

Case No. 12-M-0476 et. al.
EDI Business/Technical Working Groups
TOP Supplement 2 – Phase III Testing - 7/10/2015

Changes to Page 4:

I. PURPOSE

This document, referred to as **Technical Operating Profile (TOP) Supplement 2**, provides the guidelines and specifications for testing of the 814 Change (Account Maintenance) business processes, including Phase I, II and III testing for Electronic Data Interchange (EDI) in New York's retail energy marketplace. The specifications were developed by, and in accordance with, the ongoing work of the New York EDI Collaborative group (the Collaborative)¹ in developing the EDI data standards for New York as directed by the New York Public Service Commission (PSC or Commission)².

This document is designed to be used in conjunction with orders issued by the State of New York Public Service Commission in Case 98-M-0667, In the Matter of Electronic Data Interchange:

- (A) Opinion No. 01-03, Opinion and Order Approving EDI Data Standards and Data Protocols and Modifying the New York Uniform Business Practices for EDI Implementation, (issued July 23, 2001) and Supplement E to this opinion which contains generic and Phase I testing information; and
- (B) Order Approving EDI Test Plans and Data Standards (issued November 8, 2001) and Supplement A to this order which contains Phase II and Phase III testing information and procedures.
- (C) Subsequent Orders modifying EDI Standards, as applicable.

Utilities may supplement the testing scenarios contained in this or other New York EDI Standards Technical Operating Profile documents in their Utility Maintained EDI Guides. Utilities are encouraged to keep their test plans up-to-date and consistent with their current implementations.

Given the mature state of EDI in the New York market, Utilities have the discretion to streamline Phase III testing for new entrants into their service territories based upon a variety of factors including, but not limited to, the ESCOs experience in other service territories and the advice of the ESCO's EDI Service Provider. This discretion also applies to changes, major and minor, in the EDI Standards documents resulting from various regulatory initiatives and the ongoing work of New York EDI Working Groups. The scope of the changes to be tested is another factor to be considered. In some cases, adequate testing could be performed by an EDI Service Provider on

¹ The specifications were reviewed and updated by the Case 12-M-0476 EDI Working Groups.

² Based on a Staff recommendation to the Commission, an initial technical conference of interested parties was convened in October 1998 to explore the data exchange necessary to facilitate retail access. At that time Case 98-M-0667 was instituted and the New York EDI Collaborative was organized.

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behalf of groups of ESCOs for whom they provide EDI services. Since circumstances will vary from utility to utility, when EDI changes applicable to all utilities are tested the degree of testing may vary considerably for each utility. Never the less, if an ESCO or its EDI Service Provider requests more detailed testing in a particular instance the Utility should accommodate such requests.