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1.0 EXECUTIVE SUMMARY

Consolidated Edison Company of New York, Inc. (Con Edison or the Company) submits this quarterly report on the progress of the Connected Homes Platform REV demonstration project (the Project) it is implementing as part of the Reforming the Energy Vision (REV) proceeding, as required by the Order Adopting Regulatory Policy Framework and Implementation Plan, issued by the New York State Public Service Commission (the Commission) on February 26, 2015.

1.1 PROGRAM ACHIEVEMENTS

On July 1, 2015, Con Edison submitted the Project for approval by Department of Public Service Staff (DPS Staff); on January 8, 2016, DPS Staff approved the Project. Con Edison filed an implementation plan for the Project with the Commission on January 29, 2016. In the first quarter of 2016, the Company focused on clearly defining the scope of the Project and initiating development. In the second quarter of 2016, the Project was launched to approximately 280,000 customers in Con Edison’s Brooklyn and Westchester territories.

1.2 CYBERSECURITY AND PERSONALLY-IDENTIFIABLE INFORMATION PROTECTION

Consistent with corporate instructions and Commission policy related to cybersecurity and the protection of personally-identifiable information (PII), each partner agreement executed for the implementation of the Project includes specific protections related to cybersecurity and PII. Assurance of this protection is critical in encouraging customers to sign up with new and innovative services offered by utilities.

1.3 ACCOUNTING PROCEDURE ESTABLISHED

On February 16, 2016, in Case 15-E-0229, Con Edison filed an accounting procedure for the accounting and recovery of all REV demonstration project costs. This accounting procedure establishes a standardized framework that will govern how the Company categorizes and allocates the costs of the REV demonstration projects, and will facilitate analyzing each project to determine the overall financial benefits of the program to customers.

1.4 COSTS, BENEFITS, AND OPERATIONAL SAVINGS

Budget information for all of the Company’s REV demonstration projects is being filed confidentially with the Commission, concurrently with the filing of this document. All costs filed are incremental costs needed to implement the projects. To date, no tax credits or grants have been available to reduce the net costs of the projects, but Con Edison will take advantage of such offsetting benefits when they are available. Due to the early stage of implementation for the Project, there are no operational savings to report at this time.
1.5 CONNECTED HOMES PLATFORM

The Project seeks to provide targeted residential customers in selected areas of Con Edison’s service territory with a set of tools designed to proactively connect them with cost-effective energy efficiency products and services and distributed generation offerings that will be most relevant to them. The Project is designed to remove barriers to residential adoption of distributed energy resources (DERs) and animate the DER market by using customer usage data and advanced data analytics to match customer needs with vetted DER products. A set of pre-qualified vendors will be promoted to participating customers through targeted marketing campaigns to demonstrate and evaluate the proof of concept. The targeted marketing campaigns will utilize various channels to communicate with customers, including direct, digital, an online marketplace, and contact center, and will be administered by Con Edison’s partner in the project, Opower.

In Q2 2016, the Connected Homes demonstration project successfully launched to approximately 280,000 customers in Con Edison’s Brooklyn and Westchester territories. The web portal with marketplace became available to all customers in late May. Customers received their first paper Home Energy Reports (HERs) with personalized insights in late May-early June and their first email HERs in late June. For visuals of the reports, see Appendix A: Print Home Energy Report Mock Up and Appendix B: Email Home Energy Report Mock Up. Call center support tools were launched and representatives were fully trained. Creative targeted offerings for solar, thermostats, marketplace and Sealed home services are in development and will be sent to customers in Q3 2016.
2.0 CONNECTED HOMES – QUARTERLY PROGRESS

2.1 DEMONSTRATION HIGHLIGHTS

2.1.1 Since Previous Quarter – Major Tasks Completion

- Finalized partnership agreements with all DER partners (Enervee, SunPower, Sealed)
- Finalized program design and timing of targeted offerings
- Finalized selection of customers to include in the program
- Finalized list of products to be sold on the marketplace
- Launched the Customer Service Interface (CSI) tool for Con Edison call center representatives handling customer inquiries about the program
- Launched single sign-on functionality for REV customers to log into the web portal and customer service representatives to log into the CSI tool
- Launched an Interactive Voice Response (IVR) opt out system for customers to opt out of the Connected Homes Project by phone
- Launched the Connected Homes web portal with Marketplace
- Launched printed Home Energy Reports
- Launched emailed Home Energy Reports
- Held creative development kick-off meetings with all DER partners to begin the targeted offering creative process
- Began developing creative materials for solar, marketplace, thermostat and Sealed targeted offerings (including inserts and marketing modules)
- Developed guidelines for data transfer between DER partners and Con Edison

2.1.2 Activities Overview

In this quarter the Project team focused on selecting customers for the project, finalizing the timing of targeted offering promotions, and launching the initial communications: printed Home Energy Reports, emailed Home Energy Reports, and the web portal with Marketplace. Storefront configuration for the marketplace, scheduled to launch in late July 2016, also began. The storefront functionality allows customers to purchase certain products (thermostats, power strips and light bulbs) directly on the marketplace website, as opposed to being transferred to a third party (e.g., Home Depot) to complete the purchase. In addition to these tasks, the Project team concentrated on setting up the targeted offerings for the Project: the data transfer process between DER partners and Con Edison, as well as the creative materials.

2.1.3 Key Metrics

Measurement of key outcomes will not be reported until Q3 2016 when Con Edison begins including targeted offerings into the Homes Energy Reports. A full list of metrics to be reported out on in Q3 2016 is listed in Appendix C: Full List of Metrics. Key tasks achieved in Q2 2016 from Phase 1 are listed in the table below.
### 2.1.4 Next Quarter Forecast

In Q3 2016, the Project will begin sending solar targeted offerings to eligible customers included in the Project. These targeted offerings consist of paper inserts, paper marketing modules included in the paper HERs, and digital marketing modules included in the digital HERs. A preliminary mockup of one of these communications can be found in Appendix D: Printed Home Energy Report with Solar Targeted Offering Mock Up.

The storefront feature of the marketplace (direct payment for light bulbs, thermostats and power strips) will also go live in Q3 2016. At that time, the marketplace will also highlight Con Edison’s Bring Your Own Thermostat (BYOT) program.

In August, eligible customers will begin receiving high bill alert emails if they are on track to receive a high bill based on historical weather and usage data.

Lastly, the Project team will be working on finalizing the targeted offering creative materials and the data transfer process for all DERs included in the project.

### 2.1.5 Checkpoints/Milestone Progress

<table>
<thead>
<tr>
<th>Checkpoint/Milestone</th>
<th>Timing*</th>
<th>Status</th>
</tr>
</thead>
<tbody>
<tr>
<td>DER Sales: Market Animation and Customer Choice</td>
<td>Phase 2 Midpoint / End</td>
<td></td>
</tr>
<tr>
<td>Checkpoint/Milestone</td>
<td>Timing*</td>
<td>Status</td>
</tr>
<tr>
<td>--------------------------------------------------------</td>
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<td>--------</td>
</tr>
<tr>
<td>Channel andMessaging Effectiveness: <em>Customer Motivation</em></td>
<td>Phase 2 Midpoint / End</td>
<td></td>
</tr>
<tr>
<td>Digital Engagement: <em>Integrated Online Experience</em></td>
<td>Phase 3 start + 6 months</td>
<td></td>
</tr>
<tr>
<td>Revenue Realization: <em>New Business Models</em></td>
<td>Phase 2 Midpoint / End</td>
<td></td>
</tr>
<tr>
<td>Demand Side Management: <em>Customer Co-Benefits</em></td>
<td>Phase 2 Midpoint / End</td>
<td></td>
</tr>
</tbody>
</table>

*Detailed descriptions of the Phases can be found in the Appendix E: Description of Phases.

**Legend**

- On Schedule
- Delayed w/out Major Impact
- Delayed or Stopped – Project Goals Impacted

### 2.1.6 Planned Activities

#### 2.1.6.1 DER Sales: Market Animation and Customer Choice

**Status:** Green

**Expected Target by Phase 2 Midpoint:** 31,000 purchases

**Actual Sales by Phase 2 Midpoint:** N/A

**Solutions/strategies in case of results below expectations:** First, review overall DER sales by channel and product category to identify over- or under-performing products and services. Examine the possibility of changing the mix of DERs offered through each channel based on this review. Second, review “Channel and Messaging” effectiveness (below) to identify strategies for engaging customers at a higher rate. If the expected target is missed, the Project team will evaluate the larger impact to the overarching goals of the Project.

#### 2.1.6.2 Channel and Messaging Effectiveness: Customer Motivation

**Status:** Green

**Expected Target by Phase 2 Midpoint:** 50% recall rates; 16% open rates; 2.5% click through rates
Actual by Phase 2 Midpoint: N/A

Solutions/strategies in case of results below expectations: Evaluate results of the content and messaging effectiveness. First, evaluate potential changes in content or layout informed by A/B, or split testing. A/B testing compares two versions of a communication to see which one performs better. Second, evaluate responses by customer segment and assess possibility of customizing content by unique customer segment. Third, evaluate channel effectiveness and assess resource allocation between channels. If the expected target is missed, the Project team will evaluate the larger impact to the overarching goals of the Project.

2.1.6.3 Digital Engagement - Integrated Online Experience

Status: Green

Expected Target by Phase 2 Midpoint: 70,000 unique visitors each 6-month period

Actual by Phase 2 Midpoint: N/A

Solutions/strategies in case of results below expectations: Evaluate strategies for generating traffic to online tools. Shift investment between strategies if optimization is needed, add incremental investment to the most effective strategies or develop additional strategies for lead generation if needed. If the expected target is missed, the Project team will evaluate the larger impact to the overarching goals of the Project.

2.1.6.4 Revenue Realization: New Business Models

Status: Green

Expected Target by Phase 2 Midpoint: $627,000

Actual by Phase 2 Midpoint: N/A

Solutions/strategies in case of results below expectations: Evaluate the effectiveness of all messaging strategies (A/B tests, digital and paper channels) and shift communications towards the most effective means to maximize DER sales. Establish a mechanism for competition between DER vendors who wish to feature their products through the Connected Homes Platform in order to identify vendors who can benefit the most from participation in the Project and who are willing to offer the most competitive terms. If the expected target is missed, the Project team will evaluate the larger impact to the overarching goals of the Project.
2.1.6.5 **Demand Side Management: Customer Co-Benefits**

**Status:** Green

**Expected Target by Phase 2 Midpoint:** 19,000 MWh (Marketplace); 10,300 MWh (behavioral energy efficiency); 2.74 MW (behavioral energy efficiency); 5% lift in program participation.

**Actual by Phase 2 Midpoint:** N/A

**Solutions/strategies in case of results below expectations:** Review balance of energy insights vs. promotional content included in outbound content and optimize as needed. If the expected target is missed, the Project team will evaluate the larger impact to the overarching goals of the Project.

2.1.7 **Changes to Project Design**

There are no significant changes expected to the current Project design.

2.2 **WORK PLAN & BUDGET REVIEW**

2.2.1 **Phase Progress**

The team successfully worked through Phase 0 (Project Development) and Phase 1 (Project Launch), and is now working through Phase 2 (Demonstration Implementation). Phase 0 consisted of submitting the Implementation Plan and completing partner negotiations. Phase 1 consisted of all the activities required to launch the Project: data acquisition, program design and configuration, implementation of the web/marketplace and call center tools, quality assurance testing and go-live, as well as initial customer community outreach efforts. Phase 2 (Demonstration Implementation) tasks focus on overall maintenance and reporting for the Project. A full description of the major Project phases is set forth in Appendix E: Description of Phases.
### 2.2.1.1 Updated Work Plan

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<tr>
<th>Activity No.</th>
<th>Activity Description</th>
<th>Status</th>
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<th>2019</th>
<th>2020</th>
<th>2021</th>
<th>2022</th>
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<td>Implement Web, Marketplace, and Call Center tools</td>
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</tbody>
</table>

### 2.2.1.2 Updated Budget

Budget information is being filed confidentially with the Commission.

### 2.3 CONCLUSION

#### 2.3.1 Lessons Learned

After a thorough review of the customer data files, the Project team noticed a few inconsistencies impacting a small number of customers under unique billing scenarios. These inconsistencies were discovered early on in the acquisition process, so the team was able to clean the data before launching to this customer.
base. Moving forward, a thorough analysis of all unique customer scenarios and the data that supports those experiences is recommended prior to the data acquisition process.

2.3.2 Recommendations

The team recommends close analysis and consideration of all unique customer types and scenarios at the beginning of the project to avoid customer confusion, inconsistent experiences, and timeline delays.

2.4 INCLUDED APPENDICES

Included in the Appendices are mock ups, a full list of metrics to be reported out on in Q3 2016 as well as descriptions of all project Phases.

Appendix A: Print Home Energy Report Mock Up
Appendix B: Email Home Energy Report Mock Up
Appendix C: Full list of metrics
Appendix D: Printed Home Energy Report with Solar Targeted Offering Mock Up
Appendix E: Description of Phases
Appendix A: Printed Home Energy Report Mock Up

FRONT (print HER)

Your Home Energy Report
Account number: XX-XXXX-XX70-7312-2
Reference number: 656-576-544-223-212
Report period: 02/15/10-03/15/10

We are pleased to provide you periodic, personalized Home Energy Reports to help you make smart energy saving decisions.

If you have any questions about these reports, you can contact us at 1-212-460-4730 or energyreports@conEd.com.

For a full list of energy programs, services, and products for purchase, including rebates from Con Edison, visit energyreports.conEd.com.

Last Month Neighbor Comparison
You used 100% more electricity than your neighbors.

Efficient Neighbors: 192 kWh*
All Neighbors: 403 kWh
YOU: 969 kWh

How you're doing:

- You used more than average
- Turn over for ways to save

* kWh: A 100-Watt bulb burning for 10 hours uses 1 kilowatt-hour.

Who are your Neighbors?
- All Neighbors: Approximately 100 occupied, nearby homes (avg 4.2 mi away)
- Efficient Neighbors: The most efficient 20 percent from the "All Neighbors" group

Last 12 Months Neighbor Comparison
You used 151% more electricity than your efficient neighbors.
This costs you about $1,900 extra per year.

Turn over for savings →
Personal Comparison

How you're doing compared to last year:

- **677 kWh**
- **1,159 kWh**

YOU
JAN - FEB 2009

YOU
JAN - FEB 2010

* kWh: A 100-Watt bulb burning for 10 hours uses 1 kilowatt-hour.

So far this year, you used 71% more electricity than last year.

Looking for ways to save? Visit energyreports.conEd.com

Action Steps | Personalized tips chosen for your home

**Quick Fix**
Something you can do right now

- **Buy ENERGY STAR®**
  - The Department of Energy tests the energy efficiency of many home appliances and electronics, and the best earn the official ENERGY STAR® label. In 2007 Americans saved $16 billion on their energy bills thanks to this program.
  - The ENERGY STAR® label can be found on efficient models of clothes washers, refrigerators, televisions, computers and many other products.
  - Visit [www.energystar.gov](http://www.energystar.gov) for more details.

**Smart Purchase**
An affordable way to save more

- **Choose an efficient refrigerator**
  - Your refrigerator is on 24 hours a day, seven days a week. As a result, it uses more electricity than any other appliance.
  - You could save up to 40% on your refrigerator's energy costs when you replace a model manufactured before the year 2001 with an efficient ENERGY STAR® unit.
  - Remember that models with a freezer on the top are generally more efficient than side-by-side models.

**Great Investment**
A big idea for long-term savings

- **Choose an efficient clothes dryer**
  - Clothes dryers use almost 10 times more energy than clothes washers. If you are planning to replace your clothes dryer, choose one that can help reduce energy costs and make clothes-drying more convenient.
  - Look for a dryer with a moisture sensor and various settings for drying time and temperature. Use the yellow EnergyGuide label to compare energy use across different models and choose the most efficient one.

**SAVE UP TO**

- **$600 PER YEAR**
- **$2,500 PER YEAR**
- **$15 PER YEAR**
Appendix B: Emailed Home Energy Report Mock Up

You used about the same amount as your efficient neighbors.

Great

| Using more than average |

<table>
<thead>
<tr>
<th>You</th>
<th>179 kWh</th>
</tr>
</thead>
<tbody>
<tr>
<td>Efficient Neighbors</td>
<td>180 kWh</td>
</tr>
<tr>
<td>All Neighbors</td>
<td>400 kWh</td>
</tr>
</tbody>
</table>

Dec 3, 2013 - Jan 9, 2014
Here's how we define "Neighbors" This comparison is based on approximately 55 nearby homes that are most similar to yours. Learn more.

Ways to Save

Replace your old refrigerator
Your refrigerator is on 24/7. As a result, it uses more electricity than any other appliance. You could save up to 40% on its energy costs when you replace a model manufactured before 2001 with an ENERGY STAR® unit.

Replace your old clothes washer
Consider buying a new ENERGY STAR® clothes washer — it can use about 20% less energy and 35% less water than conventional models by handling larger loads and leaving clothes less damp before they enter the dryer.

Replace your inefficient light bulbs
Inefficient incandescent bulbs are costly to run and replace in the long term. Use compact fluorescent light (CFL) bulbs — they use 75% less energy and last at least ten times longer.
## Appendix C: Full List of Metrics

<table>
<thead>
<tr>
<th>Checkpoint</th>
<th>Category</th>
<th>Metric</th>
<th>Definition</th>
<th>Reporting Cadence</th>
</tr>
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<tbody>
<tr>
<td>DER Sales: Market Animation and Customer Choice &amp; Digital Engagement: Integrated Online Experience</td>
<td>Awareness and Engagement</td>
<td>Customers aware of DER partners</td>
<td>Response to customer survey questions about awareness of DER offerings in Con Edison’s territory</td>
<td>Annually</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Total number of impressions</td>
<td>Total number of paper and digital communications sent to customers, cut by DER</td>
<td>Quarterly</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Open rates (eHERs)</td>
<td>Percent of customers who open eHERs with targeted offerings, cut by DER</td>
<td>Quarterly</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Open rates (HBAs)</td>
<td>Percent of customers who open HBAs with targeted offerings, cut by DER</td>
<td>Quarterly</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Click through rates (eHERs)</td>
<td>Percent of customers who click on the links included in eHERs with targeted offerings, cut by DER</td>
<td>Quarterly</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Click through rates (HBAs)</td>
<td>Percent of customers who click on the links included in HBAs with targeted offerings, cut by DER</td>
<td>Quarterly</td>
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<tr>
<td></td>
<td></td>
<td>Unique web visits</td>
<td>Number of unique customers who visit the web portal</td>
<td>Quarterly</td>
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<tr>
<td></td>
<td></td>
<td>Customers who recall HERs</td>
<td>Percent of homes that receive HERs who recall receiving HERs</td>
<td>Annually</td>
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<tr>
<td></td>
<td>Leads and Acquisition</td>
<td>Qualified solar leads generated</td>
<td>Number of qualified leads from the targeted offerings</td>
<td>Quarterly</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Solar installations reported</td>
<td>Number of Installations</td>
<td>Quarterly</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Thermostats sold</td>
<td>Number of thermostats sold through the targeted offerings</td>
<td>Quarterly</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Recipients and controls</td>
<td>Number of leads and acquisitions among recipient customers and control customers</td>
<td>Quarterly</td>
</tr>
<tr>
<td>Channel and Messaging Effectiveness: Customer Motivation</td>
<td>Partners</td>
<td>DER partners retained</td>
<td>Percent of DER partners who choose to continue with the targeted offerings</td>
<td>Annually</td>
</tr>
<tr>
<td></td>
<td>Customers</td>
<td>Positive customer experience</td>
<td>Percent of customers who respond positively to survey questions on their satisfaction with targeted offerings</td>
<td>Annually</td>
</tr>
<tr>
<td>Revenue Realization</td>
<td>Total Revenue</td>
<td>Total revenue to Con Edison</td>
<td>Revenue gained through the targeted offerings</td>
<td>Annually</td>
</tr>
<tr>
<td>Demand Side Management: Customer Co-Benefits</td>
<td>Energy / Demand / Benefits</td>
<td>Energy efficiency savings</td>
<td>Energy savings generated by customers as a result of participating in the program</td>
<td>Monthly</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Demand savings (kW)</td>
<td>Demand savings generated by customers as a result of participating in the program</td>
<td>Monthly</td>
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<tr>
<td></td>
<td></td>
<td>Indirect energy savings</td>
<td>Total kWh savings from product sales from the Marketplace/Storefront</td>
<td>Quarterly</td>
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<tr>
<td></td>
<td></td>
<td>Reduction in greenhouse gas emissions</td>
<td>Greenhouse gas emissions reduction from product sales from Marketplace/Storefront</td>
<td>Quarterly</td>
</tr>
</tbody>
</table>
Start saving with solar.
Get all the power you need—and spend less on energy.
With high-performance solar panels from our partner, SunPower®, you’ll enjoy:

- Smaller monthly energy bills than ever.
- 40 years of useful panel life.
- 70% more power over 25 years in the same space.

Visit SunPower.com/ConEdison for a custom quote and to schedule a free consultation.
### Appendix E: Description of Phases

<table>
<thead>
<tr>
<th>Phase</th>
<th>0. Product Management</th>
<th>1. Project Launch</th>
<th>2. Demonstration Implementation</th>
<th>3. Project Optimization</th>
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</thead>
<tbody>
<tr>
<td><strong>Milestone</strong></td>
<td>Negotiations Complete</td>
<td>Successful Platform Build</td>
<td>Platform Utilization</td>
<td>Revenue Realization</td>
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<tr>
<td><strong>(Stage Gate to Next Phase)</strong></td>
<td>Partner contracts signed</td>
<td>Attract vendors in key categories</td>
<td>Click through rates</td>
<td>Revenue per source</td>
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<tr>
<td></td>
<td>DPS approval</td>
<td>Successful data transfer</td>
<td>Qualified leads</td>
<td>Customer satisfaction</td>
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<td></td>
<td></td>
<td></td>
<td>Contact center volume</td>
<td>Vendor retention</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Vendor retention</td>
<td>Customer satisfaction</td>
</tr>
<tr>
<td><strong>Key Elements</strong></td>
<td>Platform provider contracted</td>
<td>Develop platform</td>
<td>Evaluate response of specific audiences to</td>
<td>Learn how to leverage more granular customer data</td>
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<tr>
<td></td>
<td>DER providers signed-on</td>
<td>End-to-end testing</td>
<td>DER combinations</td>
<td>Optimize profit by refining categories and pricing</td>
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<tr>
<td></td>
<td></td>
<td>Contact center training</td>
<td>Channels</td>
<td>Optimize for energy efficiency gains</td>
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<tr>
<td></td>
<td></td>
<td>Program go-live</td>
<td>Messages</td>
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<tr>
<td><strong>DER Categories</strong></td>
<td>N/A</td>
<td>N/A</td>
<td>Rooftop solar</td>
<td>Further expansion of DER products and providers</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Home energy efficiency audits</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Smart thermostats</td>
<td>Expand to ESCOs</td>
</tr>
</tbody>
</table>