powerline is bordered by a hardwood swamp.   |   |                  |   |

This report only includes records from the NY Natural Heritage databases. For most sites, comprehensive field surveys have not been conducted, and we cannot provide a definitive statement as to the presence or absence of all rare or state-listed species. Depending on the nature of the project and the conditions at the project site, further information from on-site surveys or other sources may be required to fully assess impacts on biological resources.

If any rare plants or animals are documented during site visits, we request that information on the observations be provided to the New York Natural Heritage Program so that we may update our database.

Information about many of the rare animals and plants in New York, including habitat, biology, identification, conservation, and management, are available online in Natural Heritage's Conservation Guides at [www.guides.nynhp.org](http://www.guides.nynhp.org), from NatureServe Explorer at [www.natureserve.org/explorer](http://www.natureserve.org/explorer), and from USDA's Plants Database at <http://plants.usda.gov/index.html> (for plants).

Information about many of the natural community types in New York, including identification, dominant and characteristic vegetation, distribution, conservation, and management, is available online in Natural Heritage's Conservation Guides at [www.guides.nynhp.org](http://www.guides.nynhp.org). For descriptions of all community types, go to [www.dec.ny.gov/animals/97703.html](http://www.dec.ny.gov/animals/97703.html) for Ecological Communities of New York State.



## New York State Office of Parks, Recreation and Historic Preservation

Division for Historic Preservation  
P.O. Box 189, Waterford, New York 12188-0189  
518-237-8643

**Andrew M. Cuomo**  
Governor

**Rose Harvey**  
Commissioner

December 09, 2013

Gary Palumbo, AICP  
URS Corporation  
77 Goodell St.  
Buffalo, New York 14203

Re: PSC  
NYSEG Gas Franchise  
DANNEMORA, PLATTSBURGH,  
SARANAC, Clinton County  
96PR01054

Dear Mr. Palumbo, AICP:

Thank you for requesting the comments of the Office of Parks, Recreation and Historic Preservation (OPRHP). We have reviewed the project in accordance with the New York State Historic Preservation Act of 1980 (Section 14.09 of the New York Parks, Recreation and Historic Preservation Law). These comments are those of the Division for Historic Preservation and relate only to Historic/Cultural resources. They do not include potential environmental impacts to New York State Parkland that may be involved in or near your project. Such impacts must be considered as part of the environmental review of the project pursuant to the State Environmental Quality Review Act (New York Environmental Conservation Law Article 8) and its implementing regulations (6 NYCRR Part 617).

Based upon this review, it is the OPRHP's opinion that your project will have No Impact upon cultural resources in or eligible for inclusion in the State and National Register of Historic Places.

If further correspondence is required regarding this project, please be sure to refer to the OPRHP Project Review (PR) number noted above.

Sincerely,

Ruth L. Pierpont  
Deputy Commissioner for Historic Preservation