	X	X	X	X	X	X	X	X	X		
	ICAP Tag	**Meter #s**	**Hourly Interval Settlements?**	**Enrollment Block?**	**Tax Exempt?**	**Utility Delivery Discounts?**	**NYPA (Hydro, PFJ, RNY) Incentive?**	**SIC**	**Supplied by Utility?**	**Service Address**	**Billing Address**
Why Needed?	To accurately price capacity and provide customers with consistent offers from suppliers	This is a tracking issue.	ESCos need to know if hourly or profile (shaped) volumes will be sent to NYISO for settlements	ESCos need to know if there are any obstacles to timely enrollments. Customers (or at least decision makers) are not always aware of their own enrollment blocks	Knowing if a customer is tax exempt allows ESCos to accurately quantify savings. This would also prompt ESCos to proactively push customers to provide tax exempt forms such to avoid applying tax to customer invoices	The presence of utility incentives may impact a customer's choice as to whether or not to take supply from an ESCo. Some incentives disappear when with an ESCo.	ESCos need to know if customers receive first-through-the-meter incentives so that accurate pricing can be providing, risk measured accordingly, etc. This also impacts which products an ESCo may be willing to offer.	Knowing SIC codes allows ESCos to better understand the need of a particular business and market accordingly. Knowing SIC codes also helps ESCos manage the overall credit of their customer portfolio much easier.	Knowing if a customer is currently served by the utility allows ESCos to customize their communication to prospective customers. Knowing this also helps determine if a prospective customer may be able to switch immediately.	There may be a concern regarding how clearly ESCOs state what a customer's price is and their ability to identify to which facilities/locations to which the prices apply.	The billing address is needed so that ESCos can send invoices to the appropriate location(s).
Preferred Method	Prefer availability of the ICAP tag is via EDI 814HU usage history transaction.	Preference is making available *the number* of meters <u>and</u> the meter numbers themselves via the EDI 814HU usage history transaction.	Preference is for each account to possess a 'flag' indicating whether or not it will be settled utilizing an actual 'hourly' or a 'class shape' methodology when served by the ESCO for NYISO settlement purposes. This can be done with a specific indicator, or, indirectly via a rate code methodology which distinguishes between the two. In either case, would prefer the indicator be included in in the EDI 814HU usage history file.	Preferred method is to provide an (read-only) indication of the existence of an enrollment block in the EDI 814HU usage history file.	Preferred method is to provide an indication of the existence of an tax exempt status in the EDI 814HU usage history file.	Preferred method is to provide an indication in the EDI 814HU usage history file (secondarily on the LDC usage history website) of the existence of a (non-RNY) utility economic development incentive whether or not it affects ESCO supply options. Additionally, if the economic incentive <u>does</u> affect ESCO supply, this should be indicated as well. See the 'off-system histories' column for preferences on usage data formats when this is the case.	Preferred method is to provide an indication in the EDI 814HU usage history file (secondarily on the LDC usage history website) of the existence NYPA/RNY incentives associated with the account. For preferences regarding the usage history associated with accounts so affected, see the 'off-system histories' column.	It would be helpful if SIC was sent via EDI on 814HU usage history, (or secondarily on the LDC website and/or included in enrolment response) but this item is not essential.	Prefer a 'flag' received in the EDI 814HU usage history file or on the LDC usage history website indicating that the account is served supply by the utility or not.	Prefer availability of the Service and Billing Addresses is via EDI 814HU in the usage history transaction. Please include county as well.	
Secondary Preferred Method	Secondary preference for the ICAP tag is availability on LDC usage history website.	Secondary preference is to indicate *the number* of meters associated with the account in the EDI 814HU usage file and/or on the LDC usage history website. This, coupled with the meter numbers themselves to be received with the EDI enrollment transaction would be ok.	Secondary preference is to indicate hourly or class shape settlement methodology on the LDC usage history website.	Secondary preference would be to provide an indication of the existence of an enrollment block on the LDC usage history website.	Secondary preference would be to provide an indication of the existence of an tax exempt status on the LDC usage history website.				Secondary preference is to indicate whether or not the account is served by the utility via a distinguishing rate code designation obtained on either the EDI 814HU usage history data file or via the LDC usage history website.	Secondary preference of the Service and Billing Addresses is via the LDC usage history website. Please include county as well.	

<u>Footnote on Enrollment Block</u>:
Each of the utilities spoken to thus far has agreed to look into the possibility of adding a 'flag' of some sort to the usage data screen or into the usage history via EDI or onto the LDC website in order to move this knowledge forward in the process.

<u>Footnote on Tax Exempt Status:</u>
Such information if for ESCO/customer discussion purposes only. ESCO's will need to indemnify the LDC's regarding the accuracy of the tax exception indicator.

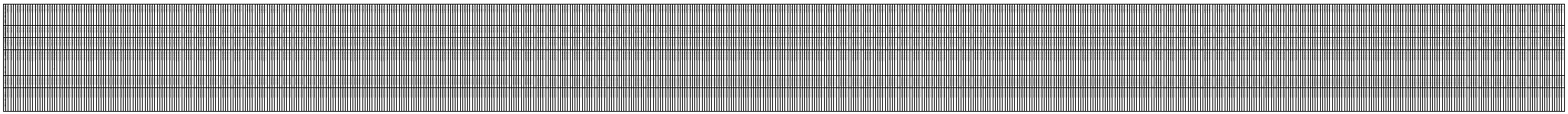
X

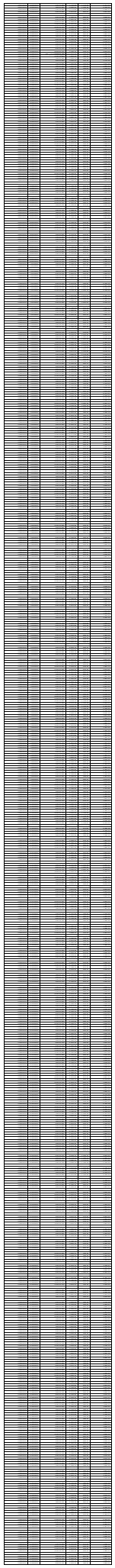
Off-System Histories

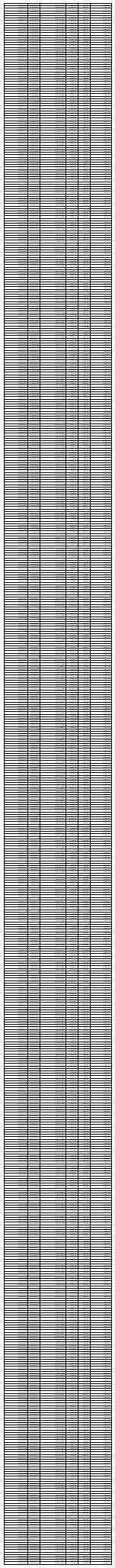
Sometimes a customer's usage history is not viewable online. Some LDCs enable ESCO's to order the data for free, but it takes upwards of 5 business days for a response, and often comes in difficult to use format. We would like the LDCs to accept and respond with EDI transactions or another universal format for their 'off-system' accounts instead of requiring the ESCO to monitor their website and manually update their systems. In addition to usage histories for off-system accounts we also need to know details like voltage level, service class, zone, etc.

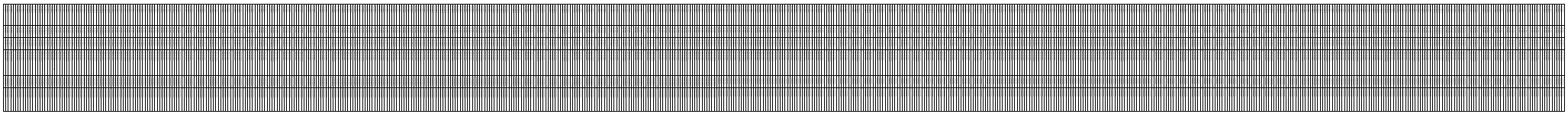
For RNY accounts, preference is to provide both 100% of a customer's load, and also the non-NYPA portion of the load (in other words, the load that could potentially be served by the ESCO). Additional details to include are: dates when any NYPA incentives begin/end, what the non-NYPA portion of the ICAP tag is, Zone, Service Class and Voltage Class of the account. For standard off-system histories, similar information would be required and in addition would prefer 24 months of usage history in non-RNY off-system history situations. See worksheet entitled 'off-system history' for an example of the type of format that we'd like to see this data made available.

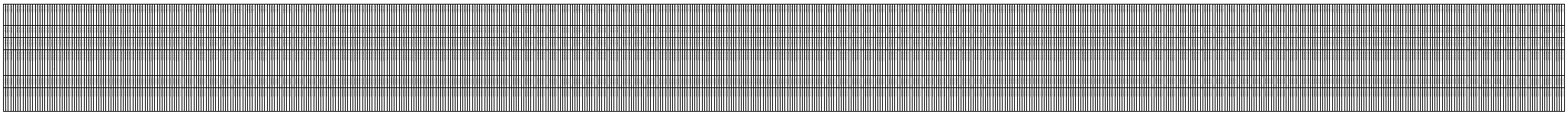
As far as process goes, we'd like to see a universal way to handle these off-system accounts data requests to minimize turnaround time and questions. Perhaps a web based request could be established to make these requests.

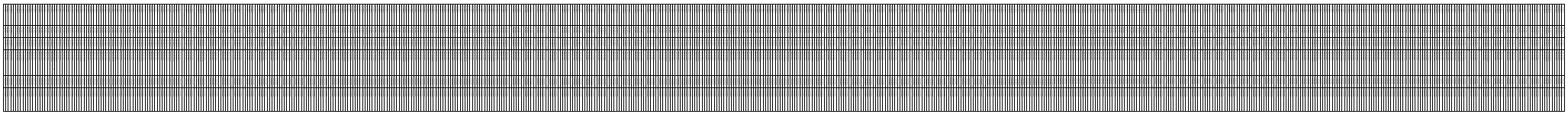


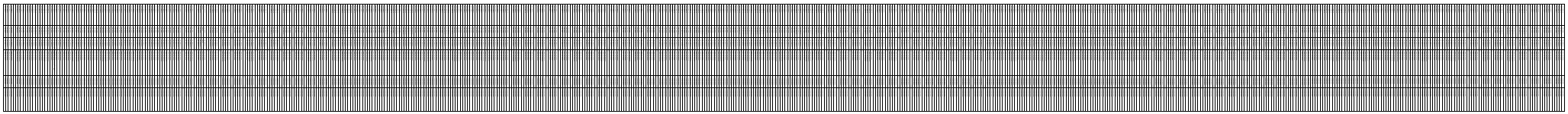


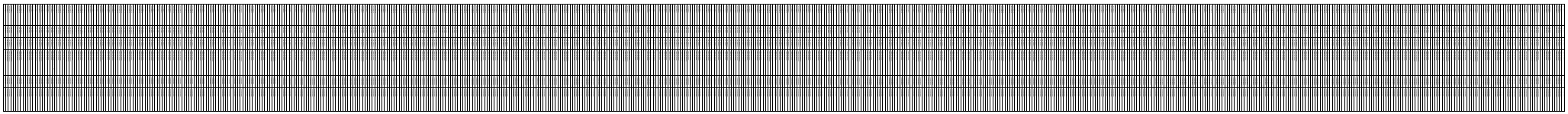


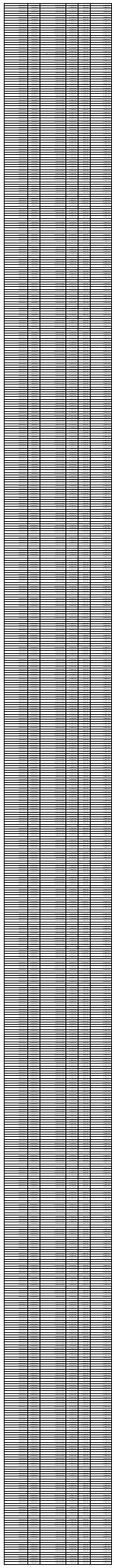


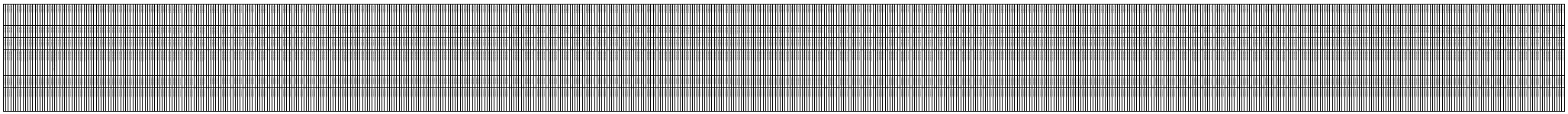


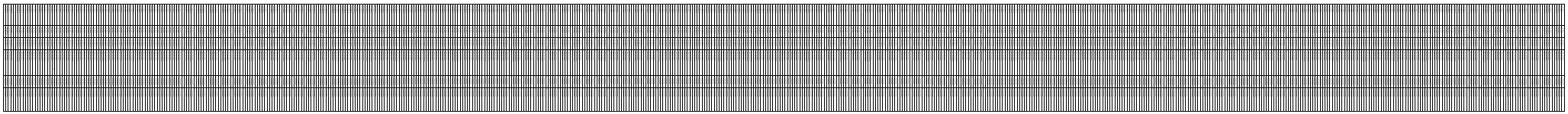


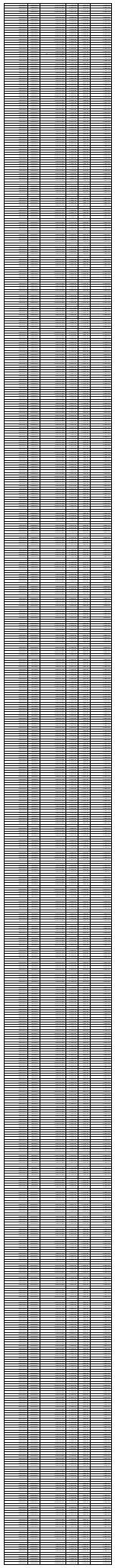


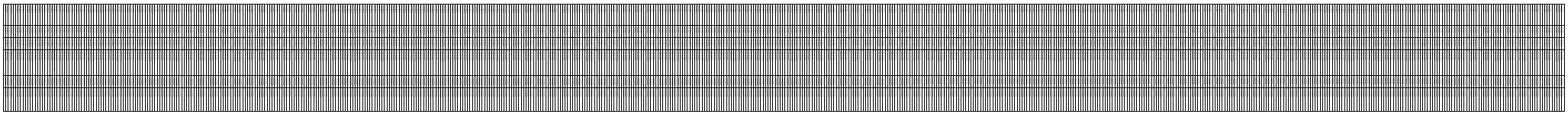


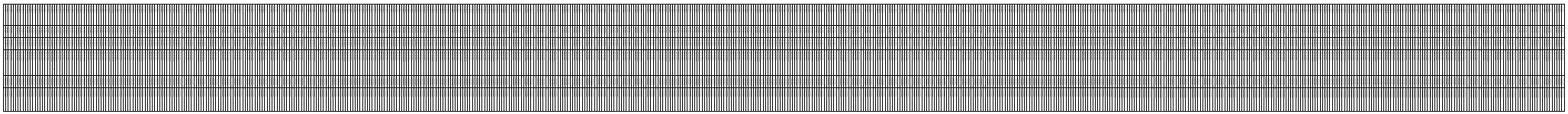


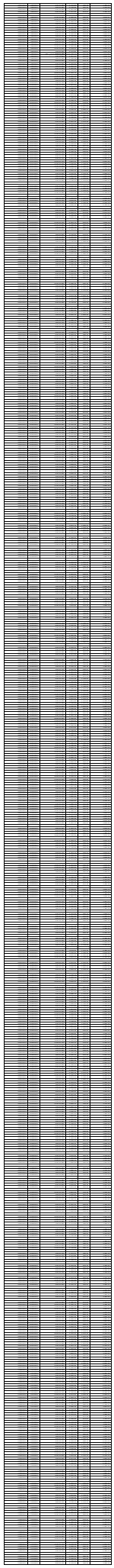


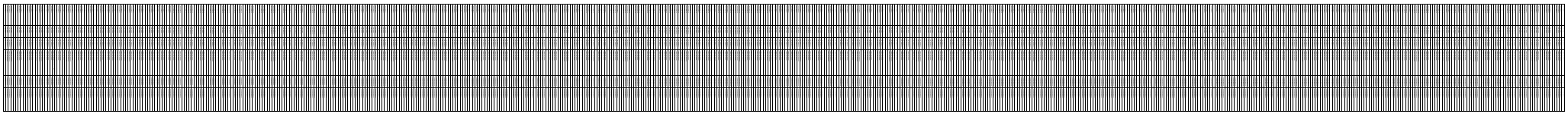


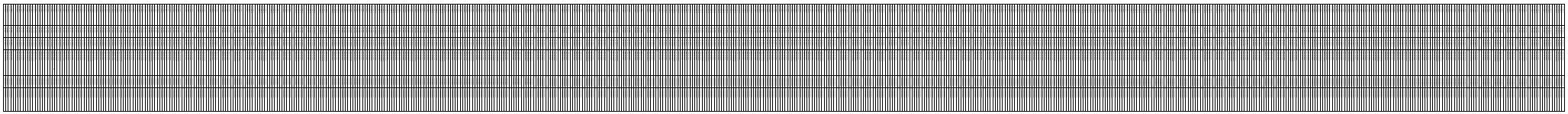


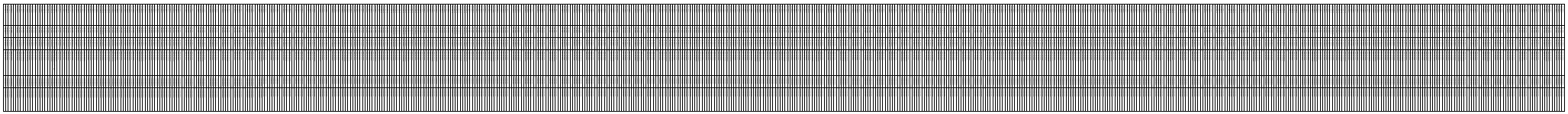


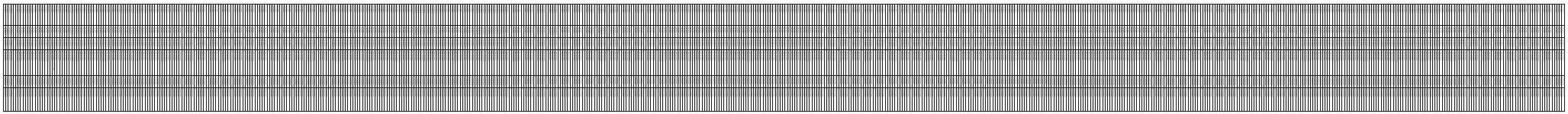


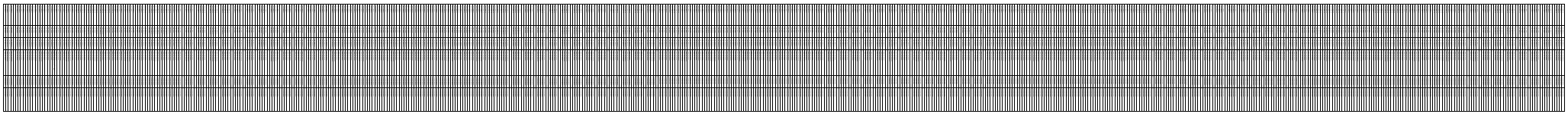


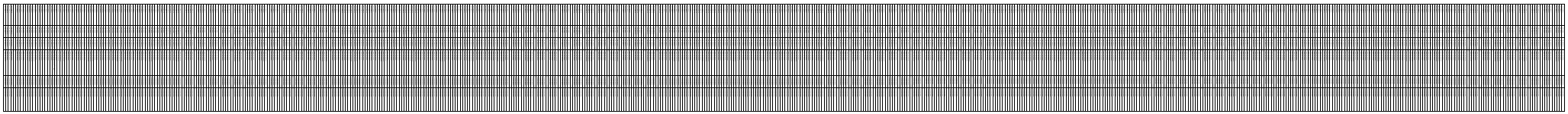


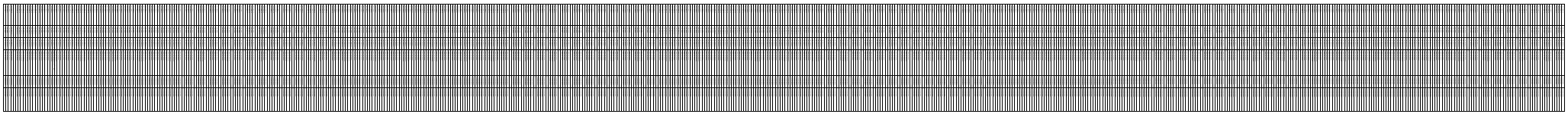


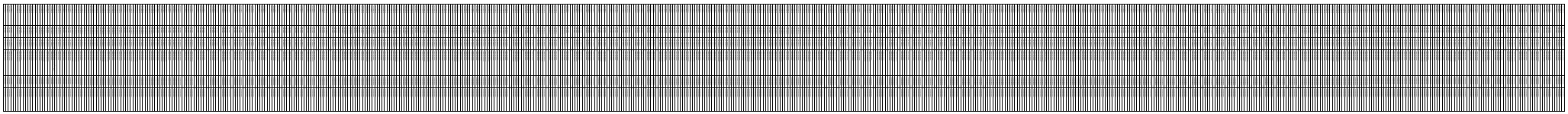


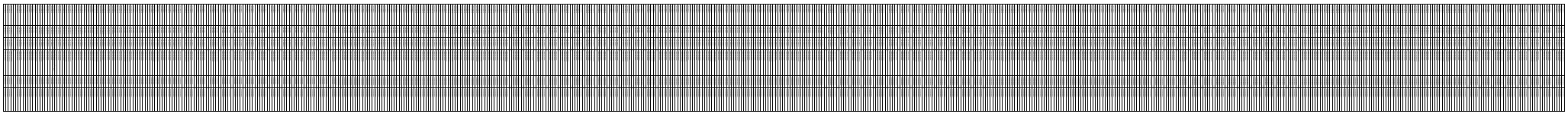


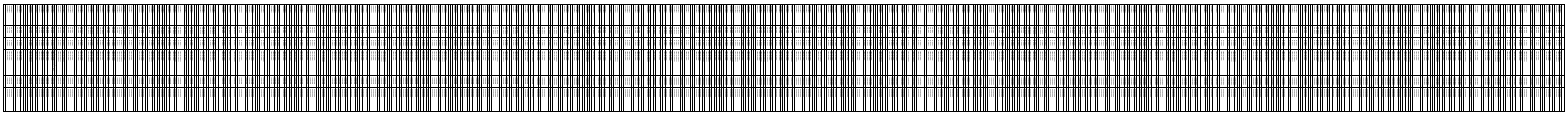


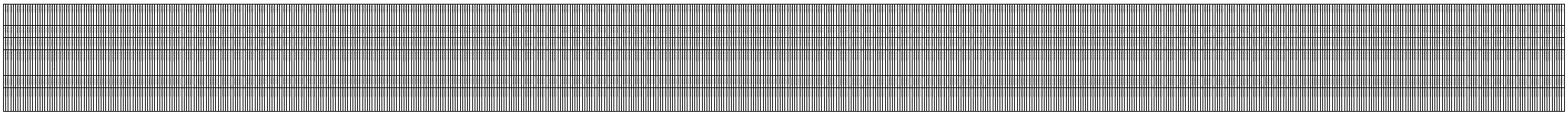


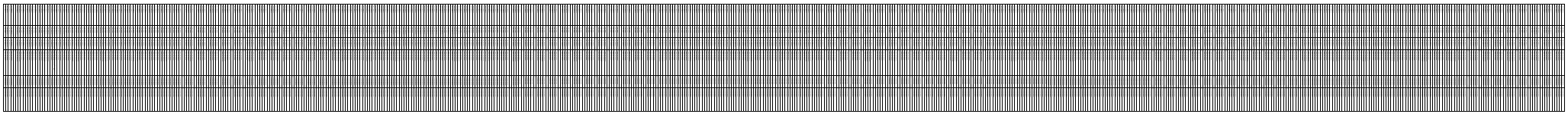


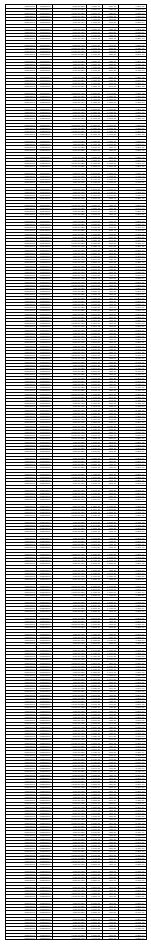












off-system history interval

off-system history summary

Customer Name
Service Address
Service City, Service State, Service Zip

Zone	Central
Service Class	SC3
Rate Class	XYZ123
Voltage Class	Primary
MHP (yes/no)	Yes
Bill Cycle	99
Total ICAP	5,230.40
ESCo ICAP	4,750.32

Meter Read	Total kWh	NYPA kWh	ESCo kWh	Peak kW	NYPA kW	ESCo kW
10/31/2010	4,169,915	627,262	3,542,653	8,309.76	1,200.00	7,059.76
11/30/2010	4,057,539	604,077	3,453,462	8,396.16	1,200.00	7,146.16
12/31/2010	4,096,142	617,413	3,478,730	8,292.96	1,200.00	7,042.96
1/31/2011	4,160,190	617,453	3,542,737	8,422.08	1,200.00	7,172.08
2/28/2011	3,767,008	590,283	3,176,725	7,977.12	1,200.00	6,727.12
3/31/2011	4,158,281	629,804	3,528,476	8,253.12	1,200.00	7,003.12
4/30/2011	4,286,691	655,352	3,631,340	8,176.32	1,200.00	6,926.32
5/31/2011	4,303,680	660,430	3,643,249	8,145.60	1,200.00	6,895.60
6/30/2011	4,290,938	673,314	3,617,624	7,966.08	1,200.00	6,716.08
7/31/2011	4,321,348	677,759	3,643,589	7,969.92	1,200.00	6,719.92
8/31/2011	4,403,127	675,373	3,727,754	8,149.44	1,200.00	6,899.44
9/30/2011	4,195,624	658,715	3,536,909	7,961.76	1,200.00	6,711.76
10/31/2011	4,386,104	675,387	3,710,717	8,117.76	1,200.00	6,867.76
11/30/2011	4,236,249	659,763	3,576,486	8,026.08	1,200.00	6,776.08
12/31/2011	4,182,557	609,245	3,573,313	8,581.44	1,200.00	7,331.44
1/31/2012	4,135,502	634,211	3,501,291	8,150.88	1,200.00	6,900.88
2/29/2012	4,005,322	596,712	3,408,610	8,390.40	1,200.00	7,140.40
3/31/2012	4,362,035	662,707	3,699,327	8,227.68	1,200.00	6,977.68
4/30/2012	4,128,290	645,303	3,482,986	7,996.80	1,200.00	6,746.80
5/31/2012	4,607,304	703,706	3,903,598	8,184.00	1,200.00	6,934.00
6/30/2012	4,223,406	649,029	3,574,376	8,134.08	1,200.00	6,884.08
7/31/2012	3,272,272	681,217	2,591,055	6,004.46	1,200.00	4,754.46
8/31/2012	3,268,489	675,173	2,593,316	6,051.21	1,200.00	4,801.21
9/30/2012	3,175,968	630,537	2,545,431	6,296.15	1,200.00	5,046.15