

New York Electronic Data Interchange

ESCO/~~Marketer~~ Phase I Testing Application

Instructions:

~~Prior to commencing Phase I EDI testing, All New York E/M~~the applicant ESCOs must complete this application and return ~~by email~~it to ~~Staff of the New York Public Service Commission~~the Utility ~~conducting~~, ~~prior to commencing~~ Phase I EDI testing (~~email to john.daloia@dps.ny.gov~~).

Date	
Principal Contact (name & title)	
Company Name	
Company Business Address	
Duns or Tax ID #	

~~New York Eligibility Dates (current E/Ms only)~~

- ~~• Date designated an eligible (electric) ESCO by NYDPS~~ _____
- ~~• Date designated an eligible Gas Marketer by NYDPS~~ _____

Contact Information:

Provide names and contact information of persons responsible for Phase I testing, including EDI vendors.

	Name/Title	Company/Description of Function(s) Performed	Phone	Email address
(1)				
(2)				
(3)				

Case No. 12-M-0476 et. al.
 EDI Business/Technical Working Groups
 ESCO Phase I Testing Application
 12/4/2015

Readiness Status

Phase I X.12 Syntactical testing

Indicate projected readiness date for required EDI transactions _____

Indicate requested date to begin Phase I Testing _____

DTM Capability

Indicate readiness date for New York DTM capability _____

Utility specific information

Place an "x" in the cells of the table below to designate each utility retail access program in which you currently, or plan to, participate, and to indicate the commodities and billing options you currently offer or expect to offer. The designation "N/A" indicates a commodity or billing option is not available with that utility.

	A c c e s s i n	Com modi ty		Billing Option				
		G a s	E l e c	D u a l	Utilit y Rate Read y Cons olida ted	Utili ty Bill Rea dy Con soli date d	Sing le Ret ailer	E/M Bill Read y Cons olidat ed ²
Central Hudson						N/A	N/A	
Con Edison						N/A	N/A	
Keyspan			N / A		N/A		N/A	
NFG			N / A			N/A		
NYSEG					N/A		N/A	
NMPC						N/A	N/A	
O&R						N/A	N/A	
RG&E					N/A		N/A	

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~~—————Note: EDI transactions for E/M Bill Ready billing have not yet been approved, however ESCOs may indicate their plans or interest in that billing option by checking that column.~~

Established EDI Service Provider (EDISP) Certification

<u>Date</u>	
<u>EDISP Contact</u> <u>(name & title)</u>	
<u>EDISP Name</u>	
<u>EDISP Business</u> <u>Address</u>	

Utility Acceptance/Certification

<u>Date</u>	
<u>Utility Contact</u> <u>(name & title)</u>	
<u>Utility Name</u>	
<u>Utility Business</u> <u>Address</u>	

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New York Electronic Data Interchange

ESCO Phase I Testing Application

Instructions:

Prior to commencing Phase I EDI testing, the applicant ESCO must complete this application and return it to the Utility conducting Phase I EDI testing.

Date	
Principal Contact (name & title)	
Company Name	
Company Business Address	
Duns or Tax ID #	

Contact Information:

Provide names and contact information of persons responsible for Phase I testing, including EDI vendors.

	Name/Title	Company/Description of Function(s) Performed	Phone	Email address
(1)				
(2)				
(3)				

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Readiness Status

Phase I X.12 Syntactical testing

Indicate projected readiness date for required EDI transactions _____
Indicate requested date to begin Phase I Testing _____

Established EDI Service Provider (EDISP) Certification

Date	
EDISP Contact (name & title)	
EDISP Name	
EDISP Business Address	

Utility Acceptance/Certification

Date	
Utility Contact (name & title)	
Utility Name	
Utility Business Address	

EXHIBIT A – PROPOSED STREAMLINING OF PHASE I TESTING

General: DPS Staff, in lieu of conducting ESCO Phase I testing, may rely upon a utility's EDI testing process to satisfy the UBP requirement that Phase I testing has been completed as part of the new ESCO Application process. The following options will be available to complete required Phase I testing:

- The utility may test the new ESCO for a fee specified in its Utility Maintained EDI Guide.
- If the ESCO contracts for EDI Services with an Established EDI Service Provider and the utility is satisfied, based upon certification from the EDI Service Provider, that the new ESCO is Phase I capable, no utility Phase I test will be required.
 - A list of Established EDI Service Providers will be compiled from those currently serving ESCOs in New York and posted to the NY EDI website.
 - When determining if the certification is satisfactory, the utility may take into consideration whether or not the Established EDI Service Provider is providing EDI services to other ESCOs in the utility's service territory.
- If the ESCO wishes to transact EDI via an in-house system, it may request to test with the utility or contract for EDI Testing Certification from an Established EDI Service Provider.
 - If the utility is satisfied, based upon certification from the EDI Service Provider, that the new ESCO is Phase I capable, no utility Phase I test will be required.
 - When determining if the certification is satisfactory, the utility may take into consideration whether or not the Established EDI Service Provider is providing EDI services to other ESCOs in the utility's service territory.
- If an EDI Service Provider wishes to be added to the Established EDI Service Provider list, it may request to test with the utility or contract for EDI Testing Certification from an Established EDI Service Provider.
 - When determining if the new EDI Service Provider has satisfactorily established itself, the utility may take into consideration whether or not the new EDI Service Provider is testing with an Established EDI Service Provider or is providing EDI services to other ESCOs in the utility's service territory. Ideally, the new EDI Service Provider will be providing services to an ESCO.

Once an ESCO has successfully completed Phase I testing with one utility, it will have satisfied the Department's Phase I testing requirement.