

**Case No. 12-M-0476 et al.**  
**EDI Business/Technical Working Groups**  
**Gray Box Note Conventions**  
**5/4/2018**

**814C Variations:**

NY 814 Change (Account Maintenance)

**Segment:** **ST** Transaction Set Header  
**Position:** 010  
**Loop:**  
**Level:** Heading  
**Usage:** Mandatory  
**Max Use:** 1  
**Purpose:** To indicate the start of a transaction set and to assign a control number  
**Syntax Notes:**  
**Semantic Notes:** 1 The transaction set identifier (ST01) is used by the translation routines of the interchange partners to select the appropriate transaction set definition (e.g., 810 selects the Invoice Transaction Set).  
**Notes:** Request: Required  
Response: Required  
ST~814~0001

**Segment:** **N3** Address Information (Service Address)  
**Position:** 060  
**Loop:** N1 Optional (Dependent)  
**Level:** Heading  
**Usage:** Optional (Dependent)  
**Max Use:** 1  
**Purpose:** To specify the location of the named party  
**Syntax Notes:**  
**Semantic Notes:**  
**Notes:** Utility Request : Conditional  
Responses: Not Used  
  
The N3 and N4 segments within the N1\*8R loop are sent when the Utility is reporting a change in service address and must be accompanied by an N1\*8R segment.  
N3~375 PARK AVE  
N3~OUTDOOR - PETER COOPER VILLAGE CORNER OF EAST 20TH & FI-RST AVENUE

**Segment:** **PER** Administrative Communications Contact (Customer Phone Number)  
**Position:** 080  
**Loop:** N1 Optional (Dependent)  
**Level:** Heading  
**Usage:** Optional (Dependent)  
**Max Use:** 1  
**Purpose:** To identify a person or office to whom administrative communications should be directed  
**Syntax Notes:** 1 If either PER03 or PER04 is present, then the other is required.  
2 If either PER05 or PER06 is present, then the other is required.  
3 If either PER07 or PER08 is present, then the other is required.  
**Semantic Notes:**  
**Notes:** Utility request: Not Used  
ESCO response: Not Applicable  
  
ESCO request: Conditional  
Utility response: Not Used  
  
This segment should be sent when the ESCO becomes aware of a change in the customer's telephone number coincident with a change in mailing address. This segment is sent in the N1 Name (Name for Mailing) Loop and must be accompanied by an N1\*BT segment.  
  
If a change is made to this segment the N1BT code is transmitted in the REF\*TD segment at position 030.  
  
PER~IC~~TE~7166756271

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<b>Segment:</b>	<b>REF</b> Reference Identification (Reject Response Reasons)
<b>Position:</b>	030
<b>Loop:</b>	LIN Optional (Must Use)
<b>Level:</b>	Detail
<b>Usage:</b>	Optional (Dependent)
<b>Max Use:</b>	1
<b>Purpose:</b>	To specify identifying information
<b>Syntax Notes:</b>	1 At least one of REF02 or REF03 is required. 2 If either C04003 or C04004 is present, then the other is required. 3 If either C04005 or C04006 is present, then the other is required.
<b>Semantic Notes:</b>	1 REF04 contains data relating to the value cited in REF02.
<b>Notes:</b>	Request: Not used Reject Response: Required Other Responses: Not Used  This segment is required when the transaction is a Response transaction (BGN01=11) and the action or status is Reject (ASI01=U) in order to describe the reason that a change request has been rejected.  If codes 008, ECB or MOV are used to reject AMT*7 (ESCO Pricing Adjustment Credit) or AMT*UJ (ESCO Generic Credit), the supplier is responsible for directly reimbursing the customer.  REF~7G~C11

<b>Segment:</b>	<b>REF</b> Reference Identification (Gas Capacity Assignment/Obligation)
<b>Position:</b>	030
<b>Loop:</b>	LIN Optional (Must Use)
<b>Level:</b>	Detail
<b>Usage:</b>	Optional (Dependent)
<b>Max Use:</b>	1
<b>Purpose:</b>	To specify identifying information
<b>Syntax Notes:</b>	1 At least one of REF02 or REF03 is required. 2 If either C04003 or C04004 is present, then the other is required. 3 If either C04005 or C04006 is present, then the other is required.
<b>Semantic Notes:</b>	1 REF04 contains data relating to the value cited in REF02.
<b>Notes:</b>	Utility Request: Conditional  GAS ONLY. This segment is provided to the ESCO by the Utility to convey a change in the intended or obligated pipeline capacity requirements necessary to meet the needs of the end use customer as described in its Utility Maintained EDI Guide.  REF~GC~YES REF~GC~NO

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**Segment:** **REF** Reference Identification (Account Settlement Indicator)  
**Position:** 030  
**Loop:** LIN Optional (Must Use)  
**Level:** Detail  
**Usage:** Optional (Dependent)  
**Max Use:** 1  
**Purpose:** To specify identifying information  
**Syntax Notes:** 1 At least one of REF02 or REF03 is required.  
2 If either C04003 or C04004 is present, then the other is required.  
3 If either C04005 or C04006 is present, then the other is required.  
**Semantic Notes:** 1 REF04 contains data relating to the value cited in REF02.  
**Notes:** Change Requests: Conditional, for Electric accounts only  
Change Accept Responses: Not Used  
  
REF~TDT~C

**Segment:** **REF** Reference Identification (NYPA Discount Indicator)  
**Position:** 030  
**Loop:** LIN Optional  
**Level:** Detail  
**Usage:** Optional (Dependent)  
**Max Use:** 1  
**Purpose:** To specify identifying information  
**Syntax Notes:** 1 At least one of REF02 or REF03 is required.  
2 If either C04003 or C04004 is present, then the other is required.  
3 If either C04005 or C04006 is present, then the other is required.  
**Semantic Notes:** 1 REF04 contains data relating to the value cited in REF02.  
**Notes:** Change Requests: Electric accounts, if available in the utility's system and the utility do not otherwise provide the indicator through a non-EDI means.  
Change Accept Responses: Not Used  
  
REF~YP~Y

**Segment:** **DTM** Date/Time Reference (Assigned Service Start Date)  
**Position:** 040  
**Loop:** LIN Optional (Must Use)  
**Level:** Detail  
**Usage:** Optional (Dependent)  
**Max Use:** 1  
**Purpose:** To specify pertinent dates and times  
**Syntax Notes:** 1 At least one of DTM02 DTM03 or DTM05 is required.  
2 If DTM04 is present, then DTM03 is required.  
3 If either DTM05 or DTM06 is present, then the other is required.  
**Semantic Notes:**  
**Notes:** Utility Request: Conditional  
ESCO Request: Not Used  
Responses: Not Used  
  
May be sent by the Utility only when an enrollment request for the customer is pending.  
  
DTM~150~20060313