

Case No. 12-M-0476 et al.
EDI Business/Technical Working Groups
814C Gray Box Note Modifications
9/21/2018

Gray Boxed conventions standardized with the 814C IG using the convention:

Utility Request:

ESCO Response:

ESCO Request:

Utility Response:

Segment: **ST** Transaction Set Header

Position: 010

Loop:

Level: Heading

Usage: Mandatory

Max Use: 1

Purpose: To indicate the start of a transaction set and to assign a control number

Syntax Notes:

Semantic Notes: 1 The transaction set identifier (ST01) is used by the translation routines of the interchange partners to select the appropriate transaction set definition (e.g., 810 selects the Invoice Transaction Set).

Notes: Utility Request: Conditional
ESCO Response: Optional

ESCO Request: Required
Utility Response: Required

ST~814~0001

Data Element Summary

	<u>Ref.</u>	<u>Data</u>	<u>Name</u>	<u>Attributes</u>
Mand.	ST01	143	Transaction Set Identifier Code	M ID 3/3
			814 General Request, Response or Confirmation	
Mand.	ST02	329	Transaction Set Control Number	M AN 4/9
			Identifying control number assigned by the originator of a transaction set. This identifier must be unique for each transaction set within a functional group.	

Segment: **BGN** Beginning Segment
Position: 020
Loop:
Level: Heading
Usage: Mandatory
Max Use: 1
Purpose: To indicate the beginning of a transaction set
Syntax Notes: 1 If BGN05 is present, then BGN04 is required.
Semantic Notes: 1 BGN02 is the transaction set reference number.
2 BGN03 is the transaction set date.
3 BGN04 is the transaction set time.
4 BGN05 is the transaction set time qualifier.
5 BGN06 is the transaction set reference number of a previously sent transaction affected by the current transaction.

Notes: Utility Request: Required
ESCO Response: Required

ESCO Request: Required
Utility Response: Required

Request: BGN~13~20000301145101~20060917
Response: BGN~11~20000402072434~20060919~~~20000301145101

Data Element Summary

Ref.	Data			
<u>Des.</u>	<u>Element</u>	<u>Name</u>		<u>Attributes</u>
Mand.	BGN01	353	Transaction Set Purpose Code	M ID 2/2
		11	Response	
		13	Request	
Mand.	BGN02	127	Reference Identification	M AN 1/30
			A unique transaction identifier number assigned by the originator of this transaction.	
			The BGN02 element from a Request transaction is placed in the BGN06 element in the corresponding Response transaction(s) sent in response to the Request transaction.	
Mand.	BGN03	373	Date	M DT 8/8
			The date the transaction was created by the sender's applications system in the form CCYYMMDD.	
Cond.	BGN06	127	Reference Identification	O AN 1/30
			Refers to the BGN02 identification number of the original Request. This element is "Not Used" on Request transactions but is "Required" on Response transactions.	

Segment: **N1** Name (ESCO)
Position: 040
Loop: N1 Optional (Must Use)
Level: Heading
Usage: Optional (Must Use)
Max Use: 1
Purpose: To identify a party by type of organization, name, and code
Syntax Notes: 1 At least one of N102 or N103 is required.
2 If either N103 or N104 is present, then the other is required.

Semantic Notes:
Notes:

Utility Request: Required

ESCO Response: Required

ESCO Request: Required

Utility Response: Required

N1~SJ~~24~123456789

N1~SJ~ESCO NAME~24~123456789

Data Element Summary

	Ref.	Data			
	Des.	Element	Name		Attributes
Mand.	N101	98	Entity Identifier Code		M ID 2/3
			SJ	Service Provider	
				Identifies name and address information as pertaining to a service provider for which billing is being rendered	
				Identifies the ESCO participating in this transaction.	
Optional	N102	93	Name		X AN 1/60
			Free Form ESCO Company Name		
			Supplemental text information supplied, if desired, to provide "eyeball" identification of the ESCO. It is not necessary for successful completion of the transaction but may be provided by mutual agreement between trading partners.		
Must Use	N103	66	Identification Code Qualifier		X ID 1/2
			1	D-U-N-S Number, Dun & Bradstreet	
			9	D-U-N-S+4, D-U-N-S Number with Four Character	
				Suffix	
			24	Employer's Identification Number	
				Federal Tax ID	
Must Use	N104	67	Identification Code		X AN 2/80
			The D-U-N-S number or the Federal Tax ID		

Segment: **N1** Name (Utility)
Position: 040
Loop: N1 Optional (Must Use)
Level: Heading
Usage: Optional (Must Use)
Max Use: 1
Purpose: To identify a party by type of organization, name, and code
Syntax Notes: 1 At least one of N102 or N103 is required.
 2 If either N103 or N104 is present, then the other is required.

Semantic Notes:**Notes:**Utility Request: RequiredESCO Response: RequiredESCO Request: RequiredUtility Response: Required

N1~8S~~24~012345678

N1~8S~UTILITY COMPANY~24~912345678

Data Element Summary

	Ref.	Data	Name	Attributes
Mand.	Des.	Element		
	N101	98	Entity Identifier Code	M ID 2/3
			8S Consumer Service Provider (CSP)	
			Identifies the Utility participating in this transaction.	
Optional	N102	93	Name	X AN 1/60
			Free Form Utility Company Name	
			Supplemental text information that may be supplied to provide "eyeball" identification of the Utility. It is not necessary for successful completion of the transaction but may be provided by mutual agreement between trading partners.	
Must Use	N103	66	Identification Code Qualifier	X ID 1/2
			1 D-U-N-S Number, Dun & Bradstreet	
			9 D-U-N-S+4, D-U-N-S Number with Four Character Suffix	
			24 Employer's Identification Number	
			Federal Tax ID	
Must Use	N104	67	Identification Code	X AN 2/80
			The D-U-N-S number or Federal Tax ID	

Segment: **N1** Name (Customer)
Position: 040
Loop: N1 Optional (Dependent)
Level: Heading
Usage: Optional (Dependent)
Max Use: 1
Purpose: To identify the customer in this transaction.
Syntax Notes: 1 At least one of N102 or N103 is required.
 2 If either N103 or N104 is present, then the other is required.

Semantic Notes:**Notes:**Utility Request: ConditionalESCO Response: Not UsedESCO Request: Not UsedUtility Response: Not Applicable

This segment must be sent in a Request when a change in the customer name associated with the account (Utility or ESCO) or service address (Utility) or customer telephone number (Utility or ESCO) is being reported.

N1~8R~NAME

N1~8R~JOHN SMITH

N1~8R~DAIMLER-BENZ NA CORP

Data Element Summary

	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
Mand.	N101	98	Entity Identifier Code 8R Consumer Service Provider (CSP) Customer Identify the end use customer targeted by this transaction.	M ID 2/3
Mand.	N102	93	Name The new customer name or the literal "NAME". When a change in service address is being sent, an N1 segment (in addition to the N3 and N4 segments) is required. For address changes the N102 element may contain the actual customer name or the literal 'NAME'.	M AN 1/60

Segment: **N3** Address Information (Service Address)
Position: 060
Loop: N1 Optional (Dependent)
Level: Heading
Usage: Optional (Dependent)
Max Use: 1
Purpose: To specify the location of the named party
Syntax Notes:
Semantic Notes:
Notes:

Utility Request : Conditional
ESCO Responses: Not Used

ESCO Request: Not Used
Utility Response: Not Applicable

The N3 and N4 segments within the N1*8R loop are sent when the Utility is reporting a change in service address and must be accompanied by an N1*8R segment.
N3~375 PARK AVE
N3~OUTDOOR - PETER COOPER VILLAGE CORNER OF EAST 20TH & FI~RST AVENUE

Data Element Summary

	Ref.	Data	Name	Attributes
	Des.	Element		
Mand.	N301	166	Address Information	M AN 1/55
			Customer Service Address	
Cond.	N302	166	Address Information	O AN 1/55
			Service address overflow	

Segment: **N4** Geographic Location (Service Address)
Position: 070
Loop: N1 Optional (Dependent)
Level: Heading
Usage: Optional (Dependent)
Max Use: 1
Purpose: To specify the geographic place of the named party
Syntax Notes: 1 If N406 is present, then N405 is required.
Semantic Notes:
Notes:

Utility Request: Conditional

ESCO Responses: Not UsedESCO Request: Not UsedUtility Response: Not Applicable

N4~NY~NY~10152

N4~MYCITY~NY~19123

N4~MYCITY~NY~191230123

Data Element Summary

Ref.	Data		
<u>Des.</u>	<u>Element</u>	<u>Name</u>	<u>Attributes</u>
Must Use	N401	19 City Name	O AN 2/30
Must Use	N402	156 State or Province Code	O ID 2/2
Code (Standard State/Province) as defined by appropriate government agency. A utility may elect to populate this element with:			
<ul style="list-style-type: none"> State codes. State codes within their service territory, i.e. NY or PA. 			
Otherwise, this element will not be sent by other Utilities.			
Must Use	N403	116 Postal Code	O ID 3/15
Code defining international postal zone code excluding punctuation and blanks (zip code for United States). Utilities will populate this element only with US zip code information, unless a utility elects to populate this element with:			
<ul style="list-style-type: none"> US zip codes and Canadian or other foreign postal codes. US zip code information only when the mailing address is located within their service territory. 			

Segment: **PER** Administrative Communications Contact (Customer Phone Number)
Position: 080
Loop: N1 Optional (Dependent)
Level: Heading
Usage: Optional (Dependent)
Max Use: 1
Purpose: To identify a person or office to whom administrative communications should be directed
Syntax Notes:

- 1 If either PER03 or PER04 is present, then the other is required.
- 2 If either PER05 or PER06 is present, then the other is required.
- 3 If either PER07 or PER08 is present, then the other is required.

Semantic Notes:**Notes:**Utility Requests: ConditionalESCO Responses: Not UsedESCO Request: Not UsedUtility Response: Not Applicable

This segment may be sent by the utility to provide the customer's telephone number, Facsimile Number and/or Email Address when this information was unavailable at the time an enrollment request was processed or to correct such information previously sent in an Enrollment Accept Response transaction. This segment may be sent by an ESCO to provide the correct or missing information when the information provided in an Enrollment Response has been determined to be incorrect or absent. This segment is sent in an N1 (Customer) Loop and must be accompanied by an N1*8R segment.

The format for phone/facsimile numbers is area code + 7 digit number (xxxxyyzzzz).

If a change is made to this segment, the N18R code is transmitted in the REF*TD segment at position 030.

In its Utility Maintained EDI Guide, a utility will indicate if it accepts and/or sends Change Requests containing the Customer's Telephone/Facsimile number and/or Email Address.

PER~IC~~TE~8455551212

PER~IC~~TE~7165551212~FX~8455551234~EM~

CUSTNAME@EMAILSERV.COM

PER~IC~~EM~CUSTNAME@EMAILSERV.COM

Data Element Summary

	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
Mand.	PER01	366	Contact Function Code	M ID 2/2
			IC Information Contact	
Must Use	PER03	365	Communication Number Qualifier	X ID 2/2
			EM Email Address	
			FX Facsimile	
			TE Telephone	
			Code identifying the type of communication number/address for the customer associated with this account.	
Must Use	PER04	364	Communication Number	X AN 1/80
			Complete communications number/address according to sender's records.	
Optional	PER05	365	Communication Number Qualifier	X ID 2/2
			EM Email Address	
			FX Facsimile	
			TE Telephone	
			Code identifying the type of communication number/address for the customer associated with this account.	
Optional	PER06	364	Communication Number	X AN 1/80

			Complete communications number/address according to sender's records.	
Optional	PER07	365	Communication Number Qualifier	X ID 2/2
			EM	Email Address
			FX	Facsimile
			TE	Telephone
			Code identifying the type of communication number/ address for the customer associated with this account.	
Optional	PER08	364	Communication Number	X AN 1/80
			Complete communications number/address according to sender's records.	

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Segment: **N1** Name (Name for Mailing)
Position: 040
Loop: N1 Optional (Dependent)
Level: Heading
Usage: Optional (Dependent)
Max Use: 1
Purpose: To identify a party by type of organization, name, and code.

- Syntax Notes:**
- 1 At least one of N102 or N103 is required.
 - 2 If either N103 or N104 is present, then the other is required.

Semantic Notes:

Notes:

Utility Request : Conditional
ESCO Response: Conditional

ESCO Request : Conditional
Utility Response: Conditional

This segment must be sent by either the Utility or the ESCO when the customer receives a consolidated bill and the name associated with the mailing address for billing and/or the mailing address or customer's telephone number changes.

When rejecting a GSP Credit because the customer has moved outside the service territory, this segment may be used to indicate the customer's forwarding address will be sent (if known by the utility).

N1~BT~Daimler-Benz C/O Siegfried Schwung
N1~BT~Robert J. McDonald DBA Tiny Tots
N1~BT~Ben Jones, Mgr. Store 16

Data Element Summary

	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u> <u>Entity</u>	<u>Identifier</u>	<u>Code</u>	<u>Attributes</u>
Mand.	N101	98	Entity	BT	Bill-to-Party	M ID 2/3
Mand.	N102	93	Name		Name for Mailing Address	M AN 1/60
					Name associated with the mailing address for billing. This N1 segment must be sent to report a change in the name associated with the mailing address for billing. This segment must also be sent when reporting a change in the address for billing (the N3/N4 segments) and/or a change in the customer's telephone number (the PER segment). When a change is being requested in either mailing address or telephone number, this element may contain the literal "NAME".	

Segment: **N3** Address Information (Mailing Address)
Position: 060
Loop: N1 Optional (Dependent)
Level: Heading
Usage: Optional (Dependent)
Max Use: 1
Purpose: To specify the location of the named party
Syntax Notes:
Semantic Notes:
Notes:

Utility Request: Conditional
ESCO Responses: Conditional

ESCO Request : Conditional
Utility Response: Conditional

This segment must be sent by the Utility or ESCO when a change in mailing address for billing occurs and the customer receives a consolidated bill. This segment must be accompanied by an N1*BT segment.

The N301 and N302 elements may contain the street address, City Name, State and postal code when the mailing address is located outside their service territory (for either domestic or foreign addresses) if specified by a utility in its Utility Maintained EDI Guide.

When rejecting a GSP Credit because the customer has moved outside the service territory, this segment, accompanied by an N1*BT segment may be used to send the customer's forwarding address (if known by the utility).

N3~Epplestrasse 225 70567
 N3~123 N Main St~Room 323
 N3~One Parklane Blvd 911 East Dearborn MI 48126
 N3~107 980 West 1st St North Vancouver British Columbia Ca~nada

Data Element Summary

	<u>Ref.</u>	<u>Data</u>	<u>Name</u>	<u>Attributes</u>
	<u>Des.</u>	<u>Element</u>		
Mand.	N301	166	Address Information	M AN 1/55
			Mailing Address for Billing	
Cond.	N302	166	Address Information	O AN 1/55
			Mailing Address for Billing Overflow	

Segment: **N4 Geographic Location (Mailing Address)**
Position: 070
Loop: N1 Optional (Dependent)
Level: Heading
Usage: Optional (Dependent)
Max Use: 1
Purpose: To specify the geographic place of the named party
Syntax Notes: 1 If N406 is present, then N405 is required.
Semantic Notes:
Notes:

Utility Request: Conditional

ESCO Response: Not used

ESCO Request : Conditional

Utility Response: Not Used

This segment must be sent in conjunction with N1*BT and N3 (Mailing Address) when the mailing address for billing changes and the customer receives a consolidated bill. This segment must accompanied by an N1*BT segment.

When rejecting a GSP Credit because the customer has moved outside the service territory, this segment, accompanies by an N1*BT segment may be use to send the customer's forwarding address (if known by the utility).

N4~Stuttgart Germany 011-49-711-17-0

N4~FOREIGN ADDRESS

N4~MYCITY~NY~19123

N4~North Vancouver BC Canada

N4~Dearborn~MI~48126

Data Element Summary

	Ref. Des.	Data Element	Name	Attributes
Must Use	N401	19	City Name	O AN 2/30
			<p>Domestic addresses: This field will contain the City Name. Alternatively, a utility may populate this field with a City Name only when the City is located within their service territory provided that if the City is outside its service territory, the City Name, State and postal code will be sent in the N3 segment and the N401 will contain the literal "Outside Service Territory".</p> <p>Foreign addresses (other than Canada): The Country Code will not be sent in the N404 element. In its Utility Maintains EDI Guide, a utility will specify the way it will populate this field with:</p> <ul style="list-style-type: none"> City Name and Country Name and transmit postal codes in the N403 element. The literal "Foreign Address" and transmit the address in the N3 segment. The City Name, Country Name and postal code in this element (up to 30 characters). The City Name and Country Name. 	
Cond.	N402	156	State or Province Code	O ID 2/2
			<p>Code (Standard State/Province) as defined by appropriate government agency. A utility may elect to populate this element with:</p> <ul style="list-style-type: none"> State codes. State codes within their service territory, i.e. NY or PA. <p>Otherwise, this element will not be sent by other Utilities.</p>	
Cond.	N403	116	Postal Code	O ID 3/15
			<p>Code defining international postal zone code excluding punctuation and blanks (zip code for United States). Utilities will populate this element only with US zip code information, unless a utility elects to populate this element with:</p> <ul style="list-style-type: none"> US zip codes and Canadian or other foreign postal codes. 	

- US zip code information only when the mailing address is located within their service territory.

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Segment: **PER** Administrative Communications Contact (Customer Phone Number)
Position: 080
Loop: N1 Optional (Dependent)
Level: Heading
Usage: Optional (Dependent)
Max Use: 1
Purpose: To identify a person or office to whom administrative communications should be directed
Syntax Notes:

- 1 If either PER03 or PER04 is present, then the other is required.
- 2 If either PER05 or PER06 is present, then the other is required.
- 3 If either PER07 or PER08 is present, then the other is required.

Semantic Notes:**Notes:**

Utility ~~request~~Request: Not Used
 ESCO ~~response~~Response: Not Applicable

ESCO ~~request~~Request: Conditional
 Utility ~~response~~Response: Not Used

This segment should be sent when the ESCO becomes aware of a change in the customer's telephone number coincident with a change in mailing address. This segment is sent in the N1 Name (Name for Mailing) Loop and must be accompanied by an N1*BT segment.

If a change is made to this segment the N1BT code is transmitted in the REF*TD segment at position 030.

PER~IC~~TE~7166756271

Data Element Summary

	Ref.	Data			Attributes
	<u>Des.</u>	<u>Element</u>	<u>Name</u>		
Mand.	PER01	366	Contact Function Code		M ID 2/2
			IC	Information Contact	
Must Use	PER03	365	Communication Number Qualifier		X ID 2/2
			TE	Telephone	
				Contact telephone number for the customer associated with this account.	
Must Use	PER04	364	Communication Number		X AN 1/80
				Customer's telephone number in the format xxxyyyzzzz (Area code+7 digit number).	

Segment: **LIN** **Item Identification**
Position: 010
Loop: LIN Optional (Must Use)
Level: Detail
Usage: Optional (Must Use)
Max Use: 1
Purpose: To specify basic item identification data
Syntax Notes: 1 If either LIN04 or LIN05 is present, then the other is required.
2 If either LIN06 or LIN07 is present, then the other is required.
3 If either LIN08 or LIN09 is present, then the other is required.
4 If either LIN10 or LIN11 is present, then the other is required.
5 If either LIN12 or LIN13 is present, then the other is required.
6 If either LIN14 or LIN15 is present, then the other is required.
7 If either LIN16 or LIN17 is present, then the other is required.
8 If either LIN18 or LIN19 is present, then the other is required.
9 If either LIN20 or LIN21 is present, then the other is required.
10 If either LIN22 or LIN23 is present, then the other is required.
11 If either LIN24 or LIN25 is present, then the other is required.
12 If either LIN26 or LIN27 is present, then the other is required.
13 If either LIN28 or LIN29 is present, then the other is required.
14 If either LIN30 or LIN31 is present, then the other is required.

Semantic Notes: 1 LIN01 is the line item identification

Notes: Utility Request : Required
ESCO Response: Required

ESCO Request : Required
Utility Response: Required

Each change at the Account Level must be sent in a separate LIN Loop.

The LIN03 specifies the product (electric or gas) and the LIN05 specifies the action event.

LIN~AACDD0102004A~SH~EL~SH~CE This change pertains to electric service

Data Element Summary

Ref.	Des.	Data Element	Name	Attributes
Must Use	LIN01	350	Assigned Identification	O AN 1/20
			The identifier supplied in this element either by itself or in conjunction with the BGN02 element from the BGN segment must uniquely identify each LIN request item over time.	
			The LIN01 identifier sent in a Request transaction must be returned in the transaction containing the response to that request (see examples).	
Mand.	LIN02	235	Product/Service ID Qualifier	M ID 2/2
			SH	Service Requested
				A numeric or alphanumeric code from a list of services available to the customer
Mand.	LIN03	234	Product/Service ID	M AN 1/48
			Commodity - there may be only one commodity (Electric or Gas) for each 814 transaction.	
			EL	
			GAS	
Mand.	LIN04	235	Product/Service ID Qualifier	M ID 2/2
			SH	Service Requested
				A numeric or alphanumeric code from a list of services available to the customer
Mand.	LIN05	234	Product/Service ID	M AN 1/48
			CE	Customer Enrollment

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Segment: **ASI** Action or Status Indicator
Position: 020
Loop: LIN Optional (Must Use)
Level: Detail
Usage: Optional (Must Use)
Max Use: 1
Purpose: To indicate the action to be taken with the information provided or the status of the entity described

Syntax Notes:
Semantic Notes:
Notes:

Utility Request: Required
ESCO Response: Required

ESCO Request : Required
Utility Response: Required

ASI~7~001 Request for change
ASI~WQ~001 Accept Response for request for change
ASI~U~001 Reject Response to a request for change

Data Element Summary

	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
Mand.	ASI01	306	Action Code 7 U	M ID 1/2
			Request Reject Inability to accept for processing due to the lack of required information	
Mand.	ASI02	875	WQ Maintenance Type Code 001	M ID 3/3
			Accept Change	

Segment: **REF** Reference Identification (Reject Response Reasons)
Position: 030
Loop: LIN Optional (Must Use)
Level: Detail
Usage: Optional (Dependent)
Max Use: 1
Purpose: To specify identifying information
Syntax Notes: 1 At least one of REF02 or REF03 is required.
 2 If either C04003 or C04004 is present, then the other is required.
 3 If either C04005 or C04006 is present, then the other is required.
Semantic Notes: 1 REF04 contains data relating to the value cited in REF02.

Notes:

Utility Request : Not Used
ESCO Response: Not Applicable

ESCO Request: Not used
~~Reject Utility Response:~~ RequiredConditional
~~Other Responses:~~ Not Used

This segment is required when the transaction is a Response transaction (BGN01=11) and the action or status is Reject (ASI01=U) in order to describe the reason that a change request has been rejected.

If codes 008, ECB or MOV are used to reject AMT*7 (ESCO Pricing Adjustment Credit) or AMT*UJ (ESCO Generic Credit), the supplier is responsible for directly reimbursing the customer.

REF~7G~C11

Data Element Summary

	Ref. Des.	Data Element	Name	Attributes
Mand.	REF01	128	Reference Identification Qualifier	M ID 2/3
			7G	Data Quality Reject Reason
				Reject reasons associated with a reject status notification.
Must Use	REF02	127	Reference Identification	X AN 1/30
			008	Account Inactive or Pending Inactive
			A13	Other (REF03 required)
			A76	Account not found (this includes inactive account numbers as well as no account number found)
			A91	Account does not have service requested
			API	Required information missing (REF03 Required)
			C11	Change reason (REF*TD) missing or invalid
			ECB	Credit cannot be included on customer bill due to current ESCO's Billing model selection or the ESCO issuing the credit does not have a billing relationship with the utility (REF03 required)
			IF	Credit not sufficiently funded
			FRB	Incorrect billing option (REF*BLT) requested
			FRC	Incorrect bill calculation type (REF*PC) requested
			M76	Meter Number Invalid or Not Found
			MOV	Customer moved outside service territory
			NIA	Not Interval Account
			NMA	Net Metered Account
			W05	Requested rate not found
Cond.	REF03	352	Description	X AN 1/80
				Used to provide explanatory text for an A13, API or ECB reject code.

Segment:	REF Reference Identification (Reason for Change - Account Level)
Position:	030
Loop:	LIN Optional (Must Use)
Level:	Detail
Usage:	Optional (Dependent)
Max Use:	>1
Purpose:	To specify identifying information
Syntax Notes:	<ol style="list-style-type: none"> 1 At least one of REF02 or REF03 is required. 2 If either C04003 or C04004 is present, then the other is required. 3 If either C04005 or C04006 is present, then the other is required.
Semantic Notes:	1 REF04 contains data relating to the value cited in REF02.
Notes:	<p><u>Utility Request</u> : Conditional</p> <p><u>ESCO Response</u>: Optional</p> <p><u>ESCO Request</u>: Conditional</p> <p><u>Utility Response</u>: Optional</p> <p>This segment is used to identify the data segment(s) sent at the account level that are being changed. See REF*TD Segment (Reason for Change – Meter Level) of this Implementation Guide for a description of the codes used to identify a change in the data segment(s) sent at the meter level.</p> <p>REF~TD~N18R</p>

Data Element Summary				
	Ref.	Data	Name	Attributes
	Des.	Element		
Mand.	REF01	128	Reference Identification Qualifier	M ID 2/3
			TD Reason for Change	
Must Use	REF02	127	Reference Identification	X AN 1/30
			AMT7 Change ESCO Pricing Adjustment Credit	
			Used to report a credit to the customer's account when the ESCO has charged a customer that receives service subject to a price guarantee more than what the customer would have paid the utility and the ESCO is required to provide a credit to the customer, i.e. a Guaranteed Savings Program (GSP) Credit. The Utility will include this one-time credit on the customer's next bill.	
			AMT9M Change Customers' Tax Rate 1	
			Utility Rate Ready Consolidated Billing Only. Used to report a change in the customer's tax rate when the utility is calculating ESCO charges. The 9M code may also be used to report a change in the residential tax rate applicable to a portion of the service (i.e. a REF*RP was present in the Enrollment transaction) when URR billing is in effect. The AMT9N code may be used to report a change in the portion of the account taxed at a commercial rate.	
			AMT9N Change Customers' Tax Rate 2	
			Utility Rate Ready Consolidated Billing Only. This code may only be used to report a change in the customer's tax rate applicable to the portion of the service taxed at a commercial rate in instances when part of the service is taxed at a residential rate and the balance at a commercial rate (i.e. REF*RP was present in the Enrollment transaction). The AMT9M code may be used to report a change in the residential tax rate on that account.	
			AMTB1 Change Benefit Amount	

	Used to report receipt of a Basic HEAP Payment under ESCO Combined Billing using Single Retailer transactions.
AMTB5	Change ESCO Budget Plan Installment Amount Supported only if indicated within a utility's Utility Maintained EDI Guide.
AMTBD	Change ESCO Budget Plan Balance Supported only if indicated within a utility's Utility Maintained EDI Guide.
AMTBK	Change Disbursements for Protection and Preservation Used to report receipt of an Emergency HEAP Payment under ESCO Combined Billing using Single Retailer transactions.
AMTDP	Change Percentage of Service Tax Exempt
AMTFW	Change ESCO Fixed Charge
AMTKZ	Change ICAP ELECTRIC Service Only.
AMTRJ	Change ESCO Commodity Price
AMTUJ	Change ESCO Generic Credit Used to report a credit to the customer's account when the ESCO provides a generic credit to the customer. The Utility will include this one-time credit on the customer's next bill.
DTM007	Change Effective Date
DTM150	Change Service Period Start Date Enrollment is pending. This code is used by the Utility to report a change in the Assigned Service Start Date.
DTM151	Change Service Period End Date A Drop is pending. This code is used by the Utility to report a change in the Assigned Service End Date.
N18R	Change Contact Information or Service Address Use this code when the Customer contact information, e.g. name, phone, fax or email, on the account is being changed and/or a change of service address is being reported.
N1BT	Change Contact Information for Mailing or Mailing Address Use this code when the Customer contact information, e.g. name, phone, fax or email, for mailing and/or the mailing address is being changed.
REF11	Change ESCO Customer Account Number
REF12	Change Utility Account Number
REF5E	Change Consumer Identifier (APP Status)
REF65	Change Meter Read Cycle
REFBF	Change Bill Cycle
REFBLT	Change Billing Type (Bill Presenter)
REFGC	Change Gas Capacity Assignment/Obligation GAS Service Only
REFIJ	Change Industrial Classification Code
REFIU	Barge Permit Number (Interval Usage Option)
REFLF	Change ESCO Late Fee Policy Supported only if indicated within a utility's Utility Maintained EDI Guide.
REFNR	Change Current Budget Billing Status
REFPC	Change Party that Calculates the Bill (Bill Calculator)
REFPGC	Change Partial Participation Portion
REFRP	Change Portion Taxed Residential
REFSG	Change Utility Discount Indicator
REFSPL	Change ISO LBMP Zone ELECTRIC Service Only

NY 814 Change (Account Maintenance)

REFSU	Change Special Processing Code
	Use this code to report a change in the Customer's Life Support status (Single Retailer Model)
REFTDT	Change Account Settlement Indicator
REFTX	Change Utility Tax Exempt Status
REFVI	Change Gas Pool ID
	GAS Service Only
REFYP	Change NYPA Discount Indicator

DRAFT

Segment:	REF Reference Identification (ESCO Customer Account Number)
Position:	030
Loop:	LIN Optional (Must Use)
Level:	Detail
Usage:	Optional (Dependent)
Max Use:	1
Purpose:	To specify identifying information
Syntax Notes:	1 At least one of REF02 or REF03 is required. 2 If either C04003 or C04004 is present, then the other is required. 3 If either C04005 or C04006 is present, then the other is required.
Semantic Notes:	1 REF04 contains data relating to the value cited in REF02.
Notes:	Utility Request: Not Used ESCO Response: Not Applicable ESCO Request: Conditional Utility Response: Conditional This segment is sent by the ESCO when the Utility is the bill presenter and the ESCO account number for the customer is being changed. This segment may be returned in a response transaction at the discretion of the utility. REF~11~A12345009Z

Data Element Summary				
	<u>Ref. Des.</u>	<u>Data Element</u>	<u>Name</u>	<u>Attributes</u>
Mand.	REF01	128	Reference Identification Qualifier 11 Account Number Number identifies a telecommunications industry account REF02 is the ESCO Assigned account number for the customer.	M ID 2/3
Must Use	REF02	127	Reference Identification ESCO Marketer's assigned account number for the customer.	X AN 1/30

Segment: **REF** Reference Identification (Utility Account Number)
Position: 030
Loop: LIN Optional (Must Use)
Level: Detail
Usage: Optional (Must Use)
Max Use: 1
Purpose: To specify identifying information
Syntax Notes:

- 1 At least one of REF02 or REF03 is required.
- 2 If either C04003 or C04004 is present, then the other is required.
- 3 If either C04005 or C04006 is present, then the other is required.

Semantic Notes:

- 1 REF04 contains data relating to the value cited in REF02.

Notes:

Utility Request: Required

ESCO Response: Required

ESCO Request : Not Used

Utility Response: Not Applicable

The Utility account number assigned to the customer is used for validation and must be present on all transactions.

The Utility is required to report any change in the customer's account number. The new/current account number is sent in the REF*12 segment and the prior account number must be sent in a REF*45 segment (see notes on line 49 of the 814C data dictionary).

The Response transaction must contain the new account number in the REF*12 segment.

REF~12~011231287654398

REF~12~011231287654398~U

Data Element Summary

	Ref. Des.	Data Element	Name	Attributes
Mand.	REF01	128	Reference Identification Qualifier	M ID 2/3
			12 Billing Account Account number under which billing is rendered REF02 contains the Utility-assigned account number for the customer.	
Must Use	REF02	127	Reference Identification	X AN 1/30
			Utility assigned customer account number	
			The utility account number must be supplied without intervening spaces or non-alphanumeric characters. (Characters added to aid in visible presentation on a bill, for example, should be removed)	
Cond.	REF03	352	Description	X AN 1/80
			For utilities that support the practice of responding to requests pertaining specifically to the un-metered portion of accounts, the REF03 element in the REF*12 segment should only be sent to, or received by, the utility when the commodity indicated in the LIN segment is Electric but the change request pertains only to the un-metered portion of the electric service on the account. This element should not be sent when the LIN request pertains to all electric service on the account.	
			U Un-Metered Service	

Segment:	REF Reference Identification (Previous Utility Account Number)
Position:	030
Loop:	LIN Optional (Must Use)
Level:	Detail
Usage:	Optional (Dependent)
Max Use:	1
Purpose:	To specify identifying information
Syntax Notes:	1 At least one of REF02 or REF03 is required. 2 If either C04003 or C04004 is present, then the other is required. 3 If either C04005 or C04006 is present, then the other is required.
Semantic Notes:	1 REF04 contains data relating to the value cited in REF02.
Notes:	Utility Request: Conditional ESCO Response: Not Used ESCO Request: Not Used Utility Response: Not Applicable Where a utility changes an existing customer's account number as a business process (and as noted in its Utility Maintained EDI Guide), this segment must be sent by the Utility when the utility assigned account number for the customer is changed. REF~45~9194132485705971

Data Element Summary				
	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
Mand.	REF01	128	Reference Identification Qualifier 45 Old Account Number Identifies accounts being changed REF02 is the Utility's previous account number for the customer.	M ID 2/3
Must Use	REF02	127	Reference Identification Prior utility assigned account number for the customer designated in this transaction. Current utility assigned account number for the customer must be present in REF*12.	X AN 1/30

Segment: **REF** Reference Identification (Utility Account Number for ESCO)

Position: 030

Loop: LIN Optional (Must Use)

Level: Detail

Usage: Optional (Dependent)

Max Use: 1

Purpose: To specify identifying information

Syntax Notes:

- 1 At least one of REF02 or REF03 is required.
- 2 If either C04003 or C04004 is present, then the other is required.
- 3 If either C04005 or C04006 is present, then the other is required.

Semantic Notes:

- 1 REF04 contains data relating to the value cited in REF02.

Notes:

Utility Request: Conditional

ESCO Response: Conditional

ESCO Request: Not Used

Utility Response: Not Applicable

This segment is sent to report a change in the utility assigned account number for the ESCO.

REF~AJ~3134597

Data Element Summary

	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
Mand.	REF01	128	Reference Identification Qualifier AJ Accounts Receivable Customer Account REF02 contains the Utility assigned account number for the ESCO	M ID 2/3
Must Use	REF02	127	Reference Identification Utility account number for the ESCO	X AN 1/30

Segment: **REF** Reference Identification (Meter Cycle Code)
Position: 030
Loop: LIN Optional (Must Use)
Level: Detail
Usage: Optional (Dependent)
Max Use: 1
Purpose: To specify identifying information
Syntax Notes: 1 At least one of REF02 or REF03 is required.
 2 If either C04003 or C04004 is present, then the other is required.
 3 If either C04005 or C04006 is present, then the other is required.
Semantic Notes: 1 REF04 contains data relating to the value cited in REF02.

Notes: Utility Request: Conditional
 ESCO Response: Not Used

 ESCO Request: Not Used
 Utility Response: Not Applicable

This segment is sent to report a change in the customer's meter read cycle.

REF~65~A12~MON
 REF~65~18~BIM

Data Element Summary

	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
Mand.	REF01	128	Reference Identification Qualifier Meter Cycle Code	M ID 2/3
			65 Total Order Cycle Number	
Must Use	REF02	127	Reference Identification An alphanumeric code indicating when meter reads are scheduled for this account. This code will be used to obtain the meter reading schedule information from a Utility's web site.	X AN 1/30
Cond.	REF03	352	Description REF03 should be sent if the code in REF02 is insufficient to communicate the usage period to be reported when regularly scheduled use information is sent in an 867 transaction.	X AN 1/80
			BIM bi-monthly	
			MON monthly	
			QTR quarterly	

Segment:	REF Reference Identification (Bill Cycle Code)
Position:	030
Loop:	LIN Optional (Must Use)
Level:	Detail
Usage:	Optional (Dependent)
Max Use:	1
Purpose:	To specify identifying information
Syntax Notes:	<ol style="list-style-type: none"> 1 At least one of REF02 or REF03 is required. 2 If either C04003 or C04004 is present, then the other is required. 3 If either C04005 or C04006 is present, then the other is required.
Semantic Notes:	1 REF04 contains data relating to the value cited in REF02.
Notes:	<p>Utility Request: Conditional ESCO Response: Not Used</p> <p>ESCO Request: Not Used Utility Response: Not Applicable</p> <p>This segment must be sent by the Utility when there has been a change in the customer's bill cycle date or bill frequency.</p> <p>REF~BF~18~MON REF~BF~05~QTR</p>

Data Element Summary				
	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
Mand.	REF01	128	Reference Identification Qualifier BF	M ID 2/3
			Billing Center Identification Billing cycle. Cycle number indicating when this customer is scheduled for billing.	
Must Use	REF02	127	Reference Identification	X AN 1/30
			An alphanumeric code indicating when a scheduled bill is prepared for the customer. Some companies may bill on a cycle other than the read cycle in which case this code would be used to retrieve the scheduled billing date from the utility's web site.	
Cond.	REF03	352	Description	O AN 1/80
			If present, indicates the frequency with which the account is billed by the Utility. Billing frequency information may be implicit in the data provided by the Utility on its web site. If the data sent in REF02 is insufficient to determine bill frequency (by reference to the Utility's web site) and a change in bill cycle is being reported, then this element must be sent.	
			BIM bi-monthly MON monthly QTR quarterly	

Segment:	REF Reference Identification (Bill Presenter)
Position:	030
Loop:	LIN Optional (Must Use)
Level:	Detail
Usage:	Optional (Dependent)
Max Use:	1
Purpose:	To specify identifying information
Syntax Notes:	1 At least one of REF02 or REF03 is required. 2 If either C04003 or C04004 is present, then the other is required. 3 If either C04005 or C04006 is present, then the other is required.
Semantic Notes:	1 REF04 contains data relating to the value cited in REF02.
Notes:	Utility Request: Conditional ESCO Response: Not Used ESCO Request: Conditional Utility Response: Not Used This segment is sent to report a change in the Bill Presenter. It may be necessary to send additional dependent data segments when requesting a change in either bill presenter or bill calculator (REF*PC). See Front Matter notes for further instructions. REF~BLT~DUAL REF~BLT~LDC REF~BLT~ESP

Data Element Summary				
	Ref. Des.	Data Element	Name	Attributes
Mand.	REF01	128	Reference Identification Qualifier	M ID 2/3
			BLT	Billing Type
				Identifies whether the bill is a single bill consolidated by the Utility, a single bill consolidated by the ESCO, or separate bills presented by each party.
Must Use	REF02	127	Reference Identification	X AN 1/30
			DUAL	Each Party Presents a separate bill
			ESP	ESCO is the consolidated Bill Presenter
				Sent when the ESCO will be the bill presenter under ESCO Combined Billing.
			LDC	Utility is the consolidated Bill Presenter

Segment:	REF Reference Identification (Bill Calculator)
Position:	030
Loop:	LIN Optional (Must Use)
Level:	Detail
Usage:	Optional (Dependent)
Max Use:	1
Purpose:	To specify identifying information
Syntax Notes:	1 At least one of REF02 or REF03 is required. 2 If either C04003 or C04004 is present, then the other is required. 3 If either C04005 or C04006 is present, then the other is required.
Semantic Notes:	1 REF04 contains data relating to the value cited in REF02.
Notes:	Utility Request: Conditional ESCO Response: Not Used ESCO Request: Conditional Utility Response: Not Used This segment is sent to report a change in the Bill Calculator. It may be necessary to send additional dependent data segments when requesting a change in either bill presenter (REF*BLT) or bill calculator. See Front Matter notes (pages i - iv) for further instructions. Not used in Single Retailer transactions that support ESCO Combined Billing. REF~PC~DUAL REF~PC~LDC

Data Element Summary				
	Ref.	Data	Name	Attributes
	Des.	Element		
Mand.	REF01	128	Reference Identification Qualifier	M ID 2/3
			PC	Production Code
				Indicates this data segment identifies the party that will calculate billed charges.
Must Use	REF02	127	Reference Identification	X AN 1/30
			DUAL	Each Party Calculates its own charges.
				"DUAL" SHOULD BE SENT WHEN:
				The ESCO is the Bill Presenter (REF*BLT= ESP) AND the utility will send an 810 to the ESCO with bill ready utility charges fully calculated;
				OR
				The Utility is the Bill Presenter (REF*BLT=LDC) AND the ESCO will send an 810 to the Utility with bill ready ESCO charges fully calculated;
				OR
				Each Party will present their own bill (REF*BLT=DUAL) AND each will calculate their own charges.
			LDC	The Utility Calculates the ESCO Charges
				RATE READY: The ESCO provides the rate or rate structure to the Utility. The Utility calculates the ESCO's portion of the bill.

Segment:	REF Reference Identification (Current Budget Billing Status)
Position:	030
Loop:	LIN Optional (Must Use)
Level:	Detail
Usage:	Optional (Dependent)
Max Use:	1
Purpose:	To specify identifying information
Syntax Notes:	1 At least one of REF02 or REF03 is required. 2 If either C04003 or C04004 is present, then the other is required. 3 If either C04005 or C04006 is present, then the other is required.
Semantic Notes:	1 REF04 contains data relating to the value cited in REF02.
Notes:	Utility Request: Conditional ESCO Response: Not Used ESCO Request: Conditional Utility Response: Not Used This segment must be sent when the customer receives a consolidated bill AND the customer is being placed on a budget plan by the initiator of the transaction (either the Utility or ESCO) OR the customer's budget plan with the initiator of the transaction is being discontinued. Budget billing changes can be requested only when consolidated billing is being used AND the billing party has agreed to calculate budget billing amounts for the non-billing party. REF~NR~Y REF~NR~N

Data Element Summary				
	Ref. Des.	Data Element	Name	Attributes
Mand.	REF01	128	Reference Identification Qualifier	M ID 2/3
			NR	Progress Payment Number
				Indicates a change to the customer's budget billing status for a customer on consolidated billing.
Must Use	REF02	127	Reference Identification	X AN 1/30
			N	This customer is no longer on budget billing for the sender's charges.
			Y	This customer is, or will be, on budget billing for the sender's charges.

Segment:	REF Reference Identification (ESCO Late Fees)
Position:	030
Loop:	LIN Optional (Must Use)
Level:	Detail
Usage:	Optional (Dependent)
Max Use:	1
Purpose:	To specify identifying information
Syntax Notes:	1 At least one of REF02 or REF03 is required. 2 If either C04003 or C04004 is present, then the other is required. 3 If either C04005 or C04006 is present, then the other is required.
Semantic Notes:	1 REF04 contains data relating to the value cited in REF02.
Notes:	ESCO Request: Conditional Utility Response: Conditional Utility Request: Not Used ESCO Response: Not Used Applicable Where required by a utility this segment is used to report a change in the ESCOs late fee policy to the utility when the customer's bill option is Utility Rate Ready consolidated billing and the payment processing method is Pay-As-You-Get-Paid. This segment may be returned by the utility in the corresponding Response transaction. The code REFLF should be sent in the REF*TD segment at the account to identify the change. REF~LF~N2 REF~LF~Y2

Data Element Summary				
	Ref.	Data	Name	Attributes
Mand.	Des.	Element		
	REF01	128	Reference Identification Qualifier	M ID 2/3
			LF	Assembly Line Feed Location ESCO Late Fee Indicator Late fees will/will not be assessed by the Billing Party on ESCO past due amounts for the account being enrolled.
Optional	REF02	127	Reference Identification	X AN 1/30
			N2	No Late fees should NOT be assessed by the Billing Party on ESCO past due amounts.
			Y2	Yes Late fees should be assessed by the Billing Party on ESCO past due amounts.

Segment:	REF Reference Identification (Partial Participation Portion)
Position:	030
Loop:	LIN Optional (Must Use)
Level:	Detail
Usage:	Optional (Dependent)
Max Use:	1
Purpose:	To specify identifying information
Syntax Notes:	1 At least one of REF02 or REF03 is required. 2 If either C04003 or C04004 is present, then the other is required. 3 If either C04005 or C04006 is present, then the other is required.
Semantic Notes:	1 REF04 contains data relating to the value cited in REF02.
Notes:	Utility Request: Conditional ESCO Response: Not Used ESCO Request: Conditional Utility Response: Not Used This segment is sent to report a change in the portion of a customer's load that will be supplied by the ESCO where the customer is a partial requirements customer of the Utility. REF~PGC~B REF~PGC~T

Data Element Summary				
	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
Mand.	REF01	128	Reference Identification Qualifier PGC	M ID 2/3
			Packing Group Code	
			The value in REF02 indicates what portion of the customer's load will be served by the ESCO when the customer is a partial requirements customer of the Utility due to their participation in economic growth incentive programs.	
Must Use	REF02	127	Reference Identification B T	X AN 1/30
			Base Only	
			Total Load	

Segment:	REF Reference Identification (Customer on Life Support)
Position:	030
Loop:	LIN Optional (Must Use)
Level:	Detail
Usage:	Optional (Dependent)
Max Use:	1
Purpose:	To specify identifying information
Syntax Notes:	<div>1 At least one of REF02 or REF03 is required.</div> <div>2 If either C04003 or C04004 is present, then the other is required.</div> <div>3 If either C04005 or C04006 is present, then the other is required.</div>
Semantic Notes:	<div>1 REF04 contains data relating to the value cited in REF02.</div>
Notes:	<div>Utility Request: Not Used</div> <div>ESCO Response: Not Applicable</div> <div>ESCO Request: Conditional</div> <div>Response: Not Used</div> <div>ESCO must report a change in an electric customer's life support status for the Single Retailer Model.</div> <div>REF~SU~Y</div> <div>REF~SU~N</div>

Data Element Summary				
	<u>Ref. Des.</u>	<u>Data Element</u>	<u>Name</u>	<u>Attributes</u>
Mand.	REF01	128	Reference Identification Qualifier	M ID 2/3
			SU	Special Processing Code
				Life support equipment verification. Customer requires life support equipment.
Must Use	REF02	127	Reference Identification	X AN 1/30
			N	Life Support Not Required
			Y	Life Support Required

Segment:	REF Reference Identification (Gas Pool ID)
Position:	030
Loop:	LIN Optional (Must Use)
Level:	Detail
Usage:	Optional (Dependent)
Max Use:	1
Purpose:	To specify identifying information
Syntax Notes:	<div>1 At least one of REF02 or REF03 is required.</div> <div>2 If either C04003 or C04004 is present, then the other is required.</div> <div>3 If either C04005 or C04006 is present, then the other is required.</div>
Semantic Notes:	<div>1 REF04 contains data relating to the value cited in REF02.</div>
Notes:	<div>Utility Request: Not Used</div> <div>ESCO Response: Not used</div> <div>ESCO Request: Conditional</div> <div>Utility Response: Not Used</div> <div>GAS ONLY. This segment is used when the ESCO moves a customer from one Gas Pool to another.</div> <div>REF~VI~211234567</div>

Data Element Summary				
	<u>Ref. Des.</u>	<u>Data Element</u>	<u>Name</u>	<u>Attributes</u>
Mand.	REF01	128	Reference Identification Qualifier VI Pool Number	M ID 2/3
Gas Pool ID's are assigned to a gas marketer by the Utility and are used to define a unique sub-grouping of customers.				
Must Use	REF02	127	Reference Identification New Gas Pool ID for the account specified.	X AN 1/30

Segment:	REF	Reference Identification (Gas Capacity Assignment/Obligation)
Position:	030	
Loop:	LIN	Optional (Must Use)
Level:	Detail	
Usage:	Optional (Dependent)	
Max Use:	1	
Purpose:	To specify identifying information	
Syntax Notes:	1 At least one of REF02 or REF03 is required. 2 If either C04003 or C04004 is present, then the other is required. 3 If either C04005 or C04006 is present, then the other is required.	
Semantic Notes:	1 REF04 contains data relating to the value cited in REF02.	
Notes:	Utility Request: Conditional <u>ESCO Response: Not Used</u> <u>ESCO Request: Not Used</u> <u>Utility Response: Not Applicable</u> GAS ONLY. This segment is provided to the ESCO by the Utility to convey a change in the intended or obligated pipeline capacity requirements necessary to meet the needs of the end use customer as described in its Utility Maintained EDI Guide. REF~GC~YES REF~GC~NO	

Data Element Summary

	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
Mand.	REF01	128	Reference Identification Qualifier	M ID 2/3
			GC	Government Contract Number
				Gas Capacity Assignment/Obligation
Must Use	REF02	127	Reference Identification	X AN 1/30
			N	No: ESCO must arrange for capacity for the customer being enrolled
			Y	Yes: ESCO must take the Utility release or assignment of its pipeline capacity for the customer

Segment:	REF Reference Identification (ISO Location Based Marginal Pricing Zones)
Position:	030
Loop:	LIN Optional (Must Use)
Level:	Detail
Usage:	Optional (Dependent)
Max Use:	1
Purpose:	To specify identifying information
Syntax Notes:	1 At least one of REF02 or REF03 is required. 2 If either C04003 or C04004 is present, then the other is required. 3 If either C04005 or C04006 is present, then the other is required.
Semantic Notes:	1 REF04 contains data relating to the value cited in REF02.
Notes:	Utility Request: Conditional ESCO Response: Not Used ESCO Request: Not Used Utility Response: Not Applicable ELECTRIC ONLY. This segment is sent to report a change in the LBMP zone for a customer. REF~SPL~A

Data Element Summary

	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
Mand.	REF01	128	Reference Identification Qualifier SPL	M ID 2/3
			Standard Point Location Code (SPLC) REF02 contains the ISO Assigned code for New York Location Based Pricing Zones when service type is EL. This is the pricing zone for the account being enrolled.	
Must Use	REF02	127	Reference Identification A code assigned by the ISO that represents a location based marginal pricing zone. Valid Values for REF02:	X AN 1/30
			A WEST B GENESE C CENTRL D NORTH E MHK VL F CAPITL G HUD VL H MILL WD I DUNWOD J N.Y.C. K LONGIL M HQ O OH P PJM	

Segment:	REF Reference Identification (Portion Taxed Residential)
Position:	030
Loop:	LIN Optional (Must Use)
Level:	Detail
Usage:	Optional (Dependent)
Max Use:	1
Purpose:	To specify identifying information
Syntax Notes:	1 At least one of REF02 or REF03 is required. 2 If either C04003 or C04004 is present, then the other is required. 3 If either C04005 or C04006 is present, then the other is required.
Semantic Notes:	1 REF04 contains data relating to the value cited in REF02.
Notes:	Utility Request: Conditional ESCO Response: Not Used ESCO Request: Conditional Utility Response: Not Used Where required by a utility in its Utility Maintained EDI Guide, this segment must be sent to the utility when there has been a change in the portion of a non-residential account that is taxed at a residential rate AND the customer's bill option is Utility Rate Ready consolidated billing. REF~RP~20 REF~RP~25

Data Element Summary				
	Ref.	Data	Name	Attributes
	Des.	Element		
Mand.	REF01	128	Reference Identification Qualifier	M ID 2/3
			RP	Repetitive Pattern Code
				Portion Taxed Residential
				Percent of a Non-Residential account that should be considered residential for tax purposes.
Must Use	REF02	127	Reference Identification	X AN 1/30
			The following codes are to be used:	
		20	Split Rate 10% Residential use	
		21	Split Rate 20% Residential use	
		22	Split Rate 30% Residential use	
		23	Split Rate 40% Residential use	
		24	Split Rate 50% Residential use	
		25	Split Rate 60% Residential use	
		26	Split Rate 70% Residential use	
		27	Split Rate 75% or above Residential use	

Segment:	REF Reference Identification (Account Settlement Indicator)
Position:	030
Loop:	LIN Optional (Must Use)
Level:	Detail
Usage:	Optional (Dependent)
Max Use:	1
Purpose:	To specify identifying information
Syntax Notes:	<ol style="list-style-type: none"> 1 At least one of REF02 or REF03 is required. 2 If either C04003 or C04004 is present, then the other is required. 3 If either C04005 or C04006 is present, then the other is required.
Semantic Notes:	1 REF04 contains data relating to the value cited in REF02.
Notes:	<p>Utility Change Requests: Conditional, for Electric accounts only</p> <p>Change Accept ESCO Responses: Not Used</p> <p>ESCO Request: Not Used</p> <p>Utility Response: Not Applicable</p> <p>Set by Utility for Electric accounts only.</p> <p>REF~TDT~C</p>

Data Element Summary				
	Ref.	Data	Name	Attributes
	Des.	Element		
Mand.	REF01	128	Reference Identification Qualifier	M ID 2/3
			TDT	Technical Documentation Type
				Account Settlement Indicator
Must Use	REF02	127	Reference Identification	X AN 1/30
			The following codes are to be used:	
			C	Class Load Shape
			H	Hourly
			M	Mixed
			Account is settled with the NYISO with both Class Shape and Hourly data.	

Segment:	REF Reference Identification (NYPA Discount Indicator)
Position:	030
Loop:	LIN Optional
Level:	Detail
Usage:	Optional (Dependent)
Max Use:	1
Purpose:	To specify identifying information
Syntax Notes:	<ol style="list-style-type: none"> 1 At least one of REF02 or REF03 is required. 2 If either C04003 or C04004 is present, then the other is required. 3 If either C04005 or C04006 is present, then the other is required.
Semantic Notes:	<ol style="list-style-type: none"> 1 REF04 contains data relating to the value cited in REF02.
Notes:	<p>Change-Utility Requests: <u>Conditional</u> Electric accounts, if available in the utility's system and the utility do not otherwise provide the indicator through a non-EDI means.</p> <p>Change-AcceptESCO Responses: Not Used</p> <p>ESCO Request: <u>Not Used</u></p> <p>Utility Response: <u>Not Applicable</u></p> <p><u>Sent by Utility for Electric accounts, if available in the utility's system and the utility do not otherwise provide the indicator through a non-EDI means.</u></p> <p>REF~YP~Y</p>

Data Element Summary

Ref.	Data	Name	Attributes
Des.	Element		
REF01	128	Reference Identification Qualifier	M ID 2/3
		YP	
		Selling Arrangement	
		NYPA Discount Indicator. The customer receives any special incentives from the New York Power Authority.	
REF02	127	Reference Identification	X AN 1/30
		The following codes are to be used:	
		N	No, the customer does not have a NYPA Discount
		Y	Yes, the customer does have a NYPA Discount

Segment:	REF Reference Identification (Utility Discount Indicator)
Position:	030
Loop:	LIN Optional (Must Use)
Level:	Detail
Usage:	Optional (Dependent)
Max Use:	1
Purpose:	To specify identifying information
Syntax Notes:	<div>1 At least one of REF02 or REF03 is required.</div> <div>2 If either C04003 or C04004 is present, then the other is required.</div> <div>3 If either C04005 or C04006 is present, then the other is required.</div>
Semantic Notes:	<div>1 REF04 contains data relating to the value cited in REF02.</div>
Notes:	<div><div><div><div><div><div>Change-Utility Requests: Conditional</div><div>ESCO Response: Not Used</div><div>ESCO Request: Not Used</div><div>Utility Response: Not Applicable</div></div></div><div>Sent by Utility for non-residential accounts where the customer receives a commodity discount from the utility or a delivery discount that is dependent upon purchase of commodity from the utility. Further, the indicator should be set to "N" in cases where all non –residential customers in a rate class or service receive the same discount or when the delivery discount is portable, i.e. it applies whether the customer purchases commodity from the ESCO or the utility.</div><div>Change-Accept Responses: Not Used</div></div></div></div>
	REF~SG~N

Data Element Summary				
	Ref.	Data	Name	Attributes
	Des.	Element		
Mand.	REF01	128	Reference Identification Qualifier	M ID 2/3
			SG	Savings
				Utility Discounts/Incentive Rate
Must Use	REF02	127	Reference Identification	X AN 1/30
			The following codes are to be used:	
			N	No, there are not Utility Discounts/Incentive Rates
			Y	Yes, there are Utility Discounts/Incentive Rates

Segment:	REF Reference Identification (Industrial Classification Code)
Position:	030
Loop:	LIN Optional (Must Use)
Level:	Detail
Usage:	Optional (Dependent)
Max Use:	1
Purpose:	To specify identifying information
Syntax Notes:	<ol style="list-style-type: none"> 1 At least one of REF02 or REF03 is required. 2 If either C04003 or C04004 is present, then the other is required. 3 If either C04005 or C04006 is present, then the other is required.
Semantic Notes:	1 REF04 contains data relating to the value cited in REF02.
Notes:	<p>Change-Utility Request: Conditional if available in the utility's system</p> <p>Change-Accept ESCO Responses: Not Used</p> <p>ESCO Request: Not Used</p> <p>Utility Response: Not Applicable</p> <p>Sent if available in the utility's system.</p> <p>REF~IJ~123456~NAICS</p> <p>REF~IJ~1234~SIC</p>

Data Element Summary

	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
Cond.	REF01	128	Reference Identification Qualifier IJ Standard Industry Classification (SIC) Code Standard Industry Classification (SIC) Code, or North American Industry Classification System (NAICS) Code	M ID 2/3
Must Use	REF02	127	Reference Identification SIC or NAICS Code as stored in the Utility's system	X AN 1/30
Must Use	REF03	352	Description NAICS SIC Value contained in REF02 is an NAICS code Value contained in REF02 is an SIC code	X AN 1/80

Segment: **REF** Reference Identification (Utility Tax Exempt Status)

Position: 030

Loop: LIN Optional (Must Use)

Level: Detail

Usage: Optional (Dependent)

Max Use: 1

Purpose: To specify identifying information

Syntax Notes:

- 1 At least one of REF02 or REF03 is required.
- 2 If either C04003 or C04004 is present, then the other is required.
- 3 If either C04005 or C04006 is present, then the other is required.

Semantic Notes:

- 1 REF04 contains data relating to the value cited in REF02.

Notes:

~~Change-Utility~~ Requests: Conditional

~~Change-Accept~~ ESCO Responses: Not Used

~~ESCO Request:~~ Not Used

~~Utility Response:~~ Not Applicable

Signifies the existence of exemptions and/or certifications, if any, held by the utility that are used to bill the customer for utility services. The indicator is informational only; the utility's exemption is not transferable to the ESCO to bill the customer for ESCO services. The ESCO should not rely upon the utility's information for billing purposes and should contact the customer to obtain necessary information consistent with the requirements of the New York State Department of Taxation & Finance and any applicable laws.

REF~TX~Y

Data Element Summary

	Ref. Des.	Data Element	Name	Attributes
Mand.	REF01	128	Reference Identification Qualifier	M ID 2/3
			TX	Tax Exempt Number
				Indicates the Utility's Tax Exemption Status at the time the transaction is created.
Must Use	REF02	127	Reference Identification	X AN 1/30
			The following codes are to be used:	
			N	No, the customer is fully taxed for distribution charges at the time the transaction is created.
			Y	Yes, customer has some level of tax exemption for distribution charges at the time the transaction is created.

Segment:	REF Reference Identification (Interval Usage Option)
Position:	030
Loop:	LIN Optional (Must Use)
Level:	Detail
Usage:	Optional (Dependent)
Max Use:	1
Purpose:	To specify identifying information
Syntax Notes:	1 At least one of REF02 or REF03 is required. 2 If either C04003 or C04004 is present, then the other is required. 3 If either C04005 or C04006 is present, then the other is required.
Semantic Notes:	1 REF04 contains data relating to the value cited in REF02.
Notes:	Utility Request: Not Used ESCO Response: Not Used ESCO Request: Optional Utility Response: Conditional Used by ESCO to specify the preference to receive the 867IU for a customer with an interval metered account. This segment may be returned in a Change Accept Response from the Utility when sent in the request by the ESCO. A requested change will be effective mid-cycle, provided that it is received at least four calendar days prior to the next read date. REF*IU*SUMMARY REF*IU*DETAIL REF*IU*METERDETAIL

Data Element Summary				
	Ref.	Data		Attributes
	Des.	Element	Name	
Mand.	REF01	128	Reference Identification Qualifier	M ID 2/3
			IU	
			Barge Permit Number	
			Interval Usage Option	
			Option used by ESCO to request for Interval Usage 867IU transaction instead of the default Monthly Usage, 867MU transaction at either the Account Level or at Meter Level for a customer with an interval metered account.	
			The default usage report is the 867MU transaction. If this segment REF~IU is not on the 814E request the Utility will provide the 867MU	
Must Use	REF02	127	Reference Identification	X AN 1/30
			The following codes are to be used:	
			SUMMARY	Monthly Billed Usage
			DETAIL	ESCO Request to receive Interval Usage at the customer account level for a customer with Interval Meters.
			METERDETAIL	ESCO Request to receive Interval Meter Detail.

Segment:	REF	Reference Identification (APP Status)
Position:	030	
Loop:	LIN	Optional (Must Use)
Level:	Detail	
Usage:	Optional	
Max Use:	1	
Purpose:	To specify identifying information	
Syntax Notes:	1 At least one of REF02 or REF03 is required. 2 If either C04003 or C04004 is present, then the other is required. 3 If either C04005 or C04006 is present, then the other is required.	
Semantic Notes:	1 REF04 contains data relating to the value cited in REF02.	
Notes:	Utility Request: <u>Optional</u> If supported by a utility as specified in its Utility Maintained EDI Guide, used to notify the ESCO that the customer is no longer eligible for APP benefits. ESCO Response: Not Used ESCO Request: Not Used Utility Response: Not Used <u>If supported by a utility as specified in its Utility Maintained EDI Guide, used to notify the ESCO that the customer's APP benefit status has changed.</u> REF~5E~N	

Data Element Summary				
	Ref. Des.	Data Element	Name	Attributes
Mand.	REF01	128	Reference Identification Qualifier	M ID 2/3
			5E	Consumer Identifier
				APP Status
Must Use	REF02	127	Reference Identification	X AN 1/30
			The following codes are to be used:	
			N	No, the customer is not currently eligible for APP benefits.
			Y	Yes, the customer is currently eligible for APP benefits.

Segment: **DTM** **Date/Time Reference (Effective Date of Change)**

Position: 040

Loop: LIN Optional (Must Use)

Level: Detail

Usage: Optional (Dependent)

Max Use: 1

Purpose: To specify pertinent dates and times

Syntax Notes:

- 1 At least one of DTM02 DTM03 or DTM05 is required.
- 2 If DTM04 is present, then DTM03 is required.
- 3 If either DTM05 or DTM06 is present, then the other is required.

Semantic Notes:**Notes:**

Utility Request: Conditional
ESCO Response: Not Used

ESCO Request: Conditional
Utility Response: Conditional

This segment is required on all Utility initiated Requests (except for changes to ICAP Tags, Assigned Service Start or Assigned Service End Date). This segment is also required on the Utility Response to an ESCO Request for a change in customer billing option. This segment is required on ESCO initiated Requests reporting a change in the customer's mailing address or HEAP payment when Single Retailer transactions are used to support ESCO Consolidated Billing.

This segment may also be sent on ESCO initiated Requests to report a change in ESCO Commodity Price, Fixed Charge or Rate Code when the Utility is the Bill Presenter and Bill Calculator (Rate Ready Consolidated Billing) AND the Utility has agreed to process price or rate changes on a date other than the regularly scheduled bill cycle date.

When a DTM~007 segment is not sent and the date has not been provided in another DTM segment (DTM~105, DTM~AB2...), it can be presumed that the transaction date is the effective date of the change. In any case, the utility should clarify its use of this segment in its Utility Maintained EDI Guide.

DTM~007~20060917

Data Element Summary

	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
Mand.	DTM01	374	Date/Time Qualifier	M ID 3/3
			007	Effective
				The date that the requested change is, or will become, effective.
Must Use	DTM02	373	Date	X DT 8/8
			Date expressed in CCYYMMDD format.	

Segment: **DTM** **Date/Time Reference (Assigned Service Start Date)**
Position: 040
Loop: LIN Optional (Must Use)
Level: Detail
Usage: Optional (Dependent)
Max Use: 1
Purpose: To specify pertinent dates and times
Syntax Notes: 1 At least one of DTM02 DTM03 or DTM05 is required.
2 If DTM04 is present, then DTM03 is required.
3 If either DTM05 or DTM06 is present, then the other is required.

Semantic Notes:
Notes:

Utility Request: Conditional
~~ESCO Response: Not Used~~

ESCO Request: Not Used
~~Utility Responses: Not Used~~ Applicable

May be sent by the Utility only when an enrollment request for the customer is pending.

DTM~150~20060313

Data Element Summary

	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
Mand.	DTM01	374	Date/Time Qualifier	M ID 3/3
			150 Service Period Start	
Must Use	DTM02	373	Date	X DT 8/8
The date, in the form CCYYMMDD, when the ESCO will begin serving the enrolling customer. The Utility determines the effective start date for an enrollment. This segment will be sent by the Utility when there is a change in the assigned service start date returned on an Enrollment Accept Response.				

Segment: **DTM** **Date/Time Reference (Assigned Service End Date)**
Position: 040
Loop: LIN Optional (Must Use)
Level: Detail
Usage: Optional (Dependent)
Max Use: 1
Purpose: To specify pertinent dates and times
Syntax Notes: 1 At least one of DTM02 DTM03 or DTM05 is required.
2 If DTM04 is present, then DTM03 is required.
3 If either DTM05 or DTM06 is present, then the other is required.

Semantic Notes:

Notes:

Utility Request: Conditional
ESCO Request: Not Used

ESCO Responses: Not Used
Utility Response: Not Applicable

This segment is sent by the Utility when a Drop is pending for the customer and the assigned service end date will vary by more than three days from the date indicated in the preceding Drop transaction.

DTM~151~20060313

Data Element Summary

	<u>Ref.</u>	<u>Data</u>	<u>Name</u>	<u>Attributes</u>
	<u>Des.</u>	<u>Element</u>		
Mand.	DTM01	374	Date/Time Qualifier	M ID 3/3
			151 Service Period End	
Must Use	DTM02	373	Date	X DT 8/8
			The date, in form CCYYMMDD, when the customer's service with the enrolled ESCO will end. The Utility will provide the effective end date.	

Segment: **DTM** Date/Time Reference (ICAP Effective Dates)
Position: 040
Loop: LIN Optional
Level: Detail
Usage: Optional
Max Use: >1
Purpose: To specify pertinent dates and times
Syntax Notes:

- 1 At least one of DTM02 DTM03 or DTM05 is required.
- 2 If DTM04 is present, then DTM03 is required.
- 3 If either DTM05 or DTM06 is present, then the other is required.

Semantic Notes:**Comments:****Notes:**

Utility Request: Conditional
~~Utility~~ ESCO Response: Not Used

ESCO Request: Not Used
~~ESCO~~ Utility Response: Not ~~Used~~ Applicable

~~For electric customers, The the~~ ICAP tag effective dates should be sent with ICAP Tag changes.

DTM~AB2~~~~RD8~20150501-20160430

Data Element Summary

	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
Mand.	DTM01	374	Date/Time Qualifier	M ID 3/3
			AB2	Time Period
				ICAP Tag Effective Dates
Must Use	DTM05	1250	Date Time Period Format Qualifier	X ID 2/3
			RD8	Range of Dates Expressed in Format CCYYMMDD-CCYYMMDD
Must Use	DTM06	1251	Date Time Period	X AN 1/35
				Period expressed in the format CCYYMMDD-CCYYMMDD

Segment:	AMT	Monetary Amount (ESCO Budget Plan Installment Amount)
Position:	060	
Loop:	LIN	Optional (Must Use)
Level:	Detail	
Usage:	Optional (Dependent)	
Max Use:	1	
Purpose:	To indicate the total monetary amount	
Syntax Notes:		
Semantic Notes:		
Notes:	<div>ESCO Request: Conditional</div> <div>Utility Response: Not Used</div> <div>Utility Request: Not Used</div> <div>ESCO Response: Not UsedApplicable</div> <div>This segment is sent by an ESCO to request a change in the ESCO budget plan installment amount when the customer's bill option is Utility Rate Ready consolidated billing. Where required by a utility, this segment must be sent to the utility to effect a change in the monthly installment associated with an ESCO budget plan.</div> <div>The REF*TD segment at the account level should contain the code AMTB5 to designate this change.</div> <div>AMT~B5~100</div> <div>AMT~B5~20</div>	

Data Element Summary				
	Ref.	Data		Attributes
	Des.	Element	Name	
Mand.	AMT01	522	Amount	M ID 1/3
			Qualifier Code	
			B5	
			Budgeted	
			ESCO Budget Plan Installment Amount	
			The amount in AMT02 is the budget plan installment amount the customer is expected to pay each month for the period covered by the customer's budget plan with the ESCO.	
Mand.	AMT02	782	Monetary Amount	M R 1/18
			This element is a real number. Real numbers are assumed to be positive unless preceded by a minus sign. In this instance, budget installment amounts are whole numbers and will always be positive. See Front Matter notes regarding syntax for real number elements.	

Segment: **AMT** Monetary Amount (Tax Exemption Percent)
Position: 060
Loop: LIN Optional (Must Use)
Level: Detail
Usage: Optional (Dependent)
Max Use: 1
Purpose: To indicate the total monetary amount
Syntax Notes:
Semantic Notes:
Notes:

Utility Request: Not Used
ESCO Response: Not Applicable

ESCO Request: Conditional
Utility ~~Request~~Response: Not Used
~~Responses:~~ Not Used

This segment must be sent by the ESCO when there is a change in the tax exemption status of the account and the Utility is the bill calculator, unless in its Utility Maintained EDI Guide a utility provides another means to implement the customer's sales tax exemption.

This segment is not applicable to the ESCO Combined Billing.

AMT~DP~1
AMT~DP~.75

Data Element Summary

	Ref.	Data	Name	Attributes
	Des.	Element	Amount Qualifier Code	
Mand.	AMT01	522	DP Exemption	M ID 1/3
			Tax Exempt Percent: This code is used when some or all of the ESCO's service is exempt from state sales tax. See AMT02 for valid values.	
Mand.	AMT02	782	Monetary Amount	M R 1/18
			For percentage values, the whole number "1" represents 100 percent or the ESCO service is fully tax exempt from state sales taxes. Decimal numbers less than "1" represent percentages; "0" indicates the account is NOT exempt from state sales taxes.	

Segment: **AMT** Monetary Amount (ESCO Commodity Price)
Position: 060
Loop: LIN Optional (Must Use)
Level: Detail
Usage: Optional (Dependent)
Max Use: 1
Purpose: To indicate the total monetary amount
Syntax Notes:
Semantic Notes:
Notes:

~~Utility Request: Not Used~~ ~~ESCO Request: Conditional~~
ESCO Response: Not Applicable

~~Utility Request: Not Used~~
~~ESCO Request: Conditional~~
Utility Response: Optional

This segment must be sent by the ESCO to report a change in commodity price when the bill option is Utility Rate Ready consolidated billing and the ESCO charges are being calculated based on a fixed price for all billed consumption on the account. The price change may be echoed back on the Utility Response transaction, at the option of the Utility, to confirm price changes.

This segment may be accompanied by a DTM*007 segment to communicate the effective date of the price change when the applicable Utility has agreed to process price changes on a date other than the regularly scheduled bill cycle date.

AMT~RJ~.015
AMT~RJ~.05

Data Element Summary

	Ref.	Data			Attributes
	Des.	Element	Name		
Mand.	AMT01	522	Amount	Qualifier Code	M ID 1/3
			RJ	Rate Amount	
				ESCO rate for the customer expressed as a monetary amount.	
Mand.	AMT02	782	Monetary Amount		M R 1/18
				Contains the unit price for each unit of measure for this customer.	
				The ESCO will be required to provide rate codes, in lieu of prices, when different prices will be applied to each meter on a multi-metered account or the ESCO price will vary by either the unit of measure for each service end point or the time of day interval for individual service end points.	

Segment: **AMT** Monetary Amount (ESCO Fixed Charge)
Position: 060
Loop: LIN Optional (Must Use)
Level: Detail
Usage: Optional (Dependent)
Max Use: 1
Purpose: To indicate the total monetary amount
Syntax Notes:
Semantic Notes:
Notes:

Utility Request: Not Used
ESCO Response: Not Applicable

ESCO Request: Conditional
Utility Response: Not Used

This segment must be sent by the ESCO to report a change in the fixed charge per account when the bill option is Utility Rate Ready consolidated billing and the ESCO charges include a fixed charge on each account.

This segment may be accompanied by a DTM*007 segment to communicate the effective date of the change in fixed charge when the applicable Utility has agreed to process price changes on a date other than the regularly scheduled bill cycle date.

AMT~FW~2.00

Data Element Summary

	Ref.	Data			Attributes
	Des.	Element	Name		
Mand.	AMT01	522	Amount	Qualifier Code	M ID 1/3
			FW	Flat Fee Paid Current Month	
				ESCO fixed charge expressed as a monetary amount.	
Mand.	AMT02	782	Monetary Amount		M R 1/18
				The fixed charge for this customer. Values must reflect two decimal places.	

Segment: **AMT** Monetary Amount(Customer's Tax Rate for ESCO Charges -1)
Position: 060
Loop: LIN Optional (Must Use)
Level: Detail
Usage: Optional (Dependent)
Max Use: 1
Purpose: To indicate the total monetary amount
Syntax Notes:
Semantic Notes:
Notes:

~~ESCO Request:~~ ~~Conditional~~ ~~Utility Request:~~ ~~Not Used~~
ESCO Response: Not Applicable

~~Utility Request:~~ ~~Not Used~~
~~ESCO Request:~~ ~~Conditional~~
Utility Response: Optional

Utility Rate Ready Consolidated Billing Only.

In its Utility Maintained EDI Guide, the utility will indicate if it supports the AMT*9M segment and if so, whether and how it is supported in conjunction with the AMT*9N and REF*RP segments.

Where supported:

- This segment is sent by an ESCO to report a change in the customer's tax rate for all service on the account or for the portion of the service taxed at a residential rate when a REF*RP segment was present in the Enrollment Request transaction.
- This segment is sent by an ESCO to report a change in the composite tax rate to compute the applicable tax amount associated with the ESCO charges.

The tax rate change may be echoed back on the Utility Accept Response transaction, at the option of the Utility, to confirm a tax rate change.

AMT~9M~.045

Data Element Summary

	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
Mand.	AMT01	522	Amount Qualifier Code	M ID 1/3

Tax Adjustments
The rate in AMT02 is the tax rate that should be used by the utility to calculate tax amounts associated with the ESCOs charges when the customer's bill option is Utility Rate Ready consolidated billing.

Mand.	AMT02	782	Monetary Amount	M R 1/18
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For percentage values, the whole number "1" will represent 100 percent; decimal numbers less than "1" represent percentages.

Segment: **AMT** Monetary Amount(Customer's Tax Rate for ESCO Charges -2)
Position: 060
Loop: LIN Optional (Must Use)
Level: Detail
Usage: Optional (Dependent)
Max Use: 1
Purpose: To indicate the total monetary amount
Syntax Notes:
Semantic Notes:
Notes:

~~Utility Request:~~ ~~Not Used~~~~ESCO Request:~~ ~~Conditional~~
ESCO Response: Not Applicable

~~Utility Request:~~ ~~Not Used~~
~~ESCO Request:~~ ~~Conditional~~
Utility Response: Optional

Utility Rate Ready Consolidated Billing Only.
In its Utility Maintained EDI Guide, the utility will indicate if it supports the AMT*9N segment and if so, whether and how it is supported in conjunction with the AMT*9M and REF*RP segments.
Where supported:

- This segment is sent by an ESCO to report a change in the customer's tax rate for that portion of the customer's service that is being taxed at a commercial rate when a REF*RP segment was present in the Enrollment Request transaction.
- This segment is sent by an ESCO to report a change in the composite tax rate to compute the applicable tax amount associated with the ESCO charges.

The tax rate change may be echoed back on the Utility Accept Response transaction, at the option of the Utility, to confirm a tax rate change.

AMT~9N~.075

Data Element Summary

	<u>Ref.</u>	<u>Data</u>	<u>Name</u>	<u>Attributes</u>
	<u>Des.</u>	<u>Element</u>	<u>Amount Qualifier Code</u>	
Mand.	AMT01	522	9N Tax Balance	M ID 1/3
			The rate in AMT02 is the tax rate that should be used by the utility to calculate tax amounts associated with the ESCOs charges applicable to the portion of the customer's service taxed at a commercial rate.	
Mand.	AMT02	782	Monetary Amount	M R 1/18
			For percentage values, the whole number "1" will represent 100 percent; decimal numbers less than "1" represent percentages.	

Segment: **AMT** Monetary Amount (ICAP)
Position: 060
Loop: LIN Optional (Must Use)
Level: Detail
Usage: Optional (Dependent)
Max Use: >1
Purpose: To indicate the total monetary amount
Syntax Notes:
Semantic Notes:
Comments:
Notes:

Utility Request: Conditional
 ESCO Response: Not Used

ESCO Request: Not Used
 Utility Response: Not Applicable

Sent for Electric accounts, if available, to report a change in the customer's peak load contribution for Electric Service. The ICAP tag effective dates should be provided with this segment.

AMT03 is a general indicator; i.e. it is not tied to any specific program

AMT~KZ~.015
 DTM~AB2~~~~RD8~20140501-20150430

AMT~KZ~0.15~C
 DTM~AB2~~~~RD8~20140501-20150430

Data Element Summary

	Ref.	Data			Attributes
	Des.	Element	Name		
Mand.	AMT01	522	Amount	Qualifier Code	M ID 1/3
			KZ	Corrective Action Requests - Written	
				ICAP Tag	
Mand.	AMT02	782	Monetary Amount		M R 1/18
				For percentage values, the whole number "1" represents 100 percent; decimal numbers less than "1" represent percentages from 1 percent to 99.99 percent.	
	AMT03	478	Credit/Debit Flag Code		ID 1/1
			C	Credit	
				Yes, there is a Special Program Adjustment Indicator related to the ICAP Tag. For example, a NYPA adjustment has been applied.	
			D	Debit	
				No, there is no Special Program Adjustment Indicator related to the ICAP Tag.	

Segment: **AMT** Monetary Amount (ESCO Pricing Adjustment Credit)
Position: 060
Loop: LIN Optional (Must Use)
Level: Detail
Usage: Optional (Dependent)
Max Use: 1
Purpose: To indicate the total monetary amount
Syntax Notes:
Semantic Notes:
Notes:

Utility Request: Not Used
ESCO Response: Not Applicable

ESCO Request: Conditional
Utility Response: Optional

~~Utility Request:~~ ~~Not Used~~
~~ESCO Response:~~ ~~Not Used~~

This segment must be sent by the ESCO when a Guaranteed Savings Program (GSP) Credit, e.g. to credit an Assistance Program Participant, is to be included on a Rate Ready Consolidated customer bill. This segment would only be sent when the ESCO has charged the customer more than what the utility would have and the ESCO is required to provide a credit to the customer. The amount may be echoed back on the Utility Accept Response transaction, at the option of the Utility, to confirm the one-time credit amount. Note that GSP Credits were formerly known as APP or EPA Credits. GSP Credits are a type of ESCO Pricing Adjustment.

Additionally, at utilities providing Bill Ready Consolidated customer bills, in cases where the ESCO issuing the credit is no longer serving the customer (and therefore no monthly usage is available for that ESCO), unless the Utility has specified a non-EDI process in its Utility Maintained EDI Guide, this segment should be used by the ESCO.

The utility will use this segment to apply a one-time credit to the customer's bill. This credit will be reflected in the 810 issued by a rate ready utility that is sent to the ESCO for the period where the credit was applied. This credit, when received via the AMT*7 segment, will be reflected in the 820 issued by a rate ready utility, or by a bill ready utility if the ESCO is no longer serving the customer, for the period where the credit was applied.

The 814 Change must be sent to the utility at least 4 business days prior to the scheduled meter read date in order to be included in the rate ready calculation for that bill period. In the event the 814 Change is not sent in time, the utility may hold the transaction for the next billing cycle or reject the 814 Change. If utility cannot issue a bill to the customer because the account is inactive, the Utility will reject the 814 Change and the ESCO is responsible for directly reimbursing the customer.

AMT~7~2.15

Data Element Summary

	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
Mand.	AMT01	522	Amount Qualifier Code	M ID 1/3
			7	Discount Amount Due
				ESCO GSP Credit
Mand.	AMT02	782	Monetary Amount	M R 1/18
			This element is a real number. Real numbers are assumed to be positive unless preceded by a minus sign. See Front Matter notes regarding syntax for real number elements. A GSP Credit shown as a negative amount. Adjustments to reduce prior GSP Credits are shown as positive amounts. For example, if the customer is owed a credit of \$2.15, the ESCO would send AMT~7~2.15. If an ESCO intends to adjust a previously issued GSP Credit to reflect a smaller net credit, it would send AMT~7~1.08, for example.	

Segment: **AMT** **Monetary Amount (ESCO Generic Credit)**
Position: 060
Loop: LIN Optional (Must Use)
Level: Detail
Usage: Optional (Dependent)
Max Use: 1
Purpose: To indicate the total monetary amount
Syntax Notes:
Semantic Notes:
Notes:

~~Utility Request: Not Used~~
~~ESCO Response: Not Applicable~~

ESCO Request: Conditional
Utility Response: Optional

~~Utility Request: Not Used~~
~~ESCO Response: Not Used~~

In its Utility Maintained EDI Guide, a utility will indicate if it accepts generic credits to be applied to customer bills. In such cases, this segment is sent by the ESCO to indicate the amount of the generic credit to be included on a Rate Ready Consolidated customer bill. The amount may be echoed back on the Utility Accept Response transaction, at the option of the Utility, to confirm the one-time credit amount.

The utility will use this segment to apply a one-time credit to the customer's bill. This credit will be reflected in the 810 issued by a rate ready utility that is sent to the ESCO for the period where the credit was applied.

The 814 Change must be sent to the utility at least 4 business days prior to the scheduled meter read date in order to be included in the rate ready calculation for that bill period. In the event the 814 Change is not sent in time, the utility may hold the transaction for the next billing cycle or reject the 814 Change. If utility cannot issue a bill to the customer because the account is inactive, the Utility will reject the 814 Change and the ESCO is responsible for directly providing the credit to the customer.

AMT~UJ~-2.15

Data Element Summary

	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
Mand.	AMT01	522	Amount Qualifier Code	M ID 1/3
			UJ	Other Credits
				ESCO Generic Credit
Mand.	AMT02	782	Monetary Amount	M R 1/18
			This element is a real number. Real numbers are assumed to be positive unless preceded by a minus sign. See Front Matter notes regarding syntax for real number elements. A generic credit is shown as a negative amount. Adjustments to reduce prior generic credits are shown as positive amounts. For example, if the customer is owed a credit of \$2.15, the ESCO would send AMT~UJ~-2.15. If an ESCO intends to adjust a previously issued generic credit to reflect a smaller net credit, it would send AMT~UJ~1.08, for example.	

Segment: **AMT** Monetary Amount (Basic HEAP Payment Amount Received)
Position: 060
Loop: LIN Optional (Must Use)
Level: Detail
Usage: Optional (Dependent)
Max Use: 1
Purpose: To indicate the total monetary amount
Syntax Notes:
Semantic Notes:
Notes:

~~ESCO Request: Conditional~~
 Utility Request: Not Used
~~ESCO Responses: Not Used~~ Applicable

ESCO Request: Conditional
Utility Response: Not Used

This segment must be sent by the ESCO billing customers under ESCO Combined Billing when the ESCO receives a Basic (Initial) HEAP Payment.

AMT~B1~121.5

Data Element Summary

	Ref. Des.	Data Element	Name	Attributes
Mand.	AMT01	522	Amount Qualifier Code B1 Benefit Amount Basic (Initial) HEAP Payment	M ID 1/3
Mand.	AMT02	782	Monetary Amount	M R 1/18

Segment: **AMT** Monetary Amount (Emergency HEAP Payment Amount Received)
Position: 060
Loop: LIN Optional (Must Use)
Level: Detail
Usage: Optional (Dependent)
Max Use: 1
Purpose: To indicate the total monetary amount
Syntax Notes:
Semantic Notes:
Notes:

~~ESCO Request: Conditional~~
 Utility Request: Not Used
~~ESCO Responses: Not Used~~ Applicable

ESCO Request: Conditional
Utility Response: Not Used

This segment must be sent by the ESCO billing customers under ESCO Combined Billing when the ESCO receives an Emergency (Supplemental) HEAP Payment.

AMT~BK~121.5

Data Element Summary

	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
Mand.	AMT01	522	Amount Qualifier Code BK	M ID 1/3
			Disbursements for Protection and Preservation Emergency (Supplemental) HEAP Payment	
Mand.	AMT02	782	Monetary Amount	M R 1/18

NM1~MX~3~~~~~32~A1234567 Meter exchange (new meter number supplied - old meter number sent in a REF*46)

Ref.	Data	Name
<u>Des.</u>	<u>Element</u>	<u>Entity Identifier</u>
NM101	98	MA

Medical Insurance Carrier

				Indicates a Meter Removal. This code is used when: one or more meters are removed from a multi-metered account; an account with a single meter is changed to UNMETERED or; a meter has been removed temporarily but the account remains active and another meter is added.
			MX	Juvenile Witness
				Indicates a Meter Exchange. This code value in this segment will be used in conjunction with a REF*46 segment, which will contain the old meter number, when there has been a meter exchange. This would include instances in which a meter is added to a previously un-metered account.
Mand.	NM102	1065	Entity Type Qualifier	M ID 1/1
			3	Unknown
				NM102 is an X12 required element.
Must Use	NM108	66	Identification Code Qualifier	X ID 1/2
			32	Assigned by Property Operator
				Use when NM109 contains a meter number.
			93	Code assigned by the organization originating the transaction set
				Use when NM109 is not a meter number.
Must Use	NM109	67	Identification Code	X AN 2/80
				If NM108 = 32: NM109 will contain the Utility meter number (in conformance with the conditions described above).
				If NM108 = 93: NM109 will contain the literal "UNMETERED" (for unmetered accounts) OR the literal "ALL" (used when changes apply to all meters in a multi-metered account).

Segment:	REF Reference Identification (Reason for Change - Meter Level)
Position:	130
Loop:	NM1 Optional (Dependent)
Level:	Detail
Usage:	Optional (Dependent)
Max Use:	>1
Purpose:	To specify identifying information
Syntax Notes:	<ol style="list-style-type: none"> 1 At least one of REF02 or REF03 is required. 2 If either C04003 or C04004 is present, then the other is required. 3 If either C04005 or C04006 is present, then the other is required.
Semantic Notes:	<ol style="list-style-type: none"> 1 REF04 contains data relating to the value cited in REF02.
Notes:	<p><u>Utility</u> Request: Conditional</p> <p><u>ESCO</u> Response: Optional</p> <p><u>ESCO Request:</u> Conditional</p> <p><u>Utility Response:</u> Optional</p> <p>This REF segment is required to identify the data segment that is being changed when changes in data segment(s) sent at the meter level are bring reported.</p> <p>REF~TD~REFNH</p>

Data Element Summary				
	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
Mand.	REF01	128	Reference Identification Qualifier	M ID 2/3
			TD Reason for Change	
Must Use	REF02	127	Reference Identification	X AN 1/30
			NM1MQ Change Metering Location	
			Use this code when indicating a change in the attributes of an existing meter(s) or un-metered service end points including corrections to meter number(s) previously sent	
			NM1MR Meter removal	
			NM1MX Meter exchange	
			NM1MA Meter addition	
			REFLO Change Load Profile	
			Use this code to report a change in the Utility's Load Profile Group Code	
			REFMT Change Meter Type	
			Use this code to report changes in measurement type and/or reporting intervals	
			REFNH Change Utility Rate Service Class	
			REFPR Change Utility Rate Sub Class	
			REFRB Change ESCO Rate Code	
			REFTU Change Use Time of Day	

Segment:	REF Reference Identification (Old Meter Number)
Position:	130
Loop:	NM1 Optional (Dependent)
Level:	Detail
Usage:	Optional (Dependent)
Max Use:	1
Purpose:	To specify identifying information
Syntax Notes:	1 At least one of REF02 or REF03 is required. 2 If either C04003 or C04004 is present, then the other is required. 3 If either C04005 or C04006 is present, then the other is required.
Semantic Notes:	1 REF04 contains data relating to the value cited in REF02.
Notes:	Utility Request: Conditional ESCO Response: Not Used ESCO Request: Not Used Utility Response: Not Applicable This segment is used when a meter exchange (MX) is being reported. In this situation, the new meter number is sent in the NM1 segment and the old meter number is sent in this REF segment. This segment is also used when a corrected meter number is being communicated. REF~46~123456789 REF~46~UNMETERED

Data Element Summary				
	Ref. Des.	Data Element	Name	Attributes
Mand.	REF01	128	Reference Identification Qualifier	M ID 2/3
			46 Old Meter Number	
			Identifies meters being removed	
Must Use	REF02	127	Reference Identification	X AN 1/30
			Old meter number.	

Segment:	REF Reference Identification (Utility Rate Service Class)
Position:	130
Loop:	NM1 Optional (Dependent)
Level:	Detail
Usage:	Optional (Dependent)
Max Use:	1
Purpose:	To specify identifying information
Syntax Notes:	1 At least one of REF02 or REF03 is required. 2 If either C04003 or C04004 is present, then the other is required. 3 If either C04005 or C04006 is present, then the other is required.
Semantic Notes:	1 REF04 contains data relating to the value cited in REF02.
Notes:	Utility Request: Conditional ESCO Response: Not Used ESCO Request: Not Used Utility Response: Not Applicable This segment is sent by the Utility to report a change in the customer's service class designation. REF~NH~1B REF~NH~931

Data Element Summary				
	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
Mand.	REF01	128	Reference Identification Qualifier NH	M ID 2/3
			Rate Card Number REF02 contains the Utility specific rate code that references the service class and rates applicable to this service delivery point.	
Must Use	REF02	127	Reference Identification Utility Rate code as found in the tariff. (This code can be used to retrieve rates from a utility's web site.)	X AN 1/30

Segment: **REF** Reference Identification (Utility Rate Sub Class)

Position: 130

Loop: NM1 Optional (Dependent)

Level: Detail

Usage: Optional (Dependent)

Max Use: 1

Purpose: To specify identifying information

Syntax Notes:

- 1 At least one of REF02 or REF03 is required.
- 2 If either C04003 or C04004 is present, then the other is required.
- 3 If either C04005 or C04006 is present, then the other is required.

Semantic Notes:

- 1 REF04 contains data relating to the value cited in REF02.

Notes:

Utility Request: Conditional

ESCO Response: Not Used

ESCO Request: Not Used

Utility Response: Not Applicable

REF~PR~0151

REF~PR~NRSVD

Data Element Summary

	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
Mand.	REF01	128	Reference Identification Qualifier PR Price Quote Number Utility Rate Subclass - Used to provide further classification of a rate.	M ID 2/3
Must Use	REF02	127	Reference Identification Provides further clarification of a tariff specified in the REF where REF01=NH.	X AN 1/30

Segment:	REF Reference Identification (Utility Load Profile Group Code)
Position:	130
Loop:	NM1 Optional (Dependent)
Level:	Detail
Usage:	Optional (Dependent)
Max Use:	1
Purpose:	To specify identifying information
Syntax Notes:	<ol style="list-style-type: none"> 1 At least one of REF02 or REF03 is required. 2 If either C04003 or C04004 is present, then the other is required. 3 If either C04005 or C04006 is present, then the other is required.
Semantic Notes:	<ol style="list-style-type: none"> 1 REF04 contains data relating to the value cited in REF02.
Notes:	Utility Request: Conditional ESCO Response: Not Used ESCO Request: Not Used Utility Response: Not Applicable REF~LO~L01

Data Element Summary				
	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
Mand.	REF01	128	Reference Identification Qualifier LO	M ID 2/3
			Load Planning Number	
			REF02 is the Utility assigned electric load profile code.	
Must Use	REF02	127	Reference Identification	X AN 1/30
			Utility assigned load profile code. Load profile code definitions are provided on the Utility web site.	

Segment:	REF Reference Identification (Measurement Type and Reporting Interval)
Position:	130
Loop:	NM1 Optional (Dependent)
Level:	Detail
Usage:	Optional (Dependent)
Max Use:	1
Purpose:	To specify identifying information
Syntax Notes:	<ol style="list-style-type: none"> 1 At least one of REF02 or REF03 is required. 2 If either C04003 or C04004 is present, then the other is required. 3 If either C04005 or C04006 is present, then the other is required.
Semantic Notes:	1 REF04 contains data relating to the value cited in REF02.
Notes:	Utility Request: Conditional ESCO Response: Not Used

ESCO Request: Not Used
 Utility Response: Not Applicable

This segment is sent to describe the measurement types and reporting intervals associated with the service delivery point(s) identified in the NM109 segment when a meter addition (NM01='MA'), a meter exchange (NM01='MX') or a change in existing meter data (NM01='MQ') is being reported. In some instances, a REF*TU segment may be required in conjunction with the REF*MT to adequately describe the consumption and reporting intervals for specific service end points.

REF~MT~KHMON
 REF~MT~COMBO
 REF~MT~TDMON
 REF~MT~KH030

Data Element Summary

	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
Mand.	REF01	128	Reference Identification Qualifier MT Meter Ticket Number Meter type. Used to identify the type of consumption measured by a meter and the interval between measurements or the type of consumption and reporting interval applicable to the un-metered service delivery points on the account.	M ID 2/3
Cond.	REF02	127	Reference Identification The type and reporting interval for consumption measurements are described in a five-character field. The first two characters are used to describe the type of consumption. The last three characters describe the reporting interval. The literal "COMBO" is sent in the REF02 when the service delivery point(s) identified in the NM109 is capable of providing different measurement types and/or providing measurements for more than one time period in a day. When the REF*MT segment contains "COMBO" OR usage is being measured in timed intervals (e.g. on peak), multiple REF*TU segments are used to provide the measurement types and the daily time period(s) for each measurement the meter will provide, as well as the reporting intervals used for billing.	X AN 1/30

When only one measurement type is applicable to the metered or un-metered service points identified in the NM109 element, the REF02 in this segment should contain one of the following codes as the first two characters to describe the type of consumption:

K1 Kilowatt Demand (kW)
K2 Kilovolt Amperes Reactive Demand (kVAR)
K3 Kilovolt Amperes Reactive Hour (kVARH)
K4 Kilovolt Amperes (kVA)
K5 Kilovolt Amperes Reactive (kVAR)
KH Kilowatt Hour (kWh)
HH Hundred Cubic Feet (CCF)
TZ Thousand Cubic Feet (MCF)
TD Therms

The following codes are used in the last three characters of the REF02 to document the interval capabilities for the metered or un-metered service delivery point(s) identified in the NM109. If that capability is not known then the interval that should be reported is the scheduled meter reading interval.

BIM Bi-Monthly
DAY Daily
MON Monthly
QTR Quarterly
TOU Time of Use

001-999 - Reporting Intervals (e.g. 015 indicates 15 minute intervals, 060 indicates 60 minute intervals, etc.)

DRAFT

Segment:	REF Reference Identification (Use Time of Day)
Position:	130
Loop:	NM1 Optional (Dependent)
Level:	Detail
Usage:	Optional (Dependent)
Max Use:	>1
Purpose:	To specify identifying information
Syntax Notes:	<ol style="list-style-type: none"> 1 At least one of REF02 or REF03 is required. 2 If either C04003 or C04004 is present, then the other is required. 3 If either C04005 or C04006 is present, then the other is required.
Semantic Notes:	<ol style="list-style-type: none"> 1 REF04 contains data relating to the value cited in REF02.
Notes:	<p>Utility Request: Conditional</p> <p>ESCO Response: Not Used</p> <p>ESCO Request: Not Used</p> <p>Utility Response: Not Applicable</p> <p>This segment is sent when there is a change in the manner in which usage will be measured on an account due to a meter addition, a meter exchange or a correction to previously reported data AND the "COMBO" code was sent in the REF*MT segment OR usage is measured in time intervals.</p> <p>REF~TU~51~KHMON } The service point identified in NM109 measures kilowatt</p> <p>REF~TU~41~KHMON } hours monthly for four time intervals: Total, Off Peak,</p> <p>REF~TU~42~KHMON } On Peak and Shoulder Peak.</p> <p>REF~TU~43~KHMON }</p>

Data Element Summary				
	Ref.	Data		Attributes
	Des.	Element	Name	
Mand.	REF01	128	Reference Identification	M ID 2/3
			TU	Time of Day
				REF02 will contain a code that indicates the time of day for which measurements are obtained.
Must Use	REF02	127	Reference Identification	X AN 1/30
			41	Off Peak
			42	On Peak
			43	Intermediate Peak
			51	Total/Totalizer
				Use "51" when:
				The total of all consumption of the indicated type for all periods recorded by the meter indicated in the NM1 segment will be reported in the 867 transaction. For example, if only the total usage for a metered service point will be sent in the 867, the REF*TU segment should contain "51". When consumption will be reported by intervals on the 867 transaction, for example, off peak, on peak, and total then multiple REF*TU segments must be present with codes of "41", "42" and "51" for the applicable metered service point.

The REF03 element is used to associate the time of day intervals in REF02 with the measurement type and meter cycle frequency for the meter(s) indicated in the NM109 element.

For example, if a specific meter provides both kilowatt hours and demand measurements for peak and off peak intervals for a monthly reading period, multiple REF*~~TU~~ segments would be sent as follows:

REF~TU~41~KHMON
 REF~TU~42~KHMON
 REF~TU~41~K1MON
 REF~TU~42~K1MON

Valid values for REF03 for consumption types:

K1 Kilowatt Demand (kW)
 K2 Kilovolt Amperes Reactive Demand (kVAR)
 K3 Kilovolt Amperes Reactive Hour (kVARH)
 K4 Kilovolt Amperes (kVA)
 K5 Kilovolt Amperes Reactive (kVAR)
 KH Kilowatt Hour (kWh)

Valid values for metering periods to be reported on an 867:

BIM Bi-Monthly
 DAY Daily
 MON Monthly
 QTR Quarterly
 TOU Time of Use

001-999 is used to report the number of minutes in an interval for an interval meter.

DRAFT

Segment:	REF Reference Identification (ESCO Rate Code)
Position:	130
Loop:	NM1 Optional (Dependent)
Level:	Detail
Usage:	Optional (Dependent)
Max Use:	1
Purpose:	To specify identifying information
Syntax Notes:	1 At least one of REF02 or REF03 is required. 2 If either C04003 or C04004 is present, then the other is required. 3 If either C04005 or C04006 is present, then the other is required.
Semantic Notes:	1 REF04 contains data relating to the value cited in REF02.
Notes:	Utility Request: Not Used ESCO Response: Not Applicable ESCO Request: Conditional Utility Response: Conditional This segment must be sent by the ESCO to report a change in the ESCO Rate Code when the bill option is Utility Rate Ready consolidated billing and the ESCO charges are being calculated based on a rate code table. This segment may be echoed back in Utility Accept Responses at the discretion of the utility. This segment may be accompanied by a DTM*007 segment to communicate the effective date of the change in Rate Code when the applicable Utility has agreed to process such changes on a date other than the regularly scheduled bill cycle date. REF~RB~R23X40 REF~RB~1150100

Data Element Summary				
	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
Mand.	REF01	128	Reference Identification Qualifier RB Rate code number REF02 Contains the ESCO Rate Code that will indicate the prices to be applied to this service point.	M ID 2/3
Must Use	REF02	127	Reference Identification An alphanumeric rate code indicating a table of rates used to calculate net charges associated with this metering point.	X AN 1/30

Segment: SE Transaction Set Trailer
Position: 150
Loop:
Level: Detail
Usage: Mandatory
Max Use: 1
Purpose: To indicate the end of the transaction set and provide the count of the transmitted segments (including the beginning (ST) and ending (SE) segments)

Syntax Notes:
Semantic Notes:
Notes:

Utility Request: Conditional
ESCO Response: Optional

ESCO Request: Required
Utility Response: Required

SE~27~0001

Data Element Summary

	<u>Ref.</u> <u>Des.</u>	<u>Data</u> <u>Element</u>	<u>Name</u>	<u>Attributes</u>
Mand.	SE01	96	Number of Included Segments	M N0 1/10
Mand.	SE02	329	Transaction Set Control Number	M AN 4/9