Case No. 12-M-0476 et al.

EDI Business/Technical Working Groups
Workpaper – ICAP Tag Questions
20160520 Meeting

| | Central Hudson | Con Edison | National Grid | NYSEG | O&R | RG&E |
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| | 814 Related Questions - ICAP Tags are effective in ISO-NE from 6/1 through 5/31 via 814 | | | | | |
| When are 814 Changes sent for the new ICAP Tags? | They will be sent by mid April. | The new ICAP tags should be ready shortly. I have been working with our IT Department on a process for the 814C, as sending all of them out at once would "choke" our system. | Two weeks prior to the end of April. | New values will be processed towards the end of April in multiple batches until the end of the month. | The first business day of May | New values will be processed towards the end of April in multiple batches until the end of the month. |
| If any customers enroll after 814 Changes are sent, is an 814 Change sent to that new supplier for the future value(s)? | Only if the ICAP has changed since the enrollment, otherwise the ICAP on the enrollment is the most current. | The ICAP Tag is included in the 814E Response | No, the new ICAP tag value will be outlined in the 814E acknowledgment response and 867HU response. | nending ESCO (if exists) for an | O&R sends Change Transactions to active ESCOs only not to pending ESCOs | Change requests will be sent out to the current ESCO as well as a pending ESCO (if exists) for an account. |
| Is there an exact date that you stop sending the current ICAP tag on the 814 Enrollment Response and start sending the new ICAP Tag? | This is based on when we make the changes in our system which will be before mid April. | No, the new ICAP Tag is sent when they have been updated in our | No, the new ICAP tag value will be out lined with in the 814E acknowledgment response when they are updated in our billing system. | Current and Future ICAP Tags are sent in April; otherwise only Current ICAP Tags are sent | April 30 th | Current and Future ICAP Tags are sent in April; otherwise only Current ICAP Tags are sent |
| If you send 814 Change transactions for ICAP, would you include an 814 Change transaction for a customer account where the ICAP value did not change? | Yes. | The 814C will be sent, even if the ICAP Tag is the same, as the period will have changed. | Yes, an 814C will be sent, even if the ICAP Tag stays the same, as the period will have changed. | | No. A change transaction is send only when a value changes | Yes |

| | Historical Usage Related Questions | | | | | |
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| Are there any limits to the number of times that a supplier may request HU/HIU for an account? | No | No there are not. | No, but if an we receive a duplicate request on the same day the second request will be rejected | No | No | No |
| Are there any limits to the number of 814 HU/HIU requests that may be sent on a single day? | No, but if a large request file is sent, can you please notify Central Hudson so that we can monitor the file. | No | No, but if an we receive a duplicate request on the same day the second request will be rejected | None but ESCOs should check with customer service reps first | NO. | None but ESCOs should check with customer service reps first |
| Are there any charges associated with requesting 867 HU? | No. | Not if the time period requested is the default history (12 months). | No | No | No | No |
| Are there any charges associated with requesting 867 HIU? | We do not use 867 HIII. | CECONY currently does not allow for this option. | NGrid does not support 867HIU. | | N/A, O&R does not send HIU through EDI | N/A, RG&E does not send HIU through EDI |
| ote: HIU = Historic Interval Usage | | | | | | |

| Ì | | Weather Normalization | | | | | |
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| | Is the supplier expected to perform any calculations to weather normalize the ICAP (PLC) Tag? | No | | No, no adjustments are required to the ICAP Tags. | No | No | No |