

Case No. 12-M-0476 et al.  
 EDI Business/Technical Working Groups  
 TOP Supplement 8 - Proposed Certification Changes – Phase I Testing Application  
 11/9/2018

**ESCO Information:**

<b>Date</b>	
<b>Principal Contact (name &amp; title)</b>	
<b>Company Name</b>	
<b>Company Business Address</b>	
<b>Duns or Tax ID #</b>	

**EDI Contact Information:**

Provide names and contact information of persons responsible for Phase I testing, including EDI vendors.

	Name/Title	Company/Description of Function(s) Performed	Phone	Email address
(1)				
(2)				
(3)				

**Readiness Status:**

**Phase I X.12 Syntactical testing**

Indicate projected readiness  
 date for required EDI  
 transactions Indicate  
 requested date to begin  
 Phase I Testing

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\_\_\_\_\_

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**Established EDI Service Provider (EDISP) Certification:**

<b>Date</b>	
<b>EDISP Contact (name &amp; title)</b>	
<b>EDISP Name</b>	
<b>EDISP Business Address</b>	

===== [ SECTION BELOW COMPLETED BY UTILITY ] =====

**Utility Acceptance/Certification:**

<b>Date</b>	
<b>Utility Contact (name &amp; title)</b>	
<b>Utility Name</b>	
<b>Utility Business Address</b>	

**Supplemental Phase III Certification:**

Item	Date Certified
814E; 814D; 814HU; 824AA Transactions	
814C; 814R Transactions	
Utility Rate Ready Transactions	
Utility Bill Ready Transactions	
Single Retailer Billing Transactions	

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**BASIC INSTRUCTIONS**

1. The applicant ESCO should complete the first page of the application.
2. If the applicant ESCO plans to utilize an EDI Service Provider (EDISP) and the EDISP plans to certify the ESCO's Phase I capability, the EDISP should fill out the Established EDI Service Provider (EDISP) Certification section on the second page.
3. When the Utility is satisfied that the applicant ESCO is Phase I capable, either a result of testing or the EDISP's certification, it should complete the Utility Acceptance/Certification section on the second page, including the results of any contemporaneous Phase II testing, and provide the completed ESCO Phase I Testing Application to DPS Staff.

**NOTES**

The Phase I Testing Rules and a list of Established EDI Service providers are available from the New York EDI website.