

New York State Electric & Gas Corporation
and
Rochester Gas and Electric Corporation

Commitment and Encumbrance Reports

Revised April 24, 2013

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| Program Administrator (PA): | New York State Electric and Gas Corporation |
| Program Name: | Residential Gas HVAC Program |
| Program Funding Fuel: | GAS |

Note: Evaluation Encumbrances only

| | | Reporting Period: | Thru 2011 | Q1 2012 | Q2 2012 | Q3 2012 | Q4 2012 | Total | Input Business Rule |
|--------------------------|---|-------------------|--------------|-----------|-----------|-----------|-----------|--------------|---------------------|
| (Data Entered) | | | | | | | | | |
| | Gross Gas Savings this Quarter | | | | | | | | |
| (Incremental) | Gross First-Year Annual Dth Acquired this Quarter ¹ | | 181,151 | | | | | 181,151 | No Negative Inputs |
| (Incremental) | Gross First-Year Annual Dth Decommitted this Quarter | | | | | | | - | No Negative Inputs |
| (Total at Point in Time) | Remaining Gross First-Year Annual Dth Committed at this Point in Time | | - | | | | | | No Negative Inputs |
| | Ancillary Gross Electric Savings this Quarter | | | | | | | | |
| (Incremental) | Gross First-Year Annual MWh Acquired this Quarter | | 2,175 | | | | | 2,175 | |
| | Ancillary Gross Electric Peak Demand Reductions this Quarter | | | | | | | | |
| (Incremental) | Gross Peak MW Reductions Acquired this Quarter | | | | | | | - | |
| | Gross Lifecycle Savings this Quarter | | | | | | | | |
| (Incremental) | Gross Lifecycle Dth Acquired this Quarter | | | | | | | | Not Required |
| (Incremental) | Ancillary Gross Lifecycle MWh Acquired this Quarter | | | | | | | | Not Required |
| | Financial Expenditures this Quarter | | | | | | | | |
| (Incremental) | General Administration Expenditures this Quarter | | \$ 30,595 | | | | | \$ 30,595 | |
| (Incremental) | Program Planning Expenditures this Quarter | | \$ 122,381 | | | | | \$ 122,381 | |
| (Incremental) | Program Marketing Expenditures this Quarter | | \$ 23,003 | | | | | \$ 23,003 | |
| (Incremental) | Trade Ally Training Expenditures this Quarter | | \$ - | | | | | \$ - | |
| (Incremental) | Incentives and Services Expenditures this Quarter ¹ | | \$ 3,152,373 | | | | | \$ 3,152,373 | |
| (Incremental) | Direct Program Implementation Expenditures this Quarter ¹ | | \$ 208,185 | | | | | \$ 208,185 | |
| (Incremental) | NYS Cost Recovery Fee Expenditures this Quarter | | | | | | | \$ - | |
| (Incremental) | Evaluation Expenditures this Quarter | | \$ 77,000 | \$ - | \$ 32,019 | \$ 78 | \$ 1,558 | \$ 110,656 | |
| | Financial Disencumbrances this Quarter | | | | | | | | |
| (Incremental) | Project-associated Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| (Incremental) | General Administration Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| (Incremental) | Program Planning Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| (Incremental) | Program Marketing Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| (Incremental) | Trade Ally Training Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| (Incremental) | Incentives and Services Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| (Incremental) | Direct Program Implementation Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| (Incremental) | NYS Cost Recovery Fee Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| (Incremental) | Evaluation Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| | Remaining Financial Encumbrances at this Point in Time | | | | | | | | |
| (Total at Point in Time) | Project-associated Encumbrances Remaining | | | | | | | | No Negative Inputs |
| (Total at Point in Time) | General Administration Funds Encumbrances Remaining | | | | | | | | No Negative Inputs |
| (Total at Point in Time) | Program Planning Encumbrances Remaining | | | | | | | | No Negative Inputs |
| (Total at Point in Time) | Program Marketing Encumbrances Remaining | | | | | | | | No Negative Inputs |
| (Total at Point in Time) | Trade Ally Training Encumbrances Remaining | | | | | | | | No Negative Inputs |
| (Total at Point in Time) | Incentives and Services Encumbrances Remaining | | | | | | | | No Negative Inputs |
| (Total at Point in Time) | Direct Program Implementation Encumbrances Remaining | | | | | | | | No Negative Inputs |
| (Total at Point in Time) | NYS Cost Recovery Fee Encumbrances Remaining | | | | | | | | No Negative Inputs |
| (Total at Point in Time) | Evaluation Encumbrances Remaining | | \$ 73,630 | \$ 73,630 | \$ 41,611 | \$ 41,533 | \$ 39,974 | | No Negative Inputs |
| | Evaluation Factors | | | | | | | | |
| (If Applicable) | Realization Rate | | | | | | | | |
| (If Applicable) | Free Ridership | | | | | | | | |
| (If Applicable) | Spill Over | | | | | | | | |
| (Default is 0.90) | Net-to-Gross Ratio | | 0.9 | 0.9 | 0.9 | 0.9 | 0.9 | | |

Notes:

¹ Savings and expenditures reported herein have been updated per the revised Res Gas Migration Report dated 2-22-13.

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| Program Administrator (PA): | Rochester Gas and Electric Corporation |
| Program Name: | Residential Gas HVAC Program |
| Program Funding Fuel: | GAS |

Note: Evaluation Encumbrances only

| | | Reporting Period: | Thru 2011 | Q1 2012 | Q2 2012 | Q3 2012 | Q4 2012 | Total | Input Business Rule |
|--------------------------|---|-------------------|--------------|-----------|-----------|---------|----------|--------------|---------------------|
| (Data Entered) | | | | | | | | | |
| | Gross Gas Savings this Quarter | | | | | | | | |
| (Incremental) | Gross First-Year Annual Dth Acquired this Quarter ¹ | | 408,891 | | | | | 408,891 | No Negative Inputs |
| (Incremental) | Gross First-Year Annual Dth Decommitted this Quarter | | | | | | | - | No Negative Inputs |
| (Total at Point in Time) | Remaining Gross First-Year Annual Dth Committed at this Point in Time | | - | | | | | | No Negative Inputs |
| | Ancillary Gross Electric Savings this Quarter | | | | | | | | |
| (Incremental) | Gross First-Year Annual MWh Acquired this Quarter | | 7,136 | | | | | 7,136 | |
| | Ancillary Gross Electric Peak Demand Reductions this Quarter | | | | | | | | |
| (Incremental) | Gross Peak MW Reductions Acquired this Quarter | | | | | | | - | |
| | Gross Lifecycle Savings this Quarter | | | | | | | | |
| (Incremental) | Gross Lifecycle Dth Acquired this Quarter | | | | | | | | Not Required |
| (Incremental) | Ancillary Gross Lifecycle MWh Acquired this Quarter | | | | | | | | Not Required |
| | Financial Expenditures this Quarter | | | | | | | | |
| (Incremental) | General Administration Expenditures this Quarter | | \$ 78,955 | | | | | \$ 78,955 | |
| (Incremental) | Program Planning Expenditures this Quarter | | \$ 264,327 | | | | | \$ 264,327 | |
| (Incremental) | Program Marketing Expenditures this Quarter | | \$ 20,469 | | | | | \$ 20,469 | |
| (Incremental) | Trade Ally Training Expenditures this Quarter | | \$ 432 | | | | | \$ 432 | |
| (Incremental) | Incentives and Services Expenditures this Quarter ¹ | | \$ 5,934,453 | | | | | \$ 5,934,453 | |
| (Incremental) | Direct Program Implementation Expenditures this Quarter ¹ | | \$ 448,690 | | | | | \$ 448,690 | |
| (Incremental) | NYS Cost Recovery Fee Expenditures this Quarter | | | | | | | \$ - | |
| (Incremental) | Evaluation Expenditures this Quarter | | \$ 117,540 | \$ - | \$ 77,920 | \$ 174 | \$ 4,304 | \$ 199,938 | |
| | Financial Disencumbrances this Quarter | | | | | | | | |
| (Incremental) | Project-associated Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| (Incremental) | General Administration Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| (Incremental) | Program Planning Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| (Incremental) | Program Marketing Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| (Incremental) | Trade Ally Training Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| (Incremental) | Incentives and Services Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| (Incremental) | Direct Program Implementation Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| (Incremental) | NYS Cost Recovery Fee Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| (Incremental) | Evaluation Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| | Remaining Financial Encumbrances at this Point in Time | | | | | | | | |
| (Total at Point in Time) | Project-associated Encumbrances Remaining | | | | | | | | No Negative Inputs |
| (Total at Point in Time) | General Administration Funds Encumbrances Remaining | | | | | | | | No Negative Inputs |
| (Total at Point in Time) | Program Planning Encumbrances Remaining | | | | | | | | No Negative Inputs |
| (Total at Point in Time) | Program Marketing Encumbrances Remaining | | | | | | | | No Negative Inputs |
| (Total at Point in Time) | Trade Ally Training Encumbrances Remaining | | | | | | | | No Negative Inputs |
| (Total at Point in Time) | Incentives and Services Encumbrances Remaining | | | | | | | | No Negative Inputs |
| (Total at Point in Time) | Direct Program Implementation Encumbrances Remaining | | | | | | | | No Negative Inputs |
| (Total at Point in Time) | NYS Cost Recovery Fee Encumbrances Remaining | | | | | | | | No Negative Inputs |
| (Total at Point in Time) | Evaluation Encumbrances Remaining | | \$ 66,191 | \$ 66,191 | \$ - | \$ - | \$ - | | No Negative Inputs |
| | Evaluation Factors | | | | | | | | |
| (If Applicable) | Realization Rate | | | | | | | | |
| (If Applicable) | Free Ridership | | | | | | | | |
| (If Applicable) | Spill Over | | | | | | | | |
| (Default is 0.90) | Net-to-Gross Ratio | | 0.9 | 0.9 | 0.9 | 0.9 | 0.9 | | |

Notes:

¹ Savings and expenditures reported herein have been updated per the revised Res Gas Migration Report dated 2-22-13.

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|-----------------------------|---|
| Program Administrator (PA): | New York State Electric and Gas Corporation |
| Program Name: | Commercial and Industrial Rebate Program (CIRP) |
| Program Funding Fuel: | GAS - Prescriptive |

Note: Evaluation Encumbrances only

| | | Reporting Period: | Thru 2011 | Q1 2012 | Q2 2012 | Q3 2012 | Q4 2012 | Total | Input Business Rule |
|--------------------------|---|-------------------|------------|----------|----------|----------|----------|------------|---------------------|
| (Data Entered) | | | | | | | | | |
| | Gross Gas Savings this Quarter | | | | | | | | |
| (Incremental) | Gross First-Year Annual Dth Acquired this Quarter | | 17,360 | | | | | 17,360 | No Negative Inputs |
| (Incremental) | Gross First-Year Annual Dth Decommitted this Quarter | | | | | | | - | No Negative Inputs |
| (Total at Point in Time) | Remaining Gross First-Year Annual Dth Committed at this Point in Time | | - | | | | | | No Negative Inputs |
| | Ancillary Gross Electric Savings this Quarter | | | | | | | | |
| (Incremental) | Gross First-Year Annual MWh Acquired this Quarter | | | | | | | - | |
| | Ancillary Gross Electric Peak Demand Reductions this Quarter | | | | | | | | |
| (Incremental) | Gross Peak MW Reductions Acquired this Quarter | | | | | | | - | |
| | Gross Lifecycle Savings this Quarter | | | | | | | | |
| (Incremental) | Gross Lifecycle Dth Acquired this Quarter | | | | | | | | Not Required |
| (Incremental) | Ancillary Gross Lifecycle MWh Acquired this Quarter | | | | | | | | Not Required |
| | Financial Expenditures this Quarter | | | | | | | | |
| (Incremental) | General Administration Expenditures this Quarter | | \$ 49,422 | | | | | \$ 49,422 | |
| (Incremental) | Program Planning Expenditures this Quarter | | \$ 197,686 | | | | | \$ 197,686 | |
| (Incremental) | Program Marketing Expenditures this Quarter | | \$ 5,903 | | | | | \$ 5,903 | |
| (Incremental) | Trade Ally Training Expenditures this Quarter | | \$ 194 | | | | | \$ 194 | |
| (Incremental) | Incentives and Services Expenditures this Quarter | | \$ 273,623 | | | | | \$ 273,623 | |
| (Incremental) | Direct Program Implementation Expenditures this Quarter | | \$ 88,116 | | | | | \$ 88,116 | |
| (Incremental) | NYS Cost Recovery Fee Expenditures this Quarter | | | | | | | \$ - | |
| (Incremental) | Evaluation Expenditures this Quarter | | \$ 26,342 | \$ - | \$ 1,699 | \$ 217 | \$ 2,392 | \$ 30,650 | |
| | Financial Disencumbrances this Quarter | | | | | | | | |
| (Incremental) | Project-associated Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| (Incremental) | General Administration Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| (Incremental) | Program Planning Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| (Incremental) | Program Marketing Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| (Incremental) | Trade Ally Training Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| (Incremental) | Incentives and Services Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| (Incremental) | Direct Program Implementation Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| (Incremental) | NYS Cost Recovery Fee Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| (Incremental) | Evaluation Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| | Remaining Financial Encumbrances at this Point in Time | | | | | | | | |
| (Total at Point in Time) | Project-associated Encumbrances Remaining | | | | | | | | No Negative Inputs |
| (Total at Point in Time) | General Administration Funds Encumbrances Remaining | | | | | | | | No Negative Inputs |
| (Total at Point in Time) | Program Planning Encumbrances Remaining | | | | | | | | No Negative Inputs |
| (Total at Point in Time) | Program Marketing Encumbrances Remaining | | | | | | | | No Negative Inputs |
| (Total at Point in Time) | Trade Ally Training Encumbrances Remaining | | | | | | | | No Negative Inputs |
| (Total at Point in Time) | Incentives and Services Encumbrances Remaining | | | | | | | | No Negative Inputs |
| (Total at Point in Time) | Direct Program Implementation Encumbrances Remaining | | | | | | | | No Negative Inputs |
| (Total at Point in Time) | NYS Cost Recovery Fee Encumbrances Remaining | | | | | | | | No Negative Inputs |
| (Total at Point in Time) | Evaluation Encumbrances Remaining | | \$ 4,370 | \$ 4,370 | \$ 2,671 | \$ 2,454 | \$ 62 | | No Negative Inputs |
| | Evaluation Factors | | | | | | | | |
| (If Applicable) | Realization Rate | | | | | | | | |
| (If Applicable) | Free Ridership | | | | | | | | |
| (If Applicable) | Spill Over | | | | | | | | |
| (Default is 0.90) | Net-to-Gross Ratio | | 0.9 | | | | | | |

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|-----------------------------|---|
| Program Administrator (PA): | New York State Electric and Gas Corporation |
| Program Name: | Commercial and Industrial Rebate Program (CIRP) |
| Program Funding Fuel: | GAS - Custom |

| | | Reporting Period: | Thru 2011 | Q1 2012 | Q2 2012 | Q3 2012 | Q4 2012 | Total | Input Business Rule |
|---------------------------------|---|-------------------|-----------|-----------|-----------|-----------|-----------|-----------|---------------------|
| <i>(Data Entered)</i> | | | | | | | | | |
| | Gross Gas Savings this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross First-Year Annual Dth Acquired this Quarter | | 1,634 | - | 373 | - | 1,151 | 3,158 | No Negative Inputs |
| <i>(Incremental)</i> | Gross First-Year Annual Dth Decommitted this Quarter | | | - | - | - | - | - | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Remaining Gross First-Year Annual Dth Committed at this Point in Time | | 1,524 | 1,524 | 1,151 | 1,151 | 0 | | No Negative Inputs |
| | Ancillary Gross Electric Savings this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross First-Year Annual MWh Acquired this Quarter | | | | | | | - | |
| | Ancillary Gross Electric Peak Demand Reductions this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross Peak MW Reductions Acquired this Quarter | | | | | | | - | |
| | Gross Lifecycle Savings this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross Lifecycle Dth Acquired this Quarter | | | | | | | | Not Required |
| <i>(Incremental)</i> | Ancillary Gross Lifecycle MWh Acquired this Quarter | | | | | | | | Not Required |
| | Financial Expenditures this Quarter | | | | | | | | |
| <i>(Incremental)</i> | General Administration Expenditures this Quarter | | \$ 8,310 | | | | | \$ 8,310 | |
| <i>(Incremental)</i> | Program Planning Expenditures this Quarter | | \$ 33,240 | | | | | \$ 33,240 | |
| <i>(Incremental)</i> | Program Marketing Expenditures this Quarter | | \$ 5,319 | | | | | \$ 5,319 | |
| <i>(Incremental)</i> | Trade Ally Training Expenditures this Quarter | | \$ 224 | | | | | \$ 224 | |
| <i>(Incremental)</i> | Incentives and Services Expenditures this Quarter | | \$ 26,352 | \$ - | \$ 3,772 | \$ - | \$ 54,223 | \$ 84,347 | |
| <i>(Incremental)</i> | Direct Program Implementation Expenditures this Quarter | | \$ 64,221 | \$ - | \$ 3,296 | \$ 414 | | \$ 67,931 | |
| <i>(Incremental)</i> | NYS Cost Recovery Fee Expenditures this Quarter | | | | | | | \$ - | |
| <i>(Incremental)</i> | Evaluation Expenditures this Quarter | | \$ 2,934 | \$ - | \$ 600 | \$ - | \$ 5,285 | \$ 8,819 | |
| | Financial Disencumbrances this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Project-associated Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | General Administration Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Program Planning Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Program Marketing Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Trade Ally Training Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Incentives and Services Dollars Disencumbered this Quarter | | | \$ - | \$ 965 | \$ - | \$ - | \$ 965 | No Negative Inputs |
| <i>(Incremental)</i> | Direct Program Implementation Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | NYS Cost Recovery Fee Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Evaluation Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| | Remaining Financial Encumbrances at this Point in Time | | | | | | | | |
| <i>(Total at Point in Time)</i> | Project-associated Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | General Administration Funds Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Program Planning Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Program Marketing Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Trade Ally Training Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Incentives and Services Encumbrances Remaining ¹ | | \$ 57,995 | \$ 57,995 | \$ 53,258 | \$ 53,258 | \$ - | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Direct Program Implementation Encumbrances Remaining ¹ | | \$ 7,275 | \$ 7,275 | \$ 3,979 | \$ 3,565 | \$ 3,565 | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | NYS Cost Recovery Fee Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Evaluation Encumbrances Remaining | | \$ 22,255 | \$ 22,255 | \$ 21,655 | \$ 21,655 | \$ 16,370 | | No Negative Inputs |
| | Evaluation Factors | | | | | | | | |
| <i>(If Applicable)</i> | Realization Rate | | | | | | | | |
| <i>(If Applicable)</i> | Free Ridership | | | | | | | | |
| <i>(If Applicable)</i> | Spill Over | | | | | | | | |
| <i>(Default is 0.90)</i> | Net-to-Gross Ratio | | 0.90 | 0.90 | 0.90 | 0.90 | 0.90 | | |

Notes:

¹ Encumbrances remaining through 2011 have been divided into appropriate budget categories.

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| Program Administrator (PA): | Rochester Gas and Electric Corporation |
| Program Name: | Commercial and Industrial Rebate Program (CIRP) |
| Program Funding Fuel: | GAS - Prescriptive |

| | | Reporting Period: | Thru 2011 | Q1 2012 | Q2 2012 | Q3 2012 | Q4 2012 | Total | Input Business Rule |
|---------------------------------|---|--------------------------|------------------|----------------|----------------|----------------|----------------|--------------|----------------------------|
| <i>(Data Entered)</i> | | | | | | | | | |
| | Gross Gas Savings this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross First-Year Annual Dth Acquired this Quarter | | 25,834 | - | 677 | | | 26,510 | No Negative Inputs |
| <i>(Incremental)</i> | Gross First-Year Annual Dth Decommitted this Quarter | | | - | 197 | | | 197 | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Remaining Gross First-Year Annual Dth Committed at this Point in Time | | 480 | 480 | - | - | - | | No Negative Inputs |
| | Ancillary Gross Electric Savings this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross First-Year Annual MWh Acquired this Quarter | | | | | | | - | |
| | Ancillary Gross Electric Peak Demand Reductions this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross Peak MW Reductions Acquired this Quarter | | | | | | | - | |
| | Gross Lifecycle Savings this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross Lifecycle Dth Acquired this Quarter | | | | | | | | Not Required |
| <i>(Incremental)</i> | Ancillary Gross Lifecycle MWh Acquired this Quarter | | | | | | | | Not Required |
| | Financial Expenditures this Quarter¹ | | | | | | | | |
| <i>(Incremental)</i> | General Administration Expenditures this Quarter | | \$ 38,375 | | | | | \$ 38,375 | |
| <i>(Incremental)</i> | Program Planning Expenditures this Quarter | | \$ 128,473 | | | | | \$ 128,473 | |
| <i>(Incremental)</i> | Program Marketing Expenditures this Quarter | | \$ 4,223 | | | | | \$ 4,223 | |
| <i>(Incremental)</i> | Trade Ally Training Expenditures this Quarter | | \$ 86 | | | | | \$ 86 | |
| <i>(Incremental)</i> | Incentives and Services Expenditures this Quarter | | \$ 310,411 | \$ - | \$ 10,500 | | | \$ 320,911 | |
| <i>(Incremental)</i> | Direct Program Implementation Expenditures this Quarter | | \$ 182,628 | | \$ 1,347 | | | \$ 183,975 | |
| <i>(Incremental)</i> | NYS Cost Recovery Fee Expenditures this Quarter | | | | | | | \$ - | |
| <i>(Incremental)</i> | Evaluation Expenditures this Quarter | | \$ 25,746 | \$ - | \$ 1,625 | \$ 546 | \$ 2,338 | \$ 30,254 | |
| | Financial Disencumbrances this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Project-associated Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | General Administration Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Program Planning Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Program Marketing Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Trade Ally Training Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Incentives and Services Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Direct Program Implementation Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | NYS Cost Recovery Fee Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Evaluation Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| | Remaining Financial Encumbrances at this Point in Time | | | | | | | | |
| <i>(Total at Point in Time)</i> | Project-associated Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | General Administration Funds Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Program Planning Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Program Marketing Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Trade Ally Training Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Incentives and Services Encumbrances Remaining ² | | \$ 10,285 | \$ 10,285 | \$ - | \$ - | \$ - | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Direct Program Implementation Encumbrances Remaining ² | | \$ 1,347 | \$ 1,347 | \$ - | \$ - | \$ - | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | NYS Cost Recovery Fee Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Evaluation Encumbrances Remaining | | \$ 4,360 | \$ 4,360 | \$ 2,735 | \$ 2,190 | \$ - | | No Negative Inputs |
| | Evaluation Factors | | | | | | | | |
| <i>(If Applicable)</i> | Realization Rate | | | | | | | | |
| <i>(If Applicable)</i> | Free Ridership | | | | | | | | |
| <i>(If Applicable)</i> | Spill Over | | | | | | | | |
| <i>(Default is 0.90)</i> | Net-to-Gross Ratio | | 0.90 | 0.90 | 0.90 | 0.90 | 0.90 | | |

Notes:

1 Expenditures through 2011 aligned to reflect the appropriate budget category.

2 Encumbrances remaining through 2011 have been divided into appropriate budget categories.

| | |
|-----------------------------|---|
| Program Administrator (PA): | Rochester Gas and Electric Corporation |
| Program Name: | Commercial and Industrial Rebate Program (CIRP) |
| Program Funding Fuel: | GAS - Custom |

| | | Reporting Period: | Thru 2011 | Q1 2012 | Q2 2012 | Q3 2012 | Q4 2012 | Total | Input Business Rule |
|---------------------------------|---|-------------------|-----------|-----------|-----------|-----------|-----------|-----------|---------------------|
| <i>(Data Entered)</i> | | | | | | | | | |
| | Gross Gas Savings this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross First-Year Annual Dth Acquired this Quarter | | - | - | 551 | - | - | 551 | No Negative Inputs |
| <i>(Incremental)</i> | Gross First-Year Annual Dth Decommitted this Quarter | | | - | - | - | - | - | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Remaining Gross First-Year Annual Dth Committed at this Point in Time | | 551 | 551 | - | - | - | | No Negative Inputs |
| | Ancillary Gross Electric Savings this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross First-Year Annual MWh Acquired this Quarter | | | | | | | - | |
| | Ancillary Gross Electric Peak Demand Reductions this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross Peak MW Reductions Acquired this Quarter | | | | | | | - | |
| | Gross Lifecycle Savings this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross Lifecycle Dth Acquired this Quarter | | | | | | | | Not Required |
| <i>(Incremental)</i> | Ancillary Gross Lifecycle MWh Acquired this Quarter | | | | | | | | Not Required |
| | Financial Expenditures this Quarter | | | | | | | | |
| <i>(Incremental)</i> | General Administration Expenditures this Quarter | | \$ 2,478 | | | | | \$ 2,478 | |
| <i>(Incremental)</i> | Program Planning Expenditures this Quarter | | \$ 8,294 | | | | | \$ 8,294 | |
| <i>(Incremental)</i> | Program Marketing Expenditures this Quarter | | \$ 3,635 | | | | | \$ 3,635 | |
| <i>(Incremental)</i> | Trade Ally Training Expenditures this Quarter | | \$ 70 | | | | | \$ 70 | |
| <i>(Incremental)</i> | Incentives and Services Expenditures this Quarter | | \$ - | \$ - | \$ 27,150 | \$ - | \$ - | \$ 27,150 | |
| <i>(Incremental)</i> | Direct Program Implementation Expenditures this Quarter | | \$ 71,442 | \$ - | \$ 5,202 | | | \$ 76,644 | |
| <i>(Incremental)</i> | NYS Cost Recovery Fee Expenditures this Quarter | | | | | | | \$ - | |
| <i>(Incremental)</i> | Evaluation Expenditures this Quarter | | \$ 2,933 | \$ - | \$ 88 | \$ 512 | \$ 5,285 | \$ 8,818 | |
| | Financial Disencumbrances this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Project-associated Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | General Administration Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Program Planning Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Program Marketing Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Trade Ally Training Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Incentives and Services Dollars Disencumbered this Quarter | | | \$ - | \$ 970 | \$ - | \$ - | \$ 970 | No Negative Inputs |
| <i>(Incremental)</i> | Direct Program Implementation Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | NYS Cost Recovery Fee Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Evaluation Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| | Remaining Financial Encumbrances at this Point in Time | | | | | | | | |
| <i>(Total at Point in Time)</i> | Project-associated Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | General Administration Funds Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Program Planning Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Program Marketing Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Trade Ally Training Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Incentives and Services Encumbrances Remaining ¹ | | \$ 28,120 | \$ 28,120 | \$ - | \$ - | \$ - | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Direct Program Implementation Encumbrances Remaining ¹ | | \$ 5,202 | \$ 5,202 | \$ - | \$ - | \$ - | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | NYS Cost Recovery Fee Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Evaluation Encumbrances Remaining | | \$ 22,255 | \$ 22,255 | \$ 22,167 | \$ 21,655 | \$ 16,370 | | No Negative Inputs |
| | Evaluation Factors | | | | | | | | |
| <i>(If Applicable)</i> | Realization Rate | | | | | | | | |
| <i>(If Applicable)</i> | Free Ridership | | | | | | | | |
| <i>(If Applicable)</i> | Spill Over | | | | | | | | |
| <i>(Default is 0.90)</i> | Net-to-Gross Ratio | | 0.90 | 0.90 | 0.90 | 0.90 | 0.90 | | |

Notes:

¹ Encumbrances remaining through 2011 have been divided into appropriate budget categories.

| | |
|-----------------------------|---|
| Program Administrator (PA): | New York State Electric and Gas Corporation |
| Program Name: | Multifamily Program |
| Program Funding Fuel: | ELECTRIC |

Note: Evaluation Encumbrances only

| (Data Entered) | | Reporting Period: | Thru 2011 | Q1 2012 | Q2 2012 | Q3 2012 | Q4 2012 | Total | Input Business Rule |
|--------------------------|---|-------------------|------------|----------|----------|----------|-----------|------------|---------------------|
| | Gross Electric Savings this Quarter | | | | | | | | |
| (Incremental) | Gross First-Year Annual MWh Acquired this Quarter | | 2,880 | | | | | 2,880 | No Negative Inputs |
| (Incremental) | Gross First-Year Annual MWh Decommitted this Quarter | | | | | | | - | No Negative Inputs |
| (Total at Point in Time) | Remaining Gross First-Year Annual MWh Committed at this Point in Time | | - | | | | | | No Negative Inputs |
| | Gross Electric Peak Demand Reductions this Quarter | | | | | | | | |
| (Incremental) | Gross Peak MW Reductions Acquired this Quarter | | 0.271 | | | | | 0 | No Negative Inputs |
| | Ancillary Gross Gas Savings this Quarter | | | | | | | | |
| (Incremental) | Gross First-Year Annual Dth Acquired this Quarter | | | | | | | - | |
| | Gross Lifecycle Savings this Quarter | | | | | | | | |
| (Incremental) | Gross Lifecycle Dth Acquired this Quarter | | | | | | | | Not Required |
| (Incremental) | Ancillary Gross Lifecycle MWh Acquired this Quarter | | | | | | | | Not Required |
| | Financial Expenditures this Quarter | | | | | | | | |
| (Incremental) | General Administration Expenditures this Quarter | | \$ 42,711 | | | | | \$ 42,711 | |
| (Incremental) | Program Planning Expenditures this Quarter | | \$ 170,842 | | | | | \$ 170,842 | |
| (Incremental) | Program Marketing Expenditures this Quarter | | \$ 27,130 | | | | | \$ 27,130 | |
| (Incremental) | Trade Ally Training Expenditures this Quarter | | \$ - | | | | | \$ - | |
| (Incremental) | Incentives and Services Expenditures this Quarter | | \$ 411,786 | | | | | \$ 411,786 | |
| (Incremental) | Direct Program Implementation Expenditures this Quarter | | \$ 268,330 | | | | | \$ 268,330 | |
| (Incremental) | NYS Cost Recovery Fee Expenditures this Quarter | | | | | | | \$ - | |
| (Incremental) | Evaluation Expenditures this Quarter | | \$ 96,887 | \$ - | \$ 1,541 | \$ 4,558 | \$ 26,084 | \$ 129,070 | |
| | Financial Disencumbrances this Quarter | | | | | | | | |
| (Incremental) | Project-associated Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| (Incremental) | General Administration Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| (Incremental) | Program Planning Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| (Incremental) | Program Marketing Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| (Incremental) | Trade Ally Training Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| (Incremental) | Incentives and Services Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| (Incremental) | Direct Program Implementation Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| (Incremental) | NYS Cost Recovery Fee Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| (Incremental) | Evaluation Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| | Remaining Financial Encumbrances at this Point in Time | | | | | | | | |
| (Total at Point in Time) | Project-associated Encumbrances Remaining | | | | | | | | No Negative Inputs |
| (Total at Point in Time) | General Administration Funds Encumbrances Remaining | | | | | | | | No Negative Inputs |
| (Total at Point in Time) | Program Planning Encumbrances Remaining | | | | | | | | No Negative Inputs |
| (Total at Point in Time) | Program Marketing Encumbrances Remaining | | | | | | | | No Negative Inputs |
| (Total at Point in Time) | Trade Ally Training Encumbrances Remaining | | | | | | | | No Negative Inputs |
| (Total at Point in Time) | Incentives and Services Encumbrances Remaining | | \$ - | \$ - | \$ - | \$ - | \$ - | | No Negative Inputs |
| (Total at Point in Time) | Direct Program Implementation Encumbrances Remaining | | \$ - | \$ - | \$ - | \$ - | \$ - | | No Negative Inputs |
| (Total at Point in Time) | NYS Cost Recovery Fee Encumbrances Remaining | | | | | | | | No Negative Inputs |
| (Total at Point in Time) | Evaluation Encumbrances Remaining | | \$ 4,568 | \$ 4,568 | \$ 3,027 | \$ - | \$ - | | No Negative Inputs |
| | Evaluation Factors | | | | | | | | |
| (If Applicable) | Realization Rate | | | | | | | | |
| (If Applicable) | Free Ridership | | | | | | | | |
| (If Applicable) | Spill Over | | | | | | | | |
| (Default is 0.90) | Net-to-Gross Ratio | | 0.90 | 0.90 | 0.90 | 0.90 | 0.90 | | |

| | |
|-----------------------------|--|
| Program Administrator (PA): | Rochester Gas and Electric Corporation |
| Program Name: | Multifamily Program |
| Program Funding Fuel: | ELECTRIC |

| | | Reporting Period: | Thru 2011 | Q1 2012 | Q2 2012 | Q3 2012 | Q4 2012 | Total | Input Business Rule |
|---------------------------------|---|-------------------|--------------|-----------|-----------|-----------|-----------|--------------|---------------------|
| <i>(Data Entered)</i> | | | | | | | | | |
| | Gross Electric Savings this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross First-Year Annual MWh Acquired this Quarter | | 8,636 | 272 | - | - | - | 8,909 | No Negative Inputs |
| <i>(Incremental)</i> | Gross First-Year Annual MWh Decommitted this Quarter | | | 56 | - | 142 | - | 198 | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Remaining Gross First-Year Annual MWh Committed at this Point in Time | | 470 | 142 | 142 | - | - | | No Negative Inputs |
| | Gross Electric Peak Demand Reductions this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross Peak MW Reductions Acquired this Quarter | | 0.768 | | | | | 1 | No Negative Inputs |
| | Ancillary Gross Gas Savings this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross First-Year Annual Dth Acquired this Quarter | | | | | | | - | |
| | Gross Lifecycle Savings this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross Lifecycle Dth Acquired this Quarter | | | | | | | | Not Required |
| <i>(Incremental)</i> | Ancillary Gross Lifecycle MWh Acquired this Quarter | | | | | | | | Not Required |
| | Financial Expenditures this Quarter¹ | | | | | | | | |
| <i>(Incremental)</i> | General Administration Expenditures this Quarter | | \$ 36,987 | | | | | \$ 36,987 | |
| <i>(Incremental)</i> | Program Planning Expenditures this Quarter | | \$ 123,827 | | | | | \$ 123,827 | |
| <i>(Incremental)</i> | Program Marketing Expenditures this Quarter | | \$ 10,764 | | | | | \$ 10,764 | |
| <i>(Incremental)</i> | Trade Ally Training Expenditures this Quarter | | \$ - | | | | | \$ - | |
| <i>(Incremental)</i> | Incentives and Services Expenditures this Quarter | | \$ 1,109,219 | \$ 36,177 | \$ - | \$ - | \$ - | \$ 1,145,396 | |
| <i>(Incremental)</i> | Direct Program Implementation Expenditures this Quarter | | \$ 283,367 | | | | | \$ 283,367 | |
| <i>(Incremental)</i> | NYS Cost Recovery Fee Expenditures this Quarter | | | | | | | \$ - | |
| <i>(Incremental)</i> | Evaluation Expenditures this Quarter | | \$ 85,920 | \$ - | \$ 1,279 | \$ 4,162 | \$ 23,131 | \$ 114,491 | |
| | | | | | | | | | |
| <i>(Incremental)</i> | Project-associated Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | General Administration Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Program Planning Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Program Marketing Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Trade Ally Training Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Incentives and Services Dollars Disencumbered this Quarter | | | \$ 10,045 | \$ - | \$ 10,560 | \$ - | \$ 20,605 | No Negative Inputs |
| <i>(Incremental)</i> | Direct Program Implementation Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | NYS Cost Recovery Fee Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Evaluation Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| | Remaining Financial Encumbrances at this Point in Time | | | | | | | | |
| <i>(Total at Point in Time)</i> | Project-associated Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | General Administration Funds Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Program Planning Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Program Marketing Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Trade Ally Training Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Incentives and Services Encumbrances Remaining | | \$ 56,781 | \$ 10,560 | \$ 10,560 | \$ - | \$ - | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Direct Program Implementation Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | NYS Cost Recovery Fee Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Evaluation Encumbrances Remaining | | \$ 4,051 | \$ 4,051 | \$ 2,772 | \$ - | \$ - | | No Negative Inputs |
| | | | | | | | | | |
| | Evaluation Factors | | | | | | | | |
| <i>(If Applicable)</i> | Realization Rate | | | | | | | | |
| <i>(If Applicable)</i> | Free Ridership | | | | | | | | |
| <i>(If Applicable)</i> | Spill Over | | | | | | | | |
| <i>(Default is 0.90)</i> | Net-to-Gross Ratio | | 0.90 | 0.90 | 0.90 | 0.90 | 0.90 | | |

Notes:

¹ Expenditures through 2011 corrected to reflect totals though Dec 2011. The report dated 2-15-13 reflected a monthly value due to an incorrect entry.

| | |
|-----------------------------|---|
| Program Administrator (PA): | New York State Electric and Gas Corporation |
| Program Name: | Refrigerator Freezer Recycling Program (RFRP) |
| Program Funding Fuel: | ELECTRIC |

Note: Evaluation Encumbrances only

| | Reporting Period: | Thru 2011 | Q1 2012 | Q2 2012 | Q3 2012 | Q4 2012 | Total | Input Business Rule |
|---------------------------------|---|------------|-----------|-----------|---------|----------|------------|---------------------|
| <i>(Data Entered)</i> | | | | | | | | |
| | Gross Electric Savings this Quarter | | | | | | | |
| <i>(Incremental)</i> | Gross First-Year Annual MWh Acquired this Quarter | 10,270 | | | | | 10,270 | No Negative Inputs |
| <i>(Incremental)</i> | Gross First-Year Annual MWh Decommitted this Quarter | | | | | | - | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Remaining Gross First-Year Annual MWh Committed at this Point in Time | 0 | | | | | | No Negative Inputs |
| | Gross Electric Peak Demand Reductions this Quarter | | | | | | | |
| <i>(Incremental)</i> | Gross Peak MW Reductions Acquired this Quarter | 0.383 | | | | | 0 | No Negative Inputs |
| | Ancillary Gross Gas Savings this Quarter | | | | | | | |
| <i>(Incremental)</i> | Gross First-Year Annual Dth Acquired this Quarter | | | | | | - | |
| | Gross Lifecycle Savings this Quarter | | | | | | | |
| <i>(Incremental)</i> | Gross Lifecycle Dth Acquired this Quarter | | | | | | | Not Required |
| <i>(Incremental)</i> | Ancillary Gross Lifecycle MWh Acquired this Quarter | | | | | | | Not Required |
| | Financial Expenditures this Quarter | | | | | | | |
| <i>(Incremental)</i> | General Administration Expenditures this Quarter | \$ 21,742 | | | | | \$ 21,742 | |
| <i>(Incremental)</i> | Program Planning Expenditures this Quarter | \$ 86,969 | | | | | \$ 86,969 | |
| <i>(Incremental)</i> | Program Marketing Expenditures this Quarter | \$ 189,120 | | | | | \$ 189,120 | |
| <i>(Incremental)</i> | Trade Ally Training Expenditures this Quarter | \$ - | | | | | \$ - | |
| <i>(Incremental)</i> | Incentives and Services Expenditures this Quarter | \$ 694,823 | | | | | \$ 694,823 | |
| <i>(Incremental)</i> | Direct Program Implementation Expenditures this Quarter | \$ 33,154 | | | | | \$ 33,154 | |
| <i>(Incremental)</i> | NYS Cost Recovery Fee Expenditures this Quarter | | | | | | \$ - | |
| <i>(Incremental)</i> | Evaluation Expenditures this Quarter | \$ 9,545 | \$ - | \$ 11,352 | \$ 667 | \$ 1,550 | \$ 23,114 | |
| | Financial Disencumbrances this Quarter | | | | | | | |
| <i>(Incremental)</i> | Project-associated Dollars Disencumbered this Quarter | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | General Administration Dollars Disencumbered this Quarter | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Program Planning Dollars Disencumbered this Quarter | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Program Marketing Dollars Disencumbered this Quarter | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Trade Ally Training Dollars Disencumbered this Quarter | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Incentives and Services Dollars Disencumbered this Quarter | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Direct Program Implementation Dollars Disencumbered this Quarter | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | NYS Cost Recovery Fee Dollars Disencumbered this Quarter | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Evaluation Dollars Disencumbered this Quarter | | | | | | \$ - | No Negative Inputs |
| | Remaining Financial Encumbrances at this Point in Time | | | | | | | |
| <i>(Total at Point in Time)</i> | Project-associated Encumbrances Remaining | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | General Administration Funds Encumbrances Remaining | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Program Planning Encumbrances Remaining | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Program Marketing Encumbrances Remaining | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Trade Ally Training Encumbrances Remaining | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Incentives and Services Encumbrances Remaining | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Direct Program Implementation Encumbrances Remaining | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | NYS Cost Recovery Fee Encumbrances Remaining | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Evaluation Encumbrances Remaining | \$ 11,206 | \$ 11,206 | \$ - | \$ - | \$ - | | No Negative Inputs |
| | Evaluation Factors | | | | | | | |
| <i>(If Applicable)</i> | Realization Rate | | | | | | | |
| <i>(If Applicable)</i> | Free Ridership | | | | | | | |
| <i>(If Applicable)</i> | Spill Over | | | | | | | |
| <i>(Default is 0.90)</i> | Net-to-Gross Ratio | 0.90 | | | | | | |

| | |
|-----------------------------|---|
| Program Administrator (PA): | Rochester Gas and Electric Corporation |
| Program Name: | Refrigerator Freezer Recycling Program (RFRP) |
| Program Funding Fuel: | ELECTRIC |

Note: Evaluation Encumbrances only

| | Reporting Period: | Thru 2011 | Q1 2012 | Q2 2012 | Q3 2012 | Q4 2012 | Total | Input Business Rule |
|---------------------------------|---|------------|-----------|-----------|----------|----------|------------|---------------------|
| <i>(Data Entered)</i> | | | | | | | | |
| | Gross Electric Savings this Quarter | | | | | | | |
| <i>(Incremental)</i> | Gross First-Year Annual MWh Acquired this Quarter ¹ | 6,368 | | | | | 6,368 | No Negative Inputs |
| <i>(Incremental)</i> | Gross First-Year Annual MWh Decommitted this Quarter | | | | | | - | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Remaining Gross First-Year Annual MWh Committed at this Point in Time | - | | | | | | No Negative Inputs |
| | Gross Electric Peak Demand Reductions this Quarter | | | | | | | |
| <i>(Incremental)</i> | Gross Peak MW Reductions Acquired this Quarter | 0.239 | | | | | 0 | No Negative Inputs |
| | Ancillary Gross Gas Savings this Quarter | | | | | | | |
| <i>(Incremental)</i> | Gross First-Year Annual Dth Acquired this Quarter | | | | | | - | |
| | Gross Lifecycle Savings this Quarter | | | | | | | |
| <i>(Incremental)</i> | Gross Lifecycle Dth Acquired this Quarter | | | | | | | Not Required |
| <i>(Incremental)</i> | Ancillary Gross Lifecycle MWh Acquired this Quarter | | | | | | | Not Required |
| | Financial Expenditures this Quarter | | | | | | | |
| <i>(Incremental)</i> | General Administration Expenditures this Quarter | \$ 57,268 | | | | | \$ 57,268 | |
| <i>(Incremental)</i> | Program Planning Expenditures this Quarter | \$ 191,725 | | | | | \$ 191,725 | |
| <i>(Incremental)</i> | Program Marketing Expenditures this Quarter | \$ 109,174 | | | | | \$ 109,174 | |
| <i>(Incremental)</i> | Trade Ally Training Expenditures this Quarter | \$ - | | | | | \$ - | |
| <i>(Incremental)</i> | Incentives and Services Expenditures this Quarter | \$ 426,197 | | | | | \$ 426,197 | |
| <i>(Incremental)</i> | Direct Program Implementation Expenditures this Quarter | \$ 33,148 | | | | | \$ 33,148 | |
| <i>(Incremental)</i> | NYS Cost Recovery Fee Expenditures this Quarter | | | | | | \$ - | |
| <i>(Incremental)</i> | Evaluation Expenditures this Quarter | \$ 7,519 | \$ - | \$ 11,352 | \$ 634 | \$ 1,550 | \$ 21,054 | |
| | Financial Disencumbrances this Quarter | | | | | | | |
| <i>(Incremental)</i> | Project-associated Dollars Disencumbered this Quarter | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | General Administration Dollars Disencumbered this Quarter | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Program Planning Dollars Disencumbered this Quarter | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Program Marketing Dollars Disencumbered this Quarter | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Trade Ally Training Dollars Disencumbered this Quarter | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Incentives and Services Dollars Disencumbered this Quarter | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Direct Program Implementation Dollars Disencumbered this Quarter | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | NYS Cost Recovery Fee Dollars Disencumbered this Quarter | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Evaluation Dollars Disencumbered this Quarter | | | | | | \$ - | No Negative Inputs |
| | Remaining Financial Encumbrances at this Point in Time | | | | | | | |
| <i>(Total at Point in Time)</i> | Project-associated Encumbrances Remaining | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | General Administration Funds Encumbrances Remaining | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Program Planning Encumbrances Remaining | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Program Marketing Encumbrances Remaining | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Trade Ally Training Encumbrances Remaining | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Incentives and Services Encumbrances Remaining | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Direct Program Implementation Encumbrances Remaining | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | NYS Cost Recovery Fee Encumbrances Remaining | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Evaluation Encumbrances Remaining | \$ 13,517 | \$ 13,517 | \$ 2,165 | \$ 1,531 | \$ - | | No Negative Inputs |
| | Evaluation Factors | | | | | | | |
| <i>(If Applicable)</i> | Realization Rate | | | | | | | |
| <i>(If Applicable)</i> | Free Ridership | | | | | | | |
| <i>(If Applicable)</i> | Spill Over | | | | | | | |
| <i>(Default is 0.90)</i> | Net-to-Gross Ratio | 0.90 | | | | | | |

Corrections:

¹ Acquired savings updated to reflect total through Dec 2011. Report dated 2-14-13 showed Dec savings only.

| | |
|-----------------------------|--|
| Program Administrator (PA): | New York State Electric and Gas Corporation |
| Program Name: | Small Business Direct Install (SBDI) Program |
| Program Funding Fuel: | ELECTRIC |

| | | Reporting Period: | Thru 2011 | Q1 2012 | Q2 2012 | Q3 2012 | Q4 2012 | Total | Input Business Rule |
|---------------------------------|---|-------------------|---------------|------------|------------|-----------|-----------|---------------|---------------------|
| <i>(Data Entered)</i> | | | | | | | | | |
| | Gross Electric Savings this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross First-Year Annual MWh Acquired this Quarter | | 50,828 | 3,308 | 173 | 5 | - | 54,314 | No Negative Inputs |
| <i>(Incremental)</i> | Gross First-Year Annual MWh Decommitted this Quarter | | | 610 | 178 | 186 | - | 974 | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Remaining Gross First-Year Annual MWh Committed at this Point in Time | | 4,460 | 541 | 191 | 0 | 0 | | No Negative Inputs |
| | Gross Electric Peak Demand Reductions this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross Peak MW Reductions Acquired this Quarter | | 14.113 | | | | | 14 | No Negative Inputs |
| | Ancillary Gross Gas Savings this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross First-Year Annual Dth Acquired this Quarter | | | | | | | - | |
| | Gross Lifecycle Savings this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross Lifecycle Dth Acquired this Quarter | | | | | | | | Not Required |
| <i>(Incremental)</i> | Ancillary Gross Lifecycle MWh Acquired this Quarter | | | | | | | | Not Required |
| | Financial Expenditures this Quarter | | | | | | | | |
| <i>(Incremental)</i> | General Administration Expenditures this Quarter | | \$ 69,466 | | | | | \$ 69,466 | |
| <i>(Incremental)</i> | Program Planning Expenditures this Quarter | | \$ 277,863 | | | | | \$ 277,863 | |
| <i>(Incremental)</i> | Program Marketing Expenditures this Quarter | | \$ 3,472 | | | | | \$ 3,472 | |
| <i>(Incremental)</i> | Trade Ally Training Expenditures this Quarter | | \$ - | | | | | \$ - | |
| <i>(Incremental)</i> | Incentives and Services Expenditures this Quarter | | \$ 11,033,761 | \$ 707,331 | \$ 28,951 | \$ 1,188 | \$ - | \$ 11,771,231 | |
| <i>(Incremental)</i> | Direct Program Implementation Expenditures this Quarter | | \$ 841,925 | | | | | \$ 841,925 | |
| <i>(Incremental)</i> | NYS Cost Recovery Fee Expenditures this Quarter | | | | | | | \$ - | |
| <i>(Incremental)</i> | Evaluation Expenditures this Quarter | | \$ 313,291 | \$ - | \$ 131,682 | \$ 19,503 | \$ 20,797 | \$ 485,273 | |
| | Financial Disencumbrances this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Project-associated Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | General Administration Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Program Planning Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Program Marketing Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Trade Ally Training Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Incentives and Services Dollars Disencumbered this Quarter | | | \$ 166,603 | \$ 33,896 | \$ 73,360 | \$ - | \$ 273,859 | No Negative Inputs |
| <i>(Incremental)</i> | Direct Program Implementation Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | NYS Cost Recovery Fee Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Evaluation Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| | Remaining Financial Encumbrances at this Point in Time | | | | | | | | |
| <i>(Total at Point in Time)</i> | Project-associated Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | General Administration Funds Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Program Planning Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Program Marketing Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Trade Ally Training Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Incentives and Services Encumbrances Remaining | | \$ 999,996 | \$ 126,062 | \$ 63,215 | \$ - | \$ - | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Direct Program Implementation Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | NYS Cost Recovery Fee Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Evaluation Encumbrances Remaining | | \$ 170,899 | \$ 170,899 | \$ 39,217 | \$ 19,714 | \$ - | | No Negative Inputs |
| | Evaluation Factors | | | | | | | | |
| <i>(If Applicable)</i> | Realization Rate | | | | | | | | |
| <i>(If Applicable)</i> | Free Ridership | | | | | | | | |
| <i>(If Applicable)</i> | Spill Over | | | | | | | | |
| <i>(Default is 0.90)</i> | Net-to-Gross Ratio | | 0.90 | 0.90 | 0.90 | 0.90 | 0.90 | | |

| | |
|-----------------------------|--|
| Program Administrator (PA): | Rochester Gas and Electric Corporation |
| Program Name: | Small Business Direct Install (SBDI) Program |
| Program Funding Fuel: | ELECTRIC |

| | | Reporting Period: | Thru 2011 | Q1 2012 | Q2 2012 | Q3 2012 | Q4 2012 | Total | Input Business Rule |
|---------------------------------|--|-------------------|--------------|-----------|-----------|-----------|----------|--------------|---------------------|
| <i>(Data Entered)</i> | | | | | | | | | |
| | Gross Electric Savings this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross First-Year Annual MWh Acquired this Quarter | | 24,973 | 561 | 85 | 16 | - | 25,635 | No Negative Inputs |
| <i>(Incremental)</i> | Gross First-Year Annual MWh Decommitted this Quarter | | | 105 | 45 | 12 | - | 162 | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Remaining Gross First-Year Annual MWh Committed at this Point in Time ¹ | | 794 | 129 | - | - | - | | No Negative Inputs |
| | Gross Electric Peak Demand Reductions this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross Peak MW Reductions Acquired this Quarter | | 7.015 | | | | | 7 | No Negative Inputs |
| | Ancillary Gross Gas Savings this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross First-Year Annual Dth Acquired this Quarter | | | | | | | - | |
| | Gross Lifecycle Savings this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross Lifecycle Dth Acquired this Quarter | | | | | | | | Not Required |
| <i>(Incremental)</i> | Ancillary Gross Lifecycle MWh Acquired this Quarter | | | | | | | | Not Required |
| | Financial Expenditures this Quarter | | | | | | | | |
| <i>(Incremental)</i> | General Administration Expenditures this Quarter | | \$ 32,416 | | | | | \$ 32,416 | |
| <i>(Incremental)</i> | Program Planning Expenditures this Quarter | | \$ 108,525 | | | | | \$ 108,525 | |
| <i>(Incremental)</i> | Program Marketing Expenditures this Quarter | | \$ 2,529 | | | | | \$ 2,529 | |
| <i>(Incremental)</i> | Trade Ally Training Expenditures this Quarter | | \$ - | | | | | \$ - | |
| <i>(Incremental)</i> | Incentives and Services Expenditures this Quarter | | \$ 5,689,763 | \$ 69,481 | \$ 15,259 | \$ 51,125 | \$ - | \$ 5,825,628 | |
| <i>(Incremental)</i> | Direct Program Implementation Expenditures this Quarter | | \$ 389,190 | | | | | \$ 389,190 | |
| <i>(Incremental)</i> | NYS Cost Recovery Fee Expenditures this Quarter | | | | | | | \$ - | |
| <i>(Incremental)</i> | Evaluation Expenditures this Quarter | | \$ 136,918 | \$ - | \$ 57,256 | \$ 9,253 | \$ 9,084 | \$ 212,511 | |
| | Financial Disencumbrances this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Project-associated Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | General Administration Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Program Planning Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Program Marketing Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Trade Ally Training Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Incentives and Services Dollars Disencumbered this Quarter | | | \$ 26,183 | \$ 12,090 | \$ 3,137 | \$ - | \$ 41,410 | No Negative Inputs |
| <i>(Incremental)</i> | Direct Program Implementation Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | NYS Cost Recovery Fee Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Evaluation Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| | Remaining Financial Encumbrances at this Point in Time | | | | | | | | |
| <i>(Total at Point in Time)</i> | Project-associated Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | General Administration Funds Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Program Planning Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Program Marketing Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Trade Ally Training Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Incentives and Services Encumbrances Remaining | | \$ 175,706 | \$ 80,042 | \$ 52,693 | \$ - | \$ - | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Direct Program Implementation Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | NYS Cost Recovery Fee Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Evaluation Encumbrances Remaining | | \$ 74,646 | \$ 74,646 | \$ 17,390 | \$ 8,137 | \$ - | | No Negative Inputs |
| | Evaluation Factors | | | | | | | | |
| <i>(If Applicable)</i> | Realization Rate | | | | | | | | |
| <i>(If Applicable)</i> | Free Ridership | | | | | | | | |
| <i>(If Applicable)</i> | Spill Over | | | | | | | | |
| <i>(Default is 0.90)</i> | Net-to-Gross Ratio | | 0.90 | 0.90 | 0.90 | 0.90 | 0.90 | | |

Notes:

¹ MWh committed as of Dec 2011 corrected to match previous reports.

| | |
|-----------------------------|---|
| Program Administrator (PA): | New York State Electric and Gas Corporation |
| Program Name: | Block Bidding Program |
| Program Funding Fuel: | ELECTRIC |

| | | Reporting Period: | Thru 2011 | Q1 2012 | Q2 2012 | Q3 2012 | Q4 2012 | Total | Input Business Rule |
|---------------------------------|---|-------------------|--------------|--------------|--------------|--------------|--------------|--------------|---------------------|
| <i>(Data Entered)</i> | | | | | | | | | |
| | Gross Electric Savings this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross First-Year Annual MWh Acquired this Quarter ^{1,2,3} | | 499 | 1,325 | 803 | 1,340 | 2,726 | 6,694 | No Negative Inputs |
| <i>(Incremental)</i> | Gross First-Year Annual MWh Decommitted this Quarter | | | - | - | - | - | - | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Remaining Gross First-Year Annual MWh Committed at this Point in Time | | 9,613 | 8,288 | 7,485 | 6,145 | 3,419 | | No Negative Inputs |
| | Gross Electric Peak Demand Reductions this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross Peak MW Reductions Acquired this Quarter | | 0.113 | 0.156 | 0.097 | 0.321 | 0.521 | 1.209 | No Negative Inputs |
| | Ancillary Gross Gas Savings this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross First-Year Annual Dth Acquired this Quarter | | | | | | | - | |
| | Gross Lifecycle Savings this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross Lifecycle Dth Acquired this Quarter | | | | | | | | Not Required |
| <i>(Incremental)</i> | Ancillary Gross Lifecycle MWh Acquired this Quarter | | | | | | | | Not Required |
| | Financial Expenditures this Quarter | | | | | | | | |
| <i>(Incremental)</i> | General Administration Expenditures this Quarter | | \$ 13,844 | | | | | \$ 13,844 | |
| <i>(Incremental)</i> | Program Planning Expenditures this Quarter | | \$ 55,374 | | | | | \$ 55,374 | |
| <i>(Incremental)</i> | Program Marketing Expenditures this Quarter | | \$ 167 | | | | | \$ 167 | |
| <i>(Incremental)</i> | Trade Ally Training Expenditures this Quarter | | \$ 882 | | | | | \$ 882 | |
| <i>(Incremental)</i> | Incentives and Services Expenditures this Quarter ² | | \$ 98,053 | \$ 237,426 | \$ 67,895 | \$ 78,590 | \$ 1,082,167 | \$ 1,564,131 | |
| <i>(Incremental)</i> | Direct Program Implementation Expenditures this Quarter | | \$ 227,609 | | | | | \$ 227,609 | |
| <i>(Incremental)</i> | NYS Cost Recovery Fee Expenditures this Quarter | | | | | | | \$ - | |
| <i>(Incremental)</i> | Evaluation Expenditures this Quarter | | \$ 9,806 | \$ - | \$ 14,779 | \$ 1,720 | \$ 45,575 | \$ 71,879 | |
| | Financial Disencumbrances this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Project-associated Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | General Administration Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Program Planning Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Program Marketing Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Trade Ally Training Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Incentives and Services Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Direct Program Implementation Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | NYS Cost Recovery Fee Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Evaluation Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| | Remaining Financial Encumbrances at this Point in Time | | | | | | | | |
| <i>(Total at Point in Time)</i> | Project-associated Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | General Administration Funds Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Program Planning Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Program Marketing Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Trade Ally Training Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Incentives and Services Encumbrances Remaining | | \$ 2,570,226 | \$ 2,332,800 | \$ 2,264,905 | \$ 2,186,315 | \$ 1,104,148 | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Direct Program Implementation Encumbrances Remaining | | | \$ - | \$ - | \$ - | \$ - | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | NYS Cost Recovery Fee Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Evaluation Encumbrances Remaining | | \$ 13,922 | \$ 13,922 | \$ - | \$ - | \$ - | | No Negative Inputs |
| | Evaluation Factors | | | | | | | | |
| <i>(If Applicable)</i> | Realization Rate | | | | | | | | |
| <i>(If Applicable)</i> | Free Ridership | | | | | | | | |
| <i>(If Applicable)</i> | Spill Over | | | | | | | | |
| <i>(Default is 0.90)</i> | Net-to-Gross Ratio | | 0.90 | 0.90 | 0.90 | 0.90 | 0.90 | | |

Notes:

¹ MWh acquired has been adjusted to reflect actual gross savings.

² Acquired savings and spending through 2011 does not match the end of EEPS1 scorecard as filed, but reflects results in accordance with the DPS Staff Audit

³ Quarterly acquired savings and spending reflect project completions as they occurred for savings transferred from acquired to committed at the end of Dec 2011.

| | |
|-----------------------------|--|
| Program Administrator (PA): | Rochester Gas and Electric Corporation |
| Program Name: | Block Bidding Program |
| Program Funding Fuel: | ELECTRIC |

| | | Reporting Period: | Thru 2011 | Q1 2012 | Q2 2012 | Q3 2012 | Q4 2012 | Total | Input Business Rule |
|---------------------------------|---|-------------------|--------------|--------------|------------|------------|------------|--------------|---------------------|
| <i>(Data Entered)</i> | | | | | | | | | |
| | Gross Electric Savings this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross First-Year Annual MWh Acquired this Quarter ^{1,2,3} | | 6,322 | 2,439 | 2,179 | - | 1,483 | 12,423 | No Negative Inputs |
| <i>(Incremental)</i> | Gross First-Year Annual MWh Decommitted this Quarter | | | - | - | 1,621 | - | 1,621 | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Remaining Gross First-Year Annual MWh Committed at this Point in Time | | 8,070 | 5,631 | 3,452 | 1,831 | 348 | | No Negative Inputs |
| | Gross Electric Peak Demand Reductions this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross Peak MW Reductions Acquired this Quarter | | 1,160 | 0,509 | 0,247 | - | 0,355 | 2,271 | No Negative Inputs |
| | Ancillary Gross Gas Savings this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross First-Year Annual Dth Acquired this Quarter | | | | | | | - | |
| | Gross Lifecycle Savings this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross Lifecycle Dth Acquired this Quarter | | | | | | | | Not Required |
| <i>(Incremental)</i> | Ancillary Gross Lifecycle MWh Acquired this Quarter | | | | | | | | Not Required |
| | Financial Expenditures this Quarter | | | | | | | | |
| <i>(Incremental)</i> | General Administration Expenditures this Quarter | | \$ 17,923 | | | | | \$ 17,923 | |
| <i>(Incremental)</i> | Program Planning Expenditures this Quarter | | \$ 60,002 | | | | | \$ 60,002 | |
| <i>(Incremental)</i> | Program Marketing Expenditures this Quarter | | \$ 69 | | | | | \$ 69 | |
| <i>(Incremental)</i> | Trade Ally Training Expenditures this Quarter | | \$ 1,179 | | | | | \$ 1,179 | |
| <i>(Incremental)</i> | Incentives and Services Expenditures this Quarter ² | | \$ 1,123,739 | \$ 397,781 | \$ 329,766 | \$ - | \$ 450,769 | \$ 2,302,055 | |
| <i>(Incremental)</i> | Direct Program Implementation Expenditures this Quarter | | \$ 314,541 | | | | | \$ 314,541 | |
| <i>(Incremental)</i> | NYS Cost Recovery Fee Expenditures this Quarter | | | | | | | \$ - | |
| <i>(Incremental)</i> | Evaluation Expenditures this Quarter | | \$ 7,610 | | \$ 19,573 | \$ 1,458 | \$ 27,715 | \$ 56,357 | |
| | Financial Disencumbrances this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Project-associated Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | General Administration Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Program Planning Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Program Marketing Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Trade Ally Training Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Incentives and Services Dollars Disencumbered this Quarter | | | | | \$ 226,656 | | \$ 226,656 | No Negative Inputs |
| <i>(Incremental)</i> | Direct Program Implementation Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | NYS Cost Recovery Fee Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Evaluation Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| | Remaining Financial Encumbrances at this Point in Time | | | | | | | | |
| <i>(Total at Point in Time)</i> | Project-associated Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | General Administration Funds Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Program Planning Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Program Marketing Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Trade Ally Training Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Incentives and Services Encumbrances Remaining | | \$ 1,504,251 | \$ 1,106,470 | \$ 776,704 | \$ 550,048 | \$ 99,279 | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Direct Program Implementation Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | NYS Cost Recovery Fee Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Evaluation Encumbrances Remaining | | \$ 19,254 | \$ 19,254 | \$ - | \$ - | \$ - | | No Negative Inputs |
| | Evaluation Factors | | | | | | | | |
| <i>(If Applicable)</i> | Realization Rate | | | | | | | | |
| <i>(If Applicable)</i> | Free Ridership | | | | | | | | |
| <i>(If Applicable)</i> | Spill Over | | | | | | | | |
| <i>(Default is 0.90)</i> | Net-to-Gross Ratio | | 0.90 | 0.90 | 0.90 | 0.90 | 0.90 | | |

Notes:

¹ MWh acquired has been adjusted to reflect actual gross savings.

²

Acquired savings and spending through 2011 does not match the end of EEPs1 scorecard as filed, but reflects results in accordance with the DPS Staff Audit

³

Quarterly acquired savings and spending reflect project completions as they occurred for savings transferred from acquired to committed at the end of Dec 2011.

| | |
|------------------------------------|--|
| Program Administrator (PA): | New York State Electric and Gas Corporation |
| Program Name: | Commercial and Industrial Rebate Program (CIRP) |
| Program Funding Fuel: | ELECTRIC - Prescriptive |

| | | Reporting Period: | Thru 2011 | Q1 2012 | Q2 2012 | Q3 2012 | Q4 2012 | Total | Input Business Rule |
|---------------------------------|---|--------------------------|------------------|----------------|----------------|----------------|----------------|--------------|----------------------------|
| <i>(Data Entered)</i> | | | | | | | | | |
| | Gross Electric Savings this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross First-Year Annual MWh Acquired this Quarter | | 2,815 | 6 | 461 | - | - | 3,281 | No Negative Inputs |
| <i>(Incremental)</i> | Gross First-Year Annual MWh Decommitted this Quarter | | | - | 148 | - | - | 148 | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Remaining Gross First-Year Annual MWh Committed at this Point in Time | | 614 | 608 | - | - | - | | No Negative Inputs |
| | Gross Electric Peak Demand Reductions this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross Peak MW Reductions Acquired this Quarter | | 2.345 | | | | | 2 | No Negative Inputs |
| | Ancillary Gross Gas Savings this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross First-Year Annual Dth Acquired this Quarter | | | | | | | - | |
| | Gross Lifecycle Savings this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross Lifecycle Dth Acquired this Quarter | | | | | | | | Not Required |
| <i>(Incremental)</i> | Ancillary Gross Lifecycle MWh Acquired this Quarter | | | | | | | | Not Required |
| | Financial Expenditures this Quarter | | | | | | | | |
| <i>(Incremental)</i> | General Administration Expenditures this Quarter | | \$ 76,671 | | | | | \$ 76,671 | |
| <i>(Incremental)</i> | Program Planning Expenditures this Quarter | | \$ 306,682 | | | | | \$ 306,682 | |
| <i>(Incremental)</i> | Program Marketing Expenditures this Quarter | | \$ 75,927 | | | | | \$ 75,927 | |
| <i>(Incremental)</i> | Trade Ally Training Expenditures this Quarter | | \$ 319 | | | | | \$ 319 | |
| <i>(Incremental)</i> | Incentives and Services Expenditures this Quarter | | \$ 228,632 | \$ 39,120 | \$ 11,505 | \$ - | \$ - | \$ 279,257 | |
| <i>(Incremental)</i> | Direct Program Implementation Expenditures this Quarter | | \$ 371,889 | \$ 1,412 | \$ 3,430 | \$ - | \$ 175 | \$ 376,906 | |
| <i>(Incremental)</i> | NYS Cost Recovery Fee Expenditures this Quarter | | | | | | | \$ - | |
| <i>(Incremental)</i> | Evaluation Expenditures this Quarter | | \$ 74,583 | | \$ 8,887 | \$ 3,797 | \$ 39,801 | \$ 127,068 | |
| | Financial Disencumbrances this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Project-associated Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | General Administration Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Program Planning Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Program Marketing Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Trade Ally Training Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Incentives and Services Dollars Disencumbered this Quarter | | | \$ - | \$ 4,002 | \$ - | \$ - | \$ 4,002 | No Negative Inputs |
| <i>(Incremental)</i> | Direct Program Implementation Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | NYS Cost Recovery Fee Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Evaluation Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| | Remaining Financial Encumbrances at this Point in Time | | | | | | | | |
| <i>(Total at Point in Time)</i> | Project-associated Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | General Administration Funds Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Program Planning Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Program Marketing Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Trade Ally Training Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Incentives and Services Encumbrances Remaining ¹ | | \$ 53,953 | \$ 14,833 | \$ - | \$ - | \$ - | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Direct Program Implementation Encumbrances Remaining ¹ | | \$ 5,017 | \$ 3,605 | \$ 175 | \$ 175 | \$ - | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | NYS Cost Recovery Fee Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Evaluation Encumbrances Remaining | | \$ 116,913 | \$ 116,913 | \$ 108,026 | \$ 104,229 | \$ 64,428 | | No Negative Inputs |
| | Evaluation Factors | | | | | | | | |
| <i>(If Applicable)</i> | Realization Rate | | | | | | | | |
| <i>(If Applicable)</i> | Free Ridership | | | | | | | | |
| <i>(If Applicable)</i> | Spill Over | | | | | | | | |
| <i>(Default is 0.90)</i> | Net-to-Gross Ratio | | 0.90 | 0.90 | 0.90 | 0.90 | 0.90 | | |

Notes:

¹ Encumbrances remaining through 2011 have been divided into appropriate budget categories.

| | |
|------------------------------------|--|
| Program Administrator (PA): | Rochester Gas and Electric Corporation |
| Program Name: | Commercial and Industrial Rebate Program (CIRP) |
| Program Funding Fuel: | ELECTRIC - Prescriptive |

| | | Reporting Period: | Thru 2011 | Q1 2012 | Q2 2012 | Q3 2012 | Q4 2012 | Total | Input Business Rule |
|---------------------------------|---|-------------------|------------|-----------|-----------|-----------|-----------|------------|---------------------|
| <i>(Data Entered)</i> | | | | | | | | | |
| | Gross Electric Savings this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross First-Year Annual MWh Acquired this Quarter | | 841 | - | 128 | - | - | 968 | No Negative Inputs |
| <i>(Incremental)</i> | Gross First-Year Annual MWh Decommitted this Quarter | | | - | - | - | - | - | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Remaining Gross First-Year Annual MWh Committed at this Point in Time | | 128 | 128 | - | - | - | | No Negative Inputs |
| | Gross Electric Peak Demand Reductions this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross Peak MW Reductions Acquired this Quarter | | 0.270 | | | | | 0 | No Negative Inputs |
| | Ancillary Gross Gas Savings this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross First-Year Annual Dth Acquired this Quarter | | | | | | | - | |
| | Gross Lifecycle Savings this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross Lifecycle Dth Acquired this Quarter | | | | | | | | Not Required |
| <i>(Incremental)</i> | Ancillary Gross Lifecycle MWh Acquired this Quarter | | | | | | | | Not Required |
| | Financial Expenditures this Quarter | | | | | | | | |
| <i>(Incremental)</i> | General Administration Expenditures this Quarter | | \$ 82,332 | | | | | \$ 82,332 | |
| <i>(Incremental)</i> | Program Planning Expenditures this Quarter | | \$ 275,633 | | | | | \$ 275,633 | |
| <i>(Incremental)</i> | Program Marketing Expenditures this Quarter | | \$ 13,153 | | | | | \$ 13,153 | |
| <i>(Incremental)</i> | Trade Ally Training Expenditures this Quarter | | \$ 251 | | | | | \$ 251 | |
| <i>(Incremental)</i> | Incentives and Services Expenditures this Quarter | | \$ 51,709 | | \$ 12,615 | \$ - | \$ - | \$ 64,324 | |
| <i>(Incremental)</i> | Direct Program Implementation Expenditures this Quarter | | \$ 192,577 | | \$ 629 | | | \$ 193,206 | |
| <i>(Incremental)</i> | NYS Cost Recovery Fee Expenditures this Quarter | | | | | | | \$ - | |
| <i>(Incremental)</i> | Evaluation Expenditures this Quarter | | \$ 48,919 | | \$ 5,541 | \$ 2,531 | \$ 26,106 | \$ 83,097 | |
| | Financial Disencumbrances this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Project-associated Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | General Administration Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Program Planning Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Program Marketing Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Trade Ally Training Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Incentives and Services Dollars Disencumbered this Quarter | | | \$ - | \$ 45 | \$ - | \$ - | \$ 45 | No Negative Inputs |
| <i>(Incremental)</i> | Direct Program Implementation Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | NYS Cost Recovery Fee Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Evaluation Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| | Remaining Financial Encumbrances at this Point in Time | | | | | | | | |
| <i>(Total at Point in Time)</i> | Project-associated Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | General Administration Funds Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Program Planning Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Program Marketing Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Trade Ally Training Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Incentives and Services Encumbrances Remaining ¹ | | \$ 12,660 | \$ 12,660 | \$ - | \$ - | \$ - | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Direct Program Implementation Encumbrances Remaining ¹ | | \$ 629 | \$ 629 | \$ - | \$ - | \$ - | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | NYS Cost Recovery Fee Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Evaluation Encumbrances Remaining | | \$ 76,630 | \$ 76,630 | \$ 71,089 | \$ 68,558 | \$ 42,452 | | No Negative Inputs |
| | Evaluation Factors | | | | | | | | |
| <i>(If Applicable)</i> | Realization Rate | | | | | | | | |
| <i>(If Applicable)</i> | Free Ridership | | | | | | | | |
| <i>(If Applicable)</i> | Spill Over | | | | | | | | |
| <i>(Default is 0.90)</i> | Net-to-Gross Ratio | | 0.90 | 0.90 | 0.90 | 0.90 | 0.90 | | |

Notes:

¹ Encumbrances remaining through 2011 have been divided into appropriate budget categories.

| | |
|-----------------------------|---|
| Program Administrator (PA): | New York State Electric and Gas Corporation |
| Program Name: | Commercial and Industrial Rebate Program (CIRP) |
| Program Funding Fuel: | ELECTRIC - Custom |

| (Data Entered) | | Reporting Period: | Thru 2011 | Q1 2012 | Q2 2012 | Q3 2012 | Q4 2012 | Total | Input Business Rule |
|--------------------------|---|-------------------|--------------|------------|------------|------------|------------|--------------|---------------------|
| | Gross Electric Savings this Quarter | | | | | | | | |
| (Incremental) | Gross First-Year Annual MWh Acquired this Quarter | | 6,034 | 128 | 1,330 | 412 | - | 7,903 | No Negative Inputs |
| (Incremental) | Gross First-Year Annual MWh Decommitted this Quarter | | | - | 49 | 141 | 1,076 | 1,266 | No Negative Inputs |
| (Total at Point in Time) | Remaining Gross First-Year Annual MWh Committed at this Point in Time | | 4,195 | 4,067 | 2,688 | 2,135 | 1,059 | | No Negative Inputs |
| | Gross Electric Peak Demand Reductions this Quarter | | | | | | | | |
| (Incremental) | Gross Peak MW Reductions Acquired this Quarter | | 1.018 | | | | | 1 | No Negative Inputs |
| | Ancillary Gross Gas Savings this Quarter | | | | | | | | |
| (Incremental) | Gross First-Year Annual Dth Acquired this Quarter | | | | | | | - | |
| | Gross Lifecycle Savings this Quarter | | | | | | | | |
| (Incremental) | Gross Lifecycle Dth Acquired this Quarter | | | | | | | | Not Required |
| (Incremental) | Ancillary Gross Lifecycle MWh Acquired this Quarter | | | | | | | | Not Required |
| | Financial Expenditures this Quarter | | | | | | | | |
| (Incremental) | General Administration Expenditures this Quarter | | \$ 72,988 | | | | | \$ 72,988 | |
| (Incremental) | Program Planning Expenditures this Quarter | | \$ 291,952 | | | | | \$ 291,952 | |
| (Incremental) | Program Marketing Expenditures this Quarter | | \$ 44,938 | | | | | \$ 44,938 | |
| (Incremental) | Trade Ally Training Expenditures this Quarter | | \$ 544 | | | | | \$ 544 | |
| (Incremental) | Incentives and Services Expenditures this Quarter | | \$ 1,069,560 | \$ 25,629 | \$ 255,595 | \$ 47,375 | \$ - | \$ 1,398,159 | |
| (Incremental) | Direct Program Implementation Expenditures this Quarter | | \$ 796,193 | \$ 2,950 | \$ 35,574 | \$ 3,035 | \$ 69,927 | \$ 907,680 | |
| (Incremental) | NYS Cost Recovery Fee Expenditures this Quarter | | | | | | | \$ - | |
| (Incremental) | Evaluation Expenditures this Quarter | | \$ 48,029 | \$ - | \$ 24,586 | \$ 10,358 | \$ 71,782 | \$ 154,756 | |
| | Financial Disencumbrances this Quarter | | | | | | | | |
| (Incremental) | Project-associated Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| (Incremental) | General Administration Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| (Incremental) | Program Planning Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| (Incremental) | Program Marketing Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| (Incremental) | Trade Ally Training Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| (Incremental) | Incentives and Services Dollars Disencumbered this Quarter | | | \$ 878 | \$ 13,688 | \$ 59,613 | \$ 118,483 | \$ 192,662 | No Negative Inputs |
| (Incremental) | Direct Program Implementation Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| (Incremental) | NYS Cost Recovery Fee Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| (Incremental) | Evaluation Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| | Remaining Financial Encumbrances at this Point in Time | | | | | | | | |
| (Total at Point in Time) | Project-associated Encumbrances Remaining | | | | | | | | No Negative Inputs |
| (Total at Point in Time) | General Administration Funds Encumbrances Remaining | | | | | | | | No Negative Inputs |
| (Total at Point in Time) | Program Planning Encumbrances Remaining | | | | | | | | No Negative Inputs |
| (Total at Point in Time) | Program Marketing Encumbrances Remaining | | | | | | | | No Negative Inputs |
| (Total at Point in Time) | Trade Ally Training Encumbrances Remaining | | | | | | | | No Negative Inputs |
| (Total at Point in Time) | Incentives and Services Encumbrances Remaining | | \$ 861,956 | \$ 835,449 | \$ 566,166 | \$ 459,178 | \$ 340,695 | | No Negative Inputs |
| (Total at Point in Time) | Direct Program Implementation Encumbrances Remaining | | \$ 111,487 | \$ 108,537 | \$ 72,962 | \$ 69,927 | \$ 0 | | No Negative Inputs |
| (Total at Point in Time) | NYS Cost Recovery Fee Encumbrances Remaining | | | | | | | | No Negative Inputs |
| (Total at Point in Time) | Evaluation Encumbrances Remaining | | \$ 284,663 | \$ 284,663 | \$ 260,077 | \$ 249,719 | \$ 177,936 | | No Negative Inputs |
| | Evaluation Factors | | | | | | | | |
| (If Applicable) | Realization Rate | | | | | | | | |
| (If Applicable) | Free Ridership | | | | | | | | |
| (If Applicable) | Spill Over | | | | | | | | |
| (Default is 0.90) | Net-to-Gross Ratio | | 0.90 | 0.90 | 0.90 | 0.90 | 0.90 | | |

Notes:

1 Encumbrances remaining through 2011 have been divided into appropriate budget categories.

| | |
|------------------------------------|--|
| Program Administrator (PA): | Rochester Gas and Electric Corporation |
| Program Name: | Commercial and Industrial Rebate Program (CIRP) |
| Program Funding Fuel: | ELECTRIC - Custom |

| | | Reporting Period: | Thru 2011 | Q1 2012 | Q2 2012 | Q3 2012 | Q4 2012 | Total | Input Business Rule |
|---------------------------------|---|-------------------|------------|------------|------------|------------|------------|------------|---------------------|
| <i>(Data Entered)</i> | | | | | | | | | |
| | Gross Electric Savings this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross First-Year Annual MWh Acquired this Quarter | | 1,092 | | 974 | 666 | - | 2,732 | No Negative Inputs |
| <i>(Incremental)</i> | Gross First-Year Annual MWh Decommitted this Quarter | | | - | 595 | 27 | 181 | 803 | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Remaining Gross First-Year Annual MWh Committed at this Point in Time | | 2,444 | 2,444 | 874 | 181 | - | | No Negative Inputs |
| | Gross Electric Peak Demand Reductions this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross Peak MW Reductions Acquired this Quarter | | 0.112 | | | | | 0 | No Negative Inputs |
| | Ancillary Gross Gas Savings this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross First-Year Annual Dth Acquired this Quarter | | | | | | | - | |
| | Gross Lifecycle Savings this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Gross Lifecycle Dth Acquired this Quarter | | | | | | | | Not Required |
| <i>(Incremental)</i> | Ancillary Gross Lifecycle MWh Acquired this Quarter | | | | | | | | Not Required |
| | Financial Expenditures this Quarter | | | | | | | | |
| <i>(Incremental)</i> | General Administration Expenditures this Quarter | | \$ 50,376 | | | | | \$ 50,376 | |
| <i>(Incremental)</i> | Program Planning Expenditures this Quarter | | \$ 168,651 | | | | | \$ 168,651 | |
| <i>(Incremental)</i> | Program Marketing Expenditures this Quarter | | \$ 18,190 | | | | | \$ 18,190 | |
| <i>(Incremental)</i> | Trade Ally Training Expenditures this Quarter | | \$ 422 | | | | | \$ 422 | |
| <i>(Incremental)</i> | Incentives and Services Expenditures this Quarter | | \$ 197,705 | \$ 93,050 | \$ 102,108 | \$ 211,738 | \$ 22,250 | \$ 626,851 | |
| <i>(Incremental)</i> | Direct Program Implementation Expenditures this Quarter | | \$ 367,864 | \$ 104 | \$ 14,548 | \$ 7,520 | \$ 21,195 | \$ 411,231 | |
| <i>(Incremental)</i> | NYS Cost Recovery Fee Expenditures this Quarter | | | | | | | \$ - | |
| <i>(Incremental)</i> | Evaluation Expenditures this Quarter | | \$ 31,087 | \$ - | \$ 14,993 | \$ 7,469 | \$ 46,460 | \$ 100,010 | |
| | Financial Disencumbrances this Quarter | | | | | | | | |
| <i>(Incremental)</i> | Project-associated Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | General Administration Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Program Planning Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Program Marketing Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Trade Ally Training Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Incentives and Services Dollars Disencumbered this Quarter | | | \$ 76,682 | \$ 167,331 | \$ 19,366 | \$ 73,276 | \$ 336,655 | No Negative Inputs |
| <i>(Incremental)</i> | Direct Program Implementation Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | NYS Cost Recovery Fee Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| <i>(Incremental)</i> | Evaluation Dollars Disencumbered this Quarter | | | | | | | \$ - | No Negative Inputs |
| | Remaining Financial Encumbrances at this Point in Time | | | | | | | | |
| <i>(Total at Point in Time)</i> | Project-associated Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | General Administration Funds Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Program Planning Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Program Marketing Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Trade Ally Training Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Incentives and Services Encumbrances Remaining ¹ | | \$ 674,098 | \$ 504,366 | \$ 234,927 | \$ 3,823 | \$ - | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Direct Program Implementation Encumbrances Remaining ¹ | | \$ 43,367 | \$ 43,263 | \$ 28,715 | \$ 21,195 | \$ 0 | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | NYS Cost Recovery Fee Encumbrances Remaining | | | | | | | | No Negative Inputs |
| <i>(Total at Point in Time)</i> | Evaluation Encumbrances Remaining | | \$ 184,314 | \$ 184,314 | \$ 169,321 | \$ 161,852 | \$ 115,391 | | No Negative Inputs |
| | Evaluation Factors | | | | | | | | |
| <i>(If Applicable)</i> | Realization Rate | | | | | | | | |
| <i>(If Applicable)</i> | Free Ridership | | | | | | | | |
| <i>(If Applicable)</i> | Spill Over | | | | | | | | |
| <i>(Default is 0.90)</i> | Net-to-Gross Ratio | | 0.90 | 0.90 | 0.90 | 0.90 | 0.90 | | |

Notes:

¹ Encumbrances remaining through 2011 have been divided into appropriate budget categories.