

Case No. 12-M-0476 et. al.  
EDI Business/Technical Working Groups  
Workpaper – APP Credit Signage - 3/11/2016

**From 568AR IG:**

NY 568 Accounts Receivable Advisement

	be communicated via the 814 Account Maintenance (Change) transactions.
Canceling a 568	<ul style="list-style-type: none"> <li>In the event that a 568 was sent in error, or was inaccurate, a new 568 must be sent to correct the information.</li> </ul>
Account Status	<ul style="list-style-type: none"> <li>A 568 A/R Advisement transaction should not be sent when Utility Rate Ready Pay-As-You-Get-Paid consolidated billing is pending for an account.</li> </ul>
Adjustment Reason Codes	<ul style="list-style-type: none"> <li>The N9*PHC segment must be sent to describe the nature of the adjustment amount sent in the AMT*BM segment. The Adjustment Reason codes/text will not be used for bill presentation purposes.</li> <li>The code 'FB' may only be used to indicate that the amount sent is a beginning balance.</li> <li>The code 'PT' may only be used to indicate that the amount sent in the transaction is a payment received by the ESCO.</li> <li>The code 'CS' may only be used to indicate that the amount sent is associated with a termination notice or deferred payment agreement initiated by the ESCO. The N903 element must be sent when code 'CS' is used.</li> <li>The N903 element must contain one of the following codes whenever the N902 element contains the code 'CS': <ul style="list-style-type: none"> <li>➤ DP: Deferred Payment Arrangement Monthly Amount</li> <li>➤ DW: Deferred Payment Arrangement Down Payment</li> <li>➤ TA: Termination Notice Amount</li> </ul> </li> <li>Supplemental text may <u>not</u> be sent in N903 when the adjustment reason code is 'CS'.</li> <li>Other adjustment reason codes ('02', '48', '50', '72', '74', '81', 'A8', 'B2', 'D1', or 'L3') may be sent alone, or in combination with text sent in the N903 element, to provide more detail about the nature of an adjustment.</li> </ul>
<u>Suspension of Development</u>	<ul style="list-style-type: none"> <li><u>Since no utilities currently support this transaction, further development was suspended as of Version 2.2.</u></li> </ul>

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**From 568AR Business Process Document:**

Case 98-M-0667

ACCOUNT RECEIVABLES ADVISEMENT BUSINESS PROCESSES  
UTILITY RATE READY CONSOLIDATED BILLING MODEL

Supplement I

*Since no utilities currently support this transaction, further development has been suspended as of Version 2.2.*

*This document describes the detailed business processes associated with non-billing party communication of account receivable information under the Utility Rate Ready Pay-As-You-Get-Paid Consolidated Billing model. The scope of this document addresses processes associated with the communication of account receivable information via a 568 Account Receivables Advise transaction sent by the non-billing party to the billing party and the disposition of that account receivable information. This business process does not apply to the Utility Rate Ready Purchase of Receivables (POR) Consolidated Billing model.*

*In developing these business processes, the New York EDI Collaborative reviewed the following documents:*

- June 30, 1999 Report of the New York EDI Collaborative
- Case 03-M-0117, In the Matter of the Implementation of Chapter 686 of the Laws of 2002, etc., Order on Petitions for Rehearing and Clarification, issued and effective December 5, 2003.
- Case 98-M-1343, In the Matter of Retail Access Business Practices, Order on Petitions for Rehearing and Clarification, issued and effective July 15, 2004.
- Case 98-M-1343, In the Matter of Retail Access Business Rules, Errata Notice, issued August 2, 2004.
- Case 98-M-1343 and Case 99-M-0631 and Case 03-M-0117, Order on Petitions for Rehearing and Clarification, issued and effective June 22, 2005.
- NY EDI TS810 Invoice for Utility Rate Ready Billing, version 1.1, published February 23, 2004.
- Consolidated Billing Business Processes – Utility Rate Ready, published June 21, 2002.
- NY EDI TS814 Enrollment Request and Response Standard v. 2.0, issued May 17, 2006
- Enrollment Business Processes Document issued July 23, 2001.
- NY EDI TS814 Change (Account Maintenance), published May 17, 2006.
- Account Assignment Business Processes for All Consolidated Billing Models ordered July 31, 2002.
- Remittance Advice Business Processes for Utility Consolidated Billing Models v2.0 issued May 17, 2006.
- Notification Advice for Consolidated Billing Business Processes ordered November 7, 2002.
- Order Taking Actions to Improve the Residential and Small Nonresidential Retail Access Markets, issued and effective February 25, 2014 in Case 12-M-0476 et.al.

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**From 568PA IG:**

**NY 568 Payment Advisement**

	Notes pertaining to the use of this document
Purpose	<ul style="list-style-type: none"> <li>The 568 Payment Advisement transaction is used by a Utility to communicate customer payment information to an ESCO when a customer receives a Utility Rate Ready consolidated bill and the payment processing method is Purchase of Receivables (POR) with Recourse.</li> <li>The scope of this document addresses processes associated with Utility disposition of payments made on consolidated bills including payment allocation (between the billing and non-billing parties) and notification of customer payments (or payment reversals to the ESCO via an 568 Payment Advisement.</li> <li>These standards are based on the ASC X12 Ver/Rel 004010 standard and related UIG guidelines.</li> </ul>
BGN Segment	<ul style="list-style-type: none"> <li>Codes sent in the BGN07 element in the BGN segment are sent to distinguish between a 568 Payment Advisement transaction (code U9) and a 568 Account Receivables Advisement transaction (code BT).</li> </ul>
Looping Structure	<ul style="list-style-type: none"> <li>The detail section of this transaction contains segments/elements that identify the customer and the commodity and provide the payment/payment reversal information. The CS loop contains a REF loop, a LX loop and an NI loop.</li> <li>Each CS loop may contain only one LX loop. When data is being sent for more than one account, or multiple payments or payment reversals are being reported for the same account, a separate CS loop must be sent for each payment or payment reversal being reported.</li> </ul>
Multiple accounts/commodities per 568	<ul style="list-style-type: none"> <li>Each 568 Payment Advisement may contain multiple accounts for multiple commodities.</li> <li>Where the billing party has prepared a consolidated bill consisting of electric and gas charges, payments or payment reversals must be applied to electric and gas charges separately and will be communicated via the 568 accordingly.</li> </ul>
Validation	<ul style="list-style-type: none"> <li>Transactions will be validated based on the customer's utility account number (with check digit, if included). In this standard the customer's utility account number is sent in the CS segment in the detail section of the transaction.</li> </ul>
Max Use of three N9 Segments	<ul style="list-style-type: none"> <li>This Implementation Guide provides for four (4) different types of N9 segments within a CS Loop: <ul style="list-style-type: none"> <li>➤ ESCO Customer Account Number</li> <li>➤ Previous Utility Customer Account Number</li> <li>➤ Gas Pool ID, or</li> <li>➤ Utility Account Number for the ESCO.</li> </ul> </li> <li>ANSI X12 standards permit no more than three N9 segments to be present at this level. Accordingly, the Utility (the sender) must select no more than three N9 segments to be transmitted in a transaction.</li> </ul>
Canceling a 568	<ul style="list-style-type: none"> <li>In the event that a 568 was sent in error, or was inaccurate, a new 568 must be sent to correct the information.</li> </ul>
<u>Suspension of Development</u>	<ul style="list-style-type: none"> <li><u>Since no utilities currently support this transaction, further development was suspended as of Version 1.2.</u></li> </ul>

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**From 568PA Business Process Document:**

Case 98-M-0667

Supplement G

PAYMENT ADVISEMENT BUSINESS PROCESSES  
UTILITY RATE READY PURCHASE RECEIVABLES WITH RECOURSE  
CONSOLIDATED BILLING MODEL

***Since no utilities currently support this transaction, further development has been suspended as of Version 2.2.***

*This document describes the detailed business processes associated with billing party communication of customer payment information under the Utility Rate Ready Purchase of Receivables (POR) with Recourse Model. The scope of this document addresses processes associated with the disposition of payments received by the billing party on consolidated bills, including allocation of customer payments and notification of customer payments (or payment reversals) via a 568 Payment Advise to the non-billing party.*

*In developing these business processes, the following documents were reviewed:*

- June 30, 1999 Report of the New York EDI Collaborative
- Case 03-M-0117, In the Matter of the Implementation of Chapter 686 of the Laws of 2002, etc., Order on Petitions for Rehearing and Clarification, issued and effective December 5, 2003.
- Case 98-M-1343, In the Matter of Retail Access Business Practices, Order on Petitions for Rehearing and Clarification, issued and effective July 15, 2004.
- Case 98-M-1343, In the Matter of Retail Access Business Rules, Errata Notice, issued August 2, 2004.
- Case 98-M-1343 and Case 99-M-0631 and Case 03-M-0117, Order on Petitions for Rehearing and Clarification, issued and effective June 22, 2005.
- NY EDITS810 Invoice for Utility Rate Ready Billing, version 1.1, published February 23, 2004.
- Consolidated Billing Business Processes – Utility Rate Ready, published June 21, 2002.
- Account Assignment Business Processes for All Consolidated Billing Models, published July 31, 2002.
- NY EDI TS824 Application Advice, published November 7, 2002.
- NY EDI TS824 Positive Notification, published November 7, 2002.
- NY EDITS814 Change (Account Maintenance), published May 17, 2006.
- Order Taking Actions to Improve the Residential and Small Nonresidential Retail Access Markets, issued and effective February 25, 2014 in Case 12-M-0476 et.al.