

PUBLIC INVOLVEMENT PROGRAM PLAN

Horse Creek Wind Farm Project
Jefferson County, New York

Case Number 12-F-0575

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June 21, 2016

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TERM IDENTIFIERS

Horse Creek Wind Farm Project (“HCWFP”) - “HCWFP” shall be used herein to refer to the entire project and all components (wind turbine generators, access roads, transmission lines, interconnection and substation facilities, operation and maintenance building, staging/laydown area and meteorological towers)

Project Area - includes all generating facility components, interconnections and related facilities and alternative location sites

Study Area - as defined by 16 NYCRR 1000.2(ar), is the five mile radius around all generating facility components, interconnections and related facilities and alternative location sites (the 5 mile radius around the Project Area)

Stakeholders – as defined by 16 NYCRR 1000.2(an), are those persons who may be affected or concerned by any issues within the Board’s jurisdiction relating to the proposed major electric generating facility and any decision being made by it

New York State Board on Electric Generation Siting and the Environment (“Siting Board”)

New York State Public Service Law (“PSL”)

Public Involvement Program (“PIP”) plan

Atlantic Wind, LLC (“Atlantic Wind” or “Applicant”)

2007 Draft Generic Environmental Impact Study (“DGEIS”)

2011 Draft Environmental Impact Study (“DEIS”)

Avangrid Renewables, LLC (“AR”)

Wind Turbine Generators (“WTGs”)

1.0 INTRODUCTION

Atlantic Wind LLC (“the Applicant”), a wholly-owned subsidiary of Avangrid Renewables, LLC (“AR”), is proposing to submit an Application to construct a major electric generating facility under Article 10 of the Public Service Law (“PSL”). Pursuant to the rules of the New York State Board on Electric Generation Siting and the Environment (“Siting Board”), applicants proposing to submit an application to construct a major electric generating facility under Article 10 must submit a Public Involvement Program plan (“PIP” or “Plan”). Under 16 NYCRR § 1000.4, the PIP must be submitted to the Department of Public Service (“DPS”) for review at least 150 days prior to filing a Preliminary Scoping Statement (PSS). This document is intended to be the PIP for the Horse Creek Wind Farm Project (“HCWFP” or “the Project”). The Project, a wind powered electric generating facility of up to 205 megawatts (MW) in size, employing between 60 and 72 wind turbines, is proposed by the Applicant to be located in the Towns of Clayton, Orleans, Lyme and Brownville, in Jefferson County, New York (**Figure 1**). The proposed electrical interconnection location would be in the Town of Brownville. As required under 16 NYCRR § 1000.4, the Applicant’s PIP plan includes the following components:

- (1) consultation with the affected agencies and other stakeholders;
- (2) pre-application activities to encourage stakeholders to participate at the earliest opportunity;
- (3) activities designed to educate the public as to the specific proposal and the Article 10 review process, including the availability of funding for municipal and local parties;
- (4) the establishment of a website to disseminate information to the public and updates regarding the Project and the Article 10 process;
- (5) notifications to affected agencies and other stakeholders; and
- (6) activities designed to encourage participation by stakeholders in the certification and compliance process.

2.0 PROJECT DESCRIPTION

2.1 COMPANY AND APPLICANT PROFILE

The Applicant, Atlantic Wind LLC, is a wholly-owned subsidiary of Avangrid Renewables LLC (“AR”), which was formerly known as Iberdrola Renewables, LLC. AR is one of the largest producers of wind energy in the USA. AR is headquartered in Portland, Oregon, and has more than \$10 billion of operating assets totaling more than 6,000 megawatt (“MW”) of owned and controlled wind and solar generation in the United States. AR has developed over 50 wind farms in the United States alone including those in New York: Maple Ridge and Hardscrabble. Maple Ridge produces enough electricity to power up to 160,000 average New York homes. Hardscrabble features 37 American-made Gamesa wind turbines which produce enough electricity to power over 25,000 typical New York homes each year.

2.2 SUMMARY OF PROJECT PROPOSAL

The proposed HCWFP would have a planned nameplate capacity of up to 205 MW. The proposed Project Area is depicted in Figure 1 and includes the Towns of Brownville, Clayton, Lyme and Orleans in Jefferson County. The approximate size of the Project Area boundary is currently 48 square miles. During the development phase of the Project, Atlantic Wind will lease approximately 14,000 acres of land, which will be spread out over this larger Project Boundary area. However, once the Project is constructed, the proposed permanent improvements would utilize approximately 200 acres or less, where turbines, collection lines, roads, and facilities are constructed and buffers around these features are maintained. At that point, the vast majority of previously leased land will be released from lease agreements and would be included the Horse Creek Wind Farm Project Facility.

Through this Article 10 effort, Atlantic Wind will undertake the numerous site-specific studies required for wind projects, which in many cases will require examination of a much broader Study Area extending 5 miles in all directions from each Project component. The proposed Study Area is depicted in Figure 2 and will include the Project Boundary area, as well as 5 miles beyond the Project Area in all directions.

The HCWFP is anticipated to include 60 to 72 Wind Turbine Generators (WTGs), combining for a generating capacity of up to 205 MW of clean and renewable wind energy. While a WTG model has not yet been selected for this Project, a number of models would likely be considered, and a range of possible turbine heights and rotor diameters would be provided in future assessments of potential impacts. Regardless of the WTG ultimately selected for the HCWFP, based on currently available technology and turbine models, Atlantic Wind is presently considering turbines which are no taller than 500 feet in height. These parameters could be updated throughout the pre-application review based on Atlantic Wind determining that other turbine models are more appropriate for this Project. As the HCWFP proceeds through the Article 10 process, more detail will be provided regarding the WTGs and all ancillary equipment/features.

The Project will be located on leased private land and easements in locations that are rural in nature. The actual footprint of the proposed facilities will be located within the leased land, and will enable farmers and landowners to continue with farming operations or other land uses. The proposed Project consists of the construction and operation of a commercial-scale wind power project, including the installation and operation of between 60 and 72 wind turbines, together with the associated collection lines (below grade and overhead), access roads, meteorological towers, and operation and maintenance (O&M) building. The electrical collection lines will deliver generated power to a project substation located south of County Road 125 and east of County Route 54 in the Town of Brownville. To deliver electricity to the New York State power grid, the Applicant proposes to construct a 115kV electrical interconnection line and an interconnection/switching station located east of State Route 12 and north of Vaadi Road in the southern section of the Project Area, in the Town of Brownville. Generally, the Project Area consists of open crop fields (primarily hay and soybeans), reverting fields and pastures, with forested areas generally confined to small woodlots.

The Study Area, as defined by 16 NYCRR 1000.2(ar), includes the Project Area, the Transmission Line, and a 5 mile radius thereof ("Study Area"). The Study Area is located northeast of Lake Ontario and east of the St. Lawrence River. The Study Area includes successional old-field, hedgerow, successional shrubland, residential yards, farms, streams, and wetlands. Existing built features within the Study Area boundaries include roads, single-family homes, seasonal homes, barns, silos, and other agricultural buildings.

The proposed Project will have positive impacts on socioeconomics in the area through employment opportunities, specifically by generating construction employment, the majority of which will likely be drawn from the Jefferson/Lewis County labor market. Local construction employment will primarily benefit those in the construction trades, including equipment operators, truck drivers, laborers, electricians, carpenters and ironworkers and would create approximately 150 temporary positions over 12 to 18 months of construction. In addition, the Project operation will generate approximately 10 full-time jobs, including a Plant Manager, Wind Technicians, and Project Administrator. The Project is anticipated to result in up to \$1.5 million in increased annual revenues to County and local municipal tax bases, as well as up to \$1 million per year in direct lease and easement payments to landowners. Further, Project construction and operation activities will yield additional, indirect economic benefits for the community, such as increased revenues for the hospitality industry and local commercial enterprises, through the purchase of local supplies and goods, use of local hotels and amenities, and patronage of local restaurants and eateries by the Project's temporary and permanent labor force. Over the anticipated life of the Project, this would yield more than \$45 million in direct payments to municipalities, over \$30 million in direct payments to local landowners, and significant additional indirect socioeconomic benefits to the local economy.

Prior to the enactment of Article 10 in 2011, Atlantic Wind previously proceeded with development of a preliminary version of Project through local zoning approvals and State Environmental Quality Review Act ("SEQRA") review. Initially, in or about 2005, the Project was proposed to be located in the Towns of Clayton, Orleans, Brownville and Lyme. However, the Project Area was later reduced to include only the Town of Clayton, and contemplated a 48-turbine wind powered electric generating facility producing up to 96 MW. Subsequently, the company placed its application on hold and, ultimately, the local zoning applications and SEQRA review process were not completed.

The earlier environmental assessment and information gained regarding the Project Area resulted in some revisions and modifications of the proposed Project, including changes to the geographical boundaries to include Towns not previously reviewed under SEQRA. Given the number of changes to the HCWFP since the early SEQRA review, the Project Sponsor is essentially proceeding as if the Project were new and is therefore developing the Project under PSL Article 10's permitting requirements. As part of this, many new studies and evaluations are anticipated to take place to assess the modified HCWFP project which will be outlined in the upcoming Preliminary Scoping Statement. It is anticipated that this will be filed by the end of 2016.

With this PIP, Atlantic Wind seeks to proceed to the public outreach and involvement stage of the Article 10 review of this Project. As the Project proceeds through the Article 10 process,

Atlantic Wind will engage in public outreach steps, as outlined below, that will inform stakeholders about the HCWFP and the ongoing status of the Article 10 process, and will also be able to consider earlier stakeholder involvement and input, while providing new opportunities for outreach and comment on the new HCWFP proposal. Some of the prior SEQRA review has enabled Atlantic Wind to identify potential stakeholders for this project, as well as to gain a preliminary understanding of broader issues of concern and questions about local impacts and benefits which will need to be identified and discussed moving forward. The process used for identifying stakeholders for the Article 10 process is discussed in detail at Section 3.0 of this document.

2.3 STUDY AREA

In 16 NYCRR § 1000.2(ar), the Study Area to be used for analysis of major electric generating facilities is defined as “an area generally related to the nature of the technology and the setting of the proposed site. For large facilities or wind power facilities with components spread across a rural landscape, the Study Area shall generally include the area within a radius of at least five miles from all generating facility components, interconnections and related facilities and alternative location sites.” The proposed Study Area for the Project is depicted on **Figure 2**.

The PSS and the Application will further define the Study Area and will discuss Project alternatives. For purposes of the PIP, the Project Area has been defined broadly to include proposed land for the Project components included in the Project. As the Article 10 process develops, specific Project component locations will be identified, in compliance with the siting criteria referenced herein, and discussed with the public, stakeholders and the affected agencies through the PIP plan provided herein. It is presumed that any potential alternative component locations would be located within the municipalities identified herein.

With respect to initially identifying the Project Area, it should be noted that the selection of appropriate sites for a wind-powered electric generation facility is constrained by numerous factors that are essential considerations for a given project to operate in a technically and economically viable manner. Availability/quality of wind resource and proximity to the bulk power transmission system are the initial screening criteria evaluated in the site selection process for any wind power project. The Applicant’s initial evaluation was based on publicly available data, such as the *Wind Resource of New York, Mean Annual Wind Speed at 100 Meters* map prepared by AWS Truewind, along with site visits and capacity analysis for nearby transmission lines. Initial review of this wind resource map indicated the potential for a suitable wind resource in Jefferson County, and adequate access to the bulk power transmission system. Other important factors that increased the potential to develop a wind power project in the Project Area include willing land lease participants and municipalities, and preliminary environmental assessments that have not indicated any significant wildlife or unique natural habitat concerns.

3.0 IDENTIFICATION OF STAKEHOLDERS

Pursuant to Article 10 the Applicant identified affected agencies and municipalities, utilities, host landowners, and other actual stakeholders based on utilizing DPS guidance; prior

submissions of other Article 10 PIP plans; review of County GIS records, tax records, and personal visits; consultation with DPS general counsel and special environmental/regulatory counsel; and conducting internet research.

Atlantic Wind's methodology for identifying actual affected agencies and municipalities, host landowners, and other stakeholders was developed based on the following:

- Reviewing the Article 10 regulations;
- DPS guidance on PIP requirements;
- Reviewing prior submissions of other Article 10 PIP plans;
- Review of County GIS records, tax records, and personal visits by representatives of Atlantic Wind; and
- Consultation with Atlantic Wind's general counsel and special environmental/regulatory counsel.

Additionally, Atlantic Wind considered the following in compiling its list of actual affected agencies and other actual stakeholders:

- Project Area;
- Study Area;
- The proposed location of project components within the Project Area;
- Proposed points of interconnection;
- Interested and involved parties to the previous SEQRA proceeding;
- Public comments received during the previous SEQRA process;
- Sign-in sheets from previously held public meetings and outreach efforts;
- "Local Party," as defined under 16 NYCRR §1000.2(s);
- "Affected Agencies," as that term is used in 16 NYCRR § 1000 *et seq*;
- Locations of any related or ancillary facilities, equipment or support infrastructure;
- Municipalities in the Project Area (generating components/wind turbines, transmission lines, interconnection and substation), as discussed further below;
- Adjacent municipalities within a 5 mile radius of the Project Area, as discussed further below;
- Public interest groups;
- Host landowners who have a land agreement with Atlantic Wind;
- Adjacent landowners with property abutting parcels where Project components (e.g., collection lines, substation, met tower, O&M facility, etc.) are proposed, and any additional landowners within 500 feet of a turbine;
- State and federal elected officials representing the Host Municipalities, identified in Exhibit A;
- Landowners of the proposed landfalls, upland facility locations, and access routes to the interconnections location.

A master list of stakeholders (also referred to as the Notification List), which includes all known and potentially interested parties, was developed based upon the combination of efforts described above (see Exhibit A). Sections 3.1 through 3.9, below, identify stakeholders by category.

The Applicant will develop a mailing list based on the stakeholders listed below and addresses solicited from public meetings and the Project website. The Applicant anticipates that the Notification List will be updated as necessary based on information received during PIP activities and these updates will be provided to DPS along with the PIP tracking submissions.

The Applicant will continuously work to identify stakeholders throughout the Article 10 process by: updating its mailing list as listed above, periodically reviewing the affected agency list to ensure its accuracy, updating the stakeholder list as property owners are identified, and by encouraging stakeholder involvement as outlined in this plan.

3.1 AFFECTED STATE AND FEDERAL AGENCIES

NYS Department of Agriculture and Markets
NYS Attorney General
New York State Research and Development Authority (NYSERDA)
NYS Department of Environmental Conservation
NYS Office of General Services
NYS Governor's Office
NYS Department of Health
NYS Division of Homeland Security and Emergency Services
NYS Office of Parks, Recreation and Historic Preservation
NYS Department of Public Service
NYS Department of State
NYS Department of Transportation
New York Independent System Operator
Empire State Development Corporation
State Senator Patty Ritchie, 48th Senate District
State Assemblywoman Addie J. Russell, Assembly District 116 (Alexandria, Brownville, Cape Vincent, Clayton, Hounsfield, Lyme, Orleans, Pamela and Theresa)
State Assemblyman Ken Blankenbush, Assembly District 117 (LeRay)
US Senator Charles E. Schumer
US Senator Kirsten E. Gillibrand
US Representative Elise Stefanik, District 21
US Army Corps of Engineers
US Fish and Wildlife Service
US Federal Aviation Administration
US Department of Defense
National Telecommunications and Information Administration

3.2 LOCAL AGENCIES

Jefferson County IDA/Jefferson County Department of Planning and Economic Development
Jefferson County Soil and Water Conservation District
Country

Jefferson County Office of Fire & Emergency Management
Brownville Town Fire Department
Chaumont Fire Department
Depauville Volunteer Fire Department
LaFargeville Fire Department
Pamelia Volunteer Fire Department

3.3 MUNICIPALITIES AND SCHOOL DISTRICTS WITHIN PROJECT AREA

Jefferson County
Town of Brownville
Town of Clayton
Town of Lyme
Town of Orleans
Thousand Islands School District
Lyme Central School District
LaFargeville School District
General Brown Central School District

3.4 MUNICIPALITIES AND SCHOOL DISTRICTS WITHIN STUDY AREA

Towns, villages, or other municipalities which are not within the Project Area itself, but are in the Study Area, which is defined as including a 5 mile radius of around the Project Area.

Alexandria Central School District
Indian River Central School District
Sackets Harbor Central School District
Town of Alexandria
Town of Theresa
Town of LeRay
Town of Pamelia
Town of Hounsfield
Town of Cape Vincent
Village of Brownville
Village of Chaumont
Village of Theresa
Village of Glen Park
Village of Clayton
Village of Dexter

3.5 ADDITIONAL STAKEHOLDERS

Watertown International Airport
Richland Airpark, Inc.
Guilfoyle Ambulance Services

Thousand Islands Emergency Rescue Squad
Indian River Ambulance Service, Inc.
National Grid
AT&T
Verizon
Galloo Island Wind, LLC

3.6 HOST LANDOWNERS

Host Landowners are landowners with whom Atlantic Wind has entered or will enter into a lease or easement agreement. Host and Adjacent Landowner information is not included herein but will be submitted with the Preliminary Scoping Statement, as required by 16 NYCRR § 1000.5.

3.7 ADJACENT LANDOWNERS

Host landowners are landowners with whom the Applicant has entered into a lease or easement agreement. Adjacent landowners are landowners with property abutting parcels where Project components (e.g., collection lines, substation, met tower, O&M facility, etc.) are proposed, and any additional landowners within 500 feet of a turbine. The identities of potential host and adjacent landowners are determined from County GIS records, tax records, and personal visits by representatives of the Applicant. The final layout will be determined by incorporating further input from stakeholders, as well as processing data from fieldwork (e.g., avoidance of impacts to wetlands identified during field delineation efforts). Therefore, specific host and adjacent Landowner information is not included with this PIP. This information will be submitted with the PSS, as required by 16 NYCRR § 1000.5.

3.8 PUBLIC INTEREST GROUPS

Greater Watertown North Country Chamber of Commerce
Northern New York Audubon Society
Nature Conservancy
Thousand Islands Land Trust
NY Public Interest Research Group

Atlantic Wind will continue to conduct internet research and consultation with agencies and stakeholders to identify any additional interest groups requiring consultation.

3.9 ENVIRONMENTAL JUSTICE COMMUNITIES

HCWFP will be subject to the rules contained in 6 NYCRR Part 487 promulgated by the NYSDEC for the analysis of environmental justice issues associated with projects subject to review and approval under Article 10 of the New York Public Service Law.

Per NYSDEC Environmental Justice Policy CP-29, Potential Environmental Justice Areas include census block groups featuring populations that meet or exceed at least one of the following statistical thresholds:

1. At least 51.1% of the population in an urban area reported themselves to be members of minority groups; or
2. At least 33.8% of the population in a rural area reported themselves to be members of minority groups; or
3. At least 23.59% of the population in an urban or rural area had household incomes below the federal poverty level.

Atlantic Wind conducted a search of the New York State Department of Environmental Conservation (“DEC”) website regarding locations of potential Environmental Justice Areas (“Potential EJ Area”) within the HCWFP Study Area. The HCWFP Study Area is wholly within Jefferson County, New York (<http://www.dec.ny.gov/public/899.html>). Attached as Figures 1 and 2 are maps of the Project Area and Study Area.

Based on data obtained from the NYSDEC’s Geospatial Information System (GIS) Tools for Environmental Justice (www.dec.ny.gov/public/911.html), Atlantic Wind has identified the presence of three Potential EJ Areas near the Project Area, but primarily outside the Study Area. One Potential EJ Area is located in the Village of Clayton and features one census block group (360450602003). The Clayton Potential EJ Area is designated because at least 33.8% of the population in that rural area reported themselves to be a member of a minority group. There are a few other Potential EJ Communities in Jefferson County, including five adjacent census block groups which form a Potential EJ Area in the City of Watertown, and another potential EJ Area located at Fort Drum. However, at this time, these areas appear to fall outside of the 5-Mile Study Area, making them outside the scope of the Project.

Because the Project Area is sited near Potential Environmental Justice Areas, a more in-depth discussion on these Environmental Justice areas, including the cumulative impact of existing sources of air pollutants and the projected air pollution impacts of the proposed HCWFP, will be provided in the PSS and the Application pursuant to the Article 10 requirements set forth at 1000.5(l)(2)(xi).

4.0 LANGUAGE ACCESS

In 16 NYCRR § 1000.4(d), Article 10 regulations require the PIP to identify (1) any language other than English spoken according to United States Census data by 5,000 or more persons residing in any 5-digit zip code postal zone in which any portion of such zone is located within the Study Area for the facility; and (2) any language other than English spoken by a significant population of persons residing in close proximity to the proposed facility, alternative locations, or interconnections.

The Study Area for the HCWFP is wholly within Jefferson County. According to the US Census Bureau data from 2006-2010, 8.1% of the population of Jefferson County, age 5 and older, speaks a language other than English at home.¹ With a 2014 population estimate of 119,103 persons, this means that approximately 9,647 people in the County speak a language other than English at home.

Atlantic Wind searched a 5 mile radius of each Zip code of each host municipality (Town of Clayton (13624), Town of Brownville (13615), Town of Orleans (LaFargeville, 13656) and Town of Lyme (Chaumont, 13622) and Atlantic Wind compiled the information in the below chart from US Census, <http://www.census.gov/2010census/> and www.mla.org/census_main.

Table 1

Town/Village	Zip Code	Most Prevalent Language Other than English	Number of Speakers
BROWNVILLE	13615	Spanish	10
WATERTOWN	13601	Spanish or Spanish Creole	2,359
CHAUMONT/LYME	13622	Other Slavic languages	33
CLAYTON	13624	Spanish or Spanish Creole	260
ORLEANS/LAFARGEVILLE	13656	Spanish or Spanish Creole	39
DEXTER	13634	French	60
THOUSAND ISLAND PARK	13692	NO INFO AVAILABLE	
FISHERS ISLAND	13641	NO INFO AVAILABLE	
LIMERICK	13657	NO INFO AVAILABLE	
DEPAUVILLE	13632	NO INFO AVAILABLE	

As shown above in Table 1, no language other than English is spoken by more than 5,000 people residing in any zip code within the Study Area. (Source: https://apps.mla.org/map_data, Census 2010).

5.0 PROPOSED PUBLIC INVOLVEMENT

According to 16 NYCRR § 1000.4(c), a Public Involvement Program must include: (1) consultation with the affected agencies and other stakeholders; (2) pre-application activities to encourage stakeholders to participate at the earliest opportunity; (3) activities designed to educate the public as to the specific proposal and the Article 10 review process, including the availability of funding for municipal and local parties; (4) the establishment of a website to disseminate information to the public; (5) notifications; and (6) activities designed to encourage participation by stakeholders in the certification and compliance process. It is anticipated that this will be an ongoing, evolving process throughout all phases of the Article 10 review process (pre-application phase, application phase, hearing and decision phase, and post-certification phase) intended to disseminate information regarding the Project to stakeholders, solicit information from those stakeholders during public outreach events and generally foster participation in the Article 10 review.

¹ <http://quickfacts.census.gov/qfd/states/36/36045.html>

The following PIP elements will carry on through the duration of the Project:

- Project Representative² (for the public and stakeholders to contact with questions, concerns, etc.):
Horse Creek Wind Farm Project
c/o Jenny Briot
2 Radnor Corp. Ctr., Ste 200
100 Matsonford Rd,
Radnor, PA 19087
HorseCreekWind@avangrid.com
- Local Project Contact Number:
toll free: 1-844-308-4616
local calls: 315-399-0486

These contact numbers will be available for interested persons to leave their Project comments in Voicemail messages which will be routinely monitored by the Applicant and logged in the PIP Tracking Logs, which will be filed on a bi-monthly basis. Members of the public seeking documents or information are asked to submit those requests in writing, by regular mail or electronic mail, to ensure their inquiries are answered in a timely manner. Further, individuals are encouraged to attend local information sessions and open houses to address any questions they may have for Project staff.

- Local Document Repositories:

DEPAUVILLE FREE PUBLIC LIBRARY
Caroline St.
Depauville, NY 13632
Phone: 315-686-3299
Fax: 315-686-3299

ORLEANS PUBLIC LIBRARY
36263 NY-180
La Fargeville, NY 13656
Phone: 315-658-2271
Fax: 315-658-2703

Local document repositories will be updated with additional pertinent information as it becomes available.

² The Applicant is still in the process of evaluating whether to open a local office in the Project Area. If one is opened, it will have office hours for members of the public to drop in and request information. The PIP will be updated to reflect the resolution of this issue, and notice of a location and hours will be provided electronically to stakeholders, should an office be established.

5.1 CONSULTATION WITH AFFECTED AGENCIES, MUNICIPALITIES AND STAKEHOLDERS

Article 10 regulations require both general and specific consultations with affected agencies and municipalities. Attached hereto as **Exhibit B** is a chart which outlines the Goals & Methods for Consultations with Affected Agencies and Municipalities. **Exhibit B** outlines the affected agency, goals of consultations, and the plan, method and general schedule for consultations.

Atlantic Wind's proposed public involvement approach for stakeholders involves the following components for initial outreach:

- Consult with representative/individual and request feedback;
- Disseminate Information regarding Article 10, Intervenor Funding and provide contact info;
- Request Information that will help advance the PIP process and preparation of the PSS and Application;
- Identify any specific issues; and
- Schedule follow-up meeting(s) and consultation(s) as appropriate.

The goals of the initial consultation with each municipality or agency will be to consult with representatives, disseminate information, request information, and schedule follow up meetings and/or consultations, as appropriate. Specific information provided to the affected agencies and municipalities will include description of the Project and location; explanation the phases of the Article 10 process and how the agency or municipality can participate in each step; description of the available intervenor funding and the process for obtaining funding; information about other planned consultations; sources of additional information about the Project and Article 10 (e.g., the Project and Siting Board websites), and agricultural land and operations impacts. Information to be requested from affected municipalities and agencies may vary by the involvement of each (e.g., whether it is a host or adjacent municipality) but could include topics such as payment in lieu of tax agreements, highway work agreements, local laws, emergency response organizations, environmental impact review, contact information for public interest groups, and determination of which news sources are used for official notices.

It should be noted that, in accordance with 16 NYCRR 1000.5(g), comments on the Preliminary Scoping Statement ("PSS") are due within 21 days after its filing with the Secretary. The Applicant intends to engage municipalities, agencies, and stakeholders throughout the PIP implementation process in order to identify their respective interests, and obtain information regarding particular resources, locations, concerns and recommendations of the affected municipalities, agencies and interests groups. Prior to filing the PSS, this will be accomplished through a variety of methods, including the various open house meetings, direct correspondence, review of any submitted comments on the website, and targeted meetings with some of the individual stakeholder entities identified herein. During these sessions, the Applicant will provide stakeholders with the approximate schedule for PSS submission and highlight the 21-day public comment period.

Consultations will be deemed successful if (1) the appropriate information about the Project and Article 10 process was provided to agency or municipality representatives, (2) the relevant information requested was gathered to help advance the PIP process and preparation of the PSS and Application, and (3) follow up meetings or consultations were either scheduled or will be scheduled, as needed.

Exhibits A and B list the affected agencies and municipalities, as identified at the time this PIP was prepared, the goals and objectives of each consultation, and the plan for achieving these goals. Please note that many of these consultations have already been initiated.

5.2 NOTIFICATIONS

The Article 10 regulations establish the notification requirements for serving documents and information upon Affected Agencies, stakeholders, etc. Notifications may be distributed by the following means:

- 1) Email list serves;
- 2) Letters;
- 3) Publish Public Notices in the following publications:
 - a) Watertown Daily Times
 - b) Thousand Island Sun
 - c) Watertown Daily Times Penny Saver
 - d) Fox News Watertown Online Event Calendar; and
 - e) YNN News Online Event Calendar.
- 4) Atlantic Wind website updates;
- 5) Host Municipality websites, if available; and
- 6) Contacting media outlets and providing proper medium for distribution.
 - a) Fox News Watertown; and
 - b) North County Public Radio.

The media outlets selected for future distribution of Project information and public notices include the official local newspapers: the *Watertown Daily Times* and the *Thousand Island Sun*. Media exposure may also include TV-WWNY7 and/or possible radio advertisements on public radio station W262BO (WSLJ). These media outlets were selected because they are the official media outlets for each of the Host Municipalities and would reach the most widespread population.

All documents prepared and provided by Atlantic Wind will be made available in English. Should a specific request be made for a translation of any document, Atlantic Wind will make its best efforts, where practicable and cost-effective, to accommodate the requestor(s).

Notices will also be copied to the stakeholder list, in accordance with Article 10 regulations.

5.3 ACTIVITIES TO EDUCATE THE PUBLIC ON THE PROPOSAL, ARTICLE 10 PROCESS, AND FUNDING

As noted above, Applicant has attended and will continue to attend Town meetings, is planning Applicant-sponsored public information sessions, will be distributing educational materials, and will provide a Project Website, which will offer information on the proposed Project, as well as links to and information on the Article 10 process, intervenor funding, and other important stakeholder issues. These efforts will allow Applicant to engage with all stakeholders regarding the proposed Project and will offer multiple avenues of information distribution, so that stakeholders and the public have multiple, varied opportunities to obtain information on the Project and participate in the proceedings. These efforts are discussed in further detail below.

5.3.1 Public Meetings

The Applicant intends to hold four open house style public meetings prior to submittal of the PSS, anticipated to be in September and November 2016, which will be held at different locations in the Project Area and at times of the day to accommodate work schedules of residents and persons wishing to attend. Representatives from Atlantic Wind will be present to answer questions and provide preliminary information on the Project. There will be a short introduction from one of the Applicant's representatives, as well as one-on-one discussions with attendees around posters displaying Project information. It is anticipated that these meetings will be held at the Town of Harrisburg Town Hall or another municipal facility and/or a public space suitable for informational meetings. Applicant will engage with local stakeholders to determine an appropriate space for these sessions. All public meetings held by the Applicant will be properly noticed in local newspapers, including the *Watertown Daily Times, Journal and Republican*, as well as the Project website, at least 14 days prior to the scheduled event. Applicant is also considering distributing, by regular mail, a newsletter and informational mailings which could be mass-mailed to residents in the Project Area, posted on the Project Website and distributed electronically to any stakeholder or member of the service list through the PSC's DMM site.

5.3.2 Educational Materials

The Applicant will develop educational materials to inform the public about wind-generated energy, the proposed Project, and the Article 10 process. These materials will include poster-sized maps and graphics to be displayed at public meetings. In addition, more portable materials (e.g., factsheets or brochures) will be distributed at public meetings, and via local libraries, host municipalities, adjacent municipalities, and mailings to stakeholders and additional addresses obtained through public meetings and the Project website, and materials will also be available on the Project website. These materials will allow the public to learn more about the Project, and will include links to the Siting Board and Project websites. If necessary, these materials will also be produced in non-English languages (see Section 4.0 above for additional information regarding Language Access).

5.3.3 Activities to Date

Recent engagement efforts have included meetings with various Federal, State and local agencies, as well as some renewed pre-application discussions with various stakeholders to provide information regarding early-stage development efforts, such as reaching out to potential landowners and determining potential locations for temporary meteorological test towers (“Met Towers”). Applicant has attended meetings with the Town of Clayton, Town of Clayton Planning Board, Town of Orleans and Town of Orleans Planning Board, including public hearings for approval of temporary Met Towers, which included some initial discussion of the Project generally. In addition, the Applicant continues to communicate with local officials, and will participate in future town board meetings. Public engagement activities Applicant has conducted since late 2015 include:

- Meetings with DPS and DEC
- Initial Contact with Town Officials (Clayton, Orleans, Brownville; July meeting Scheduled with Lyme)
- Working to secure a local office space for Project, which will be open to the public and staffed with company representatives to address questions. Office hours will be established and posted on Project website.

In addition to these engagement activities that have already taken place, the Applicant will continue pre-application activities to encourage stakeholder participation as set forth in the Agency/Municipality Consultations included in **Exhibit B** of this PIP. This table will be continuously updated as consultations and stakeholder participation activities take place, and additional means of engagement are identified (as necessary).

At each public consultation and outreach event, Atlantic Wind will provide the public and stakeholders an opportunity to provide input on the Article 10 process and the HCWFP. Atlantic Wind will measure the success of outreach by providing the public with comment/suggestion cards. Public comments will be posted to the Atlantic Wind website and to the DPS website. Public comment sheets will be available at all public events and the Atlantic Wind office. Public comments may also be provided on the Atlantic Wind website.

Outreach activities will continue beyond the pre-application phase and will continue through the application process, and should a Certificate of Environmental Compatibility and Public Need be granted, during the pre-construction and construction phase of the HCWFP.

Lastly, at each public event, Atlantic Wind will encourage individuals to sign-up for DPS notifications of filings and provide a tutorial worksheet on how to do so.

5.4 WEBSITE

The Applicant is developing a new website which will be user friendly and in plain English that describes the Project.³ It will also provide information regarding the Article 10 process; will

³ The Applicant expects this website will be operational within 60 days of the filing of this version of the PIP.

provide a link to the DPS website for the Project and instructions on becoming a stakeholder or signing up for project notifications; and will continue to provide Project updates throughout the development and construction phases of the Project to keep the community informed of the Project's status. For example, additional maps will be added to the website as the Project layout evolves, and notices will be posted the website prior to various milestones and public meetings/outreach events. Copies of all educational materials circulated to residents in the Project and Study Areas will be uploaded to the website.

As information becomes available, it will be added to the website. Such information would include:

- Project description;
- Project benefits and need;
- Summary of permitting requirements;
- Links to the Siting Board Article 10 Public Information Coordinator, the Siting Board home page, and case-specific documents;
- Information on the Article 10 process;
- Summary of the Intervenor Funding process and how to apply;
- Project layout figures and photo simulations/renderings;
- Project contact information, including email address and toll free telephone number;
- Copies of Article 10 and related licensing documents;
- A schedule that lists dates/times/locations for outreach events and key milestone dates, such as when the PSS and Application will be filed;
- Addresses of local document repositories; and
- Tracking Reports summarizing the Project's PIP activities to date, including summaries of stakeholder interactions, comments, and questions.

As indicated above, the website includes Project contact information (email address and local telephone number). The Applicant intends to respond directly to all substantive inquiries and comments submitted to the Project contact, and will document all such correspondence. Email and postal addresses collected through the website will be added to the master stakeholder list.

5.5 ACTIVITIES TO ENCOURAGE STAKEHOLDER PARTICIPATION

Beyond the activities described above in Sections 5.1 through 5.4, the Applicant will identify additional, practical measures to encourage stakeholder participation during the certification process. It is anticipated that this will be an ongoing, evolving process throughout all phases of the Article 10 review process (pre-application phase, application phase, hearing and decision phase, and post-certification phase). The Applicant will track its Public Involvement Program and provide regular updates to DPS Staff.

The Applicant will also maintain a Meeting Log that will provide specifics on all meetings, including dates, locations, attendees, purpose, and follow-up action items. An example Meeting

Log is included as **Exhibit C** of this document. PIP Tracking Logs will be submitted on a bi-monthly basis to the DPS's Document Matter Master ("DMM") system, for distribution to all stakeholders and members of the service list.

The Applicant intends to hold public meetings prior to submittal of the PSS. In addition there will be a mass mailing of educational materials provided to stakeholders, as well as additional addresses solicited from public meetings and the Project website. In addition, the Project website will be updated continuously with Project developments, meetings, and announcements to keep stakeholders and the public informed.

6.0 REQUIRED AGENCY/MUNICIPAL PRE-APPLICATION CONSULTATIONS

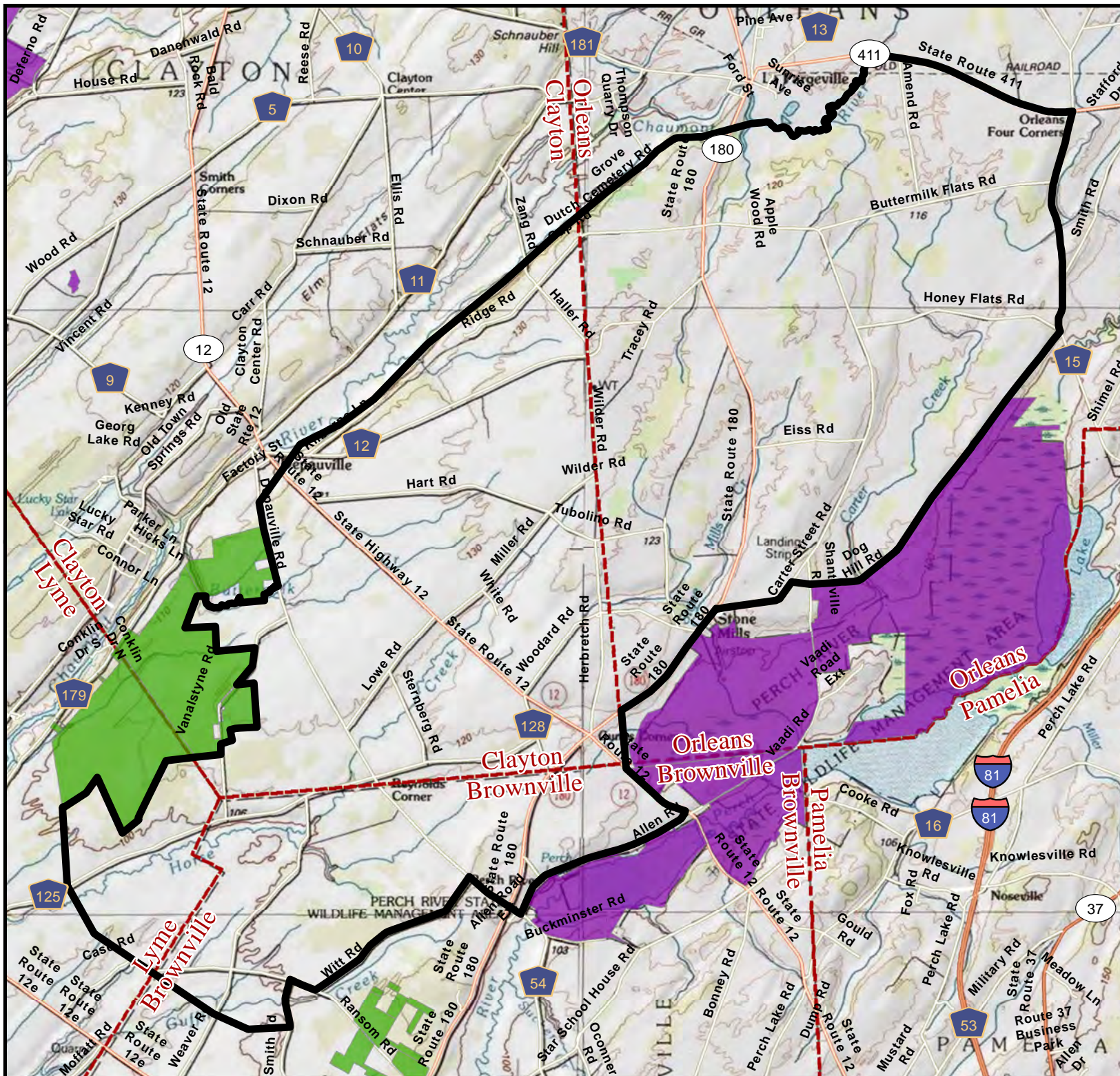
Atlantic Wind's Goals & Methods for Consultations with Affected Agencies and Municipalities (**Exhibit B**) identifies the specific required pre-application consultations. Additional discussion of these consultations is included at Sections 2.3 and 5 above.

7.0 REQUIRED AIRPORT/HELIPORT PRE-APPLICATION CONSULTATIONS





Pursuant to 16 NYCRR § 1001.25(e) & (f), the Applicant shall coordinate with the Federal Aviation Administration, the Department of Defense, and the National Telecommunications and Information Administration to confirm possible affected airports or heliports near the location of the Project, or potential hazards associated with radio signal interference and air traffic control. Each federal entity will be contacted via phone call and/or letter to identify the operators and/or appropriate contact person.

Once the proper operator/representative is determined, the outreach to the operator of the public airport or public heliport will include another phone call, a follow-up letter, and, if necessary, a meeting to provide Project-specific information. The Project-specific information to be provided will include: a detailed map showing the Study Area, Project Area, and location of Project components; coordinates and dimensions for each proposed turbine; a description of the Project construction and operational phases; and a request for review of and comment. In addition, outreach to the Department of Defense will include an initial screening with a follow-up review of the proposed Project, if required. The Applicant will also consult with privately owned and operated airports/heliports that may be affected by the Project.

Figure 1
Project Area
Horse Creek Wind Project



Legend

-  Site Boundary
-  City/Town
-  Nature Conservancy
-  State Land

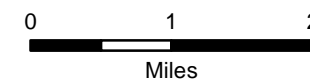
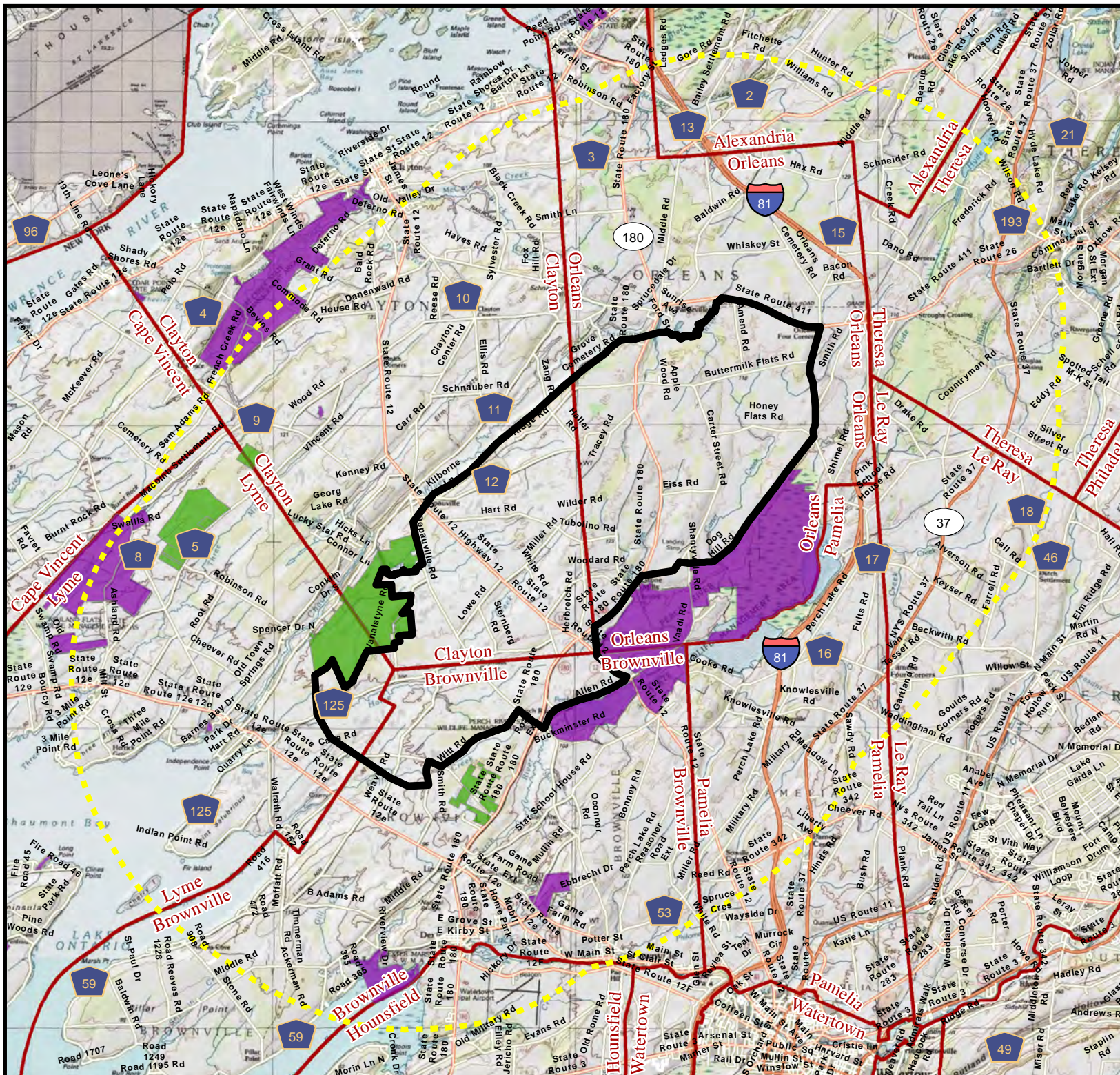






Figure 2
Study Area
Horse Creek Wind Project



Legend

-  Site Boundary
-  Study Area - 5 mile
-  Nature Conservancy
-  State Land
-  City/Town

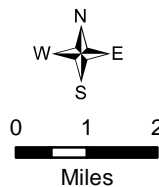


Exhibit A

Master List of Stakeholders and Notification List

AFFECTED STATE AND FEDERAL AGENCIES

NYS Department of Agriculture and Markets

Richard Ball, Commissioner
10B Airline Drive
Albany, NY 12235

NYS Attorney General

Eric T. Schneiderman
State Capital Building
Albany, NY 12224

New York State Research and Development Authority (NYSERDA)

17 Columbia Circle
Albany, NY 12203-6399

NYS Department of Environmental Conservation

Central Office
625 Broadway
Albany, NY 12233

NYS Department of Environmental Conservation

Region 6 Headquarters
Judy Drabicki, Regional Director
317 Washington St.
Watertown, NY 13601

NYS Office of General Services

RoAnn Destito, Commissioner
41st Floor, Corning Tower
Empire State Plaza
Albany, NY 12242

NYS Governor's Office

Andrew M. Cuomo
NYS State Capitol Building
Albany, NY 12224

NYS Department of Health

Howard Zucker, Commissioner
One Commerce Plaza
99 Washington Avenue
Albany, NY 12231

NYS Division of Homeland Security and Emergency Services

Jerome Hauer, Commissioner
1220 Washington Avenue
State Office Campus
Building 7A, Suite 710
Albany, NY 12242

NYS Office of Parks, Recreation and Historic Preservation

Ruth Pierpont, Deputy Commissioner
New York State Division for Historic Preservation
Peebles Island State Park
P.O. Box 189
Waterford, NY 12188-0189

NYS Department of Public Service

Secretary Kathleen H. Burgess
Empire State Plaza
Agency Building 3
Albany, NY 12223

NYS Department of State

Rossana Rosado, Acting Secretary of State
One Commerce Place, 99 Washington Avenue
Albany, NY 12231-0001

NYS Department of Transportation

Steven G. Kokkoris, P.E., Regional Director
Dulles State Office Building
317 Washington Street
Watertown, NY 13601

New York Independent System Operator

Michael Bemis, Board Chair
10 Krey Boulevard
Rensselaer, NY 12144

Empire State Development Corporation

Steve Hunt, North Country Regional Director
North Country Region: Watertown Office
Dulles State Office Building
Watertown, NY 13601

State Senator Patty Ritchie, 48th Senate District

Dulles State Office Building, Room 418
Watertown, NY 13601

State Assemblywoman Addie J. Russell, Assembly District 116

Jefferson County District Office
Dulles State Office Building, Suite 210
317 Washington Street
Watertown, NY 13601

State Assemblyman Ken Blankenbush, Assembly District 117

District Office
40 Franklin Street, Suite 2
Carthage, NY 13619

US Senator Charles E. Schumer

15 Henry Street, Room 100AF
Binghamton, NY 13901

US Senator Kirsten E. Gillibrand

100 State Street, Room 4195
Rochester, NY 14614

US Representative Elise Stefanik, District 21

120 Washington St., Suite 200
Watertown, NY 13601

US Army Corps of Engineers

Steve Metivier, Chief, NY Application Evaluation Section
Regulatory Branch, Buffalo District Office
1776 Niagara Street
Buffalo, NY 14207

US Fish and Wildlife Service

David Stilwell, Field Supervisor
3817 Luker Road
Cortland, NY 13045

US Federal Aviation Administration

Carmine Gallo, Eastern Region Regional Administrator
1 Aviation Plaza
Jamaica, NY 11434

US Department of Defense

Steven J. Sample
Mission Evaluation Branch
3400 Defense Pentagon, Room 5C646
Washington, DC 10301

National Telecommunications and Information Administration

Herbert C. Hoover Building (HCHB)
U.S. Department of Commerce
1401 Constitution Avenue, N.W.
Washington, DC 20230

LOCAL AGENCIES

Jefferson County Economic Development/JCIDA

Donald C. Alexander, CEO
800 Starbuck Ave.
Watertown, NY 13601

Jefferson County Soil and Water Conservation District

21168 NY-232
Watertown, NY 13601

Cornell Cooperative Extension

Jefferson County
203 North Hamilton Street
Watertown, NY 13601

Development Authority of the North Country

Gary Turck, Chairman
317 Washington Street
Watertown, NY 13601

Jefferson County Fire & Emergency Management

Joseph D. Plummer
753 Waterman Drive
Watertown, NY 13601

Jefferson County Highway Department

James Lawrence, Jr., Superintendent
21897 County Route 190
Watertown, NY 13601

Brownville Town Fire Department

121 Brown Blvd.
Brownville, NY 13615

Chaumont Fire Department

11385 State Route 12E
Chaumont, NY 13622

Depauville Volunteer Fire Department

15231 School Street
Depauville, NY 13632

LaFargeville Fire Department

20411 Sunrise Ave.
LaFargeville, NY 13656

Pamelia Volunteer Fire Department

25082 County Route 16
Evans Mills, NY 13637

MUNICIPALITIES AND SCHOOL DISTRICTS IN PROJECT AREA**Jefferson County**

Gizelle J. Meeks, County Clerk
175 Arsenal Street
Watertown, NY 13601

Town of Brownville

Richard D. Lane, Supervisor
16431 Star School House Road
Dexter, NY 13624

Town of Clayton

David M. Storandt, Jr., Supervisor
405 Riverside Drive
Clayton, NY 13624

Town of Lyme

Scott Aubertine, Supervisor
12175 NY State Route 12E
Chaumont, NY 13622

Town of Orleans

Kevin Rarick, Supervisor
20558 Sunrise Avenue
LaFargeville, NY 13656

Thousand Islands Central School District

Michael Bashaw, Superintendent
8481 County Route 9
Clayton, NY 13624

Lyme Central School District

Cammy Morrison, Superintendent (Lyme and General Brown CSDs)
11868 Academy Street
Chaumont, NY 13622

LaFargeville Central School District

Travis Hoover, Superintendent
20414 Sunrise Ave.
LaFargeville, NY 13656

General Brown Central School District

Cammy Morrison, Superintendent (Lyme and General Brown CSDs)
17463 Cemetery Road
Dexter, NY 13534

ADJACENT MUNICIPALITIES AND SCHOOL DISTRICTS**Town of Alexandria**

Dale D. Hunneyman, Supervisor
46372 Co. Route 1
Alexandria Bay, NY 13607

Town of Theresa

Steven Marcinkowski, Supervisor
215 Riverside Ave.
Theresa, NY 13691

Town of Pamela

Scott J. Allen, Supervisor
25859 NYS Route 37
Watertown, NY 13601

Town of Hounsfield

Timothy Scee, Supervisor
18774 County Route 66
Watertown, NY 13601

Town of Cape Vincent

Debra Suller, Supervisor
1964 NYS Route 12E
Cape Vincent, NY 13618

Town of LeRay

Ronald Taylor, Supervisor
8650 LeRay Street
Evans Mills, NY 13637

Village of Brownville

Patrick Connor, Mayor
P.O. Box 118
216 Brown Blvd.
Brownville, NY 13615

Village of Chaumont

Valerie Rust, Mayor
27994 Old Town Springs Road
Chaumont, NY 13622-3161

Village of Clayton

Norma Zimmer, Mayor
425 Mary Street
Clayton, NY 13624

Village of Glen Park

Stephen Macaulay, Mayor
116 East Main Street
Brownville, NY 13615

Village of Theresa

Timothy Tanner, Mayor
12 Commercial St.
Theresa, NY 13691

Village of Dexter

James R. Eves, Mayor
509 Liberty Street
Dexter, NY 13634

Alexandria Central School District

Kyle Faulkner, Superintendent
34 Bolton Avenue
Alexandria Bay, NY 13607

Indian River Central School District

James Kettrick, Superintendent
32735-B County Route 29
Philadelphia, NY 13673

Sackets Harbor Central School District

Frederick E. Hall, Jr., Superintendent
215 S. Broad Street
Sackets Harbor, NY 13685

PUBLIC INTEREST GROUPS**Nature Conservancy**

195 New Karner Rd, Suite 200
Albany, NY 12205

Northern New York Audubon Society

P.O. Box 741
Tupper Lake, NY 12986

Thousand Islands Land Trust

Jake Tibbles, Executive Director
135 John Street
Clayton, NY 13624

Greater Watertown North Country Chamber of Commerce

Kylie Peck, President & CEO
1291 Faichney Drive, Suite 1
Watertown, NY 13601

NY Public Interest Research Group

Albany - Legislative
107 Washington Avenue
Albany, NY 12210

ADDITIONAL STAKEHOLDERS**Watertown International Airport**

22529 NY-12F
Dexter, NY 13634

Richland Airpark Inc.

RR Box 367
Richland, NY 13144

Guilfoyle Ambulance Services

Jeff Call, Director of Administration
438 Newell St
Watertown, NY 13601

Thousand Islands Emergency Rescue Service

100 Union Street
Clayton, NY 13624

Indian River Ambulance Service, Inc.

1 Antwerp Street
Philadelphia, NY 13673

Galloo Island Wind, LLC

c/o Cat Mosley
Apex Clean Energy
310 4th Street NE, Suite 200
Charlottesville, VA 22902

National Grid

TBD

AT&T

TBD

Verizon

TBD

Exhibit B

Goals and Objectives of Stakeholder Involvement

Stakeholder	Consultation Goal	Implementation Plan and Schedule
<p>Host Municipalities:</p> <ul style="list-style-type: none"> • Jefferson County • Town of Brownville • Town of Clayton • Town of Lyme • Town of Orleans 	<ul style="list-style-type: none"> - Community outreach - Solicitation of local feedback - Information dissemination on proposed Project; Article 10 generally; intervenor funding process; PSS/scoping process; Application; etc. - Collection of all information necessary for Article 10 Application, required studies, etc. - Identification of community concerns, local landmarks, land uses, and resources - Adjustment of study scope/methodology based on stakeholder input and concerns - Provide contact information for Project and opportunities for direct engagement with public - Review local laws to determine anticipated compliance or need for waivers - Consultation to inform the Applicant's estimate of incremental municipal, public authority, or utility operating and infrastructure costs that will be incurred for police, fire, emergency, water, sewer, solid waste disposal, highway maintenance and other municipal, public authority, or utility services during the 	<ul style="list-style-type: none"> - Public Information Sessions and attendance at local meetings—Four sessions currently planned for September and November 2016 - Informational mailings - Launch and update Project Website - Establish a staffed local office, local contact phone number, and dedicated project email address to respond to inquiries and accept public comment - Initiate direct contact with local officials and boards regarding upcoming Article 10 milestones, submission of PSS (at least 2 weeks prior to filing), etc. - Consult with appropriate officials/departments regarding specific topics, such as road use, visual impact assessment, land use and comprehensive plans, and to exchange other information sought by the Applicant or by Stakeholder communities - Provide timely public/stakeholder notices and information on public comment periods, scheduled meetings/information sessions, and opportunities for participation - Obtain all relevant local laws, building codes, comprehensive plans, zoning maps, etc.

	<p>construction and operation phases of the facility</p> <ul style="list-style-type: none"> - Develop avoidance, minimization and mitigation plans to address potential Project impacts 	<ul style="list-style-type: none"> - Discuss potential avoidance, minimization and mitigation measures to address identified impacts
<p>Host School Districts:</p> <ul style="list-style-type: none"> • Thousand Island School District • Lyme Central School District • LaFargeville School District • General Brown Central School District 	<ul style="list-style-type: none"> - Solicitation of local feedback - Information dissemination on proposed Project - Discuss impacts to school bus routes, district operating budget, and school operations, if any - Adjustment of study scope/methodology based on stakeholder input and concerns - Provide contact information for Project and opportunities for direct engagement with public - Develop avoidance, minimization and mitigation plans to address potential Project impacts 	<ul style="list-style-type: none"> - Provide timely stakeholder notices and information - Initiate direct contact with local officials and boards regarding upcoming Article 10 milestones, submission of PSS (at least 2 weeks prior to filing), etc. - Consult with District to inform the Applicant's estimate of incremental school district operating and infrastructure costs due to the construction and operation of the facility - Work with school officials to develop transportation plans to minimize or avoid delays/traffic problems associated with construction of the Project - Schedule meetings and information sessions - Gather local feedback - Discuss potential avoidance, minimization and mitigation measures to address identified impacts
<p>Host Community Highway Departments:</p> <ul style="list-style-type: none"> • New York State Department of Transportation • Jefferson County Highway Department • Town of Brownville Highway Department • Town of Clayton Highway Department 	<ul style="list-style-type: none"> - Solicitation of local feedback - Information dissemination on proposed Project - Gather information on transportation routes, condition and capacity of local roads - Discuss impacts to transportation and local infrastructure during and after construction; 	<ul style="list-style-type: none"> - Obtain and review Highway Department requirements for temporary radius improvements, transportation routes, and use of right-of-way - Initiate direct contact with local officials and boards regarding upcoming Article 10 milestones, submission of PSS (at least 2 weeks prior to filing), etc.

<ul style="list-style-type: none"> • Town of Lyme Highway Department • Town of Orleans Highway Department 	<p>transportation routes, improvements and use of right-of-way; and contingency plans in the event of an emergency</p> <ul style="list-style-type: none"> - Develop avoidance, minimization and mitigation plans to address potential Project impacts 	<ul style="list-style-type: none"> - Meet with highway officials to discuss concerns, potential impacts, avoidance/minimization strategies and potential mitigation - Work with local officials to develop transportation plans to minimize or avoid delays/traffic problems associated with construction of the Project - Provide timely stakeholder notices and information - Discuss potential avoidance, minimization and mitigation measures to address identified impacts
<p>Adjacent Municipalities:</p> <ul style="list-style-type: none"> • Town of Alexandria • Town of Theresa • Town of LeRay • Town of Pamela • Town of Hounsfield • Town of Cape Vincent • Village of Brownville • Village of Chaumont • Village of Theresa • Village of Glen Park • Village of Clayton • Village of Dexter 	<ul style="list-style-type: none"> - Solicitation of local feedback - Information dissemination on proposed Project - Identification of community concerns, local landmarks, land uses, and resources - Adjustment of study scope/methodology based on stakeholder input and concerns - Provide contact information for Project and opportunities for direct engagement with public - Develop avoidance, minimization and mitigation plans to address potential Project impacts 	<ul style="list-style-type: none"> - Provide timely stakeholder notices and information - Initiate direct contact with local officials and boards regarding upcoming Article 10 milestones, submission of PSS (at least 2 weeks prior to filing), etc. - Consult with communities to ascertain local concerns - Work with school officials to develop transportation plans to minimize or avoid delays/traffic problems associated with construction of the Project - Schedule meetings and information sessions - Gather local feedback - Discuss potential avoidance, minimization and mitigation measures to address identified impacts
<p>Adjacent School Districts:</p> <ul style="list-style-type: none"> • Alexandria Central School District 	<ul style="list-style-type: none"> - Solicitation of local feedback - Information dissemination on proposed Project 	<ul style="list-style-type: none"> - Provide timely stakeholder notices and information - Initiate direct contact with local officials and boards

<ul style="list-style-type: none"> • Indian River Central School District • Sackets Harbor Central School District 	<ul style="list-style-type: none"> - Develop avoidance, minimization and mitigation plans to address potential Project impacts 	<p>regarding upcoming Article 10 milestones, submission of PSS (at least 2 weeks prior to filing), etc.</p> <ul style="list-style-type: none"> - Consult with District to ascertain local concerns - Work with school officials to develop transportation plans to minimize or avoid delays/traffic problems associated with construction of the Project - Schedule meetings and information sessions - Gather local feedback
<p>Emergency Response Organizations:</p> <ul style="list-style-type: none"> • Local Fire and Rescue Departments and Companies, Public and Private • Jefferson County Emergency Services • NYS Division of Homeland Security and Emergency Services 	<ul style="list-style-type: none"> - Inform emergency responders about proposal, construction process, operation, potential impacts on transportation routes, and contingency plans - Solicitation of local feedback - Information dissemination on proposed Project - Develop avoidance, minimization and mitigation plans to address potential Project impacts 	<ul style="list-style-type: none"> - Provide timely stakeholder notices and information - Initiate direct contact with local agencies regarding upcoming Article 10 milestones, submission of PSS (at least 2 weeks prior to filing), etc. - Consult with departments to ascertain local concerns - Work with emergency responders to develop transportation plans and contingency plans to minimize or avoid delays/traffic problems associated with construction of the Project - Schedule meetings and information sessions - Gather local feedback - Discuss potential avoidance, minimization and mitigation measures to address identified impacts
<p>Aviation and Defense:</p> <ul style="list-style-type: none"> • Federal Aviation Administration (FAA) • US Department of Defense (DoD) 	<ul style="list-style-type: none"> - Ascertain potential impacts of the project on radar, defense systems, flight paths, and facility operations 	<ul style="list-style-type: none"> - Request an informal Department of Defense review of the proposed construction or alteration, in accordance with 32 Code of Federal

<ul style="list-style-type: none"> • Local Airports/Heliports 	<ul style="list-style-type: none"> - Adjustment of study scope/methodology based on stakeholder input and concerns - Solicitation of stakeholder feedback - Information dissemination on proposed Project - Develop avoidance, minimization and mitigation plans to address potential Project impacts 	<p>Regulations, Section 211.7; or a formal Department of Defense review of the proposed construction or alteration in accordance with 32 Code of Federal Regulations, Section 211.6</p> <ul style="list-style-type: none"> - FAA consultations, as required by law, on potential impacts to airport operations and radar, as well as potential emergency lighting requirements - Consultations with stakeholders to identify any potential impacts to radar, flight paths, national defense, or other concerns - Discuss potential avoidance, minimization and mitigation measures to address identified impacts - Identify the necessity of consultations with the operators of airports or heliports - Include outreach to inform such operators of the proposed facility and its location prior to the submission of the preliminary scoping statement - Pursue consultations with operators of airports and heliports that are non-military facilities, including providing a detailed map and description of such construction or alteration to such operators, and a request for review of and comment on such construction or alteration by such operators
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<p>Utilities/Communications and Other Wind Projects:</p> <ul style="list-style-type: none"> • National Telecommunications and Information Administration • National Grid • Verizon • AT&T • Galloo Island Wind Farm Project 	<ul style="list-style-type: none"> - Ascertain potential impacts of the project on communications infrastructure, energy transmission and interconnection, and the electric grid - Solicitation of stakeholder feedback - Information dissemination on proposed Project - Develop avoidance, minimization and mitigation plans to address potential Project impacts 	<ul style="list-style-type: none"> - Consultations with stakeholders to identify any potential impacts to utility infrastructure, communications, grid reliability/security, etc. - Discuss potential avoidance, minimization and mitigation measures to address identified impacts - Provide timely stakeholder notices and information
<p>Environmental Agencies and Public Interest Groups:</p> <ul style="list-style-type: none"> • NYS Department of Environmental Conservation (DEC) • US Fish and Wildlife Service (FWS) • US Army Corps of Engineers • The Nature Conservancy • Northern New York Audubon Society • Thousand Islands Land Trust 	<ul style="list-style-type: none"> - Ascertain potential impacts of the project on environmental resources, including avian and bat species, plants, wetlands, protected species and habitat, land use, public health and safety, emissions, noise, geology/soils, water resources, agricultural lands, and other matters of concern to stakeholders - Collection of all information necessary for Article 10 Application, required studies, etc. - Identification of agency concerns, state parks and scenic resources, wildlife management areas, conservation easements, protected lands and other resources - Adjustment of study scope/methodology based on stakeholder input and concerns - Consultation with agencies regarding 	<ul style="list-style-type: none"> - Review bird/bat studies with DEC and FWS to determine adequacy of scope and methodology, and compliance of proposed plan with established regulations, guidance and study protocols - Confer with DEC and Army Corps regarding wetlands delineations, stream crossings, and other relevant issues - Confer with DEC and FWS regarding potential concerns associated with endangered species, the need for incidental take permits, and the Migratory Bird Treaty Act - Confer with DEC regarding Water Quality Certification requirements and process - Consultation to develop an acceptable input data set, including modeling for the Applicant's proposed facility and inputs for the emissions analysis

	<p>regulatory compliance, permit requirements, etc.</p> <ul style="list-style-type: none"> - Solicitation of stakeholder feedback - Information dissemination on proposed Project - Develop avoidance, minimization and mitigation plans to address potential Project impacts 	<ul style="list-style-type: none"> - Consultation with the state agencies and authorities whose requirements are the subject of the State Laws and Regulations exhibit to determine whether the Applicant has correctly identified all such requirements, potentially required permits, etc. - Discuss potential avoidance, minimization and mitigation measures to address identified impacts - Provide timely stakeholder notices and information
<p>Agricultural Agencies and Stakeholders:</p> <ul style="list-style-type: none"> • NYS Department of Agriculture and Markets (Ag & Mkts) • Cornell Cooperative Extension • Jefferson County Soil and Water Conservation District 	<ul style="list-style-type: none"> - Ascertain potential impacts of the project on agricultural resources - Consultation with agencies regarding regulatory compliance and agency guidance - Develop avoidance, minimization and mitigation plans to address potential Project impacts - Adjustment of study scope/methodology based on stakeholder input and concerns 	<ul style="list-style-type: none"> - Solicit feedback from stakeholders on any potential agricultural concerns unique to Project Area - Confer with Ag & Mkts regarding compliance with agency guidance and policies - Request information or guidance from stakeholders on potential agricultural impacts, as well as potential avoidance, minimization and mitigation measures to address those impacts - Consultation with the state agencies and authorities whose requirements are the subject of the State Laws and Regulations exhibit to determine whether the Applicant has correctly identified all such requirements - Provide timely stakeholder notices and information

<p>Historical/Cultural/Socioeconomic Groups:</p> <ul style="list-style-type: none"> • NYS Office of Parks, Recreation and Historic Preservation • Empire State Development Corporation • Greater Watertown North Country Chamber of Commerce • Development Authority of the North Country • Jefferson County Industrial Development Authority • Jefferson County Department of Planning and Economic Development 	<ul style="list-style-type: none"> - Ascertain potential impacts of the Project on historical and cultural resources - Ascertain potential socioeconomic effects of Project - Consultation with agencies regarding regulatory compliance and agency guidance - Identify resources and landmarks of local concern - Develop avoidance, minimization and mitigation plans to address potential Project impacts - Adjustment of study scope/methodology based on stakeholder input and concerns 	<ul style="list-style-type: none"> - Consultation in accordance with Section 14.09 of the New York State Parks, Recreation, and Historic Preservation Law and/or Section 106 of the Historic Preservation Act, - Consultation with OPRHP and DPS regarding cultural resources studies and to determine the need for and scope of work for any required Phase II cultural resources study - Consultation to establish representative viewpoints for the photographic simulations of the facility and interconnections - Gather information on resources and landmarks of local concern for cultural impact assessment - Develop information on potential socioeconomic effects of Project, including job creation, tax revenues, and incidental effects on local business and industry - Schedule meetings and information sessions - Gather local feedback - Consultation with the state agencies and authorities whose requirements are the subject of the State Laws and Regulations exhibit to determine whether the Applicant has correctly identified all such requirements - Discuss potential avoidance, minimization and mitigation measures to address identified impacts
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<p>Energy Agencies and Groups:</p> <ul style="list-style-type: none"> • NYS Department of Public Service (DPS) • NY Independent Systems Operator • New York State Research and Development Authority 	<ul style="list-style-type: none"> - Ascertain potential impacts of the project on energy transmission and interconnection, and the electric grid - Discuss the Project's compliance with state energy planning objectives - Solicitation of stakeholder feedback - Information dissemination on proposed Project - Develop avoidance, minimization and mitigation plans to address potential Project impacts - Achieve compliance with Article 10 regulatory requirements, an adequate PIP and PSS, a complete Application, etc. - Adjustment of study scope/methodology based on stakeholder input and concerns 	<ul style="list-style-type: none"> - Consultation to develop an acceptable input data set, including modeling for the Applicant's proposed facility and inputs for required emissions and electric system effects analysis - Work with NYISO to coordinate System Reliability Impact Study (SRIS) - Confer with stakeholders to ascertain the ways in which the Project will advance state energy planning objectives and be consistent with the State Energy Plan and state policy - Consultation with the state agencies and authorities whose requirements are the subject of the State Laws and Regulations exhibit to determine whether the Applicant has correctly identified all such requirements - Consultation to identify applicable requirements to be used to demonstrate the degree of compliance with all relevant applicable reliability criteria of the Northeast Power Coordinating Council Inc., New York State Reliability Council, and the local interconnecting transmission utility,

		<p>including any criteria regarding blackstart and fuel switching capabilities</p> <ul style="list-style-type: none"> - Discuss potential avoidance, minimization and mitigation measures to address identified impacts - Provide timely stakeholder notices and information
<p>Other Affected Federal and State Agencies:</p> <ul style="list-style-type: none"> • NYS Department of Health • NYS Attorney General • NYS Office of General Services • NYS Department of State 	<ul style="list-style-type: none"> - Contact Stakeholders - Disseminate Information regarding Project, Article 10, and intervenor funding - Provide contact information - Identify any specific issues or concerns - Schedule follow up meeting(s) and consultation(s), as appropriate - Adjustment of study scope/methodology based on stakeholder concerns 	<ul style="list-style-type: none"> - Consultation with the agencies and authorities whose requirements are the subject of the Laws and Regulations exhibits to determine whether the Applicant has correctly identified all such requirements - Provide timely stakeholder notices and information - Ensure scope and methodology of public health and safety studies are adequate to address stakeholder concerns
<p>Elected Representatives:</p> <ul style="list-style-type: none"> • NYS Governor's Office • State Senator Patty Ritchie, 48th Senate District • State Assemblywoman Addie J. Russell, Assembly District 116 • State Assemblyman Ken Blankenbush, Assembly Districts 117 • US Senator Charles Schumer • US Senator Kirsten Gillibrand 	<ul style="list-style-type: none"> - Contact representatives - Disseminate Information regarding Project and provide contact info - Identify any specific issues or concerns - Schedule follow up meeting(s) and consultation(s), as appropriate 	<ul style="list-style-type: none"> - Provide timely stakeholder notices and information - Direct contact through telephone calls, mailings, meetings and discussions, as appropriate

<ul style="list-style-type: none"> US Representatives Elise Stefanik, 21st Congressional District 		
<p>Other Stakeholders/Agencies:</p> <ul style="list-style-type: none"> NYS Public Interest Research Group 	<ul style="list-style-type: none"> - Contact Stakeholders - Disseminate Information regarding Project, Article 10, and intervenor funding - Provide contact information - Identify any specific issues or concerns - Schedule follow up meeting(s) and consultation(s), as appropriate 	<ul style="list-style-type: none"> - Provide timely stakeholder notices and information - Direct contact through telephone calls, mailings, meetings and discussions, as appropriate

<p align="center">Horse Creek Wind Farm Project Public Involvement Program – Tracking Log</p>									
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