

**Case No. 12-M-0476 et al.**  
**EDI Business/Technical Working Groups**  
**Workpaper – 503 Transaction Technical Team Discussion - 4/29/2016**

Proposed Direction:

- A. The proposed direction is that the overall approach will remain the same previously planned, i.e. a 503 Response will be provided in response to valid 503 Request. Modifications to be considered are as follows:
- a. Renaming some items to reflect usage broader than for APP Credits only.
  - b. Utilities will have to option to respond as follows:
    - i. the full 24 months of data on the 503 response (for the months the ESCO served the customer) or
    - ii. based upon the history of the ESCO's 503 request, only send them most updated data.
    - iii. Utilities should note in their Utility Maintained EDI Guides which approach they've chosen.
  - c. To be determined...
- B. Additional 503 Oriented Questions:
1. When the ruling goes into effect, if the 503 is not ready for a utility's market, what process is expected by the supplier to request and receive the data needed for the guaranteed saving?
  2. What utilities are planning on sending the 503 to suppliers and what utilities are expecting the suppliers to request the 503?
  3. If the utility is expecting to push the 503 to the supplier:
    - Will you be planning on sending the 503 monthly?
    - For a bill ready utility, if you are sending the 503 monthly, will it be with the 867 or after the 810 is received from the supplier?
    - Are we looking at a one month summary or will this be a rolling 12/24 months?
    - Will the summary be for current billing or for the last billing period?
    - What is the estimated time-frame for "go live" with the 503?
    - What are the plans on how handle credits in a rate ready market until the 503 goes live?
  4. If ESCOs are to request the 503:
    - Does each utility have an estimate on when the 503 process be ready?
    - Will 12 or 24 months history be provided?
  5. When does each utility plan to "go live" for 814 changes in rate ready markets?
    - If a utility is not ready to go live with the AMT7 segment, how are we to send the discount to the utility?
  6. When does each utility plan to "go live" with the 810 changes?
  7. When does each utility plan to "go live" with the 820 changes?