



Case 16-M-0610

**Modified Proposal (8. Conflicts of Interest) for
Proposal to Perform
Comprehensive Management Audits
of
New York State Electric & Gas Corporation
and
Rochester Gas and Electric Corporation**

**Submitted to:
Secretary of the
New York State
Public Service Commission**



Submitted by:

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8. CONFLICTS OF INTEREST

Neither Overland nor its subcontractors have provided services directly to any New York utilities or their affiliates within the past five years. However, both Overland and its subcontractors have been hired by state regulatory commissions to perform audit or other consulting services related to New York utilities and/or their affiliates.

In August 2016, Overland and its subcontractors were contracted by the New York State Public Service Commission to perform a comprehensive management and operations audit of Central Hudson Gas & Electric Corporation. This management audit is ongoing.

In 2013, Overland and its subcontractors were contracted by the New York State Public Service Commission to perform the Operational Data Audits of multiple New York Utilities. Overland conducted a comprehensive audit regarding the accuracy of electric reliability, gas safety, and customer service data reported to the commission.

In 2011, Overland was contracted by the New York State Public Service Commission to perform an investigative accounting examination of National Grid USA's New York regulated utilities. Overland conducted a regulatory audit of the procedures and cost distributions of National Grid's U.S. service companies to its New York regulated utilities.

From 2009 to 2012, Ellen Smith was employed by National Grid USA and served in the role of Chief Operating Operator. She had responsibilities for National Grid's operating companies in New York, including KEDLI, KEDNE, and NMPC. In June of 2013, Ellen provided testimony to the Moreland Commission regarding utility storm response.

From 2001 to 2015, Colin Hassett was employed by National Grid USA and served in various roles in Engineering, Project Management, Emergency Planning, and Process Excellence. These roles included work for National Grid's operating companies in New York, including KEDLI, KEDNE, and NMPC.

From 2001 to 2013, John Cochrane was employed by National Grid USA and served as CFO and Treasurer, then later as Executive Vice President of Global Business Development and Mergers & Acquisitions. His roles included work for National Grid's operating companies in New York, including KEDLI, KEDNE, and NMPC. His NY Transco work involved several interactions with DPS staff.

From 2012 to 2013, Donald Racey, while working for a professional consulting firm prior to FTI Consulting, was engaged by National Grid USA to support the creation of an electric and gas project management playbook that was directly used by National Grid's NY Gas and Electric Companies.

Other than the services described above, neither Overland nor any of its personnel or subcontractors is currently providing, nor has provided in the last five years, any professional services (including auditing services) to the NYPSC nor any electric or gas utilities in New York State.

Overland, its personnel, and its subcontractors will adhere to the highest business, professional, and ethical standards during the course of the engagement. We also agree that we will not perform any work for the utility or its affiliates during the course of this audit.