

**Case No. 12-M-0476 et al.**  
**EDI Business/Technical Working Groups**  
**Workpaper – 503 Transaction Technical Team Discussion - 5/13/2016**

Proposed Direction:

- A. The proposed direction is that the overall approach will remain the same previously planned, i.e. a 503 Response will be provided in response to valid 503 Request. Modifications to be considered are as follows:
  - a. Renaming some items to reflect usage broader than for APP Credits only.
  - b. Utilities will have to option to respond as follows:
    - i. the full 24 months of data on the 503 response (for the months the ESCO served the customer) or
    - ii. based upon the history of the ESCO's 503 request, only send them most updated data.
    - iii. Utilities should note in their Utility Maintained EDI Guides which approach they've chosen.

B. Additional 503 Oriented Questions:

- 1) The company proposing that ESCO Pricing Adjustment Credits (including APP Credits) be reflected in the 503 transaction has determined that at this time, the processing involved appears more complex than originally involved and would significantly delay implementation of the 503 transaction as it is currently designed. It has also determined that the addition of begin date and end dates to the 814C transaction used by the ESCO to communicate the credit to the utility would have a similar effect on implementation of that transaction.

As such, the proposal is being withdrawn. This would not preclude reconsideration of these proposals at some future date if business conditions warranted.

QUESTION: Does any party believe it is essential that the EDI Working Group develop the proposed enhancements at this time?

- 2) In the regulatory arena, some parties have suggested that rate ready utilities be required to switch to the bill ready model because to address billing of services in addition to commodity. Further, Staff proposes that it is necessary to distinguish between the commodity portion of ESCO charges and other energy related value added charges (see STAFF WHITEPAPER ON BENCHMARK REFERENCE PRICES). Some believe that the framework developed for APP Credits could accomplish this proposed requirement.

QUESTIONS:

- a. Should the EDI Working Group add codes to the 814C to accommodate other charge and credits (and to distinguish among them) or should it stick to the APP Credit-only formulation (renamed as ESCO Pricing Adjustment) and not distinguish different types of credits?
- b. Is there a middle ground, i.e. can a framework be set up whereby the only credit that would be initially processed would be the APP Credit?