

***Commentary/Questions throughout is displayed using bolded italics.***

From: LuAnn Scherer/OCP/NYS DPS  
To: Utilities  
Date: 06/19/2014 04:52 PM  
Subject: EDI and NYSEDA

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We received an inquiry from the Governor's Office about the provision of data to NYSEDA via EDI. Recall we spoke about this a year or so ago. The specific data points they are looking for follows - they will only request data with customer authorization.

***Upon review of Supplement E – 867 Consumption History/Gas Profile Implementation Guide and the 814 Historic Usage Transaction Business Process document, it appears as if it is feasible to fulfill the NYSEDA request via an 867 HU transaction sent in response to an 814 Request for Usage History (a request for the historic usage sent independent of an Enrollment transaction). NYSEDA would also receive TS814 positive or negative responses depending upon the validity of the request.***

ESCO name and ID

- ***Does NYSEDA understand this not the ESCO serving the customer, i.e. that it is the “ESCO” requesting usage data, i.e., it would be NYERDA and its DUNS/Employer Identification Number?***
- ***Does NYSEDA need to know whether the customer is being served by an ESCO? If so, would the new Customer Supply Status indicator be sufficient?***

Utility name and ID

Customer name

Service address – street, city, state, postal code

- ***Tax District Information [see Segment: N4 Geographic Location (Service Address)] is provided on an “Optional (Must Use)” basis. NYSEDA did not request this item.***

Customer utility account number

- ***Conditionally, the previous Account Number [see Segment: REF Reference Identification (Previous Utility Account Number)] is provided. While not technically part of NYSEDA’s request, it is consistent with the need for a Customer utility account number.***

Commodity type – electric or natural gas

Rate Class

Number of months in the data set (up to 24 months)

Measurements

- o Actual or Estimated
- o Quantity
- o Unit

Read start and end dates

- ***Meter Number [see Segment: REF Reference Identification (Meter Number)] is provided on an "Optional (Must Use)" basis. NYSERDA did not request this item but it may or may not be useful for NYSERDA's purposes.***

Natural gas load profile if requested and available

***Other Comments:***

- ***To a more limited degree, some of the above comments also apply to the 814 HU Accept & Reject Responses.***
- ***It appears that ICAP Tag will be added to the 867 HU transaction and others under new items development may also be added. NYSERDA has not requested these items and upon cursory review, they would not appear to be useful for NYSERDA's purposes.***

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During a conference call on 6/23/2014 between DPS Staff and Utilities, the following points were raised:

- 1) If from an EDI perspective NYSERDA appeared like an ESCO, it might be possible to have NYSERDA submit requests for Historical Usage as ESCOs can currently do pre-enrollment.
  - a. NYSERDA would have to enter into Trading Partner Agreements with Utilities.
  - b. While NYSERDA would look like an ESCO, it would have to be restricted from many ESCO functions, e.g. enrollment.
  - c. Utilities would have to be able to presume that NYSERDA had permission to access customer data.
- 2) Customer Blocks would impact NYSERDA's ability to access usage data.
- 3) If NYSERDA could use an existing transaction, access to customer usage information could occur more quickly than if a special transaction was necessary.
  - a. If data is present in an existing transaction to which NYSERDA should not have access, it would have to be addressed in some fashion.

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From: LuAnn.Scherer@dps.ny.gov  
To: Utilities  
Sent: Wednesday, June 25, 2014 11:33 AM  
Subject: Re: EDI and NYSERDA

As a follow up to our discussion on the above referenced issue, our attorney's reviewed and determined that NYSERDA can use EDI with the utilities without being "deemed eligible" as an ESCO. We will be providing a letter to you when Phase 1 testing is complete, similar to the letter you receive after an ESCO tests. I am sending the EDI application to NYSERDA today so if you have questions/concerns please let me know ASAP.