

BUSINESS PROCESSES – PRICING HISTORY

PROCESS NUMBER:	HP0
PROCESS NAME:	PROCESS PRICING HISTORY REQUEST -PARENT PROCESS
	<p><u>Negative Response to an 503 transaction:</u></p> <p>The 503 transaction sent in response to an 503 Pricing History Request may be rejected (via an 824 transaction) for the following errors:</p> <ul style="list-style-type: none"> • Utility Account Invalid or Not Found • Invalid Relationship (i.e. supplier-ESCO did not request this customer's data) • Account Does Not Have Service Requested (transaction does not reflect the correct commodity type) • Duplicate Received • Other (must be accompanied by text explanation in an NTE segment)
SUB OR PRECEDING PROCESSES:	
PROCESS RULES:	<p>[UBPs: CI B., CI B.3, CI C.1.b., CI E. & CI F.] Customer Authorization Process. The distribution utility shall provide information about a specific customer requested by an ESCO authorized by the customer to receive the information.</p> <p>[UBP CI B.3.] An ESCO shall retain, for a minimum of two years, verifiable proof of authorization for each customer.</p> <p>[UBP CI C.1.b.] The distribution utility or MDSP shall respond within two business days to valid requests for information as established in EDI transaction standards and within five business days to requests for data and information for which an EDI transaction standard is not available. The distribution utility shall provide the reason for rejection of any valid information request.</p> <p>[UBP CI C.2.CWG] <u>Customer Information Set</u>. The distribution utility, to the extent it possesses the information, shall provide, upon an ESCO request, Pricing History for an electric account and Pricing History for a gas account.</p> <p>[UBP CI C.2.a.CWG] Pricing History for an electric or gas account shall include, to the extent applicable:</p> <ol style="list-style-type: none"> 1. Electric or gas account indicator;

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	<p>2. Customer Account Numbers (ESCO, Utility, previous Utility);</p> <p>3. Utility Account Number for ESCO;</p> <p>4. Names (Customer, Utility, ESCO); and</p> <p>5. 24 months, or the life of the account, whichever is less, of customer data consisting of Amounts (Utility Full Service Bill Amount, Total Billed Amount, Utility Supply Charges and ESCO Supply Charges) via EDI.</p> <p>[UBP C.I.E.] Charges for Customer Information—No distribution utility shall impose charges upon ESCOs or Direct Customers for provision of the information described in the [Customer Information] Section. The distribution utility may impose an incremental cost based fee, authorized in tariffs for an ESCO's request for customer data for a period in excess of 24 months or for detailed interval data per account for any length of time.</p> <p>———[UBP C.I.F.] An ESCO, its employees, agents, and designees, are prohibited from selling, disclosing or providing any customer information obtained from a distribution utility, in accordance with this Section, to others, including their affiliates, unless such sale, disclosure or provision is required to facilitate or maintain service to the customer or is specifically authorized by the customer or required by legal authority. If such authorization is requested from the customer, the ESCO shall, prior to authorization, describe to the customer the information it intends to release and the recipient of the information.</p> <p>[CWG] The response to a request for Pricing History for accounts which are generally billed off-line will NOT be processed using EDI.</p> <p>[CWG] Arrangements for access to a customer's historical pricing data in excess of the up to 24 months must be made directly with the applicable utility since this data may not be returned in a TS503 transaction.</p> <p>-[CWG] Requests for Pricing History for the most recent up to 24 months may be sent, and will be processed, via EDI. Requests for Pricing History for periods in excess of the up to 24 months period generally will NOT be processed via EDI.</p> <p>[CWG] There may be one commodity (electric or gas) for each Pricing History Request transaction.</p>

BUSINESS PROCESSES – PRICING HISTORY**Scenario B – ESCO Rejection of Utility Pricing History Response Transaction**

The following represents the steps involved in providing Pricing History to the ESCO when the request is made using a 503 Pricing History request transaction. The Utility will respond to the Pricing History request with a 503 Response transaction indicating one of the following:

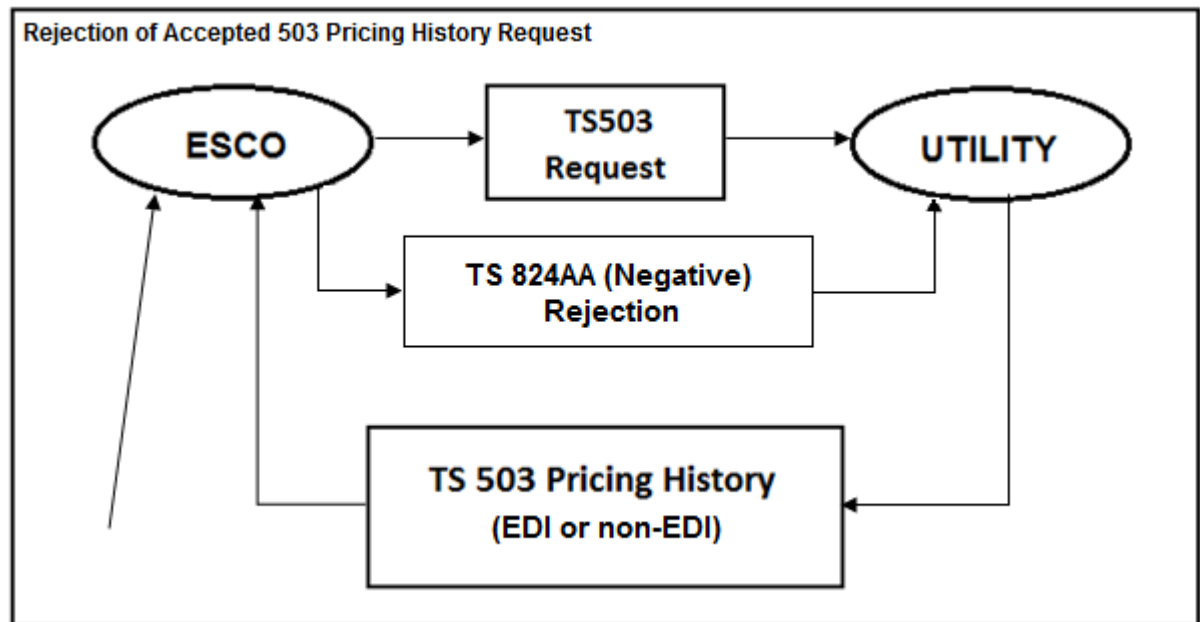
- The Request has been accepted (ASI=WQ) (a 503 containing the data will be sent).

The ESCO, upon examination of the 503 Response, determines that the information provided is not a valid response to its initial request.

This diagram depicts the process:

Notes:**Process Steps**

- ESCO sends 503 Request to the Utility.
- Utility processes Historic Pricing request and sends a 503 positive or negative response.
- If 503 Response is positive, Utility sends the Pricing History.
- If a valid rejection reason exists, ESCO sends 824AA (Negative) response.



- All EDI transactions require that the recipient return a TS997 Acknowledgement.
- Refer to the Pricing History Implementation Guide and/or the Implementation Guide for Pricing History Request & Response.