Orange and Rockland Utilities, Inc.

Small Business Direct Install Program

Monthly Scorecard – December 2011

Section I. Basic Program Information

Basic information about each program must be provided for each program with the first monthly report and again whenever the program changes so that the current program information is up-to-date. Such basic information is separate from the data collected in the participant-level program-tracking database. The list of basic program information is as follows:

a) Full program descriptions, including operation and procedures manuals, activities descriptions, and a description of program service territory; The **Small Business Direct Install Program** is designed to target energy efficient lighting measures in businesses using less than 100 kW of peak demand. O&R will contribute 70% of the installed cost of the measure in order to overcome the market barrier of up-front cost. The Program will be implemented throughout Orange and Rockland's service territory which includes Rockland County and portions of Orange and Sullivan Counties. Willdan Energy Solutions (WES), 245 Park Avenue, New York, NY 10167 executed a contract with O&R on November 4, 2009 for implementation services.

b) Detailed descriptions of tracking system and tracking system operations, including data dictionaries; WES's customized database system, "SMART" – Subcontractor Management and Reporting Tool will be used to track all aspects of program participation. It will track customer data, provide project management of the field activities, and provide management reporting as needed.

c) A detailed description or map of how data in the tracking system contributes to the monthly report. DPS should be able to take the program-tracking databases and relevant accounting information for a given utility or NYSERDA and reproduce the monthly report. See answer in section (b).

Program management and staff names, titles, work locations, phone numbers, fax numbers, and e-mail addresses;

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d) Program savings objectives; Savings objectives are to acquire durable savings in energy and peak demand among small to medium C&I customers with peak demands under 100 kW. Measures to be installed target lighting and incentives will include $100 of free lighting measures and 70% of installed cost for additional measures. By year end 2011, the program energy savings goals are 34,345 MWh.

Section IV. Sample Narrative Report to be included with spreadsheet

Program Administrator: Bob Melvin
Program/Project: ORANGE & ROCKLAND – SMALL BUSINESS DIRECT INSTALL PROGRAM
Reporting period: December 2011
Report Contact person: Charmaine Cigliano

1. Program Status

Program Performance Goals

(a) Describe and discuss circumstances that may have an impact on the achievement of project performance goals (positive or negative).
The Small Business Direct Install Program performance continued to be hampered by the sluggish economy and the reluctance customer to commit to funding their 30% share of the project. Small business owners are reluctant to spend cash that may be needed for other expenses in the near future thereby placing less importance on energy savings and more importance on the unstable economy.

(b) Describe and discuss other key aspects of program performance goals that were not discussed in (a).
December 2011 savings were 1,456 MWh as a result of utilizing all available implementation resources and focusing all available resources to closing out projects. Cumulative 2011 energy savings is 18,777 MWh with committed savings, projects that customers agreed to install that are not yet scheduled, totaling 3,228 MWh that will be installed in early 2012.

(c) Provide updates to the forecast of net energy and demand impacts. The forecast should be updated at least annually. Note and explain any discrepancies between the filed program goal and the latest forecast.
O&R has achieved 55% of its cumulative Program goal of 34,345 and is currently forecasting 64% achievement of the cumulative three year goal including 100% of the committed energy savings.

2. Program Implementation Activities. This section is designed to quantify major activities not captured in the progress spreadsheet.
(a) Marketing Activities

List and describe major marketing accomplishments. Describe activities in quantitative and qualitative terms. Provide copies of key marketing materials.

During the month of December, the Lighten Up program continued to utilize street sweeps with contractors marketing door to door. This effort follows O&R's efforts to increase customer awareness of the Program through direct mailings, @ work publications, 60 second radio spots that continued for the entire month, business journal advertisements and the Lighten Up website. O&R continues to pursue a strong grassroots marketing campaign by meeting with customers, municipalities and civic organizations.

Customer testimonial ads featuring Beckerle Lumber, Jawanio, Port Java and the Village of Monroe continue to be placed in the Hudson Valley Business Journal and HV Biz. CES continued a 10 second promotional spot on local cable stations directing business owners who want to save energy and money to visit the LightenUpNow.com website.

On December 2, 2011 O&R and Central Hudson co-hosted an energy efficiency and lighting seminar for Orange County Chamber of Commerce members at their Montgomery office. On December 6, 2011 O&R sponsored an information booth at the annual Orange County Partnership's Annual Networking Event.

(b) Evaluation Activities

List and describe evaluation activities. Compare them with goals and objectives established for the report period. Describe activities in quantitative and qualitative terms.
O&R is finalizing its process evaluation and has been working with the evaluation consultant to implement program delivery changes to address their preliminary findings. Impact evaluations are underway and program data requests are being processed.

(c) Other Activities
None.

List and describe major accomplishments not captured in either the spreadsheet or this report. Describe work activities in quantitative and qualitative terms.

3. Customer Complaints and/or Disputes
Describe any customer disputes or complaints and how they have been resolved.
None.

4. Changes to Subcontractors or Staffing
Describe any staff or subcontractor/consultant changes.
None.

5. Additional Issues
There are no additional issues.

Orange & Rockland Utilities, Inc.

Residential HVAC – Gas Program

Monthly Scorecard – December 2011

Section I. Basic Program Information

Basic information about each program must be provided for each program with the first monthly report and again whenever the program changes so that the current program information is up-to-date. Such basic information is separate from the data collected in the participant-level program-tracking database. The list of basic program information is as follows:

a) Full program descriptions, including operation and procedures manuals, activities descriptions, and a description of program service territory; The **Residential HVAC Gas Rebate Program** supports the installation of high efficiency gas boilers, furnaces, indirect water heaters as well as boiler reset controls, programmable thermostats and duct sealing. Incentives are offered directly to consumers and O&R works with contractors, distributors and trade allies to jointly promote these products and services to O&R customers. The Program is implemented throughout O&R's service territory which includes Orange and Rockland counties as well as portions of Sullivan County. Operation and procedural manuals were to be developed in coordination with the Implementation Contractor for both the electric and gas residential high efficiency HVAC programs. The termination of the electric program presented a barrier to this contracting process. Therefore, O&R has continued implementation of the gas HVAC rebate program internally and therefore, development of the procedural manuals, as well as other tasks originally proposed for the implementation contractor, is now done internally.

b) Detailed descriptions of tracking system and tracking system operations, including data dictionaries; The Company anticipated utilizing the Implementation Contractor's tracking database tool, however, O&R has tracked all the data elements and has transferred all data to an internal database tracking system.

c) A detailed description or map of how data in the tracking system contributes to the monthly report. DPS should be able to take the program-tracking databases and relevant accounting information for a given utility or NYSERDA and reproduce the monthly report. Since O&R has been processing rebates for this program since it began in July 2009, all data necessary to calculate measure savings has been tracked.

d) Program management and staff names, titles, work locations, phone numbers, fax numbers, and e-mail addresses;

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Section IV. Sample Narrative Report to be included with spreadsheet

	Orange and Rockland – RESIDENTIAL HVAC Rebate
Program Administrator:	PROGRAM – GAS
Program/Project:	
Reporting period:	December 2011
Report Contact person:	Charmaine Cigliano

2. Program Status

Program Performance Goals

(a) Describe and discuss circumstances that may have an impact on the achievement of project performance goals (positive or negative).
As of December, 2011 the Program has acquired savings of 28,617, dekatherms, or 107% of the extended three year goal of 26,828 dekatherms established in the June 24th 2010 order. Additionally, another 1,427 dekatherms were committed in January for equipment installed in 2011.

(b) Describe and discuss other key aspects of program performance goals that were not discussed in (a).
The October 25, 2011 Order, approving the program set aggressive goals for the 2012-2015 period. O&R will be reviewing historical program achievement to determine a sustainable market penetration rate for that period and may be proposing reduced goals taking into account past performance and forecasting participation.

(c) Provide updates to the forecast of net energy and demand impacts. The forecast should be updated at least annually. Note and explain any discrepancies between the filed program goal and the latest forecast.
No change.

2. Program Implementation Activities. This section is designed to quantify major activities not captured in the progress spreadsheet.

(a) Marketing Activities

List and describe major marketing accomplishments. Describe activities in quantitative and qualitative terms. Provide copies of key marketing materials.

Outreach for this program is coordinated to coincide with the heating season, typically beginning after Labor Day and extending through early winter. This heating season, O&R reduced its marketing efforts since the program was approaching 100% of its cumulative 2009-2011 energy goals with only one bill insert in September 2011. Another bill insert was sent in January 2012 to kick-off the next program cycle and to maintain program momentum.

(b) Evaluation Activities

List and describe evaluation activities. Compare them with goals and objectives established for the report period. Describe activities in quantitative and qualitative terms. O&R's third party evaluation presented preliminary findings resulting from surveys conducted with participating and non-participating customers and contractors. While the review is very positive, recommendations for modifications are being evaluated. In addition, O&R is participating in a joint impact evaluation study with other program administrators in order to gain synergy savings.

List and describe major accomplishments not captured in either the spreadsheet or this report. Describe work activities in quantitative and qualitative terms.

3. Customer Complaints and/or Disputes

Describe any customer disputes or complaints and how they have been resolved.

There have been no customer disputes or complaints to date.

4. Changes to Subcontractors or Staffing

Describe any staff or subcontractor/consultant changes.

There have been no changes.

5. Additional Issues

There are no additional issues.

Orange and Rockland Utilities, Inc.

Commercial & Industrial Existing Buildings Program

Monthly Scorecard – December 2011

Section I. Basic Program Information

Basic information about each program must be provided for each program with the first monthly report and again whenever the program changes so that the current program information is up-to-date. Such basic information is separate from the data collected in the participant-level program-tracking database. The list of basic program information is as follows:

e) Full program descriptions, including operation and procedures manuals, activities descriptions, and a description of program service territory; The **Commercial & Industrial Existing Buildings Program** is designed to encourage commercial and industrial customers to install high-efficiency equipment in their facilities. The program offers both prescriptive and custom rebates. Financial incentives are based on 50% of the incremental measure cost for installing high-efficiency heating, cooling, and ventilation equipment, or for upgrading lighting, motors and variable speed drives. The custom component of the program is designed to offer customers rebates for cost-effective measures or projects that are not covered by the prescriptive rebates. Rebate levels will be determined based on economic customer payback based on the guidelines from the October 23, 2009 Order. The approved budget is $4,358,626 to attain a cumulative annual savings of 14,458 MWh through 2011. Per the August 22, 2011 PSC order pertaining to time lost issues and the anticipated reduction due to measurement criteria adjustments, the two year combined goal is revised from 14,458 MWh to 10,259 MWh. Additionally, per August 22, 2011 Order, the overall program budget was revised from $4,358,626 to $3,813,797. Customers who participate must pay the Systems Benefit Charge.

f) Detailed descriptions of tracking system and tracking system operations, including data dictionaries; O&R tracks all the data elements and is now in the process of developing an internal database tracking system. The tracking database will include all customer and measure specific data necessary identified in Section II A. Table 1.

g) Program management and staff names, titles, work locations, phone numbers, fax numbers, and e-mail addresses;

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Section IV. Sample Narrative Report to be included with spreadsheet

Program Administrator:	Steve Orman
Program/Project:	ORANGE & ROCKLAND – COMMERCIAL & INDUSTRIAL EXISTING BUILDINGS PROGRAM
Reporting period:	December 2011
Report Contact person:	Charmaine Cigliano

3. Program Status

Program Performance Goals

(a) Describe and discuss circumstances that may have an impact on the achievement of project performance goals (positive or negative).
Activity increased in December as current applicants moved to close out uncompleted projects before year-end and several new applications were received. Several pre and post installation inspections were conducted to commit energy savings and process rebates. In-person meetings with potential new applicants were also conducted this month. Though activity has noticeably increased, the economy remains a factor in facility manager hesitancy to invest capital in energy efficient upgrades. Many facility managers are still postponing upgrades in lieu of retaining funds for unexpected expenses.

(b) Provide updates to the forecast of net energy and demand impacts. The forecast should be updated at least annually. Note and explain any discrepancies between the filed program goal and the latest forecast.
December energy savings totaled 2,469 MWh with cumulative energy savings of 4,180 MWh or 41% of the reduced two year goal of 10,259 MWh including the technical manual reduction found in the August 2011 SAPA notice. O&R anticipates difficulty in achieving the energy savings goal with the slow economic recovery. If customers install 100% of the projects committed to in 2011, 75% to 80% of the reduced program goal may be achieved.

2. Program Implementation Activities This section is designed to quantify major activities not captured in the progress spreadsheet.
(a) Marketing Activities

List and describe major marketing accomplishments. Describe activities in quantitative and qualitative terms. Provide copies of key marketing materials.

(b) Evaluation Activities

List and describe evaluation activities. Compare them with goals and objectives established for the report period. Describe activities in quantitative and qualitative terms.
The third party evaluation contractor has initiated a process evaluation for this program.

3. Customer Complaints and/or Disputes
Describe any customer disputes or complaints and how they have been resolved.
There have been no customer complaints to date.

4. Changes to Subcontractors or Staffing
Describe any staff or subcontractor/consultant changes.
There have been no subcontractor/consultant changes to date.

5. Additional Issues
There are no additional issues.

Orange & Rockland Utilities, Inc.

Residential Efficient Products Program

Monthly Scorecard – December 2011

Section I. Basic Program Information

Basic information about each program must be provided for each program with the first monthly report and again whenever the program changes so that the current program information is up-to-date. Such basic information is separate from the data collected in the participant-level program-tracking database. The list of basic program information is as follows:

e) Full program descriptions, including operation and procedures manuals, activities descriptions, and a description of program service territory; The **Residential Efficient Products Program** is an appliance rebate and recycling program. The appliance rebate component provides up to $50 for the purchase of ENERGY STAR® rated room air conditioners and $10 for dehumidifiers. The recycling component collects and environmentally recycles old inefficient working second refrigerators and freezers. Customers who turn in operating units will receive a $50 incentive. Customers call to schedule a pickup and the equipment is dismantled with potential containments disposed of properly. All program participants will receive three CFL bulbs in addition to their rebate as a thank you for investing in energy efficiency and to introduce customers to the benefits of CFLs. The Program is implemented throughout O&R's service territory which includes Orange and Rockland Counties as well as portions of Sullivan County. O&R will administer and implement the Program with internal staff with the exception of the appliance collection and recycling, and the CFL kit disbursements which were both contracted through a competitive bid process. The contract for appliance collection and recycling was awarded to Appliance Recycle Centers of America Inc. (ARCA), and the contract for CFL kit disbursement was awarded to Niagara Conservation Corp. (Niagara).

f) Detailed descriptions of tracking system and tracking system operations, including data dictionaries; O&R has tracked all the data elements and has transferred all data to an internal database tracking system to date.

g) A detailed description or map of how data in the tracking system contributes to the monthly report. DPS should be able to take the program-tracking databases and relevant accounting information for a given utility or NYSERDA and reproduce the monthly report. All data necessary to calculate measure savings has been tracked.

h) Program management and staff names, titles, work locations, phone numbers, fax numbers, and e-mail addresses;

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Section IV. Sample Narrative Report to be included with spreadsheet

Program Administrator: Orange and Rockland – RESIDENTIAL EFFICIENT PRODUCTS PROGRAM
Program/Project:
Reporting period: December 2011
Report Contact person: Charmaine Cigliano

4. Program Status

Program Performance Goals

(a) Describe and discuss circumstances that have an impact on the achievement of project performance goals (positive or negative).
Steady participation continued in December with 131 refrigerators and freezers collected and recycled representing approximately 183 MWh of savings. CES also processed 26 new Energy Star room air conditioner and dehumidifier rebate applications, representing an additional 2.6 MWh of savings. Further energy savings during the month were realized through the disbursement of 1,429 energy efficiency kits, which were mailed to program participants. The savings associated with this activity represents an additional 268 MWh of savings. December savings totaled 454 MWh, and O&R has now achieved approximately 103% of the 1,627 MWh goal for 2011.

(b) Describe and discuss other key aspects of program performance goals that were not discussed in (a).
The October 25, 2011 Order, approving the program through 2015 sets aggressive goals for the 2012-2015 period. O&R will need to revisit program goals to determine a sustainable level of participation and associated energy savings.

(c) Provide updates to the forecast of net energy and demand impacts. The forecast should be updated at least annually. Note and explain any discrepancies between the filed program goal and the latest forecast.

No change.

2. Program Implementation Activities This section is designed to quantify major activities not captured in the progress spreadsheet.

(a) Marketing Activities

List and describe major marketing accomplishments. Describe activities in quantitative and qualitative terms. Provide copies of key marketing materials.

In December, O&R continued airing a 30 second television commercial promoting the refrigerator and freezer recycling component of the program on local cable TV which airs on ESPN, E!, HGTV, News12, Bravo, MSG, Food, and History channels. The program was also highlighted in a December bill insert, and 60 second spots continued to air on the local radio station, 100.7 WHUD for the entire month.

(b) Evaluation Activities

List and describe evaluation activities. Compare them with goals and objectives established for the report period. Describe activities in quantitative and qualitative terms.

There have been no evaluation activities to date.

List and describe major accomplishments not captured in either the spreadsheet or this report. Describe work activities in quantitative and qualitative terms.

3. Customer Complaints and/or Disputes

Describe any customer disputes or complaints and how they have been resolved.

There have been no customer disputes or complaints to date.

4. Changes to Subcontractors or Staffing

Describe any staff or subcontractor/consultant changes.

There have been no changes.

5. Additional Issues

There are no additional issues.

Program Administrator (PA) and Program ID[1]	O&R HVAC-Gas
Program Name	Residential HVAC-G Rebate Program
Program Type[2]	Downstream
Total Acquired First-Year Impacts This Month[3]	
Net first-year annual kWh acquired this month[4]	NA
Monthly Net kWh Goal (based on net first-year *annual*[5] kWh Goal)	NA
Percent of Monthly Net kWh Goal Acquired	NA
Net Peak[6] kW acquired this month	NA
Monthly Net Peak kW Goal	NA
Percent of Monthly Peak kW Goal Acquired	NA
Net First-year annual therms acquired this month	13,609
Monthly Net Therm Goal	8,943
Percent of Monthly Therm Goal Acquired	152.2%
Net Lifecycle kWh acquired this month	NA
Net Lifecycle therms acquired this month	272,178
Total Acquired Net First-Year Impacts To Date	
Net first-year annual kWh acquired to date	NA
Net first-year annual kWh acquired to date as a percent of annual goal	NA
Net first-year annual kWh acquired to date as a percent of 8-year goal	NA
Net cumulative kWh acquired to date	NA
Net utility peak kW reductions acquired to date	NA
Net utility peak kW reductions acquired to date as a percent of utility annual goal	NA
Net utility peak kW reductions acquired to date as a percent of 8-year goal	NA
Net NYISO peak kW reductions acquired to date	NA
Net first-year annual therms acquired to date	286,170
Net first-year annual therms acquired to date as a percent of annual goal (revised)	106.7%
Net first-year annual therms acquired to date as a percent of 8-year goal (revised)	40.0%
Net cumulative therms acquired to date	286,170
Total Acquired Lifecycle Impacts To Date[7]	
Net Lifecycle kWh acquired to date	NA
Net Lifecycle therms acquired to date	3,821,868
Committed[7] Impacts (not yet acquired) This Month	
Net First-year annual kWh committed this month	NA
Net Lifecycle kWh committed this month	NA
Net Utility Peak kW committed this month	NA
Net first-year annual therms committed this month	14,272
Net Lifecycle therms committed this month	164,971
Funds committed at this point in time	$26,580
Overall Impacts (Acquired & Committed)	
Net first-year annual kWh acquired & committed this month	NA
Net utility peak kW acquired & committed this month	NA
Net First-year annual therms acquired & committed this month	27,881
Costs[8]	
Total program budget (revised per June 24th Order)	$1,024,944
General Administration	$12,753
Program Planning	$0
Program Marketing	$835
Trade Ally Training	$0
Incentives and Services	$24,362
Direct Program Implementation	-$359
Program Evaluation	$0
Total expenditures to date	$823,962
Percent of total budget spent to date	80.4%
Participation	
Number of program applications received to date	1,180
Number of program applications *processed* to date[9]	1,129
Number of processed applications *approved* to date[10]	74
Percent of applications received to date that have been processed	95.7%

NOTES:

[1]DPS Staff needs to work with utilities to develop a Program ID naming convention. However, a Program ID number is not required for the first report. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.

[2]There is not currently a consistent list of program types but individual categories for common use by administrators could be developed.

[3]First-year savings are defined as the annual savings expected from a given measure in the first year after installation. The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. ***Acquired*** kWh savings are defined as those savings that reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.

[4]Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.

[5]Program Administrators should make best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the *monthly* goals but does not want to mandate monthly goals, at least initially.

[6] Peak is defined uniquely for each utility.

[7]The lifecycle savings are tracked beginning in the *year* in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will be different for each month as a function of adding savings from measures in stalled in a given month and subtracting savings from measures installed earlier in the funding cycle that have reached the end of thier useful life.

[8] Committed savings are defined as those for which funds have been encumbered by not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's best *estimate* of what they have committed. There should be some assumptions on how the administrator does that. Program administrators should forecast as accurately as possible and it should get more precise with program experience, e.g., the difference between achieved and committed should get closer over time.

[9]These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans.

Companies should include a "description of expenditures within each category" (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11) and separately quantify each item within each category. These expenditures must include and identify all direct and indirect costs attributable to each program category. Companies must provide the basis of allocation for all indirect costs.

Companies should identify whether each cost item is to be recovered through the SBC surcharge, base rates, or other recovery mechanism (e.g., monthly adjustment charges).

[10]An application is processed once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."

[11]The application is approved once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for for programs in which there are ases in which an application could be received, processed, and approved all in one day, then a "1" would be counted for each step in the tracking lifecycle.

Program Administrator (PA) and Program ID[1]	O&R SBDI
Program Name	Small Business Direct Install
Program Type[2]	Downstream
Total Acquired First-Year Impacts This Month[3]	
Net first-year annual kWh acquired this month[4]	1,456,490
Monthly Net kWh Goal (based on net first-year *annual*[5] kWh Goal)	1,107,903
Percent of Monthly Net kWh Goal Acquired	131%
Net Peak[6] kW acquired this month	479.1
Monthly Net Peak kW Goal	197.7
Percent of Monthly Peak kW Goal Acquired	242%
Net First-year annual therms acquired this month	NA
Monthly Net Therm Goal	NA
Percent of Monthly Therm Goal Acquired	NA
Net Lifecycle kWh acquired this month	16,114,618
Net Lifecycle therms acquired this month	NA
Total Acquired Net First-Year Impacts To Date	
Net first-year annual kWh acquired to date	18,777,324
Net first-year annual kWh acquired to date as a percent of annual goal	55%
Net first-year annual kWh acquired to date as a percent of 8-year goal	21%
Net cumulative kWh acquired to date	18,777,324
Net utility peak kW reductions acquired to date	5416.2
Net utility peak kW reductions acquired to date as a percent of utility annual goal	88%
Net utility peak kW reductions acquired to date as a percent of 8-year goal	33%
Net NYISO peak kW reductions acquired to date	5416.2
Net first-year annual therms acquired to date	NA
Net first-year annual therms acquired to date as a percent of annual goal	NA
Net first-year annual therms acquired to date as a percent of 8-year goal	NA
Net cumulative therms acquired to date	NA
Total Acquired Lifecycle Impacts To Date[7]	
Net Lifecycle kWh acquired to date	235,701,868
Net Lifecycle therms acquired to date	NA
Committed[7] Impacts (not yet acquired) This Month	
Net First-year annual kWh committed this month	3,228,395
Net Lifecycle kWh committed this month	43,319,158
Net Utility Peak kW committed this month	1,112.0
Net first-year annual therms committed this month	NA
Net Lifecycle therms committed this month	NA
Funds committed at this point in time	$963,243
Overall Impacts (Acquired & Committed)	
Net first-year annual kWh acquired & committed this month	4,684,885
Net utility peak kW acquired & committed this month	1591.2
Net First-year annual therms acquired & committed this month	NA
Costs[8]	
Total program budget	$9,087,089
General Administration (current month)	$27,379
Program Planning (current month)	$0
Program Marketing (current month)	$56,513
Trade Ally Training (current month)	$0
Incentives and Services (current month)	$1,694,631
Direct Program Implementation (current month)	-$11,956
Program Evaluation (current month)	$0
Total expenditures to date	$7,169,870
Percent of total budget spent to date	78.9%
Participation	
Number of program applications received to date	4,402
Number of program applications *processed* to date[9]	3,648
Number of processed applications *approved* to date[10]	11,636
Percent of applications received to date that have been processed	83%

NOTES:
[1]DPS Staff needs to work with utilities to develop a Program ID naming convention. However, a Program ID number is not required for the first report. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.
[2]There is not currently a consistent list of program types but individual categories for common use by administrators could be developed.
[3]First-year savings are defined as the annual savings expected from a given measure in the first year after installation. The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. ***Acquired*** kWh savings are defined as those savings that reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.
[4]Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.
[5]Program Administrators should make best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the *monthly* goals but does not want to mandate monthly goals, at least initially.
[6] Peak is defined uniquely for each utility.
[7]The lifecycle savings are tracked beginning in the *year* in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will be different for each month as a function of adding savings from measures in stalled in a given month and subtracting savings from measures installed earlier in the funding cycle that have reached the end of thier useful life.
[8] Committed savings are defined as those for which funds have been encumbered by not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's best *estimate* of what they have committed. There should be some assumptions on how the administrator does that. Program administrators should forecast as accurately as possible and it should get more precise with program experience, e.g., the difference between achieved and committed should get closer over time.
[9]These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans. Companies should include a "description of expenditures within each category" (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11) and separately quantify each item within each category. These expenditures must include and identify all direct and indirect costs attributable to each program category. Companies must provide the basis of allocation for all indirect costs. Companies should identify whether each cost item is to be recovered through the SBC surcharge, base rates, or other recovery mechanism (e.g., monthly adjustment charges).
[10]An application is processed once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."
[11]The application is approved once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for for programs in which there are ases in which an application could be received, processed, and approved all in one day, then a "1" would be counted for each step in the tracking lifecycle.

Program Administrator (PA) and Program ID[1]	O&R C&I
Program Name	C&I Existing Buildings
Program Type[2]	Downstream
Total Acquired First-Year Impacts This Month[3]	
Net first-year annual kWh acquired this month[4]	2,469,108
Monthly Net kWh Goal (based on net first-year *annual*[5] kWh Goal)	427,458
Percent of Monthly Net kWh Goal Acquired	578%
Net Peak[6] kW acquired this month	300.4
Monthly Net Peak kW Goal	94.6
Percent of Monthly Peak kW Goal Acquired	318%
Net First-year annual therms acquired this month	NA
Monthly Net Therm Goal	NA
Percent of Monthly Therm Goal Acquired	NA
Net Lifecycle kWh acquired this month	24,691,080
Net Lifecycle therms acquired this month	NA
Total Acquired Net First-Year Impacts To Date	
Net first-year annual kWh acquired to date	4,179,693
Net first-year annual kWh acquired to date as a percent of annual goal	41%
Net first-year annual kWh acquired to date as a percent of 8-year goal	10%
Net cumulative kWh acquired to date	4,179,693
Net utility peak kW reductions acquired to date	724.6
Net utility peak kW reductions acquired to date as a percent of utility annual goal	32%
Net utility peak kW reductions acquired to date as a percent of 8-year goal	8%
Net NYISO peak kW reductions acquired to date	724.6
Net first-year annual therms acquired to date	NA
Net first-year annual therms acquired to date as a percent of annual goal	NA
Net first-year annual therms acquired to date as a percent of 8-year goal	NA
Net cumulative therms acquired to date	NA
Total Acquired Lifecycle Impacts To Date[7]	
Net Lifecycle kWh acquired to date	42,021,317
Net Lifecycle therms acquired to date	NA
Committed[7] Impacts (not yet acquired) This Month	
Net First-year annual kWh committed this month	4,201,759
Net Lifecycle kWh committed this month	42,017,590
Net Utility Peak kW committed this month	378.8
Net first-year annual therms committed this month	NA
Net Lifecycle therms committed this month	NA
Funds committed at this point in time	$725,000
Overall Impacts (Acquired & Committed)	
Net first-year annual kWh acquired & committed this month	6,670,867
Net utility peak kW acquired & committed this month	679.2
Net First-year annual therms acquired & committed this month	NA
Costs[8]	
Total program budget	$3,813,797
General Administration	37,324
Program Planning	6,320
Program Marketing	11,358
Trade Ally Training	0
Incentives and Services	289,245
Direct Program Implementation	22,805
Program Evaluation	1,787
Total expenditures to date	852,820
Percent of total budget spent to date	22.4%
Participation	
Number of program applications received to date	42
Number of program applications *processed* to date[9]	30
Number of processed applications *approved* to date[10]	8
Percent of applications received to date that have been processed	71%

NOTES:

[1]DPS Staff needs to work with utilities to develop a Program ID naming convention. However, a Program ID number is not required for the first report. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.

[2]There is not currently a consistent list of program types but individual categories for common use by administrators could be developed.

[3]First-year savings are defined as the annual savings expected from a given measure in the first year after installation. The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. ***Acquired*** kWh savings are defined as those savings that reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.

[4]Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.

[5]Program Administrators should make best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the *monthly* goals but does not want to mandate monthly goals, at least initially.

[6] Peak is defined uniquely for each utility.

[7]The lifecycle savings are tracked beginning in the *year* in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will be different for each month as a function of adding savings from measures in stalled in a given month and subtracting savings from measures installed earlier in the funding cycle that have reached the end of thier useful life.

[8] Committed savings are defined as those for which funds have been encumbered by not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's best *estimate* of what they have committed. There should be some assumptions on how the administrator does that. Program administrators should forecast as accurately as possible and it should get more precise with program experience, e.g., the difference between achieved and committed should get closer over time.

[9]These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans.

Companies should include a "description of expenditures within each category" (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11) and separately quantify each item within each category. These expenditures must include and identify all direct and indirect costs attributable to each program category. Companies must provide the basis of allocation for all indirect costs.

Companies should identify whether each cost item is to be recovered through the SBC surcharge, base rates, or other recovery mechanism (e.g., monthly adjustment charges).

[10]An application is processed once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."

[11]The application is approved once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for for programs in which there are ases in which an application could be received, processed, and approved all in one day, then a “1” would be counted for each step in the tracking lifecycle.

Program Administrator (PA) and Program ID[1]	**O&R EP**
Program Name	**Efficient Products**
Program Type[2]	**Downstream**
Total Acquired First-Year Impacts This Month[3]	
Net first-year annual kWh acquired this month[4]	454,432
Monthly Net kWh Goal (based on net first-year *annual*[5] kWh Goal)	232,429
Percent of Monthly Net kWh Goal Acquired	196%
Net Peak[6] kW acquired this month	23.8
Monthly Net Peak kW Goal	42.9
Percent of Monthly Peak kW Goal Acquired	55%
Net First-year annual therms acquired this month	NA
Monthly Net Therm Goal	NA
Percent of Monthly Therm Goal Acquired	NA
Net Lifecycle kWh acquired this month	5,453,179
Net Lifecycle therms acquired this month	NA
Total Acquired Net First-Year Impacts To Date	
Net first-year annual kWh acquired to date	1,671,664
Net first-year annual kWh acquired to date as a percent of annual goal	103%
Net first-year annual kWh acquired to date as a percent of 8-year goal	7%
Net cumulative kWh acquired to date	1,671,664
Net utility peak kW reductions acquired to date	108.85
Net utility peak kW reductions acquired to date as a percent of utility annual goal	36%
Net utility peak kW reductions acquired to date as a percent of 8-year goal	2%
Net NYISO peak kW reductions acquired to date	108.9
Net first-year annual therms acquired to date	NA
Net first-year annual therms acquired to date as a percent of annual goal	NA
Net first-year annual therms acquired to date as a percent of 8-year goal	NA
Net cumulative therms acquired to date	NA
Total Acquired Lifecycle Impacts To Date[7]	
Net Lifecycle kWh acquired to date	20,059,952
Net Lifecycle therms acquired to date	NA
Committed[7] **Impacts (not yet acquired) This Month**	
Net First-year annual kWh committed this month	61,071
Net Lifecycle kWh committed this month	732,852
Net Utility Peak kW committed this month	0.0
Net first-year annual therms committed this month	NA
Net Lifecycle therms committed this month	NA
Funds committed at this point in time	$4,907
Overall Impacts (Acquired & Committed)	
Net first-year annual kWh acquired & committed this month	515,503
Net utility peak kW acquired & committed this month	0.0
Net First-year annual therms acquired & committed this month	NA
Costs[8]	
Total program budget	$1,518,410
General Administration (current month)	21,606
Program Planning (current month)	0
Program Marketing (current month)	31,631
Trade Ally Training (current month)	0
Incentives and Services (current month)	16,775
Direct Program Implementation (current month)	30,924
Program Evaluation (current month)	0
Total expenditures to date	254,843
Percent of total budget spent to date	16.8%
Participation	
Number of program applications received to date	1,694
Number of program applications *processed* to date[9]	1,694
Number of processed applications *approved* to date[10]	1,694
Percent of applications received to date that have been processed	100%

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[6] Peak is defined uniquely for each utility.

[7]The lifecycle savings are tracked beginning in the *year* in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will be different for each month as a function of adding savings from measures in stalled in a given month and subtracting savings from measures installed earlier in the funding cycle that have reached the end of thier useful life.

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