



May 13, 2011

**VIA EMAIL**

Honorable Jaclyn A. Brillling, Secretary  
New York State Public Service Commission  
Three Empire State Plaza  
Albany, New York 12223

Re: Case 07-M-0548 – Proceeding on Motion of the Commission Regarding an Energy Efficiency Portfolio Standard

Case 08-G-1012 – Petition of New York State Electric & Gas Corporation for Approval of an Energy Efficiency Portfolio Standard (EEPS) “Fast Track” Utility-Administered Gas Energy Efficiency Program

Case 08-G-1013 – Petition of Rochester Gas and Electric Corporation for Approval of an Energy Efficiency Portfolio Standard (EEPS) “Fast Track” Utility-Administered Gas Energy Efficiency Program

Case 08-E-1129– Petition of New York State Electric & Gas Corporation for Approval of an Energy Efficiency Portfolio Standard (EEPS) Utility-Administered Electric Energy Efficiency Program

Case 08-E-1130– Petition of Rochester Gas and Electric Corporation for Approval of an Energy Efficiency Portfolio Standard (EEPS) “Utility-Administered Electric Energy Efficiency Program

Case 09-G-0363 – Petition for Approval of Energy Efficiency Portfolio Standard (EEPS) Gas Efficiency Programs

Dear Secretary Brillling:

Pursuant to the New York State Public Service Commission’s orders in the above captioned proceedings, New York State Electric & Gas Corporation and Rochester Gas and Electric Corporation hereby file the “Quarterly Scorecard Reports” of EEPS programs achievements for the period January 1, 2011 to March 31, 2011. The programs addressed in these reports are as follows:

- Residential Gas HVAC Program



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- Residential/Non-Residential Multifamily Program
- Non-Residential Small Business Direct Install Program
- Non-Residential Block Bidding Program
- Commercial and Industrial Rebate Program

Please direct any questions to me at telephone number 607-725-3936 or via email at [jjfishgertz@nyseg.com](mailto:jjfishgertz@nyseg.com).

Respectfully Submitted,



Joni Fish-Gertz  
Manager, EEPS Programs

Enclosure

**New York State Electric & Gas Corporation**

**and**

**Rochester Gas and Electric Corporation**

EEPS Residential/Non-Residential Programs Report

**Savings from New York Standard Approach for Estimating  
Energy Savings from Energy Efficiency Programs**

Quarterly Program Report  
Q1, 2011

**May 13, 2011**

Prepared for

**New York State Department of Public Service**

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**Program Administrator(s):** New York State Electric & Gas Corporation and Rochester Gas and Electric Corporation  
**Program/Project:** **Residential Gas HVAC Program**  
**Reporting Period:** January – March 2011, 1st Quarter  
**Report Contact Person:** Kevin Schmalz

## 1. Program Status

### Program Performance Goals

**(a) Describe and discuss circumstances that may have an impact on the achievement of project performance goals (positive or negative).**

The NYSEG incentives budget was initially reserved by November 29, 2010 and the program was closed. Since that time, a significant amount of previously reserved funds has been unclaimed and returned to the reservation pool. The Companies received approval from Staff on March 28th to reopen the NYSEG rebate program and to use the remaining funds for installations completed on or after the reopening date. The program will reopen April 1, 2011. The RG&E program remains open until the reservation funds are completely reserved.

RG&E and NYSEG continue to facilitate customer support of the Red Cross Heating Fund, with a total of \$9,310 contributed by RG&E customers and \$4,670 contributed by NYSEG customers through this program since July 1, 2009.

**(b) Describe and discuss other key aspects of program performance goals that were not discussed in (a).**

Through March 31, 2011, 18,733 rebates have been provided to RG&E customers and over 500 RG&E trade allies have participated in the program.

Through March 31, 2011, 8,768 rebates have been provided to NYSEG customers and over 600 NYSEG trade allies have participated in the program.

**(c) Forecast of net energy impacts.**

#### Annual Energy Savings

Year	RG&E Savings – in Dth	NYSEG Savings – in Dth
2009 Actual	84,505	40,113
2010 Actual	194,527	77,977
2011 Forecast	130,968	51,910

## **2. Program Implementation Activities**

### **(a) Marketing Activities**

The April 1 2011 reopening of the NYSEG program was announced on the Company web site, via news release and e-mail blasts sent to trade allies during March. Customer bill messages announcing the program's reopening will also be delivered to all NYSEG residential natural gas customers during April.

### **(b) Program Evaluation Activities**

The evaluation contractor for this program, KEMA, has completed trade ally telephone interviews. A draft report was developed and is currently being reviewed by DPS staff and the Companies.

### **(c) Other Activities**

None at this time

## **3. Customer Complaints and/or Disputes**

One NYPSC inquiry and no chargeable complaints have been reported through March 2011. Customer disputes are handled as they occur.

## **4. Changes to Subcontractors or Staffing**

No changes since 4th Quarter 2010 Scorecard.

## **5. Additional Issues**

None at this time

**Program Administrator(s):** New York State Electric & Gas Corporation and Rochester Gas and Electric Corporation  
**Program/Project:** **Residential/Non-Residential Multifamily Program**  
**Reporting Period:** January – March 2011, 1st Quarter  
**Report Contact Person:** Kevin Schmalz

**1. Program Status**

**Program Performance Goals**

**(a) Describe and discuss circumstances that may have an impact on the achievement of project performance goals (positive or negative).**

No changes since 2010 4<sup>th</sup> Quarter Scorecard.

**(b) Describe and discuss other key aspects of program performance goals that were not discussed in (a).**

No changes since 2010 4<sup>th</sup> Quarter Scorecard.

**(c) Forecast of net energy impacts.**

**NYSEG and RG&E Annual Energy Savings in MWh**

Year	NYSEG	RG&E
2010 Actual	886	2,311
2011 Projected	1,308	3,987

**2) Program Implementation Activities**

**(a) Marketing Activities**

No changes since 2010 4<sup>th</sup> Quarter Scorecard.

**(b) Evaluation Activities**

No changes since 2010 4<sup>th</sup> Quarter Scorecard.

**(c) Other Activities**

None at this time.

**3. Customer Complaints and/or Disputes**

No NYPSC complaints have been reported. Customer disputes are handled as they occur.

#### **4. Changes to Subcontractors or Staffing**

No changes since 2010 4<sup>th</sup> Quarter Scorecard.

#### **5. Additional Issues**

The Companies have submitted a group of requests to transfer funds between programs, including one request to move funds from the Custom electric programs to the Multifamily programs. The Companies believe additional funding is needed as soon as possible, in order to continue the excellent savings realization opportunity which this program provides. The need for additional funding is especially acute at RG&E, because a majority of available funds have been committed, but additional demand has been noted.



**Program Administrator(s):** New York State Electric & Gas Corporation and Rochester Gas and Electric Corporation

**Program/Project:** **Non-Residential Small Business Direct Install Program**  
(Small Business Energy Efficiency Program)

**Reporting Period:** January – March 2011, 1st Quarter

**Report Contact Person:** Thomas O’Connor

## 1. Program Status

### Program Performance Goals

**(a) Describe and discuss circumstances that may have an impact on the achievement of project performance goals (positive or negative).**

The continued lower than anticipated participation rate is attributed largely to the current difficult market conditions for small businesses. However, the Companies continue to work closely with the implementation contractor to implement actions designed to improve the participation rate by removing barriers to participation, including continual training of and increasing numbers of the contractor’s Energy Service Representatives (ESRs), and planned additional marketing including bill inserts planned for the second quarter 2011.

**(b) Describe and discuss other key aspects of program performance goals that were not discussed in (a).**

None at this time.

**(c) Forecast of net energy impacts.**

Year	NYSEG	RG&E
2010 Actual (Acquired)	3,764	2,972
2011	33,811	16,226

*Source: 2010: Year End Savings acquired;  
2011: Projected goals for the NYSEG & RG&E Non-Residential Small Business Direct Install Program*

## 2) Program Implementation Activities

### (a) Marketing Activities

SBEE Marketing and Outreach Activities Completed:

January 2011

Date	Activity Description	Recipient Audience
1/11/2011	Lancaster Chamber After Hours	Chamber Members
1/11/2011	Rochester Rotary Luncheon	Rotary Members
1/12/2011	Newark Chamber of Commerce Networking	Chamber Members
1/12/2011	Tompkins County After Hours Event	Chamber Members
1/13/2011	Buffalo/Niagara Partnership	staff
1/15/2011	Utility press release	12 new counties
1/17/2011	Tompkins Area Economic Development	Staff Meeting
1/18/2011	RBA Email Blast	Membership
1/18/2011	Sullivan County Chamber Email blast	Membership
1/18/2011	Lancaster Chamber Noon Event	Chamber Members
1/20/2011	Tompkins County Networking at Noon	Chamber Members
1/27/2011	New Area Press Release	
1/31/2011	Direct Mail	5,000 Customers

February 2011

Date	Activity Description	Recipient Audience
2/1/2011	Downtown Ithaca Alliance	Business Event
2/9/2011	Newark Chamber Presentation	Chamber Event
2/16/2011	Victor Chamber Enrollment Event	Chamber Event
2/17/2011	RBA Email Blast	Chamber Eblast
2/19/2011	Lancaster Chamber After Hours	Chamber Event
2/23/2011	Direct Mail Campaign	SBEE Target Customers
2/23/2011	RBA After Hours Event	Chamber Event
2/23/2011	Lancaster Chamber Morning Exchange	Chamber Event

March 2011

Date	Activity Description	Recipient Audience
3/2/2011	Lancaster Chamber Morning Exchange	Chamber Event
3/9/2011	Lancaster Chamber Morning Exchange	Chamber Event
3/15/2011	Direct Mail Letter	Business Customers
3/16/2011	Lancaster Chamber Morning Exchange	Chamber Event
3/17/2011	Tompkins Chamber Lunch Networking	Chamber Event
3/17/2011	Greece Chamber Networking Lunch	Chamber Event
3/20/2011	EnerPath National Press Release	Press Release
3/22/2011	Energy Symposium	Non-Chamber Event
3/22/2011	Rotary Trade Business Show	Trade Show Attendees
3/30/2011	Small Business Show	Trade Show Attendees

SBEE Media Highlight - Results from the SBEE Marketing O&E and program results.

January 2011

Date	Media	Link
1/25/2011	Binghamton 34	<a href="http://www.newschannel34.com/content/developingnews/story/NYSEG-and-RG-E-Expand-Energy-Efficiency-Program/llsb_ZhH5k65kkkuFy8Nfw.csp">http://www.newschannel34.com/content/developingnews/story/NYSEG-and-RG-E-Expand-Energy-Efficiency-Program/llsb_ZhH5k65kkkuFy8Nfw.csp</a>
1/25/2011	midhudson news	<a href="http://www.midhudsonnews.com/News/2011/January/26/NYSEG_eff-26Jan11.html">http://www.midhudsonnews.com/News/2011/January/26/NYSEG_eff-26Jan11.html</a>
1/25/2011	City of Binghamton	<a href="http://www.cityofbinghamton.com/viewarticle.asp?a=3477">http://www.cityofbinghamton.com/viewarticle.asp?a=3477</a>
1/26/2011	YNN News	Broadcast only
1/30/2011	Tompkins County	<a href="http://www.stargazette.com/article/20110130/NEWS01/101300356/Your-Path-to-Energy-Savings-Incentives-available-for-boosting-efficiency-in-commercial-buildings">http://www.stargazette.com/article/20110130/NEWS01/101300356/Your-Path-to-Energy-Savings-Incentives-available-for-boosting-efficiency-in-commercial-buildings</a>

February 2011

Date	Media	Link
2/2/2011	Star Gazette - Elmira	<a href="http://www.stargazette.com/article/20110202/BUSINESS/102020334/NYSEG-and-RG&amp;E-Expand-Energy-Efficiency-Program">http://www.stargazette.com/article/20110202/BUSINESS/102020334/NYSEG-and-RG&amp;E-Expand-Energy-Efficiency-Program</a>
2/18/2011	Wayne Post	<a href="http://www.waynepost.com/latestnews/x169903559/Palmyra-Town-Board-approves-energy-efficiency-program">http://www.waynepost.com/latestnews/x169903559/Palmyra-Town-Board-approves-energy-efficiency-program</a>
2/18/2011	Lockport Journal	<a href="http://lockportjournal.com/local/x1948342297/Town-approves-contract-for-energy-efficiency-program">http://lockportjournal.com/local/x1948342297/Town-approves-contract-for-energy-efficiency-program</a>

March 2011

No media activities occurred during this time

**(b) Evaluation Activities**

Iron has requested program monthly scorecards and supporting data files for the 2010 program year for review. Iron has also begun work on survey instruments and will shortly begin staff interviews.

**(c) Other Activities**

None at this time

**3. Customer Complaints and/or Disputes**

No NYPSC complaints have been reported. Customer disputes are handled as they occur.

#### 4. Changes to Subcontractors or Staffing

##### Updated Subcontractor List 3/17/2011

Central Services John Howell	688 Pullman Ave , Suite C Rochester NY 14616 Installation of Measures
Enhanced Lighting Rick Dunn	373 Pleasant Ave., Hamburg, NY 14075 Installation of Measures
Candela Systems James Bernardo	148 Route 202, Somers, NY 10589 Installation of Measures
Binder Electric Charles Binder	2998 Seeley Rd., Geneva, NY 14456 Installation of Measures
Buzynski Electric Jeff Buzynski	1627 Philips Rd., Appleton, NY 14008 Installation of Measures
Sumner Leigh Steve Starks	1 Whipple Lane, Rochester NY 14622 Installation of Measures
Hudson Tech Thomas Linzy	PO Box 60470, Rochester, NY 14606 Installation of Measures
Nelson's Lamplighters Zach Smith	1803 Castle,,Gardens Rd. Vestal, NY 13850 Installation of Measures
Express Energy NY Doc Rivers	34 2nd Ave. Troy, NY 12180 Installation of Measures
Walsh & Sons Electric Bill Walsh	3209 Vestal Parkway East. Vestal, NY 13850 Installation of Measures
Flower City Lighting Ricardo Soria	61 Greenleaf Meadow Rochester, NY 14612 Installation of Measures
Salva Electric Inc Jim Salva	174 State St Auburn, NY 13021 Installation of Measures
Waste Management Waste recycling/disposal	2000 West County Road C-2, Roseville, MN 55113
American Lamp Recycling Waste recycling/disposal	26 Industrial Way, Wappingers Falls, NY 12590

## **5. Additional Issues**

During a market segment analysis of SBDI customer participation to understand the size of projects and savings enrolling in NYSEG SBDI we found an error in the customer qualification database in the 1<sup>st</sup> quarter. Our review found that we had a small number of customer accounts that have participated which were over the “less than 100 KW demand” criteria. The Companies’ EEPS Staff discussed this issue with DPS Staff. As of the attached 1st Quarter 2011 Scorecard, all affected NYSEG projects for these accounts have been moved to the NYSEG CIRP program where they better meet the program customer qualification criteria.

**Program Administrator(s):** New York State Electric & Gas Corporation and Rochester Gas and Electric Corporation  
**Program/Project:** **Non-Residential Block Bidding Program**  
**Reporting Period:** January – March 2011, 1st Quarter  
**Report Contact Person:** Thomas O’Connor

**1. Program Status**

**Program Performance Goals**

**(a) Describe and discuss circumstances that may have an impact on the achievement of project performance goals (positive or negative).**

The Companies have negotiated service agreements for 2 of the 3 selected bidders in the first Request for Proposal (RFP) process. Negotiations for a service agreement with the third bidder are underway. The acquisition of savings and the achievement of project performance goals will occur in 2011 in accordance with the project schedules defined in the service agreements. During this quarter, six (6) projects have been completed and approved for RG&E and one (1) project has been completed and approved for NYSEG; resulting in “Acquired” savings being achieved for both Companies.

**(b) Describe and discuss other key aspects of program performance goals that were not discussed in (a).**

The Companies released a second competitive RFP process on December 9, 2010. Competitive bids were received on February 4, and bid selections are expected to be made in May, 2011. Depending upon the results of the second RFP, the Companies will consider whether a third and fourth RFP are warranted. The companies have requested a transfer of funds from other programs to support RFP two bids and to support the opportunity for additional RFP’s.

**(c) Forecast of net energy impacts.**

**NYSEG and RG&E  
Annual Energy Savings (MWhs)**

Year	NYSEG Goal	RG&E Goal
2010	0	0
2011	5,676	5,676
2012	2,594	2,594

Because of the non-uniform timing of commitment and acquisition of block bidding savings, 2012 funds may be committed in 2011. The timing of acquisition will depend upon the specific projects selected under the RFP, and the bidder’s schedules. Although most or all savings may be committed by contract in 2011, some projects may finish construction in 2012.

## **2) Program Implementation Activities**

### **(a) Marketing Activities**

No changes since the Fourth (4<sup>th</sup>) Quarter 2010 Scorecard.

### **(b) Evaluation Activities**

None at this time.

### **(c) Other Activities**

There are none at this time.

## **3. Customer Complaints and/or Disputes**

No NYPSC complaints have been reported. No Bidder/Customer disputes have occurred.

## **4. Changes to Subcontractors or Staffing**

There are none at this time.

## **5. Additional Issues**

There are none at this time.

**Program Administrator(s):** New York State Electric & Gas Corporation and Rochester Gas and Electric Corporation  
**Program/Project:** **Commercial & Industrial Rebate Program**  
Including: Prescriptive Electric, Prescriptive Gas, Custom Electric, Custom Gas  
**Reporting Period:** January – March 2011, 1st Quarter  
**Report Contact Person:** Thomas O’Connor

## 1) Program Performance Goals

### **(a) Describe and discuss circumstances that may have an impact on the achievement of project performance goals (positive or negative).**

The Companies continue to identify and mitigate potential market barriers, such as the 100 kW demand restriction for the Electric portion of the Custom Rebate Program, longer project development times and difficult economic and capital markets.

### **(b) Describe and discuss other key aspects of program performance goals that were not discussed in (a).**

None at this time.

### **(c) Forecast of net energy impacts.**

The Companies are striving to achieve as much as possible of the combined multi-year Annual Energy Savings goals for both the Prescriptive and Custom programs by the end of 2011. While the Companies continue to push performance toward these targets, we are not confident that the targets will be achieved due to the combination of factors described in (a) above.

## 2) Program Implementation Activities

### **(a) Marketing Activities**

During the first quarter of 2011, the Companies’ Marketing and Sales (M&S) field personnel continued outreach to customers and trade allies to introduce and/or reinforce the C&I Rebate Program messages.

The Companies will continue direct customer contact, monitor customer participation and consider additional introductory contacts, bill inserts, and advertising and/or general community presentations as needed to achieve program goals.



Extensive information about the program appears on the Companies' energy efficiency Web pages at:

<http://nyseg.com/UsageAndSafety/usingenergywisely/eeps/cirp.html>

<http://www.rge.com/UsageAndSafety/usingenergywisely/eeps/cirp.html>

### **(b) Evaluation Activities**

Itron has been selected as the evaluation contractor for this program. A kick-off meeting was held with Itron, the evaluation manager, DPS staff and the program implementation coordinator. Itron has issued an initial data request for documents related to this program in preparation for preparing a detailed evaluation plan for submission to DPS staff.

### **(c) Other Activities**

None at this time.

## **3. Customer Complaints and/or Disputes**

No NYS PSC complaints have been reported. Customer disputes are handled as they occur.

## **4. Changes to Subcontractors or Staffing**

None at this time.

## **5. Additional Issues**

None at this time.

<b>Program Administrator (PA) and Program ID<sup>1</sup></b>	RG&E Residential Gas HVAC Program
<b>Program Name</b>	RG&E Residential Gas HVAC Program
<b>Program Type<sup>2</sup></b>	
<b>Total Acquired First-Year Impacts This Quarter<sup>3,16</sup></b>	
Net first-year annual kWh acquired this quarter <sup>4</sup>	N/A
Quarterly Net kWh Goal (based on net first-year annual <sup>5</sup> kWh Goal)	N/A
Percent of Quarterly Net kWh Goal Acquired	N/A
Net Peak <sup>6</sup> kW acquired this quarter	N/A
Quarterly Net Peak kW Goal	N/A
Percent of Quarterly Peak kW Goal Acquired	N/A
Net First-year annual therms acquired this quarter	493,912.5
Quarterly Net Therm Goal for 2011	404,739.0
Percent of Quarterly Therm Goal Acquired	122.0
Net Lifecycle kWh acquired this quarter	N/A
Net Lifecycle therms acquired this quarter	9,102,157.0
<b>Net Other Quarterly Savings (MMBTUs) Acquired</b>	
Coal	0
Kerosene	0
Oil	2,085.6
Propane	19.6
<b>Total Acquired Net First-Year Impacts To Date<sup>16,19</sup></b>	
Net first-year annual kWh acquired to date	N/A
Net first-year annual kWh acquired to date as a percent of annual goal	N/A
Net first-year annual kWh acquired to date as a percent of 8-year goal	N/A
Net cumulative kWh acquired to date	N/A
Net utility peak kW reductions acquired to date	N/A
Net utility peak kW reductions acquired to date as a percent of utility annual goal	N/A
Net utility peak kW reductions acquired to date as a percent of 8-year goal	N/A
Net NYISO peak kW reductions acquired to date	N/A
Net first-year annual therms acquired to date	3,264,172.7
Net first-year annual therms acquired to date as a percent of annual goal <sup>22</sup>	80.6
Net first-year annual therms acquired to date as a percent of 8-year goal	N/A

Net cumulative therms acquired to date	3,264,172.7
<b>Total Acquired Lifecycle Impacts To Date</b> <sup>7,16,19</sup>	
Net Lifecycle kWh acquired to date	N/A
Net Lifecycle therms acquired to date	64,430,954.1
<b>Committed<sup>8</sup> Impacts (not yet acquired) This Quarter</b> <sup>16</sup>	
Net First-year annual kWh committed this quarter	N/A
Net Lifecycle kWh committed this quarter	N/A
Net Utility Peak kW committed this quarter	N/A
Net first-year annual therms committed this quarter <sup>24</sup>	0
Net Lifecycle therms committed this quarter <sup>24</sup>	0
Funds committed at this point in time	\$1,329,094
<b>Overall Impacts (Acquired &amp; Committed)</b> <sup>16</sup>	
Net first-year annual kWh acquired & committed this quarter	N/A
Net utility peak kW acquired & committed this quarter	N/A
Net First-year annual therms acquired & committed this quarter	493,912.5
<b>Costs</b> <sup>9,18</sup>	
Total program budget <sup>13,22</sup>	\$7,708,100
General Administration	Portfolio <sup>14</sup>
Program Planning	Portfolio <sup>14</sup>
Program Marketing <sup>20</sup>	\$0
Trade Ally Training <sup>20</sup>	\$360
Incentives and Services <sup>15, 20</sup>	\$628,477
Direct Program Implementation <sup>20,21</sup>	\$59,553
Program Evaluation <sup>20</sup>	\$1,438
Total expenditures to date <sup>13,23</sup>	\$5,796,305
Percent of total budget spent to date <sup>22</sup>	75.2
<b>Participation</b> <sup>17,19</sup>	
Number of program applications received to date	20,649
Number of program applications processed to date <sup>10</sup>	19,542
Number of processed applications approved to date <sup>11</sup>	18,733
Percent of applications received to date that have been processed	94.6
<b>Quarterly Carbon Emission Reductions (in tons)</b> <sup>12</sup>	
Total Acquired Net First-Year Carbon Emission Reductions To Date	19,095.4
Total Acquired Cumulative Net Carbon Emission Reductions To Date	19,095.4

**DPS Staff Notes:**

<sup>1</sup> DPS Staff needs to work with utilities to develop a Program ID naming convention. However, a Program ID number is not required for the first reports. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.

<sup>2</sup> There is not currently a consistent list of program types but individual categories for common use by administrators could be developed.

<sup>3</sup> First-year savings are defined as the annual savings expected from a given measure in the first year after installation. The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. Acquired kWh savings are defined as those savings that are reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.

<sup>4</sup> Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.

<sup>5</sup> Program Administrators should make best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the monthly goals but does not want to mandate monthly goals, at least initially.

<sup>6</sup> Peak is defined uniquely for each utility.

<sup>7</sup> The lifecycle savings are tracked beginning in the year in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will be different for each month as a function of adding savings from measures installed in a given month and subtracting savings from measures installed earlier in the funding cycle that have reached the end of their useful life.

<sup>8</sup> Committed savings are defined as those for which funds have been encumbered but not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's best estimate of what they have committed. There should be some assumptions on how the administrator does that. Program administrators should forecast as accurately as possible and it should get more precise with program experience, e.g., the difference between achieved and committed should get closer over time.

<sup>9</sup> These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans. Companies should identify whether each cost item is to be recovered through the SBC surcharge, base rates, or other recovery mechanism (e.g., monthly adjustment charges).

<sup>10</sup> An application is processed once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."

<sup>11</sup> The application is approved once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which an application could be received, processed, and approved all in one day, a "1" would be counted for each step in the tracking lifecycle.

<sup>12</sup> See CO2 Reduction Values tab in Data Reporting Template 6-26-09.Xls (quarterly and annual reports only).

**Utility Notes:**

<sup>13</sup> Start-up costs are not included in the program budget or actual costs. These costs were deferred as specified on p. 13 of the April 9, 2009 Order Approving "Fast Track" Utility-Administered Gas Energy Efficiency Programs With Modifications in Cases 08-G-1012 et al, and as noted in the June 8, 2009 New York State Electric & Gas Corporation and Rochester Gas and Electric Corporation Residential Gas HVAC Program Implementation Plan. A summary of deferred costs to date is shown below:

Maximum Deferral (p. 13, April 9, 2009 Order)	\$155,880
Deferred costs	

Program marketing	\$8,009
Trade ally training	\$0
Direct program implementation	\$1,478
Evaluation	\$1,850
Total deferred costs	\$11,337
Remaining deferral allowance	\$144,543

<sup>14</sup> Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission. Although an estimate of the total Portfolio Costs was provided to the Commission on June 8, 2009 in the New York State Electric & Gas Corporation and Rochester Gas and Electric Corporation Residential Gas HVAC Program Implementation Plan, these costs were not included in the program budget, and are not included in this report of actual program expenditures.

<sup>15</sup> Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for incentive payments made to program participants. They will thus lag the actual issuance of incentive payments to program participants.

<sup>16</sup> Impacts are calculated using actual installed measures information instead of deemed savings based calculations for all measures except indirect water heaters. Impacts for indirect water heater measures will continue to be based on deemed savings until an approved formula to calculate actual installed measure based impacts is available.

<sup>17</sup> Since an application with multiple measures is separated into multiple rebates, participation reflects the number of installed measures. Applications that are identified as incomplete, erroneous, or ineligible during processing are considered "flawed". For participation counts, it is assumed that each flawed application has one measure. Measure data is not collected on flawed applications; rather, it is collected only from applications that have been determined to be eligible and complete.

<sup>18</sup> All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.

<sup>19</sup> Program to date information may include changes to prior period data received from the implementation contractor based on additional review and/or reconsideration of rebate eligibility decisions made in prior periods. Additional information regarding such changes is available upon request.

<sup>20</sup> Per request from DPS Staff during December 7, 2009 conference call, the data contained in these fields reflects the most recent quarter's expenditures in the respective category, not "to date" information.

<sup>21</sup> Includes program true-ups due to timing.

<sup>22</sup> Monthly and annual goals and budgets include additional amounts authorized in the Order Approving Three New Energy Efficiency Portfolio Standard (EEPS) Programs and Enhancing Funding and Making Other Modifications for Other EEPS Programs, (June 24, 2010), (the "June 24 Order").

<sup>23</sup> Previous PSC Scorecard expense reporting for the residential natural gas HVAC program was based on expenditures as received on invoices from the implementation contractor. Beginning with the August 2010 scorecard, expenditures will be reported in accordance with normal accounting practice to recognize expenses in the month recorded to the general ledger.

<sup>24</sup> Net first-year annual therms and net lifecycle therms committed this month are undetermined at this time pending actual installed equipment capacity and efficiency.

<b>Program Administrator (PA) and Program ID<sup>1</sup></b>	NYSEG Residential Gas HVAC Program
<b>Program Name</b>	NYSEG Residential Gas HVAC Program
<b>Program Type<sup>2</sup></b>	
<b>Total Acquired First-Year Impacts This Quarter<sup>3,16</sup></b>	
Net first-year annual kWh acquired this quarter <sup>4</sup>	N/A
Quarterly Net kWh Goal (based on net first-year annual <sup>5</sup> kWh Goal)	N/A
Percent of Quarterly Net kWh Goal Acquired	N/A
Net Peak <sup>6</sup> kW acquired this quarter	N/A
Quarterly Net Peak kW Goal	N/A
Percent of Quarterly Peak kW Goal Acquired	N/A
Net First-year annual therms acquired this quarter	156,593.1
Quarterly Net Therm Goal for 2011	188,217
Percent of Quarterly Therm Goal Acquired	83.2
Net Lifecycle kWh acquired this quarter	N/A
Net Lifecycle therms acquired this quarter	3,025,070.1
<b>Net Other Quarterly Savings (MMBTUs) Acquired</b>	
Coal	0
Kerosene	0
Oil	1385.6
Propane	28.9
<b>Total Acquired Net First-Year Impacts To Date<sup>16,19</sup></b>	
Net first-year annual kWh acquired to date	N/A
Net first-year annual kWh acquired to date as a percent of annual goal	N/A
Net first-year annual kWh acquired to date as a percent of 8-year goal	N/A
Net cumulative kWh acquired to date	N/A
Net utility peak kW reductions acquired to date	N/A
Net utility peak kW reductions acquired to date as a percent of utility annual goal	N/A
Net utility peak kW reductions acquired to date as a percent of 8-year goal	N/A
Net NYISO peak kW reductions acquired to date	N/A
Net first-year annual therms acquired to date	1,337,490.1
Net first-year annual therms acquired to date as a percent of annual goal <sup>22</sup>	82.6
Net first-year annual therms acquired to date as a percent of 8-year goal	N/A



Net cumulative therms acquired to date	1,337,490.1
<b>Total Acquired Lifecycle Impacts To Date</b> <sup>7,16,19</sup>	
Net Lifecycle kWh acquired to date	N/A
Net Lifecycle therms acquired to date	25,921,318.9
<b>Committed<sup>8</sup> Impacts (not yet acquired) This Quarter</b> <sup>16</sup>	
Net First-year annual kWh committed this quarter	N/A
Net Lifecycle kWh committed this quarter	N/A
Net Utility Peak kW committed this quarter	N/A
Net first-year annual therms committed this quarter <sup>24</sup>	0
Net Lifecycle therms committed this quarter <sup>24</sup>	0
Funds committed at this point in time	\$391,271
<b>Overall Impacts (Acquired &amp; Committed)</b> <sup>16</sup>	
Net first-year annual kWh acquired & committed this quarter	N/A
Net utility peak kW acquired & committed this quarter	N/A
Net First-year annual therms acquired & committed this quarter	156,593.1
<b>Costs</b> <sup>9,18</sup>	
Total program budget <sup>13,22</sup>	\$3,897,053
General Administration	Portfolio <sup>14</sup>
Program Planning	Portfolio <sup>14</sup>
Program Marketing <sup>20</sup>	\$0
Trade Ally Training <sup>20</sup>	\$0
Incentives and Services <sup>15, 20</sup>	\$197,628
Direct Program Implementation <sup>20,21</sup>	\$20,333
Program Evaluation <sup>20</sup>	\$587
Total expenditures to date <sup>13,23</sup>	\$3,100,993
Percent of total budget spent to date <sup>22</sup>	79.6
<b>Participation</b> <sup>17,19</sup>	
Number of program applications received to date	10,496
Number of program applications processed to date <sup>10</sup>	9,693
Number of processed applications approved to date <sup>11</sup>	8,768
Percent of applications received to date that have been processed	92.3
<b>Quarterly Carbon Emission Reductions (in tons)</b> <sup>12</sup>	
Total Acquired Net First-Year Carbon Emission Reductions To Date	7,824.3
Total Acquired Cumulative Net Carbon Emission Reductions To Date	7,824.3

**DPS Staff Notes:**

<sup>1</sup> DPS Staff needs to work with utilities to develop a Program ID naming convention. However, a Program ID number is not required for the first reports. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.

<sup>2</sup> There is not currently a consistent list of program types but individual categories for common use by administrators could be developed.

<sup>3</sup> First-year savings are defined as the annual savings expected from a given measure in the first year after installation. The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. Acquired kWh savings are defined as those savings that are reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.

<sup>4</sup> Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.

<sup>5</sup> Program Administrators should make best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the monthly goals but does not want to mandate monthly goals, at least initially.

<sup>6</sup> Peak is defined uniquely for each utility.

<sup>7</sup> The lifecycle savings are tracked beginning in the year in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will be different for each month as a function of adding savings from measures installed in a given month and subtracting savings from measures installed earlier in the funding cycle that have reached the end of their useful life.

<sup>8</sup> Committed savings are defined as those for which funds have been encumbered but not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's best estimate of what they have committed. There should be some assumptions on how the administrator does that. Program administrators should forecast as accurately as possible and it should get more precise with program experience, e.g., the difference between achieved and committed should get closer over time.

<sup>9</sup> These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans. Companies should identify whether each cost item is to be recovered through the SBC surcharge, base rates, or other recovery mechanism (e.g., monthly adjustment charges).

<sup>10</sup> An application is processed once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."

<sup>11</sup> The application is approved once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which an application could be received, processed, and approved all in one day, a "1" would be counted for each step in the tracking lifecycle.

<sup>12</sup> See CO2 Reduction Values tab in Data Reporting Template 6-26-09.Xls (quarterly and annual reports only)

**Utility Notes:**

<sup>13</sup> Start-up costs are not included in the program budget or actual costs. These costs were deferred as specified on p. 13 of the April 9, 2009 Order Approving "Fast Track" Utility-Administered Gas Energy Efficiency Programs With Modifications in Cases 08-G-1012 et al, and as noted in the June 8, 2009 New York State Electric & Gas Corporation and Rochester Gas and Electric Corporation Residential Gas HVAC Program Implementation Plan. A summary of deferred costs to date is shown below:

Maximum Deferral (p. 13, April 9, 2009 Order)	\$150,518
Deferred costs	



Program marketing	\$8,301
Trade ally training	\$0
Direct program implementation	\$3,745
Evaluation	\$3,150
Total deferred costs	\$15,196
Remaining deferral allowance	\$135,322

<sup>14</sup> Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission. Although an estimate of the total Portfolio Costs was provided to the Commission on June 8, 2009 in the New York State Electric & Gas Corporation and Rochester Gas and Electric Corporation Residential Gas HVAC Program Implementation Plan, these costs were not included in the program budget, and are not included in this report of actual program expenditures.

<sup>15</sup> Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for incentive payments made to program participants. They will thus lag the actual issuance of incentive payments to program participants.

<sup>16</sup> Impacts are calculated using actual installed measures information instead of deemed savings based calculations for all measures except indirect water heaters. Impacts for indirect water heater measures will continue to be based on deemed savings until an approved formula to calculate actual installed measure based impacts is available.

<sup>17</sup> Since an application with multiple measures is separated into multiple rebates, participation reflects the number of installed measures. Applications that are identified as incomplete, erroneous, or ineligible during processing are considered "flawed". For participation counts, it is assumed that each flawed application has one measure. Measure data is not collected on flawed applications; rather, it is collected only from applications that have been determined to be eligible and complete.

<sup>18</sup> All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.

<sup>19</sup> Program to date information may include changes to prior period data received from the implementation contractor based on additional review and/or reconsideration of rebate eligibility decisions made in prior periods. Additional information regarding such changes is available upon request.

<sup>20</sup> Per request from DPS Staff during December 7, 2009 conference call, the data contained in these fields reflects the most recent quarter's expenditures in the respective category, not "to date" information.

<sup>21</sup> Includes program true-ups due to timing.

<sup>22</sup> Monthly and annual goals and budgets include additional amounts authorized in the Order Approving Three New Energy Efficiency Portfolio Standard (EEPS) Programs and Enhancing Funding and Making Other Modifications for Other EEPS Programs, (June 24, 2010), (the "June 24 Order").

<sup>23</sup> Previous PSC Scorecard expense reporting for the residential natural gas HVAC program was based on expenditures as received on invoices from the implementation contractor. Beginning with the August 2010 scorecard, expenditures will be reported in accordance with normal accounting practice to recognize expenses in the month recorded to the general ledger.

<sup>24</sup> Net first-year annual therms and net lifecycle therms committed this month are undetermined at this time pending actual installed equipment capacity and efficiency.

Program Administrator(PA) and Program ID <sup>1</sup>	NYSEG Residential/Non-Residential Electric Multifamily Program
Program Name	NYSEG Residential/Non-Residential Electric Multifamily Program
Program Type <sup>2</sup>	Direct Install
<b>Total Acquired First-Year Impacts This Quarter<sup>3,15</sup></b>	
Net first-year annual kWh acquired this Quarter <sup>3</sup>	16,887
Quarterly Net kWh Goal (based on net first-year annual <sup>3</sup> kWh Goal)	218,001
Percent of Quarterly Net kWh Goal Acquired	8%
Net Peak <sup>6</sup> kW acquired this Quarter	3
Quarterly Net Peak kW Goal	N/A
Percent of Quarterly Peak kW Goal Acquired	N/A
Net First-year annual therms acquired this quarter	N/A
Quarterly Net Therm Goal	N/A
Percent of Quarterly Therm Goal Acquired	N/A
Net Lifecycle kWh acquired this quarter	225,332
Net Lifecycle therms acquired this quarter	N/A
<b>Total Acquired Net First-Year Impacts To Date<sup>15</sup></b>	
Net first-year annual kWh acquired to date <sup>15</sup>	903,056
Net first-year annual kWh acquired to date as a percent of total program goal	52%
Net first-year annual kWh acquired to date as a percent of 8-year goal	N/A
Net cumulative kWh acquired to date	903,056
Net utility peak kW reductions acquired to date	81
Net utility peak kW reductions acquired to date as a percent of utility annual goal	N/A
Net utility peak kW reductions acquired to date as a percent of 8-year goal	N/A
Net NYISO peak kW reductions acquired to date	N/A
Net first-year annual therms acquired to date	N/A
Net first-year annual therms acquired to date as a percent of annual goal	N/A
Net first-year annual therms acquired to date as a percent of 8-year goal	N/A
Net cumulative therms acquired to date	N/A
<b>Total Acquired Lifecycle Impacts To Date<sup>15</sup></b>	
Net Lifecycle kWh acquired to date	7,761,716
Net Lifecycle therms acquired to date	N/A
<b>Committed<sup>8</sup> Impacts (not yet acquired) This Quarter<sup>15</sup></b>	
Net First-year annual kWh committed this quarter	415,788
Net Lifecycle kWh committed this quarter	3,242,786
Net Utility Peak kW committed this quarter	36
Net first-year annual therms committed this quarter	N/A
Net Lifecycle therms committed this quarter	N/A
Funds committed at this point in time	48,657
<b>Overall Impacts (Acquired &amp; Committed)<sup>15</sup></b>	
Net first-year annual kWh acquired & committed this quarter	432,675

Net utility peak kW acquired & committed this quarter	39
Net First-year annual therms acquired & committed this quarter	N/A
<b>Costs<sup>9,16</sup></b>	
Total program budget	\$1,464,849
General Administration	Portfolio <sup>13</sup>
Program Planning	Portfolio <sup>13</sup>
Program Marketing <sup>17</sup>	\$0
Trade Ally Training <sup>17</sup>	\$0
Incentives and Services <sup>14,17</sup>	\$1,142
Direct Program Implementation <sup>17</sup>	\$34,369
Program Evaluation <sup>17</sup>	\$25,543
Total expenditures to date	\$363,456
Percent of total budget spent to date	25%
<b>Participation</b>	
Number of program applications received to date	106
Number of program applications processed to date	88
Number of processed applications approved to date	76
Percent of applications received to date that have been processed	83%
<b>Quarterly Carbon Emission Reductions (in tons)</b>	
Total Acquired Net First-year Carbon Emission Reductions To Date <sup>12</sup>	452
Total Acquired Cumulative Net Carbon Emission Reductions To Date <sup>12</sup>	452

**DPS Staff Notes:**

<sup>1</sup>DPS Staff needs to work with utilities to develop a Program ID naming convention. However, a Program ID number is not required for the first report. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.

<sup>2</sup>There is not currently a consistent list of program types but individual categories for common use by administrators could be developed.

<sup>3</sup>First-year savings are defined as the annual savings expected from a given measure in the first year after installation. The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. *Acquired* kWh savings are defined as those savings that reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.

<sup>4</sup>Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.

<sup>5</sup>Program Administrators should make best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the *monthly* goals but does not want to mandate monthly goals, at least initially.

<sup>6</sup>Peak is defined uniquely for each utility.

<sup>7</sup>The lifecycle savings are tracked beginning in the *year* in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will be different for each month as a function of adding savings from measures installed in a given month and subtracting savings from measures installed earlier in the funding cycle that have reached the end of their useful life.

<sup>8</sup>Committed savings are defined as those for which funds have been encumbered by not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's best *estimate* of what they have committed. There should be some assumptions on how the administrator does that. Program administrators should forecast as accurately as possible and it should get more precise with program experience, e.g., the difference between achieved and committed should get closer over time.

<sup>9</sup>These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans.

<sup>10</sup>An application is processed once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."

<sup>11</sup>The application is approved once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which there are cases in which an application could be received, processed, and approved all in one day, then a "1" would be counted for each step in the tracking lifecycle.

<sup>12</sup>See CO<sub>2</sub> Reduction Values tab in Data Reporting Template 6-26-09.Xls (quarterly and annual reports only).

**Utility Notes:**

<sup>13</sup>Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior and subsequent energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.

<sup>14</sup>Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for installed measures incentive payments made to program participants. They will thus lag the acquired savings actual issuance of incentive payments to program participants.

<sup>15</sup>Impacts are calculated using actual installed measure information for all measures.

<sup>16</sup>All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.

<sup>17</sup>Data contained in these fields reflects the most recent quarter's expenditures in the respective category.

Program Administrator(PA) and Program ID <sup>1</sup>	RG&E Residential/Non-Residential Electric Multifamily Program
Program Name	RG&E Residential/Non-Residential Electric Multifamily Program
Program Type <sup>2</sup>	Direct Install
<b>Total Acquired First-Year Impacts This Quarter<sup>15</sup></b>	
Net first-year annual kWh acquired this Quarter <sup>3</sup>	1,867,014
Quarterly Net kWh Goal (based on net first-year annual <sup>5</sup> kWh Goal)	201,251
Percent of Quarterly Net kWh Goal Acquired	928%
<b>Net Peak<sup>6</sup> kW acquired this Quarter</b>	
Quarterly Net Peak kW Goal	N/A
Percent of Quarterly Peak kW Goal Acquired	N/A
<b>Net First-year annual therms acquired this quarter</b>	
Quarterly Net Therm Goal	N/A
Percent of Quarterly Therm Goal Acquired	N/A
<b>Net Lifecycle kWh acquired this quarter</b>	
Net Lifecycle therms acquired this quarter	13,814,640
<b>Total Acquired Net First-Year Impacts To Date<sup>15</sup></b>	
Net first-year annual kWh acquired to date <sup>15</sup>	4,177,714
Net first-year annual kWh acquired to date as a percent of total program goal	259%
Net first-year annual kWh acquired to date as a percent of 8-year goal	N/A
Net cumulative kWh acquired to date	4,177,714
<b>Net utility peak kW reductions acquired to date</b>	
Net utility peak kW reductions acquired to date as a percent of utility annual goal	389
Net utility peak kW reductions acquired to date as a percent of 8-year goal	N/A
Net NYISO peak kW reductions acquired to date	N/A
<b>Net first-year annual therms acquired to date</b>	
Net first-year annual therms acquired to date as a percent of annual goal	N/A
Net first-year annual therms acquired to date as a percent of 8-year goal	N/A
Net cumulative therms acquired to date	N/A
<b>Total Acquired Lifecycle Impacts To Date<sup>15</sup></b>	
Net Lifecycle kWh acquired to date	32,229,880
Net Lifecycle therms acquired to date	N/A
<b>Committed<sup>8</sup> Impacts (not yet acquired) This Quarter<sup>15</sup></b>	
Net First-year annual kWh committed this quarter	1,589,396
Net Lifecycle kWh committed this quarter	11,584,588
Net Utility Peak kW committed this quarter	140
Net first-year annual therms committed this quarter	N/A
Net Lifecycle therms committed this quarter	N/A
Funds committed at this point in time	156,122
<b>Overall Impacts (Acquired &amp; Committed)<sup>15</sup></b>	
Net first-year annual kWh acquired & committed this quarter	3,456,410

Net utility peak kW acquired & committed this quarter	306
Net First-year annual therms acquired & committed this quarter	N/A
<b>Costs<sup>6,16</sup></b>	
Total program budget	\$1,296,472
General Administration	Portfolio <sup>13</sup>
Program Planning	Portfolio <sup>13</sup>
Program Marketing <sup>17</sup>	\$0
Trade Ally Training <sup>17</sup>	\$0
Incentives and Services <sup>14,17</sup>	\$275,322
Direct Program Implementation <sup>17</sup>	\$36,928
Program Evaluation <sup>17</sup>	\$20,754
Total expenditures to date	\$750,942
Percent of total budget spent to date	58%
<b>Participation</b>	
Number of program applications received to date	103
Number of program applications processed to date	78
Number of processed applications approved to date	59
Percent of applications received to date that have been processed	76%
<b>Quarterly Carbon Emission Reductions (in tons)</b>	
Total Acquired Net First-year Carbon Emission Reductions To Date <sup>12</sup>	2089
Total Acquired Cumulative Net Carbon Emission Reductions To Date <sup>12</sup>	2089

**DPS Staff Notes:**

<sup>1</sup>DPS Staff needs to work with utilities to develop a Program ID naming convention. However, a Program ID number is not required for the first report. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.

<sup>2</sup>There is not currently a consistent list of program types but individual categories for common use by administrators could be developed.

<sup>3</sup>First-year savings are defined as the annual savings expected from a given measure in the first year after installation. The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. *Acquired* kWh savings are defined as those savings that reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.

<sup>4</sup>Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.

<sup>5</sup>Program Administrators should make best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the *monthly* goals but does not want to mandate monthly goals, at least initially.

<sup>6</sup>Peak is defined uniquely for each utility.

<sup>7</sup>The lifecycle savings are tracked beginning in the *year* in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will be different for each month as a function of adding savings from measures installed in a given month and subtracting savings from measures installed earlier in the funding cycle that have reached the end of their useful life.

<sup>8</sup>Committed savings are defined as those for which funds have been encumbered by not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's best *estimate* of what they have committed. There should be some assumptions on how the administrator does that. Program administrators should forecast as accurately as possible and it should get more precise with program experience, e.g., the difference between achieved and committed should get closer over time.

<sup>9</sup>These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans.

<sup>10</sup>An application is processed once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."

<sup>11</sup>The application is approved once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which there are cases in which an application could be received, processed, and approved all in one day, then a "1" would be counted for each step in the tracking lifecycle.

<sup>12</sup>See CO<sub>2</sub> Reduction Values tab in Data Reporting Template 6-26-09.Xls (quarterly and annual reports only).

**Utility Notes:**

<sup>13</sup>Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior and subsequent energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.

<sup>14</sup>Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for installed measures incentive payments made to program participants. They will thus lag the acquired savings actual issuance of incentive payments to program participants.

<sup>15</sup> Impacts are calculated using actual installed measure information for all measures.

<sup>16</sup>All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.

<sup>17</sup>Data contained in these fields reflects the most recent quarter's expenditures in the respective category.



Program Administrator(PA) and Program ID <sup>1</sup>	NYSEG Non-Residential Small Business Direct Install Program
Program Name	NYSEG Non-Residential Small Business Direct Install Program
Program Type <sup>2</sup>	Direct Install- 1st quarter 2011
<b>Total Acquired First-Year Impacts This Quarter<sup>3,15</sup></b>	
Net first-year annual kWh acquired this Quarter <sup>4,20</sup>	2,782,712
Quarterly Net kWh Goal (based on net first-year annual kWh Goal)	13,265,500
Percent of Quarterly Net kWh Goal Acquired	21%
<b>Net Peak<sup>6</sup> kW acquired this Quarter</b>	
Quarterly Net Peak kW Goal	N/A
Percent of Quarterly Peak kW Goal Acquired	N/A
<b>Net First-year annual therms acquired this Quarter</b>	
Quarterly Net Therm Goal	N/A
Percent of Quarterly Therm Goal Acquired	N/A
<b>Net Lifecycle kWh acquired this Quarter</b>	
Net Lifecycle therms acquired this Quarter	N/A
<b>Total Acquired Net First-Year Impacts To Date<sup>15,17</sup></b>	
Net first-year annual kWh acquired to date <sup>15</sup>	6,546,733
Net first-year annual kWh acquired to date as a percent of annual goal	12%
Net first-year annual kWh acquired to date as a percent of 8-year goal	N/A
Net cumulative kWh acquired to date	6,546,733
<b>Net utility peak kW reductions acquired to date</b>	
Net utility peak kW reductions acquired to date as a percent of utility annual goal	N/A
Net utility peak kW reductions acquired to date as a percent of 8-year goal	N/A
Net NYISO peak kW reductions acquired to date	N/A
<b>Net first-year annual therms acquired to date</b>	
Net first-year annual therms acquired to date as a percent of annual goal	N/A
Net first-year annual therms acquired to date as a percent of 8-year goal	N/A
Net cumulative therms acquired to date	N/A
<b>Total Acquired Lifecycle Impacts To Date<sup>7,15,17</sup></b>	
Net Lifecycle kWh acquired to date	79,258,266
Net Lifecycle therms acquired to date	N/A
<b>Committed<sup>8</sup> Impacts (not yet acquired) This Quarter<sup>15</sup></b>	
Net First-year annual kWh committed this Quarter	5,223,685
Net Lifecycle kWh committed this Quarter	66,698,554



Net Utility Peak kW committed this Quarter	1,463.48
Net first-year annual therms committed this Quarter	N/A
Net Lifecycle therms committed this Quarter	N/A
Funds committed at this point in time	\$1,521,924
<b>Overall Impacts (Acquired &amp; Committed)<sup>15</sup></b>	
Net first-year annual kWh acquired & committed this Quarter	8,006,397
Net utility peak kW acquired & committed this Quarter	2,212.62
Net First-year annual therms acquired & committed this Quarter	N/A
<b>Costs<sup>9,16</sup></b>	
Total program budget	\$15,229,249
General Administration	Portfolio <sup>13</sup>
Program Planning	Portfolio <sup>13</sup>
Program Marketing <sup>18</sup>	
Trade Ally Training <sup>18</sup>	
Incentives and Services <sup>14, 18</sup>	\$937,848
Direct Program Implementation <sup>18</sup>	\$84,960
Program Evaluation <sup>18</sup>	\$95,262
Total expenditures to date	\$2,694,846
Percent of total budget spent to date	17.70%
<b>Participation<sup>15,17</sup></b>	
Number of measures offered on customer proposals to date <sup>19</sup>	3,342
Number of measures on signed customer contracts to date <sup>19</sup>	1,597
Number of measures installed to date <sup>19</sup>	1,008
Percent of proposed measures in signed customer contracts <sup>19</sup>	47.79%
<b>Quarterly Carbon Emission Reductions (in tons)</b>	
Total Acquired Net First-year Carbon Emission Reductions To Date <sup>12</sup>	3,273
Total Acquired Cumulative Net Carbon Emission Reductions To Date <sup>12</sup>	3,273

**DPS Staff Notes:**

<sup>1</sup>DPS Staff needs to work with utilities to develop a Program ID naming convention. However, a Program ID number is not required for the first report. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.

<sup>2</sup>There is not currently a consistent list of program types but individual categories for common use by administrators could be developed.

<sup>3</sup>First-year savings are defined as the annual savings expected from a given measure in the first year after installation. The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. *Acquired* kWh savings are defined as those savings that reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.

<sup>4</sup>Regardless of the Quarter in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.

<sup>5</sup>Program Administrators should make best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the Quarterly goals but does not want to mandate Quarterly goals, at least initially.

<sup>6</sup>Peak is defined uniquely for each utility.

<sup>7</sup>The lifecycle savings are tracked beginning in the year in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will be different for each Quarter as a function of adding savings from measures installed in a given Quarter and subtracting savings from measures installed earlier in the funding cycle that have reached the end of their useful life.

<sup>8</sup>Committed savings are defined as those for which funds have been encumbered by not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's best estimate of what they have committed. There should be some assumptions on how the administrator does that. Program administrators should forecast as accurately as possible and it should get more precise with program experience, e.g., the difference between achieved and committed should get closer over time.

<sup>9</sup>These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans.

<sup>10</sup>An application is processed once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."

<sup>11</sup>The application is approved once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which there are cases in which an application could be received, processed, and approved all in one day, then a "1" would be counted for each step in the tracking lifecycle.

<sup>12</sup>See CO<sub>2</sub> Reduction Values tab in Data Reporting Template 6-26-09.Xls (quarterly and annual reports only).

**Utility Notes:**

<sup>13</sup>Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior and subsequent energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.

<sup>14</sup>Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for installed measures. They will thus lag the acquired savings.

<sup>15</sup>Impacts are calculated using actual installed measure information for all measures.

<sup>16</sup>All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.

<sup>17</sup>Information contained in this Quarterly table may include changes to previously filed Quarterly scorecard tables based on additional review and/or reconsideration of rebate eligibility decisions made by the implementation contractor during prior reporting periods. Additional information regarding such changes is available upon request.

<sup>18</sup>Data contained in these fields reflects the most recent Quarter's expenditures in the respective category, not "to date" information.

<sup>19</sup>For the purposes of this directed installation program the following definitions are used: Received applications are those for which a customer proposal is offered. The application becomes processed once the implementation contractor and customer have reviewed the proposal and the customer signs a contract to approve the direct installations. Processed applications become approved applications when the direct installations have been physically installed.

<sup>20</sup>The monthly net kwh goal is adjusted to reflect a quarterly value for the remaining goal in 2010 added to the 2011 goal to arrive at the remaining program goal for 2011 reflected as the annual goal.

Program Administrator(PA) and Program ID <sup>1</sup>	RG&E Non-Residential Small Business Direct Install Program
Program Name	RG&E Non-Residential Small Business Direct Install Program
Program Type <sup>2</sup>	Direct Install- 1st Quarter 2011
Total Acquired First-Year Impacts This Quarter <sup>3,15</sup>	
Net first-year annual kWh acquired this Quarter <sup>4,20</sup>	2,893,917
Quarterly Net kWh Goal (based on net first-year annual kWh Goal)	5,729,500
Percent of Quarterly Net kWh Goal Acquired	51%
Net Peak <sup>6</sup> kW acquired this Quarter	828.41
Quarterly Net Peak kW Goal	N/A
Percent of Quarterly Peak kW Goal Acquired	N/A
Net First-year annual therms acquired this Quarter	N/A
Quarterly Net Therm Goal	N/A
Percent of Quarterly Therm Goal Acquired	N/A
Net Lifecycle kWh acquired this Quarter	40,067,374
Net Lifecycle therms acquired this Quarter	N/A
Total Acquired Net First-Year Impacts To Date <sup>15,17</sup>	
Net first-year annual kWh acquired to date <sup>5</sup>	5,866,309
Net first-year annual kWh acquired to date as a percent of annual goal	23%
Net first-year annual kWh acquired to date as a percent of 8-year goal	N/A
Net cumulative kWh acquired to date	5,866,309
Net utility peak kW reductions acquired to date	1,604.56
Net utility peak kW reductions acquired to date as a percent of utility annual goal	N/A
Net utility peak kW reductions acquired to date as a percent of 8-year goal	N/A
Net NYISO peak kW reductions acquired to date	N/A
Net first-year annual therms acquired to date	N/A
Net first-year annual therms acquired to date as a percent of annual goal	N/A
Net first-year annual therms acquired to date as a percent of 8-year goal	N/A
Net cumulative therms acquired to date	N/A
Total Acquired Lifecycle Impacts To Date <sup>7,15,17</sup>	
Net Lifecycle kWh acquired to date	78,495,374
Net Lifecycle therms acquired to date	N/A
Committed <sup>8</sup> Impacts (not yet acquired) This Quarter <sup>15</sup>	
Net First-year annual kWh committed this Quarter	2,777,199
Net Lifecycle kWh committed this Quarter	38,040,285

Net Utility Peak kW committed this Quarter	801.00
Net first-year annual therms committed this Quarter	N/A
Net Lifecycle therms committed this Quarter	N/A
Funds committed at this point in time	\$833,528
<b>Overall Impacts (Acquired &amp; Committed)<sup>15</sup></b>	
Net first-year annual kWh acquired & committed this Quarter	5,671,116
Net utility peak kW acquired & committed this Quarter	1,629
Net First-year annual therms acquired & committed this Quarter	N/A
<b>Costs<sup>9,16</sup></b>	
Total program budget	\$6,938,751
General Administration	Portfolio <sup>13</sup>
Program Planning	Portfolio <sup>13</sup>
Program Marketing <sup>18</sup>	
Trade Ally Training <sup>18</sup>	
Incentives and Services <sup>14, 18</sup>	\$974,806
Direct Program Implementation <sup>18</sup>	\$37,092
Program Evaluation <sup>18</sup>	\$51,977
Total expenditures to date	\$2,103,998
Percent of total budget spent to date	30.32%
<b>Participation<sup>15,17</sup></b>	
Number of measures offered on customer proposals to date <sup>19</sup>	2,746
Number of measures on signed customer contracts to date <sup>19</sup>	1,241
Number of measures installed to date <sup>19</sup>	922
Percent of proposed measures in signed customer contracts <sup>19</sup>	45.19%
<b>Quarterly Carbon Emission Reductions (in tons)</b>	
Total Acquired Net First-year Carbon Emission Reductions To Date <sup>12</sup>	2,933
Total Acquired Cumulative Net Carbon Emission Reductions To Date <sup>12</sup>	2,933

**DPS Staff Notes:**

<sup>1</sup>DPS Staff needs to work with utilities to develop a Program ID naming convention. However, a Program ID number is not required for the first report. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.

<sup>2</sup>There is not currently a consistent list of program types but individual categories for common use by administrators could be developed.

<sup>3</sup>First-year savings are defined as the annual savings expected from a given measure in the first year after installation. The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. *Acquired* kWh savings are defined as those savings that reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.

<sup>4</sup>Regardless of the Quarter in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.

<sup>5</sup>Program Administrators should make best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the Quarterly goals but does not want to mandate Quarterly goals, at least initially.

<sup>6</sup>Peak is defined uniquely for each utility.

<sup>7</sup>The lifecycle savings are tracked beginning in the year in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will be different for each Quarter as a function of adding savings from measures installed in a given Quarter and subtracting savings from measures installed earlier in the funding cycle that have reached the end of their useful life.

<sup>8</sup>Committed savings are defined as those for which funds have been encumbered by not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's *bestestimate* of what they have committed. There should be some assumptions on how the administrator does that. Program administrators should forecast as accurately as possible and it should get more precise with program experience, e.g., the difference between achieved and committed should get closer over time.

<sup>9</sup>These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans.

<sup>10</sup>An application is processed once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."

<sup>11</sup>The application is approved once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which there are cases in which an application could be received, processed, and approved all in one day, then a "1" would be counted for each step in the tracking lifecycle.

<sup>12</sup>See CO<sub>2</sub> Reduction Values tab in Data Reporting Template 6-26-09.Xls (quarterly and annual reports only).

**Utility Notes:**

<sup>13</sup>Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior and subsequent energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.

<sup>14</sup>Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for installed measures. They will thus lag the acquired savings.

<sup>15</sup>Impacts are calculated using actual installed measure information for all measures.

<sup>16</sup>All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.

<sup>17</sup>Information contained in this Quarterly table may include changes to previously filed Quarterly scorecard tables based on additional review and/or reconsideration of rebate eligibility decisions made by the implementation contractor during prior reporting periods. Additional information regarding such changes is available upon request.

<sup>18</sup>Data contained in these fields reflects the most recent Quarter's expenditures in the respective category, not "to date" information.

<sup>19</sup>For the purposes of this directed installation program the following definitions are used: Received applications are those for which a customer proposal is offered. The application becomes processed once the implementation contractor and customer have reviewed the proposal and the customer signs a contract to approve the direct installations. Processed applications become approved applications when the direct installations have been physically installed.

<sup>20</sup>The monthly net kwh goal is adjusted to reflect a quarterly value for the remaining goal in 2010 added to the 2011 goal to arrive at the remaining program goal for 2011 reflected as the annual goal.

Program Administrator(PA) and Program ID <sup>1</sup>	NYSEG Non-Residential Block Bidding Program
Program Name	NYSEG Non-Residential Block Bidding Program Electric
Program Type <sup>2</sup>	Block Bidding
<b>Total Acquired First-Year Impacts This Quarter<sup>3,13</sup></b>	
Net first-year annual kWh acquired this Quarter <sup>4</sup>	499,345
Quarterly Net kWh Goal <sup>17</sup> (based on net first-year annual <sup>5</sup> kWh Goal)	N/A
Percent of Quarterly Net kWh Goal Acquired	N/A
<b>Net Peak6 kW acquired this Quarter</b>	
Quarterly Net Peak kW Goal	113
Percent of Quarterly Peak kW Goal Acquired	N/A
<b>Net First-year annual therms acquired this quarter</b>	
Quarterly Net Therm Goal	N/A
Percent of Quarterly Therm Goal Acquired	N/A
<b>Net Lifecycle kWh acquired this quarter</b>	
Net Lifecycle therms acquired this quarter	3,495,415
<b>Total Acquired Net First-Year Impacts To Date<sup>13</sup></b>	
Net first-year annual kWh acquired to date	499,345
Net first-year annual kWh acquired to date as a percent of annual goal <sup>8</sup>	8.8%
Net first-year annual kWh acquired to date as a percent of 8-year goal	N/A
Net cumulative kWh acquired to date	499,345
<b>Net utility peak kW reductions acquired to date</b>	
Net utility peak kW reductions acquired to date as a percent of utility annual goal	113
Net utility peak kW reductions acquired to date as a percent of 8-year goal	N/A
Net NYISO peak kW reductions acquired to date	N/A
<b>Net first-year annual therms acquired to date</b>	
Net first-year annual therms acquired to date as a percent of annual goal	N/A
Net first-year annual therms acquired to date as a percent of 8-year goal	N/A
Net cumulative therms acquired to date	N/A
<b>Total Acquired Lifecycle Impacts To Date<sup>7,13</sup></b>	
Net Lifecycle kWh acquired to date	3,495,415
Net Lifecycle therms acquired to date	N/A
<b>Committed<sup>8, 13</sup> Impacts (not yet acquired) This Quarter</b>	
Net First-year annual kWh committed this quarter	-
Net Lifecycle kWh committed this quarter	-
Net Utility Peak kW committed this quarter	-
Net first-year annual therms committed this quarter	N/A
Net Lifecycle therms committed this quarter	N/A
Funds committed at this point in time	\$195,699
<b>Overall Impacts (Acquired &amp; Committed)</b>	
Net first-year annual kWh acquired & committed this quarter	499,345
Net utility peak kW acquired & committed this quarter	113

Net First-year annual therms acquired & committed this quarter	N/A
<b>Costs<sup>9</sup></b>	
Total program budget	\$3,181,000
General Administration <sup>14</sup>	\$0
Program Planning	\$0
Program Marketing	\$0
Trade Ally Training	\$0
Incentives and Services <sup>15</sup>	\$0
Direct Program Implementation	\$22,394
Program Evaluation	\$0
Total expenditures to date	\$122,412
Percent of total budget spent to date	3.85%
<b>Participation</b>	
Number of measures <sup>16</sup> offered on customer proposals to date	16
Number of measures on signed customer contracts to date	16
Number of measures installed to date	0
Percent of proposed measures in signed customer contracts	100.00%
<b>Quarterly Carbon Emission Reductions (in tons)</b>	
Total Acquired Net First-year Carbon Emission Reductions To Date <sup>2</sup>	250
Total Acquired Cumulative Net Carbon Emission Reductions To Date <sup>12</sup>	250

**DPS Staff Notes:**

<sup>1</sup>DPS Staff needs to work with utilities to develop a Program ID naming convention. However, a Program ID number is not required for the first report. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.

<sup>2</sup>There is not currently a consistent list of program types but individual categories for common use by administrators could be developed.

<sup>3</sup>First-year savings are defined as the annual savings expected from a given measure in the first year after installation. The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. **Acquired** kWh savings are defined as those savings that reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.

<sup>4</sup>Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.

<sup>5</sup>Program Administrators should make best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the **monthly** goals but does not want to mandate monthly goals, at least initially.

<sup>6</sup>Peak is defined uniquely for each utility.

<sup>7</sup>The lifecycle savings are tracked beginning in the **year** in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will be different for each month as a function of adding savings from measures installed in a given month and subtracting savings from measures installed earlier in the funding cycle that have reached the end of their useful life.

<sup>8</sup>Committed savings are defined as those for which funds have been encumbered but not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's **bestestimate** of what they have committed. There should be some assumptions on how the administrator does that. Program administrators should forecast as accurately as possible and it should get more precise with program experience, e.g., the difference between achieved and committed should get closer over time.



<sup>9</sup>These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans.

<sup>10</sup>An application is processed once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."

<sup>11</sup>The application is approved once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which there are cases in which an application could be received, processed, and approved all in one day, then a "1" would be counted for each step in the tracking lifecycle.

<sup>12</sup>See CO<sub>2</sub> Reduction Values tab in Data Reporting Template 6-26-09.Xls (quarterly and annual reports only).

**Utility Notes:**

<sup>13</sup>Due to the unique nature of the Block Bidding Program, Acquired savings are reported for the month that the completion of the installation of the energy savings measure is validated and approved by the Company. Funds committed represent energy savings that have been committed in the Service Agreements that have been signed for selected bids. Additional energy savings will be Acquired through 2011 based on project schedules agreed to in the Service Agreements.

<sup>14</sup>Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.

<sup>15</sup>Incentives and services costs are reported based on Company payment of invoices received from program contractors and/or Bidders/Suppliers.

<sup>16</sup>The number of measures represents the number of customer host locations proposed by the accepted bidders .

<sup>17</sup>Due to the non-uniform acquisition of energy savings under the Block Bidding Program, the Program does not have defined Monthly goals.

<sup>18</sup>The Block Bidding Program did not acquire energy savings in 2010. Therefore, the 2010 program goal has been added to the 2011 program goal for a combined 2011 program goal of 5676 mWhs.



Program Administrator(PA) and Program ID <sup>1</sup>	RG&E Non-Residential Block Bidding Program
Program Name	RG&E Non-Residential Block Bidding Program Electric
Program Type <sup>2</sup>	Block Bidding
<b>Total Acquired First-Year Impacts This Quarter<sup>3,13</sup></b>	
Net first-year annual kWh acquired this Quarter <sup>4</sup>	5,126,490
Quarterly Net kWh Goal <sup>17</sup> (based on net first-year annual <sup>5</sup> kWh Goal)	N/A
Percent of Quarterly Net kWh Goal Acquired	N/A
<b>Net Peak<sup>6</sup> kW acquired this Quarter</b>	
Quarterly Net Peak kW Goal	N/A
Percent of Quarterly Peak kW Goal Acquired	N/A
<b>Net First-year annual therms acquired this quarter</b>	
Quarterly Net Therm Goal	N/A
Percent of Quarterly Therm Goal Acquired	N/A
<b>Net Lifecycle kWh acquired this quarter</b>	
Net Lifecycle therms acquired this quarter	67,490,385
<b>Total Acquired Net First-Year Impacts To Date<sup>13</sup></b>	
Net first-year annual kWh acquired to date	5,126,490
Net first-year annual kWh acquired to date as a percent of annual goal <sup>18</sup>	90.3%
Net first-year annual kWh acquired to date as a percent of 8-year goal	N/A
Net cumulative kWh acquired to date	5,126,490
<b>Net utility peak kW reductions acquired to date</b>	
Net utility peak kW reductions acquired to date as a percent of utility annual goal	992
Net utility peak kW reductions acquired to date as a percent of 8-year goal	N/A
Net NYISO peak kW reductions acquired to date	N/A
<b>Net first-year annual therms acquired to date</b>	
Net first-year annual therms acquired to date as a percent of annual goal	N/A
Net first-year annual therms acquired to date as a percent of 8-year goal	N/A
Net cumulative therms acquired to date	N/A
<b>Total Acquired Lifecycle Impacts To Date<sup>7,13</sup></b>	
Net Lifecycle kWh acquired to date	67,490,385
Net Lifecycle therms acquired to date	N/A
<b>Committed<sup>8,13</sup> Impacts (not yet acquired) This Quarter</b>	
Net First-year annual kWh committed this quarter	-
Net Lifecycle kWh committed this quarter	-
Net Utility Peak kW committed this quarter	-
Net first-year annual therms committed this quarter	N/A
Net Lifecycle therms committed this quarter	N/A
Funds committed at this point in time	\$1,157,468
<b>Overall Impacts (Acquired &amp; Committed)</b>	
Net first-year annual kWh acquired & committed this quarter	5,126,490
Net utility peak kW acquired & committed this quarter	992
Net First-year annual therms acquired & committed this quarter	N/A

<b>Costs<sup>9</sup></b>	
Total program budget	\$3,280,000
General Administration <sup>14</sup>	\$0
Program Planning	\$0
Program Marketing	\$0
Trade Ally Training	\$0
Incentives and Services <sup>15</sup>	\$922,278
Direct Program Implementation	\$29,969
Program Evaluation	\$0
Total expenditures to date	\$1,108,392
Percent of total budget spent to date	33.79%
<b>Participation</b>	
Number of measures <sup>16</sup> offered on customer proposals to date	24
Number of measures on signed customer contracts to date	23
Number of measures installed to date	0
Percent of proposed measures in signed customer contracts	95.83%
<b>Quarterly Carbon Emission Reductions (in tons)</b>	
Total Acquired Net First-year Carbon Emission Reductions To Date <sup>12</sup>	2563
Total Acquired Cumulative Net Carbon Emission Reductions To Date <sup>12</sup>	2563

**DPS Staff Notes:**

<sup>1</sup>DPS Staff needs to work with utilities to develop a Program ID naming convention. However, a Program ID number is not required for the first report. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.

<sup>2</sup>There is not currently a consistent list of program types but individual categories for common use by administrators could be developed.

<sup>3</sup>First-year savings are defined as the annual savings expected from a given measure in the first year after installation. The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. *Acquired* kWh savings are defined as those savings that reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.

<sup>4</sup>Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.

<sup>5</sup>Program Administrators should make best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also Staff wants administrators to try to be as accurate as possible in determining the *monthly* goals but does not want to mandate monthly goals, at least initially.

<sup>6</sup>Peak is defined uniquely for each utility.

<sup>7</sup>The lifecycle savings are tracked beginning in the *year* in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will be different for each month as a function of adding savings from measures installed in a given month and subtracting savings from measures installed earlier in the funding cycle that have reached the end of their useful life.

<sup>8</sup>Committed savings are defined as those for which funds have been encumbered but not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's best *estimate* of what they have committed. There should be some assumptions on how the administrator does that. Program administrators should forecast as accurately as possible and it should get more precise with program experience, e.g., the difference between achieved and committed should get closer over time.

<sup>9</sup>These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans.

<sup>10</sup>An application is processed once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."

<sup>11</sup>The application is approved once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which there are cases in which an application could be received, processed, and approved all in one day, then a "1" would be counted for each step in the tracking lifecycle.

<sup>12</sup>See CO<sub>2</sub> Reduction Values tab in Data Reporting Template 6-26-09.Xls (quarterly and annual reports only).

**Utility Notes:**

<sup>13</sup> Due to the unique nature of the Block Bidding Program, Acquired savings are reported for the month that the completion of the installation of the energy savings measure is validated and approved by the Company. Funds committed represent energy savings that have been committed in the Service Agreements that have been signed for selected bids. Additional energy savings will be Acquired through 2011 based on project schedules agreed to in the Service Agreements.

<sup>14</sup>Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.

<sup>15</sup>Incentives and services costs are reported based on Company payment of invoices received from program contractors and/or Bidders/Suppliers.

<sup>16</sup>The number of measures represents the number of customer host locations proposed by the accepted bidders .

<sup>17</sup>Due to the non-uniform acquisition of energy savings under the Block Bidding Program, the Program does not have defined Monthly goals.

<sup>18</sup>The Block Bidding Program did not acquire energy savings in 2010. Therefore, the 2010 program goal has been added to the 2011 program goal for a combined 2011 program goal of 5676 mWhs.

<b>Program Administrator (PA) and Program ID<sup>1</sup></b>	NYSEG Non-Residential Commercial & Industrial Rebate Program
<b>Program Name</b>	NYSEG Non-Residential Commercial & Industrial PRESCRIPTIVE Program
<b>Program Type<sup>2</sup></b>	Prescriptive ELECTRIC Rebate
<b>Total Acquired First-Year Impacts This Quarter<sup>3</sup></b>	
Net first-year annual kWh acquired this quarter <sup>4</sup>	236,312
Quarterly Net kWh Goal (based on net first-year annual kWh Goal)	1,389,000
Percent of Quarterly Net kWh Goal Acquired	17.01%
<b>Net Peak<sup>6</sup> kW acquired this quarter</b>	
Quarterly Net Peak kW Goal	NA
Percent of Quarterly Peak kW Goal Acquired	NA
<b>Net First-year annual therms acquired this quarter</b>	
Quarterly Net Therm Goal	NA
Percent of Quarterly Therm Goal Acquired	NA
<b>Net Lifecycle kWh acquired this quarter</b>	
Net Lifecycle therms acquired this quarter	3,638,001
<b>Net Other Quarterly Savings (MMBTUs) Acquired</b>	
Coal	NA
Kerosene	NA
Oil	NA
Propane	NA
<b>Total Acquired Net First-Year Impacts To Date</b>	
Net first-year annual kWh acquired to date	296,491
Net first-year annual kWh acquired to date as a percent of annual goal	5.34%
Net first-year annual kWh acquired to date as a percent of 8-year goal	NA
Net cumulative first-year annual kWh acquired to date	296,491
<b>Net utility kW reductions acquired to date</b>	
Net utility peak kW reductions acquired to date as a percent of utility annual goal	70
Net utility peak kW reductions acquired to date as a percent of 8-year goal	NA
Net NYISO peak kW reductions acquired to date	NA
<b>Net first-year annual therms acquired to date</b>	
Net first-year annual therms acquired to date as a percent of annual goal	NA
Net first-year annual therms acquired to date as a percent of 8-year goal	NA
Net cumulative therms acquired to date	NA
<b>Total Acquired Lifecycle Impacts To Date<sup>7</sup></b>	
Net Lifecycle kWh acquired to date	4,540,678
Net Lifecycle therms acquired to date	NA
<b>Committed<sup>8</sup> Impacts (not yet acquired) This Quarter</b>	
Net First-year annual kWh committed this quarter	0
Net Lifecycle kWh committed this quarter	0
Net Utility Peak kW committed this quarter	0
Net first-year annual therms committed this quarter	NA
Net Lifecycle therms committed this quarter	NA
Funds committed at this point in time	\$0
<b>Overall Impacts (Acquired &amp; Committed)</b>	
Net first-year annual kWh acquired & committed this quarter	236,312
Net utility peak kW acquired & committed this quarter	55
Net First-year annual therms acquired & committed this quarter	NA

<b>Costs<sup>9</sup></b>	
Total program budget	\$2,610,016
General Administration	Portfolio
Program Planning	Portfolio
Program Marketing	\$9,895
Trade Ally Training	\$0
Incentives and Services	\$23,119
Direct Program Implementation	\$52,866
Program Evaluation	\$12,424
Total expenditures to date	\$233,279
Percent of total budget spent to date	8.94%
<b>Participation</b>	
Number of program applications received to date	20
Number of program applications processed to date <sup>10</sup>	18
Number of processed applications approved to date <sup>11</sup>	7
Percent of applications received to date that have been processed	90.00%
<b>Carbon Emission Reductions (in tons)</b>	
Total Acquired Net First-Year Carbon Emission Reductions To Date <sup>2</sup>	148
Total Acquired Cumulative Net Carbon Emission Reductions To Date	148

**DPS Staff Notes:**

<sup>1</sup>DPS Staff needs to work with utilities and NYSERDA to develop a Program ID naming convention. However, a Program ID number is not required for the first report. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.

<sup>2</sup>There is not currently a consistent list of program type but individual categories for common use by administrators could be developed.

<sup>3</sup>First-year savings are defined as the annual savings expected from a given measure in the first year after installation (See Definition #1 Savings Definitions Tab). The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. **Acquired** kWh savings are defined as those savings that reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.

<sup>4</sup>Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.

<sup>5</sup>Program Administrators should make a best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the monthly goals but does not want to mandate monthly goals, at least initially.

<sup>6</sup>Peak is defined uniquely for each utility.

<sup>7</sup>The lifecycle savings are tracked beginning in the year in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will differ for each month as a function of adding savings from measures installed in a given month and savings from measures installed earlier in the funding cycle that have reached the end of their useful life are no longer accumulated.

<sup>8</sup> Committed savings are defined as those for which funds have been encumbered by not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's **best estimate** of what they have committed. Program administrators should forecast as accurately as possible and forecasts should get more precise with program experience, i.e., the difference between achieved and committed should narrow over time.

<sup>9</sup>These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans.

Companies should identify whether each cost item is to be recovered through the SBC surcharge, base rates, or other recovery mechanism (e.g., monthly adjustment charges).

<sup>10</sup>An application is *processed* once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."

<sup>11</sup>The application is *approved* once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which there are cases in which an application could be received, processed, and approved all in one day, then a "1" would be counted for each step in the tracking lifecycle.

<sup>12</sup>See *CO<sub>2</sub> Reduction Values* tab.

**Utility Notes:**

<sup>13</sup> Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior and subsequent energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.

<sup>14</sup> Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for incentive payments made to program participants. They will thus lag the actual issuance of incentive payments to program participants.

<sup>15</sup> Impacts are calculated using actual installed measure information for all measures.

<sup>16</sup> All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.

<sup>17</sup> Information presented here may include changes to previously filed scorecard tables based on: review of additional information, reconsideration of eligibility decisions made by the implementation contractor during prior reporting periods, or other factors.

<sup>18</sup> Data contained in these fields reflects the most recent quarter's expenditures in the respective category, as reported in the companies' accounting systems, not "to date" information.

<b>Program Administrator (PA) and Program ID<sup>1</sup></b>	NYSEG Non-Residential Commercial & Industrial Rebate Program
<b>Program Name</b>	NYSEG Non-Residential Commercial & Industrial PRESCRIPTIVE Program
<b>Program Type<sup>2</sup></b>	Prescriptive GAS Rebate
<b>Total Acquired First-Year Impacts This Quarter<sup>3</sup></b>	
Net first-year annual kWh acquired this quarter <sup>4</sup>	NA
Quarterly Net kWh Goal (based on net first-year annual kWh Goal)	NA
Percent of Quarterly Net kWh Goal Acquired	NA
<b>Net Peak<sup>6</sup> kW acquired this quarter</b>	
Quarterly Net Peak kW Goal	NA
Percent of Quarterly Peak kW Goal Acquired	NA
Net First-year annual therms acquired this quarter	11,380
Quarterly Net Therm Goal	21,540
Percent of Quarterly Therm Goal Acquired	52.83%
Net Lifecycle kWh acquired this quarter	NA
Net Lifecycle therms acquired this quarter	227,601
<b>Net Other Quarterly Savings (MMBTUs) Acquired</b>	
Coal	NA
Kerosene	NA
Oil	NA
Propane	NA
<b>Total Acquired Net First-Year Impacts To Date</b>	
Net first-year annual kWh acquired to date	NA
Net first-year annual kWh acquired to date as a percent of annual goal	NA
Net first-year annual kWh acquired to date as a percent of 8-year goal	NA
Net cumulative first-year annual kWh acquired to date	NA
Net utility kW reductions acquired to date	NA
Net utility peak kW reductions acquired to date as a percent of utility annual goal	NA
Net utility peak kW reductions acquired to date as a percent of 8-year goal	NA
Net NYISO peak kW reductions acquired to date	NA
Net first-year annual therms acquired to date	55,823
Net first-year annual therms acquired to date as a percent of annual goal	42.74%
Net first-year annual therms acquired to date as a percent of 8-year goal	NA
Net cumulative therms acquired to date	55,823
<b>Total Acquired Lifecycle Impacts To Date<sup>7</sup></b>	
Net Lifecycle kWh acquired to date	NA
Net Lifecycle therms acquired to date	1,116,459
<b>Committed<sup>8</sup> Impacts (not yet acquired) This Quarter</b>	
Net First-year annual kWh committed this quarter	NA
Net Lifecycle kWh committed this quarter	NA
Net Utility Peak kW committed this quarter	NA
Net first-year annual therms committed this quarter	0
Net Lifecycle therms committed this quarter	0
Funds committed at this point in time	\$0
<b>Overall Impacts (Acquired &amp; Committed)</b>	
Net first-year annual kWh acquired & committed this quarter	NA
Net utility peak kW acquired & committed this quarter	NA
Net First-year annual therms acquired & committed this quarter	11,380

<b>Costs<sup>9</sup></b>	
Total program budget	\$614,218
General Administration	Portfolio
Program Planning	Portfolio
Program Marketing	\$2,318
Trade Ally Training	\$0
Incentives and Services	\$35,950
Direct Program Implementation	\$30,946
Program Evaluation	\$2,701
Total expenditures to date	\$180,729
Percent of total budget spent to date	29.42%
<b>Participation</b>	
Number of program applications received to date	43
Number of program applications processed to date <sup>10</sup>	34
Number of processed applications approved to date <sup>11</sup>	31
Percent of applications received to date that have been processed	79.07%
<b>Carbon Emission Reductions (in tons)</b>	
Total Acquired Net First-Year Carbon Emission Reductions To Date <sup>12</sup>	327
Total Acquired Cumulative Net Carbon Emission Reductions To Date	327

**DPS Staff Notes:**

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<sup>2</sup>There is not currently a consistent list of program type but individual categories for common use by administrators could be developed.

<sup>3</sup>First-year savings are defined as the annual savings expected from a given measure in the first year after installation (See Definition #1 *Savings Definitions* Tab). The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. **Acquired** kWh savings are defined as those savings that reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.

<sup>4</sup>Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.

<sup>5</sup>Program Administrators should make a best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the monthly goals but does not want to mandate monthly goals, at least initially.

<sup>6</sup>Peak is defined uniquely for each utility.

<sup>7</sup>The lifecycle savings are tracked beginning in the year in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will differ for each month as a function of adding savings from measures installed in a given month and savings from measures installed earlier in the funding cycle that have reached the end of their useful life are no longer accumulated.

<sup>8</sup>Committed savings are defined as those for which funds have been encumbered by not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's *best estimate* of what they have committed. Program administrators should forecast as accurately as possible and forecasts should get more precise with program experience, i.e., the difference between achieved and committed should narrow over time.

<sup>9</sup>These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans.

Companies should identify whether each cost item is to be recovered through the SBC surcharge, base rates, or other recovery mechanism (e.g., monthly adjustment charge).



<sup>10</sup> An application is *processed* once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."

<sup>11</sup> The application is *approved* once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which there are cases in which an application could be received, processed, and approved all in one day, then a "1" would be counted for each step in the tracking lifecycle.

<sup>12</sup> See *CO<sub>2</sub> Reduction Values* tab.

**Utility Notes:**

<sup>13</sup> Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior and subsequent energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.

<sup>14</sup> Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for incentive payments made to program participants. They will thus lag the actual issuance of incentive payments to program participants.

<sup>15</sup> Impacts are calculated using actual installed measure information for all measures.

<sup>16</sup> All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.

<sup>17</sup> Information presented here may include changes to previously filed scorecard tables based on: review of additional information, reconsideration of eligibility decisions made by the implementation contractor during prior reporting periods, or other factors.

<sup>18</sup> Data contained in these fields reflects the most recent quarter's expenditures in the respective category, as reported in the companies' accounting systems, not "to date" information.

<b>Program Administrator (PA) and Program ID<sup>1</sup></b>	NYSEG Non-Residential Commercial & Industrial Rebate Program
<b>Program Name</b>	NYSEG Non-Residential Commercial & Industrial CUSTOM Program
<b>Program Type<sup>2</sup></b>	Custom ELECTRIC Rebate
<b>Total Acquired First-Year Impacts This Quarter<sup>3</sup></b>	
Net first-year annual kWh acquired this quarter <sup>4</sup>	353,971
Quarterly Net kWh Goal (based on net first-year annual kWh Goal)	3,909,000
Percent of Quarterly Net kWh Goal Acquired	9.06%
<b>Net Peak<sup>5</sup> kW acquired this quarter</b>	
Quarterly Net Peak kW Goal	N/A
Percent of Quarterly Peak kW Goal Acquired	N/A
<b>Net First-year annual therms acquired this quarter</b>	
Quarterly Net Therm Goal	N/A
Percent of Quarterly Therm Goal Acquired	N/A
<b>Net Lifecycle kWh acquired this quarter</b>	
Net Lifecycle therms acquired this quarter	5,223,721
<b>Net Lifecycle therms acquired this quarter</b>	
Net Lifecycle therms acquired this quarter	N/A
<b>Net Other Quarterly Savings (MMBTUs) Acquired</b>	
Coal	0
Kerosene	0
Oil	0
Propane	0
<b>Total Acquired Net First-Year Impacts To Date</b>	
Net first-year annual kWh acquired to date	353,971
Net first-year annual kWh acquired to date as a percent of annual goal	2.26%
Net first-year annual kWh acquired to date as a percent of 8-year goal	N/A
Net cumulative first-year annual kWh acquired to date	353,971
<b>Net utility kW reductions acquired to date</b>	
Net utility peak kW reductions acquired to date as a percent of utility annual goal	90
Net utility peak kW reductions acquired to date as a percent of 8-year goal	N/A
Net NYISO peak kW reductions acquired to date	N/A
<b>Net first-year annual therms acquired to date</b>	
Net first-year annual therms acquired to date as a percent of annual goal	N/A
Net first-year annual therms acquired to date as a percent of 8-year goal	N/A
Net cumulative therms acquired to date	N/A
<b>Total Acquired Lifecycle Impacts To Date<sup>7</sup></b>	
Net Lifecycle kWh acquired to date	5,223,721
Net Lifecycle therms acquired to date	N/A
<b>Committed<sup>8</sup> Impacts (not yet acquired) This Quarter</b>	
Net First-year annual kWh committed this quarter	0
Net Lifecycle kWh committed this quarter	0
Net Utility Peak kW committed this quarter	0
Net first-year annual therms committed this quarter	N/A
Net Lifecycle therms committed this quarter	N/A
Funds committed at this point in time	\$0
<b>Overall Impacts (Acquired &amp; Committed)</b>	
Net first-year annual kWh acquired & committed this quarter	353,971
Net utility peak kW acquired & committed this quarter	90
Net First-year annual therms acquired & committed this quarter	N/A

<b>Costs<sup>9</sup></b>	
Total program budget	\$5,862,000
General Administration	Portfolio
Program Planning	Portfolio
Program Marketing	\$17,822
Trade Ally Training	\$0
Incentives and Services	\$9,175
Direct Program Implementation	\$83,668
Program Evaluation	\$17,924
Total expenditures to date	\$362,286
Percent of total budget spent to date	6.18%
<b>Participation</b>	
Number of program applications received to date	33
Number of program applications processed to date <sup>10</sup>	28
Number of processed applications approved to date <sup>11</sup>	14
Percent of applications received to date that have been processed	84.85%
<b>Carbon Emission Reductions (in tons)</b>	
Total Acquired Net First-Year Carbon Emission Reductions To Date <sup>2</sup>	177
Total Acquired Cumulative Net Carbon Emission Reductions To Date	177

**DPS Staff Notes:**

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<sup>2</sup>There is not currently a consistent list of program type but individual categories for common use by administrators could be developed.

<sup>3</sup>First-year savings are defined as the annual savings expected from a given measure in the first year after installation (See Definition #1, *Savings Definitions* Tab). The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. **Acquired** kWh savings are defined as those savings that reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.

<sup>4</sup>Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.

<sup>5</sup>Program Administrators should make a best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the monthly goals but does not want to mandate monthly goals, at least initially.

<sup>6</sup> Peak is defined uniquely for each utility.

<sup>7</sup>The lifecycle savings are tracked beginning in the year in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will differ for each month as a function of adding savings from measures installed in a given month and savings from measures installed earlier in the funding cycle that have reached the end of their useful life are no longer accumulated.

<sup>8</sup> Committed savings are defined as those for which funds have been encumbered by not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's best estimate of what they have committed. Program administrators should forecast as accurately as possible and forecasts should get more precise with program experience, i.e., the difference between achieved and committed should narrow over time.

<sup>9</sup>These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans.

Companies should identify whether each cost item is to be recovered through the SBC surcharge, base rates, or other recovery mechanism (e.g., monthly adjustment charges).

<sup>10</sup>An application is *processed* once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."

<sup>11</sup>The application is *approved* once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which there are cases in which an application could be received, processed, and approved all in one day, then a "1" would be counted for each step in the tracking lifecycle.

<sup>12</sup>See *CO<sub>2</sub> Reduction Values* tab.

**Utility Notes:**

<sup>13</sup> Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior and subsequent energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.

<sup>14</sup> Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for incentive payments made to program participants. They will thus lag the actual issuance of incentive payments to program participants.

<sup>15</sup> Impacts are calculated using actual installed measure information for all measures.

<sup>16</sup> All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.

<sup>17</sup> Information presented here may include changes to previously filed scorecard tables based on: review of additional information, reconsideration of eligibility decisions made by the implementation contractor during prior reporting periods, or other factors.

<sup>18</sup> Data contained in these fields reflects the most recent quarter's expenditures in the respective category, as reported in the companies' accounting systems, not "to date" information.

<b>Program Administrator (PA) and Program ID<sup>1</sup></b>	NYSEG Non-Residential Commercial & Industrial Rebate Program
<b>Program Name</b>	NYSEG Non-Residential Commercial & Industrial CUSTOM Program
<b>Program Type<sup>2</sup></b>	Custom GAS Rebate
<b>Total Acquired First-Year Impacts This Quarter<sup>3</sup></b>	
Net first-year annual kWh acquired this quarter <sup>4</sup>	NA
Quarterly Net kWh Goal (based on net first-year annual kWh Goal)	NA
Percent of Quarterly Net kWh Goal Acquired	NA
<b>Net Peak<sup>6</sup> kW acquired this quarter</b>	
Quarterly Net Peak kW Goal	NA
Percent of Quarterly Peak kW Goal Acquired	NA
<b>Net First-year annual therms acquired this quarter</b>	
Quarterly Net Therm Goal	2,043
Percent of Quarterly Therm Goal Acquired	30,810
<b>Net Lifecycle kWh acquired this quarter</b>	
Net Lifecycle therms acquired this quarter	6.63%
<b>Net Other Quarterly Savings (MMBTUs) Acquired</b>	
Coal	0
Kerosene	0
Oil	0
Propane	0
<b>Total Acquired Net First-Year Impacts To Date</b>	
Net first-year annual kWh acquired to date	NA
Net first-year annual kWh acquired to date as a percent of annual goal	NA
Net first-year annual kWh acquired to date as a percent of 8-year goal	NA
Net cumulative first-year annual kWh acquired to date	NA
<b>Net utility kW reductions acquired to date</b>	
Net utility peak kW reductions acquired to date as a percent of utility annual goal	NA
Net utility peak kW reductions acquired to date as a percent of 8-year goal	NA
Net NYISO peak kW reductions acquired to date	NA
<b>Net first-year annual therms acquired to date</b>	
Net first-year annual therms acquired to date as a percent of annual goal	2,043
Net first-year annual therms acquired to date as a percent of 8-year goal	1.66%
Net cumulative therms acquired to date	NA
<b>Total Acquired Lifecycle Impacts To Date<sup>7</sup></b>	
Net Lifecycle kWh acquired to date	21,384
Net Lifecycle therms acquired to date	NA
<b>Committed<sup>8</sup> Impacts (not yet acquired) This Quarter</b>	
Net First-year annual kWh committed this quarter	0
Net Lifecycle kWh committed this quarter	0
Net Utility Peak kW committed this quarter	0
Net first-year annual therms committed this quarter	0
Net Lifecycle therms committed this quarter	0
Funds committed at this point in time	\$0
<b>Overall Impacts (Acquired &amp; Committed)</b>	
Net first-year annual kWh acquired & committed this quarter	2,043
Net utility peak kW acquired & committed this quarter	NA
Net First-year annual therms acquired & committed this quarter	NA

<b>Costs<sup>9</sup></b>	
Total program budget	\$552,062
General Administration	Portfolio
Program Planning	Portfolio
Program Marketing	\$1,853
Trade Ally Training	\$0
Incentives and Services	\$5,121
Direct Program Implementation	\$5,506
Program Evaluation	\$2,040
Total expenditures to date	\$35,264
Percent of total budget spent to date	6.39%
<b>Participation</b>	
Number of program applications received to date	6
Number of program applications <i>processed</i> to date <sup>10</sup>	4
Number of processed applications <i>approved</i> to date <sup>11</sup>	3
Percent of applications received to date that have been processed	66.67%
<b>Carbon Emission Reductions (in tons)</b>	
Total Acquired Net First-Year Carbon Emission Reductions To Date <sup>12</sup>	11.95155
Total Acquired Cumulative Net Carbon Emission Reductions To Date	11.95155

**DPS Staff Notes:**

<sup>1</sup>DPS Staff needs to work with utilities and NYSERDA to develop a Program ID naming convention. However, a Program ID number is not required for the first report. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.

<sup>2</sup>There is not currently a consistent list of program type but individual categories for common use by administrators could be developed.

<sup>3</sup>First-year savings are defined as the annual savings expected from a given measure in the first year after installation (See Definition #1 *Savings Definitions* Tab). The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. **Acquired** kWh savings are defined as those savings that reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.

<sup>4</sup>Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.

<sup>5</sup>Program Administrators should make a best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the monthly goals but does not want to mandate monthly goals, at least initially.

<sup>6</sup>Peak is defined uniquely for each utility.

<sup>7</sup>The lifecycle savings are tracked beginning in the *year* in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will differ for each month as a function of adding savings from measures installed in a given month and savings from measures installed earlier in the funding cycle that have reached the end of their useful life are no longer accumulated.

<sup>8</sup>Committed savings are defined as those for which funds have been encumbered by not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's *best estimate* of what they have committed. Program administrators should forecast as accurately as possible and forecasts should get more precise with program experience, i.e., the difference between achieved and committed should narrow over time.

<sup>9</sup>These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans.

Companies should identify whether each cost item is to be recovered through the SBC surcharge, base rates, or other recovery mechanism (e.g., monthly adjustment charges).

<sup>10</sup> An application is *processed* once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."

<sup>11</sup> The application is *approved* once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which there are cases in which an application could be received, processed, and approved all in one day, then a "1" would be counted for each step in the tracking lifecycle.

<sup>12</sup> See *CO<sub>2</sub> Reduction Values* tab.

**Utility Notes:**

<sup>13</sup> Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior and subsequent energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.

<sup>14</sup> Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for incentive payments made to program participants. They will thus lag the actual issuance of incentive payments to program participants.

<sup>15</sup> Impacts are calculated using actual installed measure information for all measures.

<sup>16</sup> All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.

<sup>17</sup> Information presented here may include changes to previously filed scorecard tables based on: review of additional information, reconsideration of eligibility decisions made by the implementation contractor during prior reporting periods, or other factors.

<sup>18</sup> Data contained in these fields reflects the most recent quarter's expenditures in the respective category, as reported in the companies' accounting systems, not "to date" information.

<b>Program Administrator (PA) and Program ID<sup>1</sup></b>	RG&E Non-Residential Commercial & Industrial Rebate Program
<b>Program Name</b>	RG&E Non-Residential Commercial & Industrial PRESCRIPTIVE Program
<b>Program Type<sup>2</sup></b>	Prescriptive ELECTRIC Rebate
<b>Total Acquired First-Year Impacts This Quarter<sup>3</sup></b>	
Net first-year annual kWh acquired this quarter <sup>4</sup>	499
Quarterly Net kWh Goal (based on net first-year annual kWh Goal)	1,008,000
Percent of Quarterly Net kWh Goal Acquired	0.05%
<b>Net Peak<sup>6</sup> kW acquired this quarter</b>	
Quarterly Net Peak kW Goal	NA
Percent of Quarterly Peak kW Goal Acquired	NA
<b>Net First-year annual therms acquired this quarter</b>	
Quarterly Net Therm Goal	NA
Percent of Quarterly Therm Goal Acquired	NA
<b>Net Lifecycle kWh acquired this quarter</b>	
	7,486
<b>Net Lifecycle therms acquired this quarter</b>	
	NA
<b>Net Other Quarterly Savings (MMBTUs) Acquired</b>	
Coal	NA
Kerosene	NA
Oil	NA
Propane	NA
<b>Total Acquired Net First-Year Impacts To Date</b>	
Net first-year annual kWh acquired to date	134,584
Net first-year annual kWh acquired to date as a percent of annual goal	3.33%
Net first-year annual kWh acquired to date as a percent of 8-year goal	NA
Net cumulative first-year annual kWh acquired to date	134,584
<b>Net utility kW reductions acquired to date</b>	
	33
Net utility peak kW reductions acquired to date as a percent of utility annual goal	NA
Net utility peak kW reductions acquired to date as a percent of 8-year goal	NA
Net NYISO peak kW reductions acquired to date	NA
<b>Net first-year annual therms acquired to date</b>	
	NA
Net first-year annual therms acquired to date as a percent of annual goal	NA
Net first-year annual therms acquired to date as a percent of 8-year goal	NA
Net cumulative therms acquired to date	NA
<b>Total Acquired Lifecycle Impacts To Date<sup>7</sup></b>	
Net Lifecycle kWh acquired to date	1,874,842
Net Lifecycle therms acquired to date	NA
<b>Committed<sup>8</sup> Impacts (not yet acquired) This Quarter</b>	
Net First-year annual kWh committed this quarter	0
Net Lifecycle kWh committed this quarter	0
Net Utility Peak kW committed this quarter	0
Net first-year annual therms committed this quarter	NA
Net Lifecycle therms committed this quarter	NA
Funds committed at this point in time	\$0
<b>Overall Impacts (Acquired &amp; Committed)</b>	
Net first-year annual kWh acquired & committed this quarter	499
Net utility peak kW acquired & committed this quarter	3
Net First-year annual therms acquired & committed this quarter	NA



<b>Costs<sup>9</sup></b>	
Total program budget	\$1,714,652
General Administration	Portfolio
Program Planning	Portfolio
Program Marketing	\$6,117
Trade Ally Training	\$0
Incentives and Services	\$11,138
Direct Program Implementation	\$23,233
Program Evaluation	\$8,734
Total expenditures to date	\$146,846
Percent of total budget spent to date	8.56%
<b>Participation</b>	
Number of program applications received to date	7
Number of program applications processed to date <sup>10</sup>	6
Number of processed applications approved to date <sup>11</sup>	5
Percent of applications received to date that have been processed	85.71%
<b>Carbon Emission Reductions (in tons)</b>	
Total Acquired Net First-Year Carbon Emission Reductions To Date <sup>2</sup>	67
Total Acquired Cumulative Net Carbon Emission Reductions To Date	67

**DPS Staff Notes:**

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<sup>2</sup>There is not currently a consistent list of program type but individual categories for common use by administrators could be developed.

<sup>3</sup>First-year savings are defined as the annual savings expected from a given measure in the first year after installation (See Definition #1, Savings Definitions Tab). The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. Acquired kWh savings are defined as those savings that reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.

<sup>4</sup>Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.

<sup>5</sup>Program Administrators should make a best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the monthly goals but does not want to mandate monthly goals, at least initially.

<sup>6</sup>Peak is defined uniquely for each utility.

<sup>7</sup>The lifecycle savings are tracked beginning in the year in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will differ for each month as a function of adding savings from measures installed in a given month and savings from measures installed earlier in the funding cycle that have reached the end of their useful life are no longer accumulated.

<sup>8</sup>Committed savings are defined as those for which funds have been encumbered by not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's best estimate of what they have committed. Program administrators should forecast as accurately as possible and forecasts should get more precise with program experience, i.e., the difference between achieved and committed should narrow over time.

<sup>9</sup>These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans.

Companies should identify whether each cost item is to be recovered through the SBC surcharge, base rates, or other recovery mechanism (e.g., monthly adjustment charges).

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<sup>12</sup>See *CO<sub>2</sub> Reduction Values* tab.

**Utility Notes:**

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<sup>14</sup> Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for incentive payments made to program participants. They will thus lag the actual issuance of incentive payments to program participants.

<sup>15</sup> Impacts are calculated using actual installed measure information for all measures.

<sup>16</sup> All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.

<sup>17</sup> Information presented here may include changes to previously filed scorecard tables based on: review of additional information, reconsideration of eligibility decisions made by the implementation contractor during prior reporting periods, or other factors.

<sup>18</sup> Data contained in these fields reflects the most recent quarter's expenditures in the respective category, as reported in the companies' accounting systems, not "to date" information.

<b>Program Administrator (PA) and Program ID<sup>1</sup></b>	RG&E Non-Residential Commercial & Industrial Rebate Program
<b>Program Name</b>	RG&E Non-Residential Commercial & Industrial PRESCRIPTIVE Program
<b>Program Type<sup>2</sup></b>	Prescriptive GAS Rebate
<b>Total Acquired First-Year Impacts This Quarter<sup>3</sup></b>	
Net first-year annual kWh acquired this quarter <sup>4</sup>	NA
Quarterly Net kWh Goal (based on net first-year annual kWh Goal)	NA
Percent of Quarterly Net kWh Goal Acquired	NA
<b>Net Peak<sup>6</sup> kW acquired this quarter</b>	
Quarterly Net Peak kW Goal	NA
Percent of Quarterly Peak kW Goal Acquired	NA
<b>Net First-year annual therms acquired this quarter</b>	
Quarterly Net Therm Goal	5,022
Percent of Quarterly Therm Goal Acquired	23,040
	21.79%
<b>Net Lifecycle kWh acquired this quarter</b>	
Net Lifecycle kWh acquired this quarter	NA
<b>Net Lifecycle therms acquired this quarter</b>	
Net Lifecycle therms acquired this quarter	100,431
<b>Net Other Quarterly Savings (MMBTUs) Acquired</b>	
Coal	NA
Kerosene	NA
Oil	NA
Propane	NA
<b>Total Acquired Net First-Year Impacts To Date</b>	
Net first-year annual kWh acquired to date	NA
Net first-year annual kWh acquired to date as a percent of annual goal	NA
Net first-year annual kWh acquired to date as a percent of 8-year goal	NA
Net cumulative first-year annual kWh acquired to date	NA
<b>Net utility kW reductions acquired to date</b>	
Net utility peak kW reductions acquired to date as a percent of utility annual goal	NA
Net utility peak kW reductions acquired to date as a percent of 8-year goal	NA
Net NYISO peak kW reductions acquired to date	NA
<b>Net first-year annual therms acquired to date</b>	
Net first-year annual therms acquired to date as a percent of annual goal	47,563
Net first-year annual therms acquired to date as a percent of 8-year goal	35.31%
Net cumulative therms acquired to date	NA
	47,563
<b>Total Acquired Lifecycle Impacts To Date<sup>7</sup></b>	
Net Lifecycle kWh acquired to date	NA
Net Lifecycle therms acquired to date	951,267
<b>Committed<sup>8</sup> Impacts (not yet acquired) This Quarter</b>	
Net First-year annual kWh committed this quarter	NA
Net Lifecycle kWh committed this quarter	NA
Net Utility Peak kW committed this quarter	NA
Net first-year annual therms committed this quarter	0
Net Lifecycle therms committed this quarter	0
Funds committed at this point in time	\$0
<b>Overall Impacts (Acquired &amp; Committed)</b>	
Net first-year annual kWh acquired & committed this quarter	NA
Net utility peak kW acquired & committed this quarter	NA
Net First-year annual therms acquired & committed this quarter	5,022

<b>Costs<sup>9</sup></b>	
Total program budget	\$606,014
General Administration	Portfolio
Program Planning	Portfolio
Program Marketing	\$2,204
Trade Ally Training	\$0
Incentives and Services	\$29,075
Direct Program Implementation	\$27,284
Program Evaluation	\$2,029
Total expenditures to date	\$146,805
Percent of total budget spent to date	24.22%
<b>Participation</b>	
Number of program applications received to date	27
Number of program applications processed to date <sup>10</sup>	21
Number of processed applications approved to date <sup>11</sup>	20
Percent of applications received to date that have been processed	77.78%
<b>Carbon Emission Reductions (in tons)</b>	
Total Acquired Net First-Year Carbon Emission Reductions To Date <sup>12</sup>	278
Total Acquired Cumulative Net Carbon Emission Reductions To Date	278

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<sup>4</sup>Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.

<sup>5</sup>Program Administrators should make a best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the monthly goals but does not want to mandate monthly goals, at least initially.

<sup>6</sup> Peak is defined uniquely for each utility.

<sup>7</sup>The lifecycle savings are tracked beginning in the year in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will differ for each month as a function of adding savings from measures installed in a given month and savings from measures installed earlier in the funding cycle that have reached the end of their useful life are no longer accumulated.

<sup>8</sup> Committed savings are defined as those for which funds have been encumbered by not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's *best estimate* of what they have committed. Program administrators should forecast as accurately as possible and forecasts should get more precise with program experience, i.e., the difference between achieved and committed should narrow over time.

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<sup>18</sup> Data contained in these fields reflects the most recent quarter's expenditures in the respective category, as reported in the companies' accounting systems, not "to date" information.

<b>Program Administrator (PA) and Program ID<sup>1</sup></b>	RG&E Non-Residential Commercial & Industrial Rebate Program
<b>Program Name</b>	RG&E Non-Residential Commercial & Industrial CUSTOM Program
<b>Program Type<sup>2</sup></b>	Custom ELECTRIC Rebate
<b>Total Acquired First-Year Impacts This Quarter<sup>3</sup></b>	
Net first-year annual kWh acquired this quarter <sup>4</sup>	0
Quarterly Net kWh Goal (based on net first-year annual kWh Goal)	2,397,000
Percent of Quarterly Net kWh Goal Acquired	0
<b>Net Peak<sup>6</sup> kW acquired this quarter</b>	
Quarterly Net Peak kW Goal	NA
Percent of Quarterly Peak kW Goal Acquired	NA
<b>Net First-year annual therms acquired this quarter</b>	
Quarterly Net Therm Goal	NA
Percent of Quarterly Therm Goal Acquired	NA
<b>Net Lifecycle kWh acquired this quarter</b>	
Net Lifecycle therms acquired this quarter	0
<b>Net Other Quarterly Savings (MMBTUs) Acquired</b>	
Coal	0
Kerosene	0
Oil	0
Propane	0
<b>Total Acquired Net First-Year Impacts To Date</b>	
Net first-year annual kWh acquired to date	0
Net first-year annual kWh acquired to date as a percent of annual goal	0.00%
Net first-year annual kWh acquired to date as a percent of 8-year goal	NA
Net cumulative first-year annual kWh acquired to date	0
<b>Net utility kW reductions acquired to date</b>	
Net utility peak kW reductions acquired to date as a percent of utility annual goal	0
Net utility peak kW reductions acquired to date as a percent of 8-year goal	NA
Net NYISO peak kW reductions acquired to date	NA
<b>Net first-year annual therms acquired to date</b>	
Net first-year annual therms acquired to date as a percent of annual goal	NA
Net first-year annual therms acquired to date as a percent of 8-year goal	NA
Net cumulative therms acquired to date	NA
<b>Total Acquired Lifecycle Impacts To Date<sup>7</sup></b>	
Net Lifecycle kWh acquired to date	0
Net Lifecycle therms acquired to date	NA
<b>Committed<sup>8</sup> Impacts (not yet acquired) This Quarter</b>	
Net First-year annual kWh committed this quarter	0
Net Lifecycle kWh committed this quarter	0
Net Utility Peak kW committed this quarter	0
Net first-year annual therms committed this quarter	NA
Net Lifecycle therms committed this quarter	NA
Funds committed at this point in time	\$0
<b>Overall Impacts (Acquired &amp; Committed)</b>	
Net first-year annual kWh acquired & committed this quarter	0
Net utility peak kW acquired & committed this quarter	0
Net First-year annual therms acquired & committed this quarter	NA

<b>Costs<sup>9</sup></b>	
Total program budget	\$3,712,000
General Administration	Portfolio
Program Planning	Portfolio
Program Marketing	\$10,233
Trade Ally Training	\$0
Incentives and Services	\$0
Direct Program Implementation	\$30,838
Program Evaluation	\$12,694
Total expenditures to date	\$191,459
Percent of total budget spent to date	5.16%
<b>Participation</b>	
Number of program applications received to date	5
Number of program applications <i>processed</i> to date <sup>10</sup>	3
Number of processed applications <i>approved</i> to date <sup>11</sup>	0
Percent of applications received to date that have been processed	60.00%
<b>Carbon Emission Reductions (in tons)</b>	
Total Acquired Net First-Year Carbon Emission Reductions To Date <sup>2</sup>	0
Total Acquired Cumulative Net Carbon Emission Reductions To Date	0

**DPS Staff Notes:**

<sup>1</sup>DPS Staff needs to work with utilities and NYSEERDA to develop a Program ID naming convention. However, a Program ID number is not required for the first report. Note that when developing program ID naming conventions, utilities would like to minimize computer programming/reporting costs that they might incur if the proposed naming conventions are complex or the utility's current naming conventions require modification to Staff's proposed format.

<sup>2</sup>There is not currently a consistent list of program type but individual categories for common use by administrators could be developed.

<sup>3</sup>First-year savings are defined as the annual savings expected from a given measure in the first year after installation (See Definition #1. *Savings Definitions* Tab). The annual savings are sometimes the result of annualizing estimated savings that are based on data that cover less than one year. *Acquired* kWh savings are defined as those savings that reported by the program administrator in program tracking databases and for which a rebate check has been sent to the participant on a specific date.

<sup>4</sup>Regardless of the month in which a measure is installed within a given calendar year, the program is credited with the associated savings for the entire year.

<sup>5</sup>Program Administrators should make a best estimate of the annual goal even though the goal might in some cases cover two calendar years. Also, Staff wants administrators to try to be as accurate as possible in determining the monthly goals but does not want to mandate monthly goals, at least initially.

<sup>6</sup>Peak is defined uniquely for each utility.

<sup>7</sup>The lifecycle savings are tracked beginning in the *year* in which a given measure was installed. Over the period 2008-2015, PA's must take into account the fact that savings from measures installed early in the period will vanish at the end of their useful life before the end of 2015. Thus, the lifecycle impacts acquired to date will differ for each month as a function of adding savings from measures installed in a given month and savings from measures installed earlier in the funding cycle that have reached the end of their useful life are no longer accumulated.

<sup>8</sup>Committed savings are defined as those for which funds have been encumbered by not yet spent. When the funds are spent (i.e., a rebate check has been sent to the participant on a specific date), the savings are then considered "acquired." Staff would like to see the program administrator's *best estimate* of what they have committed. Program administrators should forecast as accurately as possible and forecasts should get more precise with program experience, i.e., the difference between achieved and committed should narrow over time.

<sup>9</sup>These are the budget categories to be used by companies when submitting the required energy efficiency program implementation plans. In its January 16, 2009 Order, the Commission directed Staff to provide definitions for the budget categories to be used in the preparation of these plans (See Order Approving "Fast Track" Utility-Administered Electric Energy Efficiency Program With Modification, at page 11). These categories are provided to promote consistency in budget construction and reporting among the utility plans.

Companies should identify whether each cost item is to be recovered through the SBC surcharge, base rates, or other recovery mechanism (e.g., monthly adjustment charges).

<sup>10</sup> An application is *processed* once the PA has reviewed the application and made a decision whether to approve the incentive payment to the customer. Once the decision has been made to pay the incentive to the customer, these funds and their associated energy and demand impacts become "Committed."

<sup>11</sup> The application is *approved* once the decision has been made to pay the incentive to the customer. Note that these funds and their associated energy and demand impacts become "Committed" once this decision is made. Also note that for programs in which there are cases in which an application could be received, processed, and approved all in one day, then a "1" would be counted for each step in the tracking lifecycle.

<sup>12</sup> See *CO<sub>2</sub> Reduction Values* tab.

**Utility Notes:**

<sup>13</sup> Portfolio Costs are not included in the program budget or actual costs. Consistent with the Companies' July 9, 2009 letter to the Secretary in Cases 07-M-0548 et al. ("July 9 letter") and the Companies' prior and subsequent energy efficiency program submittals, Portfolio Costs are incremental and are incurred as a result of the Companies' ongoing active participation in the New York State Public Service Commission's ("Commission") energy efficiency proceedings. Portfolio Costs allow the Companies to jointly provide efficient support for the complete suite of specific energy efficiency programs ultimately approved by the Commission.

<sup>14</sup> Incentives and services costs are reported based on Company payment of invoices received from program implementation contractors for incentive payments made to program participants. They will thus lag the actual issuance of incentive payments to program participants.

<sup>15</sup> Impacts are calculated using actual installed measure information for all measures.

<sup>16</sup> All program costs identified in this section are recovered through the SBC surcharge unless otherwise noted.

<sup>17</sup> Information presented here may include changes to previously filed scorecard tables based on: review of additional information, reconsideration of eligibility decisions made by the implementation contractor during prior reporting periods, or other factors.

<sup>18</sup> Data contained in these fields reflects the most recent quarter's expenditures in the respective category, as reported in the companies' accounting systems, not "to date" information.



<b>Program Administrator (PA) and Program ID<sup>1</sup></b>	RG&E Non-Residential Commercial & Industrial Rebate Program
<b>Program Name</b>	RG&E Non-Residential Commercial & Industrial CUSTOM Program
<b>Program Type<sup>2</sup></b>	Custom GAS Rebate
<b>Total Acquired First-Year Impacts This Quarter<sup>3</sup></b>	
Net first-year annual kWh acquired this quarter <sup>4</sup>	NA
Quarterly Net kWh Goal (based on net first-year annual kWh Goal)	NA
Percent of Quarterly Net kWh Goal Acquired	NA
<b>Net Peak<sup>6</sup> kW acquired this quarter</b>	
Quarterly Net Peak kW Goal	NA
Percent of Quarterly Peak kW Goal Acquired	NA
<b>Net First-year annual therms acquired this quarter</b>	
Quarterly Net Therm Goal	30,120
Percent of Quarterly Therm Goal Acquired	0.00%
<b>Net Lifecycle kWh acquired this quarter</b>	
Net Lifecycle therms acquired this quarter	0
<b>Net Other Quarterly Savings (MMBTUs) Acquired</b>	
Coal	0
Kerosene	0
Oil	0
Propane	0
<b>Total Acquired Net First-Year Impacts To Date</b>	
Net first-year annual kWh acquired to date	NA
Net first-year annual kWh acquired to date as a percent of annual goal	NA
Net first-year annual kWh acquired to date as a percent of 8-year goal	NA
Net cumulative first-year annual kWh acquired to date	NA
<b>Net utility kW reductions acquired to date</b>	
Net utility peak kW reductions acquired to date as a percent of utility annual goal	NA
Net utility peak kW reductions acquired to date as a percent of 8-year goal	NA
Net NYISO peak kW reductions acquired to date	NA
<b>Net first-year annual therms acquired to date</b>	
Net first-year annual therms acquired to date as a percent of annual goal	0.00%
Net first-year annual therms acquired to date as a percent of 8-year goal	NA
Net cumulative therms acquired to date	0
<b>Total Acquired Lifecycle Impacts To Date<sup>7</sup></b>	
Net Lifecycle kWh acquired to date	NA
Net Lifecycle therms acquired to date	0
<b>Committed<sup>8</sup> Impacts (not yet acquired) This Quarter</b>	
Net First-year annual kWh committed this quarter	NA
Net Lifecycle kWh committed this quarter	NA
Net Utility Peak kW committed this quarter	NA
Net first-year annual therms committed this quarter	0
Net Lifecycle therms committed this quarter	0
Funds committed at this point in time	\$0
<b>Overall Impacts (Acquired &amp; Committed)</b>	
Net first-year annual kWh acquired & committed this quarter	NA
Net utility peak kW acquired & committed this quarter	NA
Net First-year annual therms acquired & committed this quarter	0

<b>Costs<sup>9</sup></b>	
Total program budget	\$553,100
General Administration	Portfolio
Program Planning	Portfolio
Program Marketing	\$1,650
Trade Ally Training	\$0
Incentives and Services	\$0
Direct Program Implementation	\$12,371
Program Evaluation	\$1,520
Total expenditures to date	\$35,318
Percent of total budget spent to date	6.39%
<b>Participation</b>	
Number of program applications received to date	2
Number of program applications <i>processed</i> to date <sup>10</sup>	2
Number of processed applications <i>approved</i> to date <sup>11</sup>	0
Percent of applications received to date that have been processed	100.00%
<b>Carbon Emission Reductions (in tons)</b>	
Total Acquired Net First-Year Carbon Emission Reductions To Date <sup>2</sup>	0
Total Acquired Cumulative Net Carbon Emission Reductions To Date	0

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