

Technical Operating Profile

For Electronic Data Interchange In New York

Supplement 1

**Phase II and III Test Procedures – All Transaction Standards
Connectivity Tests**

Test Scenarios Applicable to:

TS814E, TS814D, TS814HR, TS867HU, and TS867MU

Version 1.56

August~~March~~ 31, 2017

transactions available for Phase III testing with interested ESCOs who have been Phase I certified and are now ready to begin trading partner testing with that Utility.

Phase II Testing may also refer to utility-based testing of EDI transaction(s) not specified within the TOP Supplements, e.g. non-mandatory transactions, that a utility may elect to test with an individual or subset of ESCOs and/EDISPs prior to general Phase III testing of the same transaction with all other ESCOs and/or EDISPs.

- ***Phase III: ESCO Verification Process***

Phase III testing will be conducted between each Utility and all eligible ESCOs approved to participate in the particular Utility's gas or electric retail access programs. The purpose of Phase III testing is to ensure that each ESCO is prepared to exchange production EDI data for the relevant commodities and business transactions. The parties will test the New York DTM (data transfer mechanism) and all applicable business scenarios. The Utility has primary responsibility for specifying the volumes and variations of tests to be completed (Utility-specific testing instructions must be made available to each ESCO in an easily accessible manner, such as from the Utility's web site). ESCOs ready for Phase III testing may be placed by the Utility in queued 'batches' to execute test scenarios and frames, within their assigned batch, until all scenarios and frames are successfully completed. Alternatively, utilities may establish test schedules for individual test applicants on an as needed basis. Upon successful completion of all Phase III test requirements, both the Utility and the ESCO will notify each other, and DPS Staff, by email, indicating that both parties have satisfied all test requirements and that the ESCO can move into EDI production. ESCOs will generally not be required to repeat successful Phase III testing, except, as noted above, when there has been a change in their third party EDISP or unless directed to do so by Commission order for example when new standard(s) or major changes in one or more existing New York standards are adopted.⁵

Notwithstanding the above, a shortened version of testing is acceptable when a Utility is testing with an experienced ESCO or third party EDISP. Additionally, utilities may supplement the testing scenarios contained in this or other New York EDI Standards Technical Operating Profile documents in their Utility Maintained EDI Guides. Utilities are encouraged to keep their test plans up-to-date and consistent with their current implementations.

Phase III Testing may also refer to utility-based testing of EDI transaction(s) not specified within the TOP Supplements, e.g. non-mandatory transactions, that a utility may elect to test all ESCOs and/or EDISPs, sometimes following Phase II Testing with an individual or subset of ESCOs and/EDISPs of the same transaction(s).

⁵ As new EDI transaction standards and related test procedures are adopted by the Commission, all parties will be required to successfully complete testing on these new transactions when appropriate. The Change Control Process, administered through New York's EDI Working Group, will be the process to handle EDI standard revisions on a collective, ongoing basis.