

**Case 12-M-0476, et. al.**  
**EDI Business Working Group (BWG)/**  
**Technical Working Group (TWG)**  
**Draft Minutes – May 13, 2016**

**Administration**

- Review/Modify Agenda: The Draft Agenda was adopted reversing the order of the third and fourth agenda items.
- The Draft Minutes from the 4/29/2016 EDI Business Working Group (BWG)/Technical Working Group (TWG) meeting were adopted as final without modification.
- DPS Staff Remarks – None.

**Regulatory Update**

A request for an extension of time on the EDI Testing Deadline for the APP Credit transaction will be filed today seeking an August 1, 2016 deadline.

**503 Transaction Technical Team Status Report**

The BWG Chair noted that a workpaper showing utility design decisions and projected implementation timelines for the 503 and related transactions is posted for the next EDI Working Group meeting. At present, only the National Fuel and Orange & Rockland information has been verified; other utilities should review so that an updated workpaper can be posted and discussed next week.

Additionally, investigation based upon discussion from the 4/29/2016 EDI Working Group meeting concerning addition of date and time fields to the APP Credit related transactions, e.g. the 503, 814C, etc., and resulting bill messaging indicates such changes could delay implementation of the transaction modifications. After discussion, the EDI Working Group consented to sticking with the original approach. It was noted that if any party felt these changes were worthwhile at some point following implementation, they could propose them as a future enhancement. Otherwise, modification will be made to generalize the ESCO credit language and initial development will focus on the APP Credit.

**APP Credit Processing – Dual Billing**

A workpaper containing draft answers to the technical questions posed during the 4/29/2016 EDI Working Group meeting was discussed. Further comments on/ changes to the draft answers are as follows:

- Question #3 – The draft answer will be revised based upon the decision to not add APP Credit period begin and end dates to the 814C and 810 transactions.
- Question #4 – The 503 will not be modified; the ESCO, since it performs the calculation, has the information rather than the utility.

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- Question #5 – Since the 814C and 810 will not be modified the message cannot show an applicable period. Never the less, the utility will know whether the ESCO providing the credit is the same as the ESCO currently serving the customer and therefore can provide a meaningful “standard” bill message (as well as determine where to place the credit and/or message). Providing the name of the ESCO providing the credit, if different ESCO, could interfere with the current ESCO’s customer relationship so a generic approach such as “your prior supplier” could be a better approach.
- Question #6 – Further discussion with Staff is necessary, particularly as it relates to non-commodity charges, e.g. value-added services, and could have an impact upon POR. It appears as if Staff, rather than utilities, should be policing the composition of ESCO rates.
- Question #7 – The response to question #5 applies to this question also but there’s no specific guidance on placement of the bill message explaining the credit. It could be next to the credit if space is available or in the bill message section; an important nuance is whether the credit is from the current or prior ESCO.
- Question #8 – There’s a relationship to the customer cancel-rebill policy but whether the ESCO is still a functioning business also plays a role, particularly if there is a ongoing bankruptcy proceeding. After discussion, the EDI Working Group proposed (subject to review with ESCO business personnel) that an active ESCO should have six months to respond to a cancel/rebill and the utility should have discretion to extend the period if circumstances justifying the extension present themselves.
- Question #9 – Ultimately the ESCO would be responsible. Kris Redanauer (Direct Energy) thought that regulatory guidance may be necessary to set up a standard by which an ESCO could demonstrate they tried to provide the credit. At some point Staff will have to come up with what they will want the ESCO to show that it was done.
- Question #11 – Sergio Smilley (National Grid) emphasized the importance of finding a resolution to the question of whether ESCOs could be required to utilize UCB for mass market customers because of all the surrounding complications.

All parties agreed that the answers developed thus far were a work-in-progress and that further review with each business/regulatory/legal personnel could lead to subsequent modifications. An updated version of the workpaper will be discussed at the next EDI Working Group meeting; the end result may be EDI Working Group recommendations to DPS Staff that may or may not be further addressed through the regulatory process.

**Establish date/time for next meeting**

The next meeting will be a combined BWG/TWG meeting on Friday 5/20/2016 at 10 AM following a more customary agenda.

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**Attendees**

Elois Anderson – National Grid	Jeff Begley - NOCO
Travis Bickford – Fluent	John Cooney – National Grid
Debra Crochie – EC Infosystems	Mary Do – Latitude
Tom Dougherty - Marketwise	Tracie Gaetano – IGS
Eric Heaton – Con Ed	Ethan Kagan – Direct Energy
Gary Lawrence – Energy Services Group	Jennifer Lorenzini – Central Hudson
Rick Malek – National Grid	Janet Manfredi – Central Hudson
? Martinez – National Grid	Veronica Munoz – Accenture
Ewen Ng - EARTH	Mike Novak – National Fuel Gas Dist.
Jean Pauyo – O&R	Adam Powers – Ethical Electric
Debbie Rabago – Ambit	Kris Redanauer – Direct Energy
Donna Satcher – Jackson – National Grid	Sergio Smilley – National Grid
Robin Taylor – DPS Staff	Jasmine Thom – CES
Cindy Tomeny – National Grid	Charlie Trick – NYSEG/RG&E
Marie Vajda – NYSEG/RG&E	Jennifer Vigil – Champion
Debbie Vincent – UGI Energy Services	Kim Wall – PPL Solutions
Barbara White – Ambit	Amie Williams – Agway