

May 2017

Office of Consumer Services Monthly Report on Consumer Complaint Activity

John B. Rhodes Chief Executive Officer

LuAnn Scherer Director, Office of Consumer Services

Published June 29, 2017



Monthly Report on Consumer Complaint Activity

May 2017

Table of Contents

| Director's Message | . 3 |
|--------------------------------------------------------|-----|
| If You Have a Complaint About Your Utility Service | . 4 |
| How Utility Complaints are Measured | . 5 |
| Complaint Activity of New York's Major Utilities | . 7 |
| Customer Service Response Index | . 8 |
| Credits Obtained for Consumers | 13 |
| Number of Initial Complaints Received Against ESCO's | 14 |
| Number of Escalated Complaints Received Against ESCO's | 17 |
| Number of Marketing Complaints Received Against ESCO's | 19 |



June 29, 2017

Dear Readers:

The Office of Consumer Services closely monitors the number and types of complaints received against all utilities operating in New York State. We strive to ensure that utilities fulfill their obligation to provide effective customer service in compliance with the laws, rules, regulations and policies we enforce.

Each month, this report provides an overview of complaint activity and utility responsiveness during the preceding month which is informative to both consumers and utility companies. Specific details regarding the way we measure the companies' activities are described in the section How Utility Complaint Data Is Reported.

The table titled Complaint Activity of New York's Major Utilities reports on the volume of complaints received against the largest utilities in each industry while the table titled Customer Service Response Index reports on the level of customer service and responsiveness delivered by each service provider.

The chart, Credit Adjustments Received for Consumers, reflects the amount of refunds or credits customers received as a result of our investigations. The Office of Consumer Services also monitors complaints against the competitive energy service companies (ESCO's) operating in New York. These complaints are reported in two tables; Number of Initial Complaints Received Against ESCO's and Number of Escalated Complaints Received Against ESCO's.

I hope this report is helpful in providing you with a summary of utility complaint activity. If you have any questions, please e-mail John.Auricchio@dps.ny.gov

Sincerely,

LuAnn Scherer

Luan Dehun

Director Office of Consumer Services



If You Have a Complaint About Your Utility Service

If you're having difficulty resolving a dispute with your regulated energy, telephone, cable television or water company, Department of Public Service staff is available to assist you.

The Office of Consumer Services takes all utility consumer complaints seriously. You can contact us toll-free by telephone, in writing or via the Internet. When you contact our office with a complaint about a utility or other service provider, we take immediate steps to address your concerns.

After we complete entering the details of your complaint we send your complaint to the utility by e-mail or fax. In an effort to ensure that utilities fulfill their obligation to provide effective customer service, we will first ask your utility to contact you and resolve your concern. If your complaint is related to the provision of service, your utility should contact you within two business hours. If your complaint is related to billing or another matter, the utility should contact you by the close of the following business day.

If the utility does not contact you with its initial acknowledgement, does not provide its response to you within two weeks or the matter remains unresolved after you have received a response, you can contact us. We will then further investigate the matter and notify you in writing or by telephone of the decision and the reasons for the decision.

If you believe the initial decision is wrong, you can request an informal hearing. This request may be in writing and made within 15 days of the initial decision. You may be asked to submit certain documents to support your position. If you and the utility are unable to settle the complaint, the hearing officer will make a decision on your complaint and notify you in writing of the decision.

If you believe that the informal hearing officer's decision was wrong, you can appeal it within 15 days of the decision. Your written appeal must contend that there was an error by the hearing officer or reviewer that affected the decision or that evidence not previously available would affect the decision. All appeals, except those involving PSEG-LI, will be decided by the Public Service Commission. PSEG-LI appeals will be decided by Long Island Power Authority (LIPA).

If you have a complaint about your utility service you may contact us thru one of the following avenues:

| By Telephone Via the Internet | Monday thru Friday 8:30am – 4:00pm 24 hours a day | 800-342-3377 <u>www.dps.ny.gov</u> |
|----------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|
| In Writing | Please be sure to include as much detail as possible, including your account number, service address, telephone number and the specifics of your complaint. | NYS Dept. of Public Service Office of Consumer Services Three Empire State Plaza Albany, NY 12223-1350 |



How Utility Complaints Are Measured

The Office of Consumer Services reports complaint data by volume and by responsiveness. A complaint rate is used to compare small utilities to large utilities. A response index is used to measure how well utilities address consumer complaints we forwarded to them.

The Office of Consumer Services closely monitors the number and types of complaints received against all utilities operating in New York State. We expect utility companies to be highly responsive to their customers' needs, especially when the customer feels that it is necessary to seek the assistance of the Department of Public Service staff. Each month, this report provides an overview of complaint activity and utility responsiveness which we believe is informative to both consumers and utility companies.

The table titled **Complaint Activity of New York's Major Utilities** reports on the volume of complaints received against the largest utilities in each industry. These utility companies vary in size from just over 10,000 customers to several million customers. Therefore, in order to compare complaint volumes among companies, a complaint rate per 100,000 customers is displayed. This allows the reader to compare the complaints of a large company to that of a small company.

There are two measures of complaints which are reported each month. At first all complaints are recorded and forwarded to the utility for resolution directly with the customer. These are noted as <u>initial complaints</u> (QRS) in the table titled **Complaint Activity of New York's Major Utilities**. If the customer informs us that the utility failed to satisfy their complaint the matter is escalated for further handling and investigation by staff and is noted as <u>escalated complaints</u> (SRS). Both numbers are converted into a complaint rate which allows the reader to compare performance regardless of the size of a company's customer base. The <u>escalation rate</u> is a measure of how successful a utility is in satisfying their customer upon receipt of an initial complaint made through the Office of Consumer Services. The <u>12 month complaint rate</u> is often used as one of several customer service delivered by an individual utility. This rate represents the average number of escalated complaints received per month per 100,000 customer accounts.

The table titled **Customer Service Response Index** (CSRI) reports on the level of customer service and responsiveness delivered by each service provider. The Customer Service Response Index is determined by measuring four metrics. Complete CSRI data is posted on the first page of the report for those service providers that average ten or more initial complaints per month. For all other service providers, the performance in each area is reported on subsequent pages of the table, less the actual index measures because the index measures for companies with fewer than ten initial complaints have been found to show significant fluctuations on a month to month basis. These fluctuations may result in the reader reaching an inaccurate conclusion as to a service provider's performance. If a company is not listed in a particular monthly report it is because there was no activity for the company in the reporting month.

The Index is determined by measuring four metrics:

<u>The Consumer Satisfaction Metric (CSM)</u> is a ratio of the number of <u>initial complaints</u> to the number of <u>escalated complaints</u> in the reporting month. A score of 5 points is awarded when a service provider receives no escalated complaints during the reporting month. There is no score awarded if a service provider satisfies less than 50% of the customers that the Department refers to them.

<u>The Complaint Response Time Metric (CRM)</u> is the average number of days it took the service provider to respond to <u>initial complaints</u> closed in the reporting month. A score of 2 points is awarded when a provider's average response time for <u>initial complaints</u> is 14 days or less. No points are earned if the average response time for <u>initial complaints</u> is more than 28 days (twice the acceptable reply standard).

<u>The Escalated Complaint Response Time Metric (ERM)</u> is the average number of days it took the service provider to respond to <u>escalated complaints</u> closed in the reporting month. A score of 2 points is awarded when a service provider's average response time for <u>escalated complaints</u> is 10 days or less. No points are earned if the average response time for <u>escalated complaints</u> is more than 25 days (two weeks past due).

<u>The Pending Case Metric (PCM)</u> is the average age of all cases awaiting response, determined on the last day of the reporting month. A score of 1 point is awarded when a service providers' average age of all cases is 14 days or less. No points are earned if the average age of all cases exceeds 70 days (two months delinquent). A negative score is applied if the average age of all cases is over 70 days.

Complaint Activity of New York's Major Utilities May 2017

| | Initial Co | mplaints | | Complaints | Escalation | 12 Month |
|---------------------------------------|------------|----------|-----|------------|------------|----------------|
| Utility Companies | (QR | (S) | (SF | RS) | Rate | Escalated |
| | No. | Rate* | No. | | Nale | Complaint Rate |
| Central Hudson Gas & Electric Corp. | 25 | 7.9 | 0 | 0.0 | 0% | 0.3 |
| Con Edison of New York | 415 | 11.8 | 59 | 1.7 | 14% | 1.4 |
| PSEG Long Island | 96 | 8.4 | 3 | 0.3 | 3% | 0.1 |
| National Grid - L I | 30 | 5.1 | 1 | 0.2 | 3% | 0.3 |
| New York State Electric & Gas Corp. | 114 | 12.0 | 10 | 1.1 | 9% | 0.5 |
| National Grid-Upstate | 302 | 17.6 | 10 | 0.6 | 3% | 0.6 |
| Orange & Rockland | 34 | 14.6 | 1 | 0.4 | 3% | 0.1 |
| Rochester Gas & Electric Corp. | 92 | 21.7 | 7 | 1.7 | 8% | 0.8 |
| National Grid-Metro NY | 131 | 10.5 | 7 | 0.6 | 5% | 0.4 |
| National Fuel Gas Distribution | 93 | 17.6 | 1 | 0.2 | 1% | 0.1 |
| Citizens Communications | 12 | 9.7 | 1 | 0.8 | 8% | 1.3 |
| Frontier Communications of NY | 8 | 27.6 | 0 | 0.0 | 0% | 0.6 |
| Frontier Telephone of Rochester, Inc. | 12 | 8.6 | 5 | 3.6 | 42% | 1.4 |
| Windstream Communications, Inc. | 1 | 2.9 | 1 | 2.9 | 100% | 2.6 |
| Verizon Communications | 229 | 9.3 | 37 | 1.5 | 16% | 1.8 |
| AT&T | 15 | | 2 | | 13% | |
| Optimum (Telephone only) | 13 | | 5 | | 38% | |
| Spectrum (Telephone only) | 13 | | 3 | | 23% | |
| Verizon Digital Voice | 13 | | 4 | | 31% | |
| Optimum (Cable TV) | 64 | | 19 | | 30% | |
| Spectrum (Cable TV) | 72 | | 13 | | 18% | |
| Verizon New York, Inc. (Cable TV) | 22 | | 4 | | 18% | |
| Long Island Water Corp. | 6 | 4.9 | 1 | 0.8 | 17% | 0.9 |
| United Water - New York | 17 | 22.9 | 5 | 6.7 | 29% | 2.8 |
| United Water - Westchester | 4 | 9.1 | 0 | 0.0 | 0% | 1.1 |

All complaint rates are based on December 2016 customer populations.

* - Complaints per 100,000 customer accounts where populations are reported by the utility

This table reports on the volume of complaints received against the largest utilities in each industry.

Initial Complaints (QRS) - This is the number (No.) of complaints we receive and forward to the utility company for resolution directly with the customer and the corresponding complaint rate (Rate) per 100,000 customer accounts.

Escalated Complaints (SRS) - This is the number (No.) of complaints that we escalated for further handling and investigation because the customer informed us that the utility failed to satisfy their initial complaint after we forwarded the initial complaint to the utility. The corresponding escalated complaint rate (Rate) per 100,000 customer accounts allows the reader to compare one utility to another regardless of the number of customer accounts.

Escalation Rate - This is a measure of how successful a utility is in satisfying their customer upon receipt of an initial complaint made through the Office of Consumer Services. The lower the rate the more successful the utility was in resolving initial complaints directly with the customer.

12 Month Escalated Complaint Rate - This rate represents the average number of escalated complaints received per month per 100,000 customer accounts. This is often used as one of several customer service measures that may be taken into consideration when staff monitors the quality of customer service delivered by an individual utility.

Customer Service Response Index May 2017

| Service Provider | Initial Complaints | Escalated Complaints | CSM Index | Complaint Response Time | CRM Index | E. Complaint Response Time | ERM Index | Avg. Age of Cases Pending | PCM Index | CSRI |
|---------------------------------------|-----------------------|-------------------------|--------------|-------------------------------|--------------|----------------------------------|--------------|---------------------------------|--------------|------------|
| Central Hudson Gas & Electric Corp. | 25 | 0 | 5.0 | 3.2 | 2.0 | 6.2 | 2.0 | 6.2 | 1.0 | 10.0 |
| National Fuel Gas Distribution | 25 93 | 1 | 5.0 4.9 | 3.2 8.4 | 2.0 | 6.2 5.1 | 2.0 2.0 | 6.6 | 1.0 | 9.9 |
| | 93 96 | 3 | 4.9 4.7 | 0.4 4.3 | 2.0 | 5.1 6.5 | 2.0 | 2.8 | 1.0 | 9.9 9.7 |
| PSEG Long Island | 96 34 | 3 1 | 4.7 | 4.3 3.4 | 2.0 | 6.9 | 2.0 | 2.0 5.7 | 1.0 | 9.7 9.7 |
| Orange & Rockland | - | - | | 3.4 2.5 | 2.0 | | | 5.7 21.1 | 0.8 | 9.7 9.5 |
| National Grid - Upstate | 302 92 | 10 7 | 4.7 4.2 | 2.5 3.0 | 2.0 | 5.0 3.4 | 2.0 2.0 | 21.1 8.6 | 0.8 1.0 | 9.5 9.2 |
| Rochester Gas & Electric Corp. | 92 12 | | | | | | | | | |
| Citizens Communications | 12 | 1 | 4.2 | 6.8 | 2.0 | 0.3 | 2.0 | 9.6 | 1.0 | 9.2 |
| ldt Energy, Inc. | | 1 | 4.2 | 10.1 | 2.0 | 0.0 | 2.0 | 3.7 | 1.0 | 9.2 |
| New York State Electric & Gas Corp. | 114 | 10 | 4.1 | 3.0 | 2.0 | 6.1 | 2.0 | 8.7 | 1.0 | 9.1 |
| National Grid - Metro Ny | 131 | 7 | 4.5 | 8.0 | 2.0 | 16.0 | 1.5 | 15.5 | 0.9 | 8.9 |
| AT&T | 15 | 2 | 3.7 | 1.6 | 2.0 | 0.1 | 2.0 | 2.6 | 1.0 | 8.7 |
| Con Edison Of New York | 415 | 59 | 3.6 | 5.3 | 2.0 | 13.0 | 1.8 | 13.8 | 1.0 | 8.4 |
| Flanders Energy LLC | 21 | 3 | 3.6 | 11.6 | 2.0 | 0.0 | 2.0 | 20.4 | 0.8 | 8.4 |
| Verizon New York Inc. | 22 | 4 | 3.2 | 7.8 | 2.0 | 7.7 | 2.0 | 13.9 | 1.0 | 8.2 |
| Family Energy, Inc. | 11 | 2 | 3.2 | 13.3 | 2.0 | 3.2 | 2.0 | 7.0 | 1.0 | 8.2 |
| National Grid - L I | 30 | 1 | 4.7 | 6.0 | 2.0 | 27.6 | 0.0 | 21.7 | 0.8 | 7.5 |
| Verizon Communications | 229 | 37 | 3.4 | 11.4 | 2.0 | 21.8 | 0.8 | 17.1 | 0.9 | 7.1 |
| Spectrum - Telephone | 13 | 3 | 2.7 | 12.9 | 2.0 | 0.0 | 2.0 | 49.7 | 0.3 | 7.0 |
| Cablevision of New York City | 21 | 7 | 1.7 | 8.8 | 2.0 | 12.0 | 1.9 | 12.2 | 1.0 | 6.6 |
| Verizon Digital Voice | 13 | 4 | 1.9 | 7.1 | 2.0 | 8.0 | 2.0 | 26.4 | 0.7 | 6.6 |
| Direct Energy Services LLC | 20 | 7 | 1.5 | 6.0 | 2.0 | 11.4 | 1.9 | 3.5 | 1.0 | 6.4 |
| Cablevision of Long Island | 19 | 6 | 1.8 | 8.0 | 2.0 | 15.1 | 1.5 | 13.7 | 1.0 | 6.3 |
| XChange Telecom | 13 | 5 | 1.2 | 4.1 | 2.0 | 4.7 | 2.0 | 6.8 | 1.0 | 6.2 |
| Optimum Voice | 13 | 5 | 1.2 | 11.0 | 2.0 | 9.4 | 2.0 | 13.3 | 1.0 | 6.2 |
| Suez Water New York Inc. | 17 | 5 | 2.1 | 4.0 | 2.0 | 1.5 | 2.0 | 64.8 | 0.0 | 6.1 |
| Frontier Telephone of Rochester, Inc. | 12 | 5 | 0.8 | 10.2 | 2.0 | 1.7 | 2.0 | 7.8 | 1.0 | 5.8 |
| Major Energy Services LLC | 11 | 5 | 0.5 | 7.7 | 2.0 | 3.8 | 2.0 | 4.7 | 1.0 | 5.5 |
| Eligo Energy Ny, Llc | 12 | 7 | 0.0 | 21.1 | 1.2 | 15.6 | 1.5 | 11.4 | 1.0 | 3.7 |
| Spectrum - Albany | 16 | 2 | 3.8 | 16.1 | 1.7 | 117.6 | 0.0 | 83.9 | -5.0 | 0.5 |
| Spectrum - New York City | 27 | 8 | 2.0 | 13.6 | 2.0 | 0.0 | 2.0 | 188.1 | -9.0 | 0.0 |
| Spectrum - Syracuse | 13 | 2 | 3.5 | 7.5 | 2.0 | 0.0 | 2.0 | 168.9 | -9.0 | 0.0 |
| | | | | | | | | | | |

This table reports on the current level of customer service and responsiveness delivered by each service provider under the Department's jurisdiction. The Customer Service Response Index is determined by measuring four metrics. Complete CSRI data is posted on the first page of the report for those service providers that average ten or more initial complaints per month. For all other service providers, the performance in each area is reported on subsequent pages of the table, less the actual index measures because the index measures for companies with fewer than ten initial complaints have been found to show significant fluctuations on a month to month basis. These fluctuations may result in the reader reaching an inaccurate conclusion as to a service provider's performance. If a company is not listed on this report it is because there was no activity for the company in the reporting month. Below is an explanation of the data in each column. **Initial Complaints** - This is the number of initial complaints we receive and forward to the utility company for resolution directly with the customer.

Escalated Complaints - This is the number of complaints that we escalated for further handling and investigation because the customer informed us that the utility failed to satisfy their initial complaint after we forwarded the initial complaint to the utility.

CSM Index - The Consumer Satisfaction Index scores the ratio of the number of initial complaints to the number of escalated complaints in the reporting month. A score of 5 points are awarded when a service provider receives no escalated complaints during the reporting month. There is no score awarded if a service provider satisfies less than 50% of the customers that the Department refers to them.

Complaint Response Time - This is the average number of days it took for a utility to respond to initial complaints in the reporting month.

CRM Index - The Complaint Response Time Index scores the service provider's responsiveness to initial complaints closed in the reporting month. A score of 2 points is awarded when a provider's average response time for initial complaints is 14 days or less. No points are earned if the average response time for initial complaints is more than 28 days (twice the acceptable reply standard).

E. Complaint Response Time - This is the average number of days it took for a utility to respond to escalated complaints in the reporting month.

ERM Index - The Escalated Complaint Response Time Index scores the service providers responsiveness to escalated complaints closed in the reporting month. A score of 2 points is awarded when a provider's average response time for escalated complaints is 10 days or less. No points are earned if the average response time for escalated complaints is more than 25 days (two weeks past due).

Avg. Age of Cases Pending - This is the average age of all the cases awaiting a response from the service provider.

PCM Index - The Pending Case Index scores the average age of all cases awaiting response by the service provider. A score of 1 point is awarded when a service providers' average age of all cases is 14 days or less. No points are earned if the average age of all cases exceeds 70 days (two months delinquent). A negative score is applied if the average age of all cases is over 70 days.

CSRI - The Customer Service Response Index is the overall score received by the service provider. It is the sum of the four indices.

| Service Provider | Initial Complaints | Escalated Complaints | CSM Index | Complaint Response Time | CRM Index | E. Complaint Response Time | ERM Index | Avg. Age of Cases Pending | PCM Index | CSRI |
|-----------------------------------------|-----------------------|-------------------------|--------------|-------------------------------|--------------|----------------------------------|--------------|---------------------------------|--------------|------|
| | • | • | muex | | muex | | muex | • | muex | 03/1 |
| 327 Central Park West Condominium | | 0 | | 0.0 | | 0.0 | | 262.0 | | |
| 3462 Third Avenue Realty Llc | 0 | 0 | | 0.0 | | 0.0 | | 302.0 | | |
| 605 West 42nd Owner LLC | 0 | 0 | | 0.0 | | 0.0 | | 135.0 | | |
| ABC Energy LLC | 1 | 0 | | 12.9 | | 0.0 | | 0.0 | | |
| ACC Business | 0 | 0 | | 0.0 | | 0.0 | | 129.0 | | |
| Agway Energy Services, LLC. | 4 | 0 | | 2.0 | | 0.0 | | 0.0 | | |
| Akam Associates | 0 | 0 | | 0.0 | | 0.0 | | 210.0 | | |
| Alpha Gas And Electric, Llc | 2 | 0 | | 4.5 | | 0.0 | | 0.0 | | |
| Ambit Energy | 4 | 2 | | 7.2 | | 5.9 | | 1.0 | | |
| American Power & Gas, LLC | 2 | 0 | | 5.4 | | 0.0 | | 0.0 | | |
| Ap Gas & Electric (ny), Llc | 0 | 0 | | 0.0 | | 13.0 | | 0.0 | | |
| Atlantic Energy, LLC | 1 | 1 | | 12.1 | | 1.0 | | 0.0 | | |
| Birch Communications, Inc. | 1 | 2 | | 11.0 | | 2.0 | | 0.0 | | |
| Broadview Networks | 4 | 1 | | 22.2 | | 19.0 | | 8.5 | | |
| BTI Communications, Inc. d/b/a TELZE | | 3 | | 8.0 | | 3.8 | | 23.2 | | |
| BUY ENERGY DIRECT, LLC | 1 | 1 | | 10.6 | | 0.0 | | 2.0 | | |
| Cablevision - MediaOne - Rockland | 5 | 1 | | 6.9 | | 4.1 | | 14.8 | | |
| Cablevision - MediaOne - Us Cablevisi | 2 | 1 | | 9.0 | | 13.1 | | 7.5 | | |
| Cablevision - MediaOne - Westchester | 2 | 1 | | 6.0 | | 10.3 | | 14.0 | | |
| Cablevision Of Brookhaven | 1 | 0 | | 11.4 | | 13.2 | | 10.0 | | |
| Cablevision Of Dutchess County | 2 | 0 | | 2.4 | | 27.0 | | 14.0 | | |
| Cablevision Of East Hampton | 1 | 0 | | 6.7 | | 0.0 | | 14.0 | | |
| Cablevision Of Hauppauge | 0 | 1 | | 0.0 | | 0.0 | | 14.0 | | |
| Cablevision of Riverhead | 2 | 0 | | 8.1 | | 0.0 | | 14.0 | | |
| Cablevision of Rockland | 1 | 1 | | 13.6 | | 0.0 | | 14.0 | | |
| Cablevision of Rockland/Ramapo, Inc. | 0 | 0 | | 0.0 | | 0.0 | | 14.0 | | |
| Cablevision of Westchester | 9 | 2 | | 11.1 | | 9.9 | | 14.2 | | |
| Cablevision of Yorktown | 1 | 0 | | 11.4 | | 0.0 | | 0.0 | | |
| Cedar Meadow Water Corp. | 0 | 0 | | 0.0 | | 0.0 | | 52.0 | | |
| CenStar Operating Company, LLC | 0 | 1 | | 9.9 | | 0.0 | | 0.0 | | |
| Charter Communications | 0 | 0 | | 0.0 | | 0.0 | | 162.0 | | |
| Citizens Choice Energy, LLC | 2 | 0 | | 12.8 | | 0.0 | | 0.0 | | |
| City of Jamestown Board of Public Utili | 2 | 0 | | 0.1 | | 0.0 | | 0.0 | | |
| City Power & Gas, LLC | 1 | 0 | | 5.9 | | 0.0 | | 0.0 | | |
| Comcast Cable of New York - CATV | 0 | 0 | | 0.0 | | 0.0 | | 0.0 | | |
| Comtel Telcom Assets LP d/b/a VarTe | 0 | 0 | | 0.0 | | 49.9 | | 0.0 | | |
| Con Edison Solutions | 0 | 0 | | 0.0 | | 0.0 | | 69.5 | | |
| Constellation Energy Gas Choice Inc. | 2 | 0 | | 12.0 | | 0.0 | | 2.0 | | |
| Constellation NewEnergy | 6 | 1 | | 11.5 | | 4.0 | | 43.2 | | |
| Deposit Telephone | 1 | 0 | | 8.9 | | 0.0 | | 6.0 | | |
| Direct Energy Business Marketing, LLC | 0 | 0 | | 0.0 | | 4.3 | | 0.0 | | |
| Dsf IV New Rochelle Owner Llc D/b/a | | 0 | | 0.0 | | 588.2 | | 0.0 | | |
| East Coast Power, Llc | 0 | 0 | | 0.0 | | 10.9 | | 0.0 | | |
| ENERGY DISCOUNTERS, LLC | 1 | 1 | | 3.9 | | 7.9 | | 0.0 | | |
| Energy Plus Holdings LLC | 0 | 0 | | 0.0 | | 0.0 | | 160.0 | | |
| Engie Retail, LLC. | 1 | 0 | | 0.0 | | 0.0 | | 7.0 | | |
| Ethical Electric, Inc | 1 | 0 | | 0.0 | | 0.0 | | 14.0 | | |
| Friedlander Water Supply | 0 | 0 | | 0.0 | | 0.0 | | 308.0 | | |
| Frontier Communications of NY/aka Hi | - | 0 | | 6.0 | | 0.0 | | 0.0 | | |
| Frontier Communications of Rocheste | | 1 | | 4.3 | | 1.1 | | 1.0 | | |
| Frontier Communications of Sylvan La | | 0 | | 4.3 6.4 | | 0.0 | | 0.0 | | |
| Frontier Utilities Northeast LLC | 2 | 0 | | 0.4 | | 0.0 | | 0.0 1.0 | | |
| | 0 | 0 | | 0.0 | | 0.0 | | 1.0 | | |

| And the Deviden | Initial | Escalated | CSM | Complaint Response | CRM | E. Complaint Response | ERM In dour | Avg. Age of Cases | PCM | 000 |
|-------------------------------------------------------|---------|------------|-------|-----------------------|-------|--------------------------|----------------|----------------------|-------|------|
| Service Provider | | Complaints | Index | Time | Index | Time | Index | Pending | Index | CSRI |
| Galaxy Energy Llc | 0 | 0 | | 0.0 | | 71.0 | | 0.0 | | |
| GLOBAL ENERGY MARKETING LLC | | 0 | | 0.0 | | 0.0 | | 1.0 | | |
| Granite Telecommunications, Llc | 0 | 0 | | 0.0 | | 0.0 | | 36.0 | | |
| Great Eastern Energy | 1 | 0 | | 0.2 | | 0.0 | | 0.0 | | |
| Green Mountain Energy | 1 | 0 | | 8.9 | | 0.0 | | 0.0 | | |
| Greenlight Energy Inc. | 4 | 1 | | 8.7 | | 0.0 | | 1.5 | | |
| Hazel Towers Co., L.P. | 0 | 0 | | 0.0 | | 0.0 | | 8.0 | | |
| Herkimer Street Residence, L.P. | 0 | 0 | | 0.0 | | 0.0 | | 279.0 | | |
| Hiko Energy, LLC | 1 | 1 | | 3.1 | | 0.1 | | 0.0 | | |
| Hudson Energy Services, Llc | 0 | 0 | | 25.9 | | 0.0 | | 244.0 | | |
| Hudson Park Investors, Llc | 0 | 0 | | 0.0 | | 0.0 | | 248.0 | | |
| IDT America Corp. | 3 | 1 | | 2.5 | | 0.0 | | 93.8 | | |
| Jdm Washington Llc | 0 | 0 | | 0.0 | | 0.0 | | 273.0 | | |
| Josco Energy Corp | 1 | 0 | | 14.2 | | 0.0 | | 1.0 | | |
| Just Energy New York Corp | 4 | 1 | | 8.7 | | 0.7 | | 0.0 | | |
| King Tract Utilities c/o Melohn Propertie | 1 | 0 | | 0.0 | | 0.0 | | 104.5 | | |
| Kiwi Energy Inc. | 1 | 1 | | 15.4 | | 6.0 | | 0.0 | | |
| Knolls Water Co. | 1 | 0 | | 0.0 | | 0.0 | | 10.0 | | |
| Liberty Power Corp. | 1 | 0 | | 14.7 | | 0.0 | | 0.0 | | |
| Lindenwood Village Sec. B Co-op Corp | 0 | 0 | | 0.0 | | 0.0 | | 273.0 | | |
| Macedonia Plaza Development LLC | 0 | 0 | | 0.0 | | 24.8 | | 34.0 | | |
| MCI | 0 | 0 | | 0.0 | | 0.0 | | 0.0 | | |
| Median Energy Corp. | 5 | 0 | | 7.9 | | 0.0 | | 2.0 | | |
| Mid Hudson Cablevision, Inc. | 2 | 0 | | 8.2 | | 0.0 | | 19.5 | | |
| Mountain Lodge Park Water Corp. | 1 | 0 | | 2.0 | | 0.0 | | 0.0 | | |
| Mpower Energy LLC | 8 | 1 | | 19.2 | | 14.9 | | 9.6 | | |
| MTC Cable | 0 | 0 | | 0.0 | | 0.0 | | 1.0 | | |
| Municipal Commission of Boonville | 1 | 0 | | 2.9 | | 0.0 | | 0.0 | | |
| Natgasco, Inc A Mitchell-Supreme Co | 1 | 0 | | 24.0 | | 0.0 | | 27.0 | | |
| National Gas & Electric, LLC | 1 | 0 | | 6.0 | | 0.0 | | 0.0 | | |
| New Wave Energy Corp. | 1 | 0 | | 11.7 | | 1.3 | | 0.0 | | |
| New York American Water | 6 | 1 | | 5.4 | | 11.9 | | 60.4 | | |
| North American Power & Gas LLC | 0 | 1 | | 11.9 | | 0.0 | | 32.2 | | |
| Nysandy7 Story Llc | 0 | 0 | | 0.0 | | 602.1 | | 0.0 | | |
| Oasis Power LLC, d/b/a Oasis Energy | - | 0 | | 15.0 | | 0.0 | | 12.0 | | |
| Paetec Communications, Inc. Dba Wir | | 0 | | 0.0 | | 0.0 | | 1.0 | | |
| Pay Less Energy, LLC | 0 | 0 | | 5.9 | | 0.0 | | 0.0 | | |
| Penelec (A First Energy Company) | 1 | 0 | | 1.8 | | 0.0 | | 0.0 | | |
| Perigee Energy, LLC | 0 | 0 | | 25.8 | | 0.0 | | 0.0 | | |
| Public Power Llc | 2 | 0 | | 13.9 | | 0.0 | | 6.0 | | |
| Qtel, LLC | 3 | 0 | | 4.3 | | 0.0 | | 30.0 | | |
| Reliant Energy Northeast LLC | 1 | 0 | | 6.8 | | 0.0 | | 86.0 | | |
| Renaissance Power & Gas, Inc. | 1 | 1 | | 24.1 | | 0.0 | | 6.0 | | |
| Resdntl Comms. Netwrk of NY | 2 | 2 | | 8.3 | | 6.3 | | 44.0 | | |
| | | 2 | | 0.3 14.3 | | 0.3 11.1 | | 0.0 | | |
| Residents Energy, LLC Riverview II Preservation Lp | 5 0 | 0 | | 0.0 | | 0.0 | | 0.0 708.0 | | |
| • | | | | | | | | | | |
| Robison Energy Of Westchester | 2 | 0 | | 11.1 | | 0.0 | | 14.0 | | |
| Royal York Owners Corp. | 0 | 0 | | 0.0 | | 235.0 | | 0.0 | | |
| Saratoga Water Services, Inc. | 0 | 0 | | 0.0 | | 0.0 | | 0.0 | | |
| SBR Energy, LLC | 0 | 0 | | 0.0 | | 0.0 | | 0.0 | | |
| SJ Energy Partners | 0 | 0 | | 43.0 | | 0.0 | | 0.0 | | |
| Smart One Energy, LLC | 2 | 0 | | 0.0 | | 0.0 | | 0.0 | | |

| Service Provider | Initial Complaints | Escalated Complaints | CSM Index | Complaint Response Time | CRM Index | E. Complaint Response Time | ERM Index | Avg. Age of Cases Pending | PCM Index | CSRI |
|-------------------------------------------|-----------------------|-------------------------|--------------|-------------------------------|--------------|----------------------------------|--------------|---------------------------------|--------------|------|
| South Bay Energy Corp. | 1 | 0 | | 2.1 | | 0.0 | | 1.0 | | |
| Spark Energy, L.P. | 4 | 1 | | 12.7 | | 0.0 | | 11.4 | | |
| Spectrotel, Inc. | 1 | 1 | | 1.1 | | 5.9 | | 0.0 | | |
| Spectrum - Buffalo | 9 | 1 | | 9.3 | | 0.0 | | 83.0 | | |
| Spectrum - Rochester | 7 | 0 | | 18.4 | | 0.0 | | 58.1 | | |
| St. Lawrence Gas | 1 | 0 | | 0.0 | | 0.0 | | 0.0 | | |
| Stellar Management Company | 0 | 0 | | 0.0 | | 0.0 | | 91.0 | | |
| Stream Energy New York LLC. | 1 | 0 | | 0.0 | | 0.0 | | 8.0 | | |
| Suez Water Westchester Inc. | 4 | 0 | | 1.6 | | 0.0 | | 13.0 | | |
| Taconic Telephone Corp. | 1 | 1 | | 7.1 | | 0.2 | | 0.0 | | |
| TDS Telecom-Oriskany Falls Office | 1 | 0 | | 0.0 | | 0.0 | | 2.0 | | |
| TDS Telecom-Vernon Office | 1 | 1 | | 17.0 | | 0.0 | | 10.0 | | |
| The Bay Club | 0 | 0 | | 0.0 | | 0.0 | | 119.0 | | |
| The Chaffee Water Works Company | 0 | 0 | | 0.0 | | 0.0 | | 111.0 | | |
| Time Warner Cable Business LLC d/b | 0 | 0 | | 0.0 | | 0.0 | | 0.0 | | |
| Tristate Bell Inc | 3 | 2 | | 5.0 | | 0.2 | | 26.5 | | |
| U.S. Gas & Electric, Inc. | 4 | 0 | | 13.6 | | 0.0 | | 0.0 | | |
| UDC Gateway LLC | 0 | 0 | | 0.0 | | 0.0 | | 281.0 | | |
| UtiliSave, LLC. | 0 | 0 | | 0.0 | | 0.0 | | 2.0 | | |
| Utility Expense Reduction LLC | 2 | 0 | | 4.5 | | 0.0 | | 0.0 | | |
| Village of Fairport | 0 | 1 | | 21.2 | | 0.0 | | 22.0 | | |
| Village of Hamilton Municipal Utilities C | 1 | 0 | | 0.1 | | 0.0 | | 0.0 | | |
| Village of Rockville Centre Inc. | 2 | 0 | | 0.2 | | 0.0 | | 0.0 | | |
| Viridian Energy Ny, Llc | 4 | 2 | | 13.5 | | 29.7 | | 5.0 | | |
| Wholesale Carrier Services, Inc. | 0 | 0 | | 0.0 | | 14.8 | | 0.0 | | |
| Windstream Communications, Inc. | 1 | 1 | | 21.9 | | 0.0 | | 8.0 | | |
| XOOM Energy New York, LLC | 4 | 1 | | 13.4 | | 9.2 | | 4.5 | | |

2017 Credit Adjustments Received For Consumers

As a result of our investigation into consumers' complaints, when staff determines that a consumer was overbilled, the utility is directed to refund to the consumer, any monies it collected above and beyond what was allowed by tariffs, rules and regulations. The chart below identifies the credits obtained on behalf of consumers.

| | Total | Consumers |
|------------|----------------|-----------|
| Jan-17 | \$293,392.72 | 114 |
| Feb-17 | \$134,244.36 | 73 |
| Mar-17 | \$184,629.84 | 104 |
| Apr-17 | \$769,473.96 | 101 |
| May-17 | \$61,806.72 | 73 |
| Jun-17 | | |
| Jul-17 | | |
| Aug-17 | | |
| Sep-17 | | |
| Oct-17 | | |
| Nov-17 | | |
| Dec-17 | | |
| 2017 Total | \$1,443,547.60 | 465 |

Number of Initial Complaints Received Against ESCO's

| Code | Company Name | 2017 | 2016 | May-17 | Apr-17 | Mar-17 | Feb-17 | Jan-17 | Dec-16 | Nov-16 | Oct-16 | Sep-16 | Aug-16 | Jul-16 | Jun-16 | May-16 |
|------------------|-----------------------------------------------------------|------|-----------|--------|---------|---------|---------|---------|--------|--------|--------|--------|--------|--------|--------|--------|
| 6898AB | ABC Energy LLC | 3 | 10 | 1 | 1 | 0 | 0 | 1 | 0 | 0 | 2 | 0 | 0 | 1 | 3 | 0 |
| 5567AB | ABN Energy, LLC DBA GreatEnergy | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 |
| D128 | Accent Energy Midwest, LLC | 1 | 2 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 |
| D249 | Affordable Power, L.P. | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| 8281AG | Agera Energy | 1 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 5020AG | Aggressive Energy, LLC | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| D001 | Agway Energy Services, LLC. | 14 | 37 | 4 | 2 | 1 | 2 | 5 | 1 | 4 | 2 | 4 | 1 | 4 | 2 | 2 |
| 5985AL | Alpha Gas And Electric, Llc | 11 | 20 | 2 | 1 | 4 | 1 | 3 | 3 | 1 | 2 | 2 | 2 | 0 | 1 | 0 |
| D230 | Ambit Energy | 21 | 584 | 4 | 2 | 6 | 2 | 7 | 5 | 8 | 5 | 11 | 11 | 5 | 11 | 25 |
| 5411AM | American Power & Gas, LLC | 19 | 88 | 2 | 3 | 5 | 3 | 6 | 5 | 1 | 5 | 6 | 9 | 2 | 2 | 8 |
| 6023AP | Ap Gas & Electric (ny), Llc | 1 | 11 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 2 | 0 | 0 |
| 5465AS | ASC Energy Services, Inc. | 0 | 7 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| 6818AS | Astral Energy LLC | 2 | 64 | 0 | 0 | 0 | 1 | 1 | 3 | 1 | 2 | 4 | 4 | 2 | 5 | 1 |
| 6481AT | Atlantic Energy, LLC | 15 | 45 | 1 | 2 | 4 | 4 | 4 | 6 | 3 | 3 | 4 | 6 | 6 | 3 | 5 |
| 7844AT | Atlantic Power & Gas LLC | 1 | 25 | 0 | 0 | 1 | 0 | 0 | 1 | 2 | 0 | 0 | 4 | 1 | 6 | 1 |
| D222 | BluCo Energy, LLC | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| D217 | BlueRock Energy, Inc. | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| D113 | Brown's Fuel | 3 | 13 | 0 | 0 | 0 | 1 | 2 | 0 | 0 | 1 | 2 | 1 | 1 | 1 | 0 |
| 5246BU | BUY ENERGY DIRECT, LLC | 2 | 3 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 |
| 9156CE | CenStar Operating Company, LLC | 4 | 13 | 0 | 2 | 2 | 0 | 0 | 3 | 2 | 1 | 1 | 0 | 0 | 1 | 0 |
| 6903CH | Champion Energy Services, LLC | 4 | 1 | 0 | 0 | 1 | 3 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| D220 | Chief Energy Gas, Llc. | 3 | 4 | 0 | 0 | 0 | 3 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |
| 5773CH | Chief Energy Power, Llc | 4 | 7 | 0 | 0 | 0 | 2 | 2 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 |
| 5325CI | Citizens Choice Energy, LLC | 16 | 12 | 2 | 2 | 4 | 2 | 6 | 2 | 3 | 2 | 2 | 0 | 0 | 0 | 0 |
| 5592CI | City Power & Gas, LLC | 7 | 20 | 1 | 0 | 3 | 2 | 1 | 3 | 5 | 2 | 3 | 0 | 1 | 0 | 1 |
| D238 | Clearview Energy, Inc. | 3 | 3 | 0 | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| D230 | Columbia Utilities Power, Llc (electric) | 1 | 24 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 1 | 2 | 5 | 1 | 2 | 1 |
| D040 | Columbia Utilities Power, Llc (gas) | 3 | 9 | 0 | 0 | 2 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| D208 | Commerce Energy, Inc | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| D200 | Con Edison Solutions | 2 | 14 | 0 | 0 | 0 | 2 | 0 | 1 | 2 | 0 | 2 | 2 | 1 | 2 | 0 |
| 6771CO | Constellation Energy Gas Choice Inc. | 10 | 14 | 2 | 1 | 3 | 3 | 1 | 1 | 0 | 1 | 3 | 1 | 0 | 1 | 2 |
| D084 | Constellation NewEnergy | 20 | 10 | 6 | 3 | 3 | 8 | 0 | 0 | 2 | 1 | 2 | 2 | 3 | 3 | 0 |
| D004 | Constellation NewEnergy - Gas Divisio | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 8168DI | Direct Energy Business Marketing, LL | 1 | 10 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 3 | 1 | 0 | 2 |
| D176 | Direct Energy Services LLC | 115 | 269 | 20 | 20 | 35 | 27 | 13 | 10 | 11 | 19 | 12 | 16 | 12 | 9 | 23 |
| D176 | East Coast Power, Llc | 1 | 3 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |
| 6922EL | Eligo Energy NY, Llc | 40 | 86 | 12 | 15 | 5 | 6 | 2 | 5 | 6 | 3 | 7 | 28 | 5 | 3 | 5 |
| 8202EN | Energy Cooperative of America, Inc. | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 6031EN | ENERGY DISCOUNTERS, LLC | 3 | 0 | 1 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| D243 | Energy Plus Holdings LLC | 2 | 17 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 1 | 2 | 2 | 0 | 1 | 0 |
| 5568EN | Energy Plus Natural Gas LP | 1 | 2 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |
| 5182EN | EnergyMark, LLC | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| D201 | Entergy Solutions Ltd. | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 8938EN | Entergy Solutions Ltd. Entrust Energy East, Inc. | 1 | 5 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 |
| | Entrust Energy East, Inc. Ethical Electric Benefit Co. | 11 | 26 | 0 | | 6 | 0 | 2 | 1 | 4 | 5 | 1 | 8 | 0 | 0 | 0 |
| 7005ET 4920FA | Family Energy, Inc. | 51 | 26 171 | 11 | 2 11 | 6 10 | 0 | ∠ 12 | 9 | 4 9 | 5 | 12 | 8 | 12 | 16 | 13 |
| | | | | | | | / 17 | | | - | - | _ | | | | |
| 6594FL | Flanders Energy LLC | 117 | 18 | 21 | 28 | 37 | 17 | 14 | 7 | 7 | 4 | 0 | 0 | 0 | 0 | 0 |
| 7383FR | Frontier Utilities Northeast LLC | 0 | 37 | 0 | 0 | 0 | U | 0 | 1 | 0 | 1 | 0 | 0 | Ĩ | U | 1 |

Number of Initial Complaints Received Against ESCO's

| | | | | | | | | _ | | | | | | | | |
|--------|--------------------------------------|--------|------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|
| Code | Company Name | 2017 | 2016 | May-17 | Apr-17 | Mar-17 | Feb-17 | Jan-17 | Dec-16 | Nov-16 | Oct-16 | Sep-16 | Aug-16 | Jul-16 | Jun-16 | May-16 |
| 6643GA | Galaxy Energy Llc | 2 | 9 | 0 | 0 | 1 | 0 | 1 | 0 | 1 | 0 | 1 | 0 | 1 | 1 | 0 |
| 4963GD | Gdf Suez Energy Resources Na, Inc. | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 6424GD | GDF Suez Retail Energy Solutions, LL | 2 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 6009GL | Global Energy, LLC | 2 | 3 | 0 | 0 | 0 | 1 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 2 | 0 |
| 4846GL | GMG Energy Marketing LLC | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| D104 | Great Eastern Energy | 2 | 11 | 1 | 0 | 1 | 0 | 0 | 1 | 0 | 2 | 2 | 1 | 1 | 0 | 0 |
| D127 | Green Mountain Energy | 8 | 28 | 1 | 0 | 1 | 2 | 4 | 1 | 2 | 1 | 2 | 3 | 1 | 1 | 2 |
| 4877GR | Greenlight Energy Inc. | 10 | 35 | 4 | 1 | 0 | 3 | 2 | 4 | 4 | 2 | 2 | 6 | 0 | 1 | 1 |
| D254 | High Rise Energy Group, LLC | 1 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 5302PR | Hiko Energy, Llc | 7 | 13 | 1 | 2 | 1 | 1 | 2 | 2 | 1 | 0 | 1 | 0 | 1 | 0 | 3 |
| D120 | Hudson Energy Services, Llc | 7 | 16 | 0 | 3 | 2 | 0 | 2 | 2 | 1 | 0 | 3 | 0 | 0 | 0 | 2 |
| D177 | IDT Energy, Inc. | 62 | 99 | 12 | 13 | 13 | 9 | 15 | 16 | 12 | 14 | 10 | 9 | 5 | 3 | 2 |
| D188 | IGS Energy | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 |
| D167 | Infinite Energy, Inc. | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 |
| 7041JO | JOSCO Energy Corp | 41 | 106 | 1 | 6 | 9 | 8 | 17 | 8 | 5 | 11 | 11 | 20 | 5 | 7 | 8 |
| D213 | Just Energy New York Corp | 19 | 42 | 4 | 1 | 5 | 6 | 3 | 2 | 1 | 2 | 4 | 4 | 1 | 6 | 6 |
| 6646KI | Kiwi Energy Inc. | 9 | 31 | 1 | 2 | 2 | 3 | 1 | 1 | 0 | 0 | 1 | 2 | 1 | 0 | 4 |
| 5520LE | Lexington Power & Light, LLC | 1 | 2 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 |
| D117 | Liberty Power Corp. | 9 | 45 | 1 | 1 | 2 | 2 | 3 | 2 | 4 | 6 | 4 | 6 | 4 | 2 | 3 |
| D214 | Major Energy Services LLC | 57 | 106 | . 11 | 8 | 14 | 13 | 11 | 16 | 6 | 5 | 16 | 9 | 5 | 9 | 9 |
| 6007MA | Marathon Energy Corporation | 5 | 48 | 0 | 1 | 0 | 3 | 1 | 2 | 2 | 6 | 2 | 6 | 4 | 7 | 1 |
| 9533ME | Median Energy Corp. | 19 | 0 | 5 | 4 | 7 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| D267 | Mpower Energy LLC | 58 | 123 | 8 | 5 | 21 | 11 | 13 | 17 | 6 | 11 | 14 | 16 | 11 | 7 | 7 |
| D020 | Natgasco, Inc A Mitchell-Supreme C | 3 | 2 | 1 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 9285NA | National Gas & Electric, LLC | 3 | 0 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 5436NE | New Wave Energy Corp. | 1 | 5 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 |
| 7379NE | NextEnergy Services LLC | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 4987NE | NextEra Energy Resources, LLC | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 5787NO | North American Power & Gas LLC | 9 | 29 | 0 | 3 | 2 | 3 | 1 | 5 | 1 | 1 | 3 | 1 | 2 | 2 | 1 |
| 5479NO | North Energy LLC | 0 | 23 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 |
| D239 | NorthEastern Energy Corporation | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| 4921OA | Oasis Power LLC, d/b/a Oasis Energy | 10 | 14 | 3 | 0 | 2 | 0 | 5 | 2 | 1 | 2 | 1 | 1 | 0 | 2 | 1 |
| 6645PA | Pay Less Energy, LLC | 4 | 4 | 0 | 1 | 2 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 1 |
| 6893PE | Perigee Energy, LLC | 2 | 5 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 |
| D171 | Plymouth Rock Energy LLC | 3 | 7 | 0 | 0 | 1 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 |
| 7871PR | Premier Empire Energy LLC | 0 | 5 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 3 | 0 | 0 |
| D263 | Public Power Llc | 6 | 26 | 2 | 2 | 0 | 0 | 2 | 0 | 2 | 5 | 1 | 2 | 1 | 6 | 3 |
| 6233RE | Reliant Energy Northeast LLC | 4 | 13 | 1 | 1 | 1 | 1 | 0 | 1 | 0 | 1 | 2 | 1 | 0 | 0 | 1 |
| 6616RE | Renaissance Power & Gas, Inc. | 15 | 21 | 1 | 0 | 1 | 5 | 8 | 4 | 7 | 3 | 1 | 2 | 0 | 0 | 0 |
| 6574RE | Residents Energy, LLC | 16 | 35 | 5 | 1 | 4 | 4 | 2 | 2 | 0 | 2 | 2 | 4 | 3 | 3 | 4 |
| D093 | Robison Energy Of Westchester | 5 | 3 | 2 | 1 | 2 | 4 | 0 | 0 | 1 | 0 | 0 | 4 | 0 | 1 | 1 |
| 5370SB | SBR Energy, LLC | 5 1 | 1 | 2 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 5481SJ | SDR Energy Partners | 4 | 4 | 0 | 0 | 3 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 1 |
| 4976SM | Systems Smart One Energy, LLC | 4 | 30 | 2 | 0 | 2 | 0 | 0 | 1 | 2 | 2 | 1 | 1 | 1 | 0 | 1 |
| 6216SO | South Bay Energy Corp. | 9 | 23 | 2 | 3 | 2 | 2 | 1 | 6 | 2 | 2 | 2 | 2 | 3 | 2 | 1 |
| 5577SO | South Bay Energy Corp. | 9 | 23 | 0 | 3 | 2 | 2 | 0 | 0 | 3 0 | 0 | 2 | 2 | 3 0 | 2 | 0 |
| | | | | - | - | - | - | - | - | - | - | - | - | - | - | - |
| D186 | Spark Energy, L.P. | 31 | 88 | 4 | 5 | 7 | 6 | 9 | 8 | 6 | 11 | 9 | 5 | 8 | 4 | 1 |
| 5144SP | Spectrum Gas & Electric, LLC | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Number of Initial Complaints Received Against ESCO's

| Code | Company Name | 2017 | 2016 | May-17 | Apr-17 | Mar-17 | Feb-17 | Jan-17 | Dec-16 | Nov-16 | Oct-16 | Sep-16 | Aug-16 | Jul-16 | Jun-16 | May-16 |
|--------|---------------------------------------|------|------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|
| 7397SP | Sperian Energy Corp. | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| 8302SP | Sprague Operating Resources | 17 | 0 | 0 | 16 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 5463ST | Starion Energy NY, Inc. | 1 | 16 | 0 | 0 | 1 | 0 | 0 | 0 | 2 | 1 | 2 | 2 | 0 | 0 | 0 |
| 6809ST | Stream Energy New York LLC. | 3 | 1 | 1 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| D223 | Titan Gas, LLC | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 1 | 0 |
| 9014TR | Trident Retail Energy, LLC DBA Trider | 0 | 7 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 1 | 0 |
| D166 | U.S. Energy Partners Llc And EnviroG | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| D119 | U.S. Gas & Electric, Inc. | 22 | 59 | 4 | 4 | 3 | 6 | 5 | 5 | 3 | 3 | 2 | 2 | 3 | 8 | 3 |
| D500 | Unidentified ESCO | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 6008UN | United Energy Supply Corporation | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 5461UT | Utility Expense Reduction LLC | 13 | 48 | 2 | 1 | 5 | 3 | 2 | 4 | 1 | 8 | 4 | 4 | 3 | 1 | 1 |
| 6894VE | Verde Energy USA New York, LLC | 6 | 18 | 0 | 1 | 3 | 1 | 1 | 0 | 0 | 1 | 1 | 2 | 0 | 0 | 1 |
| 5391VI | Viridian Energy NY, LLC | 20 | 52 | 4 | 2 | 6 | 4 | 4 | 7 | 1 | 4 | 3 | 5 | 3 | 4 | 2 |
| 6668XO | XOOM Energy New York, LLC | 11 | 36 | 4 | 0 | 2 | 1 | 4 | 4 | 4 | 2 | 3 | 8 | 0 | 1 | 2 |
| | Total | 1065 | 2995 | 182 | 191 | 272 | 209 | 211 | 197 | 159 | 180 | 199 | 247 | 139 | 160 | 169 |

ESCO's with no complaints on file since January 2016 are not listed on this report.

Number of Escalated Complaints Received Against ESCO's

| | | •••• | | | | | | | | J C I | | | | | | · |
|----------------|------------------------------------------|------|------|--------|--------|--------|--------|--------|--------|--------------|--------|--------|--------|--------|--------|--------|
| Code | Company Name | 2017 | 2016 | May-17 | Apr-17 | Mar-17 | Feb-17 | Jan-17 | Dec-16 | Nov-16 | Oct-16 | Sep-16 | Aug-16 | Jul-16 | Jun-16 | May-16 |
| 6898AB | ABC Energy LLC | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| D128 | Accent Energy Midwest, LLC | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| D249 | Affordable Power, L.P. | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 |
| D001 | Agway Energy Services, LLC. | 3 | 7 | 0 | 1 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 5985AL | Alpha Gas And Electric, Llc | 2 | 7 | 0 | 1 | 0 | 1 | 0 | 1 | 0 | 1 | 2 | 0 | 1 | 0 | 0 |
| D230 | Ambit Energy | 5 | 259 | 2 | 0 | 0 | 0 | 3 | 2 | 1 | 1 | 5 | 1 | 4 | 5 | 13 |
| 5411AM | American Power & Gas, LLC | 0 | 7 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 |
| 6023AP | Ap Gas & Electric (ny), Llc | 1 | 4 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 |
| 5465AS | ASC Energy Services, Inc. | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 6818AS | Astral Energy LLC | 0 | 8 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 |
| 6481AT | Atlantic Energy, LLC | 1 | 5 | 1 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 1 | 1 | 1 | 0 | 0 |
| 7844AT | Atlantic Power & Gas LLC | 0 | 5 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 2 | 1 | 0 | 0 |
| D113 | Brown's Fuel | 1 | 2 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | BUY ENERGY DIRECT, LLC | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | CenStar Operating Company, LLC | 4 | 2 | 1 | 0 | 0 | 0 | 3 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Chief Energy Power, Llc | 1 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 5325CI | Citizens Choice Energy, LLC | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 5592CI | City Power & Gas, LLC | 1 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |
| D231 | Columbia Utilities Power, Llc (electric) | 0 | 8 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 0 | 1 | 0 |
| D040 | Columbia Utilities Power, Lic (gas) | 2 | 3 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| D040 | Con Edison Solutions | 1 | 2 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| 6771CO | Constellation Energy Gas Choice Inc. | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| D084 | Constellation NewEnergy | 1 | 3 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 0 | 0 |
| 8168DI | Direct Energy Business Marketing, LL | 1 | 2 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |
| D176 | Direct Energy Services LLC | 31 | 70 | 7 | 8 | 11 | 3 | 2 | 1 | 4 | 2 | 1 | 5 | 2 | 1 | 5 |
| D176 | East Coast Power, Llc | 1 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 4 | 0 | 0 | 0 | 0 | 0 | 0 |
| 6922EL | Eligo Energy NY, LIC | 11 | 14 | 7 | 3 | 0 | 0 | 1 | 0 | 0 | 1 | 4 | 3 | 2 | 1 | 0 |
| 6031EN | ENERGY DISCOUNTERS, LLC | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 0 | 0 | 0 | 0 |
| D243 | Energy Plus Holdings LLC | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | EnergyMark, LLC | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 7005ET | Ethical Electric Benefit Co. | 2 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Family Energy, Inc. | 10 | 25 | 2 | 0 | 1 | 3 | 4 | 2 | 2 | 3 | 0 | 0 | 3 | 1 | 2 |
| 6594FL | Flanders Energy LLC | 7 | 1 | 2 | 1 | 3 | 0 | 4 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 7383FR | Frontier Utilities Northeast LLC | 0 | 10 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Galaxy Energy Llc | 1 | 2 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 |
| 6009GL | Global Energy, LLC | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 4846GL | GMG Energy Marketing LLC | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 4846GL | Great Eastern Energy | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| D104 D127 | Green Mountain Energy | | 5 | 0 | | 0 | 0 | 0 | 0 | | 0 | 0 | - | 0 | 1 | 0 |
| 4877GR | Greenlight Energy Inc. | 0 | 2 | 1 | 0 | 0 | 0 | 1 | 0 | 1 0 | 0 | 1 | 0 | 0 | 0 | 0 |
| 4877GR D254 | High Rise Energy Group, LLC | 1 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 5302PR | Hiko Energy, Llc | 2 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | | | | | | | 0 | - | 0 | - | - | _ | - | - | - | - |
| D120 | Hudson Energy Services, Llc | 0 | 4 | 0 | 0 | 0 | - | 0 | - | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| D177 | IDT Energy, Inc. | 4 | 9 | | 0 | 1 | 0 | 2 | 1 | 1 | 1 | 0 | 0 | 1 | 0 | 0 |
| D188 | IGS Energy | 0 | 1 | 0 | 0 | 0 | | 0 | 0 | - | 1 | 0 | 0 | 0 | - | 0 |
| 7041JO | JOSCO Energy Corp | 1 | 6 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 1 | 0 | 0 | 2 |
| D213 | Just Energy New York Corp | 4 | 4 | 1 | 0 | 1 | 1 | 1 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 |
| 6646KI | Kiwi Energy Inc. | 3 | 2 | 1 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |

Number of Escalated Complaints Received Against ESCO's

| ITMI | | | piani | | | | | | | 3 M | | | | | | • |
|--------|-------------------------------------|------|-------|--------|--------|--------|--------|--------|--------|------------|--------|--------|--------|--------|--------|--------|
| Code | Company Name | 2017 | 2016 | May-17 | Apr-17 | Mar-17 | Feb-17 | Jan-17 | Dec-16 | Nov-16 | Oct-16 | Sep-16 | Aug-16 | Jul-16 | Jun-16 | May-16 |
| D117 | Liberty Power Corp. | 0 | 8 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 2 | 0 | 2 | 0 | 0 | 1 |
| D214 | Major Energy Services LLC | 9 | 13 | 5 | 0 | 2 | 2 | 0 | 0 | 0 | 4 | 1 | 0 | 1 | 4 | 2 |
| 6007MA | Marathon Energy Corporation | 3 | 16 | 0 | 0 | 2 | 0 | 1 | 1 | 1 | 1 | 1 | 2 | 1 | 1 | 2 |
| D267 | Mpower Energy LLC | 7 | 10 | 1 | 0 | 4 | 1 | 1 | 1 | 1 | 0 | 1 | 2 | 1 | 1 | 0 |
| 4987NE | NextEra Energy Resources, LLC | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 5787NO | North American Power & Gas LLC | 2 | 20 | 1 | 0 | 0 | 1 | 0 | 4 | 0 | 1 | 1 | 0 | 0 | 3 | 0 |
| D239 | NorthEastern Energy Corporation | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 4921OA | Oasis Power LLC, d/b/a Oasis Energy | 2 | 1 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| D171 | Plymouth Rock Energy LLC | 1 | 2 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 |
| 7871PR | Premier Empire Energy LLC | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 1 | 0 | 0 | 0 |
| D263 | Public Power Llc | 2 | 9 | 0 | 1 | 0 | 1 | 0 | 0 | 2 | 0 | 0 | 1 | 1 | 2 | 0 |
| 6233RE | Reliant Energy Northeast LLC | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| 6616RE | Renaissance Power & Gas, Inc. | 4 | 1 | 1 | 1 | 0 | 0 | 2 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| 6574RE | Residents Energy, LLC | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| D093 | Robison Energy Of Westchester | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 5370SB | SBR Energy, LLC | 1 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 5481SJ | SJ Energy Partners | 2 | 1 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 4976SM | Smart One Energy, LLC | 0 | 9 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 1 | 0 | 0 | 0 | 1 |
| 6216SO | South Bay Energy Corp. | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| D186 | Spark Energy, L.P. | 3 | 18 | 1 | 1 | 0 | 0 | 1 | 2 | 3 | 1 | 1 | 1 | 1 | 1 | 0 |
| 5463ST | Starion Energy NY, Inc. | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 1 | 0 | 0 | 0 | 0 |
| D223 | Titan Gas, LLC | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |
| D119 | U.S. Gas & Electric, Inc. | 4 | 19 | 0 | 2 | 0 | 0 | 2 | 0 | 2 | 0 | 3 | 0 | 3 | 3 | 2 |
| 5461UT | Utility Expense Reduction LLC | 1 | 6 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 2 | 0 | 0 | 0 | 0 | 0 |
| 6894VE | Verde Energy USA New York, LLC | 0 | 6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |
| 5391VI | Viridian Energy NY, LLC | 4 | 14 | 2 | 1 | 1 | 0 | 0 | 0 | 1 | 2 | 0 | 1 | 0 | 3 | 1 |
| 6668XO | XOOM Energy New York, LLC | 4 | 4 | 1 | 0 | 1 | 1 | 1 | 0 | 1 | 0 | 2 | 0 | 0 | 0 | 0 |
| | Total | 163 | 664 | 43 | 28 | 41 | 18 | 33 | 23 | 25 | 33 | 29 | 34 | 27 | 30 | 42 |

ESCO's with no complaints on file since January 2016 are not listed on this report.

Consumer Reports of Deceptive Marketing Practices by Energy Services Company

| | | <u>gy 36</u> | | | | | Jul | | | | | | | | | |
|--------|------------------------------------------|--------------|------|--------|--------|--------|--------|---------|--------|--------|--------|--------|--------|--------|--------|--------|
| Code | Company Name | 2017 | 2016 | May-17 | Apr-17 | Mar-17 | Feb-17 | Jan-17 | Dec-16 | Nov-16 | Oct-16 | Sep-16 | Aug-16 | Jul-16 | Jun-16 | May-16 |
| 6898AB | ABC Energy LLC | 1 | 4 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 |
| | Accent Energy Midwest, LLC | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| D249 | Affordable Power, L.P. | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Aggressive Energy, LLC | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| | Agway Energy Services, LLC. | 4 | 18 | 0 | 1 | 0 | 0 | 3 | 0 | 3 | 0 | 2 | 0 | 2 | 2 | 3 |
| | Alpha Gas And Electric, Llc | 5 | 6 | 1 | 1 | 1 | 1 | 1 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 |
| | Ambit Energy | 8 | 370 | 3 | 1 | 2 | 1 | 1 | 0 | 1 | 2 | 4 | 3 | 2 | 8 | 20 |
| | American Power & Gas, LLC | 5 | 43 | 0 | 1 | 1 | 1 | 2 | 4 | 0 | 3 | 3 | 2 | 2 | 1 | 2 |
| | Ap Gas & Electric (ny), Llc | 0 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| | ASC Energy Services, Inc. | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Astral Energy LLC | 0 | 12 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 1 | 0 | 1 | 0 |
| | Atlantic Energy, LLC | 1 | 11 | 0 | 0 | 0 | 1 | 0 | 2 | 1 | 1 | 1 | 2 | 0 | 1 | 1 |
| | Atlantic Power & Gas LLC | 0 | 5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 2 | 0 |
| | Brown's Fuel | 2 | 6 | 0 | 0 | 0 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | CenStar Operating Company, LLC | 2 | 8 | 0 | 0 | 0 | 0 | 2 | 0 | 3 | 1 | 0 | 0 | 0 | 0 | 0 |
| | Champion Energy Services, LLC | 2 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Chief Energy Gas, Llc. | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Chief Energy Power, Llc | 3 | 3 | 0 | 0 | 0 | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 5325CI | Citizens Choice Energy, LLC | 2 | 6 | 0 | 0 | 1 | 0 | 1 | 1 | 4 | 0 | 1 | 0 | 0 | 0 | 0 |
| 5592CI | City Power & Gas, LLC | 0 | 9 | 0 | 0 | 0 | 0 | 0 | 2 | 2 | 1 | 0 | 0 | 0 | 0 | 0 |
| D238 | Clearview Energy, Inc. | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| D231 | Columbia Utilities Power, Llc (electric) | 0 | 12 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 3 | 0 | 1 | 1 |
| D040 | Columbia Utilities Power, Llc (gas) | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| D086 | Con Edison Solutions | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 1 |
| 6771CO | Constellation Energy Gas Choice Inc. | 2 | 8 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 2 | 0 | 0 | 1 | 0 | 1 |
| D084 | Constellation NewEnergy | 4 | 4 | 1 | 0 | 0 | 3 | 0 | 0 | 1 | 0 | 1 | 1 | 0 | 1 | 0 |
| | Direct Energy Business Marketing, LL | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Direct Energy Services LLC | 13 | 92 | 3 | 1 | 3 | 4 | 2 | 4 | 4 | 3 | 0 | 2 | 0 | 2 | 5 |
| | Eligo Energy NY, Llc | 13 | 31 | 1 | 7 | 3 | 2 | 0 | 2 | 4 | 1 | 3 | 9 | 2 | 1 | 2 |
| | ENERGY DISCOUNTERS, LLC | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| | Energy Plus Holdings LLC | 0 | 7 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 |
| | Entrust Energy East, Inc. | 1 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Ethical Electric Benefit Co. | 6 | 26 | 0 | 1 | 4 | 0 | 1 | 0 | 5 | 4 | 1 | 13 | 0 | 0 | 0 |
| 4920FA | Family Energy, Inc. | 14 | 75 | 5 | 4 | 0 | 1 | 4 | 0 | 7 | 1 | 3 | 5 | 7 | 5 | 6 |
| 6594FL | Flanders Energy LLC | 22 | 5 | 1 | 7 | 6 | 6 | 2 | 0 | 3 | 2 | 0 | 0 | 0 | 0 | 0 |
| | Frontier Utilities Northeast LLC | 0 | 14 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 1 | 0 | 0 |
| 6643GA | Galaxy Energy Llc | 0 | 5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 |
| 6424GD | GDF Suez Retail Energy Solutions, LL | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 6009GL | Global Energy, LLC | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| D104 | Great Eastern Energy | 1 | 3 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 |
| D127 | Green Mountain Energy | 3 | 10 | 0 | 0 | 0 | 1 | 2 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 |
| | Greenlight Energy Inc. | 5 | 24 | 3 | 0 | 0 | 0 | 2 | 1 | 3 | 0 | 2 | 4 | 0 | 1 | 1 |
| | High Rise Energy Group, LLC | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Hiko Energy, Llc | 6 | 7 | 1 | 0 | 2 | 1 | 2 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 2 |
| | Hudson Energy Services, Llc | 2 | 8 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 |
| | IDT Energy, Inc. | 20 | 49 | 4 | 7 | 3 | 1 | 5 | 5 | 6 | 5 | 6 | 2 | 5 | 3 | 2 |
| | Infinite Energy, Inc. | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 |
| 7041JO | JOSCO Energy Corp | 5 | 48 | 0 | 1 | 0 | 0 | 4 | 3 | 0 | 2 | 4 | 7 | 4 | 5 | 6 |

Consumer Reports of Deceptive Marketing Practices by Energy Services Company

| | | 9,00 | | | | | | · · / | | | | | | | | |
|--------|---------------------------------------|------|------|--------|--------|--------|--------|--------------|--------|--------|--------|--------|--------|--------|--------|--------|
| Code | Company Name | 2017 | 2016 | May-17 | Apr-17 | Mar-17 | Feb-17 | Jan-17 | Dec-16 | Nov-16 | Oct-16 | Sep-16 | Aug-16 | Jul-16 | Jun-16 | May-16 |
| D213 | Just Energy New York Corp | 3 | 14 | 1 | 0 | 1 | 1 | 0 | 1 | 1 | 1 | 1 | 0 | 0 | 3 | 0 |
| 6646KI | Kiwi Energy Inc. | 3 | 13 | 1 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 3 |
| 5520LE | Lexington Power & Light, LLC | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 |
| D117 | Liberty Power Corp. | 4 | 17 | 1 | 1 | 0 | 1 | 1 | 2 | 5 | 0 | 2 | 0 | 0 | 0 | 0 |
| D214 | Major Energy Services LLC | 14 | 28 | 2 | 1 | 4 | 4 | 3 | 1 | 1 | 1 | 4 | 2 | 3 | 4 | 4 |
| 6007MA | Marathon Energy Corporation | 1 | 13 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 4 | 2 | 2 | 0 | 1 | 0 |
| 9533ME | Median Energy Corp. | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| D267 | Mpower Energy LLC | 15 | 46 | 3 | 3 | 7 | 1 | 1 | 7 | 2 | 3 | 2 | 7 | 4 | 3 | 1 |
| 9285NA | National Gas & Electric, LLC | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 5436NE | New Wave Energy Corp. | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 |
| 4987NE | NextEra Energy Resources, LLC | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 5787NO | North American Power & Gas LLC | 2 | 15 | 0 | 2 | 0 | 0 | 0 | 1 | 0 | 1 | 1 | 1 | 0 | 2 | 0 |
| 5479NO | North Energy LLC | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 4921OA | Oasis Power LLC, d/b/a Oasis Energy | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 6645PA | Pay Less Energy, LLC | 1 | 2 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 |
| 6893PE | Perigee Energy, LLC | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| D171 | Plymouth Rock Energy LLC | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 7871PR | Premier Empire Energy LLC | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| D263 | Public Power Llc | 1 | 8 | 1 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 1 | 0 | 0 | 1 | 0 |
| 6233RE | Reliant Energy Northeast LLC | 1 | 7 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 2 |
| 6616RE | Renaissance Power & Gas, Inc. | 2 | 12 | 0 | 0 | 0 | 0 | 2 | 1 | 5 | 3 | 0 | 0 | 0 | 0 | 0 |
| 6574RE | Residents Energy, LLC | 3 | 9 | 0 | 0 | 1 | 2 | 0 | 0 | 0 | 0 | 0 | 2 | 1 | 0 | 2 |
| 5481SJ | SJ Energy Partners | 2 | 1 | 0 | 0 | 1 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 4976SM | Smart One Energy, LLC | 0 | 10 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 1 | 0 | 0 |
| 6216SO | South Bay Energy Corp. | 4 | 11 | 0 | 0 | 3 | 1 | 0 | 2 | 1 | 1 | 0 | 1 | 1 | 2 | 0 |
| D186 | Spark Energy, L.P. | 3 | 29 | 1 | 1 | 1 | 0 | 0 | 2 | 3 | 4 | 2 | 2 | 2 | 1 | 0 |
| 7397SP | Sperian Energy Corp. | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| 8302SP | Sprague Operating Resources | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 5463ST | Starion Energy NY, Inc. | 0 | 8 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 1 | 0 | 0 | 0 |
| 9014TR | Trident Retail Energy, LLC DBA Trider | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| D166 | U.S. Energy Partners Llc And EnviroG | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| D119 | U.S. Gas & Electric, Inc. | 5 | 19 | 3 | 1 | 0 | 1 | 0 | 0 | 2 | 0 | 1 | 2 | 2 | 3 | 1 |
| D500 | Unidentified ESCO | 16 | 90 | 4 | 2 | 5 | 0 | 5 | 4 | 9 | 7 | 2 | 8 | 4 | 9 | 6 |
| 6008UN | United Energy Supply Corporation | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 5461UT | Utility Expense Reduction LLC | 4 | 17 | 0 | 1 | 3 | 0 | 0 | 1 | 1 | 1 | 0 | 2 | 0 | 0 | 1 |
| 6894VE | Verde Energy USA New York, LLC | 1 | 7 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 5391VI | Viridian Energy NY, LLC | 4 | 26 | 3 | 0 | 0 | 1 | 0 | 2 | 0 | 5 | 0 | 1 | 0 | 4 | 2 |
| 6668XO | XOOM Energy New York, LLC | 0 | 7 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 2 | 1 | 0 | 0 | 0 |
| | Total | 247 | 1375 | 46 | 49 | 56 | 43 | 53 | 57 | 83 | 67 | 62 | 91 | 48 | 71 | 79 |

Deceptive marketing complaints are taken from customers who report situations where an energy service company or energy marketer solicits the customer's home or business in a manner which the customer believes is misleading or the customer was presented with information which the customer believes is untrue.