Case 12-M-0476, et. al.
EDI Business Working Group (BWG)/
Technical Working Group (TWG)
Draft Minutes – February 26, 2016

Administration

- Review/Modify Agenda: The Draft Agenda was adopted noting a workpaper proposing modifications to the examples in the 503 Implementation Guide (IG) to be discussed under the agenda item - Other.
- The Draft Minutes from the 2/12/2016 EDI Business Working Group (BWG)/Technical Working Group (TWG) meeting were adopted as final with a minor modification to a section header.
- DPS Staff Remarks – Not present

Regulatory Update

The BWG Chair provided a high level review of the Order Resetting Retail Energy Markets and Establishing Further Process issued on 2/23/2016 in Case 15-M-0127 ("Resetting Order"). Notification of a conference call scheduled for 2/29/2016 and a pending guidance document was provided.

Kris Redanauer was concerned that the Resetting Order might necessitate a large number of EDI changes, e.g. Enrollments/Drops or rate changes, over a very short period of time and questioned if there was an upper limit on the number of EDI transactions each utility could process in a day.

In terms of determining whether an electric customer was a demand-metered customer or not, utility rate codes can be used to identify such customers. These codes are provided in to ESCOs via EDI transactions. Electric utilities were asked, as a courtesy, to provide a list of applicable rate codes on their web sites and the EDI Working Group was asked to consolidate all utility rate codes into one workpaper/table for EDI developer convenience – ConEd offered to put together the table. With regard to the 750 Dth breakpoint for gas customers, there is no analogous breakpoint or rate codes but ESCO can obtain historical usage via EDI and compute, based upon a summation of the most recent 12 months usage, whether the customer was above or below the 750 Dth level.

With the expectation that a larger number of customers would be recipients of ESCO provided credits, some thought that a quicker implementation of the new 503 EDI Transaction was necessary following any modifications necessary to conform to the Resetting Order. Others thought modifications, in place of the 503, to other transactions that did not require an ESCO request would be a more appropriate course of action. Another proposed approach analogous to month-to-month budget billing balance tracking was made but following discussion, determined to be not feasible because it would require utilities to track/compute balance resets and not entirely consistent with the Resetting Order's expectation that ESCOs were required to perform the calculation. After further discussion, situational provision of the 503 transaction without an ESCO request was seen as a more appropriate direction. It was also noted that the term other than "Assistance Program Participant Credit" would be more appropriate in the EDI Standards Documents for the 503 EDI Transaction and other transactions referencing APP Credits, e g. 810UBR, 810UBR, 814C and 820.

The BWG Chair noted that the transactions filed in the 1/29/2016 EDI Report should be formally accepted as EDI Standards under the new expedited approval process on 2/29/2016.

Scheduling of Date for Next EDI Report

The BWG Chair noted that a date of March 31, 2016 is being showing in the draft EDI Standards documents but that date was "penciled in" before the Resetting Order was issued and therefore is subject

to change. The EDI Transactions containing proposed changes for the next report so far are the 503, 810UBR, 810URR, 814C and 820.

Review of Implementation Plans

Updates to the Implementation Matrix since the last meet were reviewed. It was noted that the Resetting Order will like lead to more specificity in utility implementation dates. The matrix will be updated for the next meeting.

814C – APP Credit Signage

A workpaper showing a draft set of modifications to the 814C, 810UBR, 810 URR and 820 EDI Transactions with changes based upon discussion at the 2/12/2016 Working Group meeting was reviewed. Further edits, including deletions, to the proposed language and corrections to gray box examples were made based upon discussion. Noting that the term APP Credit would likely be superseded by a new term, an updated workpaper will be prepared for the next meeting.

Timing Considerations – Outbound (Utility) 814C Transactions

A workpaper showing a draft set of modifications to the 814C Business Process document based upon discussion at the 2/12/2016 Working Group meeting was reviewed. Noting that National Fuel and ConEd were the two utilities that would need to make the most significant changes to their implementations, the proposed language was presented to the other utilities to ensure that it captured their existing practices.

During discussion, it was determined that rather than providing changes to both the current and pending ESCOs, due to customer privacy concerns, some utilities do not provide changes to the new ESCO until after the switch occurs. It was also determined that in some cases changes was sent to the current ESCO prior to the switch and after the Enrollment Response was provided to the pending ESCO would not be provided to the pending ESCO, even after it began serving the customer. ESCOs and their EDI Service Providers had no objection to a delay in receiving the changed information but not receiving the changes, even after the switch, was problematic. The TWG Chair noted that changes such as a rate class change, e.g. a change that would inform the ESCO that the customer now should be served on a price guarantee rate, as well as, several other critical items have important business consequences for ESCOs.

It was determined that utilities need to review their current practices in response to this discussion before new language for the 814C Business Process document can be developed. Additionally, the elements under the "Data subject to change:" on the same page of the 814C Business Process document need to be updated (as an errata) to include the items from the EDI changes implemented on 11/2/2015.

Disposition of 568AR & 568PA EDI Transactions

The BWG Chair proposed that a short paragraph be added to the 568AP and 568PA EDI Standards documents and that no utilities currently support these transactions and that further development was suspended. It was also noted that if the documents remained in this status for a few years, that the EDI Working Group could make a determination to formally remove the transactions form the NY EDI Standards.

It was also notes that comparable language could be added to other EDI Standards documents to address certain out ESCO transactions (inbound to Utility) that were accepted by utilities but ignored, i.e. where the Utility does not process transaction.

Other Business

a. Proposed Modifications to the Examples in the 503 IG

Eric Heaton explained why ConEd thought the examples could be improved by adding a line for the REF~AJ (Utility Account Number for ESCO). Since some other utilities do not require this segment, it was determined that the revised example was better placed in ConEd's and O&R's EDI manuals. Other cases where examples in Utility EDI Guides could be used to supplement the high-level sample transactions in the IG were identified. Finally, Amie Williams observed that the response examples in IG should reference the request.

b. 503 IG REF~45 (Previous Utility Account Number) Segment Conditionality

To resolve confusion concerning when the segment should be sent, changes to the note in the gray box need to be made. Mary Do agreed to provide draft changes for a workpaper to be discussed at an upcoming meeting.

Establish date/time for next meeting

The next meeting will be a combined BWG/TWG meeting on Friday 3/11/2016 at 10 AM. Pending the outcome of the 2/29/2016 Conference call, a special EDI Working Group conference call may be scheduled for 3/4/2016 to discuss Resetting Order oriented EDI development.

Attendees

Mary Agresti – National Grid	Jodie Larison – UGI Energy Services
Travis Bickford – Fluent	Debra Crochie – EC Infosystems
Mary Do – Latitude Technologies	Craig Wiess – National Grid
Barbara Goubeaud – EC Infosystems	Eric Heaton – Con Ed
Gary Lawrence – Energy Services Group	Jennifer Lorenzini – Central Hudson
Janet Manfredi – Central Hudson	Veronica Munoz – Accenture
Tracie Gaetano – IGS	Mike Novak – National Fuel Gas
Jean Pauyo – O&R	Kris Redanauer – Direct Energy
Sergio Smilley – National Grid	Jason Gullo – NFR
Jasmine Thom – CES	Charlie Trick – NYSEG/RG&E
Marie Vajda – NYSEG/RG&E	Cindy Tomeny – National Grid
Debbie Vincent – UGI Energy Services	Kimberly Wall – PPL Solutions
Thomas Dougherty – Marketwise	Amie Williams – Agway
Donna Satcher-Jackson – National Grid	Elois Anderson – National Grid
Richard Malek – National Grid	Mike Pawlowski – National Grid
Juliana Griffiths – National Grid	Eathan Kagen – Direct Energy
Jess Cromeck – Ethical Electric	Kim McNary – Ambit Energy