

Case No. 12-M-0476 et al.
EDI Business/Technical Working Groups
Workpaper – Moratorium Order 814C Eligibility Status Segment - 8/26/2016

Moratorium Ordering Clause 5 states: Electric and gas distribution utilities that have tariffed provisions providing for retail access are directed to, on a rolling basis, communicate to each energy service company serving customers who subsequently become assistance program participants which accounts the ESCO is no longer eligible to serve, consistent with the discussion in the body of this Order.

Moratorium Ordering Page 15 (in the body of this Order) states: Therefore, within 60 days of the effective date of this Order, the utilities, utilizing their records regarding which customers are enrolled in their low-income program and are served by an ESCO, will *communicate to the ESCO which accounts the ESCO is no longer eligible to serve*. This communication would not infringe on the customer's privacy with respect to their APP status. Similar to the discussion above regarding new enrollments, the ESCO will not be informed that the customer is an APP, but instead will only be informed that a block has been placed on the account. This situation would be similar to one in which an ESCO customer contacts the utility and wishes to have a block placed on their account and to be returned to utility service.

Segment: **REF** Reference Identification (Reason for Change - Account Level)
Position: 030
Loop: LIN Optional (Must Use)
Level: Detail
Usage: Optional (Dependent)
Max Use: >1
Purpose: To specify identifying information
Syntax Notes: 1 At least one of REF02 or REF03 is required.
2 If either C04003 or C04004 is present, then the other is required.
3 If either C04005 or C04006 is present, then the other is required.
Semantic Notes: 1 REF04 contains data relating to the value cited in REF02.
Notes: Request: Conditional
Response: Optional

This segment is used to identify the data segment(s) sent at the account level that are being changed. See page 48 of this Implementation Guide for a description of the codes used to identify a change in the data segment(s) sent at the meter level.
REF~TD~N18R

Data Element Summary				
	Ref. Des.	Data Element	Name	Attributes
Mand.	REF01	128	Reference Identification Qualifier	M ID 2/3
			TD Reason for Change	
Must Use	REF02	127	Reference Identification	X AN 1/30
			AMT7	
			Change ESCO Pricing Adjustment Credit Used to report a credit to the customer's account when the ESCO has charged a customer that receives service subject to a price	

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	gaurantee guarantee more than what the customer would have paid the utility and the ESCO is required to provide a credit to the customer. The Utility will include this one-time credit on the customer's next bill.
AMT9M	Change Customers' Tax Rate 1 Utility Rate Ready Consolidated Billing Only. Used to report a change in the customer's tax rate when the utility is calculating ESCO charges. The 9M code may also be used to report a change in the residential tax rate applicable to a portion of the service (i.e. a REF*RP was present in the Enrollment transaction) when URR billing is in effect. The AMT9N code may be used to report a change in the portion of the account taxed at a commercial rate.
AMT9N	Change Customers' Tax Rate 2 Utility Rate Ready Consolidated Billing Only. This code may only be used to report a change in the customer's tax rate applicable to the portion of the service taxed at a commercial rate in instances when part of the service is taxed at a residential rate and the balance at a commercial rate (i.e. REF*RP was present in the Enrollment transaction). The AMT9M code may be used to report a change in the residential tax rate on that account.
AMTB5	Change ESCO Budget Plan Installment Amount Supported only if indicated within a utility's Utility Maintained EDI Guide.
AMTBD	Change ESCO Budget Plan Balance Supported only if indicated within a utility's Utility Maintained EDI Guide.
AMTDP	Change Percentage of Service Tax Exempt
AMTFW	Change ESCO Fixed Charge
AMTKZ	Change ICAP ELECTRIC Service Only.
AMTRJ	Change ESCO Commodity Price
DTM007	Change Effective Date
DTM150	Change Service Period Start Date Enrollment is pending. This code is used by the Utility to report a change in the Assigned Service Start Date.
DTM151	Change Service Period End Date A Drop is pending. This code is used by the Utility to report a change in the Assigned Service End

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	Date.
N18R	Change Contact Information or Service Address Use this code when the Customer contact information, e.g. name, phone, fax or email, on the account is being changed and/or a change of service address is being reported.
N1BT	Change Contact Information for Mailing or Mailing Address Use this code when the Customer contact information, e.g. name, phone, fax or email, for mailing and/or the mailing address is being changed.
REF11	Change ESCO Customer Account Number
REF12	Change Utility Account Number
REF65	Change Meter Read Cycle
REFBF	Change Bill Cycle
REFBLT	Change Billing Type (Bill Presenter)
REFGC	Change Gas Capacity Assignment/Obligation GAS Service Only
REFU	Change Industrial Classification Code
REFLF	Change ESCO Late Fee Policy Supported only if indicated within a utility's Utility Maintained EDI Guide.
REFNR	Change Current Budget Billing Status
REFPC	Change Party that Calculates the Bill (Bill Calculator)
REFPGC	Change Partial Participation Portion
REFRP	Change Portion Taxed Residential
REFSG	Change Utility Discount Indicator
REFSPL	Change ISO LBMP Zone ELECTRIC Service Only
REFSU	Change Special Processing Code Use this code to report a change in the Customer's Life Support status (Single Retailer Model)
REFTDT	Change Account Settlement Indicator
REFTX	Change Utility Tax Exempt Status
REFVI	Change Gas Pool ID GAS Service Only
REFYP	Change NYPA Discount Indicator
<u>REFZV</u>	<u>Change in Customer Service Eligibility for ESCO Service</u>

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Segment:	REF Reference Identification (Customer Eligibility for Service)
Position:	030
Loop:	LIN Optional (Must Use)
Level:	Detail
Usage:	Optional (Dependent)
Max Use:	1
Purpose:	To specify identifying information
Syntax Notes:	1 At least one of REF02 or REF03 is required. 2 If either C04003 or C04004 is present, then the other is required. 3 If either C04005 or C04006 is present, then the other is required.
Semantic Notes:	1 REF04 contains data relating to the value cited in REF02.
Notes:	Change Request: Conditional Change Accept Response: Not Used When a customer becomes ineligible for ESCO service or an ineligible ESCO customer is reclassified as eligible, the utility must inform the ESCO that it is placing or removing a block on the account. When the customer becomes ineligible, the ESCO is required to drop the customer at the expiration of its contract with the customer. Conversely, if an ineligible customer served by an ESCO is reclassified as eligible before the ESCO drops the customer, the utility will remove the block and the ESCO is no longer required to drop the customer. In its Utility Maintained EDI Guide, the utility should identify whether the block placed is solely for enrollment or includes a block on customer historic usage. REF~ZV~EB~A REF~ZV~CAB~D

Data Element Summary					
	Ref. Des.	Data Element	Name		Attributes
Mand.	REF01	128	Reference Identification Qualifier		M ID 2/3
			ZV	Block	
				The utility has implemented a change in block status.	
Must Use	REF02	127	Reference Identification		X AN 1/30
			EB	Change to Enrollment Block status.	
			CAB	Change to Comprehensive Block Status or simultaneous change to Enrollment and Historic Usage Block status.	
Must Use	REF03	352	Description		X AN 1/80
			A	Block status added.	
			D	Block status deleted or removed.	