

EDJ Standards Changes:	Filed	Order Issued	Ordered Testing Completion	Ordered Implementation Date	Central Hudson	Con Edison	Nat. Grid - Upstate	Nat. Grid - Downstate	NFG	NYSEG	O&R	RG&E	PSEG-LI
Are Utility Specific Implementation Guide documents Available?	10/23/2014	3/2/2015	9/2/2015	11/2/2015	Yes	Yes	Yes	Yes	Work in Progress	Yes	Yes	Yes	Yes
What is the link to the Utility Specific EDI Documents:	All guides available from: http://www3.dps.ny.gov/NYPSWeb/ef/MI/04518370CF9214558257EC4005297327?orderDocument												
APP Items - Round 3 & Other Changes:													
810UBR/810URR: the addition of the CRE030 SAC04 Charge Type			7/3/2017	Approved 1 mo. following Prohibition Order implementation	Implemented	Implemented	Implemented	Implemented	Implemented	Implemented	Implemented	Implemented	Implemented
Utility 814C AMT*7 Implemented					Implemented	Implemented	Implemented	Implemented ###	Implemented	Implemented ###	Implemented	Implemented ###	Implemented ###
814D: Support of ESCO Contest Period Reinstatement Requests via EDI	4/7/2015	9/2/2015	Optional - testing date determined by Utility	Optional - implement. date determined by Utility	No	Implemented	Planned	Implemented	Implemented	No	Implemented	No	No
814E: Support Service Portability via EDI					Implemented	TBD	Implemented	Implemented	Planned - 2019 Yes but Alt. EDI Implementation	No	Implemented	No	No
APP Items - Round 2 & Other Changes:	7/24/2015	12/7/2015											
503: Pricing History Transaction						No	Yes	TBD	TBD	Yes	Testing	TBD ***	TBD
Ready to Test - Date					5/26/2017	N/A	5/26/2017	7/17/2017	TBD ***	TBD ***	Testing	TBD ***	TBD
Ready to Implement - Date					6/26/2017	N/A	6/26/2017	8/18/2017	TBD ***	TBD ***	May-20	TBD ***	TBD ***
Implemented					Yes	N/A	Yes	Yes	TBD ***	TBD ***	TBD ***	TBD ***	TBD ***
Interim/Alternative 503 Approach					No	No	No	Secure Web File	No	Manual	No	No	No
820: the addition of the new RMR07 code of GR					7/26/2017	Implemented	Yes	TBD ***	7/26/2017	No	Implemented	No	No
814C: Addition of rejection reason codes MOV & ECB in REF*7G					No	No	TBD ***	TBD ***	TBD ***	No	No	No	No
814C/814E: Support exchange of Customer email addresses and facsimile numbers with ESCOs via EDI					No	No	No	No	TBD	No	No	No	No
814E: Support PER Administrative Communications Contact (Customer Phone Number) Segment to N1*BT loop via EDI					No	No	No	No	TBD	No	No	No	No
Phase I Testing, APP Items - Round 3 & Other Changes:	1/29/2016 & 6/30/2016	#N/A											
867MU: REF*BLT (Bill Option - DUAL/ESP/LDC) segment			Optional	Optional	No	No	TBD	Implemented	TBD	No	TBD	No	No
814E: Ref. Des. AMT03 in AMT Monetary Amount (Future ICAP) Segment incl. Special Program Adjustment Indicator			Optional	Optional	No	No	Implemented	#N/A	#N/A	Implemented	No	Implemented	Implemented
867HU: REF*SPL (ISO Location Based Marginal Pricing Zone)			Optional	Optional	No	No	No	#N/A	#N/A	No	TBD	No	No
867HU: REF*IV (Gas Pool ID)			Optional	Optional	No	No	No	No	No	No	No	No	No
March 31, 2017 EDI Report	3/31/2017	#N/A											
867HU: REF*BF/814E: REF*6S changes			Optional	Optional	No	No	No	No	TBD	No	No	No	No
867HU/MIU EDI Standards and supporting changes			Optional	Optional	No	Implemented	No	No	No	No	Implemented	No	No
814E REF Reference Identification (Public Aggregator) Segment			Optional	Optional	Yes	No	Yes	No	TBD	No	No	No	No
October 31, 2017 EDI Report & 10/19/2017 DERS-UBP Order	10/31/2017	#N/A											
814D: Support of ESCO Contest Period Expiration NPD Code (No Pending Drop)			Optional	Optional	No	No	TBD	TBD	TBD	No	No	No	No
814R: Support of ESCO Contest Period Expiration NPD Code					No	No	TBD	TBD	TBD	No	No	No	No
DERS-UBP: EDI Access for DERS - DERS Appl. Process Available					Planned	Planned	Planned	Planned	Planned	Planned 6/1	Completed	Planned 06/1	Planned 06/1
DERS-UBP: Gas Profile Available via EDI			Optional	Optional	No	Yes	No	No	TBD	No	No	No	No
Responses to the above questions can be answered: Yes, No, Implemented, N/A or with a planned or actual implementation date.													
Notes:													
*** Partial Implementation - utility will only implement selected items/codes.													
*** Implementation dates will be set prospectively now that Prohibition Order was implemented.													
### UBR Utilities have the option to employ AMT*7 when the ESCO issuing the GSP credit is no longer serving the customer or in Dual Bill situations [Grid is exercising this option]. Otherwise, a UBR Utility may use a cancel/rebill to re-open a billing window when the customer is no longer served by the ESCO issuing the GSP Credit or a non-EDI process for dual bill situations. [NYSEG/REG&E is taking this approach].													

EDJ Standards Changes filed 4/30/2018:	Transaction	Segment	Conditionality	Central Hudson	Con Edison	Nat. Grid - Upstate	Nat. Grid - Downstate	NFG	NYSEG	O&R	RG&E	PSEG-LI
Changes to communicate SLP Credit to ESCO under ECB	810SR	SAC*C	Conditional	#N/A	#N/A	#N/A	#N/A	Completed	#N/A	#N/A	#N/A	#N/A
New segment to exchange HEAP payment info. Between ECB ESCOs and utility.	814C	AMT*B1	Conditional	#N/A	#N/A	#N/A	#N/A	Completed	#N/A	#N/A	#N/A	#N/A
New segment to exchange HEAP payment info. Between ECB ESCOs and utility.	814C	AMT*BK	Conditional	#N/A	#N/A	#N/A	#N/A	Completed	#N/A	#N/A	#N/A	#N/A
REF*TD changes related to AMT*B1 and AMT*BK	814C	REF*TD	Conditional	#N/A	#N/A	#N/A	#N/A	Completed	#N/A	#N/A	#N/A	#N/A
New Segment REF*5E added to communicate changes in customer APP Status to ESCO.	814C	REF*5E	Optional	No	No *	No	No	Completed	No	No *	No	No
REF*TD changes related to REF*5E	814C	REF*TD	Optional	No	No *	No	No	Completed	No	No *	No	No
Repurpose AMT*7 Segment process Guarantee Savings Program (GSP) Credits only.	814C	AMT*7	Required	6/30/2018	Completed	Completed	TBD	N/A **	Completed	N/A **	TBD	N/A **
New segment AMT*UJ added for ESCO Generic Credits.	814C	AMT*UJ	Optional	TBD	TBD	TBD	N/A **	TBD	N/A **	TBD	N/A **	N/A **
REF*TD changes related to AMT*UJ	814C	REF*TD	Optional	TBD	TBD	TBD	N/A **	TBD	N/A **	TBD	N/A **	N/A **
New Segment REF*5E added to communicate customer APP Status to ESCO in Enrollment Response (Req'd for ECB).	814E	REF*5E	Optional	No	No *	No	No	Completed	No	No *	No	No
Notes:												
** APP Status is provided in Sync File.												
** Generic Credits processed via existing 810UBR transactions.												

EDJ Standards Changes filed 11/30/2018:	Transaction	Segment	Conditionality	Central Hudson	Con Edison	Nat. Grid - Upstate	Nat. Grid - Downstate	NFG	NYSEG	O&R	RG&E	PSEG-LI
Migration to GISB EDM 1.6												
- Scheduled Completion Date				12/31/2019	12/31/2019	Complete	Complete	4/15/2019	12/31/2019	12/31/2019	12/31/2019	12/31/2019
- Initiation of Testing				Mar-20	10/15/2019	N/A *	N/A *	proj. 6/15/19	1/15/2020	3/18/2019	3/18/2019	3/18/2019
- Completion Date								6/17/2019		TBD	12/10/2019	12/10/2019
Migration to 1024-bit PGP encryption keys												
- Scheduled Completion Date				N/A ***	N/A ***			4/15/2019	NA****	N/A	NA****	NA****
- Initiation of Testing				N/A ***	N/A ***			proj. 6/15/19	NA****	N/A	NA****	NA****
- Completion Date								6/17/2019	NA****	N/A	NA****	NA****

EDJ Standards Changes filed 5/31/2019:	Transaction	Segment	Conditionality	Central Hudson	Con Edison	Nat. Grid - Upstate	Nat. Grid - Downstate	NFG	NYSEG	O&R	RG&E	PSEG-LI
New segment AMT*KY added for Net Metering (Electric Only).	814C/814E	AMT*KY	Optional					#N/A		No		
Notes:												
* National Grid has already implemented and all ESCOs exchanging transactions via GISB EDM 1.6												
** Central Hudson has already implemented but not all ESCOs exchanging transactions via GISB EDM 1.6												
*** Central Hudson already requires 1024-bit keys so no further implementation is necessary.												

TLS 1.0 Upgrade to TLS 1.2 or 1.3 for NAESB EDM EDI Exchanges	Transaction	Segment	Conditionality	Central Hudson	Con Edison	Nat. Grid - Upstate	Nat. Grid - Downstate	NFG	NYSEG	O&R	RG&E	PSEG-LI
Do you currently use TLS 1.0 in production EDI data exchange with EDI trading partners, including ESCOs?				Yes 1.0	Yes, 1.0, 1.1 and 1.2	Supports all	Supports all	Supports all	Supports all	Yes, 1.0, 1.1 and 1.2	Supports all	Supports all
Do you have a plan to replace TLS 1.0 with implementation of TLS 1.2 or 1.3 in production with ESCOs, and if so when?				Upgrading by February 2020 to 1.2	No	No	Inbound: Once WAF protection implemented, we'll only accept TLS1.2 and TLS1.3 Outbound: No plans	No	TLS1.2 enforcement planned during May 2020	No	TLS1.2 enforcement planned during May 2020	Inbound: Once WAF protection implemented, we'll only accept TLS1.2 and TLS1.3 Outbound: No plans
If you don't currently have a plan to implement TLS 1.2 or 1.3, do you require a PSC order to do so?				n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a
867HU/867MIU				Central Hudson	Con Edison	Nat. Grid - Upstate	Nat. Grid - Downstate	NFG	NYSEG	O&R	RG&E	PSEG-LI
Interval usage for EDI				No plans to implement	Currently in production	No plans to implement	N/A	N/A	No plans to implement	Currently in production	No plans to implement	???
Historical Usage				Central Hudson	Con Edison	Nat. Grid - Upstate	Nat. Grid - Downstate	NFG	NYSEG	O&R	RG&E	PSEG-LI

Meter Data (months)

Gas

	EDI	24	12	24	12	12
	Portal	24	24	24	24	24
Electric	EDI	24	24	n/a	12	12
	Portal	24	24	n/a	24	24