

Case No. 12-M-0476 et. al.
EDI Business/Technical Working Groups
Workpaper - Reducing Time between EDI Standards Filings and Implementation – 6/12/2015

Expedited EDI Filing to Implementation Process

- The New York Electronic Data Interchange (“EDI”) Working Group (referred to in here after as “EDI Working Group”), an ad hoc working group consisting of personnel from Utilities, Energy Service Companies and EDI Service Providers pursuing development of EDI Standards, convened as a result of the Commission’s Order Granting and Denying Petitions for Rehearing in Part issued February 6, 2015 in Cases 12-M-0476, et. al, will be empowered to file routine modifications/updates to existing EDI Standards, errata and optional elements of the EDI Standards (hereinafter, “EDI Standards Reports”) under a lighter/expedited regulatory process.
 - As a general rule, EDI Standards Reports are intended as technical implementations of Commission rules and policy and do not of themselves, create new regulatory policy and/or mandates beyond the EDI Standards, themselves.
 - Application of the lighter/expedited regulatory process is intended for mature, settled matters or those matters where the Commission issues an Order specifying, in advance, a date by which the business practice/regulations to be implemented through the EDI Standards become mandatory.
 - Initiatives of major impact, should not qualify for the lighter/expedited regulatory process; modifications/updates to EDI Standards supporting new regulatory requirements and/or mandatory business practices do not become mandatory until the Commission issues an Order.
 - The EDI Working Group is empowered, as described herein, at the discretion of Department of Public Service Staff (“Staff”); at its pleasure, Staff may suspend or terminate the lighter/expedited regulatory process.
- In the EDI Standards Reports it files, the EDI Working Group, in consultation with Staff, will endeavor to set testing and implementation dates, when necessary, for the EDI Standards changes that proceed under the lighter/expedited regulatory process.
 - Testing and implementation dates identified in EDI Standards Reports should be no less than 30 days following to the filing date and in absence of advise otherwise, testing and implementation of EDI changes no less than 120 days following the filing date.
- If any party with a significant business interest disagrees with the assignment of a component of EDI Standards modifications/updates contained in the EDI Standards Reports to the lighter/expedited regulatory process, it should first raise this issue with the EDI Working Group and/or Staff to seek necessary changes. Preferably, this would occur during the drafting stage, i.e. before the report was filed and in any event, no less than 15 business days following the date the report was filed.
 - Requests to remove items from the lighter/expedited regulatory process should be filed with the Secretary, preferably by the EDI Working Group in response to a request that could not be resolved.

Additionally:

- (1) The EDI Working Group shall file its EDI Standards Reports through the Commission’s electronic filing system,
- (2) The EDI Standards Reports shall be made available to all ESCOs and direct customers via Web Posting on the EDI Working Group’s web page.