



Department of Public Service

ENERGY SERVICES COMPANY (ESCO) RETAIL ACCESS APPLICATION FORM (RAAF)

1. Business Information

A. Business Name: Fuse Energy NY LLC

Address: C T Corporation System, 28 Liberty Street

City: New York State: NY Zip: 10005

Telephone: To be specified later Fax:

Website Address: <https://www.fuseenergy.com>

Customer Service Email Address: support@fuseenergy.com

Toll Free Number: To be specified later

If you intend to market your services under a DBA, list name here: N/A

(Copy of your certificate of assumed name is required, please attach)

B. List energy affiliates, including upstream owners and subsidiaries, (include additional sheets if necessary):

Name: Fuse Energy Supply Limited (United Kingdom)

Contact Name: Adam Czernikiewicz

Address: 30 Churchill Place

City: London State: N/A Zip: E14 5EU

Telephone: To be specified later Fax: N/A

Email Address: legal@fuseenergy.com

C. During the previous 36 months, have any criminal or regulatory sanctions been imposed against any senior officer of the ESCO applicant or any entity holding ownership interests of 10% or more in the ESCO? Yes No

- a. If yes, provide the name and title of each such person as well as a detailed explanation of the sanctions and any relevant context (add additional sheets if necessary):

D. List all states that your company has operated in within the last 24 months: New York only

E. List all trade names used in other states: N/A

2. Contact Information

A. Executive Contact

Name: King Lun Alan Chang

Title: Group CEO

Address: 30 Churchill Place

City: London, United Kingdom State: N/A Zip: E14 5EU

Telephone: To be specified later Fax: N/A

Email Address: alan@fuseenergy.com

B. Regulatory Contact

Name: Adam Czernikiewicz

Title: Group Senior Legal Counsel

Address: 30 Churchill Place

City: London, United Kingdom State: N/A Zip: E14 5EU

Telephone: To be specified later Fax: N/A

Email Address: adam@fuseenergy.com

C. Marketing Contact

Name:

Title:

Address:

City: State: Zip:

Telephone: Fax:

Email Address:

D. EDI Vendor

Vendor Name: Hansen Technologies

Contact Name: John Baksa

Address: 7450 Tilghman Street, Suite 100

City: Allentown State: PA Zip: 18106

Telephone: +1 203 600 9913 Fax: N/A

Email Address: john.baksa@hansencx.com

3. Markets for Which Eligibility is Sought (“√” relevant boxes):

| | | |
|---------------------|-------------------------------------|---|
| Market: | Industrial and Large Commercial | Residential and Small Non-Residential (the Mass Market) |
| Eligibility Sought: | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |

4. Identify the Types and Locations of Markets

- A. **Eligible ESCOs that have completed Phase III Testing**, place an “√” in the applicable cells of the table below to 1) designate the individual utility retail access programs in which you participate, and the customer market(s) in each program you serve, 2) indicate the commodities you offer in each service territory; and 3) indicate the billing options you offer in each territory.
- B. If you are a **new ESCO applying for eligibility**, please leave this section blank.
- C. If you are an **ESCO that has eligibility but are not serving**, leave this section blank. When you complete Phase III Testing or begin serving you should resubmit this form with the appropriate boxes checked.

| Utility | Customer Markets | | Commodity | | Billing Options | | | |
|------------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|------------------------------------|--------------------------|
| | Mass Market | Non-Res | Nat Gas | Electric | Utility Rate Ready (URR) | Utility Bill Ready (UBR) | ESCO Combined Billing ¹ | Dual Bill |
| Central Hudson | <input type="checkbox"/> | n/a | n/a | n/a |
| Con Edison | <input type="checkbox"/> | n/a | n/a | <input type="checkbox"/> |
| Corning Natural Gas | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | n/a | n/a | n/a | n/a | n/a |
| LIPA | <input type="checkbox"/> | <input type="checkbox"/> | n/a | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| National Grid (KEDNY, KEDLI) | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | n/a | n/a | <input type="checkbox"/> | n/a | <input type="checkbox"/> |
| National Grid (Upstate) | <input type="checkbox"/> | n/a | n/a | <input type="checkbox"/> |
| National Fuel Gas | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | n/a | <input type="checkbox"/> | n/a | <input type="checkbox"/> | <input type="checkbox"/> |
| NYSEG | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | n/a | <input type="checkbox"/> | n/a | <input type="checkbox"/> |
| Orange & Rockland | <input type="checkbox"/> | n/a | n/a | <input type="checkbox"/> |
| Rochester Gas & Electric | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | n/a | <input type="checkbox"/> | n/a | <input type="checkbox"/> |
| St. Lawrence | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | n/a | n/a | n/a | n/a | n/a |

¹ National Fuel users Single Retailer EDI transactions to support ECB.

5. Identify Method(s) of Marketing

| Marketing Type | Residential | Small Non-Residential | Industrial and Large Commercial |
|---------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|
| Door to Door | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |
| Kiosk Sales (at store or event) | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Appointment Only | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Telemarketing | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |
| Direct Mail | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |
| Through Partners (attach list) | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Online Advertisements | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |
| Web Enrollments | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |

6. Identify Types of Products Offered

| Product Type (see instructions) | Residential | Small Non-Residential | Industrial and Large Commercial |
|---|-------------------------------------|-------------------------------------|-------------------------------------|
| Variable-Rate (commodity only) with Guaranteed Savings | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |
| Fixed-Rate within Price Cap | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |
| Renewable (50 percentage points greater than RES LSE Obligation for the year) | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |
| Other Product Type Specifically Approved by the Commission (if applicable, attach detailed description) | <input type="checkbox"/> | <input type="checkbox"/> | N/A |
| Other | N/A | N/A | <input type="checkbox"/> |

7. Additional Requirements

- A. Copy and proof of acceptance of your registration with the NYS Dept of State and a copy of your certificate of assumed name (if applicable);
- B. Comprehensive copy of your standard sales agreement(s) for each service class and commodity, including presentation of the customer disclosure statement;
- C. Marketing representative ID badge;
- D. Marketing standards quality assurance plan;
- E. Third party verification script;
- F. Sample forms of notices for assignment, discontinuance and transfer of 5000 or more customers to other providers;
- G. Sample of your billing format;
- H. Procedures you will use to obtain customer's authorization for historic usage and credit information;
- I. Copies of information and promotional materials used for mass marketing purposes;
- J. HEFPA documents, if providing energy supply to residential customers;
- K. Internal procedures for the prevention of slamming or cramming;
- L. A list of entities, including contractors and sub-contractors, that will market on behalf of your ESCO;
- M. Attestation that you will comply with the requirements of the New York State's Environmental Disclosure Program, if you intend to serve electric customers;
- N. NYS DPS Office of Consumer Services Service Provider Form;
- O. Letter from utility that you have successfully completed EDI Phase 1 Testing;
- P. Complaint data from each state in which your company has served within the last 24 months. If your company operates under multiple trade names, you must identify each name used separately and the state in which it was used;
- Q. List and describe any security/data breaches associated with customer proprietary information that occurred in any jurisdiction in which it operates, under any trade name, within the 24 months prior to the application, and actions taken by the applicant in response to the incident. ESCOs also shall provide specific policies and procedures addressing how they intend to secure customer data;
- R. Disclose any history of bankruptcy, dissolution, merger, or acquisition activities during the 24 months prior to this application for each trade name used as well as affiliates of the ESCO, including upstream owners and subsidiaries; and,
- S. Provide an officer certification, in which a high-level officer affirms that the ESCO is willing and able to comply with all applicable laws and regulations.

8. Signature

The person signing this application attests to the following: that he or she is an owner, partner, or officer of the business named on this application, the answers and materials contained in this application package are true and the application package submitted is complete and accurate. An ESCO that knowingly makes false statements in this application package is subject to denial or revocation of eligibility.

Signature:  204F6AA1523E4D7...

Printed Name: King Lun Alan Chang

Title: Group CEO

Date: December 21, 2023

Company Name: Fuse Energy NY LLC