Excerpt from 3/20/2015 Minutes:

ESCO Assistance Program Participant Credits

The Working Group reviewed a workpaper presenting modifications to the Account Change Business Process document. Discussion focused on the Negative Response Process Output. While there was agreement that the ESCO was responsible for providing the credit directly to the customer in cases where the utility was no longer billing the customer, utilities were unsure if they could process an APP Credit if the ESCO providing the credit was no longer serving the customer. While it may be possible to show the APP Credit on the customer's bill, the lack of an 867 MU for the ESCO issuing the credit creates a barrier for creation of an 810 invoice transaction for that ESCO. After some discussion, it was determined that this issue was not limited to the Utility Rate Ready model; the same barrier exists if the APP Credit is received after the final Utility Bill Ready billing window. There was limited discussion about revisiting the decision in a prior meeting to not modify the 820 transaction but since it was believed that most APP Credits would be processed on 810 Invoices, the prior decision held.

Proposal:

Assume that Utility will address the lack of 867 MU either by accepting APP Credits from ESCOs via a web portal or relaxed 814C processing rules.

In cases where the ESCO issuing the APP Credit is no longer serving the customer, since there would be no 810 Invoice upon which to reflect the APP credit, use a modified 248 Application Advice transaction to inform ESCO that the APP Credit has been provided on a customer bill and thereby, provide notify the ESCO of the amount or POR payment reduction resulting from the APP Credits.

Segment:REF Reference Identification (Assignment Reason Code)

Position:	170
Loop:	DTP Optional (Dependent)
Level:	Detail
Usage:	Optional (Dependent)
Max Use:	1
Purpose:	To specify identifying information
Syntax Notes:	1 At least one of REF02 or REF03 is required.
	2 If either C04003 or C04004 is present, then the other is required.
	3 If either C04005 or C04006 is present, then the other is required.
Semantic Notes:	1 REF04 contains data relating to the value cited in REF02.
Comments:	
Notes:	Conditional

> This segment is sent in this DTP loop when BHT06=NO (Notice) to indicate the nature of the amount being reassigned to the non-billing party for collection.
>
> REF~22~20
> REF~22~55
> REF~22~D6

Data Element Summary

Mand.	Ref. Des.	Data Element	Name		Attributes
Mand.	**REF01**	**128**	**Reference Identification Qualifier**	**M**	**ID 2/3**
			22 Special Charge or Allowance Code		

> Assignment Reason
>
> The codes in REF02 describe the nature of the amount being reassigned to the non-billing party for collection.

Mand.	Ref. Des.	Data Element	Name		Attributes
Mand.	**REF02**	**127**	**Reference Identification**	**X**	**AN 1/30**
			20 Balance Due Declined		

> Reassignment of Pre-POR Balance
>
> The ESCO balance outstanding on the account indicated in REF*12 as of the date of the change to ~~the Purchase Receivables method~~POR could not be collected by the billing party and is being reassigned to the non-billing party for collection.

| | | | 55   Tax Deducted | | |

> Reassignment of Unpaid Taxes
>
> Taxes billed but not collected from the customer are being reassigned to the non-billing party for collection. The non-billing party may seek recovery of unpaid tax amounts by filing a claim directly with the taxing authority.

D6	Reassignment of "Lesser Than" Amounts
	"Lesser Than Amounts" Unrecovered from Customer (Residential Customers Only) The amount being reassigned to the non-billing party for collection is the difference between the total amount due from the account indicated in REF*12, as stated in a disconnection notice, and the amount actually collected from the customer to restore service. To restore service a residential customer may pay the amount they would have been billed for bundled utility delivery and commodity service (the "lesser of amount") for the period designated in the disconnection notice. The difference between the amount collected from the customer to restore service and the total amount owed for combined utility delivery and ESCO commodity charges is being reassigned to the non-billing party for collection.
AP	Assistance Program Credits
	The Assistance Program Credit amount provided to a Customer (Residential Customers Only) on a utility bill for customers no longer served by the ESCO issuing the credit.

Segment: **AMT** **Monetary Amount (Invoice Amount)**

Position:	150
Loop:	DTP Optional (Dependent)
Level:	Detail
Usage:	Optional (Dependent)
Max Use:	1
Purpose:	To indicate the total monetary amount
Syntax Notes:	
Semantic Notes:	
Comments:	
Notes:	Conditional

Required in each DTP Loop where the DTP*003 segment is being sent (information provided at an invoice level). The sum of all amounts sent in AMT02 elements in this DTP loop must equal the total amount for the transaction contained in element BAL03 in the BAL*CD segment.

AMT*5*100

Data Element Summary

	Ref. Des.	Data Element	Name		Attributes	
Mand.	AMT01	522	Amount Qualifier Code		M	ID 1/3
			5 Total Invoice Amount			
Mand.	AMT02	782	Monetary Amount		M	R 1/18
			Invoice Amount			

This element is a real number. Real numbers are assumed to be positive numbers and a minus (-) sign must precede the amount when a negative number is being sent. Real numbers do NOT provide for an implied decimal position; a decimal point must be sent when decimal precision is required. When transmitting a real number it is not necessary, but is acceptable, to transmit insignificant digits such as leading and/or trailing zeros.

Note: It does not appear as if a change is necessary; the APP Credit would be shown in this segments and is essentially the "Total Invoice Amount".

Segment:BAL Balance Detail (Amount)

Position:	110
Loop:	HL Mandatory
Level:	Detail
Usage:	Optional (Must Use)
Max Use:	1
Purpose:	To identify the specific monetary balances associated with a particular account
Syntax Notes:	
Semantic Notes:	
Comments:	
Notes:	Required

This segment is used to report the amount of the customer's account balance that the billing party is no longer responsible for collecting or applying. The amount in BAL03 may be the total balance on the account or only a portion of the balance. When AMT segments will be used to report invoice details, the total of the invoice amounts reported in the AMT segments must equal the amount sent in the BAL03 element in this BAL segment.

BAL*CD*BD*325.67 Balance reported is a debit balance of $325.67
BAL*CD*BD*-50.6   Balance reported is a credit balance of $50.60

Data Element Summary

	Ref. Des.	Data Element	Name		Attributes	
Mand.	BAL01	951	**Balance Type Code**		M	ID 1/2
			CD	Cycle to Date		
Mand.	BAL02	522	**Amount Qualifier Code**		M	ID 1/3
			BD	Balance Due		
Mand.	BAL03	782	**Monetary Amount**		M	R 1/18

This is the amount outstanding on the customer's account with the non-billing party that is being reassigned to the non-billing party to collect or apply.

When the BHT segment indicates this is a "final notice", the amount reported in BAL03 may be either a debit or credit balance. When the BHT segment indicates this is a "notice", the relationship between the billing and non-billing parties is expected to continue but an individual account receivable is being reassigned to the non-billing party for collection. In this instance, the amount reported in BAL03 should be a debit balance (positive number).

This element is a real number. Real numbers are assumed to be positive numbers and a minus (-) sign must precede the amount when a negative number is being sent. Real numbers do NOT provide for an implied decimal position; a decimal point must be sent when decimal precision is required. When transmitting a real number it is not necessary, but is acceptable, to transmit insignificant digits such as leading and/or trailing zeros.

*Note/question: The APP Credit is not actually changing the specific monetary balances associated with a particular account but the segment is required; should the segment be sent as BAL*CD*BD*0 ?*