

Case 03-E-0188, NYSERDA , April 5, 2018
 Financial Status Report as of December 31, 2017

Program	Total Budget	Expended ^a	Encumbered ^b	Expended ^d + Encumbered ^b	Expended ^a + Encumbered ^b as % of Total Budget	Pre-encumbrances ^c	Expended ^a + Encumbered ^b + Pre-encumbered ^f	Expended ^a + Encumbered ^b + Pre-encumbered ^f as % of Total Budget	Uncommitted
Main Tier^d									
RFP 916	127,439,232	127,439,232	-	127,439,232	100.0%	-	127,439,232	100.0%	\$ -
RFP 1037	153,535,439	134,670,406	18,865,033	153,535,439	100.0%	-	153,535,439	100.0%	\$ -
RFP 1168	62,765,413	50,640,233	12,125,180	62,765,413	100.0%	-	62,765,413	100.0%	\$ -
RFP 1681	21,171,775	12,796,369	8,375,406	21,171,775	100.0%	-	21,171,775	100.0%	\$ -
RFP 1851 ^e	163,195,721	94,211,415	68,984,306	163,195,721	100.0%	-	163,195,721	100.0%	\$ -
RFP 2226 ^e	144,896,044	53,239,760	91,656,284	144,896,044	100.0%	-	144,896,044	100.0%	\$ -
RFP 2389	121,344,490	51,242,367	70,102,123	121,344,490	100.0%	-	121,344,490	100.0%	\$ -
RFP 2554	26,775,489	7,735,063	17,459,358	25,194,421	94.1%	1,581,068	26,775,489	100.0%	\$ -
RFP 3084	30,860	30,860	-	30,860	100.0%	-	30,860	100.0%	\$ -
RFP 3257	156,130	96,710	59,420	156,130	100.0%	-	156,130	100.0%	\$ -
Boralax- Maintenance Tier	10,605,020	10,605,020	-	10,605,020	0.0%	-	10,605,020	100.0%	\$ -
Azure- Maintenance Tier	500,000	209,500	290,500	500,000	100.0%	-	500,000	100.0%	\$ -
Lyonsdale - Maintenance Tier	27,443,711	26,275,278	1,168,433	27,443,711	100.0%	-	27,443,711	100.0%	\$ -
Generation Attributes Tracking System	91,314	91,314	-	91,314	100.0%	-	91,314	100.0%	\$ -
Available Main Tier/Maintenance Resource Funding ^g	572,282,762	-	-	-	0.0%	-	-	0.0%	\$ 572,282,762
Subtotal - Main Tier	\$ 1,432,233,399	\$ 569,283,526	\$ 289,086,043	\$ 858,369,569	59.9%	\$ 1,581,068	\$ 859,950,637	60.0%	\$ 572,282,762
Customer-Sited Tier									
Pre-2014 PV Funding ^h	385,649,457	297,130,336	34,052,404	331,182,740	85.9%	-	331,182,740	85.9%	\$ 54,466,717
Fuel Cells	38,597,205	5,725,000	29,822,205	35,547,205	92.1%	1,000,000	36,547,205	94.7%	\$ 2,050,000
Anaerobic Digesters	55,520,102	22,829,277	29,446,317	52,275,595	94.2%	2,000,000	54,275,595	97.8%	\$ 1,244,507
Small Wind	14,817,338	13,595,474	83,270	13,678,744	92.3%	517,243	14,195,987	95.8%	\$ 621,351
Solar Thermal	28,443,991	20,180,165	1,607,150	21,787,315	76.6%	6,651,094	28,438,409	100.0%	\$ 5,582
Subtotal - Customer-Sited Tier	\$ 523,028,093	\$ 359,460,253	\$ 95,011,346	\$ 454,471,599	86.9%	\$ 10,168,337	\$ 464,639,936	88.8%	\$ 58,388,157
Subtotal - Program Funding	\$ 1,955,261,492	\$ 928,743,778	\$ 384,097,389	\$ 1,312,841,168	67.1%	\$ 11,749,405	\$ 1,324,590,573	67.7%	\$ 630,670,919
Administration									
Administration - Staff/Overhead	49,031,339	38,172,739	62,777	38,235,516	92.2%	3,664,565	41,900,081	100.0%	\$ -
QAQC-CST	-	5,979,028	998,759	6,977,787		153,471	7,131,258		
Administration-Consultant Support	4,784,258	3,934,228	107,375	4,041,602	84.5%	742,656	4,784,258	100.0%	\$ -
NYS Cost Recovery Fee	49,034,025	24,584,547	-	24,584,547	50.1%	24,449,478	49,034,025	100.0%	\$ -
Evaluation - Staff/Overhead & Consultant Support	2,432,827	1,412,637	72,114	1,484,751	61.0%	948,076	2,432,827	100.0%	\$ -
Subtotal - Administration	\$ 105,282,449	\$ 74,083,178	\$ 1,241,025	\$ 75,324,203	71.5%	\$ 29,958,246	\$ 105,282,449	100.0%	\$ -
TOTAL RENEWABLE PORTFOLIO STANDARD	\$ 2,060,543,941	\$ 1,002,826,957	\$ 385,338,414	\$ 1,388,165,370	67.4%	\$ 41,707,651	\$ 1,429,873,022	69.4%	\$ 630,670,919

^a Expended funds include contractor invoices processed for payment by NYSERDA.

^b Encumbered funds include remaining funds obligated under a contract, purchase order, or incentive award.

^c Pre-Encumbered funds include planned funding for contracts awarded and under negotiation.

^d Main Tier RFP Budgets include Contract Commitments as of December 31, 2017 and include contracted funds yet to be disencumbered as a result of Main Tier facility underperformance in 2017.

^e An agreement awarded as a result of RFP 1851 for the Marble River Wind Farm was combined with an agreement awarded under RFP 2226 to reflect an increase in the size of the facility. The committed funding remains separated in the respective solicitation balances shown.

^f Includes \$355,931,139 from Main Tier contract awards originally scheduled to be funded through approved RPS collections but subsequently determined to be Tier 1 REC-eligible projects and being funded through the Clean Energy Standard. Also includes \$149,843,870 in CEF collections originally approved for the 2016 Main Tier solicitation, but for which the projects awarded under the 2016 solicitation were determined to be Tier 1 REC-eligible projects to be funded through the Clean Energy Standard.