Case No. 12-M-0476 et al. EDI Business/Technical Working Groups Workpaper – Prohibition Data Exchange Support 20180105 Meeting

| | Central Hudson | Con Edison | National Grid - Upstate (NIMO) | National Grid - Downstate (Keyspan & Long Island) | National Fuel | NYSEG | O&R | RG&E |
|--|---|---|---|---|--|--|---|--|
| | | | | Utility Data Exchange for Me | pratorium - Files, dates, etc | | | |
| Initial List: Will you be providing the data via EDI or Non-EDI? | Non-EDI | Non-EDI | Non-EDI | Non-EDI | Non-EDI | Non-EDI | Non-EDI | Non-EDI |
| Initial List: Projected Availability Date | 7/26/2017 | 7/26/2017 | 7/26/2017 | 7/26/2017 | 7/26/2017 | 7/26/2017 | 7/26/2017 | 7/26/2017 |
| Initial List: Actual Availability Date | 7/26/2017 | 7/26/2017 | 7/26/2017 | 7/26/2017 | 7/26/2017 | 7/26/2017 | 7/26/2017 | 7/26/2017 |
| Initial Post-TRO List: Proj./Actual Availability Date | 11/29/2017 | 11/29/2017 | 11/20/2017 | 11/20/2017 | 11/26/2017 | 11/22/2017 | 11/29/2017 | 11/22/2017 |
| Post-TRO Blocks: Proj./Actual Implementation Date | 11/28/2017 | 11/29/2017 | 11/20/2017 | 11/20/2017 | 11/21/2017 | 11/28/2017 | 11/29/2017 | 11/28/2017 |
| Post-TRO Mass Mailing to Ineligible Customers (Yes/No) | No except for letters to incremental Ineligible customers; no later than 12/1/2017 | No except for letters to incremental Ineligible customers; no later than 12/1/2017 | No except for letters to incremental Ineligible customers; no later than 12/1/2017 | No except for letters to incremental Ineligible customers; no later than 12/1/2017 | No except for letters to incremental Ineligible customers; no later than 12/1/2017 | No except for letters to incremental Ineligible customers; letters sent on 11/22/2017 | No except for letters to incremental Ineligible customers; no later than 12/1/2017 | No except for letters to incremental Ineligible customers; letters sent on 11/22/2017 |
| Initial List: Will you be provide the non-EDI file structure to ESCOs (Yes/No)? | Yes Will provide (at least): -Account number -Customer name -Commodity | Yes - note separate web sites below for electric and gas: -Account number -Ineligible Field (will be added to the existing RAIS and TCIS Daily Account Listings) | Yes Will provide (at least): -Account number -Customer name | Yes Will provide (at least): -Account number -Customer name | Yes Will provide (at least): -Account number -Customer name - Commodity (always 'G') | Yes Will provide (at least): -Account number -Customer name -POD ID (which indicates commodity) | Yes Will provide: -Account number -Customer address -Commodity | Yes Will provide (at least): -Account number -Customer name -POD ID (which indicates commodity) |
| Initial List: If yes, how (email, website/ web portal, other)? | Secure Web Portal | Sync List available from secure web site. | Encrypted spreadsheet- Egress | Encrypted spreadsheet-Egress | Secure Website | Secure Website | Sync List available from secure web site. | Secure Website |
| Initial List: If website/web portal, what URL? | https://inet.cenhud.com/Custome rServicePortal/RetailSupplier/Supp lierLogin.aspx | Electric (RAIS) <u>www.coned.com/retailaccess</u> Gas (TCIS) <u>www.coned.com/tcis</u> | #N/A | #N/A | http://nationalfuelgas.com/marke ters/dataaccess/contents.aspx | https://ebiz1.nyseg.com/escoweb/ escoLogin.aspx | https://apps.coned.com/oruretaila ccess/default.asp | https://ebiz1.rge.com/escoweb/es cologin.aspx |
| Initial List: If website/ web portal, will an email be issued notifying ESCOs that the list is available? | Yes | ESCO Newsletter will be sent to Gas and Electric ESCOs | #N/A | #N/A | Yes | Yes | #N/A | Yes. Will provide Contract Account number , the POD id, the customer name. |
| | The file will be updated weekly with all customers at that point in time that are ineligible to be served by ESCO's. | Updates available on a daily basis via secured website. | Updates to Encrypted spreadsheet provided monthly | Updates to Encrypted spreadsheet provided monthly | The file will be updated each week adding or removing ineligible customers as appropriate. Switch to EDI would be considered later. | NON-EDI File updated monthly that will show the ESCO's customers that are no longer eligible for service with the ESCO. | Web portal: An indicator will be added to ESCO list of customers. "Y" means customer is eligible for ESCO service and "N" means ineligible. Switch to EDI would be considered later. | NON-EDI File updated monthly that will show the ESCO's customers that are no longer eligible for service with the ESCO. |
| Subsequent Lists: If EDI will be supported, projected implementation date? | No | #N/A | #N/A | #N/A | No | #N/A | TBD | #N/A |
| | Weekly; will resume when TRO is resolved. | Daily; will resume when TRO is resolved. | Monthy - 1st of the Month Will start after TRO is resolved. | Monthy - 1st of the Month Will start after TRO is resolved. | Weekly; started 7/30/2017 | Monthly; starting T.B.D. when TRO is resolved. | Weekly; will resume when TRO is resolved. | Monthly; starting T.B.D. when TRO is resolved. |
| Subsequent Lists: If the ESCO is still serving an APP customer, how will the ESCO learn if/when the customer is no longer an APP customer (EDI/Non-EDI)? | The customer will come off the ineligible list that is posted weekly. | Non-EDI (a new field will be added to the existing sync listing which will indicate if a customer is no longer eligible to be served by the ESCO). | Updates to Encrypted spreadsheet (The customer will no longer be on the list of customers to drop). | Updates to Encrypted spreadsheet (The customer will no longer be on the list of customers to drop). | ESCO expected to check website each week (The customer will no longer be on the list of customers to drop). | We do not send a separate notice when the APP customer is removed from the program. ESCO would know by talking w/cust or by seeing the account removed from their list | Web portal - the sync list will be updated (The customer will no longer be on the list of customers to drop). | We do not send a separate notice when the APP customer is removed from the program. ESCO would know by talking w/cust or by seeing the account removed from their list |
| General: Will the utility block both historical usage requests and enrollment requests for all APP customers? | Yes | Yes - Comprehensive Block | Yes - Comprehensive Block on the 814E and 867HU request. | Yes - Comprehensive Block on the 814E and 867HU request. | Yes | No. If it is an APP customer we place an enrollment block and if an EDI enrollment is received, the enrollment response will reject with a reason of CAB. If an 814HU request comes in the following will occur: If both RA block and usage block exists = 814 HU reject occurs with reason HUR (Historical Usage not released) If only RA block and no usage block – 814 HU accept, 867 HU will include the REF enrollment block segment. | Yes - Comprehensive Block | No. If it is an APP customer we place an enroliment block and if an EDI enrollment is received, the enrollment response will reject with a reason of CAB. If an 814HU request comes in the following will occur: If both RA block and usage block exists – 814 HU reject cocurs with reason HUR (Historical Usage not released) If only RA block and no usage block – 814 HU accept, 867 HU will include the REF enrollment block segment. |
| General: Will the non-EDI file contain identify ALL customers wth blocks or just the blocks for INELIGIBLE customers? | Ineligible customers only | All Customers included on the sync list but only ineligible customers are flagged. | Ineligible customers only | Ineligible customers only | Ineligible customers only | Ineligible customers only | All Customers included on the sync list but only ineligible customers are flagged. | Ineligible customers only |
| Enrollment Reject Code re: ineligible customers | REF~7G~CAB | REF~7G~CAB | REF~7G~CAB | REF~7G~CAB | REF~7G~CAB | REF~7G~CAB | REF~7G~CAB | REF~7G~CAB |