

Orange and Rockland Utilities, Inc.

Small Business Direct Install Program

Monthly Scorecard – December 2011

Section I. Basic Program Information

Basic information about each program must be provided for each program with the first monthly report and again whenever the program changes so that the current program information is up-to-date. Such basic information is separate from the data collected in the participant-level program-tracking database. The list of basic program information is as follows:

- a) Full program descriptions, including operation and procedures manuals, activities descriptions, and a description of program service territory; **The Small Business Direct Install Program** is designed to target energy efficient lighting measures in businesses using less than 100 kW of peak demand. O&R will contribute 70% of the installed cost of the measure in order to overcome the market barrier of up-front cost. The Program will be implemented throughout Orange and Rockland's service territory which includes Rockland County and portions of Orange and Sullivan Counties. Willdan Energy Solutions (WES), 245 Park Avenue, New York, NY 10167 executed a contract with O&R on November 4, 2009 for implementation services.
- b) Detailed descriptions of tracking system and tracking system operations, including data dictionaries; WES's customized database system, "SMART" – Subcontractor Management and Reporting Tool will be used to track all aspects of program participation. It will track customer data, provide project management of the field activities, and provide management reporting as needed.
- c) A detailed description or map of how data in the tracking system contributes to the monthly report. DPS should be able to take the program-tracking databases and relevant accounting information for a given utility or NYSERDA and reproduce the monthly report. See answer in section (b).

Program management and staff names, titles, work locations, phone numbers, fax numbers, and e-mail addresses;

Robert J. Melvin

Program Administrator – Customer Energy Services

Location: 390 W Rt. 59, Spring Valley, New York 10977

Phone: (845) 577-3373

Fax: (845) 577-3628

E mail: melvinr@oru.com

Charmaine Cigliano

Section Manager – Customer Energy Services

Location: 390 W Rt. 59, Spring Valley, New York 10977

Phone: (845) 577-3121

Fax: (845) 577-3628

E mail: ciglianoc@oru.com

- d) Program savings objectives; Savings objectives are to acquire durable savings in energy and peak demand among small to medium C&I customers with peak demands under 100 kW. Measures to be installed target lighting and incentives will include \$100 of free lighting measures and 70% of installed cost for additional measures. By year end 2011, the program energy savings goals are 34,345 MWh.

Section IV. Sample Narrative Report to be included with spreadsheet

Program Administrator: Bob Melvin
Program/Project: ORANGE & ROCKLAND – SMALL BUSINESS
DIRECT INSTALL PROGRAM
Reporting period: December 2011
Report Contact person: Charmaine Cigliano

1. Program Status

Program Performance Goals

(a) Describe and discuss circumstances that may have an impact on the achievement of project performance goals (positive or negative).

The Small Business Direct Install Program performance continued to be hampered by the sluggish economy and the reluctance customer to commit to funding their 30% share of the project. Small business owners are reluctant to spend cash that may be needed for other expenses in the near future thereby placing less importance on energy savings and more importance on the unstable economy.

(b) Describe and discuss other key aspects of program performance goals that were not discussed in (a).

December 2011 savings were 1,456 MWh as a result of utilizing all available implementation resources and focusing all available resources to closing out projects. Cumulative 2011 energy savings is 18,777 MWh with committed savings, projects that customers agreed to install that are not yet scheduled, totaling 3,228 MWh that will be installed in early 2012.

(c) Provide updates to the forecast of net energy and demand impacts. The forecast should be updated at least annually. Note and explain any discrepancies between the filed program goal and the latest forecast.

O&R has achieved 55% of its cumulative Program goal of 34,345 and is currently forecasting 64% achievement of the cumulative three year goal including 100% of the committed energy savings.

2. Program Implementation Activities. This section is designed to quantify major activities not captured in the progress spreadsheet.

(a) Marketing Activities

List and describe major marketing accomplishments. Describe activities in quantitative and qualitative terms. Provide copies of key marketing materials.

During the month of December, the Lighten Up program continued to utilize street sweeps with contractors marketing door to door. This effort follows O&R's efforts to increase customer awareness of the Program through direct mailings, @ work publications, 60 second radio spots that continued for the entire month, business journal advertisements and the Lighten Up website. O&R continues to pursue a strong grassroots marketing campaign by meeting with customers, municipalities and civic organizations.

Customer testimonial ads featuring Beckerle Lumber, Jawanio, Port Java and the Village of Monroe continue to be placed in the Hudson Valley Business Journal and HV Biz. CES continued a 10 second promotional spot on local cable stations directing business owners who want to save energy and money to visit the LightenUpNow.com website.

On December 2, 2011 O&R and Central Hudson co-hosted an energy efficiency and lighting seminar for Orange County Chamber of Commerce members at their Montgomery office. On December 6, 2011 O&R sponsored an information booth at the annual Orange County Partnership's Annual Networking Event.

(b) Evaluation Activities

List and describe evaluation activities. Compare them with goals and objectives established for the report period. Describe activities in quantitative and qualitative terms.

O&R is finalizing its process evaluation and has been working with the evaluation consultant to implement program delivery changes to address their preliminary findings. Impact evaluations are underway and program data requests are being processed.

(c) Other Activities

None.

List and describe major accomplishments not captured in either the spreadsheet or this report. Describe work activities in quantitative and qualitative terms.

3. Customer Complaints and/or Disputes

Describe any customer disputes or complaints and how they have been resolved.

None.

4. Changes to Subcontractors or Staffing

Describe any staff or subcontractor/consultant changes.

None.

5. Additional Issues

There are no additional issues.

Orange & Rockland Utilities, Inc.

Residential HVAC – Gas Program

Monthly Scorecard – December 2011

Section I. Basic Program Information

Basic information about each program must be provided for each program with the first monthly report and again whenever the program changes so that the current program information is up-to-date. Such basic information is separate from the data collected in the participant-level program-tracking database. The list of basic program information is as follows:

- a) Full program descriptions, including operation and procedures manuals, activities descriptions, and a description of program service territory; **The Residential HVAC Gas Rebate Program** supports the installation of high efficiency gas boilers, furnaces, indirect water heaters as well as boiler reset controls, programmable thermostats and duct sealing. Incentives are offered directly to consumers and O&R works with contractors, distributors and trade allies to jointly promote these products and services to O&R customers. The Program is implemented throughout O&R's service territory which includes Orange and Rockland counties as well as portions of Sullivan County. Operation and procedural manuals were to be developed in coordination with the Implementation Contractor for both the electric and gas residential high efficiency HVAC programs. The termination of the electric program presented a barrier to this contracting process. Therefore, O&R has continued implementation of the gas HVAC rebate program internally and therefore, development of the procedural manuals, as well as other tasks originally proposed for the implementation contractor, is now done internally.
- b) Detailed descriptions of tracking system and tracking system operations, including data dictionaries; **The Company** anticipated utilizing the Implementation Contractor's tracking database tool, however, O&R has tracked all the data elements and has transferred all data to an internal database tracking system.
- c) A detailed description or map of how data in the tracking system contributes to the monthly report. DPS should be able to take the program-tracking databases and relevant accounting information for a given utility or NYSERDA and reproduce the monthly report. **Since O&R has been processing rebates for this program since it began in July 2009, all data necessary to calculate measure savings has been tracked.**
- d) Program management and staff names, titles, work locations, phone numbers, fax numbers, and e-mail addresses;

Scott Markwood

Program Administrator – Customer Energy Services

Location: 390 West Route 59, Spring Valley, NY 10977

Phone: (845) 577-3127

Fax: (845) 577-3628

E mail: markwoods@oru.com

Charmaine Cigliano

Section Manager – Customer Energy Services

Location: 390 West Route 59, Spring Valley, NY 10977

Phone: (845) 577-3121

Fax: (845) 577-3628

E mail: ciglianoc@oru.com

Section IV. Sample Narrative Report to be included with spreadsheet

Orange and Rockland – RESIDENTIAL HVAC Rebate
Program Administrator: PROGRAM – GAS
Program/Project:
Reporting period: December 2011
Report Contact person: Charmaine Cigliano

2. Program Status

Program Performance Goals

(a) Describe and discuss circumstances that may have an impact on the achievement of project performance goals (positive or negative).

As of December, 2011 the Program has acquired savings of 28,617, dekatherms, or 107% of the extended three year goal of 26,828 dekatherms established in the June 24th 2010 order. Additionally, another 1,427 dekatherms were committed in January for equipment installed in 2011.

(b) Describe and discuss other key aspects of program performance goals that were not discussed in (a).

The October 25, 2011 Order, approving the program set aggressive goals for the 2012-2015 period. O&R will be reviewing historical program achievement to determine a sustainable market penetration rate for that period and may be proposing reduced goals taking into account past performance and forecasting participation.

(c) Provide updates to the forecast of net energy and demand impacts. The forecast should be updated at least annually. Note and explain any discrepancies between the filed program goal and the latest forecast.

No change.

2. Program Implementation Activities. This section is designed to quantify major activities not captured in the progress spreadsheet.

(a) Marketing Activities

List and describe major marketing accomplishments. Describe activities in quantitative and qualitative terms. Provide copies of key marketing materials.

Outreach for this program is coordinated to coincide with the heating season, typically beginning after Labor Day and extending through early winter. This heating season, O&R reduced its marketing efforts since the program was approaching 100% of its cumulative 2009-2011 energy goals with only one bill insert in September 2011. Another bill insert was sent in January 2012 to kick-off the next program cycle and to maintain program momentum.

(b) Evaluation Activities

List and describe evaluation activities. Compare them with goals and objectives established for the report period. Describe activities in quantitative and qualitative terms. O&R's third party evaluation presented preliminary findings resulting from surveys conducted with participating and non-participating customers and contractors. While the review is very positive, recommendations for modifications are being evaluated. In addition, O&R is participating in a joint impact evaluation study with other program administrators in order to gain synergy savings.

List and describe major accomplishments not captured in either the spreadsheet or this report. Describe work activities in quantitative and qualitative terms.

3. Customer Complaints and/or Disputes

Describe any customer disputes or complaints and how they have been resolved. There have been no customer disputes or complaints to date.

4. Changes to Subcontractors or Staffing

Describe any staff or subcontractor/consultant changes. There have been no changes.

5. Additional Issues

There are no additional issues.

Orange and Rockland Utilities, Inc.

Commercial & Industrial Existing Buildings Program

Monthly Scorecard – December 2011

Section I. Basic Program Information

Basic information about each program must be provided for each program with the first monthly report and again whenever the program changes so that the current program information is up-to-date. Such basic information is separate from the data collected in the participant-level program-tracking database. The list of basic program information is as follows:

- e) Full program descriptions, including operation and procedures manuals, activities descriptions, and a description of program service territory; **The Commercial & Industrial Existing Buildings Program** is designed to encourage commercial and industrial customers to install high-efficiency equipment in their facilities. The program offers both prescriptive and custom rebates. Financial incentives are based on 50% of the incremental measure cost for installing high-efficiency heating, cooling, and ventilation equipment, or for upgrading lighting, motors and variable speed drives. The custom component of the program is designed to offer customers rebates for cost-effective measures or projects that are not covered by the prescriptive rebates. Rebate levels will be determined based on economic customer payback based on the guidelines from the October 23, 2009 Order. The approved budget is \$4,358,626 to attain a cumulative annual savings of 14,458 MWh through 2011. Per the August 22, 2011 PSC order pertaining to time lost issues and the anticipated reduction due to measurement criteria adjustments, the two year combined goal is revised from 14,458 MWh to 10,259 MWh. Additionally, per August 22, 2011 Order, the overall program budget was revised from \$4,358,626 to \$3,813,797. Customers who participate must pay the Systems Benefit Charge.
- f) Detailed descriptions of tracking system and tracking system operations, including data dictionaries; O&R tracks all the data elements and is now in the process of developing an internal database tracking system. The tracking database will include all customer and measure specific data necessary identified in Section II A. Table 1.
- g) Program management and staff names, titles, work locations, phone numbers, fax numbers, and e-mail addresses;

Steven Orman

Program Administrator – Customer Energy Services

Location: 390 W Rt. 59, Spring Valley, New York 10977

Phone: (845) 577-3694

Fax: (845) 577-3628

E mail: ormans@oru.com

Charmaine Cigliano

Section Manager – Customer Energy Services

Location: 390 W Rt. 59, Spring Valley, New York 10977

Phone: (845) 577-3121

Fax: (845) 577-3628

E mail: ciglianoc@oru.com

Section IV. Sample Narrative Report to be included with spreadsheet

Program Administrator: Steve Orman

Program/Project: ORANGE & ROCKLAND – COMMERCIAL &
INDUSTRIAL EXISTING BUILDINGS PROGRAM

Reporting period: December 2011

Report Contact person: Charmaine Cigliano

3. Program Status

Program Performance Goals

(a) Describe and discuss circumstances that may have an impact on the achievement of project performance goals (positive or negative).

Activity increased in December as current applicants moved to close out uncompleted projects before year-end and several new applications were received. Several pre and post installation inspections were conducted to commit energy savings and process rebates. In-person meetings with potential new applicants were also conducted this month. Though activity has noticeably increased, the economy remains a factor in facility manager hesitancy to invest capital in energy efficient upgrades. Many facility managers are still postponing upgrades in lieu of retaining funds for unexpected expenses.

(b) Provide updates to the forecast of net energy and demand impacts. The forecast should be updated at least annually. Note and explain any discrepancies between the filed program goal and the latest forecast.

December energy savings totaled 2,469 MWh with cumulative energy savings of 4,180 MWh or 41% of the reduced two year goal of 10,259 MWh including the technical manual reduction found in the August 2011 SAPA notice. O&R anticipates difficulty in achieving the energy savings goal with the slow economic recovery. If customers install 100% of the projects committed to in 2011, 75% to 80% of the reduced program goal may be achieved.

2. Program Implementation Activities This section is designed to quantify major activities not captured in the progress spreadsheet.

(a) Marketing Activities

List and describe major marketing accomplishments. Describe activities in quantitative and qualitative terms. Provide copies of key marketing materials.

(b) Evaluation Activities

List and describe evaluation activities. Compare them with goals and objectives established for the report period. Describe activities in quantitative and qualitative terms.

The third party evaluation contractor has initiated a process evaluation for this program.

3. Customer Complaints and/or Disputes

Describe any customer disputes or complaints and how they have been resolved.

There have been no customer complaints to date.

4. Changes to Subcontractors or Staffing

Describe any staff or subcontractor/consultant changes.

There have been no subcontractor/consultant changes to date.

5. Additional Issues

There are no additional issues.

Orange & Rockland Utilities, Inc.

Residential Efficient Products Program

Monthly Scorecard – December 2011

Section I. Basic Program Information

Basic information about each program must be provided for each program with the first monthly report and again whenever the program changes so that the current program information is up-to-date. Such basic information is separate from the data collected in the participant-level program-tracking database. The list of basic program information is as follows:

- e) Full program descriptions, including operation and procedures manuals, activities descriptions, and a description of program service territory; **The Residential Efficient Products Program** is an appliance rebate and recycling program. The appliance rebate component provides up to \$50 for the purchase of ENERGY STAR[®] rated room air conditioners and \$10 for dehumidifiers. The recycling component collects and environmentally recycles old inefficient working second refrigerators and freezers. Customers who turn in operating units will receive a \$50 incentive. Customers call to schedule a pickup and the equipment is dismantled with potential containments disposed of properly. All program participants will receive three CFL bulbs in addition to their rebate as a thank you for investing in energy efficiency and to introduce customers to the benefits of CFLs. The Program is implemented throughout O&R's service territory which includes Orange and Rockland Counties as well as portions of Sullivan County. O&R will administer and implement the Program with internal staff with the exception of the appliance collection and recycling, and the CFL kit disbursements which were both contracted through a competitive bid process. The contract for appliance collection and recycling was awarded to Appliance Recycle Centers of America Inc. (ARCA), and the contract for CFL kit disbursement was awarded to Niagara Conservation Corp. (Niagara).
- f) Detailed descriptions of tracking system and tracking system operations, including data dictionaries; O&R has tracked all the data elements and has transferred all data to an internal database tracking system to date.
- g) A detailed description or map of how data in the tracking system contributes to the monthly report. DPS should be able to take the program-tracking databases and relevant accounting information for a given utility or NYSERDA and reproduce the monthly report. All data necessary to calculate measure savings has been tracked.
- h) Program management and staff names, titles, work locations, phone numbers, fax numbers, and e-mail addresses;

Eric Caban

Program Administrator – Customer Energy Services

Location: 390 West Route 59, Spring Valley, NY 10977

Phone: (845) 577-3788

Fax: (845) 577-3628

E mail: cabaner@oru.com

Charmaine Cigliano

Section Manager – Customer Energy Services

Location: 390 West Route 59, Spring Valley, NY 10977

Phone: (845) 577-3121

Fax: (845) 577-3628

E mail: ciglianoc@oru.com

Section IV. Sample Narrative Report to be included with spreadsheet

Program Administrator: Orange and Rockland – RESIDENTIAL EFFICIENT PRODUCTS PROGRAM
Program/Project:
Reporting period: December 2011
Report Contact person: Charmaine Cigliano

4. Program Status

Program Performance Goals

- (a) Describe and discuss circumstances that have an impact on the achievement of project performance goals (positive or negative).

Steady participation continued in December with 131 refrigerators and freezers collected and recycled representing approximately 183 MWh of savings. CES also processed 26 new Energy Star room air conditioner and dehumidifier rebate applications, representing an additional 2.6 MWh of savings. Further energy savings during the month were realized through the disbursement of 1,429 energy efficiency kits, which were mailed to program participants. The savings associated with this activity represents an additional 268 MWh of savings. December savings totaled 454 MWh, and O&R has now achieved approximately 103% of the 1,627 MWh goal for 2011.

- (b) Describe and discuss other key aspects of program performance goals that were not discussed in (a).

The October 25, 2011 Order, approving the program through 2015 sets aggressive goals for the 2012-2015 period. O&R will need to revisit program goals to determine a sustainable level of participation and associated energy savings.

- (c) Provide updates to the forecast of net energy and demand impacts. The forecast should be updated at least annually. Note and explain any discrepancies between the filed program goal and the latest forecast.

No change.

2. Program Implementation Activities This section is designed to quantify major activities not captured in the progress spreadsheet.

(a) Marketing Activities

List and describe major marketing accomplishments. Describe activities in quantitative and qualitative terms. Provide copies of key marketing materials.

In December, O&R continued airing a 30 second television commercial promoting the refrigerator and freezer recycling component of the program on local cable TV which airs on ESPN, E!, HGTV, News12, Bravo, MSG, Food, and History channels. The program was also highlighted in a December bill insert, and 60 second spots continued to air on the local radio station, 100.7 WHUD for the entire month.

(b) Evaluation Activities

List and describe evaluation activities. Compare them with goals and objectives established for the report period. Describe activities in quantitative and qualitative terms.

There have been no evaluation activities to date.

List and describe major accomplishments not captured in either the spreadsheet or this report. Describe work activities in quantitative and qualitative terms.

3. Customer Complaints and/or Disputes

Describe any customer disputes or complaints and how they have been resolved.

There have been no customer disputes or complaints to date.

4. Changes to Subcontractors or Staffing

Describe any staff or subcontractor/consultant changes.

There have been no changes.

5. Additional Issues

There are no additional issues.

Program Administrator (PA) and Program ID¹	O&R HVAC-Gas
Program Name	Residential HVAC-G Rebate Program
Program Type²	Downstream
Total Acquired First-Year Impacts This Month³	
Net first-year annual kWh acquired this month ⁴	NA
Monthly Net kWh Goal (based on net first-year <i>annual</i> ⁵ kWh Goal)	NA
Percent of Monthly Net kWh Goal Acquired	NA
Net Peak ⁶ kW acquired this month	NA
Monthly Net Peak kW Goal	NA
Percent of Monthly Peak kW Goal Acquired	NA
Net First-year annual therms acquired this month	34,770
Monthly Net Therm Goal	26,828
Percent of Monthly Therm Goal Acquired	129.6%
Net Lifecycle kWh acquired this month	NA
Net Lifecycle therms acquired this month	695,132
Net Other Monthly Savings (MMBTUs) Acquired	
Coal	NA
Kerosene	NA
Oil	NA
Propane	NA
Total Acquired Net First-Year Impacts To Date	
Net first-year annual kWh acquired to date	NA
Net first-year annual kWh acquired to date as a percent of annual goal	NA
Net first-year annual kWh acquired to date as a percent of 8-year goal	NA
Net cumulative first-year annual kWh acquired to date	NA
Net utility kW reductions acquired to date	NA
Net utility peak kW reductions acquired to date as a percent of utility annual goal	NA
Net utility peak kW reductions acquired to date as a percent of 8-year goal	NA
Net NYISO peak kW reductions acquired to date	NA
Net first-year annual therms acquired to date	286,170
Net first-year annual therms acquired to date as a percent of annual goal	106.7%
Net first-year annual therms acquired to date as a percent of 8-year goal	40.0%
Net cumulative therms acquired to date	286,170
Total Acquired Lifecycle Impacts To Date⁷	
Net Lifecycle kWh acquired to date	NA
Net Lifecycle therms acquired to date	5,736,916

Committed⁸ Impacts (not yet acquired) This Month	
Net First-year annual kWh committed this month	NA
Net Lifecycle kWh committed this month	NA
Net Utility Peak kW committed this month	NA
Net first-year annual therms committed this month	14,272
Net Lifecycle therms committed this month	164,971
Funds committed at this point in time	\$26,580
Overall Impacts (Acquired & Committed)	
Net first-year annual kWh acquired & committed this month	NA
Net utility peak kW acquired & committed this month	NA
Net First-year annual therms acquired & committed this month	49,042
Costs⁹	
Total program budget	\$1,024,944
General Administration	\$28,991
Program Planning	\$0
Program Marketing	\$835
Trade Ally Training	\$0
Incentives and Services	\$66,662
Direct Program Implementation	-\$7,847
Program Evaluation	\$0
Total expenditures to date	\$823,962
Percent of total budget spent to date	80.4%
Participation	
Number of program applications received to date	153
Number of program applications <i>processed</i> to date ¹⁰	153
Number of processed applications <i>approved</i> to date ¹¹	0
Percent of applications received to date that have been processed	100.0%
Carbon Emission Reductions (in tons)	
Total Acquired Net First-Year Carbon Emission Reductions To Date ¹²	NA
Total Acquired Cumulative Net Carbon Emission Reductions To Date	NA

NOTES:
<p>¹DPS Staff needs to work with utilities and NYSEERDA to develop a Program ID naming convention. However, a Program ID number is not required for the first report. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.</p>
<p>²There is not currently a consistent list of program type but individual categories for common use by administrators could be developed</p>
<p>³First-year savings are defined as the annual savings expected from a given measure in the first year after installation (See Definition #1 in <i>Savings Definitions</i> Tab). The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. <i>Acquired</i> kWh savings are defined as those savings that reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.</p>
<p>⁴Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.</p>
<p>⁵Program Administrators should make a best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the monthly goals but does not want to mandate monthly goals, at least initially.</p>
<p>⁶ Peak is defined uniquely for each utility.</p>
<p>⁷The lifecycle savings are tracked beginning in the <i>year</i> in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will differ for each month as a function of adding savings from measures installed in a given month and savings from measures installed earlier in the funding cycle that have reached the end of their useful life are no longer accumulated.</p>
<p>⁸ Committed savings are defined as those for which funds have been encumbered by not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's best <i>estimate</i> of what they have committed. Program administrators should forecast as accurately as possible and forecasts should get more precise with program experience, i.e., the difference between achieved and committed should narrow over time.</p>
<p>⁹These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans.</p> <p>Companies should identify whether each cost item is to be recovered through the SBC surcharge, base rates, or other recovery mechanism (e.g., monthly adjustment charges).</p>
<p>¹⁰An application is <i>processed</i> once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."</p>
<p>¹¹The application is <i>approved</i> once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which there are cases in which an application could be received, processed, and approved all in one day, then a "1" would be counted for each step in the tracking lifecycle.</p>
<p>¹²See <i>CO₂ Reduction Values</i> tab.</p>

Program Administrator (PA) and Program ID¹	O&R SBDI
Program Name	Small Business Direct Install
Program Type²	Downstream
Total Acquired First-Year Impacts This Month³	
Net first-year annual kWh acquired this month ⁴	4,581,769
Monthly Net kWh Goal (based on net first-year <i>annual</i> ⁵ kWh Goal)	3,323,710
Percent of Monthly Net kWh Goal Acquired	137.9%
Net Peak⁶ kW acquired this month	
Net Peak ⁶ kW acquired this month	1395.8
Monthly Net Peak kW Goal	593.1
Percent of Monthly Peak kW Goal Acquired	235.4%
Net First-year annual therms acquired this month	
Net First-year annual therms acquired this month	NA
Monthly Net Therm Goal	NA
Percent of Monthly Therm Goal Acquired	NA
Net Lifecycle kWh acquired this month	
Net Lifecycle kWh acquired this month	55,154,486
Net Lifecycle therms acquired this month	
Net Lifecycle therms acquired this month	NA
Net Other Monthly Savings (MMBTUs) Acquired	
Coal	NA
Kerosene	NA
Oil	NA
Propane	NA
Total Acquired Net First-Year Impacts To Date	
Net first-year annual kWh acquired to date	18,777,324
Net first-year annual kWh acquired to date as a percent of annual goal	55%
Net first-year annual kWh acquired to date as a percent of 8-year goal	21%
Net cumulative first-year annual kWh acquired to date	18,777,324
Net utility kW reductions acquired to date	
Net utility kW reductions acquired to date	6128.5
Net utility peak kW reductions acquired to date as a percent of utility annual goal	100%
Net utility peak kW reductions acquired to date as a percent of 8-year goal	0%
Net NYISO peak kW reductions acquired to date	6128.5
Net first-year annual therms acquired to date	
Net first-year annual therms acquired to date	NA
Net first-year annual therms acquired to date as a percent of annual goal	NA
Net first-year annual therms acquired to date as a percent of 8-year goal	NA
Net cumulative therms acquired to date	NA
Total Acquired Lifecycle Impacts To Date⁷	
Net Lifecycle kWh acquired to date	235,701,868
Net Lifecycle therms acquired to date	NA
Committed⁸ Impacts (not yet acquired) This Month	
Net First-year annual kWh committed this month	3,228,395
Net Lifecycle kWh committed this month	43,319,158
Net Utility Peak kW committed this month	1,112.0
Net first-year annual therms committed this month	NA
Net Lifecycle therms committed this month	NA
Funds committed at this point in time	\$963,243
Overall Impacts (Acquired & Committed)	
Net first-year annual kWh acquired & committed this month	7,810,164
Net utility peak kW acquired & committed this month	2507.9
Net First-year annual therms acquired & committed this month	NA

Costs⁹	
Total program budget	\$9,087,089
General Administration	\$30,001
Program Planning	\$0
Program Marketing	\$90,289
Trade Ally Training	\$0
Incentives and Services	\$2,331,617
Direct Program Implementation	\$75,616
Program Evaluation	\$34
Total expenditures to date	\$7,169,870
Percent of total budget spent to date	78.9%
Participation	
Number of program applications received to date	998
Number of program applications <i>processed</i> to date ¹⁰	950
Number of processed applications <i>approved</i> to date ¹¹	478
Percent of applications received to date that have been processed	95%
Carbon Emission Reductions (in tons)	
Total Acquired Net First-Year Carbon Emission Reductions To Date ¹²	\$116,419,411
Total Acquired Cumulative Net Carbon Emission Reductions To Date	\$116,419,411

NOTES:

¹DPS Staff needs to work with utilities and NYSERDA to develop a Program ID naming convention. However, a Program ID number is not required for the first report. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.

²There is not currently a consistent list of program type but individual categories for common use by administrators could be developed

³First-year savings are defined as the annual savings expected from a given measure in the first year after installation (See Definition #1 in *Savings Definitions* Tab). The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. **Acquired** kWh savings are defined as those savings that reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.

⁴Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.

⁵Program Administrators should make a best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the monthly goals but does not want to mandate monthly goals, at least initially.

⁶ Peak is defined uniquely for each utility.

⁷The lifecycle savings are tracked beginning in the *year* in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will differ for each month as a function of adding savings from measures installed in a given month and savings from measures installed earlier in the funding cycle that have reached the end of their useful life are no longer accumulated.

⁸ Committed savings are defined as those for which funds have been encumbered by not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's best *estimate* of what they have committed. Program administrators should forecast as accurately as possible and forecasts should get more precise with program experience, i.e., the difference between achieved and committed should narrow over time.

⁹These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans.

Companies should identify whether each cost item is to be recovered through the SBC surcharge, base rates, or other recovery mechanism (e.g., monthly adjustment charges).

¹⁰An application is *processed* once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."

¹¹The application is *approved* once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which there are cases in which an application could be received, processed, and approved all in one day, then a "1" would be counted for each step in the tracking lifecycle.

¹²See *CO₂ Reduction Values* tab.

Program Administrator (PA) and Program ID¹	O&R C&I
Program Name	C&I Existing Buildings
Program Type²	Downstream
Total Acquired First-Year Impacts This Month³	
Net first-year annual kWh acquired this month ⁴	2,799,809
Monthly Net kWh Goal (based on net first-year <i>annual</i> ⁵ kWh Goal)	1,282,375
Percent of Monthly Net kWh Goal Acquired	218.3%
Net Peak⁶ kW acquired this month	
Net Peak ⁶ kW acquired this month	415.8
Monthly Net Peak kW Goal	283.8
Percent of Monthly Peak kW Goal Acquired	146.5%
Net First-year annual therms acquired this month	
Net First-year annual therms acquired this month	NA
Monthly Net Therm Goal	NA
Percent of Monthly Therm Goal Acquired	NA
Net Lifecycle kWh acquired this month	
Net Lifecycle kWh acquired this month	27,998,090
Net Lifecycle therms acquired this month	
Net Lifecycle therms acquired this month	NA
Net Other Monthly Savings (MMBTUs) Acquired	
Coal	NA
Kerosene	NA
Oil	NA
Propane	NA
Total Acquired Net First-Year Impacts To Date	
Net first-year annual kWh acquired to date	4,179,693
Net first-year annual kWh acquired to date as a percent of annual goal	41%
Net first-year annual kWh acquired to date as a percent of 8-year goal	10%
Net cumulative first-year annual kWh acquired to date	4,179,693
Net utility kW reductions acquired to date	
Net utility kW reductions acquired to date	724.6
Net utility peak kW reductions acquired to date as a percent of utility annual goal	32%
Net utility peak kW reductions acquired to date as a percent of 8-year goal	8%
Net NYISO peak kW reductions acquired to date	724.6
Net first-year annual therms acquired to date	
Net first-year annual therms acquired to date	NA
Net first-year annual therms acquired to date as a percent of annual goal	NA
Net first-year annual therms acquired to date as a percent of 8-year goal	NA
Net cumulative therms acquired to date	NA
Total Acquired Lifecycle Impacts To Date⁷	
Net Lifecycle kWh acquired to date	27,998,090
Net Lifecycle therms acquired to date	NA
Committed⁸ Impacts (not yet acquired) This Month	
Net First-year annual kWh committed this month	4,201,759
Net Lifecycle kWh committed this month	42,017,590
Net Utility Peak kW committed this month	378.8
Net first-year annual therms committed this month	NA
Net Lifecycle therms committed this month	NA
Funds committed at this point in time	\$725,000
Overall Impacts (Acquired & Committed)	
Net first-year annual kWh acquired & committed this month	7,001,568
Net utility peak kW acquired & committed this month	794.6
Net First-year annual therms acquired & committed this month	NA

Costs⁹	
Total program budget	\$3,813,797
General Administration	\$71,786
Program Planning	\$13,052
Program Marketing	\$18,519
Trade Ally Training	\$7
Incentives and Services	\$329,863
Direct Program Implementation	\$37,789
Program Evaluation	\$4,435
Total expenditures to date	\$852,820
Percent of total budget spent to date	22.4%
Participation	
Number of program applications received to date	14
Number of program applications <i>processed</i> to date ¹⁰	9
Number of processed applications <i>approved</i> to date ¹¹	8
Percent of applications received to date that have been processed	64%
Carbon Emission Reductions (in tons)	
Total Acquired Net First-Year Carbon Emission Reductions To Date ¹²	\$26,248,472
Total Acquired Cumulative Net Carbon Emission Reductions To Date	\$26,248,472

NOTES:

¹DPS Staff needs to work with utilities and NYSERDA to develop a Program ID naming convention. However, a Program ID number is not required for the first report. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.

²There is not currently a consistent list of program type but individual categories for common use by administrators could be developed

³First-year savings are defined as the annual savings expected from a given measure in the first year after installation (See Definition #1 in *Savings Definitions* Tab). The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. **Acquired** kWh savings are defined as those savings that reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.

⁴Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.

⁵Program Administrators should make a best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the monthly goals but does not want to mandate monthly goals, at least initially.

⁶ Peak is defined uniquely for each utility.

⁷The lifecycle savings are tracked beginning in the *year* in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will differ for each month as a function of adding savings from measures installed in a given month and savings from measures installed earlier in the funding cycle that have reached the end of their useful life are no longer accumulated.

⁸ Committed savings are defined as those for which funds have been encumbered by not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's best *estimate* of what they have committed. Program administrators should forecast as accurately as possible and forecasts should get more precise with program experience, i.e., the difference between achieved and committed should narrow over time.

⁹These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans.

Companies should identify whether each cost item is to be recovered through the SBC surcharge, base rates, or other recovery mechanism (e.g., monthly adjustment charges).

¹⁰An application is *processed* once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."

¹¹The application is *approved* once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which there are cases in which an application could be received, processed, and approved all in one day, then a "1" would be counted for each step in the tracking lifecycle.

¹²See *CO₂ Reduction Values* tab.

Program Administrator (PA) and Program ID¹	O&R Efficient Products
Program Name	Residential Eff Products
Program Type²	Downstream
Total Acquired First-Year Impacts This Month³	
Net first-year annual kWh acquired this month ⁴	1,000,319
Monthly Net kWh Goal (based on net first-year annual ⁵ kWh Goal)	697,286
Percent of Monthly Net kWh Goal Acquired	143.5%
Net Peak⁶ kW acquired this month	
Monthly Net Peak kW Goal	128.6
Percent of Monthly Peak kW Goal Acquired	27.5%
Net First-year annual therms acquired this month	
Monthly Net Therm Goal	NA
Percent of Monthly Therm Goal Acquired	NA
Net Lifecycle kWh acquired this month	
Monthly Net Lifecycle kWh Goal	12,003,833
Net Lifecycle therms acquired this month	
Monthly Net Lifecycle Therm Goal	NA
Net Other Monthly Savings (MMBTUs) Acquired	
Coal	NA
Kerosene	NA
Oil	NA
Propane	NA
Total Acquired Net First-Year Impacts To Date	
Net first-year annual kWh acquired to date	1,671,663
Net first-year annual kWh acquired to date as a percent of annual goal	103%
Net first-year annual kWh acquired to date as a percent of 8-year goal	7%
Net cumulative first-year annual kWh acquired to date	1,671,663
Net utility kW reductions acquired to date	
Monthly Net Utility kW Goal	82.0
Percent of Monthly Net Utility kW Goal Acquired	27%
Net utility peak kW reductions acquired to date as a percent of 8-year goal	2%
Net NYISO peak kW reductions acquired to date	82.0
Net first-year annual therms acquired to date	
Monthly Net First-year Annual Therm Goal	NA
Percent of Monthly Net First-year Annual Therm Goal Acquired	NA
Net first-year annual therms acquired to date as a percent of 8-year goal	NA
Net cumulative therms acquired to date	NA
Total Acquired Lifecycle Impacts To Date⁷	
Net Lifecycle kWh acquired to date	12,003,833
Net Lifecycle therms acquired to date	NA
Committed⁸ Impacts (not yet acquired) This Month	
Net First-year annual kWh committed this month	61,071
Net Lifecycle kWh committed this month	732,852
Net Utility Peak kW committed this month	0.0
Net first-year annual therms committed this month	NA
Net Lifecycle therms committed this month	NA
Funds committed at this point in time	\$4,685
Overall Impacts (Acquired & Committed)	
Net first-year annual kWh acquired & committed this month	1,061,390
Net utility peak kW acquired & committed this month	35.4
Net First-year annual therms acquired & committed this month	NA
Costs⁹	
Total program budget	\$1,518,410
General Administration	\$31,059
Program Planning	\$0
Program Marketing	\$38,011
Trade Ally Training	\$0
Incentives and Services	\$44,625
Direct Program Implementation	\$88,611
Program Evaluation	\$0
Total expenditures to date	\$254,843
Percent of total budget spent to date	16.8%

Participation	
Number of program applications received to date	663
Number of program applications <i>processed</i> to date ¹⁰	663
Number of processed applications <i>approved</i> to date ¹¹	0
Percent of applications received to date that have been processed	100%
Carbon Emission Reductions (in tons)	
Total Acquired Net First-Year Carbon Emission Reductions To Date ¹²	\$10,364,309
Total Acquired Cumulative Net Carbon Emission Reductions To Date	\$10,364,309

NOTES:

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